# An Approximation of Action Theories of AL and its Application to Conformant Planning

Tran Cao Son<sup>1</sup> Phan Huy Tu<sup>1</sup> Michael Gelfond<sup>2</sup> A. Ricardo Morales<sup>2</sup>

- Department of Computer Science
  New Mexico State University
  Las Cruces, NM 88003, USA
  {tson | tphan}@cs.nmsu.edu
- Department of Computer Science
  Texas Tech University
  Lubbock, TX 79409, USA
  {mgelfond | ricardo}@cs.ttu.edu

**Abstract.** In this paper we generalize the notion of approximation of action theories introduced in [13, 26]. We introduce a logic programming based method for constructing approximation of action theories of  $\mathcal{AL}$  and prove its soundness. We describe an approximation based conformant planner and compare its performance with other state-of-the-art conformant planners.

#### 1 Introduction and Motivation

Static causal laws (a.k.a. *state constraints* or *axioms*) constitute an important part of every dynamic domain. Unlike an effect of an action, a static causal law represents the relationship between fluents. For example,

- (a) In the travel domain, the static causal law "one person cannot be at A if he is at B" states that at(B) is false if at(A) is true;
- (b) In the block world domain, the static causal law "block A is above block B if A is on B" says that above(A, B) is true if on(A, B) is true;

Static causal laws can cause actions to have *indirect effects*. For example, the action of putting the block A atop the block B, denoted by put(A,B), causes on(A,B) to be true. The static causal law (b) implies that above(A,B) is also true, i.e., above(A,B) is an indirect effect of put(A,B). The problem of determining such indirect effects is known as the *ramification problem* in reasoning about action and change (RAC).

In the last decade, several solutions to the ramification problem have been proposed. Each of these solutions extends a framework for RAC to allow static causal laws [2, 15, 17, 18, 20, 22, 23]. While being intensively studied by the RAC's research community, static causal laws have rarely been *directly* considered by the planning community. Although the original specification of the Planning Domain Description Language (PDDL) – a language frequently used for the specification of planning problems by the planning community – includes axioms (or static causal laws in our notation) [14], most of the planning domains used in the recent planning competitions [1, 11, 19] do not include axioms. The main reason for this practice is that it is widely believed that axioms

can be compiled into actions' effect propositions; thus, making the representation of and reasoning about axioms become unnecessary in planning. This is partly true due to the fact that PDDL only allows non-recursive axioms. In a recent paper [27], it is proved that adding axioms to the planning language not only improves the readability and elegance of the presentation but also increases the expressiveness of the language. It is also shown that the addition of a component to handle axioms in a planner can indeed improve the performance of the planner.

The main difficulty in planning in domains with static causal laws lies directly in defining and computing the successor states. In general, domains with static causal laws are nondeterministic; for example, in a theory with a single action a and three fluents f, g, and h with the property that execution of a causes f to become true and the two static causal laws

- (i) if f is true and g is false then h must be true; and,
- (ii) if f is true and h is false then g must be true.

Intuitively, the execution of a in a state where f, h, and g are false will yield two possible states. In one state, f and g are true and h is false. In the other one, f and h are true and g is false. This nondeterminism leads to the fact that the execution of an action sequence can generate different trajectories. Thus, exact planning h is similar to conformant planning, an approach to dealing with incomplete information in planning. It is also worth noticing that the complexity of conformant planning ( $\mathcal{\Sigma}_2^P$ ) is much higher than planning in deterministic domains (NP-complete) [3, 28]. It is also pointed out in [3] that approximations of the transition function between states can help reduce the complexity of the planning problem.

In this paper, we further investigate the notion of approximations of action theories introduced in [13,26]. We define an approximation for action theories of  $\mathcal{AL}$ . The key difference between the newly developed approximation and those proposed in [13,26] is that it is applicable for action descriptions with arbitrary static causal laws: while the approximation proposed in [13] is only for specific type of state constraints, the approximations in [26] are defined for action descriptions with sensing actions but without state constraints. We use a logic program in defining the approximation.

The paper is organized as follows. In the next section, we review the basics of the language  $\mathcal{AL}$ . Afterward, we define an approximation of  $\mathcal{AL}$  action theories. We then proceed with the description of a logic programming based conformant planner which makes use of the approximation. We then compare the performance of our planner with some conformant planners which are closely related to our planner.

## **2** Syntax and semantics of $\mathcal{AL}$

We consider domains which can be represented by a transition diagram whose nodes are possible states of the domain and whose arcs are actions that take the domain from one state to another. Paths of the diagram correspond to possible trajectories of the system.

<sup>&</sup>lt;sup>1</sup> By exact planning we mean the problem of finding a polynomial-bounded length sequence of actions that can achieve the goal at the end of every possible trajectory generated by the action sequence.

We limit our attention to transition diagrams which can be defined by action descriptions of the action language  $\mathcal{AL}$  from [4]. The signature  $\Sigma$  of an action description of  $\mathcal{AL}$  consists of two disjoint, non-empty sets of symbols: the set  $\mathbf{F}$  of fluents, and the set  $\mathbf{A}$  of *elementary actions*. By *action* we mean a set a of elementary actions. Informally we interpret an execution of a as a simultaneous execution of its components. For simplicity we identify an elementary action e with  $\{e\}$ . By *fluent literals* we mean fluents and their negations. By  $\bar{l}$  we denote the fluent literal complementary to l. A set S of fluent literals is called *complete* if, for any  $f \in \mathbf{F}$ ,  $f \in S$  or  $\neg f \in S$ . An action description  $\mathcal{D}$  of  $\mathcal{AL}$  is a collection of statements of the form:

$$e$$
 causes  $l$  if  $p$   $(1)$ 

$$l ext{ if } p$$
 (2)

**impossible** 
$$a$$
 **if**  $p$  (3)

where e is an elementary action, a is an action, l is a fluent literal, and p is a set of fluent literals from the signature  $\mathcal{D}$  of  $\mathcal{D}$ . The set p is often referred to as the *precondition* of the corresponding statement. If p is empty the "if" part of the statement can be omitted. Statement (1), called a *dynamic causal law*, says that, if e is executed in a state satisfying p then l will hold in any resulting state. Statement (2), often referred to as a *static causal law*, says that any state satisfying p must satisfy l. Statement (3) is an *impossibility condition*. It says that action a cannot be performed in a state satisfying p. We next define the transition diagram,  $T(\mathcal{D})$  specified by an action description  $\mathcal{D}$  of  $\mathcal{AL}$ .

A set of literals S is *closed* under a static causal law (2) if  $l \in S$  whenever  $p \subseteq S$ . By Cn(S), we denote the smallest set of literals that contains S and is closed under the static causal laws of  $\mathcal{D}$ . A *state*  $\sigma$  of  $T(\mathcal{D})$  is a complete, consistent set of literals closed under the static causal laws of  $\mathcal{D}$ . An action b is said to be *prohibited* in  $\sigma$  if  $\mathcal{D}$  contains an impossibility condition (3) such that  $p \subseteq \sigma$  and  $a \subseteq b$ .  $E(a, \sigma)$  stands for the set of all fluent literals l for which there is a causal law (1) in  $\mathcal{D}$  such that  $p \subseteq \sigma$  and  $e \in a$ . Elements of  $E(a, \sigma)$  are called *direct effects* of the execution of a in  $\sigma$ .

**Definition 1** ([21]). For an elementary action e and two states  $\sigma_1$  and  $\sigma_2$ , a transition  $\langle \sigma_1, e, \sigma_2 \rangle \in T(\mathcal{D})$  iff e is not prohibited in  $\sigma_1$  and

$$\sigma_2 = Cn(E(e, \sigma_1) \cup (\sigma_1 \cap \sigma_2)) \tag{4}$$

For an action a and two states  $\sigma_1$  and  $\sigma_2$ , if a is not prohibited in  $\sigma_1$ , we say that  $\langle \sigma_1, a, \sigma_2 \rangle \in T(\mathcal{D})$  if  $\langle \sigma_1, e, \sigma_2 \rangle \in T(\mathcal{D})$  for every  $e \in a$ . An alternate sequence of states and actions,  $M = \langle \sigma_0, a_0, \sigma_1, \ldots, a_{n-1}, \sigma_n \rangle$ , is a *path* in a transition diagram  $T(\mathcal{D})$  if  $\langle \sigma_i, a_i, \sigma_{i+1} \rangle \in T(\mathcal{D})$  for  $0 \leq i < n$ . M is called a *model* of the chain of events  $\alpha = \langle a_0, \ldots, a_{n-1} \rangle$ ;  $\sigma_0$  (resp.  $\sigma_n$ ) is referred to as the *initial state* (resp. *final state*) of M; M entails a set of fluent literals s, written as  $M \models s$ , if  $s \subseteq \sigma_n$ . We sometime write  $\langle \sigma_0, \alpha, \sigma_n \rangle \in T(\mathcal{D})$  to denote that there exists a model of  $\alpha$  whose initial state and final state is  $\sigma_0$  and  $\sigma_n$ , respectively. An action description  $\mathcal{D}$  is called *deterministic* if for any state  $\sigma_1$  and action a there is at most one successor state  $\sigma_2$  such that  $\langle \sigma_1, a, \sigma_2 \rangle \in T(\mathcal{D})$ . Note that if  $\mathcal{D}$  is deterministic there can be at most one model for  $\alpha$  given the initial state  $\sigma_0$  and final state  $\sigma_n$ . We denote this model by  $\sigma_n = \alpha(\sigma_0)$ . Notice that

in the presence of static laws, action theories can be nondeterministic. As an example, the second theory in the introduction can be described by the action description  $\mathcal{D}_0$  consisting of the following statements:

$$\mathcal{D}_0 = \begin{cases} a \text{ causes } f \\ g \text{ if } f, \neg h \\ h \text{ if } f, \neg g \end{cases}$$

It is not difficult to see that the transition diagram of  $\mathcal{D}_0$  has the transitions  $\langle \{\neg f, \neg h, \neg g\}, a, \{f, h, \neg g\} \rangle$  and  $\langle \{\neg f, \neg h, \neg g\}, a, \{f, g, \neg h\} \rangle$ , and hence,  $\mathcal{D}_0$  is non-deterministic.

An elementary action e is *executable* in state  $\sigma_1$  if there is a state  $\sigma_2$  such that  $\langle \sigma_1, e, \sigma_2 \rangle \in T(\mathcal{D})$ ; a chain of events  $\alpha = \langle a_1, \dots, a_{n-1} \rangle$  is executable in a state  $\sigma$  if there exists a path  $\langle \sigma, \alpha, \sigma' \rangle$  in  $T(\mathcal{D})$  for some  $\sigma'$ ;  $\mathcal{D}$  is called *consistent* if for any state  $\sigma_1$  and elementary action e which is not prohibited in  $\sigma_1$  there exists at least one successor state  $\sigma_2$  such that  $\langle \sigma_1, e, \sigma_2 \rangle \in T(\mathcal{D})$ .

# **3** Approximating Action Theories of $\mathcal{AL}$

Normally an agent does not have complete information about its current state. Instead its knowledge is limited to the current *partial state* — a consistent collection of fluent literals closed under the static causal laws of the agent's action description  $\mathcal{D}$ . In what follows partial states and states are denoted by (possibly indexed) letters s and  $\sigma$  respectively.

A state  $\sigma$  containing a partial state s is called a *completion* of s. By comp(s) we denote the set of all completions of s. An action a is safe in s if it is executable in every completion of s. A chain of events  $\alpha = \langle a_0, \ldots, a_{n-1} \rangle$  is safe in s if (i)  $a_0$  is safe in s; and (ii) for every state  $\sigma'$  such that  $\langle \sigma, a_0, \sigma' \rangle \in T(\mathcal{D})$  for some  $\sigma \in comp(s)$ ,  $\langle a_1, \ldots, a_{n-1} \rangle$  is safe in  $\sigma'$ .

For many of its reasoning tasks the agent may need to know the effects of its actions which are determined by the fluents from s (as opposed to the actual completion of s). In [26] the authors suggest to model such knowledge by a transition function which approximates the transition diagram  $T(\mathcal{D})$  for deterministic action theories with sensing actions. We will next generalize this notion to action theories in  $\mathcal{AL}$ . Even though approximations can be non-deterministic, in this paper we will be interested only in deterministic approximations.

#### **Definition 2** (Approximation). $T'(\mathcal{D})$ is an *approximation* of $\mathcal{D}$ if

- 1. States of  $T'(\mathcal{D})$  are partial states of  $T(\mathcal{D})$ .
- 2. If  $\langle s, e, s' \rangle \in T'(\mathcal{D})$  then for every  $\sigma \in comp(s)$ ,
  - (a) e is executable in  $\sigma$  and,
  - (b)  $s' \subseteq \sigma'$  for every  $\sigma'$  such that  $\langle \sigma, e, \sigma' \rangle \in T(\mathcal{D})$ .

An approximation  $T'(\mathcal{D})$  is *deterministic* if for each partial state s and elementary action e, there exists at most one s' such that  $\langle s, e, s' \rangle \in T'(\mathcal{D})$ . The next observation shows that an approximation must be sound.

**Observation 1** Let  $T'(\mathcal{D})$  be an approximation of  $\mathcal{D}$ . Then, for every chain of events  $\alpha$  if  $\langle s, \alpha, s' \rangle \in T'(\mathcal{D})$  then for every  $\sigma \in comp(s)$ , (a)  $\alpha$  is executable in  $\sigma$ ; and (b)  $s' \subseteq \sigma'$  for every  $\sigma'$  such that  $\langle \sigma, \alpha, \sigma' \rangle \in T(\mathcal{D})$ .

In what follows we describe a method for constructing approximations of action theories of  $\mathcal{AL}$ . In our approach, the transitions in  $T'(\mathcal{D})$  will be defined by the program  $\pi(\mathcal{D})$  called the *cautious encoding* of  $\mathcal{D}$ . The signature of  $\pi(\mathcal{D})$  includes terms corresponding to fluent literals and actions of  $\mathcal{D}$ , as well as non-negative integers used to represent time steps. For convenience, we often write  $\pi(\mathcal{D}, n)$  to denote the program  $\pi(\mathcal{D})$  where the time constants take values between 0 and n. Atoms of  $\pi(\mathcal{D})$  are formed by the following (sorted) predicate symbols:

- h(l, t) is true if literal l holds at time-step t;
- -o(e,t) is true if action e occurs at time-step t;
- -dc(l,t) is true if literal l is a direct effect of an action that occurs at time t-1; and
- ph(l, t) is true if literal l possibly holds at time t.

The program also contains a set of auxiliary predicates, including *time*, *fluent*, and *action*, for enumerating constants of sort time, fluent, and action respectively; *literal* and *contrary* for defining literals and complementary literals, respectively<sup>2</sup>.

In our presentation, we also use some shorthands: if a is a compound action then  $o(a,t)=\{o(e,t):e\in a\}$ . For a set of fluent literals p, and  $\mathcal F$  is either h,dc, or ph,  $\mathcal F(p,t)=\{\mathcal F(l,t):l\in p\}$  and  $not\ \mathcal F(p,t)=\{not\ \mathcal F(l,t):l\in p\}$ . For a fluent f, by  $\overline l$  we mean  $\neg f$  if l=f and f if  $l=\neg f$ . Literals  $\overline l$  and l are called contrary literals. For a set of literal  $p,\overline p=\{\overline l:l\in p\}$ . Finally, L and T (possibly with indexes) are variables for fluent literals and time steps respectively. The set of rules of  $\pi(\mathcal D)$  consists of those encoding the laws in  $\mathcal D$ , those encoding the inertial axioms, and some auxiliary rules. We next describe these subsets of rules:

1. For each dynamic causal law (1) in  $\mathcal{D}$ , the rules

$$h(l, T+1) \leftarrow o(e, T), h(p, T) \tag{5}$$

$$dc(l, T+1) \leftarrow o(e, T), h(p, T) \tag{6}$$

belong to  $\pi(\mathcal{D})$ . The first rule states that l holds at T+1 if e occurs at T and the condition p holds at T. The second rule indicates that l is true as the result of the execution of e. Since the state at the time moment T might be incomplete, we add to  $\pi(\mathcal{D})$  the rule

$$ph(l, T+1) \leftarrow o(e, T), not \ h(\overline{p}, T)$$
 (7)

which says that l might hold at T+1 if e occurs at T and the precondition p possibly holds.

<sup>&</sup>lt;sup>2</sup> Some adjustment to this syntax is needed if one wants to use some of the existing answer set solvers. For instance, since Cmodels does not allow  $h(\neg f, t)$  we may replace it with, say, h(neg(f), t). For simplicity, we also use choice rule, which is introduced in [24], in our representation.

2. For each static causal law (2) in  $\mathcal{D}$ ,  $\pi(\mathcal{D})$  contains the two rules:

$$h(l,T) \leftarrow h(p,T) \tag{8}$$

$$ph(l,T) \leftarrow ph(p,T)$$
 (9)

This basically states that if p holds (or possibly holds) at T then so does l.

3. For each impossibility condition (3) in  $\mathcal{D}$ , we add to  $\pi(\mathcal{D})$  the following rule:

$$\leftarrow o(a, T), not \ h(\overline{p}, T)$$
 (10)

This rule states that a cannot occur if the condition p possibly holds.

4. The inertial rule is encoded as follows:

$$ph(L, T+1) \leftarrow not \ h(\overline{L}, T), not \ dc(\overline{L}, T+1)$$
 (11)

$$h(L,T) \leftarrow not \ ph(\overline{L},T), T \neq 0$$
 (12)

which says that L holds at the time moment T > 0 if its negation cannot possibly hold at T.

5. Auxiliary rules:  $\pi(\mathcal{D})$  also contains the following rules:

$$\leftarrow h(F,T), h(\neg F,T) \tag{13}$$

$$literal(F) \leftarrow fluent(F)$$
 (14)

$$literal(\neg F) \leftarrow fluent(F)$$
 (15)

$$contrary(F, \neg F) \leftarrow fluent(F)$$
 (16)

$$contrary(\neg F, F) \leftarrow fluent(F)$$
 (17)

The first rule, as a constraint, states that h(f,t) and  $h(\neg f,t)$  cannot hold at the same time. The last four rules are used to define fluent literals and complementary literals.

We can use the program  $\pi(\mathcal{D})$  to define an approximation of  $\mathcal{D}$  as follows.

**Definition 3.** Let  $T^{lp}(\mathcal{D})$  be a transition diagram such that  $\langle s, a, s' \rangle \in T^{lp}(\mathcal{D})$  iff s is a partial state and  $s' = \{l \mid h(l, 1) \in A\}$  where A is the answer set of  $\pi(\mathcal{D}, 1) \cup h(s, 0) \cup \{o(a, 0)\}$ .

The next theorem shows that  $T^{lp}(\mathcal{D})$  is indeed an approximation of  $\mathcal{D}^3$ .

**Theorem 1** (Soundness). If  $\mathcal{D}$  is consistent then  $T^{lp}(\mathcal{D})$  is an approximation of  $\mathcal{D}$ .

## 4 Approximation based conformant planners

We will now turn our attention to the conformant planning problem in action theories expressed in  $\mathcal{AL}$ . We begin with the definition of a planning problem.

<sup>&</sup>lt;sup>3</sup> Proofs of theorems are omitted to save space.

**Definition 4.** A planning problem is a tuple  $\langle \mathcal{D}, s^0, s^f \rangle$  where  $s^0$  and  $s^f$  are partial states of  $\mathcal{D}$ .

Partial states  $s^0$  and  $s^f$  characterize possible initial situations and the goal respectively.

**Definition 5.** A chain of events  $\alpha = \langle a_0, \dots, a_{n-1} \rangle$  is a *solution* to a planning problem  $\mathcal{P} = \langle \mathcal{D}, s^0, s^f \rangle$  if  $\alpha$  is safe in  $s^0$ , and for every model M of  $\alpha$  with a possible initial state  $\sigma_0 \in comp(s^0)$ ,  $M \models s^f$ .

We often refer to  $\alpha$  as a plan for  $s^f$ . If  $s^0$  is a state and action description  $\mathcal D$  is deterministic then  $\alpha$  is a "classical" plan, otherwise it is a *conformant plan*. We next illustrate these definitions using the well-known bomb-in-the-toilet example.

Example 1 (Bomb in the toilet). There is a finite set of toilets and a finite set of packages. One of the packages contains a bomb. The bomb can be disarmed by dunking the package that contains it in a toilet. Dunking a package clogs the toilet. Flushing a toilet unclogs it. Packages can only be dunked in unclogged toilets, one package per toilet. The objective is to find a plan to disarm the bomb. This domain can be modeled by the following action description:

```
\mathcal{D}_1 = \begin{cases} dunk(P,E) \text{ causes } \neg armed(P) \\ dunk(P,E) \text{ causes } clogged(E) \\ flush(E) \text{ causes } \neg clogged(E) \\ \text{impossible } dunk(P,E) \text{ if } clogged(E) \\ \text{impossible } \{dunk(P,E), flush(E)\} \\ \text{impossible } \{dunk(P,E), dunk(P_2,E)\} \\ \text{impossible } \{dunk(P,E_1), dunk(P,E_2)\} \end{cases}
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E and P are variables for toilets and packages respectively;  $E_1$  and  $E_2$  stand for different toilets and  $P_1$  and  $P_2$  stand for different packages. Note that the last three statements specify physical impossibilities of some concurrent actions and the domain does not have a static causal law.

Let n and m denote the number of packages and toilets respectively. A planning problem in this domain, denoted by BMTC(n,m), is often given by  $\langle \mathcal{D}_1, s^0, s^f \rangle$  where  $s^0$  is a (possibly empty) collection of literals of the form  $\neg armed(P)$ , where P denotes some package. The goal  $s^f$  contains  $\{\neg armed(1), \ldots, \neg armed(n)\}$ .

Consider the problem BMTC(2,1). We can easily check that if  $\sigma$  is a state containing  $\neg clogged(1)$  then  $\langle \sigma, dunk(1,1), \sigma' \rangle$  is a transition in  $T(\mathcal{D}_1)$  where  $\sigma' = (\sigma \setminus \{armed(1), \neg clogged(1)\}) \cup \{\neg armed(1), clogged(1)\}$ . Furthermore,

$$\alpha = \langle flush(1), dunk(1, 1), flush(1), dunk(2, 1) \rangle$$

is safe in the partial state  $\emptyset$  and  $\alpha$  is a solution to the problem BMTC(2,1).

It is not difficult to show that there is a close relationship between conformant plans and paths of an approximation  $T'(\mathcal{D})$  of  $\mathcal{D}$ . Because of the soundness of an approximation, it follows from Observation 1 that if  $\langle s, \alpha, s' \rangle \in T'(\mathcal{D})$ ,  $s \subseteq s^0$ , and  $s^f \subseteq s'$  then  $\alpha$  is a safe solution in  $s^0$  of the planning problem  $\langle \mathcal{D}, s^0, s^f \rangle$ .

Since  $T^{lp}(\mathcal{D})$  is an approximation of  $\mathcal{D}$ , we can use the program  $\pi(\mathcal{D})$  to compute safe solutions of the planning problem  $\mathcal{P}=\langle \mathcal{D},s_0,s_f\rangle$ . We will next describe the program  $\pi(\mathcal{P})$  for this purpose. Like  $\pi(\mathcal{D})$ , the signature of  $\pi(\mathcal{P})$  includes terms corresponding to fluent literals and actions of  $\mathcal{D}$ . We add to  $\pi(\mathcal{P})$  a constant, length, which represents the plan length, i.e., time steps can take value in the interval [0, length]. We also write  $\pi(\mathcal{P},n)$  to denote the program  $\pi(\mathcal{P})$  with length equals n.  $\pi(\mathcal{P})$  consists of  $\pi(\mathcal{D})$  and the following rules:

1. Rules encoding the initial state: for each  $l \in s^0$ , we add to  $\pi(\mathcal{P})$  the rule:

$$h(l,0) \leftarrow \tag{18}$$

2. Goal encoding:  $\pi(\mathcal{P})$  contains the set of constraints

$$\{\leftarrow not\ h(l, length) : l \in s^f\}.$$

This set of constraints makes sure that every literal in  $s^f$  is true in the final state.

3. Action generation rule: as in other ASP-planners,  $\pi(\mathcal{P})$  contains the rule for generating action occurrences:

$$1\{o(A,T) : action(A)\} \leftarrow T < length \tag{19}$$

which says that at each moment of time T, some actions must occur<sup>4</sup>.

With the help of Theorem 1, we can prove the correctness of the planner  $\pi(\mathcal{P})$ .

**Theorem 2.** Let S be an answer set of  $\pi(\mathcal{P}, n)$ . It holds that

- for every  $0 \le i < n$ , there exists some action  $a_i$  such that  $o(a_i, i) \in S$  and  $a_i$  is not prohibited in  $s_i(S)$ ; and
- $-\alpha = \langle a_0, \ldots, a_{n-1} \rangle$  is safe in  $s^0$  and is a solution of  $\mathcal{P}$

where 
$$s_i(S) = \{l \mid h(l, i) \in S\}.$$

This theorem allows us to use  $\pi(\mathcal{P})$  for computing minimal plans of  $\mathcal{P}$ . This is done by sequentially running  $\pi(\mathcal{P},0),\pi(\mathcal{P},1),\ldots$  until one returns an answer set. In the next section, we will describe our experiments with  $\pi(\mathcal{P})$ . From now on, we will refer to  $\pi(\mathcal{P})$  as CPASP<sup>5</sup>. Before going on, we would like to present an example which shows that  $\pi(\mathcal{P})$  is not complete.

*Example 2.* Consider the action description  $\mathcal{D}_2$ 

$$\mathcal{D}_2 = \left\{ \begin{matrix} a \text{ causes } f \\ g \text{ if } f, \neg h \end{matrix} \right. \left. \begin{matrix} a \text{ causes } g \text{ if } k \\ k \text{ if } f \end{matrix} \right. \left. \begin{matrix} g \text{ if } f, h \\ p \text{ if } g, q \end{matrix} \right.$$

Let  $s = \{\neg f, \neg g, \neg p, \neg q\}$ . The program  $\pi(\mathcal{D}_2, 1) \cup h(s, 0) \cup \{o(a, 0)\}$  has the unique answer set that contains  $h(f, 1), h(\neg p, 1), h(\neg q, 1), h(k, 1)$ . Thus,  $\langle s, a, s' \rangle \in T^{lp}(\mathcal{D}_2)$  where  $s' = \{f, \neg p, \neg q, k\}$ .

Now, consider the planning problem  $\mathcal{P}_2 = \langle \mathcal{D}_2, s, \{k, \neg g\} \rangle$ . Obviously, a is a solution to this problem. Yet,  $\pi(\mathcal{P}_2, 1)$  will return no answer set, which means that no plan of length 1 can be found.

<sup>&</sup>lt;sup>4</sup> If we wish to find a sequential plan, the only thing needed to do is to change the left side of the rule to  $1\{o(A,T): action(A)\}1$ .

<sup>&</sup>lt;sup>5</sup> CPASP stands for Conformant Planning using Answer Set Programming.

## 5 Experiments

We ran CPASP on both SMODELS and Cmodels [16]. In most of our experiments, Cmodels yields better performance. The results reported in this paper are the time obtained using Cmodels. Since most answer set solvers do not scale up well to programs that require large grounded representation, we also implemented the approximation in a C++ planner, called  $CPA^{ph}$ .  $CPA^{ph}$  employs a best-first search strategy with the number of fulfilled subgoals as its heuristic function. Unlike CPASP, the current version of  $CPA^{ph}$  does not compute concurrent plans.

We compare CPASP (and CPA $^{ph}$ ) with three other conformant planners CMBP[9], DLV $^k$ [12], and  $\mathcal{C}$ -PLAN[8] because these planners do allow static causal laws and are similar in spirit of CPASP (that is, a planning problem is translated into an equivalent problem in a more general setting which can be solved by an off-the-shelf software system). While the latter two allow concurrent planning, the former does not. A comparison between DLV $^k$  and other planners like SGP [25] and GPT [5] can be found in [12]. The comparison between CPASP and other state-of-the-art conformant planners like Conformant-FF [6], KACMBP [10], and POND [7] is being investigated.

We prepared two test suites: one contains sequential, conformant planning benchmarks and the other contains concurrent, conformant planning benchmarks.

The first test suite includes two typical planning domains, the well-known Bombin-the-toilet and the Ring domains [10]. In the former, we consider two variants, BMT(n,p) and BMTC(n,p), where n and p are the numbers of packages and toilets respectively. The first one is without clogging and the second one is with clogging. The uncertainty in the initial state is that we do not know whether or not packages are disarmed. In the Ring domain, one can move in a cyclic fashion (either forward or backward) around a n-room building to lock windows. Each room has a window and the window can be locked only if it is closed. Initially, the robot is in the first room and it does not know the state (open/closed) of the windows. The goal is to have all windows locked. A possible conformant plan is to perform a sequence of actions *forward*, *close*, *lock* repeatedly. In this domain, we tested with n = 2,4,6,8, and 10.

These domains, however, do not contain many static causal laws. Therefore, we introduce two new domains, called Domino and Gaspipe. The former is very simple. We have n dominos standing on a line in such a way that if one of them falls then the domino on its right also falls. There is a ball hanging close to the leftmost one. Touching the ball causes the first domino to fall. Initially, the ball stays still and every domino is up. The goal is to have the rightmost one to fall. The solution is obviously to touch the ball. In this domain, we tested with n = 100,200,500,100,2000,5000, and 10000, where n is the number of dominos.

The *Gaspipe* domain is a little more complicated. We need to start a flame in a burner, which is connected to a gas tank through a pipe line. The gas tank is on the left-most of the pipeline and the burner is on the right-most. The pipe line contains sections that connect with each other by valves. The state of pipe sections can be either pressured or unpressured. Opening a valve causes the section on its right side to be pressured if the section on its left is pressured. Moreover, to be safe, a valve can be opened only if the next valve on the line is closed. Closing a valve causes the pipe section on its right side to be unpressured. There are two kinds of static causal laws.

The first one is that if a valve is open and the section on its left is pressured then the section on its right will pressured. Otherwise (either the valve is closed or the section on the left is unpressured), the pipe on the right side is unpressured. The burner will start a flame if the pipe connecting to it is pressured. The gas tank is always pressured. The uncertainty we introduce with the initial situation is that the states of valves are unknown. A possible conformant plan will be closing all valves but the first one (that is, the one that connects to the gas tank), in the right-to-left order and then opening them in the reverse order. We tested the domain with five problems corresponding to n = 3,5,7,9, and 11.

The last domain in the first test suite is the Cleaner domain. It is a modified version of the Ring domain. The difference is that instead of locking the window, the robot has to clean objects. Each room has p objects to be cleaned. Initially, the robot is at the first room and does not know whether or not objects are cleaned. The goal is to have all objects cleaned. While the Domino and Gaspipe domains expose a richness in static causal laws, the Cleaner domain provides a high degree of uncertainty in the initial state. We tested the domain with 6 problems corresponding to n=2,5 and p=10,50,100 respectively.

The second test suite includes benchmarks for concurrent, conformant planning. It contains four domains. The  $BMT^p$  and  $BMTC^p$  domains are variants of BMT and BMTC in the first test suite in which dunking different packages into different toilets at the same time is allowed. The  $Gaspipe^p$  is a modification of Gaspipe in which closing multiple valves at the time are allowed. In addition, one can open a valve while closing other valves. However, it is not allowed to open and close the same valve or open two different valves at the same time. The Cleaner domain is relaxed to allow for concurrent actions by allowing cleaning multiple objects in the same room at the same time. The relaxed version is denoted by  $Cleaner^p$ . The testing problems in the second test suite are the same as those in the first test suite.

All experiments were made on a 2.4 GHz CPU, 768MB RAM machine, running Slackware 10.0 operating system. Time limit is set to half an hour. The testing results for two test suites are shown in Tables 1.a) and 1.b) respectively. We did not test *C*-PLAN in the sequential planning benchmarks since it is supposed to run on concurrent planning <sup>6</sup>. Times are shown in seconds; "PL", "TO", "MEM", "NA" indicate the length of the plan found by the planner, that the planner ran out of time, that the planner ran out of memory, and that the planner returns message indicating that no plan can be found<sup>7</sup>, respectively. Since both DLV<sup>K</sup> and CPASP require as an input parameter the length of a plan to search for, we ran them by incrementally increasing the plan length, starting from 1<sup>8</sup>, until a plan is found.

As can be seen in Table 1.a), in the BMT and BMTC domains, CMBP outperforms both DLV<sup>K</sup> and CPASP in most problems. However, its performance is not competitive with CPA $^{ph}$  which can solve the BMTC(10,4) with only less than one tenth

 $<sup>^6</sup>$  The authors told us that *C*-PLAN was not intended for searching sequential plans.

<sup>&</sup>lt;sup>7</sup> We did contact the authors' of the planner for help and are waiting for a response. We suspect that there might be some options that need to be turned on/off or the encoding of the problem needs to be changed to work with the planner.

<sup>&</sup>lt;sup>8</sup> We did not start from 0 because none of the benchmarks has a plan of length 0

seconds (In fact,  $CPA^{ph}$  can scale up to larger problems, e.g., 100 packages and 100 toilets, within the time limit). CPASP in general has better performance than  $DLV^K$  in these domains. As an example,  $DLV^K$  took more than three minutes to solve the BMT(6,2), while it took only 0.775 seconds for CPASP to solve the same problem. The number of problems solvable by CPASP within the time limit is larger than that of  $DLV^K$ .

CPASP seems to work well with domains rich in static causal laws like Domino and Gaspipe. In the Domino domain, CPASP outperforms all the other planners in most of instances. It took only 2.414 seconds to solve Domino(2000), while both DLV<sup>K</sup> and CMBP took more than one minute. Although CPAP<sup>h</sup> can solve all the instances in this domain, its performance is in general worse than CPASP's. In the Gaspipe domain, CPASP and CPAP<sup>h</sup> are competitive with each other and outperform the other two.

Domains	(	CMBP	DLAK		CPASP		CPAP h	
Problems	PL	Time	PL	Time	PL	Time	PL	Time
BMT(2, 2)	2	0.03	2	0.046	2	0.209	2	0.000
BMT(4, 2)	4	0.167	4	0.555	4	0.418	4	0.002
BMT(6, 2)	6	0.206	6	216.557	6	0.775	6	0.005
BMT(8, 4)	8	0.633		TO	8	6.734	8	0.021
BMT(10, 4)	10	1.5		TO	10	890.064	10	0.038
BMTC(2,2)	2	0.166	2	0.121	2	0.222	2	0.001
BMTC(4, 2)	6	0.269	6	72.442	6	0.712	6	0.004
BMTC(6, 2)	10	0.749		TO	8	2.728	10	0.010
BMTC(8,4)		TO		TO		TO	12	0.031
BMTC(10, 4)		TO		TO		TO	16	0.054
Gaspipe(3)		NA	5	0.132	5	1.349	7	0.026
Gaspipe(5)		NA	9	0.425	9	2.226	22	0.481
Gaspipe(7)		NA	13	42.625	13	6.186	86	8.464
Gaspipe(9)		NA		TO	17	39.323	261	45.910
Gaspipe(11)		NA		TO	21	868.102	1327	529.469
Cleaner(2,2)	5	0.1	5	0.104	5	0.496	5	0.002
Cleaner(2,5)	11	0.617	11	214.696	11	3.88	11	0.012
Cleaner(2, 10)		TO		TO		TO	21	0.060
Cleaner(4, 2)	11	0.13	11	14.82	11	2.094	11	0.014
Cleaner(4,5)		TO		TO		TO	23	0.082
Cleaner(4, 10)		TO		TO		TO	43	0.434
Cleaner(6, 2)	17	4.1		TO	17	224.391	17	0.054
Cleaner(6,5)		TO		TO		TO	35	0.311
Cleaner(6, 10)		TO		TO		TO	65	1.623
Ring(2)	5	0.01		0.201	5	0.911	5	0.003
Ring(4)	11	0.116		0.638	11	2.738	12	0.025
Ring(6)	17	0.5		TO	17	18.852	18	0.088
Ring(8)		TO		TO	23	669.321	24	0.242
Ring(10)		TO		TO		TO	30	0.542
Domino(100)	1	0.26	1	0.1	1	0.216	1	0.026
Domino(200)	1	1.79	1	0.352	1	0.285	1	0.099
Domino(500)	1	7.92	1	2.401	1	0.747	1	0.568
Domino(1000)	1	13.2	1	13.104	1	1.236	1	2.313
Domino(2000)	1	66.6	1	62.421	1	2.414	1	9.209
Domino(5000)	1	559.467		MEM	1	6.076	1	67.619
Domino(10000)		TO		MEM	1	12.584	1	350.129
	_							

Domains	C-Plan		DLVK		CPASP	
Problems	PL	Time	PL	Time	PL	Time
$BMT^{p}(2,2)$	1	0.078	1	0.074	1	0.116
$BMT^{p}(4, 2)$	2	0.052	2	0.094	2	0.268
$BMT^{p}(6, 2)$	3	1.812	3	3.065	3	0.346
$BMT^{p}(8,4)$	2	4.32	2	10.529	2	0.248
$BMT^{p}(10,4)$		TO		TO	3	1.911
$BMTC^{p}(2, 2)$	1	0.057	1	0.059	1	0.13
$BMTC^{p}(4, 2)$	3	0.076	3	0.908	3	0.3
$BMTC^{p}(6, 2)$	5	7.519	5	333.278	5	0.672
$BMTC^{p}(8,4)$		TO		TO	3	0.508
$BMTC^{p}(10,4)$		TO		TO	5	1192.458
$Gaspipe^{P}(3)$		TO	4	0.088	4	0.402
$Gaspipe^{p}(5)$		TO	6	0.173	6	0.759
$Gaspipe^{p}(7)$		TO	8	0.441	8	1.221
$Gaspipe^{p}(9)$		TO	10	17.449	10	3.175
$Gaspipe^{p}(11)$		TO		TO	12	8.832
$Cleaner^{p}(2,2)$	3	0.052	3	0.076	3	0.265
$Cleaner^p(2,5)$	3	0.121	3	0.066	3	0.3
$Cleaner^p(2,10)$	3	0.06	3	0.076	3	0.309
$Cleaner^{p}(4,2)$	7	0.068	7	0.196	7	0.773
$Cleaner^{p}(4,5)$	7	0.09	7	0.809	7	0.931
$Cleaner^{p}(4,10)$	7	0.131	7	237.637	7	1.164
$Cleaner^{p}(6,2)$	11	0.116	11	4.475	11	1.982
$Cleaner^{p}(6,5)$	11	0.195	11	986.731	11	2.947
$Cleaner^{p}(6,10)$	11	0.357		TO	11	3.737

b)

Table 1: Comparison between CPASP, CPA $\mathcal{P}^h$ , CMBP DLV $^K$ , and  $\mathcal{C}$ -PLAN in sequential and concurrent planning benchmarks

a) Sequential Benchmarksb) Concurrent Benchmarks

The Cleaner domain turns out to be quite hard for the tested planners except  $CPA^{ph}$ .  $CPA^{ph}$  seems to behave well with domains that have a high degree of uncertainty in the initial state like Cleaner since it only needs to consider a partial state instead of a set of possible states. We believe that the poor performance of CPASP in this domain is because of the way Cmodels computes answer sets.

CPASP is outperformed by both CMBP and DLV<sup>K</sup> in some small instances in the Ring domain. However, it can solve the Ring(8), while CMBP and DLV<sup>K</sup> cannot. Again, CPA<sup>ph</sup> is the best. This shows that CPASP can be competitive with the tested conformant planners in some sequential planning benchmarks.

Table 1.b) shows that CPASP also has a fairly good performance in concurrent planning problems. CPASP outperforms both DLV<sup>K</sup> and C-PLAN in the  $BMT^p$ ,  $BMTC^p$ , and  $Gaspipe^p$  domains in most instances. DLV<sup>K</sup> is better than C-PLAN in the  $Gaspipe^p$  domain. On the contrary, C-PLAN is very good at the  $Cleaner^p$  domain. To solve

Cleaner(6,10), C-PLAN took only 0.357 seconds , whereas DLV<sup>K</sup> ran out of time and CPASP needs 3.737 seconds.

As stated,  $T^{lp}(\mathcal{D})$  is sound but not complete, i.e., theoretically speaking, CPASP and CPA $^{ph}$  cannot solve some planning problems, even when the initial state is complete. To make sure that our approach can cover a broader spectrum of practical planning problems, we tested our planners with five instances of the famous Blocks World domain described in [12]. It turns out that they can solve all these problems.

#### 6 Conclusion and Future Works

We present a logic programming based approximation for  $\mathcal{AL}$  action descriptions and apply it to conformant planning. We describe two conformant planners, CPASP and CPA $^{ph}$ , whose key reasoning part is for computing the approximation. Our initial experiments show that with an appropriate approximation, logic programming based conformant planners can be built to deal with problems rich in static causal laws and incomplete information about the initial state. In other words, a careful study in approximated reasoning may pay off well in the development of practical planners. As an approximation can only guarantee soundness, it will be interesting to characterize situations when an approximation (e.g.  $T^{lp}(\mathcal{D})$ ) can yield completeness. This will be our main concern in the near future.

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