TO:	Texas Tech System Board of Regents
CC:	Dr. Lawrence Schovanec, President
FROM:	Dr. Kimberly Simón, Title IX Coordinator
SUBJECT:	Chief Executive Officer Reporting Under Texas Education Code § 51.253(c)
DATE:	September 29, 2020

Under the Texas Education Code (TEC), Section 51.253(a), the Title IX Coordinator must submit a written report no less than every three months to the Institution's Chief Executive Officer regarding reports received from employees who are required to report under the Texas Education Code<sup>1</sup> and the type of incident described in the employee's report that constitutes "sexual harassment," "sexual assault," "dating violence," or "stalking."<sup>2</sup>

This report includes the investigation status of these reports; the disposition, if any, of any disciplinary processes arising from these reports; and the reports for which the institution determined not to initiate a disciplinary process.<sup>3</sup> It should be noted the reports received from Confidential Resources along with Employee's Failure to report are called out in Appendix A.

Under the Texas Education Code, Section 51.253(c), the Chief Executive Officer of the institution shall submit an annual report of the data gathered via the Title IX Coordinator's quarterly report to the institution's governing body and post on the institution's website. For the purposes of complying with the reporting requirements under Section 51.253(c), the attached written report (Appendix A, Table 1) includes all of the required reporting information for Texas Tech University, for the 2019-2020 academic year which includes statistics from January 1, 2020 to June 30, 2020.

An attached summary data report (Appendix B) with the aggregate data, based on the written report (Appendix A), has also been included. The summary data in Appendix B is categorized based on the reporting requirements under TEC, Section 51.253(c). The reports received may be applicable in multiple reporting categories, and therefore, the summary data in the categories may not add up to the totals of other categories.

*Note*: Any additional reports received by the Title IX Coordinator that do not meet the required reporting criteria in the Texas Education Code have been omitted for the compliance purposes of this specific report.<sup>4</sup>

<sup>&</sup>lt;sup>1</sup> Texas Education Code, Section 51.252

<sup>&</sup>lt;sup>2</sup> Texas Education Code, Section 51.251.

<sup>&</sup>lt;sup>3</sup> Texas Education Code, Section 51.253(a)

<sup>&</sup>lt;sup>4</sup> For example, reports made by students and all other non-employees (including incidents under TEC, Section 51.252(d)) are excluded from Appendices A and B. Additionally, if the Title IX Administrator determines that the type of incident described in a report, as alleged, does not constitute "sexual harassment," "sexual assault," "dating violence," or "stalking" as defined in the TEC, Section 51.251, the report is excluded from Appendices A and B. It is the responsibility of Title IX Administrator to assess each report received and determine whether it is properly included in this report, and if so, to correctly identify the type of incident.

## <u>Terminology</u>:

## **Alleged Conduct:**

**Dating Violence:** Physical, sexual, or verbal abuse or violence, or threat of abuse or violence, committed by an individual who is or has been in a social relationship of a romantic or intimate nature with the Complaining Party. The existence of such a relationship will be determined based on the type and length of the relationship and the frequency of interaction between the persons involved in the relationship. A casual acquaintanceship or ordinary socializing between two individuals does not constitute a romantic or intimate relationship. This definition does not include acts covered under Domestic or Family Violence.

**Domestic Violence:** Physical, sexual, or verbal abuse or violence committed by a current or former spouse or intimate partner of the Complaining Party, by an individual with whom the Complaining Party shares a child in common, by an individual with whom the Complaining Party is cohabiting (or has cohabited) with a spouse or intimate partner, by an individual similarly situated to a spouse of the Complaining Party under the Domestic or Family Violence laws of the state of Texas, or by any other individual against an adult or youth victim who is protected from that individual's acts under the Domestic or Family Violence laws of the state of Texas.

**Sexual Assault**: Sexual contact or intercourse with an individual without the individual's consent, including sexual contact or intercourse against the individual's will or in a circumstance in which the individual is incapable of consenting to the contact or intercourse. Sexual assault includes:

- Non-Consensual Sexual Contact Intentional sexual touching, however slight, with any object or part of one's body of another's private areas without consent. Sexual Contact includes: (1) intentional contact with the breast, buttock, groin, or genitals; (2) touching another with any of these body parts; (3) making another touch you or themselves with or on any of these body parts; or (4) any other intentional bodily contact in a sexual manner.
- (2) *Non-Consensual Sexual Intercourse* Sexual penetration or intercourse, however slight, with a penis, tongue, finger, or any object, and without consent. Penetration can be oral, anal, or vaginal.

Sexual Harassment: Unwelcome sex-based verbal or physical conduct that:

- (1) In the employment context, unreasonably interferes with an individual's work performance or creates an intimidating, hostile, or offensive work environment. To constitute an intimidating, hostile, or offensive working environment, the complained of conduct must be either severe, persistent, or pervasive; or
- (2) In the education context, is sufficiently severe, persistent, or pervasive that the conduct unreasonably interferes with a student's ability to participate in or benefit from educational programs or activities.

**Stalking:** A course of conduct directed at a specific person that would cause a reasonable individual to fear for the individual's safety or the safety of others or would cause that individual to suffer substantial emotional distress. A "course of conduct" means two or more acts in which an individual directly, indirectly or through third parties, by any action, method, device or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person or interferes with an individual's property. "Substantial emotional distress" means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

### **Investigation Status:**

**Report Review/Intake:** The Complaining Party and investigating office are working in conjunction to determine next steps.

Insufficient Information to Investigate: The report lacks information to investigate formally.

No Indication of Policy Violation: The report does not rise to the level of a policy violation.

**Complainant Requested Not to Investigate:** The Complaining Party requested that the institution not investigate the report. Upon initial inquiry, the institution determined that there was not a risk to the University Community.

**No Jurisdiction to Investigate:** The institution lacks jurisdiction, i.e. an alleged student of another institution or a family member not affiliated with the institution, etc.

Formal Investigation Ongoing: Formal Investigation is taking place.

**Formal Investigation Completed:** Formal Investigation is complete and the resolution process (i.e. prehearing, hearing, voluntary or informal resolution is occurring).

Voluntary Resolution Pending: The report is being resolved utilizing the voluntary resolution process.

#### **Outcome:**

Informal Resolution: The report is being or has been resolved informally.

Voluntary Resolution: The report has been resolved utilizing the voluntary resolution process.

**Responsible:** The student has been found responsible for violating Texas Tech's Title IX and Sexual Misconduct policy.

**Not Responsible:** The student has been found not responsible for violating Texas Tech's Title IX Policy and Sexual Misconduct.

Policy Violation: The employee has violated Texas Tech's Title IX and Sexual Misconduct policy.

No Policy Violation: The employee did not violate Texas Tech's Title IX and Sexual Misconduct policy.

**No 40.03 Violation:** The employee did not violate Texas Tech's Sexual Harassment, Sexual Assault, Sexual Misconduct and Title IX Policy.

**Other Policy Violation:** The employee did not violate Texas Tech's Title IX and Sexual Misconduct policy.

## Appendix A CEO Annual Report 2020

# **Reports Received by Employees under TEC, Section 51.252:**

Report Number	Date Received	Alleged Conduct Reported by Employees Under TEC § 51.252	Investigation Status	Disciplinary Status
20192592	1/8/2020	Sexual Harassment	Complainant Requested Not to Investigate	
20192615	1/9/2020	Dating Violence	Insufficient Information to Investigate;	
			Student did not respond to multiple communication attempts by OSR&R	
20192667	1/13/2020	Sexual Assault (Non Consensual Sexual Intercourse)	Insufficient Information to Investigate;	
			Student did not respond to multiple communication attempts by OSR&R	
20192673	1/14/2020	Sexual Assault (Non Consensual Sexual Contact)	No Jurisdiction to Investigate	
20192688	1/15/2020	Dating Violence	Complainant Requested Not to Investigate	
20192693	1/15/2020	Sexual Assault (Non Consensual Sexual Intercourse)	Insufficient Information to Investigate;	
			Student did not respond to multiple communication attempts by OSR&R	
20192810	1/22/2020	Stalking	No Jurisdiction to Investigate	
20192813	1/24/2020	Sexual Assault (Non Consensual Sexual Contact)	Formal Investigation Completed	Voluntary Resolution
20192817	1/24/2020	Sexual Harassment	Complainant Requested Not to Investigate	
20192818	1/24/2020	Sexual Assault (Non Consensual Sexual Intercourse)	Insufficient Information to Investigate; Student did not respond to multiple	

			communication	
			attempts by OSR&R	
20192823	1/24/2020	Sexual Assault (Non	Insufficient Information	
20172023	1/27/2020	Consensual Sexual Contact)	to Investigate;	
		Contact)	Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20192842	2/2/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual Intercourse)	Investigate	
		Sexual Assault (Non Consensual Sexual Contact)		
20192868	1/27/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual Intercourse)	Investigate	
		Domestic Violence		
20192933	1/26/2020	Sexual Harassment	Insufficient Information to Investigate;	
			Student did not norm and	
			Student did not respond to multiple	
			communication	
			attempts by OSR&R	
20192934	1/27/2020	Sexual Harassment	No Jurisdiction to Investigate	
20192935	1/27/2020	Sexual Assault (Non	Complainant Requested	
		Consensual Sexual Contact)	Not to Investigate	
20192945	1/29/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual	Investigate	
20102045	1/20/2020	Intercourse)		
20192946	1/29/2020	Stalking	Insufficient Information	
Student Employee			to Investigate;	
зничені втрібуев			Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20192947	1/30/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual Intercourse)	to Investigate;	
			Student did not respond	
			to multiple	
			communication	
20192948	1/31/2020	Dating Violence	attempts by OSR&R Insufficient Information	
20172740	1/31/2020	Dating violence	to Investigate;	
Student Employee			to investigate,	
1 - 2			Complainant Unknown	
			to Institution	

20192955	1/31/2020	Sexual Harassment	Complainant Requested	
			Not to Investigate	
Student Employee	1/21/2020			
20192957	1/31/2020	Domestic Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20192990	2/3/2020	Sexual Harassment	Complainant Requested	
			Not to Investigate	
20192999	2/3/2020	Stalking	Insufficient Information	
Student Employee		6	to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193000	2/3/2020	Sexual Assault (Non	Complainant Requested	
		Consensual Sexual	Not to Investigate	
		Contact)		
20193012	2/3/2020	Dating Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193013	2/4/2020	Sexual Assault (Non	Insufficient Information	
20195015	2/ 1/2020	Consensual Sexual	to Investigate;	
		Intercourse)	8	
		,	Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193027	2/4/2020	Sexual Harassment	Insufficient Information	
~			to Investigate;	
Student Employee				
			Complainant did not	
			respond to multiple	
			communication attempts by OSR&R	
20193032	2/6/2020	Verbal Confrontation	No Indication of Policy	
20175052	2/0/2020		Violation	
20193033	2/6/2020	Sexual Assault (Non	Complainant Requested	
_0170000	2. 0. 2020	Consensual Sexual	Not to Investigate	
		Contact)	0	
20193053	2/6/2020	Dating Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
	<u> </u>		attempts by OSR&R	

20193088	2/9/2020	Sexual Harassment	Insufficient Information	
Student Employee			to Investigate;	
1 5			Complainant did not	
			respond to multiple	
			communication	
20193089	2/10/2020	Servel Asservet (New	attempts by OSR&R	Valuetary
20193089	2/10/2020	Sexual Assault (Non Consensual Sexual	Formal Investigation Completed	Voluntary Resolution
		Intercourse)	Completed	Resolution
20193101	2/10/2020	Sexual Assault (Non	Formal Investigation	Voluntary
		Consensual Sexual	Completed	Resolution
		Intercourse)		
		Sexual Harassment		
20193118	2/11/2020	Sexual Assault (Non	Insufficient Information	
20195110	2/11/2020	Consensual Sexual	to Investigate;	
		Intercourse)		
			Complainant did not	
			respond to multiple	
			communication	
20193132	2/12/2020	C	attempts by OSR&R	
20195152	2/12/2020	Sexual Assault (Non Consensual Sexual	Complainant Requested Not to Investigate	
		Intercourse)	Not to investigate	
		Stalking		
20193142	2/10/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual	to Investigate;	
		Contact)	Complete at did not	
			Complainant did not respond to multiple	
			communication	
			attempts by OSR&R	
20193143	2/7/2020	Sexual Harassment	Complainant Requested	
			Not to Investigate	
20193148	2/12/2020	Dating Violence	Insufficient Information	
Studant Englance			to Investigate;	
Student Employee			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193166	2/13/2020	Stalking	Insufficient Information	
		Sexual Harassment	to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193193	2/13/2020	Sexual Assault (Non	Complainant Requested	
		Consensual Sexual	Not to Investigate	
20102200	2/12/2020	Intercourse)		
20193200	2/13/2020	Stalking	Complainant Requested	
			Not to Investigate	

20193201	2/13/2020	Correct A consult (No. 1	Insufficient Information	
20193201	2/13/2020	Sexual Assault (Non Consensual Sexual		
			to Investigate;	
		Intercourse)		
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193205	2/14/2020	Stalking	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193206	2/14/2020	Sexual Assault (Non	Insufficient Information	
20175200	2/14/2020	Consensual Sexual	to Investigate;	
			to investigate,	
		Intercourse)		
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20198213	2/15/2020	Dating Violence	Insufficient Information	
			to Investigate;	
Student Employee				
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193215	2/15/2020	Stalking	Complainant Requested	
20193213	2/15/2020	Starking		
			Not to Investigate	
Student Employee 20193246	2/17/2020	Sexual Assault (Non	No Jurisdiction to	
20193240	2/1//2020	Consensual Sexual		
			Investigate	
		Intercourse)		
20193255	2/7/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual	to Investigate;	
		Contact)		
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193261	2/4/2020	Sexual Assault (Non	Insufficient Information	
	2, 1, 2020	Consensual Sexual	to Investigate;	
		Intercourse)	to mvestigute,	
		mercourse	Complainent unknown	
			Complainant unknown to Institution	
20102267	2/17/2020			
20193267	2/17/2020	Sexual Harassment	Complainant Requested	
			Not to Investigate	
20193268	2/17/2020	Domestic Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
			anompto by Obrack	

20193287	2/18/2020	Dating Violence	Insufficient Information	
Student Employee			to Investigate;	
1 2			Complainant did not	
			respond to multiple	
			communication	
20102200	2/10/2020		attempts by OSR&R	
20193300	2/18/2020	Domestic Violence	No Jurisdiction to Investigate	
20193301	2/18/2020	Domestic Violence	Insufficient Information	
			to Investigate;	
Student Employee			_	
			Complainant did not	
			respond to multiple	
			communication	
20102202	2/19/2020		attempts by OSR&R	
20193302	2/18/2020	Sexual Assault (Non Consensual Sexual	No Jurisdiction to	
		Intercourse)	Investigate	
20193309	2/17/2020	Sexual Assault (Non	Complainant Requested	
		Consensual Sexual	Not to Investigate	
Student Employee		Intercourse)	0	
20193310	2/19/2020	Domestic Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193326	2/19/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193332	2/20/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual Contact)	Investigate	
20193349	2/19/2020	Stalking	Insufficient Information	
		C C	to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193371	2/19/2020	Sexual Assault (Non	Complainant Requested	
		Consensual Sexual	Not to Investigate	
20102225	0/15/2022	Intercourse)		
20193385	2/15/2020	Domestic Violence	No Jurisdiction to Investigate	
001-2020	2/17/2020	Domestic Violence	No jurisdiction to	
001 2020	2,1,,2020		investigate	
Ethics Point 303	2/19/2020	Sexual Harassment	Formal Investigation	No Finding
			completed	, e

				No evidence to
				support the allegation
20193388	2/21/2020	Sexual Assault (Non	Insufficient Information	anegation
		Consensual Sexual Intercourse)	to Investigate;	
			Complainant did not	
			respond to multiple communication	
			attempts by OSR&R	
20193393	2/24/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual Intercourse)	to Investigate;	
			Complainant did not	
			respond to multiple communication	
			attempts by OSR&R	
20193398	2/24/2020	Dating Violence	Insufficient Information	
		Stalking	to Investigate;	
Student Employee			Complainant did not	
			respond to multiple communication	
			attempts by OSR&R	
20193399	2/21/2020	"Uncomfortable	No Indication of a	
		Behavior"	Policy Violation	
20193408	2/25/2020	Sexual Assault (Non Consensual Sexual	Insufficient Information	
		Intercourse)	to Investigate;	
			Complainant did not	
			respond to multiple communication	
			attempts by OSR&R	
20193428	2/25/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual Intercourse)	to Investigate;	
		,	Complainant did not	
			respond to multiple	
			communication attempts by OSR&R	
20193440	2/24/2020	Stalking	Insufficient Information	
		C C	to Investigate;	
			Complainant did not	
			respond to multiple communication	
			attempts by OSR&R	
20193473	2/26/2020	"Situation occurred"	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple communication	
			attempts by OSR&R	

20193485         2/27/2020         Dating Violence         Complainant Requested Not to Investigate           20193486         2/27/2020         Dating Violence         Insufficient Information to Investigate;           20193486         2/27/2020         Dating Violence         Insufficient Information to Investigate;           20193488         2/28/2020         Dating Violence         No Jurisdiction to Investigate           20193491         3/1/2020         Dating Violence         No Jurisdiction to Investigate           20193519         3/1/2020         Domestic Violence         No Jurisdiction to Investigate           20193525         3/2/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193556         3/3/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193581         3/4/2020         Sexual Harassment         Complainant equested Not to Investigate           20193581         3/4/2020         Sexual Harassment         Complainant fequested           20193582         3/4/2020         Sexual Harassment         Complainant di not respond to multiple communication attempts by OSR&R           20193583         3/4/2020         Dating Violence         Insufficient Information to Investigate;	20193475	2/27/2020	Dating Violence	Insufficient Information
Not to Investigate           20193486         2/27/2020         Dating Violence         Insufficient Information to Investigate;           20193486         2/28/2020         Dating Violence         Complainant did not respond to multiple communication attempts by OSR&R           20193488         2/28/2020         Dating Violence         No Jurisdiction to Investigate           20193491         3/1/2020         Dating Violence         No Jurisdiction to Investigate           20193519         3/1/2020         Domestic Violence         No Jurisdiction to Investigate           20193525         3/2/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Complainant did not respond to multiple communication attempts by OSR&R           20193556         3/3/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           002-2020         3/3/2020         Sexual Harassment         Complainant did not respond to multiple communication attempts by OSR&R           002-2020         3/3/2020         Sexual Harassment         Complainant requested Not to Investigate;           20193581         3/4/2020         Sexual Harassment         Complainant did not respond to multiple communication attempts by OSR&R           20193582         3/4/2020         Dating Violence         Insufficient Information to Investigate;           20193583 </td <td></td> <td></td> <td></td> <td>to Investigate</td>				to Investigate
20193486       2/27/2020       Dating Violence       Insufficient Information to Investigate;         20193488       2/28/2020       Dating Violence       No Jurisdiction to Investigate         20193488       2/28/2020       Dating Violence       No Jurisdiction to Investigate         20193491       3/1/2020       Dating Violence       No Jurisdiction to Investigate         20193519       3/1/2020       Domestic Violence       No Jurisdiction to Investigate         20193525       3/2/2020       Sexual Assault (Non Consensual Sexual Intercourse)       Insufficient Information to Investigate;         20193556       3/3/2020       Sexual Assault (Non Consensual Sexual Intercourse)       Insufficient Information to Investigate;         20193556       3/3/2020       Sexual Harassment       Complainant did not respond to multiple communication attempts by OSR&R         20193581       3/4/2020       Sexual Harassment       Complainant Requested Not to Investigate;         20193582       3/4/2020       Sexual Harassment       Complainant did not respond to multiple communication attempts by OSR&R         20193582       3/4/2020       Dating Violence       Insufficient Information to Investigate;         20193583       3/4/2020       Sexual Harassment       Complainant did not respond to multiple communication attempts by OSR&R	20193485	2/27/2020	Dating Violence	
20193488       2/28/2020       Dating Violence       No Jurisdiction to Investigate         20193488       2/28/2020       Dating Violence       No Jurisdiction to Investigate         20193491       3/1/2020       Dating Violence       Complainant Requested Not to Investigate         20193519       3/1/2020       Domestic Violence       No Jurisdiction to Investigate         20193525       3/2/2020       Sexual Assault (Non Consensual Sexual Intercourse)       Insufficient Information to Investigate;         20193556       3/3/2020       Sexual Assault (Non Consensual Sexual Intercourse)       Insufficient Information to Investigate;         20193556       3/3/2020       Sexual Assault (Non Consensual Sexual Intercourse)       Insufficient Information to Investigate;         20193581       3/4/2020       Sexual Harassment       Complainant did not respond to multiple communication attempts by OSR&R         20193582       3/4/2020       Sexual Harassment       Complainant Requested Not to Investigate;         20193582       3/4/2020       Dating Violence       Insufficient Information to Investigate;         20193583       3/4/2020       Sexual Harassment       Complainant did not respond to multiple communication attempts by OSR&R         20193583       3/4/2020       Sexual Harassment       Insufficient Information to Investigate;				
20193488         2/28/2020         Dating Violence         Complainant did not respond to multiple communication attempts by OSR&R           20193491         3/1/2020         Dating Violence         No Jurisdiction to Investigate           20193519         3/1/2020         Domestic Violence         No Jurisdiction to Investigate           20193525         3/2/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193556         3/3/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193556         3/3/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193556         3/3/2020         Sexual Harassment         Complainant did not respond to multiple communication attempts by OSR&R           20193581         3/4/2020         Sexual Harassment         Complainant Requested Not to Investigate           20193582         3/4/2020         Dating Violence         Insufficient Information to Investigate;           20193583         3/4/2020         Sexual Harassment         Complainant did not respond to multiple communication attempts by OSR&R           20193583         3/4/2020         Dating Violence         Insufficient Information to Investigate;	20193486	2/27/2020	Dating Violence	Insufficient Information
20193488         2/28/2020         Dating Violence         respond to multiple communication attempts by OSR&R           20193491 Student Employee         3/1/2020         Dating Violence         No Jurisdiction to Investigate           20193519         3/1/2020         Domestic Violence         No Jurisdiction to Investigate           20193525         3/2/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193556         3/3/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193556         3/3/2020         Sexual Assault (Non Consensual Sexual Intercourse)         Insufficient Information to Investigate;           20193581         3/4/2020         Sexual Harassment         Complainant did not respond to multiple communication attempts by OSR&R           20193581         3/4/2020         Sexual Harassment         Insufficient Information to Investigate;           20193582         3/4/2020         Dating Violence         Insufficient Information to Investigate;           20193583         3/4/2020         Dating Violence         Insufficient Information to Investigate;           20193583         3/4/2020         Sexual Harassment         Complainant did not respond to multiple communication attempts by OSR&R				to Investigate;
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20193583     3/4/2020     Sexual Harassment     Complainant did not respond to multiple communication attempts by OSR&R	20193302	5/ 1/2020	Sume violence	
20193583     3/4/2020     Sexual Harassment     Complainant Requested				to involugate,
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20193583     3/4/2020     Sexual Harassment     Complainant Requested				
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Student Employee	Student Employee			1.or to myesugate
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			respond to multiple	
			communication	
			attempts by OSR&R	
20193586	3/4/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual	Investigate	
		Intercourse)		
20193611	3/4/2020	Stalking	Insufficient Information	
		8	to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193645	3/9/2020	Sexual Harassment	Formal Investigation	Policy Violation
20193043	5/9/2020	Sexual Harassment	Completed	roncy violation
20193654	3/3/2020	Dating Violence	Insufficient Information	
20193034	5/5/2020	Dating violence		
			to Investigate;	
			Commission at did not	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193663	3/9/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193665	3/9/2020	Dating Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193690	3/10/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual	to Investigate;	
		Intercourse)		
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193699	3/10/2020	Sexual Assault (Non	No Jurisdiction to	
_01/00//	0.10.2020	Consensual Sexual	Investigate	
		Intercourse)	in voorgate	
20193700	3/9/2020	Stalking	No Jurisdiction to	
20175700	51712020		Investigate	
20193704	3/10/2020	Reported Unknow	Investigate Insufficient Information	
20173/04	5/10/2020	Incident		
		meldent	to Investigate;	
			Complainant unknown	
		1	to the institution	

20193711	3/10/2020	Dating Violence	Complainant Requested	
20175711	5/10/2020	Dating violence	Not to Investigate	
20193736	3/11/2020	Sexual Harassment	Complainant Requested	
			Not to Investigate	
20193755	3/11/2020	Dating Violence	Insufficient Information	
		6	to Investigate;	
			8	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193807	3/4/2020	Stalking	No Jurisdiction to	
		6	Investigate	
20193828	3/13/2020	Dating Violence	Insufficient Information	
		Stalking	to Investigate;	
		6	8	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20193844	3/11/2020	Dating Violence	Insufficient Information	
		6	to Investigate;	
			8	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20194035	3/25/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20194098	3/26/2020	Sexual Assault (Non	Formal Investigation	Policy Violation
		Consensual Sexual	Complete	
		Contact)		
20194159	3/27/2020	Dating Violence	Formal Investigation	Voluntary
			Complete	Resolution
20194575	2/24/2020	Dating Violence	Insufficient Information	
			to Investigate;	
			Complainant unknown	
			to the institution	
20194155	4/1/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual	Investigate	
		Intercourse)		
20194165	4/1/2020	Dating Violence	Complainant Requested	
			Not to Investigate	
20194167	4/2/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Student did not respond	
			to multiple	

			communication	
			attempts by OSR&R	
20194229	4/6/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual Intercourse)	to Investigate;	
		,	Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20194231	4/6/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual Contact)	to Investigate;	
			Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20194244	4/7/2020	Sexual Assault (Non	No Jurisdiction to	
		Consensual Sexual Intercourse)	Investigate	
20194387	4/13/2020	Stalking	Complainant Requested Not to Investigate	
20194393	4/13/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20194397	4/13/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20194432	4/152020	Dating Violence	Insufficient Information	
			to Investigate;	
			Complainant Unknown to the Institution	
20194583	4/16/2020	Sexual Assault (Non	Insufficient Information	
2017 1000	11 10/2020	Consensual Sexual Contact)	to Investigate;	
			Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20194675	4/20/2020	Sexual Assault (Non	Complainant Requested	
		Consensual Sexual	Not to Investigate	
		Intercourse)		
20194678	4/20/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual	to Investigate;	
		Intercourse)		

			Student did not respond	
			to multiple communication	
20194696	4/21/2020	Sexual Assault (Non	attempts by OSR&R Insufficient Information	
20194090	4/21/2020	Consensual Sexual	to Investigate;	
		Contact)	to investigate,	
		Contact)	Student did not respond	
			to multiple	
			communication	
			attempts by OSR&R	
20194786	4/27/2020	Dating Violence	Insufficient Information	
			to Investigate;	
			Student did not respond	
			to multiple	
			communication	
20104006	4/27/2020		attempts by OSR&R	
20194806	4/27/2020	Domestic Violence	No Jurisdiction to	
2010/017	4/28/2020	"D: (10 + "	Investigate No Indication of a	
20194817	4/28/2020	"Disrespectful Contact"		
20194851	4/30/2020	Dating Vialance	Policy Violation Insufficient Information	
20194631	4/30/2020	Dating Violence	to Investigate;	
			to investigate,	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20194852	4/30/2020	Dating Violence	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
20104052	4/20/2020		attempts by OSR&R	
20194853	4/30/2020	Domestic Violence	No Jurisdiction to	
20194874	5/4/2020	Domestic Violence	Investigate Complainant Requested	
20194674	5/4/2020	Domestic violence	Not to Investigate	
20194879	5/5/2020	Sexual Assault (Non	Complainant Requested	
20194079	5/5/2020	Consensual Sexual	Not to Investigate	
		Contact)	riot to mitestigate	
20194902	5/7/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual	to Investigate;	
		Intercourse)		
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20194931	5/12/2020	"Bad Breakup"	No Indication of a	
0.10.100		Q. 11.1	Policy Violation	
20194932	5/12/2020	Stalking	Insufficient	
			information to	
		1	investigate and	

		1		
			complainant declined	
			to provide additional	
			information	
20194957	5/13/2020	"Abuse on Campus"	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20195300	5/20/2020	Sexual Assault (Non	Insufficient Information	
		Consensual Sexual	to Investigate;	
		Intercourse)	~	
			Complainant did not	
			respond to multiple	
			communication	
20105245	5/22/2020	Sexual Harassment	attempts by OSR&R	
20195345	5/22/2020	Sexual Harassment	No Jurisdiction to	
		Stallin a	Investigate	
20195355	5/27/2020	Stalking Domestic Violence	Complement Deguasted	
20193333	5/2//2020	Domestic violence	Complainant Requested Not to Investigate	
20195403	6/4/2020	Sexual Assault (Non	Insufficient Information	
20193403	0/4/2020	Consensual Sexual	to Investigate;	
		Contact)	to investigate,	
		Contact)	Complainant Unknown	
			to the Institution.	
20195454	6/10/2020	Sexual Assault (Non	Insufficient Information	
20190101	0/10/2020	Consensual Sexual	to Investigate;	
		Intercourse)	to mrestigate,	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	
20195544	6/22/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Complainant stopped	
			responding to multiple	
			communication	
			attempts by OSR&R	
20195545	6/22/2020	Sexual Harassment	Insufficient Information	
			to Investigate;	
			Complainant did not	
			respond to multiple	
			communication	
			attempts by OSR&R	

## **Reports Received via Confidential Resources:**

Date	Alleged Conduct	Location
Received	Reported by	
	<b>Employees Under</b>	
	TEC § 51.252(c)	
1/7/2020	Sexual Harassment	Off Campus
1/24/2020	Sexual Assault	Not Disclosed
1/24/2020	Sexual Assault	Not Disclosed
1/28/2020	Sexual Assault	Not Disclosed
1/31/2020	Sexual Assault	Not Disclosed
2/14/2020	Sexual Assault	Off Campus
2/20/2020	Sexual Assault	Off Campus
2/24/2020	Sexual Assault	Residence Hall
2/26/2020	Dating Violence	Residence Hall
2/29/2020	Sexual Assault	Not Disclosed
3/6/2020	Sexual Assault	Not Disclosed
3/10/2020	Sexual Assault	Off Campus
3/10/2020	Sexual Assault	Off Campus
3/13/2020	Sexual Assault	Off Campus
3/23/2020	Sexual Assault	Not Disclosed
3/24/2020	Sexual Assault	Not Disclosed
3/27/2020	Sexual Assault	Not Disclosed

## Alleged Conduct Under TEC, Section 51.255(a):

Date Received	Alleged Conduct Reported by Employees Under TEC § 51.255(a)	Investigation Status	Disciplinary Status
None			

## Appendix B Summary Data Report 2019-2020 Academic Year

Texas Education Code, Section 51.252			
Numb	er of reports received under Section 51.252 <sup>5</sup>	139	
a.	Received from employees under 51.252		
b.	Received from Confidential Resources under	17	
	51.252		

<sup>&</sup>lt;sup>5</sup> For example, reports made by students and all other non-employees (including incidents under TEC, Section 51.252(d)) are excluded from Appendices A and B. Additionally, if the Title IX Coordinator determines that the type of incident described in a report, as alleged, does not constitute "sexual harassment," "sexual assault," "dating violence," or "stalking" as defined in the TEC, Section 51.251, the report is excluded from Appendices A and B. It is the responsibility of the Title IX Coordinator assess each report received and determine whether it is properly included in this report, and if so, to correctly identify the type of incident.

Number of investigations conducted under Section		7
51.252		
Dispo	osition <sup>6</sup> of any disciplinary processes for	
repor	ts under Section 51.252:	
a.	Disciplinary Process Pending	0
b.	Concluding, No Finding or Policy Violation	5
с.	Concluded, with Employee Disciplinary	2
	Sanction	0
d.	Concluded, with Student Disciplinary Sanction	· 7
e.	SUBTOTAL	,
Number of reports under Section 51.252 for which		132
the institution determined not to initiate a		
discip		

Texas Education Code, Section 51.255			
Number			
of an em	None		
false rep	ort to the institution under Section		
51.255(a	51.255(a)		
Any			
repo			
Sect			
a.	Employee termination	None	
b.	Institutional intent to termination, in lieu of	None	
	employee resignation		

<sup>&</sup>lt;sup>6</sup> "Disposition" means "final result under the institution's disciplinary process" as defined in the Texas Higher Education Coordinating Board's (THECB) rules for TEC, Section 51.259 (See 19 Tex. Admin. Code Section 3.6(e) (2019)); therefore, pending disciplinary processes will not be listed until the final result is rendered.

<sup>&</sup>lt;sup>7</sup> The institution may have determined not to initiate a disciplinary process. The reasons for not initiating a discipline process can include, but is not limited to: the respondent was not affiliated with Texas Tech University; the complainant requested the institution not investigate the report; investigation is ongoing; or investigation was completed with a preponderance of evidence not met.