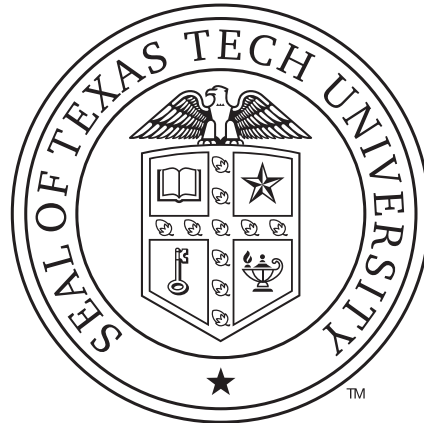


**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2012 and 2013

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**



Texas Tech University

October 18, 2010

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OVERVIEW

Texas Tech University (TTU) is a statewide, comprehensive, multi-faceted research university with over 30,000 students pursuing undergraduate, graduate, or professional degrees in 14 different instructional schools and colleges. TTU enrollment includes students from 246 of the 254 counties in Texas, 49 states in the U.S., and more than 108 countries. Approximately 90% of TTU undergraduate students are Texans, and about 78% of students have home addresses more than 100 miles away from Lubbock. In addition, approximately 5,200 or 17% of students are enrolled in graduate or professional programs. TTU offers the most comprehensive academic program in the state on a single campus. It remains the only institution in the nation to offer eight doctoral programs in agriculture without the benefit of land-grant support.

TTU provides educational services to the residents of the Texas Hill Country and West Texas. Texas Tech has established academic centers in Junction, Fredericksburg, Marble Falls/Highland Lakes, Amarillo, and Abilene. It has also established an academic center in Waco through its University Center at McLennan Community Center.

BOARD OF REGENTS

Mr. Larry K. Anders	January 31, 2011	Dallas, Texas
Mr. Jerry E. Turner	January 31, 2013	Blanco, Texas
Mr. L. Frederick "Rick" Francis	January 31, 2013	El Paso, Texas
Mr. John Huffaker	January 31, 2015	Amarillo, Texas
Mr. Micky L. Long	January 31, 2015	Midland, Texas
Mrs. Nancy Neal	January 31, 2015	Lubbock, Texas
Mr. John F. Scovell	January 31, 2013	Dallas, Texas
Mr. Daniel T. Serna	January 31, 2011	Arlington, Texas
Mr. Jeff Harris (student regent)	May 31, 2011	San Angelo, Texas

SIGNIFICANT CONSIDERATIONS

Participation and Success

TTU is committed to the Texas Higher Education Coordinating Board's Closing the Gaps goals. The overall number of African American and Hispanic students at TTU has grown from 683 and 2,339 in 1998 respectively, to 1,303 and 4,030 in 2009. Additionally, approximately 24% of TTU freshmen are first generation college students each year. These emerging populations offer a broad diversity of students, many of whom are under-represented, have attended a community college, and/or have served in the armed forces. Programs such as "Pathway," "Tech Transfer Acceleration," and the "Military and Veterans" provide innovative portals through which to access higher education. TTU remains committed to achieving the participation goals of Closing the Gaps through a focused strategy that leads to academic success and inclusive excellence across the university.

Excellence and Research

Texas Tech University has recently completed its ten-year strategic plan titled "Making it Possible--- 2010-2020 Strategic Plan." This document and two related strategic planning documents, "Leading the Way" (at the TTU System level) and "Texas Tech University Strategic Plan for Research," (at TTU) chart a course for TTU to become a nationally competitive research university and, by 2020, a public research university similar to an Association of American University (AAU). Embodied in these plans are specific priorities tied to the expansion and enhancement of research commercialization and job employment opportunities.

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Technology Transfer and Economic Development

Two general emphases for TTU's regional economic development effort have been identified: technology transfer and technical assistance. Technology transfer is intended to leverage TTU's research capabilities in ways that will foster the growth of high technology for private sector employment in the West Texas area. One example of this effort, The Northwest Texas Small Business Development Center, has been recognized for its outstanding work having earned the 2008 National Lead Center of the Year Award by the U.S. Small Business Administration. More recently, TTU has increased its commercialization efforts (disclosures, licensing, spin-outs), established a TTU proof-of-concept fund, and worked with the financial community to arrange for an external seed fund for entrepreneurs to invest in early stage technologies from TTU. Finally, TTU Lubbock, in concert with the local Economic Development Alliance and the City of Lubbock is exploring ways to successfully establish an incubator within a research park model.

Partnerships and Outreach

Partnerships and collaborations provide another method of leveraging resources. TTU is committed to collaborating with its sister institutions, the TTU Health Sciences Center and Angelo State University, in ways that will benefit faculty and students, as well as the region and the state. Closing the Gaps requires all Texas public universities to implement strategies to increase opportunities in higher education for all Texans. Several years ago, TTU recognized that need and began following a strategy of expanding access in Texas by enhancing recruitment activities which focus on underrepresented groups. TTU is one of 76 U.S. colleges and universities to receive the prestigious Carnegie Community Engagement honor for service learning and community outreach.

STRATEGIC THEMES AND INITIATIVES

TTU's aspiration is to become a prominent, cost-effective, student-focused public research university, recognized as a top institution in Texas and among the top 100 in the United States. Supporting that challenging aspiration is a solid core of themes which are included in the TTU mission statement. These themes reflect the strategic choices being made: "As a public research university, Texas Tech advances knowledge through innovative and creative teaching, research, and scholarship. The university is dedicated to student success by preparing learners to be ethical leaders for a diverse and globally competitive workforce. The university is committed to enhancing the cultural and economic development of the state, nation, and world." The joint TTU System and TTU strategic priorities are as follows: increase enrollment and promote student success, strengthen academic quality and reputation, expand and enhance research and creative scholarship, further outreach and engagement, and increase and maximize resources.

Provide the best possible instruction to all students at the undergraduate, graduate, and professional levels

TTU will seek to improve undergraduate education by adding faculty to reduce class size, especially in departments where student demand is high, by increasing the proportion of these courses taught by senior faculty and by providing more graduate assistants who can give students additional one-on-one tutorial opportunities. For example, TTU has enhanced the Honors College experience through integrating undergraduate research and graduate programs.

Attract students who are unmatched for their talent and diversity

TTU seeks to expand student financial assistance by making the level of academic scholarship awards more competitive, increasing need-based grant funds, and enhancing the professional services offered in Student Financial Aid and Student Business Services. TTU will continue to promote access by expanding recruitment efforts across the state, especially among high achievers and culturally diverse student populations. TTU has implemented the Red Raider Guarantee Program to ensure that tuition and fees are paid for students whose family annual income is less than \$40,000. In addition, the University is in its seventh year of the Graduate on Time Program, which has resulted in increased semester credit hours completed by students and an increase in the number of degrees awarded.

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Grow the faculty while enhancing its quality and diversifying its composition

TTU seeks to add new faculty over the next biennium who will enhance the academic quality of the institution and improve the research enterprise and the classroom experience for undergraduate students. A number of special initiatives will also be implemented to diversify the faculty by hiring qualified applicants from underrepresented groups, including women.

Become one of the nation's top 100 centers for research

Within eight years, TTU intends to double its sponsored research and reach a goal of \$100 million, becoming a top 100 research university. Achieving this status will require a sustained effort of advancement, which has already begun. Currently, TTU is actively developing a heightened research culture, including recruiting additional faculty, building a deeper research infrastructure, and supporting research clusters of focused effort to benefit Texas, the Southwest, and the nation. Areas targeted for expansion of research include water resources, Southwest studies, agriculture (cotton, plant stress, precision agriculture, animal industries), genomics and biotechnology, engineering (wind, computing, materials science, hazardous materials management), environmental sciences, space science, bioinformatics, human sciences, and natural resources and conservation. The request seeks additional funding for a new strategy connecting graduate education with research while building on existing programs of faculty excellence and research development.

Increase funding for research libraries, equipment, and other services

TTU's libraries must be sustained and funds must be expended to strengthen collections (emphasizing our research priorities), expand or make more efficient use of space, protect deteriorating books and journals, and enhance the automated catalog systems. TTU will expand allocations for specialized research equipment by maintaining a continuing resource fund from growth in indirect costs recoveries.

Internationalize the university experience at TTU

Today, more than ever, it is imperative that TTU integrate global perspectives and promote international experience as part of its core missions. TTU will continue providing international study opportunities for undergraduate and graduate students. The study abroad programs, especially at the Texas Tech Center in Seville, Spain, and Quedlinburg, Germany, will continue to attract more students each semester.

Build new and renovate existing facilities

Facilities planned over the next 5 years include major improvements in the College of Engineering Expansion/Renovation and the construction of Experimental Science Building II.

MAJOR AREAS OF CONCERN

The major areas of concern in this appropriations request are those that directly affect TTU's ability to successfully continue its vital missions of teaching, research, and public service.

In preparation for responding to the 10 percent general revenue-related base reduction schedule, the institution has evaluated all general revenue funding and deemed every appropriated general revenue dollar critical to the missions of teaching, research and public service. Because of limited alternatives, the institution chose to propose reductions of all general revenue strategies. These proposed reductions will impact the core operations and delivery of services.

In accordance with Texas Education Code, Section 51.215 and Texas Government Code, Section 411.097, beginning May 1, 2009, all staff positions (including temporary

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employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment.

In addition, student positions classified as security sensitive level I or II require criminal background checks to be conducted prior to employment, promotion, reclassification or transfer.

Beginning April 1, 2010 all faculty tenure and non-tenure track are classified as security sensitive level I positions and require criminal background checks prior to employment.

Formulas

In order to maintain quality academic and research programs, the Legislature should fund, at the highest rate, the formula recommendations. The top priorities for the 82nd Legislature should be to provide sufficient funds to cover the growth in enrollments statewide and increase the formula funding rates to maintain current purchasing power that reflect changes due to cost increases. In addition, TTU requests that ARRA formula and incentive funding be reinstated with general revenue in order to continue providing quality academic and research programs. Reinstating this base level of funding is necessary for TTU to fulfill its mission and goal of becoming a nationally competitive research university.

Research Development Fund

Continued funding of RDF is critical to the future goals of TTU.

Salary Increases and Insurance Premium Increases

It is requested that the Legislature provide the necessary funding to allow sufficient appropriations to cover full funding of health insurance premiums, plus increases in the premiums for the Uniform Group Insurance Program (UGIP) for each year of the biennium. Salary increases and the maintenance of current insurance benefits are critical to maintaining our competitiveness for faculty and staff. In addition, it is requested that institutions of higher education receive an estimated appropriation for UGIP, as do other state agencies.

Special Item Support

Special Item funding, which provides seed money and matching funds, has been used to leverage millions of dollars of additional non-state funding to support research and public service in such areas as rangeland management, food and fiber production, energy, water, nutrition, and wine marketing. However, because TTU is neither a land-grant institution nor a recipient of support from the Available University Fund, the quality of graduate education programs is dependent upon the continued funding of special items, especially in the areas of agriculture, engineering, and human sciences. Special Item funding also supports the museum and other academic centers at the university.

5 Percent General Revenue-Related Reduction

Texas Tech University is requiring all departments to reduce travel related expenditures in order to comply with the mandated budget reduction. Faculty and staff are urged to make fiscally conservative decisions with regard to essential travel. TTU will ask all faculty and staff to utilize teleconferencing technology when available, encourage same-day travel, and reduce the number of conference and seminars along with the number of attendees. TTU will also require all departments to find and exploit any and all efficiencies in day-to-day operations across the institution. TTU will look at an institution wide focus on utility management, strict enforcement of HVAC cut-off times, further utilization of electric vehicle fleet to save on annual fuel expenses, reduce campus landscaping turnover and adopt "smartscape" plantings, adopt campus wide

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“summer hours” to allow for fewer cooling hours during summer months, delay purchase of depreciable equipment and attempt to extend useful life of current inventory where possible and as office equipment fails, explore opportunities for combined use equipment between departments, units, and individual employees. TTU will capture salary savings and instances where staff positions can be reallocated to areas of highest need. We are in a period of growth in higher education, and without increased support from the State, we will not be able to provide the high quality education and service our constituents have come to expect.

FUNDING REQUEST FOR EXCEPTIONAL ITEMS

Research in Emerging Technologies and Economic Development in Texas
Request: FY 2012 \$4,000,000; FY 2013 \$4,000,000

The Texas workforce is being strengthened through graduate fellowships in areas of great importance to West Texas and the State. New and continuing fellowships will again be focused in areas significant to the growth of innovation and intellectual capital. Alternative energy, environmental toxicology, math, science, engineering, legal and agricultural spectrum are research areas where the fellowship program is expected to leverage extramural resources, especially related to competitive federal programs. Texas Tech University’s strategic endeavors to grow overall external research will impact this program, particularly with regard to the University’s ability to attract and support faculty in existing and emerging areas important to Texas. Likewise, graduate students are an integral part of all academic research programs.

Small Business Development Center
Request: FY 2012 \$180,000; FY 2013 \$180,000

The Northwest Texas Small Business Development Center (SBDC) plans to slow the growing gap between rural and urban Texas by strengthening the economic health of the remote areas of the region. A primary element in accomplishing this goal is to provide an expanded rural outreach counseling program to assist existing and start-up businesses in the extreme rural areas of the 95 counties served. This request specifically targets counseling staff salaries, modest operational expenditures, and necessary travel costs across the region.

Tuition Revenue Bond Retirement

Request: FY 2012 \$10,233,275; FY 2013 \$10,712,575
TTU is requesting authorization for the following Tuition Revenue Bonds.

Experimental Science Building II
To construct a 150,000 square foot research facility to house high-tech interdisciplinary laboratories
Total Project \$87,750,000
TRB \$78.975 million; Funds other than TRB \$8.775 million
Debt Service FY 2012 \$5,052,875; FY 2013 \$5,287,300

College of Engineering Expansion/Renovation
To construct a 155,178 square foot academic facility to accommodate current space needs
Total Project \$90,000,000

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TRB \$81 million; Funds other than TRB \$9 million
Debt Service FY 2012 \$5,180,400; FY 2013 \$5,425,275

This exceptional item is to provide the debt service related to these bonds. The funding for these projects will include sources in addition to the tuition revenue bonds.

TEXAS TECH UNIVERSITY

BOARD OF REGENTS

Chancellor

President
(195.7 FTE)

Academic Affairs
Provost & Sr Vice
President
(3,568.5 FTE)

**Enrollment
Mgmt/Student Affairs**
Sr Vice President
(1,714.8FTE)

Administration & Finance
Vice President/CFO
(594.1 FTE)

Research
Vice President
(72.9 FTE)

External Relations
Assoc Vice President
(19.4 FTE)

Athletics
Director
(208.2FTE)

**Institutional Diversity, Equity &
Community Engagement**
Vice President
(33.7 FTE)

Total 6,407.3 FTE

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
1 Provide Instructional and Operations Support					
1 <i>Provide Instructional and Operations Support</i>					
1 OPERATIONS SUPPORT	127,132,541	131,284,637	129,563,815	0	0
3 STAFF GROUP INSURANCE PREMIUMS	4,014,254	4,296,642	4,679,911	4,679,911	4,679,911
4 WORKERS' COMPENSATION INSURANCE	521,169	620,530	588,708	604,620	604,620
6 TEXAS PUBLIC EDUCATION GRANTS	5,678,185	5,412,242	6,208,709	6,233,709	6,258,709
7 ORGANIZED ACTIVITIES	518,340	571,436	533,300	533,300	533,300
TOTAL, GOAL 1	\$137,864,489	\$142,185,487	\$141,574,443	\$12,051,540	\$12,076,540
2 Provide Infrastructure Support					
1 <i>Provide Operation and Maintenance of E&G Space</i>					
1 E&G SPACE SUPPORT	8,407,517	8,326,704	7,867,028	0	0
2 TUITION REVENUE BOND RETIREMENT	10,686,673	10,375,117	10,382,243	9,230,357	9,242,556
TOTAL, GOAL 2	\$19,094,190	\$18,701,821	\$18,249,271	\$9,230,357	\$9,242,556
3 Provide Special Item Support					
1 <i>Instructional Support Special Item Support</i>					
1 LIBRARY ARCHIVAL SUPPORT	716,915	730,404	692,947	711,675	711,675
2 <i>Research Special Item Support</i>					
1 AGRICULTURAL RESEARCH	2,230,589	2,226,787	2,112,593	2,169,690	2,169,690
2 ENERGY RESEARCH	1,013,647	943,594	895,205	919,400	919,400
3 EMERGING TECHNOLOGIES RESEARCH	271,334	1,624,972	3,008,307	316,640	316,640
10 COMPETITIVE KNOWLEDGE FUND	2,620,818	2,965,865	2,965,865	2,965,865	2,965,865

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
3 <i>Public Service Special Item Support</i>					
1 JUNCTION ANNEX OPERATION	428,235	353,527	335,398	344,462	344,462
2 HILL COUNTRY EDUCATIONAL NETWORK	625,153	621,563	589,688	605,625	605,625
3 SMALL BUSINESS DEVELOPMENT	901,236	1,227,706	1,227,706	1,227,706	1,227,706
4 MUSEUMS & CENTERS	2,194,960	2,084,193	1,977,311	2,030,752	2,030,752
6 CENTER FOR FINANCIAL RESPONSIBILITY	219,554	234,001	222,001	228,000	228,000
4 <i>Institutional Support Special Item Support</i>					
1 INSTITUTIONAL ENHANCEMENT	10,669,345	7,159,373	6,647,837	9,533,814	9,533,814
TOTAL, GOAL 3	\$21,891,786	\$20,171,985	\$20,674,858	\$21,053,629	\$21,053,629
5 <i>Research Development Fund</i>					
1 <i>Research Development Fund</i>					
1 RESEARCH DEVELOPMENT FUND	7,312,686	2,299,212	7,437,380	4,868,296	4,868,296
TOTAL, GOAL 5	\$7,312,686	\$2,299,212	\$7,437,380	\$4,868,296	\$4,868,296
TOTAL, AGENCY STRATEGY REQUEST	\$186,163,151	\$183,358,505	\$187,935,952	\$47,203,822	\$47,241,021
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$54,000	\$54,000
GRAND TOTAL, AGENCY REQUEST	\$186,163,151	\$183,358,505	\$187,935,952	\$47,257,822	\$47,295,021

2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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Agency name: **Texas Tech University**

Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
METHOD OF FINANCING:					
General Revenue Funds:					
1 General Revenue Fund	140,170,562	129,019,059	135,264,966	35,756,902	35,769,101
SUBTOTAL	\$140,170,562	\$129,019,059	\$135,264,966	\$35,756,902	\$35,769,101
General Revenue Dedicated Funds:					
269 Tx Tech Univ Sp Min Acct	49,498	61,730	47,228	54,000	54,000
704 Bd Authorized Tuition Inc	7,105,913	7,652,669	7,684,855	0	0
770 Est Oth Educ & Gen Inco	38,837,178	40,446,615	42,222,964	11,446,920	11,471,920
SUBTOTAL	\$45,992,589	\$48,161,014	\$49,955,047	\$11,500,920	\$11,525,920
Federal Funds:					
369 Fed Recovery & Reinvestment Fund	0	6,178,432	2,715,939	0	0
SUBTOTAL	\$0	\$6,178,432	\$2,715,939	\$0	\$0
TOTAL, METHOD OF FINANCING	\$186,163,151	\$183,358,505	\$187,935,952	\$47,257,822	\$47,295,021

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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DATE: **10/18/2010**
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Agency code: **733** Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE</u>					
<u>1</u> General Revenue Fund					
<i>REGULAR APPROPRIATIONS</i>					
Art XII, Sec 30, GR Reductions	\$0	\$(4,894,371)	\$0	\$0	\$0
Regular Appropriations from MOF Table (2008-09 GAA)	\$134,862,321	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2010-11 GAA)	\$0	\$140,881,792	\$141,120,198	\$35,756,902	\$35,769,101
<i>LAPSED APPROPRIATIONS</i>					
Five Percent Reduction (2010-11 Biennium)	\$0	\$(4,396,328)	\$(8,420,865)	\$0	\$0
Tuition Revenue Bond Retirement	\$0	\$(2,950)	\$(3,451)	\$0	\$0
<i>UNEXPENDED BALANCES AUTHORITY</i>					
Art III, Section 54, (2008-09 GAA) Institutional Enhancement	\$3,474,928	\$0	\$0	\$0	\$0
HB1, 80th Legislature, General Appropriations Act, Art III, Section 55	\$1,833,313	\$0	\$0	\$0	\$0

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
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DATE: **10/18/2010**
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METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE</u>					
SB1, 81st Legislature, General Appropriations Act, Art III, Section 52					
	\$0	\$(2,569,084)	\$2,569,084	\$0	\$0
TOTAL, General Revenue Fund	\$140,170,562	\$129,019,059	\$135,264,966	\$35,756,902	\$35,769,101
TOTAL, ALL GENERAL REVENUE	\$140,170,562	\$129,019,059	\$135,264,966	\$35,756,902	\$35,769,101

GENERAL REVENUE FUND - DEDICATED

269 GR Dedicated - Texas Tech University Special Mineral Account No. 269

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2008-09 GAA)

\$35,000 \$0 \$0 \$0 \$0

Regular Appropriations from MOF Table (2010-11 GAA)

\$0 \$70,176 \$70,176 \$54,000 \$54,000

Revise Receipts

\$14,498 \$(8,200) \$(16,176) \$0 \$0

LAPSED APPROPRIATIONS

Five Percent Reduction (2010-11 Biennium)

\$0 \$0 \$(7,018) \$0 \$0

UNEXPENDED BALANCES AUTHORITY

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **2:54:18PM**

Agency code: **733** Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Texas Education Code, Section 51.008(g)					
	\$0	\$(246)	\$246	\$0	\$0
TOTAL, GR Dedicated - Texas Tech University Special Mineral Account No. 269	\$49,498	\$61,730	\$47,228	\$54,000	\$54,000
<u>704</u> GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2008-09 GAA)					
	\$7,305,498	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2010-11 GAA)					
	\$0	\$7,037,000	\$7,037,000	\$0	\$0
Revise receipts to actual decrease/expenditures					
	\$(199,585)	\$0	\$0	\$0	\$0
Revise receipts to projected revenue increases					
	\$0	\$615,669	\$647,855	\$0	\$0
TOTAL, GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704	\$7,105,913	\$7,652,669	\$7,684,855	\$0	\$0
<u>770</u> GR Dedicated - Estimated Other Educational and General Income Account No. 770					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2008-09 GAA)					
	\$39,958,543	\$0	\$0	\$0	\$0

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **2:54:18PM**

Agency code: **733** Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE FUND - DEDICATED</u>					
Regular Appropriations from MOF Table (2010-11 GAA)	\$0	\$40,164,329	\$40,252,964	\$11,446,920	\$11,471,920
Revise receipts to actual decrease/expenditures	\$(1,121,365)	\$0	\$0	\$0	\$0
Revise receipts to projected revenue increases	\$0	\$282,286	\$1,970,000	\$0	\$0
TOTAL, GR Dedicated - Estimated Other Educational and General Income Account No. 770	\$38,837,178	\$40,446,615	\$42,222,964	\$11,446,920	\$11,471,920
TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770	\$45,943,091	\$48,099,284	\$49,907,819	\$11,446,920	\$11,471,920
TOTAL, ALL GENERAL REVENUE FUND - DEDICATED	\$45,992,589	\$48,161,014	\$49,955,047	\$11,500,920	\$11,525,920
TOTAL, GR & GR-DEDICATED FUNDS	\$186,163,151	\$177,180,073	\$185,220,013	\$47,257,822	\$47,295,021

FEDERAL FUNDS

369 Federal American Recovery and Reinvestment Fund
 REGULAR APPROPRIATIONS
 Regular Appropriations, Art XII (2010-11 GAA)

\$0	\$8,894,371	\$0	\$0	\$0
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2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **2:54:18PM**

Agency code: **733** Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>FEDERAL FUNDS</u>					
<i>RIDER APPROPRIATION</i>					
Art XII, Sec 4, Unexpended Balance					
	\$0	\$(2,715,939)	\$2,715,939	\$0	\$0
TOTAL, Federal American Recovery and Reinvestment Fund	\$0	\$6,178,432	\$2,715,939	\$0	\$0
TOTAL, ALL FEDERAL FUNDS	\$0	\$6,178,432	\$2,715,939	\$0	\$0
GRAND TOTAL	\$186,163,151	\$183,358,505	\$187,935,952	\$47,257,822	\$47,295,021

FULL-TIME-EQUIVALENT POSITIONS

REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2008-09 GAA)	2,959.9	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2010-11 GAA)	0.0	2,740.4	2,740.4	2,678.4	2,678.4
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Number Over (Below) Cap	(307.9)	(9.8)	(38.8)	0.0	0.0
TOTAL, ADJUSTED FTES	2,652.0	2,730.6	2,701.6	2,678.4	2,678.4

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**

TIME: **2:54:18PM**

Agency code: **733**

Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
NUMBER OF 100% FEDERALLY FUNDED FTEs	0.0	19.6	53.6	0.0	0.0

2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
TIME: **2:56:08PM**

Agency code: **733**

Agency name: **Texas Tech University**

OBJECT OF EXPENSE	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1001 SALARIES AND WAGES	\$73,187,617	\$76,677,753	\$71,638,530	\$11,662,505	\$11,662,505
1002 OTHER PERSONNEL COSTS	\$1,236,423	\$1,226,118	\$1,216,488	\$185,174	\$185,174
1005 FACULTY SALARIES	\$79,068,239	\$77,120,780	\$79,933,651	\$3,796,093	\$3,796,093
1010 PROFESSIONAL SALARIES	\$1,086,914	\$1,155,793	\$958,375	\$660,343	\$660,343
2001 PROFESSIONAL FEES AND SERVICES	\$238,429	\$77,260	\$264,603	\$184,714	\$184,714
2002 FUELS AND LUBRICANTS	\$105,047	\$24,539	\$108,621	\$35,581	\$35,581
2003 CONSUMABLE SUPPLIES	\$2,472,037	\$1,661,907	\$2,881,266	\$1,709,017	\$1,709,017
2004 UTILITIES	\$597,378	\$319,282	\$501,274	\$130,482	\$130,482
2005 TRAVEL	\$473,830	\$258,324	\$482,377	\$331,910	\$331,910
2006 RENT - BUILDING	\$107,430	\$82,532	\$153,854	\$443,975	\$443,975
2007 RENT - MACHINE AND OTHER	\$37,054	\$18,948	\$29,544	\$51,184	\$51,184
2008 DEBT SERVICE	\$10,686,673	\$10,375,117	\$10,382,243	\$9,230,357	\$9,242,556
2009 OTHER OPERATING EXPENSE	\$14,490,633	\$12,966,413	\$16,761,068	\$14,872,492	\$14,897,492
3001 CLIENT SERVICES	\$668,281	\$271,165	\$433,968	\$0	\$0
5000 CAPITAL EXPENDITURES	\$1,707,166	\$1,122,574	\$2,190,090	\$3,909,995	\$3,909,995
OOE Total (Excluding Riders)	\$186,163,151	\$183,358,505	\$187,935,952	\$47,203,822	\$47,241,021
OOE Total (Riders)				\$54,000	\$54,000
Grand Total	\$186,163,151	\$183,358,505	\$187,935,952	\$47,257,822	\$47,295,021

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **10/18/2010**

Time: **2:58:02PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs	60.20%	57.50%	57.50%	59.00%	59.00%
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs	61.41%	57.00%	57.00%	59.00%	59.00%
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs	52.31%	48.00%	48.00%	50.00%	50.00%
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs	59.62%	42.00%	42.00%	50.00%	50.00%
5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs	55.31%	52.00%	52.00%	52.00%	52.00%
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs	35.27%	37.00%	37.00%	37.00%	37.00%
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs	36.40%	31.00%	31.00%	36.00%	36.00%
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs	25.90%	24.00%	24.00%	24.00%	24.00%
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	24.81%	16.00%	16.00%	20.00%	20.00%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	43.43%	26.00%	26.00%	30.00%	30.00%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	80.80%	84.00%	84.00%	81.00%	81.00%
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	80.84%	82.00%	82.00%	81.00%	81.00%

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **10/18/2010**

Time: **2:58:12PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	76.09%	76.00%	76.00%	76.00%	76.00%
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	84.19%	80.00%	80.00%	80.00%	80.00%
15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr	90.09%	76.00%	76.00%	80.00%	80.00%
16 Percent of Semester Credit Hours Completed	94.91%	94.00%	94.00%	94.00%	94.00%
KEY 17 Certification Rate of Teacher Education Graduates	89.63%	88.70%	88.70%	89.00%	89.00%
18 Percentage of Underprepared Students Who Satisfy a TSI Obligation	87.87%	92.67%	92.67%	90.33%	90.33%
KEY 19 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	24.13%	25.00%	25.00%	25.00%	25.00%
KEY 20 Percent of Transfer Students Who Graduate within 4 Years	73.42%	58.00%	58.00%	60.00%	60.00%
KEY 21 Percent of Transfer Students Who Graduate within 2 Years	31.12%	20.00%	20.00%	24.00%	24.00%
KEY 22 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	28.28%	33.00%	33.00%	30.00%	30.00%
KEY 23 State Licensure Pass Rate of Law Graduates	86.11%	91.30%	91.30%	90.00%	90.00%
KEY 24 State Licensure Pass Rate of Engineering Graduates	77.36%	90.00%	90.00%	80.00%	80.00%
KEY 28 Dollar Value of External or Sponsored Research Funds (in Millions)	36.37	32.40	32.40	33.00	33.00

2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **10/18/2010**

Time: **2:58:12PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
29 External or Sponsored Research Funds As a % of State Appropriations	19.69%	240.00%	240.00%	240.00%	240.00%
30 External Research Funds As Percentage Appropriated for Research	1,014.58%	800.00%	800.00%	800.00%	800.00%
46 Value of Lost or Stolen Property	127,043.00	145,000.00	145,000.00	145,000.00	145,000.00
47 Percent of Property Lost or Stolen	0.07%	0.10%	0.10%	0.10%	0.10%
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	9.38%	17.00%	17.00%	17.00%	17.00%
49 Average No Months Endowed Chairs Remain Vacant	24.00	12.00	12.00	12.00	12.00

2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010

TIME : 2:58:51PM

Agency code: 733

Agency name: Texas Tech University

Priority	Item	2012			2013			Biennium	
		GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds	FTEs	GR and GR/Dedicated	All Funds
1	Research in Emerging Technologies	\$4,000,000	\$4,000,000	73.4	\$4,000,000	\$4,000,000	73.4	\$8,000,000	\$8,000,000
2	Small Business Development Center	\$180,000	\$180,000	5.0	\$180,000	\$180,000	5.0	\$360,000	\$360,000
3	Debt Serv for Requested Tuition Rev	\$10,233,275	\$10,233,275		\$10,712,575	\$10,712,575		\$20,945,850	\$20,945,850
Total, Exceptional Items Request		\$14,413,275	\$14,413,275	78.4	\$14,892,575	\$14,892,575	78.4	\$29,305,850	\$29,305,850

Method of Financing

General Revenue	\$14,413,275	\$14,413,275		\$14,892,575	\$14,892,575		\$29,305,850	\$29,305,850
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	\$14,413,275	\$14,413,275		\$14,892,575	\$14,892,575		\$29,305,850	\$29,305,850

Full Time Equivalent Positions

78.4

78.4

Number of 100% Federally Funded FTEs

0.0

0.0

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : **10/18/2010**
 TIME : **3:02:41PM**

Agency code: **733** Agency name: **Texas Tech University**

Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
1 Provide Instructional and Operations Support						
1 <i>Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
3 STAFF GROUP INSURANCE PREMIUMS	4,679,911	4,679,911	0	0	4,679,911	4,679,911
4 WORKERS' COMPENSATION INSURANCE	604,620	604,620	0	0	604,620	604,620
6 TEXAS PUBLIC EDUCATION GRANTS	6,233,709	6,258,709	0	0	6,233,709	6,258,709
7 ORGANIZED ACTIVITIES	533,300	533,300	0	0	533,300	533,300
TOTAL, GOAL 1	\$12,051,540	\$12,076,540	\$0	\$0	\$12,051,540	\$12,076,540
2 Provide Infrastructure Support						
1 <i>Provide Operation and Maintenance of E&G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	9,230,357	9,242,556	10,233,275	10,712,575	19,463,632	19,955,131
TOTAL, GOAL 2	\$9,230,357	\$9,242,556	\$10,233,275	\$10,712,575	\$19,463,632	\$19,955,131

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : **10/18/2010**
 TIME : **3:02:48PM**

Agency code: **733** Agency name: **Texas Tech University**

Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
3 Provide Special Item Support						
1 <i>Instructional Support Special Item Support</i>						
1 LIBRARY ARCHIVAL SUPPORT	\$711,675	\$711,675	\$0	\$0	\$711,675	\$711,675
2 <i>Research Special Item Support</i>						
1 AGRICULTURAL RESEARCH	2,169,690	2,169,690	0	0	2,169,690	2,169,690
2 ENERGY RESEARCH	919,400	919,400	0	0	919,400	919,400
3 EMERGING TECHNOLOGIES RESEARCH	316,640	316,640	4,000,000	4,000,000	4,316,640	4,316,640
10 COMPETITIVE KNOWLEDGE FUND	2,965,865	2,965,865	0	0	2,965,865	2,965,865
3 <i>Public Service Special Item Support</i>						
1 JUNCTION ANNEX OPERATION	344,462	344,462	0	0	344,462	344,462
2 HILL COUNTRY EDUCATIONAL NETWORK	605,625	605,625	0	0	605,625	605,625
3 SMALL BUSINESS DEVELOPMENT	1,227,706	1,227,706	180,000	180,000	1,407,706	1,407,706
4 MUSEUMS & CENTERS	2,030,752	2,030,752	0	0	2,030,752	2,030,752
6 CENTER FOR FINANCIAL RESPONSIBILITY	228,000	228,000	0	0	228,000	228,000
4 <i>Institutional Support Special Item Support</i>						
1 INSTITUTIONAL ENHANCEMENT	9,533,814	9,533,814	0	0	9,533,814	9,533,814
TOTAL, GOAL 3	\$21,053,629	\$21,053,629	\$4,180,000	\$4,180,000	\$25,233,629	\$25,233,629

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/18/2010
 TIME : 3:02:48PM

Agency code: 733		Agency name: Texas Tech University				
Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
5 Research Development Fund						
1 <i>Research Development Fund</i>						
1 RESEARCH DEVELOPMENT FUND	\$4,868,296	\$4,868,296	\$0	\$0	\$4,868,296	\$4,868,296
TOTAL, GOAL 5	\$4,868,296	\$4,868,296	\$0	\$0	\$4,868,296	\$4,868,296
TOTAL, AGENCY STRATEGY REQUEST	\$47,203,822	\$47,241,021	\$14,413,275	\$14,892,575	\$61,617,097	\$62,133,596
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST	\$54,000	\$54,000	\$0	\$0	\$54,000	\$54,000
GRAND TOTAL, AGENCY REQUEST	\$47,257,822	\$47,295,021	\$14,413,275	\$14,892,575	\$61,671,097	\$62,187,596

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/18/2010
 TIME : 3:02:48PM

Agency code: 733 Agency name: Texas Tech University							
Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013	
General Revenue Funds:							
1 General Revenue Fund	\$35,756,902	\$35,769,101	\$14,413,275	\$14,892,575	\$50,170,177	\$50,661,676	
	\$35,756,902	\$35,769,101	\$14,413,275	\$14,892,575	\$50,170,177	\$50,661,676	
General Revenue Dedicated Funds:							
269 Tx Tech Univ Sp Min Acct	54,000	54,000	0	0	54,000	54,000	
704 Bd Authorized Tuition Inc	0	0	0	0	0	0	
770 Est Oth Educ & Gen Inco	11,446,920	11,471,920	0	0	11,446,920	11,471,920	
	\$11,500,920	\$11,525,920	\$0	\$0	\$11,500,920	\$11,525,920	
Federal Funds:							
369 Fed Recovery & Reinvestment Fund	0	0	0	0	0	0	
	\$0	\$0	\$0	\$0	\$0	\$0	
TOTAL, METHOD OF FINANCING	\$47,257,822	\$47,295,021	\$14,413,275	\$14,892,575	\$61,671,097	\$62,187,596	
FULL TIME EQUIVALENT POSITIONS	2,678.4	2,678.4	78.4	78.4	2,756.8	2,756.8	

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

Date : **10/18/2010**

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Time: **3:03:54PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome

	BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
1						
1						
KEY						
	1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs					
	59.00%	59.00%			59.00%	59.00%
	2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs					
	59.00%	59.00%			59.00%	59.00%
	3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs					
	50.00%	50.00%			50.00%	50.00%
	4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs					
	50.00%	50.00%			50.00%	50.00%
	5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs					
	52.00%	52.00%			52.00%	52.00%
KEY						
	6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs					
	37.00%	37.00%			37.00%	37.00%
	7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs					
	36.00%	36.00%			36.00%	36.00%
	8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs					
	24.00%	24.00%			24.00%	24.00%

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **10/18/2010**

Time: **3:04:08PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome

	BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	20.00%	20.00%			20.00%	20.00%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	30.00%	30.00%			30.00%	30.00%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	81.00%	81.00%			81.00%	81.00%
12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr	81.00%	81.00%			81.00%	81.00%
13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr	76.00%	76.00%			76.00%	76.00%
14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr	80.00%	80.00%			80.00%	80.00%
15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr	80.00%	80.00%			80.00%	80.00%
16 Percent of Semester Credit Hours Completed	94.00%	94.00%			94.00%	94.00%
KEY 17 Certification Rate of Teacher Education Graduates	89.00%	89.00%			89.00%	89.00%

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **10/18/2010**

Time: **3:04:08PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome

	BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
18 Percentage of Underprepared Students Who Satisfy a TSI Obligation	90.33%	90.33%			90.33%	90.33%
KEY 19 % of Baccalaureate Graduates Who Are 1st Generation College Graduates	25.00%	25.00%			25.00%	25.00%
KEY 20 Percent of Transfer Students Who Graduate within 4 Years	60.00%	60.00%			60.00%	60.00%
KEY 21 Percent of Transfer Students Who Graduate within 2 Years	24.00%	24.00%			24.00%	24.00%
KEY 22 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track	30.00%	30.00%			30.00%	30.00%
KEY 23 State Licensure Pass Rate of Law Graduates	90.00%	90.00%			90.00%	90.00%
KEY 24 State Licensure Pass Rate of Engineering Graduates	80.00%	80.00%			80.00%	80.00%
KEY 28 Dollar Value of External or Sponsored Research Funds (in Millions)	33.00	33.00			33.00	33.00
29 External or Sponsored Research Funds As a % of State Appropriations	240.00%	240.00%			240.00%	240.00%

2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

Date : **10/18/2010**

Time: **3:04:08PM**

Agency code: **733**

Agency name: **Texas Tech University**

Goal/ Objective / Outcome

	BL 2012	BL 2013	Excp 2012	Excp 2013	Total Request 2012	Total Request 2013
30 External Research Funds As Percentage Appropriated for Research	800.00%	800.00%			800.00%	800.00%
46 Value of Lost or Stolen Property	145,000.00	145,000.00			145,000.00	145,000.00
47 Percent of Property Lost or Stolen	0.10%	0.10%			0.10%	0.10%
48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year	17.00%	17.00%			17.00%	17.00%
49 Average No Months Endowed Chairs Remain Vacant	12.00	12.00			12.00	12.00

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
 TIME: 3:05:07PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL: 1 Provide Instructional and Operations Support
 OBJECTIVE: 1 Provide Instructional and Operations Support
 STRATEGY: 1 Operations Support

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Output Measures:						
1	Number of Undergraduate Degrees Awarded	4,460.00	4,750.00	4,750.00	4,400.00	4,400.00
2	Number of Minority Graduates	882.00	750.00	750.00	750.00	750.00
3	Number of Students Who Successfully Complete Developmental Education	253.00	300.00	300.00	200.00	200.00
4	Number of Two-Year College Transfers Who Graduate	1,012.00	850.00	850.00	950.00	950.00
Efficiency Measures:						
KEY 1	Administrative Cost As a Percent of Operating Budget	6.23 %	6.10 %	6.10 %	6.30 %	6.30 %
Explanatory/Input Measures:						
1	Student/Faculty Ratio	21.00	21.00	21.00	22.00	22.00
2	Number of Minority Students Enrolled	5,867.00	4,500.00	4,500.00	5,500.00	5,500.00
3	Number of Community College Transfers Enrolled	5,189.00	4,300.00	4,300.00	5,000.00	5,000.00
4	Number of Semester Credit Hours Completed	350,452.00	334,000.00	334,000.00	350,000.00	350,000.00
5	Number of Semester Credit Hours	369,348.00	360,000.00	360,000.00	372,000.00	372,000.00
6	Number of Students Enrolled as of the Twelfth Class Day	30,097.00	28,500.00	28,500.00	30,500.00	30,500.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$50,016,294	\$53,469,511	\$51,225,720	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$766,569	\$754,820	\$787,797	\$0	\$0
1005	FACULTY SALARIES	\$74,084,199	\$73,412,734	\$76,137,558	\$0	\$0
1010	PROFESSIONAL SALARIES	\$289,935	\$402,838	\$189,244	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$122,382	\$54,366	\$105,893	\$0	\$0
2002	FUELS AND LUBRICANTS	\$(1,419)	\$15,097	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$490,996	\$967,929	\$378,749	\$0	\$0
2004	UTILITIES	\$244,536	\$154,953	\$189,033	\$0	\$0
2005	TRAVEL	\$170,369	\$158,242	\$131,692	\$0	\$0

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
 TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	1	Operations Support	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

This formula- driven strategy provides basic support for the mission of Texas Tech University. The formula yield is based upon performance and generation of semester credit hours. The Instruction and Operations formula provides funding for instruction, academic support, public service, research enhancement, student services, and instructional support. This strategy reinforces the University's efforts towards retention and increase in the number of graduates.

The level of funding for the instruction/operations strategy impacts the affordability of higher education. This strategy is the foundational support for Closing the Gaps in participation, success, excellence and research, thus reinforcing TTU's strategic plan goals.

These funds are distributed on a weighted semester credit hour basis. The Legislature appropriated \$62.19 per weighted semester credit hour for FY 2010 and FY 2011.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Factors impacting the strategy include:

- 1) The impact of tuition increases on students' ability to meet the cost of enrolling
- 2) The success of programs designed to retain currently enrolled students
- 3) The ability of the institution to attract and retain a sufficient number of faculty members to offer the full range of courses students need to complete degrees
- 4) The capacity of the institution to provide adequate space to meet the demands of course offerings

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	3	Staff Group Insurance Premiums	Service:	20	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$4,014,254	\$4,296,642	\$4,679,911	\$4,679,911	\$4,679,911
TOTAL, OBJECT OF EXPENSE		\$4,014,254	\$4,296,642	\$4,679,911	\$4,679,911	\$4,679,911
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$4,014,254	\$4,296,642	\$4,679,911	\$4,679,911	\$4,679,911
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$4,014,254	\$4,296,642	\$4,679,911	\$4,679,911	\$4,679,911
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,679,911	\$4,679,911
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$4,014,254	\$4,296,642	\$4,679,911	\$4,679,911	\$4,679,911

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Funding tied to the strategy for Staff Group Insurance Premium supports the Uniform Group Insurance Program for the University. The funding amount for each fiscal year represents only Texas Tech University's proportional funding obligation for both active and retired employees. The remainder is appropriated to TTU at 97.5% of the anticipated costs and can only be spent for Staff Group Insurance Premiums. This is based on current employer contribution rates and will need to be modified in accordance with any changes to these rates.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. STRATEGY REQUEST

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DATE: 10/18/2010
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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	3	Staff Group Insurance Premiums	Service:	20	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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The funding for Staff Group Insurance Premiums for institutions of higher education is capped at an amount established in the Appropriations Bill. Two factors contribute to the possibility of a shortfall in Staff Group Insurance appropriations:

- 1.The Legislative Budget Board requires that the appropriations for Staff Group Insurance must be based on actual enrollment as of December 1 of the fiscal year that the Legislature is in session. This process does not take into consideration any enrollment growth that may occur during the biennium or any growth in the next biennium due to additional appropriations or other factors.
- 2.The premiums for retirees must also be paid from the appropriations to each institution including the institution’s proportional share. The number of retirees may increase significantly before the end of the biennium or during the next biennium.
- 3.Effective 9/1/2006, as provided by SB 1863, 79th Regular Legislative Session, employees who waive or who have previously waived health insurance may elect to receive an Opt Out Credit to be applied to Dental or AD&D insurance coverage. Institutions of higher education are charged the full \$30 for part-time employees/retirees or \$60 for full-time employees/retirees regardless of the amount of the credit used by the employee for Dental or AD&D coverage. Any employee who has currently or previously waived health insurance may elect to receive the Opt Out Credit, this represents an additional cost since there was no prior appropriation for these individual’s health insurance.

3.A. STRATEGY REQUEST

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DATE: 10/18/2010
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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	4	Workers' Compensation Insurance	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$521,169	\$620,530	\$588,708	\$604,620	\$604,620
TOTAL, OBJECT OF EXPENSE		\$521,169	\$620,530	\$588,708	\$604,620	\$604,620
Method of Financing:						
1	General Revenue Fund	\$521,169	\$620,530	\$588,708	\$604,620	\$604,620
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$521,169	\$620,530	\$588,708	\$604,620	\$604,620
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$604,620	\$604,620
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$521,169	\$620,530	\$588,708	\$604,620	\$604,620

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy reflects the university's assessed allocation of the state's workers' compensation funding pool.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

3.A. STRATEGY REQUEST

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DATE: 10/18/2010
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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	6	Texas Public Education Grants	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
2009	OTHER OPERATING EXPENSE	\$5,678,185	\$5,412,242	\$6,208,709	\$6,233,709	\$6,258,709
TOTAL, OBJECT OF EXPENSE		\$5,678,185	\$5,412,242	\$6,208,709	\$6,233,709	\$6,258,709
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$5,678,185	\$5,412,242	\$6,208,709	\$6,233,709	\$6,258,709
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$5,678,185	\$5,412,242	\$6,208,709	\$6,233,709	\$6,258,709
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$6,233,709	\$6,258,709
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$5,678,185	\$5,412,242	\$6,208,709	\$6,233,709	\$6,258,709

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

Funding associated with the Texas Public Education Grants (TPEG) strategy provides additional student financial assistance. Texas Education Code, Title 3, Chapter 56, Section 56.033 and the General Appropriations Act, Article III, Higher Education Coordinating Board, Section 6, require that 15% of resident student tuition and 3% of nonresident student tuition be set aside for TPEG.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The amount of resident and nonresident tuition collected directly impacts TPEG.

3.A. STRATEGY REQUEST

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Agency code: **733** Agency name: **Texas Tech University**

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 7 Organized Activities

Statewide Goal/Benchmark: 2 0
Service Categories:
Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$65,002	\$180,717	\$189,356	\$189,356	\$189,356
1002	OTHER PERSONNEL COSTS	\$412	\$1,712	\$1,295	\$1,295	\$1,295
1005	FACULTY SALARIES	\$109,026	\$327,507	\$342,649	\$342,649	\$342,649
2003	CONSUMABLE SUPPLIES	\$138,711	\$27,057	\$0	\$0	\$0
2004	UTILITIES	\$0	\$8,091	\$0	\$0	\$0
2005	TRAVEL	\$0	\$1,040	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$205,189	\$25,312	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$518,340	\$571,436	\$533,300	\$533,300	\$533,300
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$518,340	\$571,436	\$533,300	\$533,300	\$533,300
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$518,340	\$571,436	\$533,300	\$533,300	\$533,300
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$533,300	\$533,300
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$518,340	\$571,436	\$533,300	\$533,300	\$533,300
FULL TIME EQUIVALENT POSITIONS:		11.4	16.7	14.9	14.9	14.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

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DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	7	Organized Activities	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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This strategy provides funding for the Child Development Research Center (CDRC) in the Department of Human Development and Family Studies (HDFS). The center has six classrooms providing full day services for children six weeks to six years of age and serves as a training, mentoring, and observation site. Since expanding in fall 2006, more than 1,500 students from over 12 disciplines have observed children in the CDRC. Over 700 students from across TTU/HSC have had hands-on experiences in the center. The expansion has allowed for an increase in the number of teachers-in-training who can be mentored in the center, which should assist in the retention of students and the continued increase in graduates in Early Childhood.

The center also serves as a research site for faculty interested in young children. Current research studies include faculty from HDFS, Psychology, and Education. The CDRC recently renewed its accreditation from the National Association for the Education of Young Children. The university's early childhood teacher education program housed in the HDFS department is also accredited by the National Council for Accreditation of Teacher Educators. Critical to this accreditation is the fact that students are provided with both coursework and hands-on experience with children in the age groups included in the certificate. Few programs in the state of Texas or across the nation offer an infant/toddler component; thus, the CDRC provides nationally recognized excellence for Closing the Gaps.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Internal Factors: In order to maintain accreditation and licensing standards, low teacher/child ratios are necessary in each classroom. In addition, qualified, degreed teachers are needed because the CDRC serves as a site for training students; therefore, it is vital to offer competitive salaries to retain quality teachers.

External factors: The CDRC is dependent upon the parents' ability to pay their child's daycare tuition costs. Tuition increases negatively impact the diversity of children attending the CDRC, thus affecting the research as well as the quality of education for the TTU students and the children.

3.A. STRATEGY REQUEST
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DATE: 10/18/2010
 TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 1 Educational and General Space Support Service: 10 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Efficiency Measures:						
1	Space Utilization Rate of Classrooms	35.52	35.00	35.00	35.00	35.00
2	Space Utilization Rate of Labs	31.91	27.00	27.00	28.00	28.00
Objects of Expense:						
1001	SALARIES AND WAGES	\$6,655,193	\$7,776,755	\$6,227,358	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$259,975	\$272,823	\$243,264	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$80	\$0	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$81,004	\$0	\$75,799	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$173,513	\$9,724	\$162,438	\$0	\$0
2004	UTILITIES	\$216,384	\$12,105	\$202,466	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$1,162	\$0	\$1,086	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$765,967	\$12,295	\$716,726	\$0	\$0
5000	CAPITAL EXPENDITURES	\$254,239	\$243,002	\$237,891	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$8,407,517	\$8,326,704	\$7,867,028	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$6,533,584	\$6,349,864	\$5,999,435	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$6,533,584	\$6,349,864	\$5,999,435	\$0	\$0
Method of Financing:						
770	Est Oth Educ & Gen Inco	\$1,873,933	\$1,976,840	\$1,867,593	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$1,873,933	\$1,976,840	\$1,867,593	\$0	\$0

3.A. STRATEGY REQUEST

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DATE: 10/18/2010
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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	1	Educational and General Space Support	Service:	10	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$8,407,517	\$8,326,704	\$7,867,028	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		233.8	277.8	271.1	271.1	271.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

Infrastructure Support Formula: Funding associated with plant-related formulas and utilities will be distributed by the infrastructure support formula, which is driven by the predicted square feet for universities' educational and general activities produced by the Space Projection Model developed by the Texas Higher Education Coordinating Board. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater. The E&G space support strategy is another primary component of providing affordability for students and setting tuition and fees in a manner that closes gaps in participation and success.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Many factors, both external & internal, affect the cost of E&G support. Utility rate changes, extreme weather conditions, & increases to facility sq footage often have a dramatic effect.

Utility funding is primarily for purchasing natural gas, electricity, and water; manufacturing chilled water, steam, treated water, and compressed air; and utility distribution systems repair including maintenance of over 7 miles of utility tunnels. Sq footage demands and fuel increases greatly affect the costs of bldg maintenance and custodial services. Campus improvements affect the cost of Grounds Maintenance. E&G Space Support includes Bldg Maintenance, Grounds Maintenance, Utilities, and Custodial Svcs. Bldg Maintenance includes carpentry, painting, locksmith, heating ventilation air conditioning, plumbing, electrical, and insulation. Grounds includes street, bumper and sign maintenance, irrigation, tree and shrub pruning, and mowing. Custodial cleans approximately 5 million sq feet each working day.

3.A. STRATEGY REQUEST

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service:	10	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
2008	DEBT SERVICE	\$10,686,673	\$10,375,117	\$10,382,243	\$9,230,357	\$9,242,556
TOTAL, OBJECT OF EXPENSE		\$10,686,673	\$10,375,117	\$10,382,243	\$9,230,357	\$9,242,556
Method of Financing:						
1	General Revenue Fund	\$10,686,673	\$10,375,117	\$10,382,243	\$9,230,357	\$9,242,556
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$10,686,673	\$10,375,117	\$10,382,243	\$9,230,357	\$9,242,556
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$9,230,357	\$9,242,556
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$10,686,673	\$10,375,117	\$10,382,243	\$9,230,357	\$9,242,556

FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service:	10	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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This strategy provides for the retirement of debt authorized by the Texas Education Code, Sections 55.17 (e) (2)-(4), 55.1739 and 55.1759 for Revenue Financing System Bonds. Proceeds from the authority granted by the 73rd Legislature were used to build a library archival facility at the University and a library for the HSC (Expended 2009 - \$2,062,489; estimated 2010 - \$2,063,305; budgeted 2011 - \$2,067,644; requested 2012 - \$2,070,232; requested 2013 - \$2,071,595).

Proceeds from the authority granted by the 75th Legislature in 1997 were used to construct educational facilities, including an English/Philosophy/Education complex and a visitors' center (Expended 2009 - \$1,740,792; estimated 2010 - \$1,740,462; budgeted 2011 - \$1,736,474; requested 2012 - \$1,728,300; requested 2013 - \$1,728,360).

Proceeds from the authority granted by the 77th Legislature in 2001 were used to construct an Experimental Sciences building (Expended 2009 - \$1,868,900; estimated 2010 - \$1,867,000; budgeted 2011 - \$1,868,575; requested 2012 - \$1,868,075; requested 2013 - \$1,869,950).

Proceeds from the authority granted by the 79th Legislature, 3rd Called Session in 2006 are being used to renovate a classroom building, construct a College of Business Building, and construct a Law School trial advocacy/education center (Expended 2009 -\$5,014,492; estimated 2010 - \$4,704,350; budgeted 2011 - \$4,709,550; requested 2012-\$3,563,750; requested 2013 - \$3,572,650).

Debt service for outstanding TRBs has been requested based on actual, known TRB debt service requirements for FY 2012 and 2013.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Legislative authorization of tuition revenue bonds for construction and renovations and the funding the debt service are the factors impacting this strategy.

3.A. STRATEGY REQUEST

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Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Instructional Support Special Item Support	Service Categories:		
STRATEGY:	1	Library Archival Support	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$264,381	\$246,734	\$268,945	\$268,945	\$268,945
1002	OTHER PERSONNEL COSTS	\$4,220	\$4,210	\$4,293	\$4,293	\$4,293
1005	FACULTY SALARIES	\$92,334	\$0	\$93,928	\$93,928	\$93,928
1010	PROFESSIONAL SALARIES	\$254,629	\$350,799	\$259,024	\$259,024	\$259,024
2001	PROFESSIONAL FEES AND SERVICES	\$989	\$2,516	\$1,006	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$15,062	\$13,479	\$9,790	\$14,761	\$14,761
2004	UTILITIES	\$7,039	\$10,600	\$4,575	\$0	\$0
2005	TRAVEL	\$24,602	\$31,808	\$15,990	\$24,110	\$24,110
2006	RENT - BUILDING	\$13,875	\$13,875	\$9,058	\$13,597	\$13,597
2007	RENT - MACHINE AND OTHER	\$4,683	\$4,607	\$3,044	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$33,688	\$51,776	\$21,856	\$33,017	\$33,017
5000	CAPITAL EXPENDITURES	\$1,413	\$0	\$1,438	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$716,915	\$730,404	\$692,947	\$711,675	\$711,675
Method of Financing:						
1	General Revenue Fund	\$716,915	\$730,404	\$692,947	\$711,675	\$711,675
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$716,915	\$730,404	\$692,947	\$711,675	\$711,675
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$711,675	\$711,675
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$716,915	\$730,404	\$692,947	\$711,675	\$711,675
FULL TIME EQUIVALENT POSITIONS:		14.9	13.7	11.5	11.5	11.5

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Instructional Support Special Item Support	Service Categories:		
STRATEGY:	1	Library Archival Support	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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The Vietnam Center and Archive contains 25 million pages of historical material regarding the American Vietnam experience including such items as government and military records, personal materials, correspondence, diaries, periodicals, memoirs, manuscripts, and the most comprehensive collection in the world of research microfilm related to the Vietnam War. The Archive is a collection of material on all aspects of the Vietnam War, especially those related to the role of Texans during this important period in history. Scholars conduct oral history interviews to preserve what veterans and others remember about the events. Materials are used by students, teachers, scholars, veterans, and the public and are also available through the Internet and Virtual Vietnam Archive, which contains 3 million pages of free digital material. Resources of the Vietnam Center contribute directly to student and faculty success and research in the departments of history, political science, and numerous other disciplines and are especially important for students studying at the M.A. and Ph.D. levels. In addition, the Archive has provided important information that continues to contribute to contemporary operations in the Global War on Terror and to the resolution of MIA cases in Southeast Asia. The Center sponsors conferences and symposia and provides a neutral setting for discussions which create a better understanding of these historical events. Work has been recognized by both the US State Department and the Vietnamese government as having made important contributions to postwar reconciliation.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External Factors: The Vietnam Center and Archive remains vital in the state and nation and is internationally recognized as the most significant project outside the federal government related to the Vietnam War. The Vietnam Center receives strong support from principal figures involved in the war and its aftermath. US Ambassador to Vietnam, Michael Michalak, invited the Vietnam Center to not only participate in two annual conferences on higher education in Vietnam but also to organize an international conference in Hanoi, Vietnam. During these opportunities, the Center recruited international students from Vietnam to attend TTU and created more occasions to establish joint academic programs and research opportunities between TTU departments and Vietnamese universities. The Vietnam Center supports summer study abroad in Southeast Asia and provides scholarships and mentorship to TTU students to engage in study abroad and in research related to degree programs.

Internal Factors: The Center employs and mentors 15 student and graduate student assistants and supports the presentation of graduate student research at annual conferences and symposia.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	1	Research to Enhance Ag Production & Add Value to Ag Products in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

West Texas and the High Plains region is one of the most intensive agricultural production areas in Texas and the world. However, due to increased global competition, escalated production/input/energy/technology costs, uncertainties of farm and trade policies, and declining supplies of underground water resources, the economic viability of the Texas agricultural industry is increasingly dependent on applied research and technology transfer. Texas Tech University researchers are uniquely qualified and positioned to provide interdisciplinary research leadership and expertise required for enhancing the viability of Texas agriculture, entrepreneurial skills and job creation in rural and urban communities. Cooperative research programs link TTU, Texas AgriLife Research, Texas AgriLife Extension USDA-Agricultural Research Service scientists and business communities to maximize use of state resources to develop nationally recognized programs and to increase the level of federal funding to TTU and partnering Texas institutions. Programs supported under this line will focus on Closing the Gaps in research excellence in critical aspects of food, fiber, natural resources, and environmental sciences, in application of research discoveries, and in commercialization of new technologies. Specific research thrusts will include: water, alternative energy, bioproducts, rangeland, crop, forage, animal, wildlife, natural resource management systems, food product safety and development, economics of farm policy and trade, precision resource management, genomics, and textile technology.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The forty counties that make up the High Plains region of West Texas annually account for over one-half of the agricultural cash receipts in the state. This region is one of the most intensive agricultural production areas in Texas and the world, with a \$30 billion economic impact. Texas High Plains is home to the world's largest cattle feedlot industry and supports the production of cotton, sorghum, corn, wheat, and vegetables. 25% of the nation's cotton and 56% of the state's cotton is produced on the High Plains, with the cash value of harvested cotton exceeding \$1 billion. 70% of the cattle fed in Texas are in feedlots in the High Plains, amounting to 27% of the fed beef in the US. In recent years, many more enterprises such as dairy, poultry, alternative energy, and ecotourism have been attracted to the region. Erratic shifts among agricultural enterprises, heavy dependence on production agriculture, declining groundwater supplies, increasing production costs, and increased competition threaten the future economic viability of this region. Agricultural enterprises of tomorrow need to include more diverse operations drawing benefits from a number of enterprises including traditional row crops, forages, animal agriculture, and wildlife. Opportunities to add value to agricultural products through new processing and manufacturing enterprises also need to be capitalized. Research is urgently needed to protect the economic integrity of the region through a systems-based approach of developing new and enhanced management strategies and enterprises.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 2 Research in Energy Production and Environmental Protection in Texas Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$738,936	\$813,827	\$670,231	\$670,231	\$670,231
1002	OTHER PERSONNEL COSTS	\$8,916	\$8,978	\$8,087	\$8,087	\$8,087
1005	FACULTY SALARIES	\$40,340	\$42,773	\$36,589	\$36,589	\$36,589
1010	PROFESSIONAL SALARIES	\$33,727	\$25,190	\$30,591	\$30,591	\$30,591
2001	PROFESSIONAL FEES AND SERVICES	\$500	\$0	\$453	\$453	\$453
2002	FUELS AND LUBRICANTS	\$2,807	\$2,207	\$2,546	\$2,526	\$2,526
2003	CONSUMABLE SUPPLIES	\$31,813	\$3,800	\$23,771	\$28,855	\$28,855
2004	UTILITIES	\$6,088	\$5,143	\$5,522	\$5,522	\$5,522
2005	TRAVEL	\$10,038	\$3,841	\$7,501	\$9,105	\$9,105
2006	RENT - BUILDING	\$529	\$289	\$480	\$480	\$480
2007	RENT - MACHINE AND OTHER	\$431	\$209	\$391	\$391	\$391
2009	OTHER OPERATING EXPENSE	\$109,541	\$27,736	\$81,850	\$99,377	\$99,377
5000	CAPITAL EXPENDITURES	\$29,981	\$9,601	\$27,193	\$27,193	\$27,193
TOTAL, OBJECT OF EXPENSE		\$1,013,647	\$943,594	\$895,205	\$919,400	\$919,400
Method of Financing:						
1	General Revenue Fund	\$1,013,647	\$943,594	\$895,205	\$919,400	\$919,400
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,013,647	\$943,594	\$895,205	\$919,400	\$919,400
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$919,400	\$919,400
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,013,647	\$943,594	\$895,205	\$919,400	\$919,400
FULL TIME EQUIVALENT POSITIONS:		18.2	17.4	11.0	11.0	11.0

3.A. STRATEGY REQUEST

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	2	Research in Energy Production and Environmental Protection in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Research into sustainable, reasonably priced, environmentally friendly sources of energy and water are vital to the future of Texas and the maintenance of a healthy, sustainable economy. The Water Resources Center (WRC) directs interdisciplinary research in water quantity and quality; regulatory and resource allocation policy; watershed management; production and treatment of brackish water for potable supply; water reuse and recycling; and remediation of contaminated soil and water. The Wind Science and Engineering Research (WISE) Center has established both an international repository for wind-damage documentation and a field site for advanced wind research related to wind loads on civil structures and wind power development. Research has improved the national engineering and design codes for structures to resist the destructive forces of hurricanes and tornadoes. WISE supports the rapid growth of wind power development through research related to the performance and reliability of wind turbine systems, as well as using wind power to desalinate brackish water supplies. The Cooperative Biological Research Database stores biological data that can be retrieved and analyzed to assist decision makers on issues including conservation, pollution control, agriculture, public health, aesthetics, education, and economic development. The Whitacre College of Engineering efforts in the areas of solar energy, biofuels, large and small scale energy storage will provide numerous opportunities for the state of Texas to be competitive in both job creation and economic development.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors affecting this strategy include changes in energy demand and policy, population change, competing demands for limited water supplies in an important agricultural area, the prices of oil and natural gas, governmental regulations, and potential terrorist activities. Recent events in the Gulf of Mexico have the potential to shift the focus on renewable and environmentally friendly sources of energy even more than its current levels. External factors that affect water resources include new water demands for biofuel and dairy developments, incentives for brackish water development, state-mandated regional water planning, future climate variability, persistence of local drought conditions, changes in state water rights, changes in drinking water standards, and transboundary issues. Significant external factors that impact wind research include population growth in storm prone areas, the rapid growth in wind power to meet electrical power needs (Texas ranks first in the U.S. for installed wind power capacity), the growing need for localized atmospheric data by a variety of users, and the homeland security threat of airborne toxins and pathogens. Internal factors that may affect this strategy are the availability of US citizens who are allowed to conduct proprietary federal research in these competitive areas, and continued state financial assistance with seed research, bridge funding, strategic hiring, and graduate student support. Future alternative and renewable sources of energy will be a critical focal point of research in Texas and the nation.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	3	Research in Emerging Technologies and Economic Development in Texas	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$316,640	\$316,640
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$271,334	\$1,624,972	\$3,008,307	\$316,640	\$316,640
FULL TIME EQUIVALENT POSITIONS:		2.1	24.3	24.3	2.1	2.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

Funding from this line item will be used to stimulate the economy of Texas with an emphasis on areas of high economic interest to the state. Collectively, these programs can have a significant positive impact on the Texas economy by improving the human condition, by assisting business, health care, and manufacturing entities, by creating and transferring new technologies, and by developing more effective marketing strategies for private sector enterprises. Faculty will conduct basic and applied research and provide service in areas compatible with state needs. Current projects supported by the line item include: research in nanotechnology, to combat antibiotic resistance in bacteria, a framework for career planning for high school students in the State of Texas, a collegiate substance abuse recovery program, improving hygiene in restaurants, nutrition research, recruiting and mentoring mathematics students, developmental neuroimaging technologies, family therapy for children, domestic adjustment and family financial planning with military families, market research and development of the organic food industry, domestic violence intervention, career factors in family adjustment, and development of new food processing technologies. Funds from this line item are leveraged through the development of proposals for funding from federal, state, and private sources.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors impacting this strategy include availability of federal funding for the programs funded by this line item, the ability of the University to successfully attract and retain faculty with expertise in these areas, potential increases in matching requirements by federal agencies, the amount of federal money directed to new faculty initiatives, timelines of federal budget approval and adoption, and the degree to which the state will provide matching for industry/state/university research and development initiatives. Additional external factors impacting the strategy include the adequate capitalization of emergent technologies developed at TTU.

Internal factors impacting the strategy are the availability of the research and development team to make the product scientifically sound and commercially viable. Additional internal factors impacting the strategy include the strategic investment, direction and allocation of resources within the University.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	2	Research Special Item Support	Service Categories:		
STRATEGY:	10	Competitive Knowledge Fund	Service:	21	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1005	FACULTY SALARIES	\$2,620,818	\$2,965,865	\$2,965,865	\$2,965,865	\$2,965,865
TOTAL, OBJECT OF EXPENSE		\$2,620,818	\$2,965,865	\$2,965,865	\$2,965,865	\$2,965,865
Method of Financing:						
1	General Revenue Fund	\$2,620,818	\$2,965,865	\$2,965,865	\$2,965,865	\$2,965,865
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,620,818	\$2,965,865	\$2,965,865	\$2,965,865	\$2,965,865
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,965,865	\$2,965,865
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,620,818	\$2,965,865	\$2,965,865	\$2,965,865	\$2,965,865
FULL TIME EQUIVALENT POSITIONS:		22.5	23.6	32.8	32.8	32.8

STRATEGY DESCRIPTION AND JUSTIFICATION:

Texas Tech University's priority objective is to recruit additional highly qualified and skilled faculty members in order to improve the undergraduate experience and to enhance the graduate and research mission of the institution. This appropriation funds faculty who are enhancing, expanding and improving the teaching and research mission of the university. The university leverages these funds with other resources to recruit and retain high impact faculty. It is critical that the university retain and increase this funding to support the state's goals of improving participation, graduation, research, and excellence in Texas higher education.

The competitive knowledge strategy is a principal element for closing gaps in success by providing high quality curriculums. This strategy will directly support increasing graduates in critical fields and the retention of top students.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Salaries are recurring expenses. The faculty members hired with this appropriation are making contributions to the university's mission; therefore, they are contributing to the state's goals for higher education. If these funds are not appropriated, the educational effectiveness of the university will suffer and the expectations of our external constituencies will not be met.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	1	Junction Annex Operation	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$292,960	\$283,721	\$239,082	\$239,082	\$239,082
1002	OTHER PERSONNEL COSTS	\$10,216	\$7,604	\$8,337	\$8,337	\$8,337
2001	PROFESSIONAL FEES AND SERVICES	\$1,280	\$585	\$1,045	\$0	\$0
2002	FUELS AND LUBRICANTS	\$24	\$154	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$10,793	\$7,624	\$7,344	\$8,461	\$8,461
2004	UTILITIES	\$20,222	\$11,738	\$13,759	\$15,854	\$15,854
2005	TRAVEL	\$6,230	\$3,416	\$4,239	\$4,884	\$4,884
2007	RENT - MACHINE AND OTHER	\$2,047	\$1,405	\$1,392	\$1,604	\$1,604
2009	OTHER OPERATING EXPENSE	\$64,463	\$26,898	\$43,878	\$50,559	\$50,559
5000	CAPITAL EXPENDITURES	\$20,000	\$10,382	\$16,322	\$15,681	\$15,681
TOTAL, OBJECT OF EXPENSE		\$428,235	\$353,527	\$335,398	\$344,462	\$344,462
Method of Financing:						
1	General Revenue Fund	\$428,235	\$353,527	\$335,398	\$344,462	\$344,462
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$428,235	\$353,527	\$335,398	\$344,462	\$344,462
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$344,462	\$344,462
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$428,235	\$353,527	\$335,398	\$344,462	\$344,462
FULL TIME EQUIVALENT POSITIONS:		9.2	8.8	8.4	8.4	8.4

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	1	Junction Annex Operation	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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This strategy supports graduate and undergraduate programs, research, and special initiatives at the TTU Center in Junction and provides support and degree opportunities for 150 students and faculty in under-served communities in the western Hill Country region in general academics, field science programs, teacher professional development courses, and K-12 enrichment programs. TTU Junction is the premier location in the TTU system for field science study and undergraduate research in field biology and ecology. This strategy partially funds operations of the Llano River Field Research Station which currently hosts students and scientists from several universities involved in research projects focused on water and watershed issues.

TTU Junction also supports training of local and state agency officials and staff/faculty of multiple institutions. The state's higher education goals are met by providing access to rural, place-bound students, promoting research as an economic engine for rural development, and supporting workforce development training offered through partner community colleges. Innovative, personal, and continuous advising is used to increase retention of non-traditional students.

More than 1500 students and teachers annually attend Outdoor School, a K-12 field-based science and math enrichment program. This experience is also used to train and mentor pre-service, undergraduate education students and has improved science and math education for over 40 rural school districts. The site also hosts highly acclaimed science training of public school teachers.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TTU Center at Junction provides conference facilities for large academic and scientific meetings which draw national and international participants.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	2	Hill Country Educational Network	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$365,300	\$386,264	\$359,042	\$359,042	\$359,042
1002	OTHER PERSONNEL COSTS	\$5,209	\$5,700	\$5,120	\$5,120	\$5,120
1005	FACULTY SALARIES	\$50,590	\$5,293	\$49,723	\$49,723	\$49,723
2001	PROFESSIONAL FEES AND SERVICES	\$10,208	\$7,208	\$10,033	\$9,606	\$9,606
2002	FUELS AND LUBRICANTS	\$0	\$1,287	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$54,997	\$83,976	\$46,389	\$51,757	\$51,757
2004	UTILITIES	\$16,100	\$19,863	\$13,581	\$15,151	\$15,151
2005	TRAVEL	\$10,728	\$12,344	\$9,050	\$10,097	\$10,097
2006	RENT - BUILDING	\$306	\$306	\$300	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$1,518	\$1,837	\$1,492	\$1,428	\$1,428
2009	OTHER OPERATING EXPENSE	\$95,783	\$94,488	\$80,791	\$90,137	\$90,137
5000	CAPITAL EXPENDITURES	\$14,414	\$2,997	\$14,167	\$13,564	\$13,564
TOTAL, OBJECT OF EXPENSE		\$625,153	\$621,563	\$589,688	\$605,625	\$605,625
Method of Financing:						
1	General Revenue Fund	\$625,153	\$621,563	\$589,688	\$605,625	\$605,625
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$625,153	\$621,563	\$589,688	\$605,625	\$605,625
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$605,625	\$605,625
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$625,153	\$621,563	\$589,688	\$605,625	\$605,625
FULL TIME EQUIVALENT POSITIONS:		9.6	8.9	8.6	8.6	8.6

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	2	Hill Country Educational Network	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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Through the expansion of the TTU Hill Country Educational Network located in Fredericksburg, Marble Falls, and the TTU Center at Junction, this strategy supports the goals of Texas higher education by promoting access to higher education for students in rural areas who are underserved because of geographic separation from existing campuses and the inability to relocate due to financial hardship. By supporting regional community college and other university partners, the network provides the only public upper-division and graduate academic courses, degrees, and certificates locally available to the residents of the 14 counties comprising the Texas Hill Country. The Network particularly serves Hill Country teachers, public school administrators, and nurses desiring to upgrade educational qualifications, as well as working adults/place-bound students desiring to complete bachelor's or master's degrees. During the last biennium, the number of degree and certificate programs offered was significantly increased for high-need programs, such as the doctorate in education (for public school officials), the master's degree in education (guidance and counseling), and the undergraduate degree in teaching (ESL and bilingual).

Retention (and resulting improved graduation rates) is emphasized by advisors and faculty through implementation of a "bridging" form of advising in which university and community college advisors work closely to jointly mentor and advise students from initial enrollment in the community college through completion of the bachelor's degree.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The Texas Hill Country is one of the fastest growing rural regions in the U.S., and the 14 counties of the Hill Country region are underserved by public institutions of higher education. Community colleges provide out-of-district services, but students seeking undergraduate and graduate degrees are forced to drive hours to reach public universities in Austin, San Antonio and San Angelo. With the TTU Hill Country Educational Network funding, TTU fills this void.

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Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	3	Small Business Development Center	Service:	13	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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The Small Business Development Center (SBDC) program is the largest management and technical assistance program to the small business sector in the United States. The Northwest Texas SBDC (NWTSBDC), housed at Texas Tech University, provides in-depth business counseling and training for small businesses within the 95 county service area. The services provided to the small business community have expanded beyond basic business counseling to include: International Trade Assistance, Manufacturing Assistance, Government Contracting, Technology Transfer, Technology/Internet assistance, Defense Transition assistance, Minority Business Assistance, and Community Economic Development and Rehabilitation.

With the continuing struggle faced by the rural communities of Northwest Texas, the NWTSBDC has focused on rural small business development as its primary role in supporting the economic growth of the region. Due to the disadvantages rural businesses face in today's competitive business climate, the NWTSBDC provides extensive counseling and training assistance to rural businesses in business plan development, e-commerce development and business competitiveness on a global scale.

Over the next 2 years the Northwest Texas SBDC will counsel over 6,050 clients, provide training to over 10,000 small business seminar attendees, assist with over 630 new business starts, expansions and saves as well as assist in the creation of over 2,900 new jobs within the region.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The SBDC program was created by an act of Congress in 1978. The enabling legislation requires that the recipient organization match the Small Business Administration (SBA) and the Defense Logistics Agency (DLA) provided funds on a one-for-one basis. The US Department of Commerce (USDOC) requires a two-for-one matching for the Texas Manufacturing Assistance Center (TMAC) program. Texas Tech University has a requirement to match \$ 682,732 in SBA funding for the SBDC program, \$302,315 in USDOC funding, and \$ 115,395 in DLA funding each year to continue to provide the above-mentioned services to the small businesses of the 95 county service area.

Although it is obvious that a loss of Line Item funding will prohibit the SBDC in acquiring or expanding the above-mentioned new programs, there are other consequences. If the State of Texas does not fund this program, then an equal amount of funding will be lost from federal and local sources. This massive funding decrease would result in a major reduction in program services and would cause the NWTSBDC to:

- Serve 3,000 - 4,000 fewer clients.
- Assist 300 - 400 fewer new business starts, expansions and saves.
- Serve 6,000 - 9,000 fewer seminar attendees.
- Assist in the creation of 1,500 - 2,000 fewer new jobs.

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
 TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 0
 OBJECTIVE: 3 Public Service Special Item Support Service Categories:
 STRATEGY: 4 Museums and Historical, Cultural, and Educational Centers Service: 04 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,994,846	\$2,036,890	\$1,895,630	\$1,895,630	\$1,895,630
1002	OTHER PERSONNEL COSTS	\$51,918	\$35,852	\$49,335	\$49,335	\$49,335
1005	FACULTY SALARIES	\$11,451	\$11,451	\$10,882	\$10,882	\$10,882
2001	PROFESSIONAL FEES AND SERVICES	\$320	\$0	\$0	\$0	\$0
2002	FUELS AND LUBRICANTS	\$5,399	\$0	\$0	\$2,608	\$2,608
2003	CONSUMABLE SUPPLIES	\$6,476	\$0	\$0	\$3,128	\$3,128
2004	UTILITIES	\$44,037	\$0	\$7,651	\$21,273	\$21,273
2007	RENT - MACHINE AND OTHER	\$1,009	\$0	\$0	\$488	\$488
2009	OTHER OPERATING EXPENSE	\$60,234	\$0	\$10,465	\$29,097	\$29,097
5000	CAPITAL EXPENDITURES	\$19,270	\$0	\$3,348	\$18,311	\$18,311
TOTAL, OBJECT OF EXPENSE		\$2,194,960	\$2,084,193	\$1,977,311	\$2,030,752	\$2,030,752
Method of Financing:						
1	General Revenue Fund	\$2,194,960	\$2,084,193	\$1,977,311	\$2,030,752	\$2,030,752
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$2,194,960	\$2,084,193	\$1,977,311	\$2,030,752	\$2,030,752
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$2,030,752	\$2,030,752
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$2,194,960	\$2,084,193	\$1,977,311	\$2,030,752	\$2,030,752
FULL TIME EQUIVALENT POSITIONS:		54.0	52.5	52.4	51.4	51.4

STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	3	Public Service Special Item Support	Service Categories:		
STRATEGY:	4	Museums and Historical, Cultural, and Educational Centers	Service:	04	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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The Museum, Lubbock Lake Landmark, National Ranching Heritage Center, and International Cultural Center are integral elements of the TTU academic mission for academic and social research for students and faculty through collections, exhibitions, and programs. Their activities support and enhance the mission of TTU in a fundamental way by offering instruction and learning opportunities for K-16 students. They serve as a primary facility for addressing issues about economic trends, changes in education, transitions in family values and lifestyles, and new directions in science and technology. Their role as research facilities is unparalleled because of the diversity and interdisciplinary nature of the programs.

TTU graduate and undergraduate students assist the NRHC staff as interns and practicum students in order to achieve NRHC's research and academic mission while fulfilling students' requirements for graduating. The NRHC currently is conducting a multi-year research program to study the socio-economic impact of wind farm leases on ranching families and operations.

The International Cultural Center advises and mentors international students and faculty coming to TTU, thus aiding in the retention of the university's culturally diverse population.

The Museum's internationally recognized graduate Museum Science and Heritage Management programs support and enhance the university mission through education, mentoring, and training professionals for entry into the global market, which is reflected in a growing number of graduate degrees awarded in those programs.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors affecting the Museum and Centers include weather, economy, traveling public, school funding for field trips, revenue from support organizations, and corporate, non-profit and individual donors.

Internal factors include priority of financial and human resources for preservation; faculty, graduate, and public education programs; graduate recruitment and retention efforts; and special events that generate statewide and international exposure.

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
 TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support
 OBJECTIVE: 4 Institutional Support Special Item Support
 STRATEGY: 1 Institutional Enhancement

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$7,197,695	\$5,130,435	\$3,246,024	\$3,246,024	\$3,246,024
1002	OTHER PERSONNEL COSTS	\$64,925	\$65,171	\$42,689	\$42,689	\$42,689
1005	FACULTY SALARIES	\$1,770,848	\$173,106	\$99,183	\$99,183	\$99,183
1010	PROFESSIONAL SALARIES	\$185,189	\$271,926	\$121,764	\$121,764	\$121,764
2001	PROFESSIONAL FEES AND SERVICES	\$8,873	\$4,485	\$19,191	\$102,039	\$102,039
2002	FUELS AND LUBRICANTS	\$1,634	\$0	\$3,537	\$18,805	\$18,805
2003	CONSUMABLE SUPPLIES	\$345,847	\$383,760	\$748,152	\$731,153	\$731,153
2004	UTILITIES	\$1,552	\$0	\$3,362	\$17,878	\$17,878
2005	TRAVEL	\$59,358	\$0	\$128,405	\$125,497	\$125,497
2006	RENT - BUILDING	\$31,445	\$42,731	\$68,022	\$361,675	\$361,675
2007	RENT - MACHINE AND OTHER	\$2,992	\$0	\$6,475	\$34,427	\$34,427
2009	OTHER OPERATING EXPENSE	\$723,369	\$834,823	\$1,564,816	\$1,462,585	\$1,462,585
5000	CAPITAL EXPENDITURES	\$275,618	\$252,936	\$596,217	\$3,170,095	\$3,170,095
TOTAL, OBJECT OF EXPENSE		\$10,669,345	\$7,159,373	\$6,647,837	\$9,533,814	\$9,533,814

Method of Financing:

1	General Revenue Fund	\$10,619,847	\$7,097,643	\$6,600,609	\$9,533,814	\$9,533,814
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$10,619,847	\$7,097,643	\$6,600,609	\$9,533,814	\$9,533,814

Method of Financing:

269	Tx Tech Univ Sp Min Acct	\$49,498	\$61,730	\$47,228	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)		\$49,498	\$61,730	\$47,228	\$0	\$0

Rider Appropriations:

269 Tx Tech Univ Sp Min Acct

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL:	3	Provide Special Item Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	4	Institutional Support Special Item Support	Service Categories:		
STRATEGY:	1	Institutional Enhancement	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
5 1	Special Mineral Fund				\$54,000	\$54,000
TOTAL, RIDER & UNEXPENDED BALANCES APPROP					\$54,000	\$54,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$9,587,814	\$9,587,814
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$10,669,345	\$7,159,373	\$6,647,837	\$9,533,814	\$9,533,814
FULL TIME EQUIVALENT POSITIONS:		194.7	90.4	69.0	69.0	69.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

This special line item supports research and teaching for the purpose of enhancing the academic infrastructure. The funds appropriated under this strategy strengthen Texas Tech University's ability to continually recruit, retain, and graduate students who are equipped to meet local and global challenges. These challenges could not be met without funding for materials and equipment, including the acquisition of online databases and the appointment and retention of quality faculty and staff for TTU's main and satellite campuses.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Internal factors include the growth of enrollment at both the main campus and satellite campuses.
External factors include the number and level of grants awarded from federal, state and private sources.

3.A. STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
 TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL: 5 Research Development Fund
 OBJECTIVE: 1 Research Development Fund
 STRATEGY: 1 Research Development Fund

Statewide Goal/Benchmark: 2 0
 Service Categories:
 Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$2,782,354	\$2,150,993	\$1,923,868	\$1,746,989	\$1,746,989
1002	OTHER PERSONNEL COSTS	\$7,654	\$6,484	\$4,805	\$4,805	\$4,805
1005	FACULTY SALARIES	\$242,146	\$141,735	\$152,038	\$152,038	\$152,038
1010	PROFESSIONAL SALARIES	\$190,388	\$0	\$228,327	\$119,539	\$119,539
2001	PROFESSIONAL FEES AND SERVICES	\$57,996	\$0	\$90,782	\$36,416	\$36,416
2002	FUELS AND LUBRICANTS	\$13,212	\$0	\$24,417	\$9,320	\$9,320
2003	CONSUMABLE SUPPLIES	\$1,145,174	\$0	\$1,450,842	\$807,676	\$807,676
2004	UTILITIES	\$8,533	\$0	\$17,640	\$6,016	\$6,016
2005	TRAVEL	\$123,919	\$0	\$124,774	\$87,396	\$87,396
2006	RENT - BUILDING	\$5,207	\$0	\$6,880	\$3,673	\$3,673
2007	RENT - MACHINE AND OTHER	\$6,341	\$0	\$5,861	\$4,473	\$4,473
2009	OTHER OPERATING EXPENSE	\$1,789,205	\$0	\$2,259,409	\$1,299,395	\$1,299,395
5000	CAPITAL EXPENDITURES	\$940,557	\$0	\$1,147,737	\$590,560	\$590,560
TOTAL, OBJECT OF EXPENSE		\$7,312,686	\$2,299,212	\$7,437,380	\$4,868,296	\$4,868,296
Method of Financing:						
1	General Revenue Fund	\$7,312,686	\$2,299,212	\$7,437,380	\$4,868,296	\$4,868,296
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$7,312,686	\$2,299,212	\$7,437,380	\$4,868,296	\$4,868,296
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$4,868,296	\$4,868,296
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$7,312,686	\$2,299,212	\$7,437,380	\$4,868,296	\$4,868,296
FULL TIME EQUIVALENT POSITIONS:		67.4	40.8	46.8	43.8	43.8

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: **733** Agency name: **Texas Tech University**

GOAL: 5 Research Development Fund
OBJECTIVE: 1 Research Development Fund
STRATEGY: 1 Research Development Fund

Statewide Goal/Benchmark: 2 0
Service Categories:
Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Stimulating the generation of innovative new research opportunities is vital to increasing the research capacity at Texas Tech University. Research Development Funding (RDF) has enabled Texas Tech to provide faculty researchers funding opportunities to develop new multidisciplinary programs that have the potential to introduce novel areas of academic activity at Texas Tech. Targeted lines have been directed to identified areas of research excellence and to core research equipment. RDF has also provided critical start-up funding for research efforts by new faculty, which has helped expedite departmental research program development. Through these efforts, TTU is strategically investing in areas of the University with the ultimate goal of growing externally funded research.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Internal: Funding is based on a 3-year rolling average of restricted research expenditures. Research faculty at Texas Tech University are aggressively increasing their externally funded research, which will enable an increase to the University's proportionate allocation of RDF.

External: Growth to the State's overall appropriation to the RDF would strongly impact the University's effectiveness in obtaining externally funded research.

3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$186,163,151	\$183,358,505	\$187,935,952	\$47,203,822	\$47,241,021
METHODS OF FINANCE (INCLUDING RIDERS):				\$47,257,822	\$47,295,021
METHODS OF FINANCE (EXCLUDING RIDERS):	\$186,163,151	\$183,358,505	\$187,935,952	\$47,203,822	\$47,241,021
FULL TIME EQUIVALENT POSITIONS:	2,652.0	2,730.6	2,701.6	2,678.4	2,678.4

3.C. RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
TIME: **3:08:58PM**

Agency code: **733** Agency name: **Texas Tech University**

RIDER	STRATEGY	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
5 1	Special Mineral Fund 3-4-1 INSTITUTIONAL ENHANCEMENT	\$49,498	\$59,332	\$46,982	\$54,000	\$54,000
OBJECT OF EXPENSE:						
	2009 OTHER OPERATING EXPENSE	\$49,498	\$59,332	\$46,982	\$54,000	\$54,000
Total, Object of Expense		\$49,498	\$59,332	\$46,982	\$54,000	\$54,000
METHOD OF FINANCING:						
	269 Tx Tech Univ Sp Min Acct	\$49,498	\$59,332	\$46,982	\$54,000	\$54,000
Total, Method of Financing		\$49,498	\$59,332	\$46,982	\$54,000	\$54,000

Description/Justification for continuation of existing riders or proposed new rider

3.C. RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
TIME: **3:09:17PM**

Agency code: **733** Agency name: **Texas Tech University**

RIDER	STRATEGY	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
SUMMARY:						
OBJECT OF EXPENSE TOTAL		\$49,498	\$59,332	\$46,982	\$54,000	\$54,000
METHOD OF FINANCING TOTAL		\$49,498	\$59,332	\$46,982	\$54,000	\$54,000

Rider Revisions and Additions Request

Agency Code:	Agency Name:	Prepared By:	Date:	Request Level:
733	Texas Tech University	Texas Tech University	8/16/10	Baseline
Current Rider Number	Page Number in 2010-11 GAA	Proposed Rider Language		
3	III-139	<p>Texas Tech University Museum. Out of funds appropriated above in Strategy C.3.4. for the Museums and Historical, Cultural and Educational Centers, \$505,988,533,565 in fiscal year 20122010 and \$411,886,433,565 in fiscal year 20132014 shall be allocated to the Ranching Heritage Center, and \$253,516,266,859 in each fiscal year shall be allocated to the Lubbock Lake Landmark and at least \$50,000 per year will be allocated to the Vietnam Center and Archive.</p> <p><i>This rider is revised to reflect the proper fiscal years and allocation amounts for the appropriation authority.</i></p>		
4	III-139	<p>Appropriation of Special Mineral Fund. The balance of funds on hand for the year ending August 31, 20112009, (estimated to be \$0) in the Texas Tech University Special Mineral Fund No. 269 and any income during the biennium beginning September 1, 20112009, deposited to that fund are appropriated in the funds above to Texas Tech University for the general operations of the University. Mineral Funds are estimated to be <u>\$54,000</u> \$70,176 fiscal year 2012 and <u>\$54,000</u>\$70,176 in fiscal year 2013.</p> <p><i>This rider is revised to reflect the proper fiscal years for the appropriation authority and the changes in estimates for FY 2012 & 2013.</i></p>		

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **3:12:51PM**

Agency code: **733**

Agency name:
Texas Tech University

CODE	DESCRIPTION	Excp 2012	Excp 2013
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Item Name: Research in Emerging Technologies and Economic Development in Texas

Item Priority: 1

Includes Funding for the Following Strategy or Strategies: 03-02-03 Research in Emerging Technologies and Economic Development in Texas

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	3,522,250	3,522,250
2009	OTHER OPERATING EXPENSE	477,750	477,750
TOTAL, OBJECT OF EXPENSE		\$4,000,000	\$4,000,000

METHOD OF FINANCING:

1	General Revenue Fund	4,000,000	4,000,000
TOTAL, METHOD OF FINANCING		\$4,000,000	\$4,000,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

	73.40	73.40
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DESCRIPTION / JUSTIFICATION:

This funding is a request for continuation of the graduate research fellowship initiative for Texas Tech University (TTU) that was initially supported through the 2009 approved Texas General Appropriations Act, Article XII, Section 25, ARRA funding. The Texas workforce is being strengthened through these graduate fellowships in areas of great importance to West Texas and the State. In FY2010, 106 graduate students were recruited under the program, with an additional 50 expected Fall 2010. Since inception in Fall 2009, recipients have submitted proposals to 20 external federal, state and private agencies with five awards received so far, including two prestigious National Defense Science and Engineering Graduate Fellowships.

New and continuing fellowships will again be focused in areas significant to the growth of innovation and intellectual capital. Alternative energy, environmental toxicology, math, science, engineering, legal and agricultural spectrum are research areas where the fellowship program is expected to leverage extramural resources, especially related to competitive federal programs. Fellowships in the social, economic, behavioral sciences, business and humanities are also expected to support innovative research on issues and concerns relevant to the State and nation. These graduate students will be the faculty of the future and the innovative problem-solving business leaders of tomorrow. The University is pre-eminently qualified to deliver an economically important return on investment in these areas of TTU's recognized research and academic excellence.

EXTERNAL/INTERNAL FACTORS:

Texas Tech University's strategic endeavors to grow overall external research will impact this program, particularly in the University's ability to attract and support faculty in existing and emerging areas of importance to Texas. Graduate students are an integral part of all academic research programs.

TTU's ability to obtain funding support to continue this program will have significant impact to the growth of graduate student research at the institution.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **3:17:31PM**

Agency code: **733** Agency name **Texas Tech University**

Code	Description	Excp 2012	Excp 2013
Item Name:		Research in Emerging Technologies and Economic Development in Texas	
Allocation to Strategy:		3-2-3 Research in Emerging Technologies and Economic Development in Texas	
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	3,522,250	3,522,250
2009	OTHER OPERATING EXPENSE	477,750	477,750
TOTAL, OBJECT OF EXPENSE		\$4,000,000	\$4,000,000
METHOD OF FINANCING:			
1	General Revenue Fund	4,000,000	4,000,000
TOTAL, METHOD OF FINANCING		\$4,000,000	\$4,000,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		73.4	73.4

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:27:21PM

Agency Code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 2 Research Special Item Support Service Categories:
 STRATEGY: 3 Research in Emerging Technologies and Economic Development in Texas Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2012	Excp 2013
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES	3,522,250	3,522,250
2009 OTHER OPERATING EXPENSE	477,750	477,750
Total, Objects of Expense	\$4,000,000	\$4,000,000

METHOD OF FINANCING:

1 General Revenue Fund	4,000,000	4,000,000
Total, Method of Finance	\$4,000,000	\$4,000,000

FULL-TIME EQUIVALENT POSITIONS (FTE): 73.4 73.4

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Research in Emerging Technologies and Economic Development in Texas

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **3:12:51PM**

Agency code: **733**

Agency name:
Texas Tech University

CODE	DESCRIPTION	Excp 2012	Excp 2013
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Item Name: Small Business Development Center

Item Priority: 2

Includes Funding for the Following Strategy or Strategies: 03-03-03 Small Business Development Center

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	180,000	180,000
TOTAL, OBJECT OF EXPENSE		180,000	180,000

METHOD OF FINANCING:

1	General Revenue Fund	180,000	180,000
TOTAL, METHOD OF FINANCING		180,000	180,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

5.00	5.00
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DESCRIPTION / JUSTIFICATION:

This request is to access the enhanced funding available from the Comptroller's SBDC Funding Formula. The Comptroller has developed a funding formula that uses a 3rd party survey to determine the economic activity generated by the assistance provided to small businesses by the 4 SBDC state regions. The survey uses a 3 year rolling average of results to determine the level of new job creation, job retention, and tax dollars generated to Texas from SBDC activities. These funds will be used to add new counselor positions to expand the small business counseling and training assistance to the rural areas of Northwest Texas.

The addition of these new counselor positions will result in 600 new clients counseled, and 1,500 additional training attendees annually. Most importantly the addition of these new positions will result in 50 new business openings and the addition of 375 new jobs created each year.

The Northwest Texas Small Business Development Center provides extensive business counseling and training to the small businesses of the 95 county service area in 7,176 new businesses and helped create 25,043 new jobs in the region. Although many of the new businesses opened and jobs created were in the more rural areas, the vast majority have opened in the larger population centers of the region.

The small of towns of Northwest Texas are slowly but surely declining as a continuous out-migration of youth and talent are draining the vitality of these communities. The need to effectively assist the existing and start-up businesses in the remote rural areas of the 95 county service areas is going to be key to the survival of the economic health of the region.

With the large and growing gap in the competitive advantage between rural and urban Texas, the need to bring solutions to this problem is our goal.

TTU has submitted all reports to the comptroller for review. The comptroller will determine if an increase of revenue neutral appropriation is possible.

EXTERNAL/INTERNAL FACTORS:

The NWT SBDC will be able to expand our delivery of basic and advanced SBDC services and global competitiveness training to the rural areas of the region. Although we currently provide our basic and advanced business counseling assistance to the rural areas of the region, we have been limited in the frequency in which we have been able to reach the extreme rural areas of Northwest Texas. The new funding would allow the NWT SBDC to continue to expand into the most remote areas of the region, an effort that was started during the last bi-annum.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **3:17:45PM**

Agency code: **733** Agency name **Texas Tech University**

Code	Description	Excp 2012	Excp 2013
Item Name: Small Business Development Center			
Allocation to Strategy: 3-3-3 Small Business Development Center			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	180,000	180,000
TOTAL, OBJECT OF EXPENSE		\$180,000	\$180,000
METHOD OF FINANCING:			
1	General Revenue Fund	180,000	180,000
TOTAL, METHOD OF FINANCING		\$180,000	\$180,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		5.0	5.0

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:28:06PM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0

OBJECTIVE: 3 Public Service Special Item Support Service Categories:

STRATEGY: 3 Small Business Development Center Service: 13 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2012	Excp 2013
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OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	180,000	180,000
	Total, Objects of Expense	\$180,000	\$180,000

METHOD OF FINANCING:

1	General Revenue Fund	180,000	180,000
	Total, Method of Finance	\$180,000	\$180,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

5.0		5.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Small Business Development Center

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **3:12:36PM**

Agency code: **733**

Agency name:
Texas Tech University

<u>CODE</u>	<u>DESCRIPTION</u>	<u>Excp 2012</u>	<u>Excp 2013</u>
	Item Name: Debt Service for Requested Tuition Revenue Bonds		
	Item Priority: 3		
	Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement		
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	10,233,275	10,712,575
	TOTAL, OBJECT OF EXPENSE	\$10,233,275	\$10,712,575
METHOD OF FINANCING:			
1	General Revenue Fund	10,233,275	10,712,575
	TOTAL, METHOD OF FINANCING	\$10,233,275	\$10,712,575

DESCRIPTION / JUSTIFICATION:

This request will provide for the debt service associated with the following bond requests:

Experimental Sciences Building II- Construct a new 150,000 sq ft facility to house high-tech interdisciplinary research laboratories, principle investigator offices, faculty and staff offices and support space to foster Texas Tech's growing research programs. Tuition Revenue Bond Request \$78,975,000. Total Project Cost \$87,750,000. FY 2012 Debt Service Requirement \$5,052,875. FY 2013 Debt Service Requirement 5,287,300.

College of Engineering Expansion/Renovation- Renovate current academic space including general classrooms, distance educational facilities, research labs and office space for faculty and graduate students. Tuition Revenue Bond Request \$81,000,000. Total Project Cost 90,000,000. FY 2012 Debt Service Requirement \$5,180,400. FY 2013 Debt Service Requirement \$5,425,275.

Requested debt service has been estimated assuming 20-year level debt service at 6%. These assumptions have traditionally been used by all institutions of higher education in the legislative appropriations process and are consistent with the assumptions used by the LBB and the Texas Bond Review Board in their Debt Affordability Study and in the calculation of the State's debt limit.

This assumption is only used in estimating the initial debt service. Following the initial appropriation, the actual debt service schedule from the actual TRB issuance are used to request the annual TRB debt service.

EXTERNAL/INTERNAL FACTORS:

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/18/2010**
 TIME: **3:17:45PM**

Agency code: **733** Agency name **Texas Tech University**

Code	Description	Excp 2012	Excp 2013
Item Name: Debt Service for Requested Tuition Revenue Bonds			
Allocation to Strategy: 2-1-2 Tuition Revenue Bond Retirement			
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	10,233,275	10,712,575
TOTAL, OBJECT OF EXPENSE		\$10,233,275	\$10,712,575
METHOD OF FINANCING:			
1	General Revenue Fund	10,233,275	10,712,575
TOTAL, METHOD OF FINANCING		\$10,233,275	\$10,712,575

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 5:39:30PM

Agency Code: **733** Agency name: **Texas Tech University**

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 - 0
 OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:
 STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2012	Excp 2013
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OBJECTS OF EXPENSE:

2008 DEBT SERVICE	10,233,275	10,712,575
Total, Objects of Expense	\$10,233,275	\$10,712,575

METHOD OF FINANCING:

1 General Revenue Fund	10,233,275	10,712,575
Total, Method of Finance	\$10,233,275	\$10,712,575

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Debt Service for Requested Tuition Revenue Bonds

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/18/2010**
Time: **3:31:04PM**

Agency Code: **733** Agency: **Texas Tech University**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2008 - 2009 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	<u>HUB Expenditures FY 2008</u>				<u>Total Expenditures FY 2008</u>		<u>HUB Expenditures FY 2009</u>				<u>Total Expenditures FY 2009</u>	
		% Goal	% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$	% Goal	% Actual	Diff	Actual \$
11.9%	Heavy Construction	17.7 %	17.7%	0.0%	\$86,204	\$485,936	18.3 %	18.3%	0.0%	\$700,714	\$3,821,295		
26.1%	Building Construction	17.1 %	17.1%	0.0%	\$4,627,336	\$27,015,749	18.4 %	18.4%	0.0%	\$6,732,581	\$36,564,714		
57.2%	Special Trade Construction	13.4 %	13.4%	0.0%	\$1,356,348	\$10,104,682	37.0 %	37.1%	0.1%	\$1,104,116	\$2,979,358		
20.0%	Professional Services	34.4 %	34.5%	0.1%	\$121,258	\$351,846	44.2 %	44.3%	0.1%	\$112,898	\$255,042		
33.0%	Other Services	9.2 %	9.2%	0.0%	\$2,432,020	\$26,434,302	9.8 %	9.8%	0.0%	\$2,269,042	\$23,198,232		
12.6%	Commodities	30.0 %	30.1%	0.1%	\$21,585,742	\$71,800,608	38.2 %	38.3%	0.1%	\$27,107,111	\$70,800,588		
	Total Expenditures		22.2%		\$30,208,908	\$136,193,123		27.6%		\$38,026,462	\$137,619,229		

B. Assessment of Fiscal Year 2008 - 2009 Efforts to Meet HUB Procurement Goals

Attainment:

Texas Tech University attained or exceeded 3 of 6, or 50% of the applicable statewide HUB procurement goals in fiscal year 2008.

Texas Tech University attained or exceeded 3 of 6, or 50% of the applicable statewide HUB procurement goals in fiscal year 2009.

Applicability:

Factors Affecting Attainment:

Heavy Construction FY08: Surpassed the statewide HUB goal.

Heavy Construction FY09: Surpassed the statewide HUB goal.

Building Construction FY08: limited availability of HUB vendors within the geographical area.

Building Construction FY09: limited availability of HUB vendors within the geographical area.

Special Trade FY08: limited availability of HUB vendors within the geographical area.

Special Trade FY09: limited availability of HUB vendors within the geographical area.

Professional Services FY08: Surpassed the statewide HUB goal

Professional Services FY09: Surpassed the statewide HUB goal.

Other Services FY08: limited availability of HUB vendors within the geographical area and unique or specialized contract requirements, i.e., mainframe hardware and software maintenance.

Other Services FY09: additional outreach was done to recruit HUB vendors. Limited availability of HUB vendors within the geographical area and unique or specialized contract requirements, i.e. mainframe hardware and software maintenance.

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/18/2010**

Time: **3:31:16PM**

Agency Code: **733** Agency: **Texas Tech University**

Commodity Purchasing FY08: Surpassed the statewide HUB goal.

Commodity Purchasing FY09: Surpassed the statewide HUB goal.

"Good-Faith" Efforts:

Texas Tech continues to report HUB participation on a quarterly basis to the Texas Tech Board of Regents.

Texas Tech sponsored and hosted 6th Annual West Texas Economic HUB Forum in San Angelo, Texas.

Texas Tech sponsored and hosted 7th Annual West Texas Economic HUB Forum in Amarillo, Texas in conjunction with State Comptroller's office.

One mentor/protégé agreement active during this reporting period. Implementation of procedures as stated by 1 TAC Section 111.15 (c) Implementation of procedures as stated by Government Code, Section 2161.127.

Established website for enhanced HUB relations.

Continue working with Signed Memorandums of Understanding with TAAACC and TAMACC.

Texas Tech HUB Manager active with Texas HUB Workgroup Association and Board member with DIR.

HUB Manager took leadership role in making El Paso Hispanic Chamber a HUB certification agency for West Texas.

VI.B. Current Biennium One-time Expenditure Schedule

Agency Code: 733	Agency Name: Texas Tech University	Prepared By: Crista McCune	Date: 10/18/2010	
Item	2010		2011	
	Amount	MOF	Amount	MOF
Texas Tech University has no one-time expenditures				

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART A TERRORISM

DATE: 10/18/2010

TIME: 3:34:12PM

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **733** Agency name: **TEXAS TECH UNIVERSITY**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$1,578,850	\$1,429,073	\$1,352,384	\$1,251,951	\$0
1002	OTHER PERSONNEL COSTS	\$269,091	\$261,829	\$247,071	\$236,774	\$0
2005	TRAVEL	\$114,158	\$51,455	\$47,065	\$32,132	\$0
2009	OTHER OPERATING EXPENSE	\$2,070,024	\$1,448,850	\$1,408,734	\$1,177,865	\$0
5000	CAPITAL EXPENDITURES	\$989,905	\$332,850	\$320,246	\$207,899	\$0
TOTAL, OBJECTS OF EXPENSE		\$5,022,028	\$3,524,057	\$3,375,500	\$2,906,621	\$0
METHOD OF FINANCING						
777	Interagency Contracts	\$366,520	\$0	\$0	\$0	\$0
	Subtotal, MOF (Other Funds)	\$366,520	\$0	\$0	\$0	\$0
555	Federal Funds					
	CFDA 12.000.000, DOD MAINTENANCE	\$4,265,214	\$3,457,508	\$3,375,500	\$2,906,621	\$0
	CFDA 12.300.000, Basic and Applied Scient	\$377,066	\$12,604	\$0	\$0	\$0
	CFDA 81.000.010, DOE FOR TTI	\$0	\$(857)	\$0	\$0	\$0
	CFDA 93.279.000, Drug Abuse Research Progr	\$0	\$54,802	\$0	\$0	\$0
	CFDA 93.856.000, Microbiology and Infectio	\$13,228	\$0	\$0	\$0	\$0
	Subtotal, MOF (Federal Funds)	\$4,655,508	\$3,524,057	\$3,375,500	\$2,906,621	\$0
TOTAL, METHOD OF FINANCE		\$5,022,028	\$3,524,057	\$3,375,500	\$2,906,621	\$0
FULL-TIME-EQUIVALENT POSITIONS		19.6	24.7	22.0	23.6	0.0

USE OF HOMELAND SECURITY FUNDS

6.G HOMELAND SECURITY FUNDING SCHEDULE - PART B NATURAL OR MAN-MADE DISASTERS

DATE: 10/18/2010

TIME: 5:36:58PM

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **733** Agency name: **TEXAS TECH UNIVERSITY**

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE						
1001	SALARIES AND WAGES	\$25,556	\$(4,157)	\$0	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$3,619	\$(977)	\$0	\$0	\$0
2005	TRAVEL	\$0	\$1,035	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$28,112	\$4,566	\$0	\$0	\$0
TOTAL, OBJECTS OF EXPENSE		\$57,287	\$467	\$0	\$0	\$0
METHOD OF FINANCING						
555	Federal Funds					
	CFDA 10.902.000, Soil and Water Conservat	\$47,360	\$9,323	\$0	\$0	\$0
	CFDA 12.000.000, DOD MAINTENANCE	\$9,927	\$(8,856)	\$0	\$0	\$0
	Subtotal, MOF (Federal Funds)	\$57,287	\$467	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE		\$57,287	\$467	\$0	\$0	\$0
FULL-TIME-EQUIVALENT POSITIONS		0.6	0.1	0.0	0.0	0.0

USE OF HOMELAND SECURITY FUNDS

Texs Tech University
Estimated Funds Inside & Outside the GAA
2010-11 and 2012-13 Biennia

	2010 - 2011 Biennium				2012 - 2013 Biennium			
	FY 2010 Revenue	FY 2011 Revenue	Biennium Total	Percent of Total	FY 2012 Revenue	FY 2013 Revenue	Biennium Total	Percent of Total
APPROPRIATED SOURCES (INSIDE THE GAA)								
State Appropriations (includes state paid benefits)	\$ 158,124,541	\$ 154,099,503	\$ 312,224,043	23.6%	\$ 156,112,021	\$ 156,112,021	\$ 312,224,042	23.7%
Research Development Fund	4,868,296	4,868,296	9,736,592	0.7%	4,868,296	4,868,296	9,736,592	0.7%
Higher Education Assistance Funds	27,446,656	27,446,656	54,893,312	4.1%	27,446,656	27,446,656	54,893,312	4.2%
Tuition and Fees (net of Discounts and Allowances)	56,181,873	58,271,971	114,453,844	8.6%	58,271,971	58,271,971	116,543,942	8.8%
Endowment and Interest Income	1,000,000	1,000,000	2,000,000	0.2%	1,000,000	1,000,000	2,000,000	0.2%
Other Income	6,212,910	2,918,539	9,131,449	0.7%	218,539	218,539	437,078	0.0%
Total	253,834,276	248,604,965	502,439,240	37.9%	247,917,483	247,917,483	495,834,966	37.6%
NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)								
State Grants and Contracts	26,256,076	26,256,076	52,512,152	4.0%	26,256,076	26,256,076	52,512,152	4.0%
Tuition and Fees (net of Discounts and Allowances)	197,734,622	197,734,622	395,469,245	29.8%	197,734,622	197,734,622	395,469,245	30.0%
Federal Grants and Contracts	58,174,559	58,174,559	116,349,118	8.8%	58,174,559	58,174,559	116,349,118	8.8%
Endowment and Interest Income	8,425,287	8,425,287	16,850,575	1.3%	8,425,287	8,425,287	16,850,575	1.3%
Local Government Grants and Contracts	1,331,888	1,331,888	2,663,775	0.2%	1,331,888	1,331,888	2,663,775	0.2%
Private Gifts and Grants	23,541,253	23,541,253	47,082,506	3.6%	23,541,253	23,541,253	47,082,506	3.6%
Sales and Services of Educational Activities (net)	5,843,811	5,843,811	11,687,621	0.9%	5,843,811	5,843,811	11,687,621	0.9%
Auxiliary Enterprises (net)	81,662,548	81,662,548	163,325,096	12.3%	81,662,548	81,662,548	163,325,096	12.4%
Other Income	8,422,749	8,422,749	16,845,499	1.3%	8,422,749	8,422,749	16,845,499	1.3%
Total	411,392,794	411,392,794	822,785,587	62.1%	411,392,794	411,392,794	822,785,587	62.4%
TOTAL SOURCES	\$ 665,227,069	\$ 659,997,758	\$ 1,325,224,827	100.0%	\$ 659,310,276	\$ 659,310,276	\$ 1,318,620,553	100.0%

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/18/2010
 Time: 3:38:05PM

Agency code: 733 Agency name: **Texas Tech University**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	

1 Special Line Item Operations Reduction - 5%

Category: Administrative - Operating Expenses

Item Comment: Workers' Compensation Insurance-Reduce the university's ability to fund assessment for the state's workers' compensation funding pool.

Library Archival Support-Reduce the funding used to support 1 archivist position.

Agricultural Research-Reduce funding that is critical to sustain the viability of research in agriculture and rural communities in the highly productive region of the state.

Energy Research-Reduce funding on important research of significant drivers of the economy that benefit the State of Texas and surrounding region.

Emerging Technologies-Reduce funding for research in new technologies.

Junction-Reduce support for state higher education goals by not providing access to rural, place-bound students and not being able to support workforce development training.

Hill Country-Reduce funding which supports the goals of Texas Higher Education in "Closing the Gaps" and in an underserved higher education region of the state.

Museum and Centers-Reduce funding for staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments.

Center for Financial Responsibility-Reduce institutional framework to develop unique programs, services and research in personal finance.

Institutional Enhancement- Reduce support for university institutional support and general administration.

Strategy: 1-1-4 Workers' Compensation Insurance

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$25,653	\$25,653	\$51,306
General Revenue Funds Total	\$0	\$0	\$0	\$25,653	\$25,653	\$51,306

Strategy: 3-1-1 Library Archival Support

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$43,028	\$43,028	\$86,056
General Revenue Funds Total	\$0	\$0	\$0	\$43,028	\$43,028	\$86,056

Strategy: 3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$128,011	\$128,011	\$256,022
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6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/18/2010
 Time: 3:38:05PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
General Revenue Funds Total	\$0	\$0	\$0	\$128,011	\$128,011	\$256,022	
Strategy: 3-2-2 Research in Energy Production and Environmental Protection in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$54,245	\$54,245	\$108,490	
General Revenue Funds Total	\$0	\$0	\$0	\$54,245	\$54,245	\$108,490	
Strategy: 3-2-3 Research in Emerging Technologies and Economic Development in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$136,682	\$136,682	\$273,364	
General Revenue Funds Total	\$0	\$0	\$0	\$136,682	\$136,682	\$273,364	
Strategy: 3-3-1 Junction Annex Operation							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$20,321	\$20,321	\$40,642	
General Revenue Funds Total	\$0	\$0	\$0	\$20,321	\$20,321	\$40,642	
Strategy: 3-3-2 Hill Country Educational Network							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$33,500	\$33,500	\$67,000	
General Revenue Funds Total	\$0	\$0	\$0	\$33,500	\$33,500	\$67,000	
Strategy: 3-3-4 Museums and Historical, Cultural, and Educational Centers							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$118,342	\$118,342	\$236,684	
General Revenue Funds Total	\$0	\$0	\$0	\$118,342	\$118,342	\$236,684	

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/18/2010
 Time: 3:38:05PM

Agency code: **733** Agency name: **Texas Tech University**

<u>Item Priority and Name/ Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
Strategy: 3-3-6 Center for Financial Responsibility							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$13,452	\$13,452	\$26,904	
General Revenue Funds Total	\$0	\$0	\$0	\$13,452	\$13,452	\$26,904	
Strategy: 3-4-1 Institutional Enhancement							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$300,000	\$300,000	\$600,000	
General Revenue Funds Total	\$0	\$0	\$0	\$300,000	\$300,000	\$600,000	
<u>Gr Dedicated</u>							
269 Tx Tech Univ Sp Min Acct	\$0	\$0	\$0	\$2,826	\$2,826	\$5,652	
Gr Dedicated Total	\$0	\$0	\$0	\$2,826	\$2,826	\$5,652	
Item Total	\$0	\$0	\$0	\$876,060	\$876,060	\$1,752,120	

FTE Reductions (From FY 2012 and FY 2013 Base Request)

2 Special Line Item Operations Reduction - 10%

Category: Administrative - Operating Expenses

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/18/2010
 Time: 3:38:05PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
<p>Item Comment: Workers' Compensation Insurance-Reduce the university's ability to fund assessment for the state's workers' compensation funding pool. Library Archival Support-Reduce the funding used to support 1 archivist position. Agricultural Research-Reduce funding that is critical to sustain the viability of research in agriculture and rural communities in the highly productive region of the state. Energy Research-Reduce funding on important research of significant drivers of the economy that benefit the State of Texas and surrounding region. Emerging Technologies-Reduce funding for research in new technologies. Junction-Reduce support for state higher education goals by not providing access to rural, place-bound students and not being able to support workforce development training. Hill Country-Reduce funding which supports the goals of Texas Higher Education in "Closing the Gaps" and in an underserved higher education region of the state. Museum and Centers-Reduce funding for staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments. Center for Financial Responsibility-Reduce institutional framework to develop unique programs, services and research in personal finance. Institutional Enhancement- Reduce support for university institutional support and general administration.</p>							
Strategy: 1-1-4 Workers' Compensation Insurance							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$25,653	\$25,653	\$51,306	
General Revenue Funds Total	\$0	\$0	\$0	\$25,653	\$25,653	\$51,306	
Strategy: 3-1-1 Library Archival Support							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$43,028	\$43,028	\$86,056	
General Revenue Funds Total	\$0	\$0	\$0	\$43,028	\$43,028	\$86,056	
Strategy: 3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$128,011	\$128,011	\$256,022	
General Revenue Funds Total	\$0	\$0	\$0	\$128,011	\$128,011	\$256,022	

Strategy: 3-2-2 Research in Energy Production and Environmental Protection in Texas

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/18/2010
 Time: 3:38:05PM

Agency code: 733 Agency name: Texas Tech University

<u>Item Priority and Name/ Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$54,245	\$54,245	\$108,490	
General Revenue Funds Total	\$0	\$0	\$0	\$54,245	\$54,245	\$108,490	
Strategy: 3-2-3 Research in Emerging Technologies and Economic Development in Texas							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$136,682	\$136,682	\$273,364	
General Revenue Funds Total	\$0	\$0	\$0	\$136,682	\$136,682	\$273,364	
Strategy: 3-3-1 Junction Annex Operation							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$20,321	\$20,321	\$40,642	
General Revenue Funds Total	\$0	\$0	\$0	\$20,321	\$20,321	\$40,642	
Strategy: 3-3-2 Hill Country Educational Network							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$33,500	\$33,500	\$67,000	
General Revenue Funds Total	\$0	\$0	\$0	\$33,500	\$33,500	\$67,000	
Strategy: 3-3-4 Museums and Historical, Cultural, and Educational Centers							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$118,342	\$118,342	\$236,684	
General Revenue Funds Total	\$0	\$0	\$0	\$118,342	\$118,342	\$236,684	
Strategy: 3-3-6 Center for Financial Responsibility							
<u>General Revenue Funds</u>							

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/18/2010
 Time: 3:37:56PM

Agency code: 733 Agency name: Texas Tech University

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
1 General Revenue Fund	\$0	\$0	\$0	\$13,452	\$13,452	\$26,904	
General Revenue Funds Total	\$0	\$0	\$0	\$13,452	\$13,452	\$26,904	
Strategy: 3-4-1 Institutional Enhancement							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$300,000	\$300,000	\$600,000	
General Revenue Funds Total	\$0	\$0	\$0	\$300,000	\$300,000	\$600,000	
<u>Gr Dedicated</u>							
269 Tx Tech Univ Sp Min Acct	\$0	\$0	\$0	\$2,826	\$2,827	\$5,653	
Gr Dedicated Total	\$0	\$0	\$0	\$2,826	\$2,827	\$5,653	
Item Total	\$0	\$0	\$0	\$876,060	\$876,061	\$1,752,121	
FTE Reductions (From FY 2012 and FY 2013 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$1,746,468	\$1,746,468	\$3,492,936	\$3,492,936
GR Dedicated Total				\$5,652	\$5,653	\$11,305	\$11,305
Agency Grand Total	\$0	\$0	\$0	\$1,752,120	\$1,752,121	\$3,504,241	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2012 and FY 2013 Base Request)							

Schedule 1A: Other Educational and General Income
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Agency Code: **733**

Agency Name: **Texas Tech University**

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Gross Tuition					
Gross Resident Tuition	43,106,274	45,309,277	45,675,333	45,975,333	46,275,333
Gross Non-Resident Tuition	22,535,251	25,202,765	27,909,722	27,909,722	27,909,722
Gross Tuition	65,641,525	70,512,042	73,585,055	73,885,055	74,185,055
Less: Remissions and Exemptions	(16,595,787)	(19,526,895)	(20,091,318)	(20,151,318)	(20,211,318)
Less: Refunds	0	0	0	0	0
Less: Installment Payment Forfeits	0	0	0	0	0
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(7,105,913)	(7,652,669)	(7,684,855)	(7,684,855)	(7,684,855)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(273,000)	(180,000)	(180,000)	(180,000)	(180,000)
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	29,570	28,514	28,500	28,500	28,500
Less: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	0	0	0	0	0
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	41,696,395	43,180,992	45,657,382	45,897,382	46,137,382
Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act	0	0	0	0	0
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(5,678,185)	(5,412,242)	(6,208,709)	(6,233,709)	(6,258,709)
Less: Transfer of Funds (2%) for Emergency Loans (Medical School)	0	0	0	0	0
Less: Transfer of Funds for Repayment of Student Loans of Physicians (Tx. Educ. Code Ann. Sec. 61.539)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	(60,386)	(68,634)	(68,634)	(68,934)	(69,234)
Less: Other Authorized Deduction					

Schedule 1A: Other Educational and General Income
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Agency Code: **733** Agency Name: **Texas Tech University**

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
	0	0	0	0	0
Net Tuition	35,957,824	37,700,116	39,380,039	39,594,739	39,809,439
Student Teaching Fees	0	0	0	0	0
Special Course Fees	0	0	0	0	0
Laboratory Fees	905,173	627,970	0	0	0
Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions)	36,862,997	38,328,086	39,380,039	39,594,739	39,809,439
OTHER INCOME					
Interest on General Funds:					
Local Funds in State Treasury	664,041	477,132	500,000	500,000	500,000
Funds in Local Depositories, e.g., local amounts	150	150	200	200	200
Other Income (Itemize)					
E&E Facilities Rental	13,206	11,206	13,000	13,000	13,000
Sales of Equipment/Junk	7,906	14,455	7,000	7,000	7,000
Miscellaneous Income	16,074	1,719	16,000	16,000	16,000
Subtotal, Other Income	701,377	504,662	536,200	536,200	536,200
Subtotal, Other Educational and General Income	37,564,374	38,832,748	39,916,239	40,130,939	40,345,639
Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls	(2,447,226)	(2,261,727)	(2,296,705)	(2,373,837)	(2,373,837)
Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds	(2,446,925)	(2,079,570)	(2,110,079)	(2,174,985)	(2,174,985)
Less: Staff Group Insurance Premiums	(4,014,254)	(4,296,642)	(4,679,911)	(4,679,911)	(4,679,911)
Total, Other Educational and General Income (Formula Amounts for General Academic Institutions)	28,655,969	30,194,809	30,829,544	30,902,206	31,116,906
Reconciliation to Summary of Request for FY 2009-2011:					
Plus: Transfer of Tuition for Retirement of Indebtedness - Skiles Act	0	0	0	0	0
Plus: Transfer of Funds for Texas Public Education Grants Program and Emergency Loans	5,678,185	5,412,242	6,208,709	6,233,709	6,258,709
Plus: Transfer of Funds for Cancellation of Student Loans of Physicians	0	0	0	0	0
Plus: Organized Activities	518,340	571,436	533,300	533,300	533,300
Plus: Staff Group Insurance Premiums	4,014,254	4,296,642	4,679,911	4,679,911	4,679,911
Plus: Board-authorized Tuition Income	7,105,913	7,652,669	7,684,855	7,684,855	7,684,855

Schedule 1A: Other Educational and General Income
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Agency Code: **733**

Agency Name: **Texas Tech University**

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)	0	0	0	0	0
Less: Tuition Waived for Students 55 Years or Older	(29,570)	(28,514)	(28,500)	(28,500)	(28,500)
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Total, Other Educational and General Income Reported on Summary of Request	45,943,091	48,099,284	49,907,819	50,005,481	50,245,181

Schedule 2: Grand Total Educational, General and Other Funds

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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Agency Code: **733** Agency Name: **Texas Tech University**

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Balances as of Beginning of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
General Revenue Appropriations					
Direct Appropriations	134,862,321	140,881,792	141,120,198	35,756,902	35,769,101
Transfer from Office of the Governor Deficiency and Emergency Grants	0	0	0	0	0
Less: General Revenue Appropriations Lapsed	0	(4,399,278)	(8,424,316)	0	0
Plus: Additional General Revenue through Budget Execution	0	0	0	0	0
Less: ARRA Formula Swap	0	(4,894,371)	0	0	0
Other (Itemize)					
Plus: Special Provisions Relating to State Agencies of Higher Education, Art.III Section 54 Institutional Enhancement Unexpended Balance	3,474,928	0	0	0	0
Plus: Special Provisions Relating to State Agencies of Higher Education, Art. III Section 55 Research Development Fund Unexpended Blance	1,833,313	(2,569,084)	2,569,084	0	0
Subtotal, General Revenue Appropriations	140,170,562	129,019,059	135,264,966	35,756,902	35,769,101
Other Educational and General Income	45,943,091	48,099,284	49,907,819	50,005,481	50,245,181
Other Appropriated Funds Income					
Health-related Institutions Patient Income (medical, dental, other)	0	0	0	0	0
Interagency contracts	0	0	0	0	0
Tobacco - Related Funds	0	0	0	0	0
ARRA Formula Swap	0	4,894,371	0	0	0
Other (Itemize)					
Special Mineral Fund	49,498	61,976	46,982	54,000	54,000
Article XII Section 25 ARRA Special Item Appropriations	0	1,284,061	2,715,939	0	0
Special Mineral Fund-Unexpended Balan ces Authorization	0	(246)	246	0	0
TOTAL, EDUCATIONAL AND GENERAL APPROPRIATIONS	186,163,151	183,358,505	187,935,952	85,816,383	86,068,282
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	0	598,214	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2009, 2010, 2011)	193,754	150,491	169,923	0	0

Schedule 2: Grand Total Educational, General and Other Funds

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Agency Code: **733** Agency Name: **Texas Tech University**

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2009, 2010, 2011)	0	0	0	0	0
Other (Itemize)					
Top 10% Scholarship	0	938,702	1,200,000	0	0
Enrollment Growth	0	160,992	0	0	0
The Lecroy Center for Educational	0	0	0	0	0
Workstudy Mentorship Program	55,000	0	0	0	0
College Readiness Outreach	50,000	51,158	9,860	0	0
Engineering Scholarship Program	65,000	46,023	58,853	0	0
Engineering Recruitment Program	7,975	17,979	0	0	0
Other: Fifth Year Accounting Scholarship	15,852	18,000	41,214	0	0
Texas Grants	11,540,579	12,427,214	14,082,060	0	0
Less: Transfer to System Administration	(2,514,201)	(1,667,837)	(1,667,837)	0	0
B-on-Time Program	702,923	481,873	2,580,157	0	0
Subtotal, General Revenue Transfers	10,116,882	13,222,809	16,474,230	0	0
General Revenue HEF for Operating Expenses	13,318,148	5,386,873	6,000,000	0	0
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2009, 2010, 2011)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfer from Coordinating Board for Incentive Funding	4,441,168	2,129,277	1,514,264	0	0
ARRA Article XII Section 25 Special Item Appropriations	0	0	0	0	0
Other (Itemize)					
Other Deductions (Itemize)					

Schedule 2: Grand Total Educational, General and Other Funds

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Agency Code: 733 Agency Name: Texas Tech University

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Decrease Capital Projects - Educational and General Funds Other (Itemize)	0	0	0	0	0
Total Funds	214,039,349	204,097,464	211,924,446	85,816,383	86,068,282
Less: Balances as of End of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
Grand Total, Educational, General and Other Funds	214,039,349	204,097,464	211,924,446	85,816,383	86,068,282
Designated Tuition (Sec. 54.0513)	77,033,358	95,834,397	96,733,724	96,733,724	96,733,724
Indirect Cost Recovery (Sec. 145.001(d))	6,398,602	7,773,614	7,176,000	7,176,000	7,176,000

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 82nd Regular Session, Agency Submission, Version 1
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Agency Code: **733**

Agency Code: **Texas Tech University**

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	77.04%				
GR-D %	22.96%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	1,157	891	266	1,157	1,046
2a Employee and Children	346	267	79	346	313
3a Employee and Spouse	336	259	77	336	304
4a Employee and Family	452	348	104	452	409
5a Eligible, Opt Out	8	6	2	8	8
6a Eligible, Not Enrolled	25	19	6	25	22
Total for This Section	2,324	1,790	534	2,324	2,102
PART TIME ACTIVES					
1b Employee Only	310	239	71	310	138
2b Employee and Children	20	15	5	20	9
3b Employee and Spouse	28	22	6	28	13
4b Employee and Family	13	10	3	13	6
5b Eligible, Opt Out	25	19	6	25	11
6b Eligible, Not Enrolled	731	563	168	731	326
Total for This Section	1,127	868	259	1,127	503
Total Active Enrollment	3,451	2,658	793	3,451	2,605

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/18/2010**
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Agency Code: **733** Agency Code: **Texas Tech University**

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	1,157	891	266	1,157	1,046
2e Employee and Children	346	267	79	346	313
3e Employee and Spouse	336	259	77	336	304
4e Employee and Family	452	348	104	452	409
5e Eligible, Opt Out	8	6	2	8	8
6e Eligible, Not Enrolled	25	19	6	25	22
Total for This Section	2,324	1,790	534	2,324	2,102

Schedule 3A: Staff Group Insurance Data Elements (ERS)
 82nd Regular Session, Agency Submission, Version 1
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Date: **10/18/2010**
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Agency Code: **733**

Agency Code: **Texas Tech University**

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	1,467	1,130	337	1,467	1,184
2f Employee and Children	366	282	84	366	322
3f Employee and Spouse	364	281	83	364	317
4f Employee and Family	465	358	107	465	415
5f Eligible, Opt Out	33	25	8	33	19
6f Eligible, Not Enrolled	756	582	174	756	348
Total for This Section	3,451	2,658	793	3,451	2,605

SCHEDULE 4: COMPUTATION OF OASI
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/18/2010**
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Agency Code: **733** Agency: **Texas Tech University**

	<u>Actual Salaries & Wages 2009</u>	<u>Actual Salaries & Wages 2010</u>	<u>Budgeted Salaries & Wages 2011</u>	<u>Estimated Salaries & Wages 2012</u>	<u>Estimated Salaries & Wages 2013</u>
Gross Educational & General Payroll - Subject to OASI	\$124,800,128	\$128,768,531	\$130,768,531	\$135,142,500	\$135,142,500
FTE Employees - Subject to OASI	2,176.0	2,293.0	2,362.0	2,435.0	2,435.0
Average Salary (Gross Payroll / FTE Employees)	\$57,353	\$56,157	\$55,363	\$55,500	\$55,500
Employer OASI Rate 7.65% x Average Salary	\$4,388	\$4,296	\$4,235	\$4,246	\$4,246
x FTE Employees	2,176.0	2,293.0	2,362.0	2,435.0	2,435.0
Grand Total, OASI	\$9,548,288	\$9,850,728	\$10,003,070	\$10,339,010	\$10,339,010

<u>Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	0.7437	\$7,101,062	0.7704	\$7,589,001	0.7704	\$7,706,365	0.7704	\$7,965,173	0.7704	\$7,965,173
Other Educational and General Funds (% to Total)	0.2563	2,447,226	0.2296	2,261,727	0.2296	2,296,705	0.2296	2,373,837	0.2296	2,373,837
Health-related Institutions Patient Income (% to Total)	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Grand Total, OASI (100%)	1.0000	\$9,548,288	1.0000	\$9,850,728	1.0000	\$10,003,070	1.0000	\$10,339,010	1.0000	\$10,339,010

SCHEDULE 5: CALCULATION OF RETIREMENT PROPORTIONALITY AND ORP DIFFERENTIAL

82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **733**

Agency name: **Texas Tech University**

Description	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Proportionality Amounts					
Gross Educational and General Payroll - Subject To Retirement	128,940,074	134,970,089	136,970,089	141,176,354	141,176,354
Employer Contribution to TRS Retirement Programs	9,547,112	9,057,360	9,190,240	9,472,930	9,472,930
Employer Contribution to ORP Retirement Programs	0	0	0	0	0
Proportionality Percentage					
General Revenue	74.37 %	77.04 %	77.04 %	77.04 %	77.04 %
Other Educational and General Income	25.63 %	22.96 %	22.96 %	22.96 %	22.96 %
Health-related Institutions Patient Income	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	2,446,925	2,079,570	2,110,079	2,174,985	2,174,985
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Gross Payroll Subject to Differential - Optional Retirement Program	30,504,962	30,092,528	30,092,528	31,058,797	31,058,797
Total Differential	222,686	273,842	273,842	282,635	282,635

Schedule 6: Capital Funding
 82nd Regular Session, Agency Submission, Version 1
 Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/18/2010**
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Agency Code: 733	Agency Name: Texas Tech University				
Activity	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
I. Balances as of Beginning of Fiscal Year					
A. PUF Bond Proceeds	0	0	0	0	0
B. HEF Bond Proceeds	0	0	0	0	0
C. HEF Annual Allocations	34,329,670	33,667,991	35,271,524	20,662,430	5,209,092
D. TR Bond Proceeds	0	48,421,097	38,945,280	21,668,744	81,000,000
II. Additions					
A. PUF Bond Proceeds Allocation	0	0	0	0	0
B. HEF General Revenue Appropriation	27,446,656	27,446,656	23,936,088	23,936,088	23,936,088
C. HEF Bond Proceeds	0	0	0	0	0
D. TR Bond Proceeds	52,505,000	0	0	159,975,000	0
E. Investment Income on PUF Bond Proceeds	0	0	0	0	0
F. Investment Income on HEF Bond Proceeds	0	0	0	0	0
G. Investment Income on TR Bond Proceeds	0	0	0	0	0
H. Other (Itemize)					
HEF Annual Allocations					
Commercial Paper	5,470,994	1,648,000	24,269,705	0	0
TR Bond Proceeds					
Commercial Paper	0	0	0	53,271,675	0
General Revenue Appropriations for TRB Debt Service	10,686,673	10,375,117	10,382,243	9,230,357	9,242,555
III. Total Funds Available - PUF, HEF, and TRB	\$130,438,993	\$121,558,861	\$132,804,840	\$288,744,294	\$119,387,735
IV. Less: Deductions					
A. Expenditures (Itemize)					
Library Books	5,581,995	5,732,451	0	0	0
Repairs and Rehabilitation	3,648,107	3,071,851	6,500,000	6,724,171	2,500,000
Furnishings and Equipment	3,478,507	4,057,936	10,203,341	10,998,414	5,228,340
Computing Equipment & Infrastructure	1,601,877	1,715,218	1,500,000	1,600,000	1,600,000
Construction	2,906,384	8,110,343	16,032,168	15,757,168	15,507,167
Debt Service Commercial Paper	10,891,465	3,155,324	4,309,673	4,309,673	4,309,673
Construction TRB	1,578,903	9,475,817	17,276,536	47,372,069	81,000,000
Commercial Paper Retirement TRB	2,505,000	0	0	53,271,675	0
B. Annual Debt Service on PUF Bonds	0	0	0	0	0
C.1. Annual Debt Service on HEF Bonds - RFS Commercial Paper	0	0	0	0	0
C.2. Annual Debt Service on HEF Bonds - RFS Bonds, Series 2001	0	0	0	0	0
D. Annual Debt Service on TR Bonds	10,686,673	10,375,117	10,382,243	9,230,357	9,242,555
E. Other (Itemize)					
HEF Annual Allocations					
Commercial Paper Computing Equipment & Infrastructure	0	0	4,550,000	0	0
Commercial Paper Construction	5,470,994	1,648,000	19,719,705	0	0
TR Bond Proceeds					

Schedule 6: Capital Funding
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Agency Code: 733	Agency Name: Texas Tech University				
Activity	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Commercial Paper Construction	0	0	0	53,271,675	0
Total, Deductions	\$48,349,905	\$47,342,057	\$90,473,666	\$202,535,202	\$119,387,735
V. Balances as of End of Fiscal Year					
A.PUF Bond Proceeds	0	0	0	0	0
B.HEF Bond Proceeds	0	0	0	0	0
C.HEF Annual Allocations	33,667,991	35,271,524	20,662,430	5,209,092	0
D.TR Bond Proceeds	48,421,097	38,945,280	21,668,744	81,000,000	0
	\$82,089,088	\$74,216,804	\$42,331,174	\$86,209,092	\$0

SCHEDULE 7: CURRENT AND LOCAL FUND (GENERAL) BALANCES

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Agency code: **733**

Agency name **TEXAS TECH UNIVERSITY**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
1. Balance of Current Fund in State Treasury	\$2,754,952	\$2,578,525	\$100,000	\$100,000	\$100,000
2. Unobligated Balance in State Treasury	\$0	\$0	\$0	\$0	\$0
3. Interest Earned in State Treasury	\$664,041	\$477,132	\$500,000	\$500,000	\$500,000
4. Balance of Educational and General Funds in Local Depositories	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000
5. Unobligated Balance in Local Depositories	\$0	\$0	\$0	\$0	\$0
6. Interest Earned in Local Depositories	\$150	\$150	\$200	\$200	\$200

Schedule 8: PERSONNEL
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Agency code: **733** Agency name: **TEXAS TECH UNIVERSITY**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	792.6	901.3	1,081.8	1,081.8	1,081.8
Educational and General Funds Non-Faculty Employees	1,859.4	1,829.3	1,619.8	1,596.6	1,596.6
Subtotal, Directly Appropriated Funds	2,652.0	2,730.6	2,701.6	2,678.4	2,678.4
Other Appropriated Funds					
Incentive Funding - Transfer from THECB	14.0	0.0	18.0	0.0	0.0
Advanced Research Grants Transfer from THECB	6.0	5.0	5.0	5.0	5.0
Other (Itemize)	9.0	1.4	0.0	0.0	0.0
Subtotal, Other Appropriated Funds	29.0	6.4	23.0	5.0	5.0
Subtotal, All Appropriated	2,681.0	2,737.0	2,724.6	2,683.4	2,683.4
Non Appropriated Funds Employees	3,027.6	3,276.1	3,682.7	3,682.7	3,682.7
Subtotal, Non-Appropriated	3,027.6	3,276.1	3,682.7	3,682.7	3,682.7
GRAND TOTAL	5,708.6	6,013.1	6,407.3	6,366.1	6,366.1

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Agency code: **733** Agency name: **TEXAS TECH UNIVERSITY**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
Part B.					
Personnel Headcount					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	1,138.0	1,250.0	1,527.0	1,527.0	1,527.0
Educational and General Funds Non-Faculty Employees	2,451.0	2,537.0	2,286.0	2,254.0	2,254.0
Subtotal, Directly Appropriated Funds	3,589.0	3,787.0	3,813.0	3,781.0	3,781.0
Other Appropriated Funds					
Incentive Funding - Transfer from THECB	26.0	0.0	10.0	0.0	0.0
Advanced Research Grants Transfer from THECB	11.0	10.0	10.0	10.0	10.0
Other (Itemize)	16.0	3.0	0.0	0.0	0.0
Subtotal, Other Appropriated Funds	53.0	13.0	20.0	10.0	10.0
Subtotal, All Appropriated	3,642.0	3,800.0	3,833.0	3,791.0	3,791.0
Non Appropriated Funds Employees	5,350.0	5,789.0	6,508.0	6,508.0	6,508.0
Subtotal, Non-Appropriated	5,350.0	5,789.0	6,508.0	6,508.0	6,508.0
GRAND TOTAL	8,992.0	9,589.0	10,341.0	10,299.0	10,299.0

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Agency code: **733** Agency name: **TEXAS TECH UNIVERSITY**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Faculty Employees	\$80,155,153	\$78,276,573	\$80,892,026	\$80,892,026	\$80,892,026
Educational and General Funds Non-Faculty Employees	\$73,187,617	\$76,677,753	\$71,638,530	\$69,292,462	\$69,292,462
Subtotal, Directly Appropriated Funds	\$153,342,770	\$154,954,326	\$152,530,556	\$150,184,488	\$150,184,488
Other Appropriated Funds					
Incentive Funding - Transfer from THECB	\$1,579,084	\$0	\$1,514,264	\$0	\$0
Advanced Research Grants Transfer from THECB	\$222,672	\$194,321	\$194,321	\$194,321	\$194,321
Other (Itemize)	\$85,000	\$12,700	\$0	\$0	\$0
Subtotal, Other Appropriated Funds	\$1,886,756	\$207,021	\$1,708,585	\$194,321	\$194,321
Subtotal, All Appropriated	\$155,229,526	\$155,161,347	\$154,239,141	\$150,378,809	\$150,378,809
Non Appropriated Funds Employees	\$124,274,658	\$132,254,419	\$137,736,447	\$137,736,447	\$137,736,447
Subtotal, Non-Appropriated	\$124,274,658	\$132,254,419	\$137,736,447	\$137,736,447	\$137,736,447
GRAND TOTAL	\$279,504,184	\$287,415,766	\$291,975,588	\$288,115,256	\$288,115,256

SCHEDULE 9: EXPENDITURES ASSOCIATED WITH UTILITY OPERATIONS
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Agency name: Texas Tech University

Item	Consumption	Cost
ENERGY COST		
(1) Purchased Electricity (KWH)	88,651,454	\$5,504,813
(2) Purchased Natural Gas (MCF)	652,356	\$3,080,805
(3) Purchased Thermal Energy (BTU)		\$0
WATER/WASTE WATER		
(4) Water (1,000 gal.)	202,664	\$734,414
(5) Waste Water (1,000 gal.)	182,370	\$409,481
UTILITIES OPERATING COSTS		
(6) Personnel		\$1,730,804
(7) Maintenance and Operations		\$3,647,051
(8) Renovation		\$569,500
UTILITIES DEBT SERVICE		
(9) Revenue Bonds		\$1,048,156
(10) Loan Star		\$299,311
(11) Performance Contracts		\$100,510
(12) TOTAL		\$17,124,845

Schedule 10A: Tuition Revenue Bond Projects
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Agency code: **733**

Agency Name: **Texas Tech University**

Priority Number: 1	Project Number: 1	Tuition Revenue Bond Request \$ 78,975,000	Total Project Cost \$ 87,750,000	Cost Per Total Gross Square Feet \$ 585
Name of Proposed Facility: Experimental Science Building II	Project Type: New Construction			
Location of Facility: Lubbock	Type of Facility: Academic & Research			
Project Start Date: 09/01/2012	Project Completion Date: 08/01/2014			
Gross Square Feet: 150,000	Net Assignable Square Feet in Project 97,500			

Project Description

The project will construct a new building to house high-tech interdisciplinary research laboratories, principle research investigator offices, faculty and staff offices, and support space to foster Texas Tech's growing research programs. The entire project is estimated at \$87.75 million with the source of funding as follows: \$78.975 million - Tuition Revenue Bonds; \$8.775 million funds other than Tuition Revenue Bonds.

Schedule 10A: Tuition Revenue Bond Projects
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Agency code: **733**

Agency Name: **Texas Tech University**

Priority Number: 2	Project Number: 2	Tuition Revenue Bond Request \$ 81,000,000	Total Project Cost \$ 90,000,000	Cost Per Total Gross Square Feet \$ 580
Name of Proposed Facility: College of Engineering Expansion/Renovation	Project Type: New Constr, Repair & Renv			
Location of Facility: Lubbock	Type of Facility: Academic			
Project Start Date: 09/01/2013	Project Completion Date: 08/01/2015			
Gross Square Feet: 155,178	Net Assignable Square Feet in Project 100,866			

Project Description

The fundamental goals of the project are (1) to accommodate current academic space needs including general classrooms, distance educational facilities, research labs, and faculty and graduate student offices (2) to create a College focal point projecting the College identity and enhancing interdepartmental fusion among students and faculty members, and (3) to plan the long-term vision for the College. The new facilities will accommodate several large tiered lecture halls and distance learning classrooms, the Computer science department, Student Services Office of the Dean, and other teaching and support spaces.

A multi-use landscaped plaza will be created between the buildings to expand opportunities for interaction between students, faculty, and alumni. The project will also construct a College of Engineering "Main Street" to assist in unifying the students of various departments in the college.

SCHEDULE 10B: TUITION REVENUE BOND ISSUANCE HISTORY

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Texas Tech University

Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2010	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$33,500,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		<i>Subtotal</i>	\$33,500,000		\$0	
1995	\$14,000,000	Feb 15 1995	\$14,000,000			
		<i>Subtotal</i>	\$14,000,000		\$0	
1997	\$30,000,000	May 4 1999	\$30,000,000			
		<i>Subtotal</i>	\$30,000,000		\$0	
2001	\$23,647,000	Sep 1 2003	\$23,647,000			
		<i>Subtotal</i>	\$23,647,000		\$0	
2006	\$57,500,000	Mar 3 2009	\$57,500,000			
		<i>Subtotal</i>	\$57,500,000		\$0	

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Agency Code: **733** Agency: **Texas Tech University**

Special Item: 1 Library Archival Support

(1) Year Special Item: 1996

(2) Mission of Special Item:

The Vietnam Center and Archive contribute directly to the research, recruiting, and enrollment missions of Texas Tech University. The project promotes the study of the American Vietnam experience, especially the Vietnam War, and collects, preserves, and makes accessible related historical materials. The project involves a dynamic set of faculty, staff, students, scholars, veterans, and other participants. The Vietnam Center hosts annual conferences where individuals with vastly different viewpoints come together to discuss and learn from one another. These events include students and wartime participants from Texas, the US, and the world. The Vietnam Archive provides students and researchers in Texas with access to the richest set of educational resources available outside of the US National Archives and has made a large portion of them available to the global community through the Internet and Virtual Vietnam Archive.

The Vietnam Center contributes to recruiting and enrollment by providing direct support to academic programs at Texas Tech, attracting students interested in these areas of study. The Vietnam Center also leverages contacts in Vietnam to bring students from Vietnam to Texas Tech University while academic connections with Vietnam have led to joint research projects. Essential to the Vietnam Center's success has been the very strong support of the university leadership, the Lubbock community, the State of Texas, the US government, and the US veteran community.

(3) (a) Major Accomplishments to Date:

The Vietnam Center leads the nation in the study of the American Vietnam experience through its many programs and an archive of 20 million pages of historical documentation. The 2010 Vietnam Center Conference is being co-hosted with the Johns Hopkins School of Advanced International Studies and will examine the lessons of Vietnam as they should apply to Iraq and Afghanistan. The Vietnam Archive's collections grew by nearly 800 donations in the preceding two years which include many donations from Texas veterans. In addition to innumerable veteran collections, we continue to make accessible for researchers a document collection that chronicles the experiences of Vietnamese political prisoners after the Vietnam War. This collection of Vietnamese American materials was deemed by the Texas State Archivist to be essential to preserving the history of underrepresented groups in our State. The Vietnam Archive also continued to leverage US Federal funding in support of the Virtual Vietnam Archive in the amount of \$571,000 in 2009 and \$850,000 in 2010.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The Vietnam Center will host four international conferences in the next two years, will support TTU student research presentations at these events, and will participate in numerous events hosted by other institutions. The center will continue to support the recruiting and enrollment missions of TTU by spearheading efforts to create a new Graduate Certificate in Southeast Asian Studies, attracting a larger set of students interested in this field of study. Work with the College of Distance Education to create additional course offerings unique to TTU will carry on. The center will continue to coordinate international student recruiting efforts to bring more Vietnamese students to TTU and to work with colleges at TTU to create joint academic and research projects with Vietnamese universities. Funding for a new facility that will house both the Vietnam Center and Archive and a National Museum of the Vietnam War will be pursued. The Vietnam Archive will continue to collect materials and interviews from veterans in Texas and across America, adding to the research materials available to TTU students and faculty. We will continue to leverage federal and other research grants allowing us to continue mentoring and supporting TTU students and graduate assistants. Support will continue for US Veterans Affairs for claims processing and the Department of Defense as they seek to find MIAs from the war and to apply lessons learned from the Vietnam War to the Global War on Terror.

(4) Funding Source Prior to Receiving Special Item Funding:

N/A

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(5) Non-general Revenue Sources of Funding:

2010	\$607,000	Federal (IMLS and SSI USAWC)
	\$30,500	Private (Henry Luce Foundation, Strake Foundation, and multiple private donations)
	\$0	Other State *
2011	\$850,000	Federal Funding
	\$100,000	Private
	\$0	Other State *
2012	\$250,000	Federal
	\$100,000	Private Grants
	\$0	Other State *
2013	\$500,000	Federal
	\$100,000	Private
	\$0	Other State *

* includes funding from states other than Texas

(6) Consequences of Not Funding:

The Archive and Virtual Vietnam Archive are used by tens of thousands of students and researchers each year and we now support more than 1.5 million online research sessions every year. These resources are also being used by the US Veterans Administration to help Vietnam veterans and by the Department of Defense to better fight the Global War on Terror and to fully account for MIAs from the Vietnam War, which includes 119 Texans.

TTU is the only academic institution in the US with an Archive dedicated to the collection and preservation of these historical materials. Without the Vietnam Archive, future generations will be unable to fully examine and interpret the events of the Vietnam War and its aftermath. There is a finite amount of time in which to collect these invaluable materials that document individual American experiences in the Vietnam War.

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Agency Code: **733** Agency: **Texas Tech University**

Special Item: 2 Research to Enhance Ag Production and Add Value to Ag Production in TX

(1) Year Special Item: 1999

(2) Mission of Special Item:

The mission of this line is to enhance the profitability, productivity, safety and security of the agricultural and natural resource enterprises of Texas.

(3) (a) Major Accomplishments to Date:

A partial list of accomplishments is noted:

Developed an integrated crop/livestock/forage production system that requires 23% less irrigation water over cotton monoculture systems, potentially saving Texas producers an estimated \$18M in expenses; developed satellite imagery systems to produce maps of crop groundcover for precision applications to increase crop revenue by \$50M annually; and made advances that reduce nitrogen fertilizer use by 40%, protecting the ozone belt and reducing soil erosion.

Breeding, genomics and biotechnology research developed higher yielding cotton varieties that add approx. \$50/acre, or \$100M annual farm income. Manufacture and sale of instruments developed for rapid measurement of fabric smoothness, stain release, and shrinkage could generate \$5-\$10M annually in economic activity, while the savings in testing costs and contractual disputes could amount to \$10-\$20M annually.

Food safety and quality research produced cattle feed additives and chemical treatments for meat that will potentially save the industry \$9B annually in illnesses prevented and product recalls; and innovative packaging methods to extend shelf life.

Value added products include a nutritious sausage that increases daily fruit intake for school lunch programs. Findings in proper management of plant density and irrigation can potentially conserve water and increase cotton micronaire, and enhance profitability by approx. \$37M for High Plains cotton industry.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

TTU College of Agricultural Sciences and Natural Resources researchers are providing educational and research leadership and expertise in developing production processes that are environmentally and economically sustainable and increase yield and quality of agricultural products. TTU is investigating the use of agricultural crops for biofuels and other value-added products, contributing to global energy security, viability of the agriculture industry, and economic development of rural communities. Under development is commercialization of plant and animal genotypes enriched in nutrients for human health by using transgenic technology, new bioactive natural products as pharmaceuticals or agrichemicals, and medicinal plants for production of pharmaceuticals.. Research efforts are targeted to water, food safety and quality, and computer imaging technology to measure diverse properties of fibers, yarns, and fabrics. Genomic mapping of corn, cotton, wheat, and sorghum to increase tolerance to biotic/abiotic stresses will continue, as well as the nationally recognized research program in farm and trade policy analyses and crop insurance and agricultural risk management. Research will continue on management strategies to optimize populations of wildlife species, in management practices to maintain healthy playa lake environments for non-harvested species that provide economic opportunities, and in protein supplementation for ruminant animals on improved forages.

(4) Funding Source Prior to Receiving Special Item Funding:

Very limited funding was received from the USDA and producer/commodity groups.

(5) Non-general Revenue Sources of Funding:

2010	\$5,500,000	Federal
	\$3,000,000	Private
	\$1,250,000	Other State *

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2011	\$6,000,000	Federal
	\$3,700,000	Private
	\$1,250,000	Other State *
2012	\$6,300,000	Federal
	\$3,900,000	Private
	\$1,250,000	Other State *
2013	\$6,700,000	Federal
	\$4,100,000	Private
	\$1,250,000	Other State *

* includes funding from states other than Texas

(6) Consequences of Not Funding:

These research thrusts are critical to the economic stability of Texas. The special item support has been instrumental in making Texas Tech University a leading nucleus for agricultural research, and has generated approximately 4:1 in sponsored funding.. TTU is uniquely positioned to promote interdisciplinary and inter-institutional programs linking all disciplines of the College of Agricultural Sciences and Natural Resources, other colleges/schools of Texas Tech University, Texas AgriLife Research, Texas AgriLife Extension, and USDA-ARS scientists. With external pressures of increased global competition, uncertainties of farm and trade policies, declining supplies of underground water for crop irrigation, and increasing production/input/energy/technology costs, continued research support is critical to sustain the viability of agriculture and rural communities in this vast, highly productive region of the state.

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Agency Code: **733** Agency: **Texas Tech University**

Special Item: 3 Research in Energy Production and Environmental Protection in Texas

(1) Year Special Item: 1999

(2) Mission of Special Item:

The mission of this special line item is to enhance the Texas economy by developing new technologies that will supply sustainable, reasonably priced, environmentally-friendly sources of energy and water, and to protect and preserve our environment and natural resources while utilizing them to improve the quality of life for Texans.

(3) (a) Major Accomplishments to Date:

Multidisciplinary research is supported in Whitacre College of Engineering (WCOE), Water Resources Center (WRC), Wind Science Engineering Research Center (WISE), and Cooperative Biological Database (CBD). WRC accomplishments include identification of natural perchlorate in the hydrologic cycle, water recycling systems for NASA, demonstration of wind-powered desalination, watershed management strategies for water yield enhancement, regional water planning, observation of aquifer recharge, studies of remediation of soil and groundwater contamination, evaluation of emerging contaminants in surface and groundwater, and computer modeling for groundwater management. WISE has provided extensive wind-related research, information and outreach relating to wind energy and hazard mitigation; proposed a standard for windstorm resistant construction for the TX Gulf Coast, and the effects of extreme wind speed and wind direction shear on the performance of utility scale wind turbines; developed the 59 station West Texas Mesonet, and defined turbine inflow and wakes to optimize performance, and evaluate effects of transient wind events on wind turbine power systems. The CBD developed a web-based catalogue to permit decision makers, and the general public internet access to this important guide to the University's mammalian species collection. Satellite images aid development of land use and land cover maps for the state and spatial distribution of vertebrates.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

WCOE research focus will be on development of novel solar materials and improvement of photovoltaic devices and the development of small and large scale energy storage devices for intermittent sources of energy and portable storage devices, as well as other renewable energy areas including biofuels, wind and geothermal. WRC new research will include enhancement of aquifer recharge through playas, development of energy-efficient RO systems for small applications, monitoring of river flow and water quality for City of Lubbock, application of regional climate projections for regional water availability, and providing hydrologic services for the state's water yield enhancement program. WISE plans continued growth of the Great Plains Wind Power Test Facility at Reese Tech Center; continued acquisition of research grade wind data from extreme wind events to aid engineering design; quantification and mapping of turbine inflow and wake flows using state-of-the-art Ka-band mobile radar technology to optimize performance and investigate reliability; expansion of the West Texas Mesonet; enhancement of existing atmospheric observational technologies and development of new capabilities to provide real-time information and improve operational decision making. CBD is expanding Internet access to biological databases to provide new information and greater access. Books on wildlife and Texas natural history, as well as distributions of vertebrates and invertebrates have been published.

(4) Funding Source Prior to Receiving Special Item Funding:

Limited amounts of funding were received from a variety of federal, state and private sources to support small projects in the multiple disciplines encompassed by this line item.

(5) Non-general Revenue Sources of Funding:

2010	\$4,395,016	Federal
	\$1,333,429	Private
	\$246,807	Other State*

2011	\$4,084,995	Federal
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	\$1,315,000	Private
	\$700,000	Other State*
2012	\$3,410,247	Federal
	\$1,855,000	Private
	\$700,000	Other State*
2013	\$3,627,522	Federal
	\$2,380,800	Private
	\$700,000	Other State*

* includes funding from states other than Texas

(6) Consequences of Not Funding:

This strategy provides significant leverage in attracting sponsored funding by providing matching funds, infrastructural support for “in kind” matching, and a base of research reputation, experience, and expertise attractive to external research sponsors. As a result of no or reduced funding, important research of significant potential economic benefit to the State of Texas and the surrounding region would be eliminated. Additionally, valuable research in alternate energy sources, support for wind energy-related workforce development, more efficient use of existing energy and water resources, and environmental protection, restoration, and management will be lost.

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Special Item: 4 Research in Emerging Technologies and Economic Development in Texas

(1) Year Special Item: 1999

(2) Mission of Special Item:

The mission of this special line item is to enhance the Texas economy by creating and transferring intellectual property and by developing more effective workforces and marketing strategies.

(3) (a) Major Accomplishments to Date:

Advances have been made in the commercialization of new technologies, such as a developed turfgrass which in turn stimulated the creation of an estimated 100 additional retail and marketing positions across Texas. Investments that lead to a license agreement have been made into preclinical trials of the efficacy of novel antibiotics in mice. TTU has also funded the development of a patent pending microwave pasteurization prototype which was licensed to a local start-up company. The Texas workforce is being strengthened through graduate fellowships in areas of great importance to West Texas and the State, such as alternative energy, environmental toxicology, math, science, engineering, and agriculture. This line has enabled targeted research in renewable energy technologies, as well as clean energy production. Agricultural production research has been supported in the areas of genomics and breeding mechanisms, and large scale oilseed extraction. Rural tourism and grape and wine industry research has provided new Texas wineries with marketing and business strategies, as well as helped established wineries with new product ideas and efficient marketing practices. Additional research has been made in the areas of nanotechnology, neuroimaging, career and early childhood development, substance abuse, domestic violence intervention, financial planning, family and individual mental health, the “greening” of the hospitality industry, and military family services.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

This line item will support development of intellectual capital to fuel an emerging high-tech economy and create new employment opportunities in Texas and beyond. The line funding will be used to bridge the gap between proof of concept and commercial viability, and will support the technology transfer of TTU developed technologies into the private sector. Pilot proposals supported by research from the supported seed grants will continue to serve as the foundation for future grants to be submitted to external agencies. Advances are expected in research pertaining to neuroimaging, nanotechnology, career development, early child development, substance abuse treatment and recovery, domestic violence, financial planning and retirement, and family and individual mental health. Increased productivity, reduced burdens on social and governmental services, and improved family relations are all expected outcomes. Marketing research continues on the wine industry, rural tourism, the “greening” of the hospitality industry and preferences in organic food and food safety. This will provide important industry data on new markets and improving marketing efficiency, enhancing our understanding and knowledge of local food industries, attracting new investors, and developing techniques to improve product satisfaction, food safety, and profitability. Funding is expected to be leveraged from federal and private sources, as well as through industry partners and state agencies.

(4) Funding Source Prior to Receiving Special Item Funding:

None.

(5) Non-general Revenue Sources of Funding:

2010	\$1,412,414	Federal
	\$366,563	Private
	1,655,326	Other State*
2011	\$1,483,034	Federal

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	\$384,516	Private
	\$1,738,092	Other State*
2012	\$1,557,200	Federal
	\$403,400	Private
	\$1,738,100	Other State*
2013	\$1,635,100	Federal
	\$423,200	Private
	\$1,738,100	Other State*

* includes funding from states other than Texas

(6) Consequences of Not Funding:

TTU is committed to supporting the commercialization of new technologies, and this line contributes to an overall plan to bridge the gap between basic and applied research and proof of concept. Using this funding to advance promising technologies, TTU is able to bring forward opportunities of significant potential economic benefit to the State of Texas and the surrounding region. Reducing this ability to provide start-up capital money to fund promising projects during formative stages would all but eliminate the chances of obtaining substantial external federal support for such research and would decrease the level of sponsored projects to the university in areas emphasized. Beginning Fall 2009 this line has contributed critical graduate research fellowship support to an initiative targeted at growth in areas of research identified by TTU to deliver an economically important return to the State and to the region. These graduate students will be the faculty of the future and the innovative problem-solving business leaders of tomorrow. TTU's workforce research also addresses important social and human concerns for Texas and the nation. The findings from such research programs have untold economic benefit to the State of Texas and beyond. This funding has allowed TTU the ability to respond quickly to problems, opportunities, and issues of emerging state and national interest. Reduction of funding would adversely affect TTU's ability to protect intellectual property.

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Special Item: 5 Junction Annex Operation

(1) Year Special Item: 1972

(2) Mission of Special Item:

To provide enhanced access to higher education for residents of the under-served Western Hill Country region; facilities for off-campus academic programs (especially field science and teacher education) for local and resident Texas Tech students; and facilities for off-campus special programs, such as Outdoor School, a residential field science enrichment program for rural independent school districts.

(3) (a) Major Accomplishments to Date:

Establishment of the Junction Center as a hub of the TTU Hill Country Educational Network which expands access to bachelor's and master's degrees for residents of up to 14 Hill Country and Central Texas counties. Staff, logistic and technology support is provided by TTU Junction to other academic centers in the TTU Hill Country Educational Network at Fredericksburg and Marble Falls.

Expansion and improvement of facilities to support: 1) academic programs; 2) a major field research station focused on water and watershed issues supporting research by several Texas universities; 3) academic and scientific conferences; 4) training and professional development for a wide-range of local, state and federal government entities; and 5) workforce and professional development training by community colleges. During 2009 a major research lab was completed with matching funds from the City of Junction, and interactive video conferencing classrooms were upgraded with the latest technology through a USDA telecommunications grant matched by AT&T. The Center is becoming well-known for hosting important scientific meetings, such as the Texas Academy of Sciences 2009 and the Organization of Biological Field Stations in 2008. Such meetings hosted over 1300 attendees in 2009 alone.

Continued support for Outdoor School, a week-long field-science school, which serves school districts from throughout Central Texas and provides opportunities for science education research.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The TTU Junction Center expects continued development as a regional resource to provide comprehensive higher-education services offering an ever-expanding menu of degrees and programs delivered by several institutions, particularly in the fields of public education and natural sciences. Undergraduate and graduate programs in education will continue to provide critically-needed access to students from rural communities and promote improvement in K-12 education throughout the region.

Continued expansion of the Junction Llano River Field Research station facilities and services is planned and will provide a comprehensive center for research in water and watershed issues. Several important scientific conferences which will have a major impact on local economic development are planned for the TTU Center.

The TTU Center at Junction will continue to expand its role as a major resource for science teacher education by operating its Outdoor School to serve as many as 1,500 K-12 students (many Title I) and teachers (including pre-service teachers) per year. Non-credit programming in support of business and economic development will be expanded.

Finally, TTU Junction will continue to upgrade its facilities to better host comprehensive workforce and professional development training programs of state and federal agencies, including programs in sustainable development and environmental ecology. This training is critical in updating the skills of local and state agency personnel.

(4) Funding Source Prior to Receiving Special Item Funding:

None.

(5) Non-general Revenue Sources of Funding:

2010 \$193,000 Tuition and Fees
 \$195,000 Auxiliary Sales

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2011	\$212,000 Tuition and Fees
	\$215,000 Auxiliary Sales
	\$50,000 Private grants (projected)
2012	\$233,000 Tuition and Fees
	\$235,000 Auxiliary Sales
	\$50,000 Private grants (projected)
2013	\$256,000 Tuition and Fees
	\$258,000 Auxiliary Sales
	\$50,000 Private grants (projected)

(6) Consequences of Not Funding:

The fastest-growing rural area in Texas would be severely underserved in terms of higher education, including technical and workforce development training, and critical scientific research would be eliminated. Discontinuing the distance education programs offered at Junction would negatively impact Texas Tech students (who have no other regional options for field science study) and the residents of rural counties in the Hill Country desiring to participate in higher education. The residents of Junction and surrounding Kimble County would suffer severe negative economic impact through the loss of a major driver of regional economic development (over \$3 million per year impact).

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Special Item: 6 TTU Hill Country Educational Network

(1) Year Special Item: 2002

(2) Mission of Special Item:

To provide expanded access to higher education for residents of the underserved Hill Country through a network of higher education teaching sites: The TTU Hill Country Educational Network.

(3) (a) Major Accomplishments to Date:

Expansion of the TTU Hill Country Educational Network which provides access to academic programs for residents of 14 Hill Country counties. Teaching sites utilizing advanced technology for instructional delivery have been established and are fully operational. Since 2004, more than 300 students have graduated in the following programs: M.Ed. in Education Leadership with principal certification, Master of Art Education, MS in Nursing, BS in Nursing, and the Bachelor of General Studies. Many of the graduates have assumed leadership positions in education and health care in the Hill Country region. Texas Tech now offers the doctorate in Educational Leadership to more than 15 students in the region, and Angelo State continues to expand its offerings, with four new graduate programs in education beginning in 2010.

Texas Tech began to offer an u/g teaching degree with EC-6 Certification and ESL/Bilingual endorsement to over 40 students in 2008-2009 and will offer a special education concentration beginning in 2010-2011. This program enrolls many teacher aides wishing to progress to teacher status and is strongly supported by area superintendents.

A grant from USDA telecommunications (matched by AT&T) provided state of the art video conferencing classrooms at Highland Lakes (Marble Falls) and Fredericksburg. Science labs were constructed in both Highland Lakes and Fredericksburg.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

The TTU Hill Country Educational Network will outfit and operate new higher education centers with interactive video conferencing classrooms and science laboratories, which are needed to support education of teachers and nurses. An undergraduate teaching degree with ESL and bilingual certifications is currently in operation and will add concentrations in math/science and special education, all helping to meet the critical needs of rural districts. An undergraduate program in hotel and restaurant management designed to support rural economic development created by the tourism industry is planned. Finally, emphasis will be placed on development of additional academic concentrations in the Bachelor of General Studies degrees to open new career pathways for place-bound community college students. Improved academic facilities and expanded technology services will support additional educational opportunities to residents of the Hill Country region, building on community initiatives to construct higher education centers in Marble Falls and Fredericksburg.

(4) Funding Source Prior to Receiving Special Item Funding:

None.

(5) Non-general Revenue Sources of Funding:

This item is supported by tuition and fee revenue, formula funding and donated funds. In both Marble Falls and Fredericksburg, local agencies and nonprofit organizations have built education facilities in 2009/2010 to house academic, workforce development, and administrative operations. The community investment in these facilities exceeded \$8.0 million.

2010	\$470,000 Tuition and Fees
2011	\$520,000 Tuition and Fees
2012	\$570,000 Tuition and Fees

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2013 \$30,000 Private grants (projected)
 \$625,000 Tuition and Fees
 \$50,000 Private grants (projected)

(6) Consequences of Not Funding:

The fastest growing rural area in Texas would continue to be under-served in terms of public higher education opportunities, including technical and workforce development training, with subsequent negative impact on economic development in the region. Discontinuing the development of these facilities and instructional programs would negatively impact the residents of the rural counties in the Texas Hill Country and fail to support the goals of the Closing the Gaps study by eliminating critical skills training for nurses and educators.

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Special Item: 7 **Small Business Development Center**

(1) Year Special Item: 1990

(2) Mission of Special Item:

To provide free business counseling and training for all small businesses in the 95 county service area of the Northwest Texas SBDC (NWTSBDC). Special emphasis is being given to rural small businesses through basic and advanced counseling and wireless broadband teleconferencing.

(3) (a) Major Accomplishments to Date:

Since program start in 1987, the NWTSBDC has assisted in creating 25,043 new jobs. The NWTSBDC has also assisted in opening 7,176 new businesses. The NWTSBDC has counseled over 62,148 clients and trained over 112,500 seminar attendees.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Over the next 2 years the Northwest Texas SBDC will counsel over 6,050 clients, provide training to over 10,000 small business seminar attendees, assist with over 630 new business starts, expansions and saves as well as assist in the creation of over 2,900 new jobs within the region.

(4) Funding Source Prior to Receiving Special Item Funding:

Federal funds and Local funding.

(5) Non-general Revenue Sources of Funding:

2010 \$ 1,156,555 Federal Funds
 \$ 368,500 Local Funds

2011 \$ 1,156,500 Federal Funds
 \$368,500 Local Funds

2012 \$ 1,116,428 Federal Funds
 \$ 378,000 Local Funds

2013 \$ 1,116,428 Federal Funds
 \$ 378,000 Local Funds

(6) Consequences of Not Funding:

All of the Federal Funds that this program receives require either one-for-one or two-for-one matching. If this program is not funded by the State of Texas, an equal amount of funding will be lost from Federal and Local Sources. This decrease in funding would result in a major reduction in program services and would cause the NWTSBDC to serve 3,000 to 4,000 fewer small business clients, 6,000 to 9,000 fewer seminar attendees, create 300 to 400 fewer new businesses and a loss of 1,500 to 2,000 new jobs created.

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Agency Code: **733** Agency: **Texas Tech University**

Special Item: 8 **Museum & Historic, Cultural, and Educational Centers**

(1) Year Special Item: 1966

(2) Mission of Special Item:

This strategy concerns the International Cultural Center, the Lubbock Lake Landmark, the Museum of Texas Tech University, and the National Ranching Heritage Center (NRHC). Each of the four entities has a teaching and research function for university students and faculty, and an over-arching mission of public outreach and education locally, regionally, nationally, and globally. The collections, exhibitions, publications, and programs conducted at all four sites complement the diverse interests and roles of TTU in public and professional service. All four centers offer an inviting and informative gateway to the university and provide many citizens opportunities for direct involvement with various functions of the centers.

(3) (a) Major Accomplishments to Date:

International Cultural Center

- Expanded opportunities for TTU students to study abroad. Students can now choose from 500 sites in 80 countries;
- Passport acceptance services for TTU and Lubbock area communities;
- Successful implementation of changing security requirements from the Department of Homeland Security impacting international students, researchers, and faculty;
- Surpassed 1,600 non-immigrant international students at TTU;

Museum and Lubbock Lake Landmark

- Received 10-year certification as State Curatorial Facility for Archaeological Collections and 10-year re-accreditation by the American Association of Museums; new species of bat (*Micronycteris giovanniae*) named after internationally acclaimed poet Nicky Giovanni
- Color Print and Andy Warhol Foundation acquisitions; incorporated University of Texas at Austin biological collections and Science Museum of Minnesota biological collections into the Museum's Natural Sciences collections

National Ranching Heritage Center

- Published book on spur collection and history of spur makers; began history project recording lives of cowboys and ranch life; co-sponsored with Texas Historical Commission annual regional Texas History Day competition for middle school students;
- Began research project studying social/economic impact of wind farm leases on ranch operations; acquired ranch house of African-American Texas rancher of mid 19th to early 20th centuries

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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International Cultural Ctr

- Increase numbers for study abroad in non-traditional countries, Peace Corps Masters International, faculty led programs, scholarships, and participation in graduate programs
- Work with Mentor Tech; continue intensive language study abroad to increase retention of language students
- Add K-12 international outreach programs that align w/TEKS; reach remote areas of West Texas; provide workshops on international issues & the Holocaust
- Continue art exhibits, cultural programs, & lectures
- Increase studies of water-related issues in arid/semiarid lands; add MS in Arid Land Studies

Museum & Lubbock Lake Landmark

- Enhance and expand research and educational programming, research and preservation of collections, collections as a significant recruiting tool, graduate student mentoring & retention, facilities for the Museum Science/ Heritage Mgmt graduate programs, and visibility of the Ctr for Advanced Study of Museum Science/ Heritage Management
- Celebrate 75th Anniversary of Lubbock Lake Landmark Discovery with year-long educational programming
- Create planning document for a transitional space into the Museum

National Ranching Heritage Ctr

- Continue research of socio-economic changes on ranches with wind farm leases
- Acquire scholarships for interns pursuing Museum Science/Heritage Management graduate degrees
- Begin research of impact of illegal aliens crossing ranches along southern US border
- Expand community education programs

(4) Funding Source Prior to Receiving Special Item Funding:

The National Ranching Center –1975 Private Sources.

(5) Non-general Revenue Sources of Funding:

International Cultural Center

2010	\$185,210	Grants
2011	\$186,465	Grants
2012	\$186,465	Grants
2013	\$186,465	Grants

Museum and Lubbock Lake Landmark

2010	\$77,000	Private Funds
2011	\$20,000	Grant
	\$189,800	Private Funds (requested but have not heard if funding will be granted)
2012	\$20,000	Grant
2013	\$20,000	Grant

National Ranching Heritage Center

2010	\$20,982	Contracts
	\$242,600	Private Gifts
	\$42,760	Investment income
2011	\$15,000	Contracts
	\$203,200	Private Gifts
	\$40,000	Investment income

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2012	\$25,000	Contracts
	\$215,200	Private Gifts
	\$44,000	Investment income
2013	\$30,000	Contracts
	\$220,200	Private Gifts
	\$45,000	Investment income

(6) Consequences of Not Funding:

The Museum, Lubbock Lake Landmark, and National Ranching Heritage Center are trusted with the responsibility to preserve and protect collections, and to provide professional stewardship for those objects placed in their care. That mandate will be disrupted as a consequence of reduced or eliminated funding. The immediate impact of no funding will be loss of staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments. Public programming, as well as the dissemination of information gained from collections, will be decreased or eliminated should funding be cut. Service to the University's academic programs will be eliminated, and the Museum Science & Heritage Management graduate programs and other TTU classes held at the locations will have to be discontinued. The research and educational activities at the NRHC and the Lubbock Lake Landmark will be curtailed, and care of the cultural and natural heritage associated with these locations will be dramatically reduced or terminated. The loss of public trust will be devastating nationally and internationally.

If not funded, the International Cultural Center will be inoperative and cannot fulfill the functions for which the building was constructed. The target groups to be served by the International Cultural Center, especially the thousands of schoolchildren and TTU students who will be adversely affected by receiving reduced services and inadequate educational opportunities.

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Special Item: 9 **Center for Financial Responsibility**

(1) Year Special Item: 2000

(2) Mission of Special Item:

The Center for Financial Responsibility (CFR) mission is to help Texas citizens achieve personal responsibility in retirement planning, debt management and financial literacy by conducting research, and delivery of financial knowledge to citizens, financial services professionals, fiduciaries and teachers. The CFR focuses on the financial well-being of Texas citizens by emphasizing increasing savings and financial preparation for retirement. The CFR develops financial literacy resources to prevent individual bankruptcy and financial hardships that negatively affect state and local economies. Research conducted by the CFR with high school teachers found reluctance to teach the topic due to 1) lack of academic preparation and 2) personal financial issues within the lives of those educators. Institutions of higher education and those students graduating with high debt loads from loans and credit card abuse are served through research and service from the nationally recognized Red-to-Black Financial Counseling Center (R2B) and state-wide financial literacy research with Texas adults. The R2B model earns national recognition as a means of increasing retention and matriculation rates. A third goal is to increase the number of academic programs in Personal Financial Planning (PFP) with emphasis on minority institutions throughout Texas and focusing on availability of general education classes in personal finance to increase student body financial literacy.

(3) (a) Major Accomplishments to Date:

- International Foundation for Retirement Education (InFRE) \$100,000 grant for research into the scope, careers opportunities and impact of the retirement industry.
- Charles Schwab Institutional \$500,000 grant for research on financial issues directly related to economic well-being of Texas families and individuals.
- CFP Board \$2,000,000 grant to develop the first doctoral degree in the U.S. in Personal Financial Planning thereby expanding faculty and research capabilities on family and individual financial issues.
- ING \$250,000 diversity initiative grant to TTU and Prairie View A&M to implement PFP programs at Historically Black College and Universities (HBCUs) throughout the United States. The CFR is facilitating program development at minority-serving institutions to serve as centers to increase student financial literacy. Meetings are being held with minority institutions in Texas to build faculty and administrator awareness of careers for minority students.
- InFRE \$100,000 grant for development of an online retirement literacy index for use by citizens to evaluate preparation for and understanding of retirement with National Association of Government Defined Contribution Administrators (NAGDCA).
- The CFR has worked with InFRE to implement the Certified Retirement Counselor designation into university curricula throughout Texas as a career path. NAGDCA has provided ongoing financial support for those students through mentorships at their national meeting.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

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- Expansion of minority university (HBCUs and Hispanic-Serving Institutions) financial planning programs in Texas with particular emphasis on UT-Pan American University (UTPA) with funding of \$300,000 from industry and governmental sources.
- Acquisition of approximately \$200,000 for financial planning education with UTPA for education on student debt control to increase graduate program enrollment and retention and accomplish the legislature's "Be on Time" initiative.
- Continued development of in-service education and delivery of financial literacy information for use by Texas teachers as well as curricula appropriate for delivery as part of the financial literacy legislative requirement in Texas high schools. Increased financial literacy should increase teacher retention and teaching of financial literacy in classes. Acquisition of funding from the Financial Planning Association Foundation to deliver personal financial information to teachers in public schools throughout Texas with the outcome being increased responsibility for economic well-being and higher levels of student and faculty financial literacy.
- Establishment of a financial literacy/assistance program to serve military personnel stationed within Texas in cooperation with Texas A&M Agrilife Extension Service with approximate funding of \$2,000,000.
- Develop a research assessment model for financial literacy outcomes with Washington, D.C. charter schools with a \$200,000 grant for a 2 year study.

(4) Funding Source Prior to Receiving Special Item Funding:

An initial grant of \$200,000 was from the International Foundation for Retirement Education. The leverage afforded by the state line item is essential to acquiring all of the funding listed above.

(5) Non-general Revenue Sources of Funding:

All sources of funds have been from corporate/industry sources including financial services foundations listed above. Federal sources are being pursued when appropriate Requests for Proposals or specific initiatives are publicized at the federal level.

(6) Consequences of Not Funding:

The relatively small amount of funds requested are essential to providing an institutional framework to develop the truly unique services, research and programs that brought national recognition to the PFP program and Texas Tech University as the best program in the U.S. from The New York Times. The funded and proposed initiatives involving the development of academic programs in personal financial planning in Texas minority colleges and universities and the resultant increase in financial literacy within general student populations are a statewide benefit to citizens of Texas and a significant leverage of this funding that impacts multiple public academic institutions throughout Texas. The ability to leverage the funds has been proven every year in the form of the acquisition of additional monies that increase the academic uniqueness, recruitment and retention of students, publication of research, and development of programs of direct benefit to Texas Tech as well as the state of Texas.

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Special Item: 10 **Competitive Knowledge**

(1) Year Special Item: 2006

(2) Mission of Special Item:

The undergraduate and graduate educational experience, and ultimately the success of students, is dependent upon the quality of student-faculty interaction. Faculty members are expected to provide quality instruction, engage in meaningful research endeavors, and contribute to their communities through informed and skillful service. Therefore, it is important that an adequate number of faculty members be provided who use their research expertise to enrich their teaching with the most up-to-date information available in their fields of study. Findings of research are integrated into the teaching and service components of the university's mission to provide graduates who are well prepared to enter the workforce and address the challenges facing Texas and the world.

(3) (a) Major Accomplishments to Date:

Created 33 new Tenure/Tenure Track faculty positions.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continue supporting the recruitment of additional highly qualified and skilled faculty members to improve the undergraduate experience and to enhance the graduate and research mission of the institution.

(4) Funding Source Prior to Receiving Special Item Funding:

We did not have a funding source prior to receiving the Special Item Funding.

(5) Non-general Revenue Sources of Funding:

The faculty brings extramural funding through grants and awards.

(6) Consequences of Not Funding:

Texas Tech University has invested in these highly qualified and skilled faculty members with the expectations that they continue to function as valuable contributors to TTU's teaching and research mission. Not having this line item would adversely impact the level and quality of service provided to our students, including reduced research activity, course offerings, and student credit hour production and graduation rates.

Faculty Salaries costs are a recurring expenses. If these funds are reduced or eliminated, TTU would not have the resources to fund the 33 filled faculty positions. This would adversely impact the student to faculty ratio.

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Special Item: 11 Institutional Enhancement

(1) Year Special Item: 2000

(2) Mission of Special Item:

The mission of this special line item is to support and enhance the academic research environment at Texas Tech University, preparing students to be the workforce of tomorrow for Texas.

(3) (a) Major Accomplishments to Date:

This special item funding has supported strategic growth of faculty in fields critical to the success of the State's workforce, enabling the University to recruit and retain a body of diverse and distinguished scholars and researchers dedicated to academic research excellence.

This funding has contributed essential infrastructure support for high quality academic programs for undergraduate, graduate, and professional students, through academic program support, and necessary institutional operations. This has enabled the University to increase both the quality and number of graduates for the State.

Texas Tech University is recognized as a national leader in Science-Technology-Engineering-Mathematics (STEM) initiatives that promote recruitment and retention of underrepresented groups in STEM fields.

(3) (b) Major Accomplishments Expected During the Next 2 Years:

Continue meeting ever changing global information needs by acquiring materials such as required on-line databases, improving infrastructure, hiring highly qualified staff to provide assistance to students and researchers, and providing required services to the satellite campuses.

(4) Funding Source Prior to Receiving Special Item Funding:

The Institutional Enhancement special item was the result of the consolidation of certain types of special items by the 76th Legislature, and an increase of \$1M per year for academic enhancement purposes.

(5) Non-general Revenue Sources of Funding:

None.

(6) Consequences of Not Funding:

This line item contributes core academic and operational infrastructure support that enables Texas Tech University to provide an extensive selection of academic research programs all dedicated to educational excellence: 118 undergraduate, 107 master's, and 60 doctoral degree programs. Through successful graduates and research generated through these programs, Texas Tech University is able to provide long term economic benefit to the region and State.

The current \$20M over the biennium for this special item represents the difference in the University's ability to provide basic educational services, and in developing quality academic and research programs. If this funding is reduced or eliminated the University's academic support infrastructure would be compromised, resulting in fewer programs and opportunities to produce outstanding leaders in the Texas workforce and innovative faculty of tomorrow.

Schedule 12A: Reconciliation of Formula Strategies to NACUBO Functions of Cost
81st Regular Session, Agency Submission, Version 1

Agency Code: 733

Agency Name: Texas Tech University

		Exp 2009	Est 2010	Bud 2011
SUMMARY OF REQUEST FOR FY 2009-2011:				
1	A.1.1 Operations Support	\$ 127,405,541	\$ 131,284,637	\$ 129,563,815
2	A.1.2. Teaching Experience Supplement	\$ -	\$ -	\$ -
3	B.1.1 E&G Space Support	\$ 8,407,517	\$ 8,326,704	\$ 7,867,028
4	Total, Formula Expenditures	\$ 135,813,058	\$ 139,611,341	\$ 137,430,843
RECONCILIATION TO NACUBO FUNCTIONS OF COST				
5	Instruction	\$ 95,950,703	\$ 98,634,156	\$ 97,075,817
	Academic Support	\$ 20,999,687	\$ 21,586,985	\$ 21,245,929
	Student Services	\$ 1,370,761	\$ 1,409,097	\$ 1,386,835
	Institutional Support	\$ 10,336,460	\$ 10,625,540	\$ 10,457,665
6	Subtotal	\$ 128,657,611	\$ 132,255,778	\$ 130,166,246
7	Operation and Maintenance of Plant	\$ 7,155,447	\$ 7,355,563	\$ 7,264,597
	Utilities	\$ -	\$ -	\$ -
8	Subtotal	\$ 7,155,447	\$ 7,355,563	\$ 7,264,597
9	Total, Formula Expenditures by NACUBO Functions of Cost	\$ 135,813,058	\$ 139,611,341	\$ 137,430,843
10	check = 0	0	0	0

Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
81st Regular Session, Agency Submission, Version 1

Agency Code: 733

Agency Name: Texas Tech University

		Exp 2009	Est 2010	Bud 2011
SUMMARY OF REQUEST FOR FY 2009-2011:				
1	A.1.1 Operations Support	\$ 127,405,541	\$ 131,284,637	\$ 129,563,815
Objects of Expense:				
a)	1001	\$ 50,016,294	\$ 53,469,511	\$ 51,225,720
	1002	\$ 766,569	\$ 754,820	\$ 787,797
	1005	\$ 74,084,199	\$ 73,412,734	\$ 76,137,558
	1010	\$ 289,935	\$ 402,838	\$ 189,244
	2001	\$ 122,382	\$ 54,366	\$ 105,893
	2002	\$ (1,419)	\$ 15,097	\$ -
	2003	\$ 615,940	\$ 967,929	\$ 378,749
	2004	\$ 306,763	\$ 154,953	\$ 189,033
	2005	\$ 213,723	\$ 158,242	\$ 131,692
	2006	\$ 20,966	\$ 18,365	\$ 4,564
	2007	\$ 10,340	\$ 5,497	\$ 2,249
	2009	\$ 209,387	\$ 1,124,379	\$ 136,181
	3001	\$ 668,281	\$ 160,415	\$ 203,949
	5000	\$ 82,181	\$ 585,491	\$ 71,186
<i>Subtotal, Objects of Expense</i>		\$ 127,405,541	\$ 131,284,637	\$ 129,563,815
	check = 0	\$ -	\$ -	\$ -
2	A.1.2 Teaching Experience Supplement	\$ -	\$ -	\$ -
Objects of Expense:				
b)				
<i>Subtotal, Objects of Expense</i>		\$ -	\$ -	\$ -
	check = 0	\$ -	\$ -	\$ -
4	B.1.1 E&G Space Support	\$ 8,407,517	\$ 8,326,704	\$ 7,867,028
Objects of Expense:				
c)	1001	\$ 6,655,193	\$ 7,776,755	\$ 6,227,358
	1002	\$ 259,975	\$ 272,823	\$ 243,264

Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
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	2001	\$	80	\$	-	\$	-
	2002	\$	81,004	\$	-	\$	75,799
	2003	\$	173,513	\$	9,724	\$	162,438
	2004	\$	216,384	\$	12,105	\$	202,466
	2007	\$	1,162	\$	-	\$	1,086
	2009	\$	765,967	\$	12,295	\$	716,726
	5000	\$	254,239	\$	243,002	\$	237,891
<i>Subtotal, Objects of Expense</i>		\$	8,407,517	\$	8,326,704	\$	7,867,028
	check = 0	\$	-	\$	-	\$	-

RECONCILIATION TO NACUBO FUNCTIONS OF COST

6 Instruction		\$	95,950,703	\$	98,634,156	\$	97,075,817
Objects of Expense:							
d)	1001	\$	26,811,121	\$	32,049,505	\$	28,617,025
	1002	\$	331,894	\$	341,167	\$	335,786
	1005	\$	66,698,212	\$	64,431,167	\$	67,297,652
	1010	\$	71,771	\$	87,389	\$	41,053
	2001	\$	19,862	\$	20,417	\$	20,095
	2002	\$	1,151	\$	1,183	\$	1,165
	2003	\$	42,314	\$	952,020	\$	349,881
	2004	\$	5,085	\$	5,227	\$	78,812
	2005	\$	82,997	\$	85,316	\$	83,970
	2006	\$	1,056	\$	1,086	\$	1,068
	2007	\$	2,399	\$	2,466	\$	2,426
	2009	\$	203,032	\$	143,232	\$	39,344
	3001	\$	1,676,259	\$	160,415	\$	203,949
	5000	\$	3,550	\$	353,566	\$	3,591
<i>Subtotal</i>		\$	95,950,703	\$	98,634,156	\$	97,075,817
	check = 0	\$	-	\$	-	\$	-

Academic Support		\$	20,999,687	\$	21,586,985	\$	21,245,929
Objects of Expense:							
e)	1001	\$	11,012,320	\$	11,562,702	\$	11,689,974
	1002	\$	196,179	\$	201,660	\$	198,479
	1005	\$	8,677,343	\$	8,919,780	\$	8,779,093
	1010	\$	259,073	\$	315,449	\$	148,191
	2001	\$	88,283	\$	33,949	\$	85,798
	2003	\$	21,903	\$	22,515	\$	188,229
	2004	\$	22,133	\$	22,751	\$	22,392
	2005	\$	223,122	\$	72,926	\$	47,722

Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
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	2006	\$	17,871	\$	17,279	\$	3,496
	2007	\$	5,880	\$	1,030	\$	909
	2009	\$	211,551	\$	217,461	\$	47,962
	3001	\$	193,491	\$	-	\$	-
	5000	\$	70,538	\$	199,483	\$	33,684
<i>Subtotal</i>		\$	20,999,687	\$	21,586,985	\$	21,245,929
	check = 0	\$	-	\$	-	\$	-

Student Services	\$	1,370,761	\$	1,409,097	\$	1,386,835
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Objects of Expense:

f)	1001	\$	1,257,263	\$	1,292,391	\$	1,198,339
	1002	\$	30,453	\$	31,304	\$	30,810
	1005	\$	37,699	\$	38,752	\$	38,141
	2003	\$	696	\$	715	\$	704
	2004	\$	30,099	\$	30,940	\$	104,119
	2009	\$	14,551	\$	14,995	\$	14,722
<i>Subtotal</i>		\$	1,370,761	\$	1,409,097	\$	1,386,835
	check = 0	\$	-	\$	-	\$	-

Institutional Support	\$	10,336,460	\$	10,625,540	\$	10,457,665
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Objects of Expense:

g)	1001	\$	9,884,478	\$	10,177,608	\$	9,901,308
	1002	\$	245,295	\$	252,148	\$	248,171
	1005	\$	22,409	\$	23,035	\$	22,672
	2001	\$	6,677	\$	-	\$	-
	2002	\$	3,514	\$	3,612	\$	47,631
	2003	\$	227	\$	233	\$	230
	2004	\$	26,255	\$	26,989	\$	100,229
	2005	\$	2,998	\$	-	\$	-
	2007	\$	8,776	\$	2,001	\$	-
	2009	\$	66,773	\$	68,927	\$	67,556
	5000	\$	69,058	\$	70,987	\$	69,868
<i>Subtotal</i>		\$	10,336,460	\$	10,625,540	\$	10,457,665
	check = 0	\$	-	\$	-	\$	-

8 Operation and Maintenance of Plant	\$	7,155,447	\$	7,355,563	\$	7,264,597
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Objects of Expense:

h)	1001	\$	5,925,089	\$	6,164,060	\$	6,046,432
	1002	\$	259,535	\$	201,364	\$	217,815
	2001	\$	773	\$	-	\$	-
	2002	\$	26,597	\$	10,302	\$	27,003
	2003	\$	2,111	\$	2,170	\$	2,143

Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost
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	2004	\$	68,721	\$	81,151	\$	85,947
	2007	\$	665	\$	-	\$	-
	2009	\$	673,056	\$	692,059	\$	683,323
	5000	\$	198,900	\$	204,457	\$	201,934
<i>Subtotal, Objects of Expense</i>		\$	7,155,447	\$	7,355,563	\$	7,264,597
	check = 0	\$	-	\$	-	\$	-

Utilities	\$	-	\$	-	\$	-
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Objects of Expense:

i)

<i>Subtotal, Objects of Expense</i>	\$	-	\$	-	\$	-
	check = 0	\$	-	\$	-	\$