

TEXAS TECH UNIVERSITY SYSTEM  
Lubbock, Texas

Minutes

Board of Regents  
October 4, 2018

Thursday, October 4, 2018.—The members of the Board of Regents of the Texas Tech University System, representatives of the Texas Tech Foundation Board, members of the Investment Advisory Committee, and members of the Angelo State Foundation Board convened in a “Joint Meeting” at 8:30 am on Thursday, October 4, 2018, in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were John D. Esparza; L. Frederick “Rick” Francis, Chair; Jane Gilmore, Student Regent; Ron Hammonds; Christopher “Chris” M. Huckabee; I. Tim Lancaster, Vice Chairman; J. Michael Lewis; Mickey L. Long; John D. Steinmetz; and John B. Walker.

Investment Advisory Committee members present were Lynn Alexander; Carin Barth; David Graves; Benton Hyatt; Christopher Loveless; Robert Matejek; Mark Mitchell; and Langston Turner.

Texas Tech Foundation Board members present were Don Maddox; Terry Fuller; Allen Howard; Dale Redman; and Don Sinclair.

Angelo State University Foundation Board Representative present was Lloyd Norris.

NEPC, LLP consultants present were Tim Bruce and Michael Manning.

The following officers and staff were present: Dr. Tedd Mitchell, Interim Chancellor, TTUS and President, TTUHSC; Dr. Brian May, President, ASU; Dr. Lawrence Schovanec, President, TTU; Dr. Richard Lange, President, TTUHSC El Paso; Mr. Tim Barrett, Chief Investments Officer, TTUS; Mr. Dan Parker, Deputy Chief Investments Officer, TTUS; Mr. Chris Gailey, Section Manager, Office of Investments, TTUS; Mr. Michael Nichols, Senior Investments Officer, Office of Investments, TTUS; Mr. Ben Lock, Executive Assistant to the Chancellor and Secretary of the Board, TTUS; Mr. Eric Bentley, Vice Chancellor and General Counsel, TTUS; Mr. Gary Barnes, Vice Chancellor and Chief Financial Officer, TTUS; Mr. Tim Barrett, Associate Vice Chancellor for Investments, TTUS; Mr. Patrick Kramer, Vice Chancellor for Institutional Advancement, TTUS; Mrs. Kim Turner, Chief Audit Executive, Audit Services, TTUS; Mrs. Noel Sloan, Vice President for Administration and Finance and Chief Financial Officer, TTU; Mrs. Angie Wright, Vice President for Finance and

Administration, ASU; Ms. Sue Fuciarelli, Vice President for Finance and Administration and Chief Financial Officer, TTUHSC El Paso; Mrs. Penny Harkey, Vice President and Chief Financial Officer, TTUHSC; Mr. Billy Breedlove, Vice Chancellor for Facilities, Planning and Construction, TTUS; Dr. John Opperman, Vice Chancellor for Academic Affairs, TTUS; and Mrs. Christina Martinez, Assistant Secretary to the Board of Regents, TTUS.

- I. JOINT MEETING OF THE TTUS BOARD OF REGENTS, THE EXECUTIVE COMMITTEE OF THE TEXAS TECH FOUNDATION BOARD, THE INVESTMENT ADVISORY COMMITTEE, AND THE ASU FOUNDATION BOARD.—Chairman Francis announced a quorum present and called the meeting to order.
  - A. INTRODUCTIONS.—Chairman Francis began by recognizing and thanking three outgoing members of the Investment Advisory Committee including Carin Barth (Chairman, IAC); Robert R. Matejek; and Mark Mitchell. Chairman Francis then asked for the members of the Investment Advisory Committee, Board of Regents, the Texas Tech Foundation Board, the Angelo State University Foundation Board and Texas Tech System officers to introduce themselves. Chairman Francis welcomed everyone.
  - B. 2018 ECONOMY/MARKET REVIEW.—Mr. Tim Bruce presented a report on the Long Term Investment Fund, included herewith as Attachment No. 1. (Joint Annual Meeting, October 2018 PowerPoint)
  - C. INVESTMENT PERFORMANCE REVIEW—Mr. Tim Barrett presented a report on the macro environment, included herewith as Attachment No. 1. (Joint Annual Meeting, October 2018, PowerPoint)
- II. ADJOURNMENT OF JOINT MEETING/ RECESS MEETING OF THE BOARD.—Chairman Francis recessed the meeting at 9:16 am.

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NOTE: A short break was taken upon adjournment of the Joint Meeting/ recess of the Meeting of the Board.

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Thursday, October 4, 2018.— The members of the Board of Regents of the Texas Tech University System reconvened at 9:30 am on Thursday, October 4, 2018, in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were John D. Esparza; L. Frederick “Rick” Francis, Chair; Jane Gilmore, Student Regent; Ron Hammonds; Christopher “Chris” M. Huckabee; I. Tim Lancaster, Vice Chairman; J. Michael Lewis; Mickey L. Long; John D. Steinmetz; and John B. Walker.

The following officers and staff were present: Dr. Tedd Mitchell, Interim Chancellor, TTUS and President, TTUHSC; Dr. Brian May, President, ASU; Dr. Lawrence Schovanec, President, TTU; Dr. Richard Lange, President, TTUHSC El Paso; Mr. Ben Lock, Executive Assistant to the Chancellor and Secretary of the Board, TTUS; Mr. Eric Bentley, Vice Chancellor and General Counsel, TTUS; Mr. Gary Barnes, Vice Chancellor and Chief Financial Officer, TTUS; Mrs. Noel Sloan, Vice President for Administration and Finance and Chief Financial Officer, TTU; Mrs. Angie Wright, Vice President for Finance and Administration, ASU; Ms. Sue Fuciarelli, Vice President for Finance and Administration and Chief Financial Officer, TTUHSC El Paso; Mrs. Penny Harkey, Vice President and Chief Financial Officer, TTUHSC; Mr. Patrick Kramer, Vice Chancellor for Institutional Advancement, TTUS; Mrs. Kim Turner, Chief Audit Executive, Audit Services, TTUS; Mr. Billy Breedlove, Vice Chancellor for Facilities, Planning and Construction, TTUS; Dr. John Opperman, Vice Chancellor for Academic Affairs, TTUS; Ms. Martha Brown, Vice Chancellor for Governmental Relations, TTUS; Mr. Brett Ashworth, Vice Chancellor for Communications and Marketing, TTUS; Dr. Michael Galyean, Provost, TTU; Mr. Kirby Hocutt, Director, Athletics, TTU; and Mrs. Christina Martinez, Assistant Secretary to the Board of Regents, TTUS.

III. MEETING OF THE BOARD—CALL TO ORDER; RECONVENE INTO OPEN SESSION OF THE BOARD.—At 9:30 am, Chairman Francis announced a quorum present and called the meeting to order.

A. INTRODUCTIONS AND RECOGNITIONS.—The Board continued in Open Session. Chairman Francis called on Dr. Mitchell, Dr. Schovanec, Dr. May, and Dr. Lange to present their introductions and recognitions.

President Schovanec presented his introductions and recognitions. “Mr. Chairman, I’d first like to introduce Coach Marlene Stallings. Coach Stallings became the seventh head coach of the Lady Raiders in April. She comes to Lubbock after spending four seasons at the University of Minnesota where she continued her history of turn around success as she has at other institutions. Most recently, she led the Gophers to a 20 win season, the most in 13 years. She also led them to the NCAA tournament second round which was the first in nine years. Prior to coaching in Minnesota, she was the head coach of the Virginia Commonwealth University for two seasons and led the Rams to the top turnarounds in the nation. Before VCU, she lead a one year resurgence at Winthrop University where the Eagles posted their second winning season in just the last 26 years. Coach Stallings is part of an elite group of coaches in the country in that she is one of ten to have four more WMBA draft choices in the last nine years. We are very excited to have Coach Stallings here. She came here because she felt that here she could win a national championship and we look forward to that.

“My next introduction has just walked in. I’m glad he made it. Dr. Luis Rafael Herrera-Estrella just joined the Department of Plant and Soil Science and he is the first member of the National Academy of Science at

Texas Tech University. His hiring was made possible by a \$5 million award from Governor Abbott's University Research Initiative. He is one of the top molecular biologists in the world and will serve as the director of the Center for Functional Genomics of Antibiotic Stress which generally studies how plants thrive and adapt to stress environments. His research is very much focused on cotton which is a very important issue here. He is known and respected worldwide for his work. The Scientific American in 2015 named him as one of the 100 most influential people in biotechnology in the world. He is a holder of 15 patents. He has published more than 180 research papers, 47 book chapters and has delivered more than 200 presentations of his work. We are extremely pleased to add Dr. Herrera-Estrella to the faculty at Texas Tech University.

"Next, I'd like to introduce Dr. Bruce Clarke who is a Paul Whitfield Horn Professor of Literature and Science in the Texas Tech Department of English. The Library of Congress this year named Dr. Clarke as the sixth Baruch S. Blumberg chair in astrobiology. He will be in residence in the library's John Kluge Center for the 10 months beginning in December. His research focuses on the 19th and 20th century literature and science with special interest in systems theory, narrative theory and ecology. At the Library of Congress he will work on a project entitled, Astrobiology, Ecology and the Rise of Gaia theory. You may not have expected this, given the way that he is dressed in a suit today which he usually doesn't do, but Bruce performed at Woodstock as a member of Sha Na Na.

"Next, I'd like to introduce Curtis Langford. Curt was named as the president and CEO of the Texas Tech Alumni Association on October 1, replacing longtime leader Dr. Bill Dean. Curt is a two-time Texas Tech graduate and this is his second stint with the Alumni Association where he has served in various positions from 1989 to 2000. For the past 18 years, he has served as vice president for Collegiate Market Development for the American Achievement Corporation, formally known as Balfour, who provides the rings for our students. He helped establish the Texas Tech ring program and ceremony which has become a very big event on this campus each fall and spring. He has also served as an advisor for the Student Alumni Board and was named the Outstanding Advisor for the Council Advancement and Sport of Education. Curt has some very big shoes to fill in replacing Bill Dean but his is the right person to take on that job.

"Next, I'd like to introduce Stacy Poteet. She is the lead administrator for scholarship in the TTU Honors College and senior advisor to the University's Mortar Board chapter. The Texas Tech chapter was recently recognized with the Ruth Weimer Mount Chapter Excellence Award which is the highest national honor provided by the National Mortar Board. It recognizes those chapters who display superior chapter operations, participation, and selection advising. Stacy began her Texas Tech career

as a graduate assistant in the College of Education. She then transitioned to a fulltime role with Institutional Advancement before joining the Honors College. Stacy, congratulations to the chapter and to you.

“Finally, I’d like to introduce Monty Monroe. He is the archivist for the Southwest Collections Special Collections Library here at Texas Tech University. He was recently appointed as the Texas State Historian—the first from West Texas and the first from a public university. Dr. Monroe will be responsible for increasing public knowledge about the rich and diverse history of our state and encouraging the teaching of Texas history in our public schools. As anyone who has spoken with Monty would know, he’s more than eminently qualified to do this as he has had a long history of collecting and preparing oral archives of faculty and those who have been involved with Texas Tech. He also serves on the Texas Historical Records Advisory Board, the boards of the West and East Texas Historical Association and the Executive Advisory Committee for the Handbook of Texas Women online. Congratulations Monty.

“Mr. Chairman that concludes my introductions.”

Drs. Mitchell, May and Lange had no introductions and recognitions.

- IV. RECESS—Chairman Francis recessed the meeting at 9:38 am.
- V. MEETING OF STANDING COMMITTEES.—Committee meetings took place sequentially upon adjournment recess of the Meeting of the Board at 9:38 am. (Refer to VIII. for items approved.)

Thursday, October 4, 2018.—The members of the Board of Regents of the Texas Tech University System reconvened upon adjournment of the Audit Committee meeting at 10:43 am on Thursday, October 4, 2018, in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were John D. Esparza; L. Frederick “Rick” Francis, Chairman; Jane Gilmore, Student Regent; Ron Hammonds; Christopher “Chris” M. Huckabee; I. Tim Lancaster, Vice Chairman; Mickey L. Long; J. Michael Lewis; John D. Steinmetz; and John B. Walker.

The following officers and staff were present: Dr. Tedd Mitchell, Interim Chancellor, TTUS and President, TTUHSC; Dr. Brian May, President, ASU; Dr. Lawrence Schovanec, President, TTU; Dr. Richard Lange, President, TTUHSC El Paso; Mr. Ben Lock, Executive Assistant to the Chancellor and Secretary of the Board, TTUS; Mr. Eric Bentley, Vice Chancellor and General Counsel, TTUS; Mr. Gary Barnes, Vice Chancellor and Chief Financial Officer, TTUS; Mrs. Noel Sloan, Vice President for Administration and Finance and Chief Financial Officer, TTU; Mrs. Angie Wright, Vice

President for Finance and Administration, ASU; Ms. Sue Fuciarelli, Vice President for Finance and Administration and Chief Financial Officer, TTUHSC El Paso; Mrs. Penny Harkey, Vice President and Chief Financial Officer, TTUHSC; Mr. Patrick Kramer, Vice Chancellor for Institutional Advancement, TTUS; Mrs. Kim Turner, Chief Audit Executive, Audit Services, TTUS; Mr. Billy Breedlove, Vice Chancellor for Facilities, Planning and Construction, TTUS; Dr. John Opperman, Vice Chancellor for Academic Affairs, TTUS; Ms. Martha Brown, Vice Chancellor for Governmental Relations, TTUS; Mr. Brett Ashworth, Vice Chancellor for Communications and Marketing, TTUS; Dr. Michael Galyean, Provost, TTU; Mr. Kirby Hocutt, Director, Athletics, TTU; Ms. Shayna Mullen, President, SGA, ASU; Mr. Sean Lewis, President, SGA, TTU; Ms. Brooke Walterscheid, President, SGA, TTUHSC; Mr. Sajid Leelani, President, SGA, TTUHSC El Paso; and Mrs. Christina Martinez, Assistant Secretary to the Board of Regents, TTUS.

- VI. MEETING OF THE BOARD—CALL TO ORDER; RECONVENE INTO OPEN SESSION OF THE BOARD.—At 10:43 am, Chairman Francis announced a quorum present and called the meeting to order.
- VII. OPEN SESSION.—At 10:43 am on October 4, 2018, the Board continued meeting in open session in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, to consider items as a Committee of the Whole and Meeting of the Board.
  - VII.A. APPROVAL OF MINUTES.—Chairman Francis asked for approval of the minutes of the board meetings held on August 9-10, 2018 and August 20, 2018. Regent Steinmetz moved for their approval. Regent Long seconded the motion, and the motion passed unanimously.
  - VII.B. COMMITTEE OF THE WHOLE.—Chairman Francis announced that for the purpose of facilitating action on the items to be considered, Vice Chairman Lancaster would preside over the Committee of the Whole.
    - VII.B.1. ASU, TTU, TTUHSC, TTUHSC El Paso, TTUSA, and TTUS: Approve Consent Agenda; acknowledge review of Information Agenda.— Regent Lancaster presented the item regarding approval of the Consent Agenda and acknowledgment of its review of the Information Agenda. The following are the Minute Orders approved by this motion:
      - VII.B.a1. TTU: Approve employee appointment to non-elective position.—The Board approved an employee appointment to a non-elective position as listed below. The Board of Regents found, with respect to the appointee, that his service is of benefit to the State of Texas and Texas Tech University and does not conflict with his position of employment at Texas Tech University. This request

was approved administratively by the president and the interim chancellor.

Monte L. Monroe, Ph.D., Archivist with Southwest Collection/Special Collections Library, Texas Tech University, has been requested to serve as Texas State Historian. The state historian is appointed by the governor and is recommended by both the Texas State Historical Association and the Texas Historical Commission. An individual designated as the state historian retains the designation for two years from the date of the ceremony. The ceremony is scheduled for September 26, 2018.

This appointment is not in conflict with Mr. Monroe's employment at Texas Tech University. He will serve as historian without compensation, but may be reimbursed for necessary travel and per diem in the performance of his duties as historian.

- VII.B.1.a. TTUHSC: Approve faculty development leave of absence.—The Board approved a leave of absence with pay for Dr. Sue Ann Lee, associate professor, Department of Speech, Language and Hearing Sciences, for the period of January 15, 2019 to July 15, 2019. This request was approved administratively by the president and the interim chancellor.

The School of Health Professions (“SHP”) requests faculty development leave with full salary for Dr. Sue Ann Lee, associate professor, Department of Speech, Language and Hearing Sciences, for the period of January 15, 2019 to July 15, 2019. Dr. Sue Ann Lee has been awarded a Fulbright scholarship to Vietnam where she plans to teach and conduct research at Hue University of Medicine and Pharmacy. The Fulbright program, one of the most prestigious and competitive fellowship programs in the world, is a US cultural exchange program whose goal is to improve intercultural relationships between the US and foreign countries. As a Fulbright scholar, Dr. Lee will direct workshops for Vietnamese health professionals and conduct a research project. Dr. Lee's participation will enhance recognition of Texas Tech University

Health Sciences Center and provide Dr. Lee with an excellent opportunity to enhance her knowledge of speech development in Vietnamese children.

VII.B.1.b. TTUHSC: Approve expansion of the existing Doctor of Science in Rehabilitation Sciences Program in Lubbock.—The Board approved the expansion of the existing Doctor of Science in Rehabilitation Sciences Program (“ScDRS”) in the School of Health Professions (“SHP”) in Lubbock. The Board also authorized the submission by the executive vice president for Academic Affairs to the Texas Higher Education Coordinating Board and the Southern Association of Colleges and Schools Commission on Colleges seeking approval for the expanded program. This request was approved administratively by the president and the interim chancellor.

VII.B.1.c. TTUS: Approve appointment of new members to the Board of Directors of Texas Tech Foundation, Inc.—The Board approved the appointment of the individuals as listed below to the Board of Directors of Texas Tech Foundation, Inc. This request was approved administratively by the president and the interim chancellor.

First Term Appointments – Expiring August 31, 2022

Steve Gray  
George McMahan  
David Segrest  
Leslie Ward

Second Term Appointments – Expiring August 31, 2022

Thomas Mathiasmeier  
Tom Stacy  
Dory Wiley

VII.B.1.d. TTU: Approve modification of naming of Basketball Practice Facility.—The Board approved modification of the naming of The Dustin R. Womble Basketball Practice Facility, as previously approved by the Board of Regents in Executive Session on October 20, 2017. The revised name for the Texas Tech Athletics Basketball Practice Facility will be “The

Dustin R. Womble Basketball Center.” The donor concurs with the naming of this exterior space. Appropriate signage for the practice facility will specify the approved name. This request was approved administratively by the president and the interim chancellor.

VII.B.1.e. TTU: Approve project for KTTZ Tower Repack.— The Board authorized the TTU Operations Division, Department of Engineering Services to (i) proceed with a project to plan, design and construct the KTTZ tower repack with a project budget of approximately \$2,349,000; (ii) waive the board directed fees for public art and landscape enhancements; (iii) acknowledge award of a design professional contract; and (iv) acknowledge award of a construction contract. The project will initially be funded with institutional funds; however, up to 90% reimbursement will be received from the Federal Communications Commission upon completion. This request was approved administratively by the president and the interim chancellor.

VII.B.1.f. ASU: Approve commissioning of police officers.— The Board approved to commission the individuals as listed below as a police officer, effective with the date indicated below. This request was approved administratively by the president and the interim chancellor.

Thomas Moriarty, effective September 4, 2018  
Amy Thomas, effective September 4, 2018

VII.B.1.g. TTU: Approve commissioning of police officers.— The Board approved to commission the individuals as listed below as a police officer, effective on the date indicated below. This request was approved administratively by the president and the interim chancellor.

Amanda Rocha, effective July 26, 2018  
Stephen Amador, effective August 17, 2018  
Jeffrey Bain, effective August 17, 2018  
Katrina Jones, effective September 1, 2018

VII.B.1.h. TTU: Approve modification of College of Mass Communication endowment.—The Board approved modification of the College of Mass Communication Endowment in the College of Media & Communication to terminate the existing endowment and subsequently transfer all monies of the corpus and spendable accounts to establish a new endowment—the William S. Morris III Professorship in Innovation in Journalism and Information. The modified criteria of the endowment is listed below. This request was approved administratively by the president and the interim chancellor.

Modified Criteria:

Career achievements eligible for tenure at Texas Tech. A history of applied study of news and information content, practices, industries, metrics & analytics, and audiences that focus on new technologies, ideas and innovations. The Morris Professor must have a demonstrable record of engaging constituencies beyond the classroom and s/he will be a public communicator as well as a master teacher. While well published and respected by peers, the Morris Professor will be a “students first” educator focused on helping students prepare for careers.

VII.B.1.i. TTU: Approve modification of Pertain-Pasewark Scholarship endowment.—The Board approved modification of the Pertain-Pasewark Scholarship Endowment in the Jerry S. Rawls College of Business Administration. The modification of the endowment is listed below. This request was approved administratively by the president and the interim chancellor.

Modified Criteria:

To provide scholarships to students enrolled in graduate programs in the Rawls College. Awards from the endowment should be distributed at follows: (1) 25% of the spendable balance be awarded to a Master of Science in Accounting student with preference to a first-generation college graduate, (2) 75% of the spendable

balance to be awarded to entering Master of Business Administration (MBA) students in increments that facilitate effective recruiting to the program.

- VII.B.1.j. TTU: Approve modification of Bobby Baker Memorial Scholarship Endowment.—The Board approved modification of the Bobby Baker Memorial Scholarship Endowment. This request was approved administratively by the president and the interim chancellor. The modification of the endowment criteria is as follows:

CRITERIA: Scholarship will be awarded to full-time undergraduate, graduate or other Biology students who utilize the Genetic Resource Collection as part of their academic and research program studies. Student must have at least a 2.75 GPA to apply for and to maintain scholarship award.

- VII.B.1.k. TTU: Approve modification of Bryan Pearce Bagley Regents Chair in the College of Engineering Endowment.—The Board approved modification of the Bryan Pearce Bagley Regents Chair in the College of Engineering Endowment to expand the criteria for selection. This request was approved administratively by the president and the interim chancellor. The modification of the endowment is as follows:

CRITERIA: The recipient of this Chair is expected to possess, minimally, the following qualifications: An outstanding research and teaching record and/or a successful record in entrepreneurship and business development in the academic or private sector with qualifications such that the holder meets the requirements for appointment as a tenured professor, or the requirements for appointment as a professor of practice. The holder must have the capacity to develop academic offerings to equip engineering students for business aspects of engineering practice.

- VII.B.1.l. TTU: Approve Collections Management Policy of the Museum.—The Board approved the Collections Management Policy, included herewith as

Attachment No. 2 (TTU Museum Collections Management Policy), for the Museum of Texas Tech University as revised on July 9, 2018. This request has been approved administratively by the chancellor and the president and is recommended for approval by the Board of Regents.

The Texas Tech University System Board of Regents and its designated line of authority is the governing body of the Museum of Texas Tech University. While the governing body has the responsibility of serving the Museum without serving individual interests, it must also ensure that all activities are in agreement with federal, state, and University regulations, Museum professional standards and practices, as well as the mission and policies established for the Museum. The governing body recognizes that the collections are the foundation of the Museum's programs and functions. The governing body recognizes that the possession of these collections incurs legal and ethical obligations to provide proper housing, management, and care for the collections and associated documentation. For these reasons, the governing body, acting through the Executive Director, is responsible for assuring that the scope of each collection agrees with the Museum's mission, that collection growth is balanced with available resources, and that appropriate allocation is made of personnel, facilities, equipment, services, and support to address the ongoing needs of each collection.

- VII.B.1.m. TTU: Approve purchasing contracts in excess of \$1,000,000.—The Board approved the purchasing contracts in excess of \$1,000,000 per annum in accordance with *Regents Rules* 07.12.3.a and those contracts with a value exceeding \$5,000,000 in accordance with *Regents' Rules* 07.12.3.c, included herewith as Attachment No. 3 (TTU Approval of Purchasing Contracts). This request was approved administratively by the president and the interim chancellor.
- VII.B.1.n. TTUHSC: Approve submission of request to exceed the Full Time Equivalent (“FTE”) limitation established by the General Appropriations Act.—

The Board approved to allow Texas Tech University Health Sciences Center (“TTUHSC”) to exceed the number of full-time equivalents (“FTE”) employees paid from appropriated funds for Fiscal Year 2019 that are authorized in Article III of the General Appropriations Act. It was also approved that the TTUS Board of Regents submit a request to the Governor and the Legislative Budget Board to grant approval for the institution to exceed the authorized number of FTE employees paid from appropriated funds and to submit subsequent reports as required in Article IX, Section 6.10 of the General Appropriations Act. This request was approved administratively by the president and the interim chancellor.

**Request to Exceed Limitation on State Employment Levels  
FY 2019**

<b>Institution</b>	<b>FY 2019 FTE Cap*</b>	<b>Requested Increase</b>	<b>Revised FTE Level</b>	<b>Source of Funds</b>
Texas Tech University Health Sciences Center (TTUHSC)	1,454.2	77.0	1,531.2	Appropriated
Justification: TTUHSC is the recipient of several appropriated funds Texas Higher Education Coordinating Board Graduate Medical Education Expansion grants. These grants create additional residency positions. TTUHSC is requesting additional FTE's to support the residency positions.				
*FY 2019 FTE Cap is within the limits of GAA, Article IX, Section 6.10(a)(2)(B) which allows 50 FTEs over the GAA Cap.				

- VII.B.1.o. TTUHSC El Paso: Approve modification of quasi endowments.—The Board approved the transfer of \$10.5 million in funds from certain quasi-endowments established at Texas Tech University Health Sciences Center at El Paso (“TTUHSC El Paso”) to create new quasi-endowments that will better align with strategic initiatives in the form of Professorships and Chairs, as listed below. This request was approved administratively by the president and the interim chancellor.

Current Quasi-Endowments to be eliminated after all funding is transferred out:

- Anesthesiology Research
- Anesthesiology Education
- Professorship/Reproductive Physiology Fund
- Lectureship and Conferences

Joseph Sakakini Ch/Maternal Fetal Medicine  
Department of Ob/Gyn Fund

Current Quasi-Endowments that will remain active  
after proposed transfers:

ObGyn Population Health Professorship  
Pathology Chair  
Pathology Endowed Professorship  
H Robert Misenhimer Endowed Chair

New Quasi-Endowments to be created:

Anesthesiology Endowed Chair  
Emergency Medicine Endowed Chair  
Internal Medicine Endowed Chair  
Internal Medicine Endowed Professorship  
Neurology Endowed Chair  
Orthopedic Endowed Professorship  
Radiology Endowed Professorship  
Surgery Endowed Chair

#### Information Agenda

Information is provided as required by Section 01.02.7.d(4)(c),  
*Regents' Rules*

- (1) TTUHSC and TTUHSC El Paso: Contracts for ongoing and continuing health-related service relationships as per Section 07.12.4.c, *Regents' Rules* – “Notwithstanding Section 07.12.3.a or Section 07.12.3.b, *Regents' Rules*, the board delegates to the presidents of health-related institutions the authority to approve the proposals and execute and sign contracts for health related services, as specified herein. This delegation is limited to contracts with entities for which the institution has an ongoing and continuing contractual relationship, to include: revenue contracts from which the institution receives payment for health related services; participation in health provider networks; resident or faculty support; and expense contracts with healthcare providers or suppliers necessary to fulfill the obligation to provide health related services as part of a revenue contract. Before such a contract may be executed, the president shall obtain the prior review of the TTUS Office of General Counsel and the TTUS vice chancellor and chief financial officer, or their designees. A list of health related services contracts that have been executed under this delegation of authority since the previous regular board meeting shall be provided to the board as an information item at the next regular board meeting.”

**TTUHSC:**

- (a) CON2163292 (ref CON1606311 & CON1729097) Superior Health Plan Inc.; NAIP Superior Healthplan to participate in the Network Access Improvement Program; \$3,330,000
- (b) CON2148002 (ref CON1700672) Covenant Health System; Physician Services Agreement; \$4,932,636
- (c) CON2148000 (ref CON1700674) Covenant Health System; Resident Support Agreement; \$3,013,433
- (d) CON2142991 (ref CON1600854 & CON1708227) Texas Health and Human Services Commission; HHSC Network Access Improvement Program; \$2,803,726
- (e) AMEND588851-003 (CON588851) University Medical Center – UMC Lubbock; Amended and Restated Master Coordinating Agreement; Increase of \$1,581,315 to \$20,169,167 annually.
- (f) AMEND1592374-005 (CON1592374) University Medical Center – UMC Lubbock; Amendment to General Funding - Agreement for faculty support Department of Internal Medicine, Cardiology; Increase of \$49,676 to \$1,034,082
- (g) AMEND1592374-006 (CON1592374) University Medical Center – UMC Lubbock; Amendment to update schedule of services and compensation; No change in value, remains \$1,034,082 annually.
- (h) AMEND1720684-001 (CON1720684) Amerigroup Texas Inc.; Amendment to update funds transfer and fees - NAIP Participation Agreement; Decrease of \$239,625 to \$2,156,625 annually.
- (i) AMEND589536-003 (CON589536) Northwest Texas Healthcare System Inc.; Amendment to extend Resident Services Agreement, No change in value, remains \$ 4,075,381 annually.
- (j) AMEND592422-005 (CON592422) Northwest Texas Healthcare System Inc.; Amendment to Extend Master Service Agreement; Increase of \$2,028,124 to \$10,140,621 annually.
- (k) AMEND592606-010 (CON592606) University Medical Center – UMC Lubbock; Amendment to update schedule of services and compensation; Increase of \$462,381 to \$23,640,695 annually.
- (l) AMEND592606-011 (CON592606) University Medical Center – UMC Lubbock; Amendment to update schedule of services and compensation; No change in value, remains \$23,640,695 annually.

**TTUHSC El Paso:**

- (a) 05181; El Paso First Health Plans Inc., “Network Access Improvement Program Agreement” for TTUHSC El Paso campus, one of two Managed Care Organizations affiliated with the Texas Health and Human Services Commission programs, \$1,335,760.
- (b) 05192; Superior Health Plans Inc., “Network Access Improvement Program Agreement” for TTUHSC El Paso campus, one of two Managed Care Organizations affiliated with the Texas Health and Human Services Commission programs, \$1,297,305.

(c) 05402; El Paso Children's Hospital, "Pediatric Resident Support Agreement" for TTUHSC El Paso campus, support for up to 10 residents, \$1,140,572.

(2) TTUHSC El Paso: Consulting contracts with an initial consideration of \$25,000 or less per Section 07.12.4.e.(2), *Regents' Rules* – "(a) Board approval is not required, but the vice chancellor and chief financial officer, in consultation with the chancellor, presidents, and chief financial officers of the institutions, shall review consulting contracts of \$25,000 or less prior to execution of the contract by the chancellor or president, as appropriate. (b) A report of the contract shall be provided as an Information Agenda item at the next board meeting."

(a) 05544; CampbellWilson LLP, "Consultant Services Agreement" for TTUHSC El Paso campus, RE: Consulting services for fiscal year 2016 uncompensated care reporting, \$20,000.

(3) TTUHSC: Contracts for sponsored programs projects in excess of \$1,000,000 per annum as provided by Section 07.12.4.b., *Regents' Rules*: "Notwithstanding Section 07.12.3.a, *Regents' Rules*, the board delegates to the presidents the authority to approve the proposals and execute and sign contracts for sponsored program projects in excess of \$1,000,000 per annum. Sponsored program projects are those grants, contracts, and cooperative agreements from either the public or private sectors that support research, instructional, and service projects. A list of such contracts for sponsored program projects in excess of \$1,000,000 per annum shall be provided to the board as an information item at the next regular board meeting."

(a) Texas Higher Education Coordinating Board grant funding entitled "GME Expansion Grants"; grant year 07/01/2018 through 06/30/2020; award amount \$2,100,000.

(4) ASU: Exception to Nepotism per Sections 03.01.11.h and 03.01.11.f, *Regents' Rules* -- 03.01.11.h: "All instances where an employee marries an administrative supervisor, is placed under the administrative supervision of a relative, or is made the administrative supervisor of a relative within the prohibited degree shall be reported to the board as an information item." 03.01.11.f: "If the appointment, reappointment, reclassification, or promotion of an employee makes the employee an administrative supervisor over an employee who is related within a prohibited degree, all subsequent personnel and compensation actions affecting the subordinate employee shall become the responsibility of the next higher administrative supervisor."

(a) Dr. Michael T. Dixon was appointed chair of the Department of Biology, effective August 12, 2018, where his wife, Dr. Loren Ammerman is a Professor of Biology. After

consultation with the department faculty, Dr. Paul Swets, Dean of the College of Science and Engineering, views Dr. Dixon as the most qualified faculty member to lead the department forward. Dr. Ammerman will be directly supervised by Dr. Swets. Dr. Swets will be responsible for Dr. Ammerman's day-to-day departmental activities and will conduct her annual performance evaluations and make recommendations regarding personnel and compensation actions related to Dr. Ammerman. Authorization and implementation of action in any such matters will circumvent Dr. Dixon, the chair, and be directed to Dr. Swets. This plan will be re-evaluated after the end of every academic year to assure there is effective management of the conflict of interest and determine whether modifications are necessary.

Regent Lancaster moved that the Consent Agenda be approved and that the Board acknowledge its review of the Information Agenda. The motion was seconded by Regent Huckabee and passed unanimously.

Regent Lancaster stated that consideration of items by the Committee of the Whole was concluded.

VIII. REPORTS OF STANDING COMMITTEES.—At 10:46 am, at the direction of Chairman Francis, Standing Committee reports were presented sequentially to the Committee of the Whole.

#### VIII.A. REPORT OF THE FACILITIES COMMITTEE

Committee Chair John Esparza reported that the Facilities Committee met in open session on October 4, 2018 to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Facilities Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

VIII.A.1. ASU: Approve budget for the Food Service Center Renovation project.—The Board authorized the chancellor or the chancellor's designee to (i) establish a budget of \$6,000 for the renovation of the Food Service Center with an anticipated total project budget of \$7,517,975; (ii) waive the board directed fees for landscape enhancements and public art; and (iii) award the Construction Manager at Risk agreement for pre-construction services. The budget will be funded from the balance of the Chartwells Higher Education Dining Services' food service contract credit to Angelo State University.

The ASU Approve budget for the Food Service Center Renovation project PowerPoint is included herewith as Attachment No. 4.

VIII.A.2. TTU: Approve total project budget for a new Athletic Dining Facility.—This item was pulled from consideration.

VIII.A.3. TTU: Approve budget adjustment for the Football Training Facility Renovation and Expansion project.—The Board authorized the chancellor or the chancellor's designee to (i) increase the budget by \$339,104 for a total of \$589,104 for the Football Training Facility Renovation and Expansion ("FTF") project with an anticipated total project budget of \$20,000,000; and (ii) amend the Design Professional agreement for Stage I services. The budget adjustment will be funded with Gifts (cash) previously allocated to the Jones AT&T Stadium South End Zone project. The FTF project budget includes the previously board authorized budget from Gifts (\$250,000 cash).

The Jones AT&T Stadium South End Zone ("SEZ") project has been re-prioritized in order to move forward with renovation of the FTF. The SEZ project is to maintain its budget authority of \$650,000 but the unallocated funds will be transferred to the Football Training Facility Renovation and Expansion project. Athletics anticipates the SEZ project will resume in May 2019 at which time they will replenish the project budget up to \$650,000.

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

The budget adjustment will allow for execution of a Design Professional Amendment for Stage I services to provide facility functional use programming; schematic design; site analysis; utility infrastructure analysis; concept budget development; and project schedule. Other professional agreements will be issued for surveying and geo-technical services.

The proposed project would provide a complete renovation, upgrade and addition to the 52,492 GSF facility increasing the building to over 70,000 GSF. The existing office suite will be reconfigured to provide several more meeting rooms along with the required number of offices. The Head Coach's office will be relocated to the southeast corner of the building. The coach's locker room will be expanded. A more defined public lobby will be created along with the enclosure of the two loggias for creation of an office suite and a lounge area.

Regent Huckabee recused himself from the approval of this item.

The TTU Approve budget adjustment for the Football Training Facility Renovation and Expansion project PowerPoint is included herewith as Attachment No. 5.

- VIII.A.4. TTU: Approve budget adjustment for the Jones AT&T Stadium East Building finish-out project.—The Board authorized the chancellor or the chancellor's designee to (i) increase the budget by \$350,197 for a total of \$715,089 for the Jones AT&T Stadium East Building Finish-Out project with an anticipated total project budget of \$8,500,000; (ii) waive the board directed fees for landscape enhancements and public art; (iii) amend the Design Professional Agreement for Stage II services; and (iv) award a Construction Manager at Risk Agreement for pre-construction services. The budget adjustment will be funded with Gifts (cash) and Athletic Revenues (cash). The project budget includes the previously board authorized budget from Athletic Revenues (\$364,892 cash) of which \$100,000 was allocated for pre-construction services.

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

The budget adjustment will allow for execution of a Design Professional Amendment for Stage II services consisting of the Design Development, Construction Documents, Construction Administration Phases, and to provide a Statement of Probable Cost and project schedule. Execution of a Construction Manager at Risk Agreement will allow the contractor to provide pre-construction activities associated with the planning and design process, i.e., project evaluation; site analysis; constructability review; value engineering; scheduling; cost control; and concept budget development.

The proposed project will finish-out the 2nd and 3rd floors totaling approximately 26,000 square feet of shell space within the Jones AT&T Stadium East Side Building. The finish-out will provide office suites and conference space for the relocation of the athletic coaches and staff from the Jones AT&T Stadium South End Zone Building. Finish-out includes all mechanical, electrical, and plumbing infrastructure as those shelled spaces are unconditioned spaces.

Regents Huckabee and Lewis recused themselves from the approval of this item.

The TTU Approve budget adjustment for the Jones AT&T Stadium East Building finish-Out project PowerPoint is included herewith as Attachment No. 6.

- VIII.A.5. TTU: Approve budget adjustment for the new School of Veterinary Medicine project.—The Board authorized the chancellor or the chancellor’s designee to (i) increase the budget by \$84,480 for a total of \$1,456,583 for the new School of Veterinary Medicine project with an anticipated total project budget of \$89,820,000; and (ii) award a Construction Manager at Risk agreement for pre-construction services. The budget adjustment will be funded with appropriated funds. The project budget includes the previously board authorized budget from appropriated funds (\$1,372,103).

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

The budget adjustment will allow for execution of a Construction Manager at Risk Agreement in order to provide pre-construction activities associated with the planning and design process, i.e., project evaluation; site analysis; constructability review; value engineering; scheduling; cost control; and concept budget development.

The anticipated project would create a top-tier facility for students, teachers and clinicians and – just as importantly – ensure the project benefits the regional food industry and veterinary community by providing lifelong learning facilities, research and commercial opportunities to work with the University.

The TTU Approve budget adjustment for the new School of Veterinary Medicine project PowerPoint is included herewith as Attachment No. 7.

- VIII.A.6. TTU: Approve preliminary budget for the National Ranching Heritage Center’s Ranch Life Learning Center project.—The Board approved the concept for the project and authorized the chancellor or the chancellor’s designee to (i) establish a preliminary budget of \$153,150 for a total of \$193,150 to provide planning and design services for the National Ranching Heritage Center’s Ranch Life Learning Center project with an

anticipated budget of \$4,000,000; (ii) waive the board directed fees for landscape enhancements; and (iii) award the Design Professional agreement for Stage I services. The preliminary budget will be funded with a Gift (cash). The preliminary budget includes a previously authorized budget from a Gift (\$40,000 cash).

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

The preliminary budget will allow for execution of a Design Professional Agreement in order to move forward on the project's vision through the Programming and Schematic Design phases, provide a Statement of Probable Cost and project schedule.

The National Ranching Heritage Center ("NRHC") proposes to build a Ranch Life Learning Center dedicated to educate the public about the fundamentals of ranching. Told from the perspective of Hank the Cow Dog in partnership with famed author John Erickson, the Ranch Life Learning Center will educate both children and adults about the business and science of ranching, ranch life and natural resource management. While all ages will benefit, the focus will be on fourth-eighth grade students. In 2016, the NRHC received a grant that funded a space analysis and conceptual designs for the indoor and outdoor space and exhibits. At this time, we have approval from the Ranching Heritage Association board to move forward with the project.

The project will include renovation of approximately 2,200 square feet within the Mallet Ranch museum building and an 800 square foot addition comprising the following spaces: Exhibits, Instruction Space, Expanded and Reconfiguration of the Cogdell's General Store, addition of public spaces and a covered porch. Exterior spaces will include: Water Resources Exhibit, Wildlife Exhibit, Livestock Nutrition Exhibit, Tool shed and Horse Barn, Ranch House, Amphitheater, Stockade, Footbridge, Cowboy Work Station, Windmill Feature, hardscaping and enhanced landscaping.

The TTU Approve preliminary budget for the National Ranching Heritage Center's Ranch Life Learning Center project PowerPoint is included herewith as Attachment No. 8.

VIII.A.7. TTU: Approve budget for the abatement and demolition of the Lubbock Municipal Auditorium and Coliseum project.—The Board authorized the chancellor or the chancellor’s designee to (i) establish a budget of \$200,000 to provide design and construction services for the Abatement and Demolition of the Lubbock Municipal Auditorium and Coliseum buildings with an anticipated total project budget of \$3,500,000; and (ii) award a Design Professional agreement for Stage I and Stage II services. The budget will be funded in cash with Higher Education Assistance Funds (“HEAF”) for half of the project budget and Institutional Funds for the other half of the project budget.

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

The budget will allow for the execution of a Design Professional Agreement in order to provide Stage I and Stage II services, i.e.; Planning, Schematic Design; Design Development, Construction Documents, and the Construction Administration Phases, provide a Statement of Probable Cost and project schedule. The design professional was selected from the university’s approved design professional pre-qualification list. Parkhill, Smith and Cooper, Inc. was chosen based upon their specific expertise in the area of abatement and their extensive knowledge of the university’s infrastructure.

The project scope involves asbestos abatement and demolition of the two existing facilities; demolition of plaza areas, sidewalks and pavement; removal of abandoned site utilities; relocation of site utilities to be maintained; site backfill/compaction; and restoration of the overall site to a safe and usable condition. This project will provide a blank canvas for any future development by the university.

The TTU Approve budget for the Abatement and Demolition of the Lubbock Municipal Auditorium and Coliseum project PowerPoint is included herewith as Attachment No. 9.

VIII.A.8. TTUHSC: Approve budget adjustment for the Lubbock Expansion project.—The Board authorized the chancellor or the chancellor’s designee to (i) increase the project budget by \$8,000,000 for the Lubbock Education, Research & Technology + West Expansion project with a total project budget of \$99,375,000; and (ii) transfer authority from Texas Tech University Health Sciences Center (“TTUHSC”) to FP&C to

purchase equipment for the facility under management of the project. The budget adjustment will be funded with Higher Education Assistance Funds (“HEAF”) cash. The project budget of \$99,375,000 is comprised of RFS funding of the 84<sup>th</sup> Texas Legislature’s Regular Session authorization of TRB (\$60,264,000), Unexpended Plant Funds cash (\$25,636,000), and HEAF (\$13,475,000) cash.

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

The budget adjustment will allow Texas Tech University Health Sciences Center (“TTUHSC”) to transfer the authority to purchase the Furniture, Fixtures, & Equipment (“FF&E”) for the facility to the office of Facilities Planning and Construction under their management of the project. Specifically, the budget adjustment will be allocated to support the following areas of the project:

<b>Medical Equipment</b>	
University Center	\$ 773,613
West Expansion	2,491,664
<b>sub-total</b>	<b><u>\$3,265,277</u></b>
<b>AV Equipment</b>	
University Center	\$ 535,593
West Expansion	3,203,930
<b>sub-total</b>	<b><u>\$3,739,523</u></b>
<b>IT Equipment</b>	
University Center	\$ 398,080
West Expansion	597,120
<b>sub-total</b>	<b><u>\$ 995,200</u></b>
<b>Grand Total:</b>	<b><u>8,000,000</u></b>

The TTUHSC Approve budget adjustment for the Lubbock Expansion project PowerPoint is included herewith as Attachment No. 10.

- VIII.A.9. TTUS: Report on Facilities Planning and Construction projects.—The Board accepted a summarized report on Facilities Planning and Construction managed projects, included herewith as Attachment No. 11 (TTUS Report on Facilities Planning and Construction projects (project data as of 9/13/18))

PowerPoint) and Attachment No. 12 (TTUS Facilities Planning and Construction Capital Projects Budget Analysis FY 2010-2018 Report (09/19/2018)).

#### VIII.B. REPORT OF THE ACADEMIC, CLINICAL AND STUDENT AFFAIRS COMMITTEE

Committee Chair John Walker reported that the Academic, Clinical and Student Affairs Committee met in open session on October 4, 2018 to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Academic, Clinical and Student Affairs Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

- VIII.B.1. ASU: Approve revisions to the admissions requirements at Angelo State University.—The Board approved revisions to the Admissions Requirements at Angelo State University (“ASU”), effective immediately.

Angelo State University is requesting authorization to modify existing admission standards for first time freshman applicants. Specifically, ASU is requesting automatic admission for first time freshman applicants in the top 25% of their graduating class.

Secondly, Angelo State University is including editorial changes in notifying students that additional factors may be considered in determining the applicant’s admission.

#### **EXECUTIVE SUMMARY**

The recommended changes indicate a revision to the admission standards. The proposed changes will align with ASU’s strategic goal of increasing enrollment of first time freshman in a more efficient manner.

The ASU OP 10.01, Undergraduate Student Admissions with proposed revisions is included herewith as Attachment No. 13.

- VIII.B.2. TTU: Approve appointments with tenure.—The Board approved the granting of tenure for the following faculty of Texas Tech University concurrently with their respective appointments effective the 2018 fall semester:

Dr. Luis Rafael Herrera-Estrella, Ph.D., President’s Distinguished Professor of Plant Genomics, Department of Plant and Soil Sciences, College of Agricultural

Sciences and Natural Resources, and director of the Center for Functional Genomics of Abiotic Stress. Dr. Herrera-Estrella comes to Texas Tech from the National Laboratory of Genomics for Biodiversity (LANGEBIO), Guanajuato, Mexico where he served as director and was a tenured professor. His appointment at Texas Tech is sponsored by the Governor's University Research Initiative.

Kim Walker, professor and director, School of Music, J.T. and Margaret Talkington College of Visual and Performing Arts. Director Walker served as a professor of music at the University of Sydney, Australia and as dean and principal of the Sydney Conservatorium of Music. She had previously been a tenured professor of music and director of Arts and Cultural Outreach for the Office of the President at Indiana University.

- VIII.B.3. TTUHSC El Paso: Approve appointment with tenure.—The Board approved the granting of tenure to Attilio Orazi, M.D. concurrent with his appointment as the professor in the Department of Pathology at the Paul L. Foster School of Medicine, effective as of August 15, 2018.

Dr. Orazi joins the Texas Tech University Health Sciences Center at El Paso ("TTUHSC El Paso") from the Weill Cornell Medical College of Cornell University where he has served as a Tenured Professor of Pathology and Laboratory Medicine and has held the role of Vice Chair for Hematopathology and Director of the Division of Hematopathology. He also served as the ACGME Hematopathology Fellowship Director. He previously served as Director of Hematopathology at Columbia University Medical Center in New York and at the Indiana University School of Medicine in Indianapolis, Indiana. He earned his medical degree from the University of Milan School of Medicine in Italy. He completed residencies in clinical hematology and pathology in the United Kingdom and in Italy, respectively. He is a Fellow of the Royal College of Pathology (England). He is board certified in clinical hematology by the University of Milano; in anatomic pathology by the University of Pavia; and is also board certified in anatomic pathology and hematopathology by the American Board of Pathology.

#### VIII.C. REPORT OF THE AUDIT COMMITTEE

Committee Chair Mickey Long reported that the Audit Committee met in open session on October 4, 2018 to consider those matters on its agenda

and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Audit Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

VIII.C.1. TTUS: Report on audits.—The Board accepted a report on the System’s audit projects, included herewith as Attachment No. 14 (TTUS FY 2019 Prioritized Audit Plan).

VIII.C.2. Executive Session.—The Audit Committee convened into Executive Session, in the Board of Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, as authorized by Sections 551.071, 551.074 and 551.076 of the *Texas Government Code*.

VIII.C.3. Open Session.—The Audit Committee reconvened in open session in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, to consider items as a committee.

Regent Long stated that there were no motions to present from Executive Session.

IX. OPEN SESSION.—At 10:50 am the Board continued in open session in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, to consider items as the Committee of the Whole and Meeting of the Board.

IX.A. SCHEDULE FOR BOARD MEETINGS.—Mr. Lock presented the following schedule for future board meetings: December 13-14, 2018; February 21-22, 2019; May 16-17, 2019; August 8-9, 2019; December 12-13, 2019.

IX.B. STUDENT GOVERNMENT ASSOCIATION REPORTS.—Chairman Francis called on the president of each institution’s Student Government Association, who presented their report to the Board

IX.B.1. REPORT BY STUDENT GOVERNMENT ASSOCIATION, ASU—Chairman Francis called on Shayna Mullen, president, Student Government Association, ASU, who presented a report from the Student Government Association. (NOTE: This report can be viewed in its entirety at the Board of Regents webpage under video archives.)

IX.B.2. REPORT BY STUDENT GOVERNMENT ASSOCIATION, TTU—Chairman Francis called on Sean Lewis, president, Student Government Association, TTU, who presented a

report from the Student Government Association. (NOTE: This report can be viewed in its entirety at the Board of Regents webpage under video archives.)

IX.B.3. REPORT BY STUDENT GOVERNMENT ASSOCIATION, TTUHSC—Chairman Francis called on Brooke Walterscheid, president, Student Government Association, TTUHSC, who presented a report from the Student Government Association. (NOTE: This report can be viewed in its entirety at the Board of Regents webpage under video archives.)

IX.B.4. REPORT BY STUDENT GOVERNMENT ASSOCIATION, TTUHSC El Paso.—Chairman Francis called on Sajid Leelani, president, SGA, TTUHSC El Paso, who presented a report from the Student Government Association. (NOTE: This report can be viewed in its entirety at the Board of Regents webpage under video archives.)

X. EXECUTIVE SESSION.— At 11:09 am, the Board recessed and convened into Executive Session as authorized by Sections 551.071, 551.072, 551.073, and 551.074 of the *Texas Government Code* in the Board of Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue.

XI. OPEN SESSION.—At 1:43 am, the Board continued in open session in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, to consider items as a Committee of the Whole and Meeting of the Board.

A. REPORT OF EXECUTIVE SESSION.—Chairman Francis called on Vice Chairman Lancaster to present motions regarding items discussed in Executive Session.

Vice Chairman Lancaster announced there were five motions resulting from Executive Session.

XI.A.1. Vice Chairman Lancaster moved the Board authorize President Mitchell to conclude the negotiations and execute an agreement with University Medical Center of Lubbock for the conveyance of real property in Lubbock...under the terms and conditions set forth in Executive Session.

XI.A.2. Vice Chairman Lancaster moved that the Board approve the naming of a chapel to be constructed on the campus of Angelo State University as the “Stephens Chapel” in honor of F .L. “Steve” and Pollyanna Stephens...under the terms and conditions set forth in Executive Session.

XI.A.3. Vice Chairman Lancaster moved that the Board authorize President Schovanec to execute an agreement for the gift of improved real property in Midland, including certain personal property contained therein...under the terms and conditions set forth in Executive Session.

XI.A.4. Vice Chairman Lancaster moved that the Board authorize President Schovanec to conclude the negotiations and execute an agreement with the U.S. Department of Agriculture for a cotton classing facility to be located on land owned by Texas Tech University...under the terms and conditions set forth in Executive Session.

All motions were seconded by Regent Long and unanimously approved by the Board.

XI.A.5. Vice Chairman Lancaster moved that the Board name Dr. Tedd Mitchell as the finalist for the position of chancellor and chief executive officer of the Texas Tech University System. The motion as seconded by Regent Esparza and was unanimously approved by the Board.

No action was taken on other matters that were posted for discussion in Executive Session, which included:

Consultation with attorney regarding legal matters or pending and/or contemplated litigation or settlement offers (Tex. Govt. Code §551.071): Pre-litigation and litigation update including Medical Self Insurance Plan update\*; and Other pending legal matters, potential legal claims updates, settlement offer updates, and discussion and advice from general counsel on pending legal issues.\*

Deliberation regarding the purchase, exchange, lease, sale, or value of real property (Tex. Govt. Code §551.072): discussion regarding Property Issues related to the Municipal Coliseum.

Deliberation regarding individual personnel matters relating to the appointment, employment, evaluation, reassignment, duties, discipline, or dismissal of officers or employees of the TTU System and its component institutions (Tex. Govt. Code §551.074): Discussion of other personnel matters including the duties, performance and evaluation of Texas Tech University System or component institution officers and employees.\*

(\*In connection with this item, to the extent that any agenda notation or supplemental written materials, which might otherwise be covered by Tex. Govt. Code §551.1281(b)(1), have been excluded from an internet web posting, such exclusion has been authorized by a certification pursuant to Tex. Govt. Code §551.1281(c).)

- B. CHAIRMAN'S ANNOUNCEMENTS.—There were no announcements.
- XII. ADJOURNMENT.—Chairman Francis adjourned the meeting at 1:46 pm.

## INDEX OF ATTACHMENTS

- Attachment 1 Joint Annual Meeting, October 2018 PowerPoint
- Attachment 2 TTU Museum Collections Management Policy
- Attachment 3 TTU Approval of Purchasing Contracts
- Attachment 4 ASU Approve budget for the Food Service Center Renovation project PowerPoint
- Attachment 5 TTU Approve budget adjustment for the Football Training Facility Renovation and Expansion project PowerPoint
- Attachment 6 TTU Approve budget adjustment for the Jones AT&T Stadium East Building finish-Out project PowerPoint
- Attachment 7 TTU Approve budget adjustment for the new School of Veterinary Medicine project PowerPoint
- Attachment 8 TTU Approve preliminary budget for the National Ranching Heritage Center's Ranch Life Learning Center project PowerPoint
- Attachment 9 TTU Approve budget for the Abatement and Demolition of the Lubbock Municipal Auditorium and Coliseum project PowerPoint
- Attachment 10 TTUHSC Approve budget adjustment for the Lubbock Expansion project PowerPoint
- Attachment 11 TTUS Report on Facilities Planning and Construction projects (project data as of 9/13/18) PowerPoint
- Attachment 12 TTUS Facilities Planning and Construction Capital Projects Budget Analysis FY 2010-2018 Report (09/19/2018)
- Attachment 13 ASU OP 10.01, Undergraduate Student Admissions with proposed revisions
- Attachment 14 TTUS FY 2019 Prioritized Audit Plan

I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on October 4, 2018.



  
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Ben Lock  
Secretary