

**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2016 and 2017

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**



Texas Tech University System Administration

October 17, 2014

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| Agency Code: 768 | Agency Name: Texas Tech University System Administration | Prepared By: Chelle Hillis | Date: October 2014 | Request Level: Baseline |
|---|---|--------------------------------------|------------------------------|-----------------------------------|
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INSTITUTIONAL ORGANIZATION

The Texas Tech University System is governed by a nine-member Board of Regents appointed by the Governor of Texas. Members of the board serve six-year, staggered terms. In addition to the nine members, there also is one student regent who serves a one-year term and is appointed by the governor. A list of the current members of the Board of Regents is included in the organization chart.

The board reviews major issues and establishes policy for the System and its components. The board also appoints the chancellor who is the chief executive officer of the System. As chief executive officer, the chancellor carries out the policies of the System as determined by the regents and has direct responsibility for all aspects of oversight and management of the components.

The chancellor of the Texas Tech University System is Robert L. Duncan.

SYSTEM OVERVIEW

Formally established by the Texas Legislature in 1999, the Texas Tech University System is composed of a central administration, two health-related institutions and two general academic institutions. The components of the System are Texas Tech University System Administration (TTUSA), Texas Tech University (TTU), Texas Tech University Health Sciences Center (TTUHSC), Angelo State University (ASU) and Texas Tech University Health Sciences Center at El Paso (TTUHSC at EP).

In its young history, the Texas Tech University System has emerged as a nationally acclaimed higher education system with one of the largest contiguous campuses in the United States. Headquartered in Lubbock, Texas, the System operates on more than 12 campuses and academic sites throughout the State of Texas and internationally. The System has locations statewide in Abilene, Amarillo, Dallas, El Paso, Fredericksburg, Highland Lakes, Junction, Lubbock, Midland, Odessa and San Angelo. Internationally, the System has a location in Seville, Spain.

Collectively, the annual budget of all components of the System totals more than \$1.7 billion, including all funds. Approximately \$425 million of these funds are appropriated by the Texas Legislature.

The System and its components employ approximately 17,000 faculty and staff who are focused on advancing higher education, health care, research and outreach. All staff positions (including temporary employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment. These background checks are performed in accordance with Texas Education Code, Section 51.215 and Government Code, Section 411.097.

SYSTEM LEADERSHIP & SUPPORT

Under the governance of the Board of Regents, the Texas Tech University System provides its component institutions with leadership, resources and support services to address challenges facing the State of Texas in an effective and efficient manner. The System also offers a multitude of centralized services that support the attainment of each component's individual mission.

The TTUSA currently performs or coordinates many collaborative functions between the four components including strategic planning, fundraising, legal counsel, audit services, governmental relations, facilities planning and construction, investments, cash and debt management, risk management and communication services. In addition,

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the System shares services across all institutions to encourage university collaborations and further reduce expenses.

With guidance and resources from the System, each component institution has tremendously enhanced its productivity and efficiency over the last decade in effort to meet the increasing needs in higher education and better the State of Texas.

Student Enrollment and Success

The Texas Tech University System remains diligent in its efforts to improve higher education participation and educate the state's workforce. In the past 10 years, the System has experienced tremendous student enrollment growth. Each component institution also has diversified its student population, furthering statewide goals.

In the fall of 2013, total student enrollment across all components of the System was more than 43,500 students.

Collectively, student enrollment has increased approximately 42.3 percent at System institutions since the fall of 2003. Each component enrolled record numbers of students while simultaneously improving the quality of its students in fall 2013. As the state's population grows and the demand for higher education increases, sufficient state funding is necessary to support student success and continued enrollment growth throughout the System.

Degrees Awarded

Since 1925, the component institutions of the Texas Tech University System have educated generations of leaders and graduated more than 290,000 students. Graduates from System institutions are known for their practicality, strong work ethic and ambition.

In academic year 2012-2013, System institutions awarded more than 10,300 degrees. On the rise over the last decade, the number of degrees awarded system-wide has nearly doubled, or increased approximately 91 percent, since 2003. These graduates greatly contribute to the state's workforce and health care industry. Each university provides students with unique programs and opportunities to enhance their knowledge and skills for lifelong personal, professional and civic success.

Research Excellence

The Texas Tech University System is committed to expanding the research enterprise at each of its component institutions, while maintaining focus on quality undergraduate and graduate education. Being a leader in knowledge creation is critical to overall quality and prosperity in higher education. Each component has research areas of unparalleled excellence, leveraging unique resources and collaborating to provide solutions to complex problems that impact the state, nation and world. All together, the System conducted approximately \$200 million in overall research expenditures in 2013—a total that has more than doubled since 2003.

Research is also essential to graduate education and has a dynamic impact on undergraduate programs. Additionally, the System components have strategically recruited research-oriented faculty. Hiring researchers with proven histories of accomplishment who can also perform in the classroom has been an important step in enhancing research objectives for the System and the State of Texas.

Economic Impact

As one of the largest employers in West Texas, the Texas Tech University System is an economic engine in the region. After an analysis of the System's economic impact, a study revealed the organization generated a total economic impact of \$9.98 billion in 2012 for the State of Texas. According to the study, the System also helped sustain more than 40,775 jobs.

The impact of an educated population and workforce is one of the most important benefits of higher education. The total workforce contribution of alumni, which

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represents the yearly contribution to the Texas labor force by graduates of the component institutions, stood at \$5.54 billion in 2012. The assessment also revealed that for every dollar the State of Texas invests in the Texas Tech University System, the state's economy sees more than \$23 returned, which is an increase from \$16 in 2011.

MAJOR AREAS OF CONCERN

Formula Funding – The Texas Tech University System respectfully requests the Texas Legislature to provide sufficient increases in base funding to cover the growth in student enrollment statewide along with the increased costs of delivering such academic and support services.

Capital Facilities – With growth in student enrollment and research efforts, each component has identified facility requirements which need to be authorized and funded.

Employee Benefits – The Texas Tech University System respectfully requests funding to cover the increases in enrollments by employees and retirees and increase the state's share of the premium costs. Additionally, returning to a higher level of funding of the state's share would allow the institutions to redirect those resources to the academic mission of the institution.

Financial Aid – Increasing the financial aid in the form of TEXAS grants and Top 10% scholarships are extraordinarily important to the students of our institutions. Additionally, providing resources to address the foregone revenue from the Hazlewood exemption would help all institutions.

FY 2016-17 Proposed Reductions for Texas Tech University System Administration

Initial 5% Reduction

Management has met the challenge of the reductions experienced in fiscal years 2010-13 appropriations. However, management's options to maintain service levels with increased growth of the Texas Tech University System with a 5% reduction in FY 2016-17 are limited due to the size of the agency. This reduction will result in the elimination of 2 positions in functions that provide direct support to the components of the Texas Tech University System.

Additional 5% Reduction

An additional 5% in FY 2016-17 further restricts management's options to maintain service levels and will result in the elimination of an additional 2 positions in functions that provide direct support to the components of the Texas Tech University System.

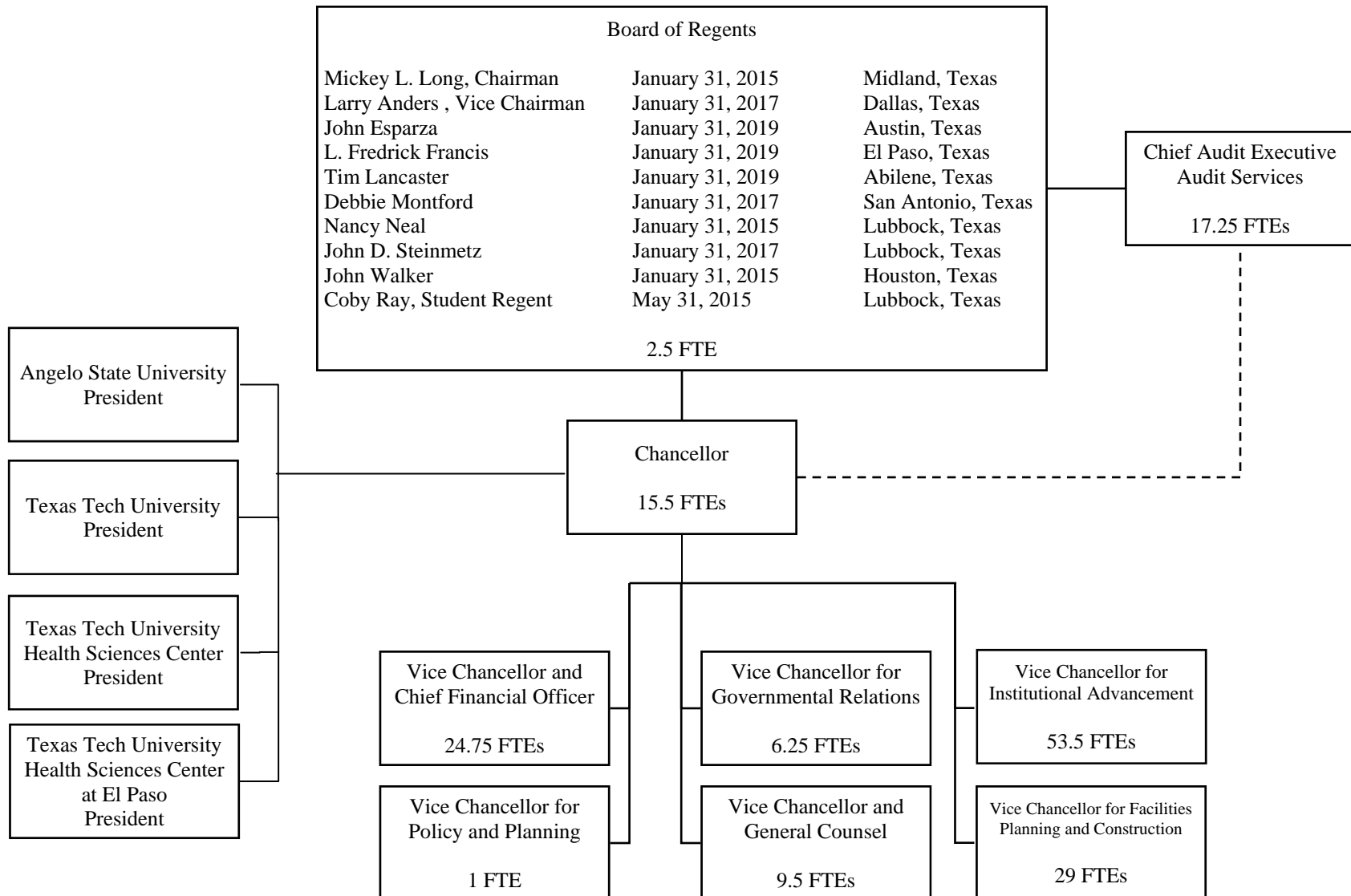
EXCEPTIONAL ITEMS

Equitable Funding for System Administration

TTUSA is requesting an increase in funding for System operations that in return would allow its component institutions to retain an equivalent amount to reinvest in their academic missions. TTUSA provides many collaborative functions between its components, and these centralized activities are necessary and crucial for the component institutions to achieve statewide goals and address the challenges facing the State of Texas in an effective and efficient manner. The request totals \$575,000 for fiscal year 2016 and \$575,000 for fiscal year 2017.

Texas Tech University System Administration 2015 Budget

Total FTEs — 159.25



2.A. Summary of Base Request by Strategy

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| Goal / Objective / STRATEGY | Exp 2013 | Est 2014 | Bud 2015 | Req 2016 | Req 2017 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| 1 Provide Instructional and Operations Support | | | | | |
| 1 Provide Instructional and Operations Support | | | | | |
| 11 SYSTEM OFFICE OPERATIONS | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 |
| TOTAL, GOAL 1 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| TOTAL, AGENCY STRATEGY REQUEST | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST* | | | | \$0 | \$0 |
| GRAND TOTAL, AGENCY REQUEST | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| <u>METHOD OF FINANCING:</u> | | | | | |
| General Revenue Funds: | | | | | |
| 1 General Revenue Fund | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 | 1,425,000 |
| SUBTOTAL | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| TOTAL, METHOD OF FINANCING | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
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Agency code: **768** Agency name: **Texas Tech University System Administration**

| METHOD OF FINANCING | Exp 2013 | Est 2014 | Bud 2015 | Req 2016 | Req 2017 |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| <u>GENERAL REVENUE</u> | | | | | |
| <u>1</u> General Revenue Fund | | | | | |
| <i>REGULAR APPROPRIATIONS</i> | | | | | |
| Regular Appropriations from MOF Table (2012-13 GAA) | \$1,425,000 | \$0 | \$0 | \$0 | \$0 |
| Regular Appropriations from MOF Table (2014-15 GAA) | \$0 | \$1,425,000 | \$1,425,000 | \$0 | \$0 |
| Regular Appropriations from MOF Table | \$0 | \$0 | \$0 | \$1,425,000 | \$1,425,000 |
| TOTAL, General Revenue Fund | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| TOTAL, ALL GENERAL REVENUE | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| GRAND TOTAL | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |

2.B. Summary of Base Request by Method of Finance

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| METHOD OF FINANCING | Exp 2013 | Est 2014 | Bud 2015 | Req 2016 | Req 2017 |
|--|-------------|-------------|-------------|-------------|-------------|
| <p>Agency code: 768 Agency name: Texas Tech University System Administration</p> | | | | | |
| FULL-TIME-EQUIVALENT POSITIONS | | | | | |
| REGULAR APPROPRIATIONS | | | | | |
| Regular Appropriations from MOF Table (2012-13 GAA) | 95.0 | 0.0 | 0.0 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table (2014-15 GAA) | 0.0 | 83.5 | 83.5 | 0.0 | 0.0 |
| Regular Appropriations from MOF Table | 0.0 | 0.0 | 0.0 | 80.0 | 80.0 |
| UNAUTHORIZED NUMBER OVER (BELOW) CAP | | | | | |
| Unauthorized Number Over (Below) Cap | (25.0) | (3.5) | (3.5) | 0.0 | 0.0 |
| TOTAL, ADJUSTED FTES | 70.0 | 80.0 | 80.0 | 80.0 | 80.0 |
| NUMBER OF 100% FEDERALLY FUNDED FTES | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

2.C. Summary of Base Request by Object of Expense

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| OBJECT OF EXPENSE | Exp 2013 | Est 2014 | Bud 2015 | BL 2016 | BL 2017 |
|-------------------------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| 1001 SALARIES AND WAGES | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| OOE Total (Excluding Riders) | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| OOE Total (Riders) | | | | | |
| Grand Total | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |

2.E. Summary of Exceptional Items Request
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Agency name: Texas Tech University System Administration

| Priority | Item | 2016 | | | 2017 | | | Biennium | | |
|---|-----------------------------|------------------------|------------------|-------------|---------------------|------------------|-------------|---------------------|--------------------|--|
| | | GR and GR/GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | FTEs | GR and GR Dedicated | All Funds | |
| 1 | Restoration of Funding | \$575,000 | \$575,000 | 18.0 | \$575,000 | \$575,000 | 18.0 | \$1,150,000 | \$1,150,000 | |
| Total, Exceptional Items Request | | \$575,000 | \$575,000 | 18.0 | \$575,000 | \$575,000 | 18.0 | \$1,150,000 | \$1,150,000 | |
| Method of Financing | | | | | | | | | | |
| | General Revenue | \$575,000 | \$575,000 | | \$575,000 | \$575,000 | | \$1,150,000 | \$1,150,000 | |
| | General Revenue - Dedicated | | | | | | | | | |
| | Federal Funds | | | | | | | | | |
| | Other Funds | | | | | | | | | |
| | | \$575,000 | \$575,000 | | \$575,000 | \$575,000 | | \$1,150,000 | \$1,150,000 | |
| Full Time Equivalent Positions | | | | 18.0 | | | | 18.0 | | |
| Number of 100% Federally Funded FTEs | | | | 0.0 | | | | 0.0 | | |

2.F. Summary of Total Request by Strategy
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| Goal/Objective/STRATEGY | Base 2016 | Base 2017 | Exceptional 2016 | Exceptional 2017 | Total Request 2016 | Total Request 2017 |
|--|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| 1 Provide Instructional and Operations Support | | | | | | |
| 1 <i>Provide Instructional and Operations Support</i> | | | | | | |
| 11 SYSTEM OFFICE OPERATIONS | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |
| TOTAL, GOAL 1 | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |
| TOTAL, AGENCY STRATEGY REQUEST | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |
| TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST | | | | | | |
| GRAND TOTAL, AGENCY REQUEST | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |

2.F. Summary of Total Request by Strategy
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TIME : 2:22:34PM

Agency code: 768 Agency name: Texas Tech University System Administration

| Goal/Objective/STRATEGY | Base 2016 | Base 2017 | Exceptional 2016 | Exceptional 2017 | Total Request 2016 | Total Request 2017 |
|---------------------------------------|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| General Revenue Funds: | | | | | | |
| 1 General Revenue Fund | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |
| | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |
| TOTAL, METHOD OF FINANCING | \$1,425,000 | \$1,425,000 | \$575,000 | \$575,000 | \$2,000,000 | \$2,000,000 |
| FULL TIME EQUIVALENT POSITIONS | 80.0 | 80.0 | 18.0 | 18.0 | 98.0 | 98.0 |

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GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 11 System Office Operations

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 02 Income: A.2 Age: B.3

| CODE | DESCRIPTION | Exp 2013 | Est 2014 | Bud 2015 | BL 2016 | BL 2017 |
|--|----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Objects of Expense: | | | | | | |
| 1001 | SALARIES AND WAGES | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| TOTAL, OBJECT OF EXPENSE | | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| Method of Financing: | | | | | | |
| 1 | General Revenue Fund | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | | | | | \$1,425,000 | \$1,425,000 |
| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| FULL TIME EQUIVALENT POSITIONS: | | 70.0 | 80.0 | 80.0 | 80.0 | 80.0 |
| STRATEGY DESCRIPTION AND JUSTIFICATION: | | | | | | |

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| | | | | | |
|------------|----|--|---------------------------|-------------|----------|
| GOAL: | 1 | Provide Instructional and Operations Support | Statewide Goal/Benchmark: | 2 | 0 |
| OBJECTIVE: | 1 | Provide Instructional and Operations Support | Service Categories: | | |
| STRATEGY: | 11 | System Office Operations | Service: 02 | Income: A.2 | Age: B.3 |

| CODE | DESCRIPTION | Exp 2013 | Est 2014 | Bud 2015 | BL 2016 | BL 2017 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

Formally established by the Texas Legislature in 1999, the Texas Tech University System (System) is composed of Texas Tech University System Administration (TTUSA), Texas Tech University, Texas Tech University Health Sciences Center, Angelo State University, and Texas Tech University Health Sciences Center at El Paso.

The System operates on more than 11 campuses and academic sites throughout the State of Texas. The System has locations statewide in Abilene, Amarillo, Dallas, El Paso, Fredericksburg, Highland Lakes, Junction, Lubbock, Midland, Odessa and San Angelo. The total enrollment across all components is approximately 43,500 students.

Headquartered in Lubbock, the System's control and direction is vested in a ten-member Board of Regents. The Chancellor, appointed by the Board of Regents, is the chief executive officer of the System. TTUSA provides its components with leadership, resources, and support services to address challenges facing the State of Texas in an effective and efficient manner.

TTUSA is committed to providing the highest quality and most efficient resources and services to its components. TTUSA strives to increase accessibility, enhance instruction and infrastructure formula funding, provide necessary financial aid, produce and commercialize technologies, strengthen academic quality and reputation, advance research, improve productivity and efficiency, and further the quality and availability of health care for all Texans.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

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| | | | | | |
|------------|----|--|---------------------------|-------------|----------|
| GOAL: | 1 | Provide Instructional and Operations Support | Statewide Goal/Benchmark: | 2 | 0 |
| OBJECTIVE: | 1 | Provide Instructional and Operations Support | Service Categories: | | |
| STRATEGY: | 11 | System Office Operations | Service: 02 | Income: A.2 | Age: B.3 |

| CODE | DESCRIPTION | Exp 2013 | Est 2014 | Bud 2015 | BL 2016 | BL 2017 |
|------|-------------|----------|----------|----------|---------|---------|
|------|-------------|----------|----------|----------|---------|---------|

Sufficient funding is necessary to support the Texas Tech University System’s goal of increased enrollment and to further aid in fulfilling the statewide goals.

Graduates from our universities are known for their practicality, strong work ethic, and ambition. The component institutions of the Texas Tech University System are preparing students for lifelong success personally, professionally, and civically.

As the cost of a four-year college education rises, universities must find ways to keep deserving families from being priced out of a quality education. The Texas Tech University System is committed to keeping higher education affordable and accessible to all families by providing necessary financial resources.

Our health-related institutions pride themselves on investigating and treating complex health issues—especially those affecting historically underserved populations.

Each of our universities has research areas of unparalleled excellence that are leveraging their unique resources to provide solutions to complex problems impacting our nation and world.

The System and its components are committed to utilizing the resources necessary to achieve its goals in combination with improved productivity, internal efficiencies, and budget reallocations. In addition, the System will continue to share services across all institutions in order to reduce expenses.

SUMMARY TOTALS:

| | | | | | |
|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| OBJECTS OF EXPENSE: | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| METHODS OF FINANCE (INCLUDING RIDERS): | | | | \$1,425,000 | \$1,425,000 |
| METHODS OF FINANCE (EXCLUDING RIDERS): | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 | \$1,425,000 |
| FULL TIME EQUIVALENT POSITIONS: | 70.0 | 80.0 | 80.0 | 80.0 | 80.0 |

4.A. Exceptional Item Request Schedule
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DATE: **10/17/2014**
 TIME: **2:22:35PM**

Agency code: **768**

Agency name:
Texas Tech University System Administration

| CODE | DESCRIPTION | Excp 2016 | Excp 2017 |
|--|---|------------------|------------------|
| | Item Name: Restoration of Funding for System Administration | | |
| | Item Priority: 1 | | |
| | Includes Funding for the Following Strategy or Strategies: 01-01-11 System Office Operations | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 575,000 | 575,000 |
| | TOTAL, OBJECT OF EXPENSE | \$575,000 | \$575,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 575,000 | 575,000 |
| | TOTAL, METHOD OF FINANCING | \$575,000 | \$575,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 18.00 | 18.00 |

DESCRIPTION / JUSTIFICATION:

Texas Tech University System Administration currently provides many collaborative functions between the five components including strategic planning; fund raising; legal counsel; audit services; facilities planning and construction; investments, cash, and debt management; risk management; communication services; and governmental relations. These centralized activities are necessary and crucial for the component institutions to achieve statewide goals and address the challenges facing the State of Texas in an effective and efficient manner.

Texas Tech University System Administration experienced a 29% reduction in appropriations since fiscal year 2010. The impact of these reductions slowed hiring and resulted in a small degradation in service, e.g., fewer staff to respond to service requests. The reduction has been managed to minimize its impact on the students and mission of the Texas Tech University System. During this same period the demand for Texas Tech University System Administration services has increased due to the addition of a fifth component institution, the Texas Tech University Health Sciences Center at El Paso, and the growth at our institutions.

Texas Tech University System Administration requests a restoration of funding for system operations to the level that existed prior to the budget reductions made in 2010. This restoration will allow Texas Tech University System Administration to meet the increased needs of our component institutions. Additional support to Texas Tech University System Administration will allow our component institutions to retain more funding for their academic missions.

EXTERNAL/INTERNAL FACTORS:

4.A. Exceptional Item Request Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/17/2014**
TIME: **2:22:35PM**

Agency code: **768**

Agency name:

Texas Tech University System Administration

| CODE | DESCRIPTION | Excp 2016 | Excp 2017 |
|-------------|--------------------|------------------|------------------|
|-------------|--------------------|------------------|------------------|

In order to meet the demands for FY 2016 and 2017, the Texas Tech University System requests the restoration of the initial 5% reduction in 2010-2011 and the 25% reduction in 2012-13. This will enable the Texas Tech University System to provide the same level of basic services in FY 2016 and 2017 to our expanding System.

Major accomplishments to date and expected over the next two years: The Texas Tech University System is achieving the State of Texas goals by growing enrollment and diversifying student populations for both our general academic institutions and health-related institutions, awarding a record number of degrees, and expanding research while focusing on quality undergraduate and graduate education. Texas Tech University System Administration will continue to provide centralized services to allow our components to achieve statewide goals and address the challenges facing the State of Texas in an effective and efficient manner.

Year established and funding source prior to receiving special item funding: The Texas Tech University System was established in 1999. The prior funding source was general revenue.

Formula funding: No

Non-general revenue sources of funding: Funding from our component institutions.

Consequences of not funding: TTUSA will be unable to provide the same level of basic services in FY 2016 and 2017.

Agency code: 768 Agency name: Texas Tech University System Administration

| Code | Description | Excp 2016 | Excp 2017 |
|--|----------------------|------------------|------------------|
| Item Name: Restoration of Funding for System Administration | | | |
| Allocation to Strategy: 1-1-11 System Office Operations | | | |
| OBJECTS OF EXPENSE: | | | |
| 1001 | SALARIES AND WAGES | 575,000 | 575,000 |
| TOTAL, OBJECT OF EXPENSE | | \$575,000 | \$575,000 |
| METHOD OF FINANCING: | | | |
| 1 | General Revenue Fund | 575,000 | 575,000 |
| TOTAL, METHOD OF FINANCING | | \$575,000 | \$575,000 |
| FULL-TIME EQUIVALENT POSITIONS (FTE): | | 18.0 | 18.0 |

4.C. Exceptional Items Strategy Request
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/17/2014
TIME: 2:22:36PM

Agency Code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 1 Provide Instructional and Operations Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:
 STRATEGY: 11 System Office Operations Service: 02 Income: A.2 Age: B.3

| CODE DESCRIPTION | Exp 2016 | Exp 2017 |
|-------------------------|-----------------|-----------------|
|-------------------------|-----------------|-----------------|

OBJECTS OF EXPENSE:

| | | |
|----------------------------------|------------------|------------------|
| 1001 SALARIES AND WAGES | 575,000 | 575,000 |
| Total, Objects of Expense | \$575,000 | \$575,000 |

METHOD OF FINANCING:

| | | |
|---------------------------------|------------------|------------------|
| 1 General Revenue Fund | 575,000 | 575,000 |
| Total, Method of Finance | \$575,000 | \$575,000 |

FULL-TIME EQUIVALENT POSITIONS (FTE): 18.0 18.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of Funding for System Administration

6.A. Historically Underutilized Business Supporting Schedule
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/17/2014**
 Time: **2:22:37PM**

Agency Code: **768** Agency: **Texas Tech University System Administration**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2012 - 2013 HUB Expenditure Information

| Statewide HUB Goals | Procurement Category | % Goal | HUB Expenditures FY 2012 | | | Total Expenditures FY 2012 | | HUB Expenditures FY 2013 | | | Total Expenditures FY 2013 | |
|------------------------|----------------------------|--------|--------------------------|--------|------------------|----------------------------------|----------|--------------------------|-----------|------------------|----------------------------------|--|
| | | | % Actual | Diff | Actual \$ | % Goal | % Actual | Diff | Actual \$ | % Goal | Actual \$ | |
| 11.2% | Heavy Construction | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | |
| 21.1% | Building Construction | 0.0 % | 100.0% | 100.0% | \$240 | \$240 | 0.0 % | 0.0% | 0.0% | \$0 | \$3,975 | |
| 32.7% | Special Trade Construction | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | 0.0 % | 0.0% | 0.0% | \$0 | \$0 | |
| 23.6% | Professional Services | 23.6 % | 0.0% | -23.6% | \$0 | \$649,013 | 23.6 % | 0.0% | -23.6% | \$0 | \$697,813 | |
| 24.6% | Other Services | 24.6 % | 4.6% | -20.0% | \$16,298 | \$354,909 | 24.6 % | 0.5% | -24.1% | \$5,737 | \$1,247,941 | |
| 21.0% | Commodities | 21.0 % | 71.6% | 50.6% | \$518,925 | \$724,874 | 21.0 % | 79.2% | 58.2% | \$621,872 | \$784,892 | |
| | Total Expenditures | | 31.0% | | \$535,463 | \$1,729,036 | | 23.0% | | \$627,609 | \$2,734,621 | |

B. Assessment of Fiscal Year 2012 - 2013 Efforts to Meet HUB Procurement Goals

Attainment:

Texas Tech University System Administration (TTUSA) exceeded one of three, or 33% of the applicable agency HUB procurement goals in fiscal year 2012. TTUSA exceeded the Statewide Goal of 21% by 50.59% for the Commodities category.

TTUSA exceeded one of three, or 33% of the applicable agency HUB procurement goals in fiscal year 2013. TTUSA exceeded the Statewide Goal of 21% by 58.23% for the Commodities category.

Applicability:

TTUSA's primary expenditures categories are Other Services and Commodity Purchasing.

The Heavy Construction, Building Construction, and Special Trade Construction categories were not applicable to TTUSA operations in either fiscal year 2012 or fiscal year 2013 since TTUSA did not have any strategies or programs related to these categories.

Factors Affecting Attainment:

The majority of purchases from TTUSA are for internal office needs or are proprietary purchases. Availability and capability of HUB vendors in West Texas is very limited for some commodities and services.

Texas Tech University System (TTU System) will continue to require prime contractors to put forth a good faith effort in establishing contract with HUBs as subcontractor, suppliers, and material providers for contracts of \$100,000 or more.

6.A. Historically Underutilized Business Supporting Schedule
84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/17/2014**
Time: **2:22:37PM**

Agency Code: **768** Agency: **Texas Tech University System Administration**

"Good-Faith" Efforts:

TTU System made the following additional good faith efforts to comply with the statewide HUB procurement goals:

- Assists HUBs in learning how to conduct business with the TTU System and its components by conducting outreach activities such as submitting notices of contracting opportunities through various minority news media, chamber of commerce, and minority business development centers.
- Participates in local HUB forums and presentations to Small Business Development programs.
- Assists HUB firms with certification.
- Requires prime contractors to put forth a good faith effort on all contracts and assist with HUB Subcontracting Plan questions.
- Provides HUB support to departments by providing vendor names to purchasing employees on a monthly basis.

Texas Tech University System (768)
Estimated Funds Outside the Institution's Bill Pattern
2014-15 and 2016-17 Biennia

| | 2014-15 Biennium | | | | 2016-17 Biennium | | | |
|--|----------------------------|----------------------------|---------------------------|-----------------------------|----------------------------|----------------------------|---------------------------|-----------------------------|
| | <u>FY 2014 Revenue</u> | <u>FY 2015 Revenue</u> | <u>Biennium Total</u> | <u>Percent of Total</u> | <u>FY 2016 Revenue</u> | <u>FY 2017 Revenue</u> | <u>Biennium Total</u> | <u>Percent of Total</u> |
| APPROPRIATED SOURCES INSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (excluding HEGI & State Paid Fringes) | 1,425,000 | 1,425,000 | 2,850,000 | | 1,425,000 | 1,425,000 | 2,850,000 | |
| Tuition and Fees (net of Discounts and Allowances) | | | | | | | | |
| Endowment and Interest Income | | | | | | | | |
| Sales and Services of Educational Activities (net) | | | | | | | | |
| Sales and Services of Hospitals (net) | | | | | | | | |
| Other Income | | | | | | | | |
| Total | <u>1,425,000</u> | <u>1,425,000</u> | <u>2,850,000</u> | <u>6.9%</u> | <u>1,425,000</u> | <u>1,425,000</u> | <u>2,850,000</u> | <u>6.5%</u> |
| APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN | | | | | | | | |
| State Appropriations (HEGI & State Paid Fringes) | 1,259,158 | 1,259,158 | 2,518,316 | | 1,259,158 | 1,259,158 | 2,518,316 | |
| Higher Education Assistance Funds | | | | | | | | |
| Available University Fund | | | | | | | | |
| State Grants and Contracts | | | | | | | | |
| Total | <u>1,259,158</u> | <u>1,259,158</u> | <u>2,518,316</u> | <u>6.1%</u> | <u>1,259,158</u> | <u>1,259,158</u> | <u>2,518,316</u> | <u>5.8%</u> |
| NON-APPROPRIATED SOURCES | | | | | | | | |
| Tuition and Fees (net of Discounts and Allowances) | | | | | | | | |
| Federal Grants and Contracts | | | | | | | | |
| State Grants and Contracts | | | | | | | | |
| Local Government Grants and Contracts | | | | | | | | |
| Private Gifts and Grants | | | | | | | | |
| Endowment and Interest Income | 4,975,000 | 6,435,884 | 11,410,884 | | 6,435,884 | 6,435,884 | 12,871,768 | |
| Sales and Services of Educational Activities (net) | | | | | | | | |
| Sales and Services of Hospitals (net) | | | | | | | | |
| Professional Fees (net) | 3,145,694 | 3,145,694 | 6,291,388 | | 3,145,694 | 3,145,694 | 6,291,388 | |
| Auxiliary Enterprises (net) | | | | | | | | |
| Transfers from Components - Appropriated Funds | 3,546,302 | 3,636,302 | 7,182,604 | | 3,636,302 | 3,636,302 | 7,272,604 | |
| Other Income | 5,162,550 | 5,911,605 | 11,074,155 | | 5,911,605 | 5,911,605 | 11,823,210 | |
| Total | <u>16,829,546</u> | <u>19,129,485</u> | <u>35,959,031</u> | <u>87.0%</u> | <u>19,129,485</u> | <u>19,129,485</u> | <u>38,258,970</u> | <u>87.7%</u> |
| TOTAL SOURCES | <u>\$ 19,513,704</u> | <u>\$ 21,813,643</u> | <u>\$ 41,327,347</u> | <u>100.0%</u> | <u>\$ 21,813,643</u> | <u>\$ 21,813,643</u> | <u>\$ 43,627,286</u> | <u>100.0%</u> |

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2014

Time: 2:22:50PM

Agency code: 768 Agency name: Texas Tech University System Administration

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|------|----------------|--------|
| | 2016 | 2017 | Biennial Total | 2016 | 2017 | Biennial Total | |

1 1. 5% Reduction to Administrative Operating Expense

Category: Administrative - FTEs / Layoffs

Item Comment: Management has met the challenge of the reductions experienced in fiscal years 2010-13 appropriations. However, management's options to maintain service levels with increased growth of the Texas Tech University System with a 5% reduction in FY 2016-17 are limited due to the size of the agency. This reduction will result in the elimination of 2 positions in functions that provide direct support to the components of the Texas Tech University System.

Strategy: 1-1-11 System Office Operations

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$71,250 | \$71,250 | \$142,500 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$71,250 | \$71,250 | \$142,500 |
| Item Total | \$0 | \$0 | \$0 | \$71,250 | \$71,250 | \$142,500 |

FTE Reductions (From FY 2016 and FY 2017 Base Request) 2.0 2.0

2 2. Additional 5% Reduction to Administrative Operating Expense

Category: Administrative - FTEs / Layoffs

Item Comment: An additional 5% in FY 2016-17 further restricts management's options to maintain service levels and will result in the elimination of an additional 2 positions in functions that provide direct support to the components of the Texas Tech University System.

Strategy: 1-1-11 System Office Operations

General Revenue Funds

| | | | | | | |
|------------------------------------|------------|------------|------------|-----------------|-----------------|------------------|
| 1 General Revenue Fund | \$0 | \$0 | \$0 | \$71,250 | \$71,250 | \$142,500 |
| General Revenue Funds Total | \$0 | \$0 | \$0 | \$71,250 | \$71,250 | \$142,500 |
| Item Total | \$0 | \$0 | \$0 | \$71,250 | \$71,250 | \$142,500 |

FTE Reductions (From FY 2016 and FY 2017 Base Request) 2.0 2.0

AGENCY TOTALS

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2014
Time: 2:22:50PM

Agency code: 768 Agency name: Texas Tech University System Administration

| Item Priority and Name/ Method of Financing | REVENUE LOSS | | | REDUCTION AMOUNT | | | TARGET |
|---|--------------|------|----------------|------------------|-----------|----------------|-----------|
| | 2016 | 2017 | Biennial Total | 2016 | 2017 | Biennial Total | |
| General Revenue Total | | | | \$142,500 | \$142,500 | \$285,000 | \$285,000 |
| Agency Grand Total | \$0 | \$0 | \$0 | \$142,500 | \$142,500 | \$285,000 | |
| Difference, Options Total Less Target | | | | | | | |
| Agency FTE Reductions (From FY 2016 and FY 2017 Base Request) | | | | 4.0 | 4.0 | | |

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| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|----------------------------------|----------------|---------------|----------------------|-------------------|---------------|
| GR & GR-D Percentages | | | | | |
| GR % | 100.00% | | | | |
| GR-D % | 0.00% | | | | |
| Total Percentage | 100.00% | | | | |
| FULL TIME ACTIVES | | | | | |
| 1a Employee Only | 27 | 27 | 0 | 27 | 45 |
| 2a Employee and Children | 14 | 14 | 0 | 14 | 13 |
| 3a Employee and Spouse | 10 | 10 | 0 | 10 | 4 |
| 4a Employee and Family | 28 | 28 | 0 | 28 | 19 |
| 5a Eligible, Opt Out | 0 | 0 | 0 | 0 | 1 |
| 6a Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 4 |
| Total for This Section | 79 | 79 | 0 | 79 | 86 |
| PART TIME ACTIVES | | | | | |
| 1b Employee Only | 2 | 2 | 0 | 2 | 1 |
| 2b Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3b Employee and Spouse | 1 | 1 | 0 | 1 | 0 |
| 4b Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5b Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6b Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 4 |
| Total for This Section | 3 | 3 | 0 | 3 | 5 |
| Total Active Enrollment | 82 | 82 | 0 | 82 | 91 |

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| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-----------------------------------|----------------|---------------|----------------------|-------------------|---------------|
| FULL TIME RETIREES by ERS | | | | | |
| 1c Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2c Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3c Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4c Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5c Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6c Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| PART TIME RETIREES by ERS | | | | | |
| 1d Employee Only | 0 | 0 | 0 | 0 | 0 |
| 2d Employee and Children | 0 | 0 | 0 | 0 | 0 |
| 3d Employee and Spouse | 0 | 0 | 0 | 0 | 0 |
| 4d Employee and Family | 0 | 0 | 0 | 0 | 0 |
| 5d Eligible, Opt Out | 0 | 0 | 0 | 0 | 0 |
| 6d Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 0 |
| Total for This Section | 0 | 0 | 0 | 0 | 0 |
| Total Retirees Enrollment | 0 | 0 | 0 | 0 | 0 |
| TOTAL FULL TIME ENROLLMENT | | | | | |
| 1e Employee Only | 27 | 27 | 0 | 27 | 45 |
| 2e Employee and Children | 14 | 14 | 0 | 14 | 13 |
| 3e Employee and Spouse | 10 | 10 | 0 | 10 | 4 |
| 4e Employee and Family | 28 | 28 | 0 | 28 | 19 |
| 5e Eligible, Opt Out | 0 | 0 | 0 | 0 | 1 |
| 6e Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 4 |
| Total for This Section | 79 | 79 | 0 | 79 | 86 |

768 Texas Tech University System Administration

| | E&G Enrollment | GR Enrollment | GR-D/OEGI Enrollment | Total E&G (Check) | Local Non-E&G |
|-------------------------------|---------------------------|----------------------|-----------------------------|------------------------------|--------------------------|
| TOTAL ENROLLMENT | | | | | |
| 1f Employee Only | 29 | 29 | 0 | 29 | 46 |
| 2f Employee and Children | 14 | 14 | 0 | 14 | 13 |
| 3f Employee and Spouse | 11 | 11 | 0 | 11 | 4 |
| 4f Employee and Family | 28 | 28 | 0 | 28 | 19 |
| 5f Eligible, Opt Out | 0 | 0 | 0 | 0 | 1 |
| 6f Eligible, Not Enrolled | 0 | 0 | 0 | 0 | 8 |
| Total for This Section | 82 | 82 | 0 | 82 | 91 |

Schedule 4: Computation of OASI
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Agency 768 Texas Tech University System Administration

| Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2 | 2013 | | 2014 | | 2015 | | 2016 | | 2017 | |
|---|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|-----------------------|-------------------------------|
| | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> | <u>% to Total</u> | <u>Allocation of OASI</u> |
| General Revenue (% to Total) | 100.0000 | \$308,443 | 100.0000 | \$321,726 | 100.0000 | \$321,726 | 100.0000 | \$321,726 | 100.0000 | \$321,726 |
| Other Educational and General Funds (% to Total) | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 |
| Health-Related Institutions Patient Income (% to Total) | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 | 0.0000 | \$0 |
| Grand Total, OASI (100%) | 100.0000 | \$308,443 | 100.0000 | \$321,726 | 100.0000 | \$321,726 | 100.0000 | \$321,726 | 100.0000 | \$321,726 |

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

10/17/2014 2:22:44PM

84th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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| Description | Act 2013 | Act 2014 | Bud 2015 | Est 2016 | Est 2017 |
|--|------------|------------|------------|------------|------------|
| Proportionality Amounts | | | | | |
| Gross Educational and General Payroll - Subject To TRS Retirement | 3,123,055 | 3,420,592 | 3,420,588 | 3,420,588 | 3,420,588 |
| Employer Contribution to TRS Retirement Programs | 199,876 | 232,600 | 232,600 | 232,600 | 232,600 |
| Gross Educational and General Payroll - Subject To ORP Retirement | 1,574,018 | 1,325,864 | 1,325,864 | 1,325,864 | 1,325,864 |
| Employer Contribution to ORP Retirement Programs | 99,163 | 87,507 | 87,507 | 87,507 | 87,507 |
| Proportionality Percentage | | | | | |
| General Revenue | 100.0000 % | 100.0000 % | 100.0000 % | 100.0000 % | 100.0000 % |
| Other Educational and General Income | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % |
| Health-related Institutions Patient Income | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % | 0.0000 % |
| Proportional Contribution | | | | | |
| Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs) | 0 | 0 | 0 | 0 | 0 |
| HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs) | 0 | 0 | 0 | 0 | 0 |
| Differential | | | | | |
| Gross Payroll Subject to Differential - Optional Retirement Program | 1,186,714 | 1,002,107 | 1,002,107 | 1,002,107 | 1,002,107 |
| Total Differential | 29,668 | 19,040 | 19,040 | 19,040 | 19,040 |

Schedule 7: Personnel
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2014
 Time: 2:22:45PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

| | Actual 2013 | Actual 2014 | Budgeted 2015 | Estimated 2016 | Estimated 2017 |
|---|----------------|----------------|------------------|-------------------|-------------------|
| Part A. | | | | | |
| FTE Postions | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | 70.0 | 80.0 | 80.0 | 80.0 | 80.0 |
| Subtotal, Directly Appropriated Funds | 70.0 | 80.0 | 80.0 | 80.0 | 80.0 |
| Non Appropriated Funds Employees | 83.0 | 75.0 | 84.0 | 84.0 | 84.0 |
| Subtotal, Other Funds & Non-Appropriated | 83.0 | 75.0 | 84.0 | 84.0 | 84.0 |
| GRAND TOTAL | 153.0 | 155.0 | 164.0 | 164.0 | 164.0 |

Part B.
Personnel Headcount

Directly Appropriated Funds (Bill Pattern)

| | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|
| Educational and General Funds Faculty Employees | 74.0 | 84.0 | 84.0 | 84.0 | 84.0 |
| Subtotal, Directly Appropriated Funds | 74.0 | 84.0 | 84.0 | 84.0 | 84.0 |
| Non Appropriated Funds Employees | 94.0 | 95.0 | 110.0 | 110.0 | 110.0 |
| Subtotal, Non-Appropriated | 94.0 | 95.0 | 110.0 | 110.0 | 110.0 |
| GRAND TOTAL | 168.0 | 179.0 | 194.0 | 194.0 | 194.0 |

Schedule 7: Personnel
 84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/17/2014
 Time: 2:22:45PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

| | Actual 2013 | Actual 2014 | Budgeted 2015 | Estimated 2016 | Estimated 2017 |
|---|-----------------------|-----------------------|-------------------------|--------------------------|--------------------------|
| PART C. | | | | | |
| Salaries | | | | | |
| Directly Appropriated Funds (Bill Pattern) | | | | | |
| Educational and General Funds Faculty Employees | \$4,881,302 | \$4,971,302 | \$5,061,302 | \$5,061,302 | \$5,061,302 |
| Subtotal, Directly Appropriated Funds | \$4,881,302 | \$4,971,302 | \$5,061,302 | \$5,061,302 | \$5,061,302 |
| Non Appropriated Funds Employees | \$5,190,973 | \$5,190,973 | \$5,190,973 | \$5,190,973 | \$5,190,973 |
| Subtotal, Non-Appropriated | \$5,190,973 | \$5,190,973 | \$5,190,973 | \$5,190,973 | \$5,190,973 |
| GRAND TOTAL | \$10,072,275 | \$10,162,275 | \$10,252,275 | \$10,252,275 | \$10,252,275 |

Schedule 8B: Tuition Revenue Bond Issuance History

10/17/2014 2:22:46PM

84th Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

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| Authorization Date | Authorization Amount | Issuance Date | Issuance Amount | Authorized Amount Outstanding as of 08/31/2014 | Proposed Issuance Date for Outstanding Authorization | Proposed Issuance Amount for Outstanding Authorization |
|--------------------|----------------------|-----------------|-----------------|--|--|--|
| 1971 | \$35,000,000 | Feb 1 1972 | \$5,000,000 | | | |
| | | Jun 1 1972 | \$12,500,000 | | | |
| | | Apr 1 1974 | \$16,000,000 | | | |
| | | Feb 1 1984 | \$1,500,000 | | | |
| | | <i>Subtotal</i> | | \$35,000,000 | \$0 | |
| 1993 | \$41,000,000 | Jan 5 1994 | \$16,000,000 | | | |
| | | Feb 5 1995 | \$25,000,000 | | | |
| | | <i>Subtotal</i> | | \$41,000,000 | \$0 | |
| 1997 | \$82,500,000 | Sep 19 1998 | \$20,000,000 | | | |
| | | May 4 1999 | \$38,200,000 | | | |
| | | Jan 1 2002 | \$24,300,000 | | | |
| | | <i>Subtotal</i> | | \$82,500,000 | \$0 | |
| 2001 | \$107,447,075 | Oct 17 2002 | \$16,917,550 | | | |
| | | Sep 1 2003 | \$90,529,525 | | | |
| | | <i>Subtotal</i> | | \$107,447,075 | \$0 | |
| 2003 | \$45,000,000 | Feb 1 2006 | \$45,000,000 | | | |
| | | <i>Subtotal</i> | | \$45,000,000 | \$0 | |
| 2006 | \$89,810,000 | Mar 3 2009 | \$89,810,000 | | | |
| | | <i>Subtotal</i> | | \$89,810,000 | \$0 | |

Schedule 8C: Revenue Capacity for Tuition Revenue Bond Projects

DATE: 10/17/2014
TIME: 2:22:47PM

84th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 768

Agency Name: Texas Tech University System Administration

| | Act 2013 | Act 2014 | Bud 2015 | Est 2016 | Est 2017 |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Gross Tuition | \$114,064,491 | \$117,694,883 | \$119,887,135 | \$122,153,986 | \$124,459,231 |
| Less: Remissions and Exemptions | (44,618,381) | (46,644,927) | (47,471,171) | (48,408,311) | (49,369,831) |
| Less: Refunds | 0 | 0 | 0 | 0 | 0 |
| Less: Installment Payment Forfeits | 0 | 0 | 0 | 0 | 0 |
| Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065) | (163,000) | (488,000) | (487,000) | (487,000) | (487,000) |
| Plus: Tuition waived for students 55 years or older (TX. Educ. Code Ann. Sec. 54.013) | 30,461 | 107,623 | 110,238 | 110,238 | 110,238 |
| Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307) | 0 | 0 | 0 | 0 | 0 |
| Subtotal | \$69,313,571 | \$70,669,579 | \$72,039,202 | \$73,368,913 | \$74,712,638 |
| Less: Transfer of Funds for Texas Public Education Grants Program (TX. Educ. Code Ann. Sec. 56c) and for Emergency Loans (TX. Educ. Code Ann. Sec. 56d) | (8,791,889) | (8,895,104) | (9,293,745) | (9,478,742) | (9,666,332) |
| Less: Transfer of Funds (2%) for Physician Loans (Medical School) | (110,202) | (123,077) | (125,775) | (132,325) | (138,875) |
| Less: Statutory Tuition (TX. Educ. Code Ann. Sec. 54.051) Set aside for Doctoral Incentive Loan Repayment Program (TX. Educ. Code Ann. Sec. 56.095) | (80,554) | (85,130) | (86,833) | 0 | 0 |
| Less: Other Authorized Deductions | 0 | 0 | 0 | 0 | 0 |
| Total Net Tuition Available to Pledge for Tuition Revenue Bonds | \$60,330,926 | \$61,566,268 | \$62,532,849 | \$63,757,846 | \$64,907,431 |
| Debt Service on Existing Tuition Revenue Bonds | (26,113,581) | (25,521,888) | (24,117,485) | (22,263,349) | (22,276,463) |
| Estimated Debt Service for Authorized but Unissued Tuition Revenue Bonds | 0 | 0 | 0 | 0 | 0 |
| Subtotal, Debt Service on Existing Authorizations | \$(26,113,581) | \$(25,521,888) | \$(24,117,485) | \$(22,263,349) | \$(22,276,463) |
| TOTAL TUITION AVAILABLE FOR NEW AUTHORIZATIONS | \$34,217,345 | \$36,044,380 | \$38,415,364 | \$41,494,497 | \$42,630,968 |
| Debt Capacity Available for New Authorizations | \$395,463,064 | \$416,578,812 | \$443,981,190 | \$479,567,918 | \$492,702,550 |