

PFP Preliminary Exam Intent Form

This form serves as a PhD student's intent to meet the Preliminary Exam requirement set forth by the Department of Personal Financial Planning in the PhD Student Manual. Please complete this form by the due date set forth in the PhD Manual. Return this form to Dr. John Salter by email at john.salter@ttu.edu by the due date in the PhD Student Manual. Upon receipt you will receive a reply clarifying your one-year clock for completion of the Preliminary Exam requirement.

Please initial that you agree and have completed the following:

___ I have read and understand the Preliminary Exam Requirements in the PFP PhD Student Manual.

___ I understand the options afforded me to fulfill the Preliminary Exam requirement.

___ I understand I must have earned an A or B in all Collateral 1 Coursework to qualify to meet the Preliminary Exam requirements.

Please complete the following table. If you completed a course at another institution, please enter the course and grade under the substitution column. If you entered as a current CFP® Certificant, please enter only your Wealth Management and Capstone Grade and note the information below. If you are currently enrolled in Capstone, please note as such.

Course Number	Course Title	Final Grade	Semester Taken	Substitution
PFP 5371	Fundamentals of FP			
ACCT 5311	Income Tax			
PFP 5362	Asset Management			
PFP 5394	Retirement Planning			
PFP 5497	Risk Management			
PFP 5372	Wealth Management			Cannot be substituted
PFP 5398	Estate Planning			
PFP 5377	Communication			
PFP 5373	Capstone			Cannot be substituted

In order to fulfill the Preliminary Exam Requirement you must pass either the PFP Administered Preliminary Exam or the CFP® Certification Examination. If you plan to take the CFP® Certification Examination simply submit this form with the information above, if you plan to take the PFP Administered Exam, please complete the following.

I plan to take the PFP Administered exam in ___January or ___July (mark one) of _____ (enter year).

Your name: _____ Signature: _____ Date: _____

Updated 5/1/2015