STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST

For Fiscal Years 2012 and 2013

Submitted to the
Governor’s Office of Budget, Planning and Policy
and the Legislative Budget Board

Texas Tech University
October 18, 2010
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OVERVIEW

Texas Tech University (TTU) is a statewide, comprehensive, multi-faceted research university with over 30,000 students pursuing undergraduate, graduate, or professional degrees in 14 different instructional schools and colleges. TTU enrollment includes students from 246 of the 254 counties in Texas, 49 states in the U.S., and more than 108 countries. Approximately 90% of TTU undergraduate students are Texans, and about 78% of students have home addresses more than 100 miles away from Lubbock. In addition, approximately 5,200 or 17% of students are enrolled in graduate or professional programs. TTU offers the most comprehensive academic program in the state on a single campus. It remains the only institution in the nation to offer eight doctoral programs in agriculture without the benefit of land-grant support.

TTU provides educational services to the residents of the Texas Hill Country and West Texas. Texas Tech has established academic centers in Junction, Fredericksburg, Marble Falls/Highland Lakes, Amarillo, and Abilene. It has also established an academic center in Waco through its University Center at McLennan Community Center.

BOARD OF REGENTS

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<td>Mr. Jerry E. Turner</td>
<td>January 31, 2013</td>
<td>Blanco, Texas</td>
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<tr>
<td>Mr. L. Frederick &quot;Rick&quot; Francis</td>
<td>January 31, 2013</td>
<td>El Paso, Texas</td>
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<td>Mr. John Huffaker</td>
<td>January 31, 2015</td>
<td>Amarillo, Texas</td>
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<td>Mr. Micky L. Long</td>
<td>January 31, 2015</td>
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<td>Mrs. Nancy Neal</td>
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<td>January 31, 2011</td>
<td>Arlington, Texas</td>
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<td>Mr. Jeff Harris (student regent)</td>
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SIGNIFICANT CONSIDERATIONS

Participation and Success

TTU is committed to the Texas Higher Education Coordinating Board’s Closing the Gaps goals. The overall number of African American and Hispanic students at TTU has grown from 683 and 2,339 in 1998 respectively, to 1,303 and 4,030 in 2009. Additionally, approximately 24% of TTU freshmen are first generation college students each year. These emerging populations offer a broad diversity of students, many of whom are under-represented, have attended a community college, and/or have served in the armed forces. Programs such as “Pathway,” “Tech Transfer Acceleration,” and the “Military and Veterans” provide innovative portals through which to access higher education. TTU remains committed to achieving the participation goals of Closing the Gaps through a focused strategy that leads to academic success and inclusive excellence across the university.

Excellence and Research

Texas Tech University has recently completed its ten-year strategic plan titled “Making it Possible--- 2010-2020 Strategic Plan.” This document and two related strategic planning documents, “Leading the Way” (at the TTU System level) and “Texas Tech University Strategic Plan for Research,” (at TTU) chart a course for TTU to become a nationally competitive research university and, by 2020, a public research university similar to an Association of American University (AAU). Embodied in these plans are specific priorities tied to the expansion and enhancement of research commercialization and job employment opportunities.
Technology Transfer and Economic Development

Two general emphases for TTU’s regional economic development effort have been identified: technology transfer and technical assistance. Technology transfer is intended to leverage TTU’s research capabilities in ways that will foster the growth of high technology for private sector employment in the West Texas area. One example of this effort, The Northwest Texas Small Business Development Center, has been recognized for its outstanding work having earned the 2008 National Lead Center of the Year Award by the U.S. Small Business Administration. More recently, TTU has increased its commercialization efforts (disclosures, licensing, spin-outs), established a TTU proof-of-concept fund, and worked with the financial community to arrange for an external seed fund for entrepreneurs to invest in early stage technologies from TTU. Finally, TTU Lubbock, in concert with the local Economic Development Alliance and the City of Lubbock is exploring ways to successfully establish an incubator within a research park model.

Partnerships and Outreach

Partnerships and collaborations provide another method of leveraging resources. TTU is committed to collaborating with its sister institutions, the TTU Health Sciences Center and Angelo State University, in ways that will benefit faculty and students, as well as the region and the state. Closing the Gaps requires all Texas public universities to implement strategies to increase opportunities in higher education for all Texans. Several years ago, TTU recognized that need and began following a strategy of expanding access in Texas by enhancing recruitment activities which focus on underrepresented groups. TTU is one of 76 U.S. colleges and universities to receive the prestigious Carnegie Community Engagement honor for service learning and community outreach.

STRATEGIC THEMES AND INITIATIVES

TTU’s aspiration is to become a prominent, cost-effective, student-focused public research university, recognized as a top institution in Texas and among the top 100 in the United States. Supporting that challenging aspiration is a solid core of themes which are included in the TTU mission statement. These themes reflect the strategic choices being made: “As a public research university, Texas Tech advances knowledge through innovative and creative teaching, research, and scholarship. The university is dedicated to student success by preparing learners to be ethical leaders for a diverse and globally competitive workforce. The university is committed to enhancing the cultural and economic development of the state, nation, and world.” The joint TTU System and TTU strategic priorities are as follows: increase enrollment and promote student success, strengthen academic quality and reputation, expand and enhance research and creative scholarship, further outreach and engagement, and increase and maximize resources.

Provide the best possible instruction to all students at the undergraduate, graduate, and professional levels

TTU will seek to improve undergraduate education by adding faculty to reduce class size, especially in departments where student demand is high, by increasing the proportion of these courses taught by senior faculty and by providing more graduate assistants who can give students additional one-on-one tutorial opportunities. For example, TTU has enhanced the Honors College experience through integrating undergraduate research and graduate programs.

Attract students who are unmatched for their talent and diversity

TTU seeks to expand student financial assistance by making the level of academic scholarship awards more competitive, increasing need-based grant funds, and enhancing the professional services offered in Student Financial Aid and Student Business Services. TTU will continue to promote access by expanding recruitment efforts across the state, especially among high achievers and culturally diverse student populations. TTU has implemented the Red Raider Guarantee Program to ensure that tuition and fees are paid for students whose family annual income is less than $40,000. In addition, the University is in its seventh year of the Graduate on Time Program, which has resulted in increased semester credit hours completed by students and an increase in the number of degrees awarded.
Grow the faculty while enhancing its quality and diversifying its composition
TTU seeks to add new faculty over the next biennium who will enhance the academic quality of the institution and improve the research enterprise and the classroom experience for undergraduate students. A number of special initiatives will also be implemented to diversify the faculty by hiring qualified applicants from underrepresented groups, including women.

Become one of the nation’s top 100 centers for research
Within eight years, TTU intends to double its sponsored research and reach a goal of $100 million, becoming a top 100 research university. Achieving this status will require a sustained effort of advancement, which has already begun. Currently, TTU is actively developing a heightened research culture, including recruiting additional faculty, building a deeper research infrastructure, and supporting research clusters of focused effort to benefit Texas, the Southwest, and the nation. Areas targeted for expansion of research include water resources, Southwest studies, agriculture (cotton, plant stress, precision agriculture, animal industries), genomics and biotechnology, engineering (wind, computing, materials science, hazardous materials management), environmental sciences, space science, bioinformatics, human sciences, and natural resources and conservation. The request seeks additional funding for a new strategy connecting graduate education with research while building on existing programs of faculty excellence and research development.

Increase funding for research libraries, equipment, and other services
TTU’s libraries must be sustained and funds must be expended to strengthen collections (emphasizing our research priorities), expand or make more efficient use of space, protect deteriorating books and journals, and enhance the automated catalog systems. TTU will expand allocations for specialized research equipment by maintaining a continuing resource fund from growth in indirect costs recoveries.

Internationalize the university experience at TTU
Today, more than ever, it is imperative that TTU integrate global perspectives and promote international experience as part of its core missions. TTU will continue providing international study opportunities for undergraduate and graduate students. The study abroad programs, especially at the Texas Tech Center in Seville, Spain, and Quedlinburg, Germany, will continue to attract more students each semester.

Build new and renovate existing facilities
Facilities planned over the next 5 years include major improvements in the College of Engineering Expansion/Renovation and the construction of Experimental Science Building II.

MAJOR AREAS OF CONCERN
The major areas of concern in this appropriations request are those that directly affect TTU’s ability to successfully continue its vital missions of teaching, research, and public service.

In preparation for responding to the 10 percent general revenue-related base reduction schedule, the institution has evaluated all general revenue funding and deemed every appropriated general revenue dollar critical to the missions of teaching, research and public service. Because of limited alternatives, the institution chose to propose reductions of all general revenue strategies. These proposed reductions will impact the core operations and delivery of services.

In accordance with Texas Education Code, Section 51.215 and Texas Government Code, Section 411.097, beginning May 1, 2009, all staff positions (including temporary
employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment.

In addition, student positions classified as security sensitive level I or II require criminal background checks to be conducted prior to employment, promotion, reclassification or transfer.

Beginning April 1, 2010 all faculty tenure and non-tenure track are classified as security sensitive level I positions and require criminal background checks prior to employment.

Formulas
In order to maintain quality academic and research programs, the Legislature should fund, at the highest rate, the formula recommendations. The top priorities for the 82nd Legislature should be to provide sufficient funds to cover the growth in enrollments statewide and increase the formula funding rates to maintain current purchasing power that reflect changes due to cost increases. In addition, TTU requests that ARRA formula and incentive funding be reinstated with general revenue in order to continue providing quality academic and research programs. Reinstating this base level of funding is necessary for TTU to fulfill its mission and goal of becoming a nationally competitive research university.

Research Development Fund
Continued funding of RDF is critical to the future goals of TTU.

Salary Increases and Insurance Premium Increases
It is requested that the Legislature provide the necessary funding to allow sufficient appropriations to cover full funding of health insurance premiums, plus increases in the premiums for the Uniform Group Insurance Program (UGIP) for each year of the biennium. Salary increases and the maintenance of current insurance benefits are critical to maintaining our competitiveness for faculty and staff. In addition, it is requested that institutions of higher education receive an estimated appropriation for UGIP, as do other state agencies.

Special Item Support
Special Item funding, which provides seed money and matching funds, has been used to leverage millions of dollars of additional non-state funding to support research and public service in such areas as rangeland management, food and fiber production, energy, water, nutrition, and wine marketing. However, because TTU is neither a land-grant institution nor a recipient of support from the Available University Fund, the quality of graduate education programs is dependent upon the continued funding of special items, especially in the areas of agriculture, engineering, and human sciences. Special Item funding also supports the museum and other academic centers at the university.

5 Percent General Revenue-Related Reduction
Texas Tech University is requiring all departments to reduce travel related expenditures in order to comply with the mandated budget reduction. Faculty and staff are urged to make fiscally conservative decisions with regard to essential travel. TTU will ask all faculty and staff to utilize teleconferencing technology when available, encourage same-day travel, and reduce the number of conference and seminars along with the number of attendees. TTU will also require all departments to find and exploit any and all efficiencies in day-to-day operations across the institution. TTU will look at an institution wide focus on utility management, strict enforcement of HVAC cut-off times, further utilization of electric vehicle fleet to save on annual fuel expenses, reduce campus landscaping turnover and adopt “smartscape” plantings, adopt campus wide
“summer hours” to allow for fewer cooling hours during summer months, delay purchase of depreciable equipment and attempt to extend useful life of current inventory where possible and as office equipment fails, explore opportunities for combined use equipment between departments, units, and individual employees. TTU will capture salary savings and instances where staff positions can be reallocated to areas of highest need. We are in a period of growth in higher education, and without increased support from the State, we will not be able to provide the high quality education and service our constituents have come to expect.

FUNDING REQUEST FOR EXCEPTIONAL ITEMS

Research in Emerging Technologies and Economic Development in Texas
Request: FY 2012 $4,000,000; FY 2013 $4,000,000

The Texas workforce is being strengthened through graduate fellowships in areas of great importance to West Texas and the State. New and continuing fellowships will again be focused in areas significant to the growth of innovation and intellectual capital. Alternative energy, environmental toxicology, math, science, engineering, legal and agricultural spectrum are research areas where the fellowship program is expected to leverage extramural resources, especially related to competitive federal programs. Texas Tech University’s strategic endeavors to grow overall external research will impact this program, particularly with regard to the University’s ability to attract and support faculty in existing and emerging areas important to Texas. Likewise, graduate students are an integral part of all academic research programs.

Small Business Development Center
Request: FY 2012 $180,000; FY 2013 $180,000

The Northwest Texas Small Business Development Center (SBDC) plans to slow the growing gap between rural and urban Texas by strengthening the economic health of the remote areas of the region. A primary element in accomplishing this goal is to provide an expanded rural outreach counseling program to assist existing and start-up businesses in the extreme rural areas of the 95 counties served. This request specifically targets counseling staff salaries, modest operational expenditures, and necessary travel costs across the region.

Tuition Revenue Bond Retirement

Request: FY 2012 $10,233,275; FY 2013 $10,712,575
TTU is requesting authorization for the following Tuition Revenue Bonds.

Experimental Science Building II
To construct a 150,000 square foot research facility to house high-tech interdisciplinary laboratories
Total Project $87,750,000
TRB $78.975 million; Funds other than TRB $8.775 million
Debt Service FY 2012 $5,052,875; FY 2013 $5,287,300

College of Engineering Expansion/Renovation
To construct a 155,178 square foot academic facility to accommodate current space needs
Total Project $90,000,000
Agency name: Texas Tech University

TRB $81 million; Funds other than TRB $9 million
Debt Service FY 2012 $5,180,400; FY 2013 $5,425,275

This exceptional item is to provide the debt service related to these bonds. The funding for these projects will include sources in addition to the tuition revenue bonds.
TEXAS TECH UNIVERSITY

BOARD OF REGENTS

Chancellor

President
(195.7 FTE)

Academic Affairs
Provost & Sr Vice President
(3,568.5 FTE)

Enrollment Mgmt/Student Affairs
Sr Vice President
(1,714.8 FTE)

Administration & Finance
Vice President/CFO
(594.1 FTE)

Research
Vice President
(72.9 FTE)

External Relations
Assoc Vice President
(19.4 FTE)

Institutional Diversity, Equity & Community Engagement
Vice President
(33.7 FTE)

Athletics
Director
(208.2 FTE)

Total 6,407.3 FTE
### 2.A. SUMMARY OF BASE REQUEST BY STRATEGY

#### 82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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<th>Agency name: Texas Tech University</th>
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<td>1 OPERATIONS SUPPORT</td>
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| 2 Provide Infrastructure Support | | | | | |
| 1 Provide Operation and Maintenance of E&G Space | | | | | |
| 1 E&G SPACE SUPPORT              | 8,407,517 | 8,326,704 | 7,867,028 | 0        | 0        |
| 2 TUITION REVENUE BOND RETIREMENT | 10,686,673 | 10,375,117 | 10,382,243 | 9,230,357 | 9,242,556 |
| **TOTAL, GOAL 2**                | **$19,094,190** | **$18,701,821** | **$18,249,271** | **$9,230,357** | **$9,242,556** |

| 3 Provide Special Item Support | | | | | |
| 1 Instructional Support Special Item Support | | | | | |
| 1 LIBRARY ARCHIVAL SUPPORT      | 716,915 | 730,404 | 692,947 | 711,675 | 711,675 |
| 2 Research Special Item Support | | | | | |
| 1 AGRICULTURAL RESEARCH         | 2,230,589 | 2,226,787 | 2,112,593 | 2,169,690 | 2,169,690 |
| 2 ENERGY RESEARCH               | 1,013,647 | 943,594 | 895,205 | 919,400 | 919,400 |
| 3 EMERGING TECHNOLOGIES RESEARCH | 271,334 | 1,624,972 | 3,008,307 | 316,640 | 316,640 |
| 10 COMPETITIVE KNOWLEDGE FUND    | 2,620,818 | 2,965,865 | 2,965,865 | 2,965,865 | 2,965,865 |

2.A. Page 1 of 3
### Summary of Base Request by Strategy

**Agency Code:** 733  
**Agency Name:** Texas Tech University

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| **4 Institutional Support Special Item Support** |       |         |          |          |          |
| 1 INSTITUTIONAL ENHANCEMENT | 10,669,345 | 7,159,373 | 6,647,837 | 9,533,814 | 9,533,814 |
| **TOTAL, GOAL 4**           |          |          |          |          |          |
|                            | $186,163,151 | $183,358,505 | $187,935,952 | $47,203,822 | $47,241,021 |

| **5 Research Development Fund** |       |         |          |          |          |
| 1 RESEARCH DEVELOPMENT FUND   | 7,312,686 | 2,299,212 | 7,437,380 | 4,868,296 | 4,868,296 |
| **TOTAL, GOAL 5**            |          |          |          |          |          |
|                             | $7,312,686 | $2,299,212 | $7,437,380 | $4,868,296 | $4,868,296 |
| **TOTAL, AGENCY STRATEGY REQUEST** | $186,163,151 | $183,358,505 | $187,935,952 | $47,203,822 | $47,241,021 |

| **TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST** |       |          |          |          |          |
|                                               | $54,000 |          |          |          |          |

| **GRAND TOTAL, AGENCY REQUEST** | $186,163,151 | $183,358,505 | $187,935,952 | $47,257,822 | $47,295,021 |
2.A. SUMMARY OF BASE REQUEST BY STRATEGY
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 2:52:40PM

Agency code: 733  
Agency name: Texas Tech University

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<td>770 Est Oth Educ &amp; Gen Inco</td>
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<td>369 Fed Recovery &amp; Reinvestment Fund</td>
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<td><strong>SUBTOTAL</strong></td>
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<td>$186,163,151</td>
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*Rider appropriations for the historical years are included in the strategy amounts.*

2.A. Page 3 of 3
## 2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

### 2.53:59PM

**DATE:** 10/18/2010  
**TIME:** 2:53:59PM  

**Agency code:** 733  
**Agency name:** Texas Tech University

### METHOD OF FINANCING

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<td>Five Percent Reduction (2010-11 Biennium)</td>
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<td>Art III, Section 54, (2008-09 GAA) Institutional Enhancement</td>
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<td>GENERAL REVENUE</td>
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<td>$129,019,059</td>
<td>$135,264,966</td>
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<td>$35,769,101</td>
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<td>$35,769,101</td>
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<tr>
<td>269 GR Dedicated - Texas Tech University Special Mineral Account No. 269</td>
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<tr>
<td>REGULAR APPROPRIATIONS</td>
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<td>LAPSED APPROPRIATIONS</td>
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<tr>
<td>Five Percent Reduction (2010-11 Biennium)</td>
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<td>UNEXPENDED BALANCES AUTHORITY</td>
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### 2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

#### Automated Budget and Evaluation System of Texas (ABEST)

**Agency code:** 733  
**Agency name:** Texas Tech University

<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
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<td><strong>GENERAL REVENUE FUND - DEDICATED</strong></td>
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<td>Regular Appropriations from MOF Table (2008-09 GAA)</td>
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Page 2.B. 3 of 6
### 2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

**2nd Regular Session, Agency Submission, Version 1**

Automated Budget and Evaluation System of Texas (ABEST)

<table>
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<th>DATE: 10/18/2010</th>
<th>TIME: 2:54:18PM</th>
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**Agency code:** 733  
**Agency name:** Texas Tech University

#### METHOD OF FINANCING

|--------------------------|----------|----------|----------|----------|----------|

#### GENERAL REVENUE FUND - DEDICATED

Regular Appropriations from MOF Table (2010-11 GAA)

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<tr>
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Revise receipts to actual decrease/expenditures

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<th>$(1,121,365)</th>
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Revise receipts to projected revenue increases

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**TOTAL, GR Dedicated - Estimated Other Educational and General Income Account No. 770**

<table>
<thead>
<tr>
<th></th>
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<th>$42,222,964</th>
<th>$11,446,920</th>
<th>$11,471,920</th>
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**TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 & 770**

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**TOTAL, ALL GENERAL REVENUE FUND - DEDICATED**

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<th>$48,161,014</th>
<th>$49,955,047</th>
<th>$11,500,920</th>
<th>$11,525,920</th>
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**TOTAL, GR & GR-DEDICATED FUNDS**

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#### FEDERAL FUNDS

**369 Federal American Recovery and Reinvestment Fund**

**REGULAR APPROPRIATIONS**

Regular Appropriations, Art XII (2010-11 GAA)

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2.B. Page 4 of 6
### 2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

**Texas Tech University**

**DATE:** 10/18/2010  **TIME:** 2:54:18PM

Automated Budget and Evaluation System of Texas (ABEST)

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**Agency code:** 733  
**Agency name:** Texas Tech University

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<td><strong>RIDER APPROPRIATION</strong></td>
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**FULL-TIME-EQUIVALENT POSITIONS**

**REGULAR APPROPRIATIONS**

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### 2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

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<table>
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<th>OOE Total (Riders)</th>
<th>Grand Total</th>
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2.C. Page 1 of 1
### 2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

Automated Budget and Evaluation system of Texas (ABEST)

**Agency code:** 733  
**Agency name:** Texas Tech University

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</thead>
<tbody>
<tr>
<td>Provide Instructional and Operations Support</td>
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<td>57.50%</td>
<td>57.50%</td>
<td>59.00%</td>
</tr>
<tr>
<td></td>
<td>2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs</td>
<td>61.41%</td>
<td>57.00%</td>
<td>57.00%</td>
<td>59.00%</td>
</tr>
<tr>
<td></td>
<td>3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs</td>
<td>52.31%</td>
<td>48.00%</td>
<td>48.00%</td>
<td>50.00%</td>
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<tr>
<td></td>
<td>4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs</td>
<td>59.62%</td>
<td>42.00%</td>
<td>42.00%</td>
<td>50.00%</td>
</tr>
<tr>
<td></td>
<td>5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs</td>
<td>55.31%</td>
<td>52.00%</td>
<td>52.00%</td>
<td>52.00%</td>
</tr>
<tr>
<td>Provide Instructional and Operations Support</td>
<td>6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs</td>
<td>35.27%</td>
<td>37.00%</td>
<td>37.00%</td>
<td>37.00%</td>
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<tr>
<td></td>
<td>7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs</td>
<td>36.40%</td>
<td>31.00%</td>
<td>31.00%</td>
<td>36.00%</td>
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<td>8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs</td>
<td>25.90%</td>
<td>24.00%</td>
<td>24.00%</td>
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<td>9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs</td>
<td>24.81%</td>
<td>16.00%</td>
<td>16.00%</td>
<td>20.00%</td>
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<td>10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs</td>
<td>43.43%</td>
<td>26.00%</td>
<td>26.00%</td>
<td>30.00%</td>
</tr>
<tr>
<td>Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr</td>
<td>11</td>
<td>80.80%</td>
<td>84.00%</td>
<td>84.00%</td>
<td>81.00%</td>
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<tr>
<td>Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr</td>
<td>12</td>
<td>80.84%</td>
<td>82.00%</td>
<td>82.00%</td>
<td>81.00%</td>
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2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

Agency code: 733
Agency name: Texas Tech University

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr</td>
<td>76.09%</td>
<td>76.00%</td>
<td>76.00%</td>
<td>76.00%</td>
<td>76.00%</td>
</tr>
<tr>
<td>14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr</td>
<td>84.19%</td>
<td>80.00%</td>
<td>80.00%</td>
<td>80.00%</td>
<td>80.00%</td>
</tr>
<tr>
<td>15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr</td>
<td>90.09%</td>
<td>76.00%</td>
<td>76.00%</td>
<td>80.00%</td>
<td>80.00%</td>
</tr>
<tr>
<td>16 Percent of Semester Credit Hours Completed</td>
<td>94.91%</td>
<td>94.00%</td>
<td>94.00%</td>
<td>94.00%</td>
<td>94.00%</td>
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<tr>
<td>17 Certification Rate of Teacher Education Graduates</td>
<td>89.63%</td>
<td>88.70%</td>
<td>88.70%</td>
<td>89.00%</td>
<td>89.00%</td>
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<tr>
<td>18 Percentage of Underprepared Students Who Satisfy a TSI Obligation</td>
<td>87.87%</td>
<td>92.67%</td>
<td>92.67%</td>
<td>90.33%</td>
<td>90.33%</td>
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<tr>
<td>19 % of Baccalaureate Graduates Who Are 1st Generation College Graduates</td>
<td>24.13%</td>
<td>25.00%</td>
<td>25.00%</td>
<td>25.00%</td>
<td>25.00%</td>
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<tr>
<td>20 Percent of Transfer Students Who Graduate within 4 Years</td>
<td>73.42%</td>
<td>58.00%</td>
<td>58.00%</td>
<td>60.00%</td>
<td>60.00%</td>
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<tr>
<td>21 Percent of Transfer Students Who Graduate within 2 Years</td>
<td>31.12%</td>
<td>20.00%</td>
<td>20.00%</td>
<td>24.00%</td>
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<tr>
<td>22 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track</td>
<td>28.28%</td>
<td>33.00%</td>
<td>33.00%</td>
<td>30.00%</td>
<td>30.00%</td>
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<tr>
<td>23 State Licensure Pass Rate of Law Graduates</td>
<td>86.11%</td>
<td>91.30%</td>
<td>91.30%</td>
<td>90.00%</td>
<td>90.00%</td>
</tr>
<tr>
<td>24 State Licensure Pass Rate of Engineering Graduates</td>
<td>77.36%</td>
<td>90.00%</td>
<td>90.00%</td>
<td>80.00%</td>
<td>80.00%</td>
</tr>
<tr>
<td>28 Dollar Value of External or Sponsored Research Funds (in Millions)</td>
<td>36.37</td>
<td>32.40</td>
<td>32.40</td>
<td>33.00</td>
<td>33.00</td>
</tr>
<tr>
<td>---------------------------</td>
<td>----------</td>
<td>----------</td>
<td>----------</td>
<td>---------</td>
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<tr>
<td>29 External or Sponsored Research Funds As a % of State Appropriations</td>
<td>19.69%</td>
<td>240.00%</td>
<td>240.00%</td>
<td>240.00%</td>
<td>240.00%</td>
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<tr>
<td>30 External Research Funds As Percentage Appropriated for Research</td>
<td>1,014.58%</td>
<td>800.00%</td>
<td>800.00%</td>
<td>800.00%</td>
<td>800.00%</td>
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<tr>
<td>46 Value of Lost or Stolen Property</td>
<td>127,043.00</td>
<td>145,000.00</td>
<td>145,000.00</td>
<td>145,000.00</td>
<td>145,000.00</td>
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<tr>
<td>47 Percent of Property Lost or Stolen</td>
<td>0.07%</td>
<td>0.10%</td>
<td>0.10%</td>
<td>0.10%</td>
<td>0.10%</td>
</tr>
<tr>
<td>48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year</td>
<td>9.38%</td>
<td>17.00%</td>
<td>17.00%</td>
<td>17.00%</td>
<td>17.00%</td>
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<tr>
<td>49 Average No Months Endowed Chairs Remain Vacant</td>
<td>24.00</td>
<td>12.00</td>
<td>12.00</td>
<td>12.00</td>
<td>12.00</td>
</tr>
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</table>
## 2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST

**Agency code:** 733  
**Agency name:** Texas Tech University

#### 2012

<table>
<thead>
<tr>
<th>Priority</th>
<th>Item</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>Method of Financing</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Research in Emerging Technologies</td>
<td>$4,000,000</td>
<td>$4,000,000</td>
<td>73.4</td>
<td>$4,000,000</td>
<td>$4,000,000</td>
<td>73.4</td>
<td>$8,000,000</td>
<td>$8,000,000</td>
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<tr>
<td>2</td>
<td>Small Business Development Center</td>
<td>$180,000</td>
<td>$180,000</td>
<td>5.0</td>
<td>$180,000</td>
<td>$180,000</td>
<td>5.0</td>
<td>$360,000</td>
<td>$360,000</td>
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<tr>
<td>3</td>
<td>Debt Serv for Requested Tuition Rev</td>
<td>$10,233,275</td>
<td>$10,233,275</td>
<td></td>
<td>$10,712,575</td>
<td>$10,712,575</td>
<td></td>
<td>$20,945,850</td>
<td>$20,945,850</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Total, Exceptional Items Request</strong></td>
<td><strong>$14,413,275</strong></td>
<td><strong>$14,413,275</strong></td>
<td><strong>78.4</strong></td>
<td><strong>$14,892,575</strong></td>
<td><strong>$14,892,575</strong></td>
<td><strong>78.4</strong></td>
<td><strong>$29,305,850</strong></td>
<td><strong>$29,305,850</strong></td>
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</table>

#### 2013

<table>
<thead>
<tr>
<th>Priority</th>
<th>Item</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>Method of Financing</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Total, Exceptional Items Request</strong></td>
<td><strong>$14,413,275</strong></td>
<td><strong>$14,413,275</strong></td>
<td><strong>78.4</strong></td>
<td><strong>$14,892,575</strong></td>
<td><strong>$14,892,575</strong></td>
<td><strong>78.4</strong></td>
<td><strong>$29,305,850</strong></td>
<td><strong>$29,305,850</strong></td>
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</tbody>
</table>

#### Biennium

<table>
<thead>
<tr>
<th>Priority</th>
<th>Item</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>GR and GR Dedicated</th>
<th>All Funds</th>
<th>FTEs</th>
<th>Method of Financing</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Total, Exceptional Items Request</strong></td>
<td><strong>$14,413,275</strong></td>
<td><strong>$14,413,275</strong></td>
<td><strong>78.4</strong></td>
<td><strong>$14,892,575</strong></td>
<td><strong>$14,892,575</strong></td>
<td><strong>78.4</strong></td>
<td><strong>$29,305,850</strong></td>
<td><strong>$29,305,850</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Method of Financing

- General Revenue
- General Revenue - Dedicated
- Federal Funds
- Other Funds

### Full Time Equivalent Positions

<table>
<thead>
<tr>
<th></th>
<th>2012</th>
<th>2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of 100% Federally Funded FTEs</td>
<td>0.0</td>
<td>0.0</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>2012</th>
<th>2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>Full Time Equivalent Positions</td>
<td>78.4</td>
<td>78.4</td>
</tr>
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</table>

2.E. Page 1 of 1
## 2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY

### 82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

### Agency code: 733
Agency name: Texas Tech University

<table>
<thead>
<tr>
<th>Goal/Objective/STRATEGY</th>
<th>Base 2012</th>
<th>Base 2013</th>
<th>Exceptional 2012</th>
<th>Exceptional 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Provide Instructional and Operations Support</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>1 Provide Instructional and Operations Support</td>
<td>4,679,911</td>
<td>4,679,911</td>
<td>0</td>
<td>0</td>
<td>4,679,911</td>
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<tr>
<td>3 STAFF GROUP INSURANCE PREMIUMS</td>
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<td>0</td>
<td>0</td>
<td>604,620</td>
<td>604,620</td>
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<tr>
<td>4 WORKERS’ COMPENSATION INSURANCE</td>
<td>6,233,709</td>
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<td>0</td>
<td>6,233,709</td>
<td>6,258,709</td>
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<tr>
<td>7 ORGANIZED ACTIVITIES</td>
<td>533,300</td>
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<td>0</td>
<td>0</td>
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<tr>
<td><strong>TOTAL, GOAL 1</strong></td>
<td><strong>$12,051,540</strong></td>
<td><strong>$12,076,540</strong></td>
<td><strong>$0</strong></td>
<td><strong>$0</strong></td>
<td><strong>$12,051,540</strong></td>
<td><strong>$12,076,540</strong></td>
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</table>

| 2 Provide Infrastructure Support | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 E&G SPACE SUPPORT | 9,230,357 | 9,242,556 | 10,233,275 | 10,712,575 | 19,463,632 | 19,955,131 |
| 2 TUITION REVENUE BOND RETIREMENT | **$9,230,357** | **$9,242,556** | **$10,233,275** | **$10,712,575** | **$19,463,632** | **$19,955,131** |
| **TOTAL, GOAL 2** | **$9,230,357** | **$9,242,556** | **$10,233,275** | **$10,712,575** | **$19,463,632** | **$19,955,131** |
### 2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY

**Agency code:** 733  
**Agency name:** Texas Tech University  
**Goal/Objective/STRATEGY**  

<table>
<thead>
<tr>
<th>STRATEGY</th>
<th>2012</th>
<th>2013</th>
<th>Exceptional 2012</th>
<th>Exceptional 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>3 Provide Special Item Support</td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>1 Instructional Support Special Item Support</td>
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<td>1 LIBRARY ARCHIVAL SUPPORT</td>
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<td>$0</td>
<td>$711,675</td>
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<tr>
<td>2 Research Special Item Support</td>
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<td></td>
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<td></td>
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<td>316,640</td>
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<td>10 COMPETITIVE KNOWLEDGE FUND</td>
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<tr>
<td>3 Public Service Special Item Support</td>
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<td>1 JUNCTION ANNEX OPERATION</td>
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<td>3 SMALL BUSINESS DEVELOPMENT</td>
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<td>180,000</td>
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<td>4 MUSEUMS &amp; CENTERS</td>
<td>2,030,752</td>
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<td>6 CENTER FOR FINANCIAL RESPONSIBILITY</td>
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<td>4 Institutional Support Special Item Support</td>
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<td>1 INSTITUTIONAL ENHANCEMENT</td>
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<td><strong>$21,053,629</strong></td>
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<td><strong>$25,233,629</strong></td>
<td><strong>$25,233,629</strong></td>
</tr>
<tr>
<td>Goal/Objective/STRATEGY</td>
<td>Base 2012</td>
<td>Base 2013</td>
<td>Exceptional 2012</td>
<td>Exceptional 2013</td>
<td>Total Request 2012</td>
<td>Total Request 2013</td>
</tr>
<tr>
<td>-------------------------</td>
<td>-----------</td>
<td>-----------</td>
<td>------------------</td>
<td>------------------</td>
<td>-------------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>5 Research Development Fund</td>
<td></td>
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</tr>
<tr>
<td>1 Research Development Fund</td>
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<tr>
<td>1 RESEARCH DEVELOPMENT FUND</td>
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<td>TOTAL, AGENCY STRATEGY REQUEST</td>
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<td>$14,413,275</td>
<td>$14,892,575</td>
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<td>$54,000</td>
<td>$54,000</td>
</tr>
<tr>
<td>GRAND TOTAL, AGENCY REQUEST</td>
<td>$47,257,822</td>
<td>$47,295,021</td>
<td>$14,413,275</td>
<td>$14,892,575</td>
<td>$61,671,097</td>
<td>$62,187,596</td>
</tr>
</tbody>
</table>
### 2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY

82nd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

<table>
<thead>
<tr>
<th>Agency code: 733</th>
<th>Agency name: Texas Tech University</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Goal/Objective/STRATEGY</th>
<th>Base 2012</th>
<th>Base 2013</th>
<th>Exceptional 2012</th>
<th>Exceptional 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$35,756,902</td>
<td>$35,769,101</td>
<td>$14,413,275</td>
<td>$14,892,575</td>
<td>$50,170,177</td>
<td>$50,661,676</td>
</tr>
</tbody>
</table>

#### General Revenue Funds:

1. General Revenue Fund

|                         | 54,000 | 54,000 | 0 | 0 | 54,000 | 54,000 |

#### General Revenue Dedicated Funds:

269. Tx Tech Univ Sp Min Acct

|                         | 0 | 0 | 0 | 0 | 0 | 0 |

704. Bd Authorized Tuition Inc

|                         | 11,446,920 | 11,471,920 | 0 | 0 | 11,446,920 | 11,471,920 |

770. Est Oth Educ & Gen Inc

|                         | 0 | 0 | 0 | 0 | 0 | 0 |

#### Federal Funds:

369. Fed Recovery & Reinvestment Fund

|                         | 0 | 0 | 0 | 0 | 0 | 0 |

#### TOTAL, METHOD OF FINANCING

|                         | $47,257,822 | $47,295,021 | $14,413,275 | $14,892,575 | $61,671,097 | $62,187,596 |

#### FULL TIME EQUIVALENT POSITIONS

|                         | 2,678.4 | 2,678.4 | 78.4 | 78.4 | 2,756.8 | 2,756.8 |
## 2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

### 82nd Regular Session, Agency Submission, Version 1

**Automated Budget and Evaluation system of Texas (ABEST)**

<table>
<thead>
<tr>
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<th>733</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agency name:</td>
<td>Texas Tech University</td>
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</table>

<table>
<thead>
<tr>
<th>Date:</th>
<th>10/18/2010</th>
</tr>
</thead>
<tbody>
<tr>
<td>Time:</td>
<td>3:03:54PM</td>
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#### Goal/ Objective / Outcome

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<tr>
<th>BL 2012</th>
<th>BL 2013</th>
<th>Excp 2012</th>
<th>Excp 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>Provide Instructional and Operations Support</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%59.00</td>
<td>59.00%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%59.00</td>
<td>59.00%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>KEY 2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%59.00</td>
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</tr>
<tr>
<td>%59.00</td>
<td>59.00%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>KEY 3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yrs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%50.00</td>
<td>50.00%</td>
<td></td>
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<tr>
<td>%50.00</td>
<td>50.00%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>KEY 4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%50.00</td>
<td>50.00%</td>
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<td></td>
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<tr>
<td>%50.00</td>
<td>50.00%</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>KEY 5 % 1st-time, Full-time, Degree-seeking Other Frshmn Earn Deg in 6 Yrs</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>%52.00</td>
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</tr>
<tr>
<td>%52.00</td>
<td>52.00%</td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%37.00</td>
<td>37.00%</td>
<td></td>
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<td></td>
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</tr>
<tr>
<td>%37.00</td>
<td>37.00%</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>KEY 7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>%36.00</td>
<td>36.00%</td>
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<tr>
<td>%36.00</td>
<td>36.00%</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>KEY 8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%24.00</td>
<td>24.00%</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>%24.00</td>
<td>24.00%</td>
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</tr>
</tbody>
</table>
### 2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

<table>
<thead>
<tr>
<th>Agency code: 733</th>
<th>Agency name: Texas Tech University</th>
</tr>
</thead>
</table>

#### Goal/ Objective / Outcome

<table>
<thead>
<tr>
<th>BL 2012</th>
<th>BL 2013</th>
<th>Excp 2012</th>
<th>Excp 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
</tr>
</thead>
</table>

9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs

- 20.00%  
- 20.00%

10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs

- 30.00%  
- 30.00%

#### KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr

- 81.00%  
- 81.00%

12 Persistence 1st-time, Full-time, Degree-seeking White Frsh after 1 Yr

- 81.00%  
- 81.00%

13 Persistence 1st-time, Full-time, Degree-seeking Hisp Frsh after 1 Yr

- 76.00%  
- 76.00%

14 Persistence 1st-time, Full-time, Degree-seeking Black Frsh after 1 Yr

- 80.00%  
- 80.00%

15 Persistence 1st-time, Full-time, Degree-seeking Other Frsh after 1 Yr

- 80.00%  
- 80.00%

16 Percent of Semester Credit Hours Completed

- 94.00%  
- 94.00%

#### KEY 17 Certification Rate of Teacher Education Graduates

- 89.00%  
- 89.00%
### 2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation system of Texas (ABEST)

<table>
<thead>
<tr>
<th>Goal/Objective/Outcome</th>
<th>BL 2012</th>
<th>BL 2013</th>
<th>Excp 2012</th>
<th>Excp 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>18 Percentage of Underprepared Students Who Satisfy a TSI Obligation</td>
<td>90.33%</td>
<td>90.33%</td>
<td></td>
<td></td>
<td>90.33%</td>
<td>90.33%</td>
</tr>
<tr>
<td>KEY 19 % of Baccalaureate Graduates Who Are 1st Generation College Graduates</td>
<td>25.00%</td>
<td>25.00%</td>
<td></td>
<td></td>
<td>25.00%</td>
<td>25.00%</td>
</tr>
<tr>
<td>KEY 20 Percent of Transfer Students Who Graduate within 4 Years</td>
<td>60.00%</td>
<td>60.00%</td>
<td></td>
<td></td>
<td>60.00%</td>
<td>60.00%</td>
</tr>
<tr>
<td>KEY 21 Percent of Transfer Students Who Graduate within 2 Years</td>
<td>24.00%</td>
<td>24.00%</td>
<td></td>
<td></td>
<td>24.00%</td>
<td>24.00%</td>
</tr>
<tr>
<td>KEY 22 % Lower Division Semester Credit Hours Taught by Tenured/Tenure-Track</td>
<td>30.00%</td>
<td>30.00%</td>
<td></td>
<td></td>
<td>30.00%</td>
<td>30.00%</td>
</tr>
<tr>
<td>KEY 23 State Licensure Pass Rate of Law Graduates</td>
<td>90.00%</td>
<td>90.00%</td>
<td></td>
<td></td>
<td>90.00%</td>
<td>90.00%</td>
</tr>
<tr>
<td>KEY 24 State Licensure Pass Rate of Engineering Graduates</td>
<td>80.00%</td>
<td>80.00%</td>
<td></td>
<td></td>
<td>80.00%</td>
<td>80.00%</td>
</tr>
<tr>
<td>KEY 28 Dollar Value of External or Sponsored Research Funds (in Millions)</td>
<td>33.00</td>
<td>33.00</td>
<td></td>
<td></td>
<td>33.00</td>
<td>33.00</td>
</tr>
<tr>
<td>出现问题 29 External or Sponsored Research Funds As a % of State Appropriations</td>
<td>240.00%</td>
<td>240.00%</td>
<td></td>
<td></td>
<td>240.00%</td>
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</table>
### 30 External Research Funds As Percentage Appropriated for Research

<table>
<thead>
<tr>
<th></th>
<th>BL 2012</th>
<th>BL 2013</th>
<th>Excp 2012</th>
<th>Excp 2013</th>
<th>Total Request 2012</th>
<th>Total Request 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>800.00%</td>
<td>800.00%</td>
<td>800.00%</td>
<td>800.00%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 46 Value of Lost or Stolen Property

<p>| | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
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<th></th>
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</thead>
<tbody>
<tr>
<td></td>
<td>145,000.00</td>
<td>145,000.00</td>
<td>145,000.00</td>
<td>145,000.00</td>
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<td></td>
</tr>
</tbody>
</table>

### 47 Percent of Property Lost or Stolen

<p>| | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0.10%</td>
<td>0.10%</td>
<td>0.10%</td>
<td>0.10%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 48 % Endowed Professorships/ Chairs Unfilled All/ Part of Fiscal Year

<p>| | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>17.00%</td>
<td>17.00%</td>
<td>17.00%</td>
<td>17.00%</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 49 Average No Months Endowed Chairs Remain Vacant

<p>| | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td></td>
<td>12.00</td>
<td>12.00</td>
<td>12.00</td>
<td>12.00</td>
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<td></td>
</tr>
</tbody>
</table>
### 3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

---

Agency code: **733**

**Agency name:** Texas Tech University

**GOAL:** 1. Provide Instructional and Operations Support

**OBJECTIVE:** 1. Provide Instructional and Operations Support

**STRATEGY:** 1. Operations Support

**Statewide Goal/Benchmark:** 2 0

**Service Categories:**

- Service: 19
- Income: A.2
- Age: B.3

---

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Number of Undergraduate Degrees Awarded</td>
<td>4,460.00</td>
<td>4,750.00</td>
<td>4,750.00</td>
<td>4,400.00</td>
<td>4,400.00</td>
</tr>
<tr>
<td>2</td>
<td>Number of Minority Graduates</td>
<td>882.00</td>
<td>750.00</td>
<td>750.00</td>
<td>750.00</td>
<td>750.00</td>
</tr>
<tr>
<td>3</td>
<td>Number of Students Who Successfully Complete Developmental Education</td>
<td>253.00</td>
<td>300.00</td>
<td>300.00</td>
<td>200.00</td>
<td>200.00</td>
</tr>
<tr>
<td>4</td>
<td>Number of Two-Year College Transfers Who Graduate</td>
<td>1,012.00</td>
<td>850.00</td>
<td>850.00</td>
<td>950.00</td>
<td>950.00</td>
</tr>
</tbody>
</table>

**Efficiency Measures:**

**KEY 1 Administrative Cost As a Percent of Operating Budget**

- **Exp 2009:** 6.23 %
- **Est 2010:** 6.10 %
- **Bud 2011:** 6.10 %
- **BL 2012:** 6.30 %
- **BL 2013:** 6.30 %

**Explanatory/Input Measures:**

- **1 Student/Faculty Ratio**
  - **Exp 2009:** 21.00
  - **Est 2010:** 21.00
  - **Bud 2011:** 21.00
  - **BL 2012:** 22.00
  - **BL 2013:** 22.00

- **2 Number of Minority Students Enrolled**
  - **Exp 2009:** 5,867.00
  - **Est 2010:** 4,500.00
  - **Bud 2011:** 4,500.00
  - **BL 2012:** 5,500.00
  - **BL 2013:** 5,500.00

- **3 Number of Community College Transfers Enrolled**
  - **Exp 2009:** 5,189.00
  - **Est 2010:** 4,300.00
  - **Bud 2011:** 4,300.00
  - **BL 2012:** 5,000.00
  - **BL 2013:** 5,000.00

- **4 Number of Semester Credit Hours Completed**
  - **Exp 2009:** 350,452.00
  - **Est 2010:** 334,000.00
  - **Bud 2011:** 334,000.00
  - **BL 2012:** 350,000.00
  - **BL 2013:** 350,000.00

- **5 Number of Semester Credit Hours**
  - **Exp 2009:** 369,348.00
  - **Est 2010:** 360,000.00
  - **Bud 2011:** 360,000.00
  - **BL 2012:** 372,000.00
  - **BL 2013:** 372,000.00

- **6 Number of Students Enrolled as of the Twelfth Class Day**
  - **Exp 2009:** 30,097.00
  - **Est 2010:** 28,500.00
  - **Bud 2011:** 28,500.00
  - **BL 2012:** 30,500.00
  - **BL 2013:** 30,500.00

**Objects of Expense:**

<table>
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<tr>
<th></th>
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<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td>1001</td>
<td>SALARIES AND WAGES</td>
<td>$50,016,294</td>
<td>$53,469,511</td>
<td>$51,225,720</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>1002</td>
<td>OTHER PERSONNEL COSTS</td>
<td>$766,569</td>
<td>$754,820</td>
<td>$787,797</td>
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<td>$0</td>
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<tr>
<td>1005</td>
<td>FACULTY SALARIES</td>
<td>$74,084,199</td>
<td>$73,412,734</td>
<td>$76,137,558</td>
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<td>$0</td>
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<tr>
<td>1010</td>
<td>PROFESSIONAL SALARIES</td>
<td>$289,935</td>
<td>$402,838</td>
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<td>2001</td>
<td>PROFESSIONAL FEES AND SERVICES</td>
<td>$122,382</td>
<td>$54,366</td>
<td>$105,893</td>
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<td>$0</td>
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<td>2002</td>
<td>FUELS AND LUBRICANTS</td>
<td>$(1,419)</td>
<td>$15,097</td>
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<td>$(0)</td>
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<td>2003</td>
<td>CONSUMABLE SUPPLIES</td>
<td>$490,996</td>
<td>$967,929</td>
<td>$378,749</td>
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<td>$(0)</td>
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<tr>
<td>2004</td>
<td>UTILITIES</td>
<td>$244,536</td>
<td>$154,953</td>
<td>$189,033</td>
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<td>$(0)</td>
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<tr>
<td>2005</td>
<td>TRAVEL</td>
<td>$170,369</td>
<td>$158,242</td>
<td>$131,692</td>
<td>$(0)</td>
<td>$(0)</td>
</tr>
</tbody>
</table>
### 3.A. STRATEGY REQUEST

#### Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/18/2010  
**TIME:** 3:05:26PM

82nd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

---

**Agency code:** 733  
**Agency name:** Texas Tech University

**GOAL:**  
1. Provide Instructional and Operations Support  
**Statewide Goal/Benchmark:**  
**Service Categories:**

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>2006 RENT - BUILDING</td>
<td>$20,966</td>
<td>$18,365</td>
<td>$4,564</td>
<td>$0</td>
<td>$0</td>
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<td>1</td>
<td>1</td>
<td>2007 RENT - MACHINE AND OTHER</td>
<td>$10,340</td>
<td>$5,497</td>
<td>$2,249</td>
<td>$0</td>
<td>$0</td>
</tr>
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<td>1</td>
<td>1</td>
<td>2009 OTHER OPERATING EXPENSE</td>
<td>$166,912</td>
<td>$1,124,379</td>
<td>$136,181</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>1</td>
<td>1</td>
<td>3001 CLIENT SERVICES</td>
<td>$668,281</td>
<td>$160,415</td>
<td>$203,949</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>1</td>
<td>1</td>
<td>5000 CAPITAL EXPENDITURES</td>
<td>$82,181</td>
<td>$585,491</td>
<td>$71,186</td>
<td>$0</td>
<td>$0</td>
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</tbody>
</table>

**TOTAL, OBJECT OF EXPENSE**  
$127,132,541 | $131,284,637 | $129,563,815 | $0      | $0

**Method of Financing:**

1. General Revenue Fund  
$93,274,162 | $90,548,142 | $92,945,509 | $0      | $0

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**  
$93,274,162 | $90,548,142 | $92,945,509 | $0      | $0

**Method of Financing:**

704 Bd Authorized Tuition Inc  
$7,105,913 | $7,652,669 | $7,684,855 | $0      | $0

770 Est Oth Educ & Gen Inco  
$26,752,466 | $28,189,455 | $28,933,451 | $0      | $0

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)**  
$33,858,379 | $35,842,124 | $36,618,306 | $0      | $0

**Method of Financing:**

369 Fed Recovery & Reinvestment Fund  
84,397.000 Stabilization - Govt Services - Stm  
$0 | $4,894,371 | $0 | $0 | $0

CFDA Subtotal, Fund 369  
$0 | $4,894,371 | $0 | $0 | $0

**SUBTOTAL, MOF (FEDERAL FUNDS)**  
$0 | $4,894,371 | $0 | $0 | $0

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**  
$0 | $0

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**  
$127,132,541 | $131,284,637 | $129,563,815 | $0      | $0

**FULL TIME EQUIVALENT POSITIONS:**  
1,943.1 | 2,081.2 | 2,087.9 | 2,090.9 | 2,090.9
STRATEGY REQUEST
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM
82nd Regular Session, Agency Submission, Version 1

1 Operations Support

1 Provide Instructional and Operations Support

GOAL: 1 Provide Instructional and Operations Support

OBJECTIVE: 1 Provide Instructional and Operations Support

STRATEGY: 1 Operations Support


Statewide Goal/Benchmark: 2 0

Service Categories:

Service: 19 Income: A.2 Age: B.3

Agency code: 733 Agency name: Texas Tech University

19 A.2 B.3


STRATEGY DESCRIPTION AND JUSTIFICATION:
This formula-driven strategy provides basic support for the mission of Texas Tech University. The formula yield is based upon performance and generation of semester credit hours. The Instruction and Operations formula provides funding for instruction, academic support, public service, research enhancement, student services, and instructional support. This strategy reinforces the University’s efforts towards retention and increase in the number of graduates.

The level of funding for the instruction/operations strategy impacts the affordability of higher education. This strategy is the foundational support for Closing the Gaps in participation, success, excellence and research, thus reinforcing TTU’s strategic plan goals.

These funds are distributed on a weighted semester credit hour basis. The Legislature appropriated $62.19 per weighted semester credit hour for FY 2010 and FY 2011.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:
Factors impacting the strategy include:

1) The impact of tuition increases on students’ ability to meet the cost of enrolling

2) The success of programs designed to retain currently enrolled students

3) The ability of the institution to attract and retain a sufficient number of faculty members to offer the full range of courses students need to complete degrees

4) The capacity of the institution to provide adequate space to meet the demands of course offerings
Agency code: 733  
Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 3 Staff Group Insurance Premiums

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<td>$4,679,911</td>
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| SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) | $4,014,254 | $4,296,642 | $4,679,911 | $4,679,911 | $4,679,911 |
| TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) | $4,679,911 | $4,679,911 |

| TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) | $4,014,254 | $4,296,642 | $4,679,911 | $4,679,911 | $4,679,911 |

| FULL TIME EQUIVALENT POSITIONS: |

| STRATEGY DESCRIPTION AND JUSTIFICATION: |

Funding tied to the strategy for Staff Group Insurance Premium supports the Uniform Group Insurance Program for the University. The funding amount for each fiscal year represents only Texas Tech University’s proportional funding obligation for both active and retired employees. The remainder is appropriated to TTU at 97.5% of the anticipated costs and can only be spent for Staff Group Insurance Premiums. This is based on current employer contribution rates and will need to be modified in accordance with any changes to these rates.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:
The funding for Staff Group Insurance Premiums for institutions of higher education is capped at an amount established in the Appropriations Bill. Two factors contribute to the possibility of a shortfall in Staff Group Insurance appropriations:

1. The Legislative Budget Board requires that the appropriations for Staff Group Insurance must be based on actual enrollment as of December 1 of the fiscal year that the Legislature is in session. This process does not take into consideration any enrollment growth that may occur during the biennium or any growth in the next biennium due to additional appropriations or other factors.

2. The premiums for retirees must also be paid from the appropriations to each institution including the institution’s proportional share. The number of retirees may increase significantly before the end of the biennium or during the next biennium.

3. Effective 9/1/2006, as provided by SB 1863, 79th Regular Legislative Session, employees who waive or who have previously waived health insurance may elect to receive an Opt Out Credit to be applied to Dental or AD&D insurance coverage. Institutions of higher education are charged the full $30 for part-time employees/retirees or $60 for full-time employees/retirees regardless of the amount of the credit used by the employee for Dental or AD&D coverage. Any employee who has currently or previously waived health insurance may elect to receive the Opt Out Credit, this represents an additional cost since there was no prior appropriation for these individual’s health insurance.
Agency code: 733  
Agency name: Texas Tech University

GOAL: 1  Provide Instructional and Operations Support

OBJECTIVE: 1  Provide Instructional and Operations Support

STRATEGY: 4  Workers' Compensation Insurance

Statewide Goal/Benchmark: 2  0

Service Categories:
Service: 19  Income: A.2  Age: B.3

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<td>$620,530</td>
<td>$588,708</td>
<td>$604,620</td>
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FULL TIME EQUIVALENT POSITIONS:

STRATEGY DESCRIPTION AND JUSTIFICATION:
This strategy reflects the university’s assessed allocation of the state’s workers’ compensation funding pool.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:
Agency code: 733  
Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support

OBJECTIVE: 1 Provide Instructional and Operations Support

STRATEGY: 6 Texas Public Education Grants

Service Categories:

- Service: 19
- Income: A.2
- Age: B.3

Statewide Goal/Benchmark: 2 0

**CODE DESCRIPTION**

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<td>$5,412,242</td>
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<tr>
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<td>TOTAL, OBJECT OF EXPENSE</td>
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<td>$6,208,709</td>
<td>$6,233,709</td>
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<td>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</td>
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**FULL TIME EQUIVALENT POSITIONS:**

Total $6,258,709

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Funding associated with the Texas Public Education Grants (TPEG) strategy provides additional student financial assistance. Texas Education Code, Title 3, Chapter 56, Section 56.033 and the General Appropriations Act, Article III, Higher Education Coordinating Board, Section 6, require that 15% of resident student tuition and 3% of nonresident student tuition be set aside for TPEG.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The amount of resident and nonresident tuition collected directly impacts TPEG.
Agency code: 733  
Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support  
OBJECTIVE: 1 Provide Instructional and Operations Support  
STRATEGY: 7 Organized Activities

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TOTAL, OBJECT OF EXPENSE: $518,340

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SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) $518,340

TOTAL, METHOD OF FINANCE (INCLUDING RIDERS) $533,300

TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS) $518,340

FULL TIME EQUIVALENT POSITIONS: 11.4 16.7 14.9 14.9 14.9

STRATEGY DESCRIPTION AND JUSTIFICATION:
This strategy provides funding for the Child Development Research Center (CDRC) in the Department of Human Development and Family Studies (HDFS). The center has six classrooms providing full day services for children six weeks to six years of age and serves as a training, mentoring, and observation site. Since expanding in fall 2006, more than 1,500 students from over 12 disciplines have observed children in the CDRC. Over 700 students from across TTU/HSC have had hands-on experiences in the center. The expansion has allowed for an increase in the number of teachers-in-training who can be mentored in the center, which should assist in the retention of students and the continued increase in graduates in Early Childhood.

The center also serves as a research site for faculty interested in young children. Current research studies include faculty from HDFS, Psychology, and Education. The CDRC recently renewed its accreditation from the National Association for the Education of Young Children. The university’s early childhood teacher education program housed in the HDFS department is also accredited by the National Council for Accreditation of Teacher Educators. Critical to this accreditation is the fact that students are provided with both coursework and hands-on experience with children in the age groups included in the certificate. Few programs in the state of Texas or across the nation offer an infant/toddler component; thus, the CDRC provides nationally recognized excellence for Closing the Gaps.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Internal Factors: In order to maintain accreditation and licensing standards, low teacher/child ratios are necessary in each classroom. In addition, qualified, degreed teachers are needed because the CDRC serves as a site for training students; therefore, it is vital to offer competitive salaries to retain quality teachers.

External factors: The CDRC is dependent upon the parents’ ability to pay their child’s daycare tuition costs. Tuition increases negatively impact the diversity of children attending the CDRC, thus affecting the research as well as the quality of education for the TTU students and the children.
3.A. STRATEGY REQUEST
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

3.A. STRATEGY REQUEST
82nd Regular Session, Agency Submission, Version 1

Agency code: 733
Agency name: Texas Tech University

GOAL: 2 Provide Infrastructure Support
OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space
STRATEGY: 1 Educational and General Space Support

Statewide Goal/Benchmark: 2 0
Service Categories:
Service: 10 Income: A.2 Age: B.3

Efficiency Measures:
1. Space Utilization Rate of Classrooms
   Exp 2009: 35.52  Est 2010: 35.00  Bud 2011: 35.00  BL 2012: 35.00  BL 2013: 35.00
2. Space Utilization Rate of Labs

Objects of Expense:
1001 SALARIES AND WAGES $6,655,193 $7,776,755 $6,227,358 $0 $0
1002 OTHER PERSONNEL COSTS $259,975 $272,823 $243,264 $0 $0
2001 PROFESSIONAL FEES AND SERVICES $80 $0 $0 $0 $0
2002 FUELS AND LUBRICANTS $81,004 $0 $75,799 $0 $0
2003 CONSUMABLE SUPPLIES $173,513 $9,724 $162,438 $0 $0
2004 UTILITIES $216,384 $12,105 $202,466 $0 $0
2007 RENT - MACHINE AND OTHER $1,162 $0 $1,086 $0 $0
2009 OTHER OPERATING EXPENSE $765,967 $12,295 $716,726 $0 $0
5000 CAPITAL EXPENDITURES $254,239 $243,002 $237,891 $0 $0
TOTAL, OBJECT OF EXPENSE $8,407,517 $8,326,704 $7,867,028 $0 $0

Method of Financing:
1. General Revenue Fund
   SUBTOTAL, MOF (GENERAL REVENUE FUNDS) $6,533,584 $6,349,864 $5,999,435 $0 $0

Method of Financing:
770 Est Oth Educ & Gen Inco
   Exp 2009: $1,873,933  Est 2010: $1,976,840  Bud 2011: $1,867,593  BL 2012: $0  BL 2013: $0
   SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED) $1,873,933 $1,976,840 $1,867,593 $0 $0

3.A. Page 10 of 37
3.A. STRATEGY REQUEST
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

Agency code: 733  
Agency name: Texas Tech University

GOAL:  
2  Provide Infrastructure Support  

OBJECTIVE:  
1  Provide Operation and Maintenance of E&G Space

STRATEGY:  
1  Educational and General Space Support

Statewide Goal/Benchmark:  
2  0

Service Categories:

Service: 10  
Income: A.2  
Age: B.3

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STRATEGY DESCRIPTION AND JUSTIFICATION:

Infrastructure Support Formula: Funding associated with plant-related formulas and utilities will be distributed by the infrastructure support formula, which is driven by the predicted square feet for universities’ educational and general activities produced by the Space Projection Model developed by the Texas Higher Education Coordinating Board. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater. The E&G space support strategy is another primary component of providing affordability for students and setting tuition and fees in a manner that closes gaps in participation and success.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Many factors, both external & internal, affect the cost of E&G support. Utility rate changes, extreme weather conditions, & increases to facility sq footage often have a dramatic effect.

Utility funding is primarily for purchasing natural gas, electricity, and water; manufacturing chilled water, steam, treated water, and compressed air; and utility distribution systems repair including maintenance of over 7 miles of utility tunnels. Sq footage demands and fuel increases greatly affect the costs of bldg maintenance and custodial services. Campus improvements affect the cost of Grounds Maintenance. E&G Space Support includes Bldg Maintenance, Grounds Maintenance, Utilities, and Custodial Svcs. Bldg Maintenance includes carpentry, painting, locksmith, heating ventilation air conditioning, plumbing, electrical, and insulation. Grounds includes street, bumper and sign maintenance, irrigation, tree and shrub pruning, and mowing. Custodial cleans approximately 5 million sq feet each working day.
Agency code: 733  
Agency name: **Texas Tech University**

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<td>$9,230,357</td>
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**Method of Financing:**

|      | General Revenue Fund               | $10,686,673 | $10,375,117 | $10,382,243 | $9,230,357 | $9,242,556 |
|      | SUBTOTAL, MOF (GENERAL REVENUE FUNDS) | $10,686,673 | $10,375,117 | $10,382,243 | $9,230,357 | $9,242,556 |

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**: $9,230,357 $9,242,556

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**: $10,686,673 $10,375,117 $10,382,243 $9,230,357 $9,242,556

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**
This strategy provides for the retirement of debt authorized by the Texas Education Code, Sections 55.17 (e) (2)-(4), 55.1739 and 55.1759 for Revenue Financing System Bonds. Proceeds from the authority granted by the 73rd Legislature were used to build a library archival facility at the University and a library for the HSC (Expended 2009 - $2,062,489; estimated 2010 - $2,063,305; budgeted 2011 - $2,067,644; requested 2012 - $2,070,232; requested 2013 - $2,071,595).

Proceeds from the authority granted by the 75th Legislature in 1997 were used to construct educational facilities, including an English/Philosophy/Education complex and a visitors’ center (Expended 2009 - $1,740,792; estimated 2010 - $1,740,462; budgeted 2011 - $1,736,474; requested 2012 - $1,728,300; requested 2013 - $1,728,360).

Proceeds from the authority granted by the 77th Legislature in 2001 were used to construct an Experimental Sciences building (Expended 2009 - $1,868,900; estimated 2010 - $1,867,000; budgeted 2011 - $1,868,575; requested 2012 - $1,868,075; requested 2013 - $1,869,950).

Proceeds from the authority granted by the 79th Legislature, 3rd Called Session in 2006 are being used to renovate a classroom building, construct a College of Business Building, and construct a Law School trial advocacy/education center (Expended 2009 - $5,014,492; estimated 2010 - $4,704,350; budgeted 2011 - $4,709,550; requested 2012-$3,563,750; requested 2013 - $3,572,650).

Debt service for outstanding TRBs has been requested based on actual, known TRB debt service requirements for FY 2012 and 2013.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Legislative authorization of tuition revenue bonds for construction and renovations and the funding the debt service are the factors impacting this strategy.
### 3.A. STRATEGY REQUEST

**DATE:** 10/18/2010  
**TIME:** 3:05:26PM  
82nd Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

---

**Agency code:** 733  
**Agency name:** Texas Tech University

**GOAL:** 3  
Provide Special Item Support

**OBJECTIVE:** 1  
Instructional Support Special Item Support

**STRATEGY:** 1  
Library Archival Support

**Statewide Goal/Benchmark:** 2 0

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<th>Age:</th>
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<td>B.3</td>
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**CODE**  
**DESCRIPTION**  
**Exp 2009**  
**Est 2010**  
**Bud 2011**  
**BL 2012**  
**BL 2013**

**Objects of Expense:**

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**TOTAL, OBJECT OF EXPENSE**  
$716,915  
$730,404  
$692,947  
$711,675  
$711,675

**Method of Financing:**

1. General Revenue Fund  

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**  
$716,915  
$730,404  
$692,947  
$711,675  
$711,675

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**  
$711,675  
$711,675  

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**  
$716,915  
$730,404  
$692,947  
$711,675  
$711,675

**FULL TIME EQUIVALENT POSITIONS:**  
14.9  
13.7  
11.5  
11.5  
11.5

**STRATEGY DESCRIPTION AND JUSTIFICATION:**
The Vietnam Center and Archive contains 25 million pages of historical material regarding the American Vietnam experience including such items as government and military records, personal materials, correspondence, diaries, periodicals, memoirs, manuscripts, and the most comprehensive collection in the world of research microfilm related to the Vietnam War. The Archive is a collection of material on all aspects of the Vietnam War, especially those related to the role of Texans during this important period in history. Scholars conduct oral history interviews to preserve what veterans and others remember about the events. Materials are used by students, teachers, scholars, veterans, and the public and are also available through the Internet and Virtual Vietnam Archive, which contains 3 million pages of free digital material. Resources of the Vietnam Center contribute directly to student and faculty success and research in the departments of history, political science, and numerous other disciplines and are especially important for students studying at the M.A. and Ph.D. levels. In addition, the Archive has provided important information that continues to contribute to contemporary operations in the Global War on Terror and to the resolution of MIA cases in Southeast Asia. The Center sponsors conferences and symposia and provides a neutral setting for discussions which create a better understanding of these historical events. Work has been recognized by both the US State Department and the Vietnamese government as having made important contributions to postwar reconciliation.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External Factors: The Vietnam Center and Archive remains vital in the state and nation and is internationally recognized as the most significant project outside the federal government related to the Vietnam War. The Vietnam Center receives strong support from principal figures involved in the war and its aftermath. US Ambassador to Vietnam, Michael Michalak, invited the Vietnam Center to not only participate in two annual conferences on higher education in Vietnam but also to organize an international conference in Hanoi, Vietnam. During these opportunities, the Center recruited international students from Vietnam to attend TTU and created more occasions to establish joint academic programs and research opportunities between TTU departments and Vietnamese universities. The Vietnam Center supports summer study abroad in Southeast Asia and provides scholarships and mentorship to TTU students to engage in study abroad and in research related to degree programs.

Internal Factors: The Center employs and mentors 15 student and graduate student assistants and supports the presentation of graduate student research at annual conferences and symposia.
### 3.A. STRATEGY REQUEST

**Automated Budget and Evaluation System of Texas (ABEST)**

**DATE:** 10/18/2010  
**TIME:** 3:05:26PM  
**82nd Regular Session, Agency Submission, Version 1**

**Agency code:** 733  
**Agency name:** Texas Tech University

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<tr>
<th>O/B</th>
<th>Service Categories</th>
<th>Statewide Goal/Benchmark</th>
<th>GOAL:</th>
<th>Provide Special Item Support</th>
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<td>Service:</td>
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**STRATEGY:** 1 Research to Enhance Ag Production & Add Value to Ag Products in Texas

**CODE** | **DESCRIPTION** | **Exp 2009** | **Est 2010** | **Bud 2011** | **BL 2012** | **BL 2013**
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<td><strong>$2,226,787</strong></td>
<td><strong>$2,112,593</strong></td>
<td><strong>$2,169,690</strong></td>
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**Method of Financing:**

1. General Revenue Fund  
   - **$2,230,589**  
   - **$2,226,787**  
   - **$2,112,593**  
   - **$2,169,690**  
   - **$2,169,690**

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**  
   - **$2,230,589**  
   - **$2,226,787**  
   - **$2,112,593**  
   - **$2,169,690**  
   - **$2,169,690**

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**  
   - **$2,169,690**  
   - **$2,169,690**

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**  
   - **$2,230,589**  
   - **$2,226,787**  
   - **$2,112,593**  
   - **$2,169,690**  
   - **$2,169,690**

**FULL TIME EQUIVALENT POSITIONS:**

- **48.2**  
- **50.4**  
- **41.7**  
- **41.7**  
- **41.7**
STRATEGY DESCRIPTION AND JUSTIFICATION:

West Texas and the High Plains region is one of the most intensive agricultural production areas in Texas and the world. However, due to increased global competition, escalated production/input/energy/technology costs, uncertainties of farm and trade policies, and declining supplies of underground water resources, the economic viability of the Texas agricultural industry is increasingly dependent on applied research and technology transfer. Texas Tech University researchers are uniquely qualified and positioned to provide interdisciplinary research leadership and expertise required for enhancing the viability of Texas agriculture, entrepreneurial skills and job creation in rural and urban communities.

Cooperative research programs link TTU, Texas AgriLife Research, Texas AgriLife Extension USDA-Agricultural Research Service scientists and business communities to maximize use of state resources to develop nationally recognized programs and to increase the level of federal funding to TTU and partnering Texas institutions. Programs supported under this line will focus on Closing the Gaps in research excellence in critical aspects of food, fiber, natural resources, and environmental sciences, in application of research discoveries, and in commercialization of new technologies. Specific research thrusts will include: water, alternative energy, bioproducts, rangeland, crop, forage, animal, wildlife, natural resource management systems, food product safety and development, economics of farm policy and trade, precision resource management, genomics, and textile technology.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

The forty counties that make up the High Plains region of West Texas annually account for over one-half of the agricultural cash receipts in the state. This region is one of the most intensive agricultural production areas in Texas and the world, with a $30 billion economic impact. Texas High Plains is home to the world’s largest cattle feedlot industry and supports the production of cotton, sorghum, corn, wheat, and vegetables. 25% of the nation’s cotton and 56% of the state’s cotton is produced on the High Plains, with the cash value of harvested cotton exceeding $1 billion. 70% of the cattle fed in Texas are in feedlots in the High Plains, amounting to 27% of the fed beef in the US. In recent years, many more enterprises such as dairy, poultry, alternative energy, and ecotourism have been attracted to the region. Erratic shifts among agricultural enterprises, heavy dependence on production agriculture, declining groundwater supplies, increasing production costs, and increased competition threaten the future economic viability of this region. Agricultural enterprises of tomorrow need to include more diverse operations drawing benefits from a number of enterprises including traditional row crops, forages, animal agriculture, and wildlife. Opportunities to add value to agricultural products through new processing and manufacturing enterprises also need to be capitalized. Research is urgently needed to protect the economic integrity of the region through a systems-based approach of developing new and enhanced management strategies and enterprises.
Agency code: **733**  
Agency name: **Texas Tech University**

**GOAL:** 3 Provide Special Item Support  
**OBJECTIVE:** 2 Research Special Item Support  
**STRATEGY:** 2 Research in Energy Production and Environmental Protection in Texas

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 21 Income: A.2 Age: B.3

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**FULL TIME EQUIVALENT POSITIONS:** 18.2 17.4 11.0

**Method of Financing:**  
1 General Revenue Fund  
**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)** $1,013,647 $943,594 $895,205 $919,400 $919,400

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)** $919,400 $919,400

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)** $1,013,647 $943,594 $895,205 $919,400 $919,400

**FULL TIME EQUIVALENT POSITIONS:** 18.2 17.4 11.0
Research into sustainable, reasonably priced, environmentally friendly sources of energy and water are vital to the future of Texas and the maintenance of a healthy, sustainable economy. The Water Resources Center (WRC) directs interdisciplinary research in water quantity and quality; regulatory and resource allocation policy; watershed management; production and treatment of brackish water for potable supply; water reuse and recycling; and remediation of contaminated soil and water. The Wind Science and Engineering Research (WISE) Center has established both an international repository for wind-damage documentation and a field site for advanced wind research related to wind loads on civil structures and wind power development. Research has improved the national engineering and design codes for structures to resist the destructive forces of hurricanes and tornadoes. WISE supports the rapid growth of wind power development through research related to the performance and reliability of wind turbine systems, as well as using wind power to desalinate brackish water supplies. The Cooperative Biological Research Database stores biological data that can be retrieved and analyzed to assist decision makers on issues including conservation, pollution control, agriculture, public health, aesthetics, education, and economic development. The Whitacre College of Engineering efforts in the areas of solar energy, biofuels, large and small scale energy storage will provide numerous opportunities for the state of Texas to be competitive in both job creation and economic development.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors affecting this strategy include changes in energy demand and policy, population change, competing demands for limited water supplies in an important agricultural area, the prices of oil and natural gas, governmental regulations, and potential terrorist activities. Recent events in the Gulf of Mexico have the potential to shift the focus on renewable and environmentally friendly sources of energy even more than its current levels. External factors that affect water resources include new water demands for biofuel and dairy developments, incentives for brackish water development, state-mandated regional water planning, future climate variability, persistence of local drought conditions, changes in state water rights, changes in drinking water standards, and transboundary issues. Significant external factors that impact wind research include population growth in storm prone areas, the rapid growth in wind power to meet electrical power needs (Texas ranks first in the U.S. for installed wind power capacity), the growing need for localized atmospheric data by a variety of users, and the homeland security threat of airborne toxins and pathogens. Internal factors that may affect this strategy are the availability of US citizens who are allowed to conduct proprietary federal research in these competitive areas, and continued state financial assistance with seed research, bridge funding, strategic hiring, and graduate student support. Future alternative and renewable sources of energy will be a critical focal point of research in Texas and the nation.
### Agency code: 733  
Agency name: Texas Tech University

**GOAL:** 3. Provide Special Item Support

**OBJECTIVE:** 2. Research Special Item Support

**STRATEGY:** 3. Research in Emerging Technologies and Economic Development in Texas

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**Method of Financing:**

1. General Revenue Fund  
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   - $340,911  
   - $292,368  
   - $316,640  
   - $316,640

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**  
   - $271,334  
   - $340,911  
   - $292,368  
   - $316,640  
   - $316,640

**Method of Financing:**

369. Fed Recovery & Reinvestment Fund  
   - 84,397,000 Stabilization - Govt Services - Stm  
   - $0  
   - $1,284,061  
   - $2,715,939  
   - $0  
   - $0

**CFDA Subtotal, Fund 369**  
   - $0  
   - $1,284,061  
   - $2,715,939  
   - $0  
   - $0

**SUBTOTAL, MOF (FEDERAL FUNDS)**  
   - $0  
   - $1,284,061  
   - $2,715,939  
   - $0  
   - $0
Agency code: 733  
Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support
OBJECTIVE: 2 Research Special Item Support
STRATEGY: 3 Research in Emerging Technologies and Economic Development in Texas

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STRATEGY DESCRIPTION AND JUSTIFICATION:

Funding from this line item will be used to stimulate the economy of Texas with an emphasis on areas of high economic interest to the state. Collectively, these programs can have a significant positive impact on the Texas economy by improving the human condition, by assisting business, health care, and manufacturing entities, by creating and transferring new technologies, and by developing more effective marketing strategies for private sector enterprises. Faculty will conduct basic and applied research and provide service in areas compatible with state needs. Current projects supported by the line item include: research in nanotechnology, to combat antibiotic resistance in bacteria, a framework for career planning for high school students in the State of Texas, a collegiate substance abuse recovery program, improving hygiene in restaurants, nutrition research, recruiting and mentoring mathematics students, developmental neuroimaging technologies, family therapy for children, domestic adjustment and family financial planning with military families, market research and development of the organic food industry, domestic violence intervention, career factors in family adjustment, and development of new food processing technologies. Funds from this line item are leveraged through the development of proposals for funding from federal, state, and private sources.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors impacting this strategy include availability of federal funding for the programs funded by this line item, the ability of the University to successfully attract and retain faculty with expertise in these areas, potential increases in matching requirements by federal agencies, the amount of federal money directed to new faculty initiatives, timelines of federal budget approval and adoption, and the degree to which the state will provide matching for industry/state/university research and development initiatives. Additional external factors impacting the strategy include the adequate capitalization of emergent technologies developed at TTU.

Internal factors impacting the strategy are the availability of the research and development team to make the product scientifically sound and commercially viable. Additional internal factors impacting the strategy include the strategic investment, direction and allocation of resources within the University.
Agency code: 733  
Agency name: Texas Tech University

GOAL: 3  Provide Special Item Support  
OBJECTIVE: 2  Research Special Item Support  
STRATEGY: 10  Competitive Knowledge Fund

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 21  Income: A.2  Age: B.3

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Total, Method of Finance (excluding riders): $2,965,865

Texas Tech University’s priority objective is to recruit additional highly qualified and skilled faculty members in order to improve the undergraduate experience and to enhance the graduate and research mission of the institution. This appropriation funds faculty who are enhancing, expanding and improving the teaching and research mission of the university. The university leverages these funds with other resources to recruit and retain high impact faculty. It is critical that the university retain and increase this funding to support the state’s goals of improving participation, graduation, research, and excellence in Texas higher education.

The competitive knowledge strategy is a principal element for closing gaps in success by providing high quality curriculums. This strategy will directly support increasing graduates in critical fields and the retention of top students.

External/Internal Factors IMPACTING STRATEGY:

Salaries are recurring expenses. The faculty members hired with this appropriation are making contributions to the university’s mission; therefore, they are contributing to the state’s goals for higher education. If these funds are not appropriated, the educational effectiveness of the university will suffer and the expectations of our external constituencies will not be met.
**Agency code:** 733  
**Agency name:** Texas Tech University

**GOAL:** 3 Provide Special Item Support  
**OBJECTIVE:** 3 Public Service Special Item Support  
**STRATEGY:** 1 Junction Annex Operation

### Service Categories:

Statewide Goal/Benchmark: 2 0

### Service: 19 Income: A.2 Age: B.3

#### CODE DESCRIPTION

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#### Method of Financing:

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**STRATEGY DESCRIPTION AND JUSTIFICATION:**
3.A. STRATEGY REQUEST
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

1 Junction Annex Operation

GOAL: 3 Provide Special Item Support
OBJECTIVE: 3 Public Service Special Item Support
STRATEGY: 1 Junction Annex Operation

Agency code: 733   Agency name: Texas Tech University

Statewide Goal/Benchmark: 2  0
Service Categories:  
Service: 19  Income: A.2  Age: B.3


This strategy supports graduate and undergraduate programs, research, and special initiatives at the TTU Center in Junction and provides support and degree opportunities for 150 students and faculty in under-served communities in the western Hill Country region in general academics, field science programs, teacher professional development courses, and K-12 enrichment programs. TTU Junction is the premier location in the TTU system for field science study and undergraduate research in field biology and ecology. This strategy partially funds operations of the Llano River Field Research Station which currently hosts students and scientists from several universities involved in research projects focused on water and watershed issues.

TTU Junction also supports training of local and state agency officials and staff/faculty of multiple institutions. The state’s higher education goals are met by providing access to rural, place-bound students, promoting research as an economic engine for rural development, and supporting workforce development training offered through partner community colleges. Innovative, personal, and continuous advising is used to increase retention of non-traditional students.

More than 1500 students and teachers annually attend Outdoor School, a K-12 field-based science and math enrichment program. This experience is also used to train and mentor pre-service, undergraduate education students and has improved science and math education for over 40 rural school districts. The site also hosts highly acclaimed science training of public school teachers.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

TTU Center at Junction provides conference facilities for large academic and scientific meetings which draw national and international participants.
### Agency code: 733
### Agency name: Texas Tech University

#### GOAL:
- 3 Provide Special Item Support

#### OBJECTIVE:
- 3 Public Service Special Item Support

#### STRATEGY:
- 2 Hill Country Educational Network

#### CODE DESCRIPTION

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#### Method of Financing:

1. General Revenue Fund
   - $625,153
   - $621,563
   - $589,688
   - $605,625
   - $605,625

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**

- $625,153
- $621,563
- $589,688
- $605,625
- $605,625

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**

- $605,625
- $605,625

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**

- $625,153
- $621,563
- $589,688
- $605,625
- $605,625

**FULL TIME EQUIVALENT POSITIONS:**

- 9.6
- 8.9
- 8.6
- 8.6

**STRATEGY DESCRIPTION AND JUSTIFICATION:**
## 3.A. STRATEGY REQUEST

*82nd Regular Session, Agency Submission, Version 1*

Automated Budget and Evaluation System of Texas (ABEST)

### DATE: 10/18/2010

**TIME:** 3:05:26PM

**82nd Regular Session, Agency Submission, Version 1**

### Hill Country Educational Network

**STRAtegy:**

**OBJECTIVE:** Provide Special Item Support

**GOAL:** Public Service Special Item Support

**STRATEGY:** Hill Country Educational Network

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|------|-------------|----------|----------|----------|---------|---------|

Through the expansion of the TTU Hill Country Educational Network located in Fredericksburg, Marble Falls, and the TTU Center at Junction, this strategy supports the goals of Texas higher education by promoting access to higher education for students in rural areas who are underserved because of geographic separation from existing campuses and the inability to relocate due to financial hardship. By supporting regional community college and other university partners, the network provides the only public upper-division and graduate academic courses, degrees, and certificates locally available to the residents of the 14 counties comprising the Texas Hill Country. The Network particularly serves Hill Country teachers, public school administrators, and nurses desiring to upgrade educational qualifications, as well as working adults/place-bound students desiring to complete bachelor’s or master’s degrees. During the last biennium, the number of degree and certificate programs offered was significantly increased for high-need programs, such as the doctorate in education (for public school officials), the master's degree in education (guidance and counseling), and the undergraduate degree in teaching (ESL and bilingual).

Retention (and resulting improved graduation rates) is emphasized by advisors and faculty through implementation of a "bridging" form of advising in which university and community college advisors work closely to jointly mentor and advise students from initial enrollment in the community college through completion of the bachelor's degree.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Texas Hill Country is one of the fastest growing rural regions in the U.S., and the 14 counties of the Hill Country region are underserved by public institutions of higher education. Community colleges provide out-of-district services, but students seeking undergraduate and graduate degrees are forced to drive hours to reach public universities in Austin, San Antonio and San Angelo. With the TTU Hill Country Educational Network funding, TTU fills this void.
### 3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/18/2010
TIME: 3:05:26PM

**Agency code:** 733  
**Agency name:** Texas Tech University

#### GOAL:
3  Provide Special Item Support

#### OBJECTIVE:
3  Public Service Special Item Support

#### STRATEGY:
3  Small Business Development Center

#### Statewide Goal/Benchmark:
2 0

#### Service Categories:

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#### Service: 13  Income: A.2  Age: B.3

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**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**

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**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**

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**STRATEGY DESCRIPTION AND JUSTIFICATION:**
The Small Business Development Center (SBDC) program is the largest management and technical assistance program to the small business sector in the United States. The Northwest Texas SBDC (NWTSBDC), housed at Texas Tech University, provides in-depth business counseling and training for small businesses within the 95 county service area. The services provided to the small business community have expanded beyond basic business counseling to include: International Trade Assistance, Manufacturing Assistance, Government Contracting, Technology Transfer, Technology/Internet assistance, Defense Transition assistance, Minority Business Assistance, and Community Economic Development and Rehabilitation.

With the continuing struggle faced by the rural communities of Northwest Texas, the NWTSBDC has focused on rural small business development as its primary role in supporting the economic growth of the region. Due to the disadvantages rural businesses face in today’s competitive business climate, the NWTSBDC provides extensive counseling and training assistance to rural businesses in business plan development, e-commerce development and business competitiveness on a global scale.

Over the next 2 years the Northwest Texas SBDC will counsel over 6,050 clients, provide training to over 10,000 small business seminar attendees, assist with over 630 new business starts, expansions and saves as well as assist in the creation of over 2,900 new jobs within the region.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The SBDC program was created by an act of Congress in 1978. The enabling legislation requires that the recipient organization match the Small Business Administration (SBA) and the Defense Logistics Agency (DLA) provided funds on a one-for-one basis. The US Department of Commerce (USDOC) requires a two-for-one matching for the Texas Manufacturing Assistance Center (TMAC) program. Texas Tech University has a requirement to match $682,732 in SBA funding for the SBDC program, $302,315 in USDOC funding, and $115,395 in DLA funding each year to continue to provide the above-mentioned services to the small businesses of the 95 county service area.

Although it is obvious that a loss of Line Item funding will prohibit the SBDC in acquiring or expanding the above-mentioned new programs, there are other consequences. If the State of Texas does not fund this program, then an equal amount of funding will be lost from federal and local sources. This massive funding decrease would result in a major reduction in program services and would cause the NWTSBDC to:

- Serve 3,000 - 4,000 fewer clients.
- Assist 300 - 400 fewer new business starts, expansions and saves.
- Serve 6,000 - 9,000 fewer seminar attendees.
- Assist in the creation of 1,500 - 2,000 fewer new jobs.
### STRATEGY REQUEST

#### Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/18/2010  
**TIME:** 3:05:26PM

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

---

**Agency code:** 733  
**Agency name:** Texas Tech University

**GOAL:** 3 Provide Special Item Support  
**OBJECTIVE:** 3 Public Service Special Item Support  
**STRATEGY:** 4 Museums and Historical, Cultural, and Educational Centers

**Statewide Goal/Benchmark:** 2 0  
**Service Categories:**  
**Service:** 04  
**Income:** A.2  
**Age:** B.3

### CODE DESCRIPTION

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**TOTAL, OBJECT OF EXPENSE**  
$2,194,960 $2,084,193 $1,977,311 $2,030,752 $2,030,752

### Method of Financing:

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**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**  
$2,194,960 $2,084,193 $1,977,311 $2,030,752 $2,030,752

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**  
$2,030,752 $2,030,752

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**  
$2,194,960 $2,084,193 $1,977,311 $2,030,752 $2,030,752

**FULL TIME EQUIVALENT POSITIONS:**  
54.0 52.5 52.4 51.4 51.4

### STRATEGY DESCRIPTION AND JUSTIFICATION:
Agency code: 733  
Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support
OBJECTIVE: 3 Public Service Special Item Support
STRATEGY: 4 Museums and Historical, Cultural, and Educational Centers

|------|-------------|----------|----------|----------|---------|---------|

Statewide Goal/Benchmark: 2 0
Service Categories:
Service: 04  Income: A.2  Age: B.3

The Museum, Lubbock Lake Landmark, National Ranching Heritage Center, and International Cultural Center are integral elements of the TTU academic mission for academic and social research for students and faculty through collections, exhibitions, and programs. Their activities support and enhance the mission of TTU in a fundamental way by offering instruction and learning opportunities for K-16 students. They serve as a primary facility for addressing issues about economic trends, changes in education, transitions in family values and lifestyles, and new directions in science and technology. Their role as research facilities is unparalleled because of the diversity and interdisciplinary nature of the programs.

TTU graduate and undergraduate students assist the NRHC staff as interns and practicum students in order to achieve NRHC’s research and academic mission while fulfilling students’ requirements for graduating. The NRHC currently is conducting a multi-year research program to study the socio-economic impact of wind farm leases on ranching families and operations.

The International Cultural Center advises and mentors international students and faculty coming to TTU, thus aiding in the retention of the university’s culturally diverse population.

The Museum’s internationally recognized graduate Museum Science and Heritage Management programs support and enhance the university mission through education, mentoring, and training professionals for entry into the global market, which is reflected in a growing number of graduate degrees awarded in those programs.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors affecting the Museum and Centers include weather, economy, traveling public, school funding for field trips, revenue from support organizations, and corporate, non-profit and individual donors.

Internal factors include priority of financial and human resources for preservation; faculty, graduate, and public education programs; graduate recruitment and retention efforts; and special events that generate statewide and international exposure.
### STRATEGY REQUEST

**Automated Budget and Evaluation System of Texas (ABEST)**

#### 3.A. STRATEGY REQUEST

**DATE:** 10/18/2010  
**TIME:** 3:05:26PM

**Agency code:** 733  
**Agency name:** Texas Tech University

**GOAL:** 3 Provide Special Item Support

**OBJECTIVE:** 3 Public Service Special Item Support

**STRATEGY:** 6 Center for Financial Responsibility

**Statewide Goal/Benchmark:** 2 0

**Service Categories:**
- Service: 19
- Income: A.2
- Age: B.3

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**TOTAL, OBJECT OF EXPENSE:** $219,554 $234,001 $222,001 $228,000 $228,000

### Method of Financing:

1. **General Revenue Fund**
   - $219,554 $234,001 $222,001 $228,000 $228,000

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**
- $219,554 $234,001 $222,001 $228,000 $228,000

**TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)**
- $228,000 $228,000

**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**
- $219,554 $234,001 $222,001 $228,000 $228,000

**FULL TIME EQUIVALENT POSITIONS:**
- 2.9 3.0 3.0 3.0 3.0

### STRATEGY DESCRIPTION AND JUSTIFICATION:

3.A. Page 31 of 37
6 Center for Financial Responsibility

The overall savings rate for families and individuals in the U.S. reached zero for the first time since The Depression. Savings rates are low in Texas, particularly among rapidly increasing minority populations. The impact on the economy from groups so large but so poorly prepared for functioning within our workforce will be significant. At the same time, the retirement of approximately 75 million Baby Boomers is increasing as demands on government and private retirement systems. Many of those retirement plans include eligibility for health care such as Medicare. Less than 25% of today's workers are saving for retirement in amounts sufficient to maintain their standard of living after retiring with 43% having saved less than $10,000. The impact on Texas businesses and tax revenues as poorly prepared citizens meet the financial challenges of retirement will be devastating.

The documented deficit in financial literacy among Texas citizens impacts family and individual financial well-being as well as business activity leading to serious economic problems for Texas. The Texas legislative requirement for financial literacy in high school curricula emphasizes the recognition of the problem. However, the impact of that action will be felt over a longer period of time as those individuals practice better financial behaviors such as lower debt, increased saving for retirement and having sufficient insurance for health care, vehicles and dwellings.

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

External factors include economic and demographic conditions that require families to assume greater financial responsibility and the legislative agendas to increase financial literacy among high school graduates. Both areas encompass issues that are crucial to Texas citizens and the economy. Internal factors include the importance of research and service that directly benefit the role of TTU as an emerging Tier One university. Financial literacy is essential for matriculation success of first generation college students. Management of credit and debt are critical in reaching those goals. Research conducted by the CFR has demonstrated the devastating effect of credit abuse on student success. Research and education programs also influence graduate school enrollments since debt accumulation during undergraduate education negatively affects success in graduate schools throughout Texas. The Personal Financial Planning (PFP) area includes 3 levels of degrees within the College of Human Sciences (B.S., M.S. and Ph.D.), three dual degrees with the Rawls College of Business Administration, a dual degree with the School of Law and minors in doctoral programs in Business Administration and Agricultural & Applied Economics (AAE). There is also a minor in PFP within the B.S. in AAE. Of the over 300+ Certified Financial Planner (CFP) Board-registered programs throughout the US, no other institution has the breadth, depth and national reputation of TTU.
### Strategy Request

#### Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/18/2010  
**TIME:** 3:05:26PM

**Agency code:** 733  
**Agency name:** Texas Tech University

**GOAL:** 3 Provide Special Item Support  
**OBJECTIVE:** 4 Institutional Support Special Item Support  
**STRATEGY:** 1 Institutional Enhancement

**Statewide Goal/Benchmark:** 2 0  
**Service Categories:**  
**Service:** 19  
**Income:** A.2  
**Age:** B.3

### Code Description

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**TOTAL, OBJECT OF EXPENSE**  
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$7,159,373  
$6,647,837  
$9,533,814  
$9,533,814

### Method of Financing:

1 General Revenue Fund  
$10,619,847  
$7,097,643  
$6,600,609  
$9,533,814  
$9,533,814

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS)**  
$10,619,847  
$7,097,643  
$6,600,609  
$9,533,814  
$9,533,814

**Method of Financing:**

- **269** Tx Tech Univ Sp Min Acct  
$49,498  
$61,730  
$47,228  
$0  
$0

**SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)**  
$49,498  
$61,730  
$47,228  
$0  
$0

### Rider Appropriations:

269 Tx Tech Univ Sp Min Acct
### 1. Institutional Enhancement

**Goal:** Provide Special Item Support

**Objective:** Institutional Support Special Item Support

**Strategy:** Institutional Enhancement

**Agency Code:** 733

**Agency Name:** Texas Tech University

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**TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)**

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**FULL TIME EQUIVALENT POSITIONS:**

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**Strategy Description and Justification:**

This special line item supports research and teaching for the purpose of enhancing the academic infrastructure. The funds appropriated under this strategy strengthen Texas Tech University’s ability to continually recruit, retain, and graduate students who are equipped to meet local and global challenges. These challenges could not be met without funding for materials and equipment, including the acquisition of online databases and the appointment and retention of quality faculty and staff for TTU’s main and satellite campuses.

**External/Internal Factors Impacting Strategy:**

- Internal factors include the growth of enrollment at both the main campus and satellite campuses.
- External factors include the number and level of grants awarded from federal, state and private sources.
Agency code: 733  
Agency name: Texas Tech University

GOAL: Research Development Fund  
OBJECTIVE: Research Development Fund  
STRATEGY: Research Development Fund

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<td>$7,312,686</td>
<td>$2,299,212</td>
<td>$7,437,380</td>
<td>$4,868,296</td>
<td>$4,868,296</td>
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</table>

Method of Financing:

1. General Revenue Fund

<table>
<thead>
<tr>
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<th>Method of Financing (General Revenue Funds)</th>
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<tbody>
<tr>
<td>Exp 2009</td>
<td>$7,312,686</td>
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<tr>
<td>Est 2010</td>
<td>$2,299,212</td>
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<td>Bud 2011</td>
<td>$7,437,380</td>
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<tr>
<td>BL 2012</td>
<td>$4,868,296</td>
</tr>
<tr>
<td>BL 2013</td>
<td>$4,868,296</td>
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</table>

TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)  
$4,868,296  

TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)  
$4,868,296  

FULL TIME EQUIVALENT POSITIONS:  
67.4  
40.8  
46.8  
43.8  
43.8
## 3.A. STRATEGY REQUEST

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

---

**Agency code:** 733  
**Agency name:** Texas Tech University

### GOAL:
5  
Research Development Fund

### OBJECTIVE:
1  
Research Development Fund

### STRATEGY:
1  
Research Development Fund

|------|-------------|----------|----------|----------|---------|---------|

---

**Statewide Goal/Benchmark:**
2 0

**Service Categories:**

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<thead>
<tr>
<th>Service</th>
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</thead>
<tbody>
<tr>
<td>21</td>
<td>A.2</td>
<td>B.3</td>
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</table>

---

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Stimulating the generation of innovative new research opportunities is vital to increasing the research capacity at Texas Tech University. Research Development Funding (RDF) has enabled Texas Tech to provide faculty researchers funding opportunities to develop new multidisciplinary programs that have the potential to introduce novel areas of academic activity at Texas Tech. Targeted lines have been directed to identified areas of research excellence and to core research equipment. RDF has also provided critical start-up funding for research efforts by new faculty, which has helped expedite departmental research program development. Through these efforts, TTU is strategically investing in areas of the University with the ultimate goal of growing externally funded research.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Internal: Funding is based on a 3-year rolling average of restricted research expenditures. Research faculty at Texas Tech University are aggressively increasing their externally funded research, which will enable an increase to the University’s proportionate allocation of RDF.

External: Growth to the State’s overall appropriation to the RDF would strongly impact the University’s effectiveness in obtaining externally funded research.
<table>
<thead>
<tr>
<th>SUMMARY TOTALS:</th>
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<th></th>
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<tbody>
<tr>
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<td>$186,163,151</td>
<td>$183,358,505</td>
<td>$187,935,952</td>
<td>$47,203,822</td>
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<td>METHODS OF FINANCE (INCLUDING RIDERS):</td>
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<td>METHODS OF FINANCE (EXCLUDING RIDERS):</td>
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### RIDER STRATEGY

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<tr>
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<tbody>
<tr>
<td>5 1</td>
<td>Special Mineral Fund</td>
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<td></td>
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<td></td>
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<tr>
<td>3-4-1</td>
<td>INSTITUTIONAL ENHANCEMENT</td>
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<td>$49,498</td>
<td>$59,332</td>
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<tr>
<td>269</td>
<td>Tx Tech Univ Sp Min Acct</td>
<td>$49,498</td>
<td>$59,332</td>
<td>$46,982</td>
<td>$54,000</td>
<td>$54,000</td>
</tr>
<tr>
<td><strong>Total, Method of Financing</strong></td>
<td><strong>$49,498</strong></td>
<td><strong>$59,332</strong></td>
<td><strong>$46,982</strong></td>
<td><strong>$54,000</strong></td>
<td><strong>$54,000</strong></td>
<td></td>
</tr>
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</table>

**Description/Justification for continuation of existing riders or proposed new rider**
<table>
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<tr>
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<tbody>
<tr>
<td></td>
<td></td>
<td>$49,498</td>
<td>$59,332</td>
<td>$46,982</td>
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<td>Method of Financing Total</td>
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</table>
| 3                    | III-139                   | **Texas Tech University Museum.** Out of funds appropriated above in Strategy C.3.4. for the Museums and Historical, Cultural and Educational Centers, $505,988,533,565 in fiscal year 2012 and $411,886,433,565 in fiscal year 2013 shall be allocated to the Ranching Heritage Center, and $253,516,266,859 in each fiscal year shall be allocated to the Lubbock Lake Landmark and at least $50,000 per year will be allocated to the Vietnam Center and Archive.  

*This rider is revised to reflect the proper fiscal years and allocation amounts for the appropriation authority.* |
| 4                    | III-139                   | **Appropriation of Special Mineral Fund.** The balance of funds on hand for the year ending August 31, 2011, (estimated to be $0) in the Texas Tech University Special Mineral Fund No. 269 and any income during the biennium beginning September 1, 2011, deposited to that fund are appropriated in the funds above to Texas Tech University for the general operations of the University. Mineral Funds are estimated to be $54,000,000 in fiscal year 2012 and $54,000,000 in fiscal year 2013.  

*This rider is revised to reflect the proper fiscal years for the appropriation authority and the changes in estimates for FY 2012 & 2013.* |
Texas Tech University

**CODE** | **DESCRIPTION** | **Excp 2012** | **Excp 2013**
---|---|---|---
| 03-02-03 Research in Emerging Technologies and Economic Development in Texas | 3,522,250 | 3,522,250 |
| 2009 OTHER OPERATING EXPENSE | 477,750 | 477,750 |

**TOTAL, OBJECT OF EXPENSE** | $4,000,000 | $4,000,000 |

**METHOD OF FINANCING:**

| 1 | General Revenue Fund | 4,000,000 | 4,000,000 |

**TOTAL, METHOD OF FINANCING** | $4,000,000 | $4,000,000 |

**FULL-TIME EQUIVALENT POSITIONS (FTE):**

| 73.40 | 73.40 |

**DESCRIPTION / JUSTIFICATION:**

This funding is a request for continuation of the graduate research fellowship initiative for Texas Tech University (TTU) that was initially supported through the 2009 approved Texas General Appropriations Act, Article XII, Section 25, ARRA funding. The Texas workforce is being strengthened through these graduate fellowships in areas of great importance to West Texas and the State. In FY2010, 106 graduate students were recruited under the program, with an additional 50 expected Fall 2010. Since inception in Fall 2009, recipients have submitted proposals to 20 external federal, state and private agencies with five awards received so far, including two prestigious National Defense Science and Engineering Graduate Fellowships.

New and continuing fellowships will again be focused in areas significant to the growth of innovation and intellectual capital. Alternative energy, environmental toxicology, math, science, engineering, legal and agricultural spectrum are research areas where the fellowship program is expected to leverage extramural resources, especially related to competitive federal programs. Fellowships in the social, economic, behavioral sciences, business and humanities are also expected to support innovative research on issues and concerns relevant to the State and nation. These graduate students will be the faculty of the future and the innovative problem-solving business leaders of tomorrow. The University is pre-eminently qualified to deliver an economically important return on investment in these areas of TTU’s recognized research and academic excellence.

**EXTERNAL/INTERNAL FACTORS:**

Texas Tech University’s strategic endeavors to grow overall external research will impact this program, particularly in the University’s ability to attract and support faculty in existing and emerging areas of importance to Texas. Graduate students are an integral part of all academic research programs.

TTU’s ability to obtain funding support to continue this program will have significant impact to the growth of graduate student research at the institution.
### Exceptional Items Strategy Allocation Schedule

**Agency:** Texas Tech University  
**Agency Code:** 733  
**Date:** 10/18/2010  
**Time:** 3:17:31 PM

#### Item Name: Research in Emerging Technologies and Economic Development in Texas

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<td>SALARIES AND WAGES</td>
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<td>3,522,250</td>
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<tr>
<td>2009</td>
<td>OTHER OPERATING EXPENSE</td>
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<td>477,750</td>
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</table>

**Total, Object of Expense:**

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<th></th>
<th>Excp 2012</th>
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</thead>
<tbody>
<tr>
<td><strong>TOTAL</strong></td>
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<td>$4,000,000</td>
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#### Method of Financing:

<table>
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<th>Description</th>
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<th>Excp 2013</th>
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**Total, Method of Financing:**

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<th></th>
<th>Excp 2012</th>
<th>Excp 2013</th>
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<tbody>
<tr>
<td><strong>TOTAL</strong></td>
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#### Full-Time Equivalent Positions (FTE):

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<tr>
<td><strong>73.4</strong></td>
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</tbody>
</table>
Agency Code: 733  
Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support

OBJECTIVE: 2 Research Special Item Support

STRATEGY: 3 Research in Emerging Technologies and Economic Development in Texas

<table>
<thead>
<tr>
<th>CODE</th>
<th>DESCRIPTION</th>
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<th>Excp 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>1001</td>
<td>SALARIES AND WAGES</td>
<td>3,522,250</td>
<td>3,522,250</td>
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<tr>
<td>2009</td>
<td>OTHER OPERATING EXPENSE</td>
<td>477,750</td>
<td>477,750</td>
</tr>
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</table>

**Total, Objects of Expense**  
$4,000,000  
$4,000,000

**METHOD OF FINANCING:**

1 General Revenue Fund  
4,000,000  
4,000,000

**Total, Method of Finance**  
$4,000,000  
$4,000,000

**FULL-TIME EQUIVALENT POSITIONS (FTE):**  
73.4  
73.4

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Research in Emerging Technologies and Economic Development in Texas
Agency code: 733
Agency name: Texas Tech University

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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<th>DESCRIPTION</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Item Name:</td>
<td>Small Business Development Center</td>
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</tr>
<tr>
<td></td>
<td>Item Priority:</td>
<td>2</td>
<td></td>
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<tr>
<td></td>
<td>Includes Funding for the Following Strategy or Strategies:</td>
<td>03-03-03 Small Business Development Center</td>
<td></td>
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</table>

OBJECTS OF EXPENSE:

- 1001 SALARIES AND WAGES
- TOTAL, OBJECT OF EXPENSE: $180,000 $180,000

METHOD OF FINANCING:

- 1 General Revenue Fund
- TOTAL, METHOD OF FINANCING: $180,000 $180,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

- 5.00 5.00

DESCRIPTION / JUSTIFICATION:

This request is to access the enhanced funding available from the Comptroller’s SBDC Funding Formula. The Comptroller has developed a funding formula that uses a 3rd party survey to determine the economic activity generated by the assistance provided to small businesses by the 4 SBDC state regions. The survey uses a 3 year rolling average of results to determine the level of new job creation, job retention, and tax dollars generated to Texas from SBDC activities. These funds will be used to add new counselor positions to expand the small business counseling and training assistance to the rural areas of Northwest Texas.

The addition of these new counselor positions will result in 600 new clients counseled, and 1,500 additional training attendees annually. Most importantly the addition of these new positions will result in 50 new business openings and the addition of 375 new jobs created each year.

The Northwest Texas Small Business Development Center provides extensive business counseling and training to the small businesses of the 95 county service area in 7,176 new businesses and helped create 25,043 new jobs in the region. Although many of the new businesses opened and jobs created were in the more rural areas, the vast majority have opened in the larger population centers of the region.

The small of towns of Northwest Texas are slowly but surely declining as a continuous out-migration of youth and talent are draining the vitality of these communities. The need to effectively assist the existing and start-up businesses in the remote rural areas of the 95 county service areas is going to be key to the survival of the economic health of the region.

With the large and growing gap in the competitive advantage between rural and urban Texas, the need to bring solutions to this problem is our goal.

TTU has submitted all reports to the comptroller for review. The comptroller will determine if an increase of revenue neutral appropriation is possible.

EXTERNAL/INTERNAL FACTORS:

The NWTSBDC will be able to expand our delivery of basic and advanced SBDC services and global competitiveness training to the rural areas of the region. Although we currently provide our basic and advanced business counseling assistance to the rural areas of the region, we have been limited in the frequency in which we have been able to reach the extreme rural areas of Northwest Texas. The new funding would allow the NWTSBDC to continue to expand into the most remote areas of the region, an effort that was started during the last bi-annum.
## 4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

### 82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 733  
Agency name: **Texas Tech University**

<table>
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<th>Code</th>
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<td><strong>Item Name:</strong> Small Business Development Center</td>
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<td><strong>Allocation to Strategy:</strong> 3-3-3 Small Business Development Center</td>
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<tr>
<td></td>
<td><strong>OBJECTS OF EXPENSE:</strong></td>
<td></td>
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<tr>
<td>1001</td>
<td>SALARIES AND WAGES</td>
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<td><strong>$180,000</strong></td>
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<td><strong>METHOD OF FINANCING:</strong></td>
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<tr>
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<td><strong>FULL-TIME EQUIVALENT POSITIONS (FTE):</strong></td>
<td>5.0</td>
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</table>
Agency Code: 733

Agency name: Texas Tech University

GOAL:
3 Provide Special Item Support

OBJECTIVE:
3 Public Service Special Item Support

STRATEGY:
3 Small Business Development Center

Statewide Goal/Benchmark: 2 - 0
Service Categories:
Service: 13 Income: A.2 Age: B.3

CODE DESCRIPTION

<table>
<thead>
<tr>
<th>Code</th>
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<tbody>
<tr>
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES

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<th>Amount 12012</th>
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<td>$180,000</td>
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METHOD OF FINANCING:

1 General Revenue Fund

<table>
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<tr>
<th>Code</th>
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<th>Amount 12012</th>
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<tbody>
<tr>
<td></td>
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<td>$180,000</td>
<td>$180,000</td>
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</table>

FULL-TIME EQUIVALENT POSITIONS (FTE):

5.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Small Business Development Center
Item Name: Debt Service for Requested Tuition Revenue Bonds
Item Priority: 3
Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement

OBJECTS OF EXPENSE:

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<tbody>
<tr>
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TOTAL, OBJECT OF EXPENSE: $10,233,275 $10,712,575

METHOD OF FINANCING:

<table>
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<tr>
<th>Method</th>
<th>Description</th>
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<td>10,712,575</td>
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</table>

TOTAL, METHOD OF FINANCING: $10,233,275 $10,712,575

DESCRIPTION / JUSTIFICATION:

This request will provide for the debt service associated with the following bond requests:

Experimental Sciences Building II- Construct a new 150,000 sq ft facility to house high-tech interdisciplinary research laboratories, principle investigator offices, faculty and staff offices and support space to foster Texas Tech’s growing research programs. Tuition Revenue Bond Request $78,975,000. Total Project Cost $87,750,000. FY 2012 Debt Service Requirement $5,052,875. FY 2013 Debt Service Requirement $5,287,300.

College of Engineering Expansion/Renovation- Renovate current academic space including general classrooms, distance educational facilities, research labs and office space for faculty and graduate students. Tuition Revenue Bond Request $81,000,000. Total Project Cost 90,000,000. FY 2012 Debt Service Requirement $5,180,400. FY 2013 Debt Service Requirement $5,425,275.

Requested debt service has been estimated assuming 20-year level debt service at 6%. These assumptions have traditionally been used by all institutions of higher education in the legislative appropriations process and are consistent with the assumptions used by the LBB and the Texas Bond Review Board in their Debt Affordability Study and in the calculation of the State’s debt limit.

This assumption is only used in estimating the initial debt service. Following the initial appropriation, the actual debt service schedule from the actual TRB issuance are used to request the annual TRB debt service.

EXTERNAL/INTERNAL FACTORS:
### 4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

#### 733 Texas Tech University

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
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<th>Excp 2013</th>
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<tr>
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<td>Item Name: Debt Service for Requested Tuition Revenue Bonds</td>
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<td>Allocation to Strategy: 2-1-2 Tuition Revenue Bond Retirement</td>
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<td><strong>OBJECTS OF EXPENSE:</strong></td>
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</tr>
<tr>
<td>2008</td>
<td>DEBT SERVICE</td>
<td>10,233,275</td>
<td>10,712,575</td>
</tr>
<tr>
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<td><strong>TOTAL, OBJECT OF EXPENSE</strong></td>
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<td><strong>$10,712,575</strong></td>
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<td><strong>METHOD OF FINANCING:</strong></td>
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<td>10,712,575</td>
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<tr>
<td></td>
<td><strong>TOTAL, METHOD OF FINANCING</strong></td>
<td><strong>$10,233,275</strong></td>
<td><strong>$10,712,575</strong></td>
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Agency Code: 733  
Agency name: Texas Tech University  

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<td>10,712,575</td>
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**OBJECTIVES:**

- **2** Provide Infrastructure Support
- **1** Provide Operation and Maintenance of E&G Space

**GOAL:**

- **2** Provide Infrastructure Support

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Debt Service for Requested Tuition Revenue Bonds
## COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

### A. Fiscal Year 2008 - 2009 HUB Expenditure Information

<table>
<thead>
<tr>
<th>Statewide HUB Goals</th>
<th>Procurement Category</th>
<th>HUB Expenditures FY 2008</th>
<th>Total Expenditures FY 2008</th>
<th>HUB Expenditures FY 2009</th>
<th>Total Expenditures FY 2009</th>
</tr>
</thead>
<tbody>
<tr>
<td>11.9%</td>
<td>Heavy Construction</td>
<td>17.7% 17.7% 0.0% $86,204 $485,936 18.3% 18.3% 0.0% $700,714 $3,821,295</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>26.1%</td>
<td>Building Construction</td>
<td>17.1% 17.1% 0.0% $4,627,336 $27,015,749 18.4% 18.4% 0.0% $6,732,581</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>57.2%</td>
<td>Special Trade Construction</td>
<td>13.4% 13.4% 0.0% $1,356,348 $10,104,682 37.0% 37.1% 0.1% $1,104,116</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20.0%</td>
<td>Professional Services</td>
<td>34.4% 34.5% 0.1% $121,258 $351,846 44.2% 44.3% 0.1% $112,898</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>33.0%</td>
<td>Other Services</td>
<td>9.2% 9.2% 0.0% $2,432,020 $26,434,302 9.8% 9.8% 0.0% $2,269,042</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12.6%</td>
<td>Commodities</td>
<td>30.0% 30.1% 0.1% $21,585,742 $71,800,608 38.2% 38.3% 0.1% $27,107,111</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Expenditures</strong></td>
<td></td>
<td><strong>22.2%</strong></td>
<td><strong>$30,208,908</strong></td>
<td><strong>$136,193,123</strong></td>
<td><strong>27.6%</strong></td>
</tr>
</tbody>
</table>

### B. Assessment of Fiscal Year 2008 - 2009 Efforts to Meet HUB Procurement Goals

**Attainment:**
- Texas Tech University attained or exceeded 3 of 6, or 50% of the applicable statewide HUB procurement goals in fiscal year 2008.
- Texas Tech University attained or exceeded 3 of 6, or 50% of the applicable statewide HUB procurement goals in fiscal year 2009.

**Applicability:**

**Factors Affecting Attainment:**
- Heavy Construction FY08: Surpassed the statewide HUB goal.
- Heavy Construction FY09: Surpassed the statewide HUB goal.
- Building Construction FY08: Limited availability of HUB vendors within the geographical area.
- Building Construction FY09: Limited availability of HUB vendors within the geographical area.
- Special Trade FY08: Limited availability of HUB vendors within the geographical area.
- Special Trade FY09: Limited availability of HUB vendors within the geographical area.
- Professional Services FY08: Surpassed the statewide HUB goal.
- Professional Services FY09: Surpassed the statewide HUB goal.
- Other Services FY08: Limited availability of HUB vendors within the geographical area and unique or specialized contract requirements, i.e., mainframe hardware and software maintenance.
- Other Services FY09: Additional outreach was done to recruit HUB vendors. Limited availability of HUB vendors within the geographical area and unique or specialized contract requirements, i.e. mainframe hardware and software maintenance.
Agency Code: 733  
Agency: Texas Tech University

Commodity Purchasing FY08: Surpassed the statewide HUB goal.
Commodity Purchasing FY09: Surpassed the statewide HUB goal.

"Good-Faith" Efforts:
Texas Tech continues to report HUB participation on a quarterly basis to the Texas Tech Board of Regents.
Texas Tech sponsored and hosted 6th Annual West Texas Economic HUB Forum in San Angelo, Texas.
Texas Tech sponsored and hosted 7th Annual West Texas Economic HUB Forum in Amarillo, Texas in conjunction with State Comptroller's office.
One mentor/protégé agreement active during this reporting period. Implementation of procedures as stated by 1 TAC Section 111.15 (c) Implementation of procedures as stated by Government Code, Section 2161.127.
Established website for enhanced HUB relations.
Continue working with Signed Memorandums of Understanding with TAAACC and TAMACC.
Texas Tech HUB Manager active with Texas HUB Workgroup Association and Board member with DIR.
HUB Manager took leadership role in making El Paso Hispanic Chamber a HUB certification agency for West Texas.
VI.B. Current Biennium One-time Expenditure Schedule

<table>
<thead>
<tr>
<th>Item</th>
<th>Amount</th>
<th>MOF</th>
<th>Amount</th>
<th>MOF</th>
</tr>
</thead>
<tbody>
<tr>
<td>Texas Tech University has no one-time expenditures</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Agency Code: 733
Agency Name: Texas Tech University
Prepared By: Crista McCune
Date: 10/18/2010
## Code of Description

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1001</td>
<td>Salaries and Wages</td>
<td>$1,578,850</td>
<td>$1,429,073</td>
<td>$1,352,384</td>
<td>$1,251,951</td>
<td>$0</td>
</tr>
<tr>
<td>1002</td>
<td>Other Personnel Costs</td>
<td>$269,091</td>
<td>$261,829</td>
<td>$247,071</td>
<td>$236,774</td>
<td>$0</td>
</tr>
<tr>
<td>2005</td>
<td>Travel</td>
<td>$114,158</td>
<td>$51,455</td>
<td>$47,065</td>
<td>$32,132</td>
<td>$0</td>
</tr>
<tr>
<td>2009</td>
<td>Other Operating Expense</td>
<td>$2,070,024</td>
<td>$1,448,850</td>
<td>$1,408,734</td>
<td>$1,177,865</td>
<td>$0</td>
</tr>
<tr>
<td>5000</td>
<td>Capital Expenditures</td>
<td>$989,905</td>
<td>$332,850</td>
<td>$320,246</td>
<td>$207,899</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td><strong>Total, Objects of Expense</strong></td>
<td><strong>$5,022,028</strong></td>
<td><strong>$3,524,057</strong></td>
<td><strong>$3,375,500</strong></td>
<td><strong>$2,906,621</strong></td>
<td><strong>$0</strong></td>
</tr>
</tbody>
</table>

### Method of Financing

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>777</td>
<td>Interagency Contracts</td>
<td>$366,520</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>Subtotal, MOF (Other Funds)</td>
<td>$366,520</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>555</td>
<td>Federal Funds</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>CFDA 12.000.000, DOD MAINTENANCE</td>
<td>$4,265,214</td>
<td>$3,457,508</td>
<td>$3,375,500</td>
<td>$2,906,621</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>CFDA 12.300.000, Basic and Applied Scient</td>
<td>$377,066</td>
<td>$12,604</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>CFDA 81.000.010, DOE FOR TTI</td>
<td>$0</td>
<td>$(857)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>CFDA 93.279.000, Drug Abuse Research Progr</td>
<td>$0</td>
<td>$54,802</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>CFDA 93.856.000, Microbiology and Infectio</td>
<td>$13,228</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>Subtotal, MOF (Federal Funds)</td>
<td>$4,655,508</td>
<td>$3,524,057</td>
<td>$3,375,500</td>
<td>$2,906,621</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td><strong>Total, Method of Finance</strong></td>
<td><strong>$5,022,028</strong></td>
<td><strong>$3,524,057</strong></td>
<td><strong>$3,375,500</strong></td>
<td><strong>$2,906,621</strong></td>
<td><strong>$0</strong></td>
</tr>
</tbody>
</table>

### Full-Time-Equivalent Positions

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>19.6</td>
<td>24.7</td>
<td>22.0</td>
<td>23.6</td>
<td>0.0</td>
</tr>
</tbody>
</table>

### Use of Homeland Security Funds
## Code Description

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1001</td>
<td>Salaries and Wages</td>
<td>$25,556</td>
<td>$(4,157)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>1002</td>
<td>Other Personnel Costs</td>
<td>$3,619</td>
<td>$(977)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>2005</td>
<td>Travel</td>
<td>$0</td>
<td>$1,035</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>2009</td>
<td>Other Operating Expense</td>
<td>$28,112</td>
<td>$4,566</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

### Total, Objects of Expense

- **Total, Objects of Expense**: $57,287
- **Est 2010**: $467
- **Bud 2011**: $0
- **BL 2012**: $0
- **BL 2013**: $0

## Method of Financing

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>555</td>
<td>Federal Funds</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>CFDA 10.902.000, Soil and Water Conservat</td>
<td>$47,360</td>
<td>$9,323</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>CFDA 12.000.000, DOD MAINTENANCE</td>
<td>$9,927</td>
<td>$(8,856)</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
</tbody>
</table>

### Subtotal, MOF (Federal Funds)

- **Subtotal, MOF (Federal Funds)**: $57,287
- **Est 2010**: $467
- **Bud 2011**: $0
- **BL 2012**: $0
- **BL 2013**: $0

### Total, Method of Finance

- **Total, Method of Finance**: $57,287
- **Est 2010**: $467
- **Bud 2011**: $0
- **BL 2012**: $0
- **BL 2013**: $0

## Full-Time-Equivalent Positions

- **1001 Salaries and Wages**: 0.6
- **1002 Other Personnel Costs**: 0.1
- **2005 Travel**: 0.0
- **2009 Other Operating Expense**: 0.0
- **Total**: 0.0

## Use of Homeland Security Funds
### 2010-11 Biennium

<table>
<thead>
<tr>
<th>Source Description</th>
<th>FY 2010 Revenue</th>
<th>FY 2011 Revenue</th>
<th>Biennium Total</th>
<th>Percent of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>APPROPRIATED SOURCES (INSIDE THE GAA)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Appropriations (includes state paid benefits)</td>
<td>158,124,541 $</td>
<td>154,099,503 $</td>
<td>312,224,043 $</td>
<td>23.6%</td>
</tr>
<tr>
<td>Research Development Fund</td>
<td>4,868,296</td>
<td>4,868,296</td>
<td>9,736,592</td>
<td>0.7%</td>
</tr>
<tr>
<td>Higher Education Assistance Funds</td>
<td>27,446,656</td>
<td>27,446,656</td>
<td>54,893,312</td>
<td>4.1%</td>
</tr>
<tr>
<td>Tuition and Fees (net of Discounts and Allowances)</td>
<td>56,181,873</td>
<td>58,271,971</td>
<td>114,453,844</td>
<td>8.6%</td>
</tr>
<tr>
<td>Endowment and Interest Income</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>2,000,000</td>
<td>0.2%</td>
</tr>
<tr>
<td>Other Income</td>
<td>6,212,910</td>
<td>2,918,539</td>
<td>9,131,449</td>
<td>0.7%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>253,834,276 $</td>
<td>248,604,965 $</td>
<td>502,439,240 $</td>
<td>37.9%</td>
</tr>
<tr>
<td><strong>NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Grants and Contracts</td>
<td>26,256,057 $</td>
<td>26,256,076 $</td>
<td>52,512,152 $</td>
<td>4.0%</td>
</tr>
<tr>
<td>Tuition and Fees (net of Discounts and Allowances)</td>
<td>197,734,622 $</td>
<td>197,734,622 $</td>
<td>395,469,245 $</td>
<td>29.8%</td>
</tr>
<tr>
<td>Federal Grants and Contracts</td>
<td>58,174,559 $</td>
<td>58,174,559 $</td>
<td>116,349,118 $</td>
<td>8.8%</td>
</tr>
<tr>
<td>Endowment and Interest Income</td>
<td>8,425,287 $</td>
<td>8,425,287 $</td>
<td>16,850,575 $</td>
<td>1.3%</td>
</tr>
<tr>
<td>Local Government Grants and Contracts</td>
<td>1,331,888 $</td>
<td>1,331,888 $</td>
<td>2,663,775 $</td>
<td>0.2%</td>
</tr>
<tr>
<td>Private Gifts and Grants</td>
<td>23,541,253 $</td>
<td>23,541,253 $</td>
<td>47,082,506 $</td>
<td>3.6%</td>
</tr>
<tr>
<td>Sales and Services of Educational Activities (net)</td>
<td>5,843,811 $</td>
<td>5,843,811 $</td>
<td>11,687,621 $</td>
<td>0.9%</td>
</tr>
<tr>
<td>Auxiliary Enterprises (net)</td>
<td>81,662,548 $</td>
<td>81,662,548 $</td>
<td>163,325,096 $</td>
<td>12.3%</td>
</tr>
<tr>
<td>Other Income</td>
<td>8,422,749 $</td>
<td>8,422,749 $</td>
<td>16,845,499 $</td>
<td>1.3%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>411,392,794 $</td>
<td>411,392,794 $</td>
<td>822,785,587 $</td>
<td>62.1%</td>
</tr>
<tr>
<td><strong>TOTAL SOURCES</strong></td>
<td>665,227,069 $</td>
<td>659,997,758 $</td>
<td>1,325,224,827 $</td>
<td>100.0%</td>
</tr>
</tbody>
</table>

### 2012-13 Biennium

<table>
<thead>
<tr>
<th>Source Description</th>
<th>FY 2012 Revenue</th>
<th>FY 2013 Revenue</th>
<th>Biennium Total</th>
<th>Percent of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>APPROPRIATED SOURCES (INSIDE THE GAA)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Appropriations (includes state paid benefits)</td>
<td>156,112,021 $</td>
<td>156,112,021 $</td>
<td>312,224,042 $</td>
<td>23.7%</td>
</tr>
<tr>
<td>Research Development Fund</td>
<td>4,868,296 $</td>
<td>4,868,296 $</td>
<td>9,736,592 $</td>
<td>0.7%</td>
</tr>
<tr>
<td>Higher Education Assistance Funds</td>
<td>27,446,656 $</td>
<td>27,446,656 $</td>
<td>54,893,312 $</td>
<td>4.2%</td>
</tr>
<tr>
<td>Tuition and Fees (net of Discounts and Allowances)</td>
<td>58,271,971 $</td>
<td>58,271,971 $</td>
<td>116,543,942 $</td>
<td>8.8%</td>
</tr>
<tr>
<td>Endowment and Interest Income</td>
<td>1,000,000 $</td>
<td>1,000,000 $</td>
<td>2,000,000 $</td>
<td>0.2%</td>
</tr>
<tr>
<td>Other Income</td>
<td>218,539 $</td>
<td>218,539 $</td>
<td>437,078 $</td>
<td>0.0%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>247,917,483 $</td>
<td>247,917,483 $</td>
<td>495,834,966 $</td>
<td>37.6%</td>
</tr>
<tr>
<td><strong>NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Grants and Contracts</td>
<td>26,256,076 $</td>
<td>26,256,076 $</td>
<td>52,512,152 $</td>
<td>4.0%</td>
</tr>
<tr>
<td>Tuition and Fees (net of Discounts and Allowances)</td>
<td>197,734,622 $</td>
<td>197,734,622 $</td>
<td>395,469,245 $</td>
<td>30.0%</td>
</tr>
<tr>
<td>Federal Grants and Contracts</td>
<td>58,174,559 $</td>
<td>58,174,559 $</td>
<td>116,349,118 $</td>
<td>8.8%</td>
</tr>
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<td>8,425,287 $</td>
<td>16,850,575 $</td>
<td>1.3%</td>
</tr>
<tr>
<td>Local Government Grants and Contracts</td>
<td>1,331,888 $</td>
<td>1,331,888 $</td>
<td>2,663,775 $</td>
<td>0.2%</td>
</tr>
<tr>
<td>Private Gifts and Grants</td>
<td>23,541,253 $</td>
<td>23,541,253 $</td>
<td>47,082,506 $</td>
<td>3.6%</td>
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<td>5,843,811 $</td>
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<tr>
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<td>12.4%</td>
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<tr>
<td>Other Income</td>
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<td>1.3%</td>
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<tr>
<td><strong>Total</strong></td>
<td>411,392,794 $</td>
<td>411,392,794 $</td>
<td>822,785,587 $</td>
<td>62.4%</td>
</tr>
<tr>
<td><strong>TOTAL SOURCES</strong></td>
<td>659,310,276 $</td>
<td>659,310,276 $</td>
<td>1,318,620,553 $</td>
<td>100.0%</td>
</tr>
</tbody>
</table>
### 1 Special Line Item Operations Reduction - 5%

**Category:** Administrative - Operating Expenses

**Item Comment:**  
- Workers’ Compensation Insurance: Reduce the university’s ability to fund assessment for the state’s workers’ compensation funding pool.  
- Library Archival Support: Reduce the funding used to support 1 archivist position.  
- Agricultural Research: Reduce funding that is critical to sustain the viability of research in agriculture and rural communities in the highly productive region of the state.  
- Energy Research: Reduce funding on important research of significant drivers of the economy that benefit the State of Texas and surrounding region.  
- Emerging Technologies: Reduce funding for research in new technologies.  
- Junction: Reduce support for state higher education goals by not providing access to rural, place-bound students and not being able to support workforce development training.  
- Hill Country: Reduce funding which supports the goals of Texas Higher Education in “Closing the Gaps” and in an underserved higher education region of the state.  
- Museum and Centers: Reduce funding for staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments.  
- Center for Financial Responsibility: Reduce institutional framework to develop unique programs, services and research in personal finance.  
- Institutional Enhancement: Reduce support for university institutional support and general administration.

**Strategy:** 1-1-4 Workers’ Compensation Insurance

<table>
<thead>
<tr>
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**Strategy:** 3-1-1 Library Archival Support

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**Strategy:** 3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas

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#### Agency Details

- **Agency code:** 733  
- **Agency name:** Texas Tech University
### Texas Tech University

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Agency code: 733  Agency name: Texas Tech University

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FTE Reductions (From FY 2012 and FY 2013 Base Request)

2 Special Line Item Operations Reduction - 10%

Category: Administrative - Operating Expenses
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<td><strong>TARGET</strong></td>
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**Item Comment:**
- Workers’ Compensation Insurance-Reduce the university’s ability to fund assessment for the state’s workers’ compensation funding pool.
- Library Archival Support-Reduce the funding used to support 1 archivist position.
- Agricultural Research-Reduce funding that is critical to sustain the viability of research in agriculture and rural communities in the highly productive region of the state.
- Energy Research-Reduce funding on important research of significant drivers of the economy that benefit the State of Texas and surrounding region.
- Emerging Technologies-Reduce funding for research in new technologies.
- Junction-Reduce support for state higher education goals by not providing access to rural, place-bound students and not being able to support workforce development training.
- Hill Country-Reduce funding which supports the goals of Texas Higher Education in “Closing the Gaps” and in an underserved higher education region of the state.
- Museum and Centers-Reduce funding for staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments.
- Center for Financial Responsibility-Reduce institutional framework to develop unique programs, services and research in personal finance.
- Institutional Enhancement- Reduce support for university institutional support and general administration.

**Strategy:** 1-1-4 Workers’ Compensation Insurance

**General Revenue Funds**

- 1 General Revenue Fund: $0, $0, $0, $25,653, $25,653, $51,306

**Strategy:** 3-1-1 Library Archival Support

**General Revenue Funds**

- 1 General Revenue Fund: $0, $0, $0, $43,028, $43,028, $86,056

**Strategy:** 3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas

**General Revenue Funds**

- 1 General Revenue Fund: $0, $0, $0, $128,011, $128,011, $256,022

**Strategy:** 3-2-2 Research in Energy Production and Environmental Protection in Texas

Agency code: 733  Agency name: Texas Tech University
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<th>Item Priority and Name/ Method of Financing</th>
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Strategy: 3-2-3  Research in Emerging Technologies and Economic Development in Texas

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Strategy: 3-3-1  Junction Annex Operation

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<th>General Revenue Funds</th>
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Strategy: 3-3-2  Hill Country Educational Network

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Strategy: 3-3-4  Museums and Historical, Cultural, and Educational Centers

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Strategy: 3-3-6  Center for Financial Responsibility

General Revenue Funds
### REVENUE LOSS

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Strategy: 3-4-1 Institutional Enhancement

#### General Revenue Funds

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#### Item Total

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### FTE Reductions (From FY 2012 and FY 2013 Base Request)

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### Agency FTE Reductions (From FY 2012 and FY 2013 Base Request)

Difference, Options Total Less Target
### Schedule 1A: Other Educational and General Income

**Automated Budget and Evaluation System of Texas (ABEST)**

**Texas Tech University**

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<td>Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act</td>
<td>0</td>
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<td>Less: Transfer of Funds (2%) for Emergency Loans (Medical School)</td>
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<tr>
<td>Less: Transfer of Funds for Repayment of Student Loans of Physicians (Tx. Educ. Code Ann. Sec. 61.539)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Less: Other Authorized Deduction</td>
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</table>
Schedule 1A: Other Educational and General Income
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td><strong>Net Tuition</strong></td>
<td>35,957,824</td>
<td>37,700,116</td>
<td>39,380,039</td>
<td>39,594,739</td>
<td>39,809,439</td>
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<tr>
<td><strong>Student Teaching Fees</strong></td>
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<td>0</td>
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<td><strong>Special Course Fees</strong></td>
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<td><strong>Laboratory Fees</strong></td>
<td>905,173</td>
<td>627,970</td>
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<tr>
<td><strong>Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions)</strong></td>
<td>36,862,997</td>
<td>38,328,086</td>
<td>39,380,039</td>
<td>39,594,739</td>
<td>39,809,439</td>
</tr>
<tr>
<td><strong>OTHER INCOME</strong></td>
<td></td>
<td></td>
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<tr>
<td><strong>Interest on General Funds:</strong></td>
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<td>Funds in State Treasury</td>
<td>664,041</td>
<td>477,132</td>
<td>500,000</td>
<td>500,000</td>
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<td>Funds in Local Depositories, etc., local amounts</td>
<td>150</td>
<td>150</td>
<td>200</td>
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<tr>
<td><strong>Subtotal, Other Income</strong></td>
<td>701,377</td>
<td>504,662</td>
<td>536,200</td>
<td>536,200</td>
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<td><strong>Subtotal, Other Educational and General Income</strong></td>
<td>37,564,374</td>
<td>38,832,748</td>
<td>39,916,239</td>
<td>40,130,939</td>
<td>40,345,639</td>
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</table>

Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls
(2,447,226) (2,261,727) (2,296,705) (2,373,837) (2,373,837)

Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds
(2,446,925) (2,079,570) (2,110,079) (2,174,985) (2,174,985)

Less: Staff Group Insurance Premiums

**Total, Other Educational and General Income (Formula Amounts for General Academic Institutions)**
28,655,969 30,194,809 30,829,544 30,902,206 31,116,906

Reconciliation to Summary of Request for FY 2009-2011:

Plus: Transfer of Tuition for Retirement of Indebtedness - Skiles Act 0 0 0 0 0

Plus: Transfer of Funds for Texas Public Education Grants Program and Emergency Loans
5,678,185 5,412,242 6,208,709 6,233,709 6,258,709

Plus: Transfer of Funds for Cancellation of Student Loans of Physicians
0 0 0 0 0

Plus: Organized Activities
518,340 571,436 533,300 533,300 533,300

Plus: Staff Group Insurance Premiums

Plus: Board-authorized Tuition Income
Schedule 1A: Other Educational and General Income

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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<tr>
<td>733</td>
<td>Texas Tech University</td>
<td>0</td>
<td>0</td>
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<tr>
<td></td>
<td>Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100</td>
<td>0</td>
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<tr>
<td></td>
<td>Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)</td>
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<td></td>
<td>Plus: Tuition for repeated or excessive hours (TX. Educ. Code Ann. Sec. 54.014)</td>
<td>0</td>
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<tr>
<td></td>
<td>Less: Tuition Waived for Students 55 Years or Older</td>
<td>(29,570)</td>
<td>(28,514)</td>
<td>(28,500)</td>
<td>(28,500)</td>
<td>(28,500)</td>
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<td>Less: Tuition Waived for Texas Grant Recipients</td>
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<td>0</td>
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<tr>
<td>Total, Other Educational and General Income Reported on Summary of Request</td>
<td>45,943,091</td>
<td>48,099,284</td>
<td>49,907,819</td>
<td>50,005,481</td>
<td>50,245,181</td>
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</table>
### Schedule 2: Grand Total Educational, General and Other Funds

**Texas Tech University**

<table>
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<tr>
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<tr>
<td><strong>Balances as of Beginning of Fiscal Year</strong></td>
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<tr>
<td>Encumbered and Obligated</td>
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<td>Unencumbered and Unobligated</td>
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<td>Capital Projects - Legislative Appropriations</td>
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<td>Capital Projects - Other Educational and General Funds</td>
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<td>0</td>
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<td><strong>General Revenue Appropriations</strong></td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Direct Appropriations</td>
<td>134,862,321</td>
<td>140,881,792</td>
<td>141,120,198</td>
<td>35,756,902</td>
<td>35,769,101</td>
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<tr>
<td>Transfer from Office of the Governor Deficiency and Emergency Grants</td>
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<td>0</td>
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<tr>
<td>Less: General Revenue Appropriations Lapsed</td>
<td>0</td>
<td>(4,399,278)</td>
<td>(8,424,316)</td>
<td>0</td>
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<td>Plus: Additional General Revenue through Budget Execution</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Less: ARRA Formula Swap</td>
<td>0</td>
<td>(4,894,371)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Other (Itemize)</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Plus: Special Provisions Relating to State Agencies of Higher Education, Art.III Section 54 Institutional Enhancement Unexpended Balance</td>
<td>3,474,928</td>
<td>0</td>
<td>0</td>
<td>0</td>
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</tr>
<tr>
<td>Plus: Special Provisions Relating to State Agencies of Higher Education, Art. III Section 55 Research Development Fund Unexpended Balance</td>
<td>1,833,313</td>
<td>(2,569,084)</td>
<td>2,569,084</td>
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<tr>
<td><strong>Subtotal, General Revenue Appropriations</strong></td>
<td>140,170,562</td>
<td>129,019,059</td>
<td>135,264,966</td>
<td>35,756,902</td>
<td>35,769,101</td>
</tr>
<tr>
<td>Other Educational and General Income</td>
<td>45,943,091</td>
<td>48,099,284</td>
<td>49,907,819</td>
<td>50,005,481</td>
<td>50,245,181</td>
</tr>
<tr>
<td><strong>Other Appropriated Funds Income</strong></td>
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<tr>
<td>Health-related Institutions Patient Income (medical, dental, other)</td>
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<td>0</td>
<td>0</td>
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<td>Interagency contracts</td>
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<td>Tobacco - Related Funds</td>
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<td>0</td>
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<td>ARRA Formula Swap</td>
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<td>4,894,371</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Other (Itemize)</strong></td>
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<td>Special Mineral Fund</td>
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<td>61,976</td>
<td>46,982</td>
<td>54,000</td>
<td>54,000</td>
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<td>Article XII Section 25 ARRA Special Item Appropriations</td>
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<td>1,284,061</td>
<td>2,715,939</td>
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<td>Special Mineral Fund-Unexpended Balances Authorization</td>
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<td>(246)</td>
<td>246</td>
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<tr>
<td><strong>TOTAL, EDUCATIONAL AND GENERAL APPROPRIATIONS</strong></td>
<td>186,163,151</td>
<td>183,358,505</td>
<td>187,935,952</td>
<td>85,816,383</td>
<td>86,068,282</td>
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<tr>
<td><strong>General Revenue Transfers</strong></td>
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</tr>
<tr>
<td>Transfer from Coordinating Board for Advanced Research Program</td>
<td>0</td>
<td>598,214</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Transfer from Coordinating Board for Texas College Work Study Program (2009, 2010, 2011)</td>
<td>193,754</td>
<td>150,491</td>
<td>169,923</td>
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<td>0</td>
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<tr>
<td>Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>-----------------------------------------------------------------------------------</td>
<td>-------</td>
<td>-------</td>
<td>-------</td>
<td>-------</td>
<td>-------</td>
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<tr>
<td>Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<td>0</td>
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<tr>
<td>Less: Transfer to Other Institutions</td>
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<td>0</td>
<td>0</td>
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<td>Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2009, 2010, 2011)</td>
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<td>0</td>
<td>0</td>
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<tr>
<td>Other (Itemize)</td>
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<td>Top 10% Scholarship</td>
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<td>938,702</td>
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<td>Enrollment Growth</td>
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<td>Workstudy Mentorship Program</td>
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<td>College Readiness Outreach</td>
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<td>9,860</td>
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<td>Engineering Scholarship Program</td>
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<td>Engineering Recruitment Program</td>
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<td>17,979</td>
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<td>Other: Fifth Year Accounting Scholarship</td>
<td>15,852</td>
<td>18,000</td>
<td>41,214</td>
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<td>Texas Grants</td>
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<td>12,427,214</td>
<td>14,082,060</td>
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<tr>
<td>Less: Transfer to System Administration</td>
<td>(2,514,201)</td>
<td>(1,667,837)</td>
<td>(1,667,837)</td>
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<td>B-on-Time Program</td>
<td>702,923</td>
<td>481,873</td>
<td>2,580,157</td>
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<td><strong>Subtotal, General Revenue Transfers</strong></td>
<td><strong>10,116,882</strong></td>
<td><strong>13,222,809</strong></td>
<td><strong>16,474,230</strong></td>
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<tr>
<td>General Revenue HEF for Operating Expenses</td>
<td>13,318,148</td>
<td>5,386,873</td>
<td>6,000,000</td>
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<td>Transfer from Available University Funds (UT, A&amp;M and Prairie View A&amp;M Only)</td>
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<td>Other Additions (Itemize)</td>
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<td>Increase Capital Projects - Educational and General Funds</td>
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<td>0</td>
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<td>0</td>
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<tr>
<td>Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2009, 2010, 2011)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)</td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<td>Transfer from Coordinating Board for Incentive Funding</td>
<td>4,441,168</td>
<td>2,129,277</td>
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<td>ARRA Article XII Section 25 Special Item Appropriations</td>
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<td>Other (Itemize)</td>
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<td>Other Deductions (Itemize)</td>
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<td>Agency Code: 733</td>
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### Schedule 2: Grand Total Educational, General and Other Funds

**Automated Budget and Evaluation System of Texas (ABEST)**

#### Date: 10/18/2010 5:40:31PM

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<th></th>
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<td>0</td>
<td>0</td>
<td>0</td>
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<td>0</td>
</tr>
<tr>
<td><strong>Total Funds</strong></td>
<td>214,039,349</td>
<td>204,097,464</td>
<td>211,924,446</td>
<td>85,816,383</td>
</tr>
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#### Less: Balances as of End of Fiscal Year

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<tr>
<th>Encumbered and Obligated</th>
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<th>0</th>
<th>0</th>
<th>0</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unencumbered and Unobligated</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Capital Projects - Legislative Appropriations</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Capital Projects - Other Educational and General Funds</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Grand Total, Educational, General and Other Funds**

| 214,039,349 | 204,097,464 | 211,924,446 | 85,816,383 | 86,068,282 |

#### Designated Tuition (Sec. 54.0513)

| 77,033,358 | 95,834,397 | 96,733,724 | 96,733,724 | 96,733,724 |

#### Indirect Cost Recovery (Sec. 145.001(d))

| 6,398,602 | 7,773,614 | 7,176,000 | 7,176,000 | 7,176,000 |
### GR & GR-D Percentages

<p>| | | | | |</p>
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<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>GR %</td>
<td>77.04%</td>
<td>77.04%</td>
<td>77.04%</td>
<td>77.04%</td>
</tr>
<tr>
<td>GR-D %</td>
<td>22.96%</td>
<td>22.96%</td>
<td>22.96%</td>
<td>22.96%</td>
</tr>
<tr>
<td>Total Percentage</td>
<td>100.00%</td>
<td>100.00%</td>
<td>100.00%</td>
<td>100.00%</td>
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### FULL TIME ACTIVES

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<tr>
<th>Category</th>
<th>E&amp;G Enrollment</th>
<th>GR Enrollment</th>
<th>GR-D/OEGI Enrollment</th>
<th>Total E&amp;G (Check)</th>
<th>Local Non-E&amp;G</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Employee Only</td>
<td>1,157</td>
<td>891</td>
<td>266</td>
<td>1,157</td>
<td>1,046</td>
</tr>
<tr>
<td>2a Employee and Children</td>
<td>346</td>
<td>267</td>
<td>79</td>
<td>346</td>
<td>313</td>
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<tr>
<td>3a Employee and Spouse</td>
<td>336</td>
<td>259</td>
<td>77</td>
<td>336</td>
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<td>4a Employee and Family</td>
<td>452</td>
<td>348</td>
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<td>452</td>
<td>409</td>
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<tr>
<td>5a Eligible, Opt Out</td>
<td>8</td>
<td>6</td>
<td>2</td>
<td>8</td>
<td>8</td>
</tr>
<tr>
<td>6a Eligible, Not Enrolled</td>
<td>25</td>
<td>19</td>
<td>6</td>
<td>25</td>
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<td><strong>534</strong></td>
<td><strong>2,324</strong></td>
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### PART TIME ACTIVES

<table>
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<th>GR-D/OEGI Enrollment</th>
<th>Total E&amp;G (Check)</th>
<th>Local Non-E&amp;G</th>
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</thead>
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<td>310</td>
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<td>71</td>
<td>310</td>
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<td>20</td>
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<td>28</td>
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<tr>
<td>4b Employee and Family</td>
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<td>13</td>
<td>6</td>
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<td>5b Eligible, Opt Out</td>
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<td>6</td>
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<td>563</td>
<td>168</td>
<td>731</td>
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<td><strong>259</strong></td>
<td><strong>1,127</strong></td>
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Total Active Enrollment: 3,451 | 2,658 | 793 | 3,451 | 2,605
### FULL TIME RETIREES by ERS

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<tr>
<th>Category</th>
<th>E&amp;G Enrollment</th>
<th>GR Enrollment</th>
<th>GR-D/OEGI Enrollment</th>
<th>Total E&amp;G (Check)</th>
<th>Local Non-E&amp;G</th>
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<tr>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2c Employee and Children</td>
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<td>0</td>
<td>0</td>
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</tr>
<tr>
<td>3c Employee and Spouse</td>
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<td>0</td>
</tr>
<tr>
<td>4c Employee and Family</td>
<td>0</td>
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<td>0</td>
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<td>6c Eligible, Not Enrolled</td>
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### PART TIME RETIREES by ERS

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<th>GR Enrollment</th>
<th>GR-D/OEGI Enrollment</th>
<th>Total E&amp;G (Check)</th>
<th>Local Non-E&amp;G</th>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
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<td>3d Employee and Spouse</td>
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<tr>
<td>4d Employee and Family</td>
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<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<td>5d Eligible, Opt Out</td>
<td>0</td>
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<td>0</td>
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<tr>
<td>6d Eligible, Not Enrolled</td>
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### Total Retirees Enrollment

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<th>GR-D/OEGI Enrollment</th>
<th>Total E&amp;G (Check)</th>
<th>Local Non-E&amp;G</th>
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### TOTAL FULL TIME ENROLLMENT

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<th>GR-D/OEGI Enrollment</th>
<th>Total E&amp;G (Check)</th>
<th>Local Non-E&amp;G</th>
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</thead>
<tbody>
<tr>
<td>1e Employee Only</td>
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<td>891</td>
<td>266</td>
<td>1,157</td>
<td>1,046</td>
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<tr>
<td>2e Employee and Children</td>
<td>346</td>
<td>267</td>
<td>79</td>
<td>346</td>
<td>313</td>
</tr>
<tr>
<td>3e Employee and Spouse</td>
<td>336</td>
<td>259</td>
<td>77</td>
<td>336</td>
<td>304</td>
</tr>
<tr>
<td>4e Employee and Family</td>
<td>452</td>
<td>348</td>
<td>104</td>
<td>452</td>
<td>409</td>
</tr>
<tr>
<td>5e Eligible, Opt Out</td>
<td>8</td>
<td>6</td>
<td>2</td>
<td>8</td>
<td>8</td>
</tr>
<tr>
<td>6e Eligible, Not Enrolled</td>
<td>25</td>
<td>19</td>
<td>6</td>
<td>25</td>
<td>22</td>
</tr>
<tr>
<td><strong>Total for This Section</strong></td>
<td>2,324</td>
<td>1,790</td>
<td>534</td>
<td>2,324</td>
<td>2,102</td>
</tr>
<tr>
<td>E&amp;G Enrollment</td>
<td>GR Enrollment</td>
<td>GR-D/OEGI Enrollment</td>
<td>Total E&amp;G (Check)</td>
<td>Local Non-E&amp;G</td>
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</tr>
<tr>
<td>---------------</td>
<td>---------------</td>
<td>----------------------</td>
<td>------------------</td>
<td>----------------</td>
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<tr>
<td>1f Employee Only</td>
<td>1,467</td>
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<td>1,467</td>
<td>1,184</td>
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<td>2f Employee and Children</td>
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<td>84</td>
<td>366</td>
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<td>3f Employee and Spouse</td>
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<td>83</td>
<td>364</td>
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<td>4f Employee and Family</td>
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<td>358</td>
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<td>465</td>
<td>415</td>
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<td>5f Eligible, Opt Out</td>
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<td>33</td>
<td>19</td>
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<td>6f Eligible, Not Enrolled</td>
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<td>582</td>
<td>174</td>
<td>756</td>
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<tr>
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<td><strong>2,658</strong></td>
<td><strong>793</strong></td>
<td><strong>3,451</strong></td>
<td><strong>2,605</strong></td>
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## SCHEDULE 4: COMPUTATION OF OASI

Automated Budget and Evaluation System of Texas (ABEST)

### 82nd Regular Session, Agency Submission, Version 1

Agency Code: **733**  
Agency: **Texas Tech University**

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Gross Educational &amp; General Payroll - Subject to OASI</td>
<td>$124,800,128</td>
<td>$128,768,531</td>
<td>$130,768,531</td>
<td>$135,142,500</td>
<td>$135,142,500</td>
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<tr>
<td>FTE Employees - Subject to OASI</td>
<td>2,176.0</td>
<td>2,293.0</td>
<td>2,362.0</td>
<td>2,435.0</td>
<td>2,435.0</td>
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<tr>
<td>Average Salary (Gross Payroll / FTE Employees)</td>
<td>$57,353</td>
<td>$56,157</td>
<td>$55,363</td>
<td>$55,500</td>
<td>$55,500</td>
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<tr>
<td>Employer OASI Rate 7.65% x Average Salary x FTE Employees</td>
<td>$4,388</td>
<td>$4,296</td>
<td>$4,235</td>
<td>$4,246</td>
<td>$4,246</td>
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<tr>
<td>Grand Total, OASI</td>
<td>$9,548,288</td>
<td>$9,850,728</td>
<td>$10,003,070</td>
<td>$10,339,010</td>
<td>$10,339,010</td>
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<table>
<thead>
<tr>
<th>Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2</th>
<th>% to Total</th>
<th>Allocation of OASI</th>
<th>% to Total</th>
<th>Allocation of OASI</th>
<th>% to Total</th>
<th>Allocation of OASI</th>
<th>% to Total</th>
<th>Allocation of OASI</th>
<th>% to Total</th>
<th>Allocation of OASI</th>
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</thead>
<tbody>
<tr>
<td>General Revenue (% to Total)</td>
<td>0.7437</td>
<td>$7,101,062</td>
<td>0.7704</td>
<td>$7,589,001</td>
<td>0.7704</td>
<td>$7,706,365</td>
<td>0.7704</td>
<td>$7,965,173</td>
<td>0.7704</td>
<td>$7,965,173</td>
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<tr>
<td>Other Educational and General Funds (% to Total)</td>
<td>0.2563</td>
<td>2,447,226</td>
<td>0.2296</td>
<td>2,261,727</td>
<td>0.2296</td>
<td>2,296,705</td>
<td>0.2296</td>
<td>2,373,837</td>
<td>0.2296</td>
<td>2,373,837</td>
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<tr>
<td>Health-related Institutions Patient Income (% to Total)</td>
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<td>0.0000</td>
<td>0.0000</td>
<td>0.0000</td>
<td>0.0000</td>
<td>0.0000</td>
<td>0.0000</td>
<td>0.0000</td>
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<td>0.0000</td>
</tr>
<tr>
<td><strong>Grand Total, OASI (100%)</strong></td>
<td>1.0000</td>
<td><strong>$9,548,288</strong></td>
<td>1.0000</td>
<td><strong>$9,850,728</strong></td>
<td>1.0000</td>
<td><strong>$10,003,070</strong></td>
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<td><strong>$10,339,010</strong></td>
<td>1.0000</td>
<td><strong>$10,339,010</strong></td>
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### SCHEDULE 5: CALCULATION OF RETIREMENT PROPORTIONALITY AND ORP DIFFERENTIAL

#### 82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

<table>
<thead>
<tr>
<th></th>
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<tr>
<td>Proportionality Amounts</td>
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<td></td>
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<td></td>
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<td>134,970,089</td>
<td>136,970,089</td>
<td>141,176,354</td>
<td>141,176,354</td>
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<td>Employer Contribution to TRS Retirement Programs</td>
<td>9,547,112</td>
<td>9,057,360</td>
<td>9,190,240</td>
<td>9,472,930</td>
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<td>Employer Contribution to ORP Retirement Programs</td>
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<td>Proportionality Percentage</td>
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<tr>
<td>General Revenue</td>
<td>74.37%</td>
<td>77.04%</td>
<td>77.04%</td>
<td>77.04%</td>
<td>77.04%</td>
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<tr>
<td>Other Educational and General Income</td>
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<td>22.96%</td>
<td>22.96%</td>
<td>22.96%</td>
<td>22.96%</td>
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<tr>
<td>Health-related Institutions Patient Income</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
<td>0.00%</td>
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<tr>
<td>Proportional Contribution</td>
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<tr>
<td>Other Educational and General Proportional Contribution</td>
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<td>Differential</td>
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<td>Gross Payroll Subject to Differential - Optional Retirement Program</td>
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### Schedule 6: Capital Funding

#### Activity

**Agency Code:** 733  
**Agency Name:** Texas Tech University

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<td>I. Balances as of Beginning of Fiscal Year</td>
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<td>A. PUF Bond Proceeds</td>
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<td>B. HEF Bond Proceeds</td>
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<tr>
<td>C. HEF Annual Allocations</td>
<td>34,329,670</td>
<td>33,667,991</td>
<td>35,271,524</td>
<td>20,662,430</td>
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<td>D. TR Bond Proceeds</td>
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<td>48,421,097</td>
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<td>G. Investment Income on TR Bond Proceeds</td>
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<td>H. Other (Itemize)</td>
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<td>HEF Annual Allocations</td>
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<td>9,242,555</td>
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<tr>
<td>III. Total Funds Available - PUF, HEF, and TRB</td>
<td>$130,438,993</td>
<td>$121,558,861</td>
<td>$132,804,840</td>
<td>$288,744,294</td>
<td>$119,387,735</td>
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<tr>
<td>IV. Less: Deductions</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>A. Expenditures (Itemize)</td>
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<tr>
<td>Library Books</td>
<td>5,581,995</td>
<td>5,732,451</td>
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<td>Repairs and Rehabilitation</td>
<td>3,648,107</td>
<td>3,071,851</td>
<td>6,500,000</td>
<td>6,724,171</td>
<td>2,500,000</td>
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<td>Furnishings and Equipment</td>
<td>3,478,507</td>
<td>4,057,936</td>
<td>10,203,341</td>
<td>10,998,414</td>
<td>5,228,340</td>
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<td>Computing Equipment &amp; Infrastructure</td>
<td>1,601,877</td>
<td>1,715,218</td>
<td>1,500,000</td>
<td>1,600,000</td>
<td>1,600,000</td>
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<tr>
<td>Construction</td>
<td>2,906,384</td>
<td>8,110,343</td>
<td>16,032,168</td>
<td>15,757,168</td>
<td>15,507,167</td>
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<td>Debt Service Commercial Paper</td>
<td>10,891,465</td>
<td>3,155,324</td>
<td>4,309,673</td>
<td>4,309,673</td>
<td>4,309,673</td>
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<tr>
<td>Construction TRB</td>
<td>1,578,903</td>
<td>9,475,817</td>
<td>17,276,536</td>
<td>47,372,069</td>
<td>81,000,000</td>
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<td>Commercial Paper Retirement TRB</td>
<td>2,505,000</td>
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<td>0</td>
<td>53,271,675</td>
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<tr>
<td>B. Annual Debt Service on PUF Bonds</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>C.1. Annual Debt Service on HEF Bonds - RFS Commercial Paper</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>C.2. Annual Debt Service on HEF Bonds - RFS Bonds, Series 2001</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>D. Annual Debt Service on TR Bonds</td>
<td>10,686,673</td>
<td>10,375,117</td>
<td>10,382,243</td>
<td>9,230,357</td>
<td>9,242,555</td>
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<tr>
<td>E. Other (Itemize)</td>
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<tr>
<td>HEF Annual Allocations</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Commercial Paper Computing Equipment &amp; Infrastructure</td>
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<td>0</td>
<td>4,550,000</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Commercial Paper Construction</td>
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<td>1,648,000</td>
<td>19,719,705</td>
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<td>0</td>
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<tr>
<td>TR Bond Proceeds</td>
<td></td>
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### Schedule 6: Capital Funding

#### 82nd Regular Session, Agency Submission, Version 1

**Automated Budget and Evaluation System of Texas (ABEST)**

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<thead>
<tr>
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<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>Commercial Paper Construction</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>53,271,675</td>
<td>0</td>
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<tr>
<td><strong>Total, Deductions</strong></td>
<td><strong>$48,349,905</strong></td>
<td><strong>$47,342,057</strong></td>
<td><strong>$90,473,666</strong></td>
<td><strong>$202,535,202</strong></td>
<td><strong>$119,387,735</strong></td>
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</tbody>
</table>

V. Balances as of End of Fiscal Year

- **A. PUF Bond Proceeds**
  - 0
  - 0
  - 0
  - 0
  - 0

- **B. HEF Bond Proceeds**
  - 0
  - 0
  - 0
  - 0
  - 0

- **C. HEF Annual Allocations**
  - 33,667,991
  - 35,271,524
  - 20,662,430
  - 5,209,092
  - 0

- **D. TR Bond Proceeds**
  - 48,421,097
  - 38,945,280
  - 21,668,744
  - 81,000,000
  - 0

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<tr>
<th></th>
<th><strong>$82,089,088</strong></th>
<th><strong>$74,216,804</strong></th>
<th><strong>$42,331,174</strong></th>
<th><strong>$86,209,092</strong></th>
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**Agency Code:** 733  
**Agency Name:** Texas Tech University
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<tr>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Balance of Current Fund in State Treasury</td>
<td>$2,754,952</td>
<td>$2,578,525</td>
<td>$100,000</td>
<td>$100,000</td>
<td>$100,000</td>
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<td>2</td>
<td>Unobligated Balance in State Treasury</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
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<tr>
<td>3</td>
<td>Interest Earned in State Treasury</td>
<td>$664,041</td>
<td>$477,132</td>
<td>$500,000</td>
<td>$500,000</td>
<td>$500,000</td>
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<tr>
<td>4</td>
<td>Balance of Educational and General Funds in Local Depositories</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
<td>$1,000</td>
</tr>
<tr>
<td>5</td>
<td>Unobligated Balance in Local Depositories</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
<td>$0</td>
</tr>
<tr>
<td>6</td>
<td>Interest Earned in Local Depositories</td>
<td>$150</td>
<td>$150</td>
<td>$200</td>
<td>$200</td>
<td>$200</td>
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## Schedule 8: PERSONNEL
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

### Agency code: 733    Agency name: TEXAS TECH UNIVERSITY

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</thead>
<tbody>
<tr>
<td><strong>FTE Positions</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Part A.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Directly Appropriated Funds (Bill Pattern)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Educational and General Funds Faculty Employees</td>
<td>792.6</td>
<td>901.3</td>
<td>1,081.8</td>
<td>1,081.8</td>
<td>1,081.8</td>
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<tr>
<td>Educational and General Funds Non-Faculty Employees</td>
<td>1,859.4</td>
<td>1,829.3</td>
<td>1,619.8</td>
<td>1,596.6</td>
<td>1,596.6</td>
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<tr>
<td><strong>Subtotal, Directly Appropriated Funds</strong></td>
<td>2,652.0</td>
<td>2,730.6</td>
<td>2,701.6</td>
<td>2,678.4</td>
<td>2,678.4</td>
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<tr>
<td>Other Appropriated Funds</td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Incentive Funding - Transfer from THECB</td>
<td>14.0</td>
<td>0.0</td>
<td>18.0</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>Advanced Research Grants Transfer from THECB</td>
<td>6.0</td>
<td>5.0</td>
<td>5.0</td>
<td>5.0</td>
<td>5.0</td>
</tr>
<tr>
<td>Other (Itemize)</td>
<td>9.0</td>
<td>1.4</td>
<td>0.0</td>
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<tr>
<td><strong>Subtotal, Other Appropriated Funds</strong></td>
<td>29.0</td>
<td>6.4</td>
<td>23.0</td>
<td>5.0</td>
<td>5.0</td>
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<tr>
<td><strong>Subtotal, All Appropriated</strong></td>
<td>2,681.0</td>
<td>2,737.0</td>
<td>2,724.6</td>
<td>2,683.4</td>
<td>2,683.4</td>
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<tr>
<td>Non Appropriated Funds Employees</td>
<td>3,027.6</td>
<td>3,276.1</td>
<td>3,682.7</td>
<td>3,682.7</td>
<td>3,682.7</td>
</tr>
<tr>
<td><strong>Subtotal, Non-Appropriated</strong></td>
<td>3,027.6</td>
<td>3,276.1</td>
<td>3,682.7</td>
<td>3,682.7</td>
<td>3,682.7</td>
</tr>
<tr>
<td><strong>GRAND TOTAL</strong></td>
<td>5,708.6</td>
<td>6,013.1</td>
<td>6,407.3</td>
<td>6,366.1</td>
<td>6,366.1</td>
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</tbody>
</table>
## Part B.
### Personnel Headcount

#### Directly Appropriated Funds (Bill Pattern)

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Educational and General Funds Faculty Employees</td>
<td>1,138.0</td>
<td>1,250.0</td>
<td>1,527.0</td>
<td>1,527.0</td>
<td>1,527.0</td>
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<tr>
<td>Educational and General Funds Non-Faculty Employees</td>
<td>2,451.0</td>
<td>2,537.0</td>
<td>2,286.0</td>
<td>2,254.0</td>
<td>2,254.0</td>
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<tr>
<td><strong>Subtotal, Directly Appropriated Funds</strong></td>
<td><strong>3,589.0</strong></td>
<td><strong>3,787.0</strong></td>
<td><strong>3,813.0</strong></td>
<td><strong>3,781.0</strong></td>
<td><strong>3,781.0</strong></td>
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</tbody>
</table>

#### Other Appropriated Funds

<table>
<thead>
<tr>
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<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Incentive Funding - Transfer from THECB</td>
<td>26.0</td>
<td>0.0</td>
<td>10.0</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>Advanced Research Grants Transfer from THECB</td>
<td>11.0</td>
<td>10.0</td>
<td>10.0</td>
<td>10.0</td>
<td>10.0</td>
</tr>
<tr>
<td>Other (Itemize)</td>
<td>16.0</td>
<td>3.0</td>
<td>0.0</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td><strong>Subtotal, Other Appropriated Funds</strong></td>
<td><strong>53.0</strong></td>
<td><strong>13.0</strong></td>
<td><strong>20.0</strong></td>
<td><strong>10.0</strong></td>
<td><strong>10.0</strong></td>
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</table>

#### Subtotal, All Appropriated

<table>
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<tr>
<th></th>
<th>3,642.0</th>
<th>3,800.0</th>
<th>3,833.0</th>
<th>3,791.0</th>
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</table>

#### Non Appropriated Funds Employees

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<tr>
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<th>5,350.0</th>
<th>5,789.0</th>
<th>6,508.0</th>
<th>6,508.0</th>
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</table>

#### Subtotal, Non-Appropriated

<table>
<thead>
<tr>
<th></th>
<th>5,350.0</th>
<th>5,789.0</th>
<th>6,508.0</th>
<th>6,508.0</th>
<th>6,508.0</th>
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</table>

#### GRAND TOTAL

|                              | 8,992.0 | 9,589.0 | 10,341.0 | 10,299.0 | 10,299.0 |
PART C.
Salaries

**Directly Appropriated Funds (Bill Pattern)**

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<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>Educational and General Funds Faculty Employees</td>
<td>$80,155,153</td>
<td>$78,276,573</td>
<td>$80,892,026</td>
<td>$80,892,026</td>
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<tr>
<td>Educational and General Funds Non-Faculty Employees</td>
<td>$73,187,617</td>
<td>$76,677,753</td>
<td>$71,638,530</td>
<td>$69,292,462</td>
<td>$69,292,462</td>
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<tr>
<td><strong>Subtotal, Directly Appropriated Funds</strong></td>
<td><strong>$153,342,770</strong></td>
<td><strong>$154,954,326</strong></td>
<td><strong>$152,530,556</strong></td>
<td><strong>$150,184,488</strong></td>
<td><strong>$150,184,488</strong></td>
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</table>

**Other Appropriated Funds**

<table>
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<tr>
<th></th>
<th>Actual 2009</th>
<th>Actual 2010</th>
<th>Actual 2011</th>
<th>Actual 2012</th>
<th>Actual 2013</th>
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</thead>
<tbody>
<tr>
<td>Incentive Funding - Transfer from THECB</td>
<td>$1,579,084</td>
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<td>$1,514,264</td>
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<tr>
<td>Advanced Research Grants Transfer from THECB</td>
<td>$222,672</td>
<td>$194,321</td>
<td>$194,321</td>
<td>$194,321</td>
<td>$194,321</td>
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<td>Other (Itemize)</td>
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<td>$12,700</td>
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<td><strong>Subtotal, Other Appropriated Funds</strong></td>
<td><strong>$1,866,756</strong></td>
<td><strong>$207,021</strong></td>
<td><strong>$1,708,585</strong></td>
<td><strong>$194,321</strong></td>
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</table>

**Subtotal, All Appropriated**

<table>
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<tr>
<th></th>
<th>Actual 2009</th>
<th>Actual 2010</th>
<th>Actual 2011</th>
<th>Actual 2012</th>
<th>Actual 2013</th>
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</thead>
<tbody>
<tr>
<td>Non Appropriated Funds Employees</td>
<td>$124,274,658</td>
<td>$132,254,419</td>
<td>$137,736,447</td>
<td>$137,736,447</td>
<td>$137,736,447</td>
</tr>
<tr>
<td><strong>Subtotal, Non-Appropriated</strong></td>
<td><strong>$124,274,658</strong></td>
<td><strong>$132,254,419</strong></td>
<td><strong>$137,736,447</strong></td>
<td><strong>$137,736,447</strong></td>
<td><strong>$137,736,447</strong></td>
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</table>

**GRAND TOTAL**

<table>
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<tr>
<th></th>
<th>Actual 2009</th>
<th>Actual 2010</th>
<th>Actual 2011</th>
<th>Actual 2012</th>
<th>Actual 2013</th>
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</thead>
<tbody>
<tr>
<td>GRAND TOTAL</td>
<td><strong>$279,504,184</strong></td>
<td><strong>$287,415,766</strong></td>
<td><strong>$291,975,588</strong></td>
<td><strong>$288,115,256</strong></td>
<td><strong>$288,115,256</strong></td>
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### SCHEDULE 9: EXPENDITURES ASSOCIATED WITH UTILITY OPERATIONS

#### 82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

<table>
<thead>
<tr>
<th>Item</th>
<th>Consumption</th>
<th>Cost</th>
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<td><strong>ENERGY COST</strong></td>
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<tr>
<td>(1) Purchased Electricity (KWH)</td>
<td>88,651,454</td>
<td>$5,504,813</td>
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<tr>
<td>(2) Purchased Natural Gas (MCF)</td>
<td>652,356</td>
<td>$3,080,805</td>
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<tr>
<td>(3) Purchased Thermal Energy (BTU)</td>
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<tr>
<td><strong>WATER/WASTE WATER</strong></td>
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<tr>
<td>(4) Water (1,000 gal.)</td>
<td>202,664</td>
<td>$734,414</td>
</tr>
<tr>
<td>(5) Waste Water (1,000 gal.)</td>
<td>182,370</td>
<td>$409,481</td>
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<tr>
<td><strong>UTILITIES OPERATING COSTS</strong></td>
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<td></td>
</tr>
<tr>
<td>(6) Personnel</td>
<td></td>
<td>$1,730,804</td>
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<tr>
<td>(7) Maintenance and Operations</td>
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<td>$3,647,051</td>
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<tr>
<td>(8) Renovation</td>
<td></td>
<td>$569,500</td>
</tr>
<tr>
<td><strong>UTILITIES DEBT SERVICE</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9) Revenue Bonds</td>
<td></td>
<td>$1,048,156</td>
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<tr>
<td>(10) Loan Star</td>
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<td>$299,311</td>
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<tr>
<td>(11) Performance Contracts</td>
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<td>$100,510</td>
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<td>(12) <strong>TOTAL</strong></td>
<td></td>
<td><strong>$17,124,845</strong></td>
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</tbody>
</table>
### Project Description

The project will construct a new building to house high-tech interdisciplinary research laboratories, principle research investigator offices, faculty and staff offices, and support space to foster Texas Tech's growing research programs. The entire project is estimated at $87.75 million with the source of funding as follows: $78.975 million - Tuition Revenue Bonds; $8.775 million funds other than Tuition Revenue Bonds.
### Project Description

The fundamental goals of the project are (1) to accommodate current academic space needs including general classrooms, distance educational facilities, research labs, and faculty and graduate student offices (2) to create a College focal point projecting the College identity and enhancing interdepartmental fusion among students and faculty members, and (3) to plan the long-term vision for the College. The new facilities will accommodate several large tiered lecture halls and distance learning classrooms, the Computer science department, Student Services Office of the Dean, and other teaching and support spaces.

A multi-use landscaped plaza will be created between the buildings to expand opportunities for interaction between students, faculty, and alumni. The project will also construct a College of Engineering "Main Street" to assist in unifying the students of various departments in the college.
### SCHEDULE 10B: TUITION REVENUE BOND ISSUANCE HISTORY

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

<table>
<thead>
<tr>
<th>Authorization Date</th>
<th>Authorization Amount</th>
<th>Issuance Date</th>
<th>Issuance Amount</th>
<th>Authorized Amount Outstanding as of 08/31/2010</th>
<th>Proposed Issuance Date for Outstanding Authorization</th>
<th>Proposed Issuance Amount for Outstanding Authorization</th>
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</thead>
<tbody>
<tr>
<td>1971</td>
<td>$33,500,000</td>
<td>Feb 1 1972</td>
<td>$5,000,000</td>
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Agency Code: 733  
Agency: Texas Tech University

Special Item: 1  
Library Archival Support

(1) Year Special Item: 1996

(2) Mission of Special Item:
The Vietnam Center and Archive contribute directly to the research, recruiting, and enrollment missions of Texas Tech University. The project promotes the study of the American Vietnam experience, especially the Vietnam War, and collects, preserves, and makes accessible related historical materials. The project involves a dynamic set of faculty, staff, students, scholars, veterans, and other participants. The Vietnam Center hosts annual conferences where individuals with vastly different viewpoints come together to discuss and learn from one another. These events include students and wartime participants from Texas, the US, and the world. The Vietnam Archive provides students and researchers in Texas with access to the richest set of educational resources available outside of the US National Archives and has made a large portion of them available to the global community through the Internet and Virtual Vietnam Archive.
The Vietnam Center contributes to recruiting and enrollment by providing direct support to academic programs at Texas Tech, attracting students interested in these areas of study. The Vietnam Center also leverages contacts in Vietnam to bring students from Vietnam to Texas Tech University while academic connections with Vietnam have led to joint research projects. Essential to the Vietnam Center’s success has been the very strong support of the university leadership, the Lubbock community, the State of Texas, the US government, and the US veteran community.

(3) (a) Major Accomplishments to Date:
The Vietnam Center leads the nation in the study of the American Vietnam experience through its many programs and an archive of 20 million pages of historical documentation. The 2010 Vietnam Center Conference is being co-hosted with the Johns Hopkins School of Advanced International Studies and will examine the lessons of Vietnam as they should apply to Iraq and Afghanistan. The Vietnam Archive’s collections grew by nearly 800 donations in the preceding two years which include many donations from Texas veterans. In addition to innumerable veteran collections, we continue to make accessible for researchers a document collection that chronicles the experiences of Vietnamese political prisoners after the Vietnam War. This collection of Vietnamese American materials was deemed by the Texas State Archivist to be essential to preserving the history of underrepresented groups in our State. The Vietnam Archive also continued to leverage US Federal funding in support of the Virtual Vietnam Archive in the amount of $571,000 in 2009 and $850,000 in 2010.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
The Vietnam Center will host four international conferences in the next two years, will support TTU student research presentations at these events, and will participate in numerous events hosted by other institutions. The center will continue to support the recruiting and enrollment missions of TTU by spearheading efforts to create a new Graduate Certificate in Southeast Asian Studies, attracting a larger set of students interested in this field of study. Work with the College of Distance Education to create additional course offerings unique to TTU will carry on. The center will continue to coordinate international student recruiting efforts to bring more Vietnamese students to TTU and to work with colleges at TTU to create joint academic and research projects with Vietnamese universities. Funding for a new facility that will house both the Vietnam Center and Archive and a National Museum of the Vietnam War will be pursued. The Vietnam Archive will continue to collect materials and interviews from veterans in Texas and across America, adding to the research materials available to TTU students and faculty. We will continue to leverage federal and other research grants allowing us to continue mentoring and supporting TTU students and graduate assistants. Support will continue for US Veterans Affairs for claims processing and the Department of Defense as they seek to find MIAs from the war and to apply lessons learned from the Vietnam War to the Global War on Terror.

(4) Funding Source Prior to Receiving Special Item Funding:
N/A
### (5) Non-general Revenue Sources of Funding:

<table>
<thead>
<tr>
<th>Year</th>
<th>Federal</th>
<th>Private</th>
<th>Other State</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
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<td>$30,500</td>
<td>$0</td>
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<tr>
<td></td>
<td>Federal (IMLS and SSI USAWC)</td>
<td>Private (Henry Luce Foundation, Strake Foundation, and multiple private donations)</td>
<td>* includes funding from states other than Texas</td>
</tr>
<tr>
<td>2011</td>
<td>$850,000</td>
<td>$100,000</td>
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<tr>
<td></td>
<td>Federal Funding</td>
<td>Private</td>
<td>Other State *</td>
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<tr>
<td>2012</td>
<td>$250,000</td>
<td>$100,000</td>
<td>$0</td>
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<tr>
<td></td>
<td>Federal</td>
<td>Private Grants</td>
<td>Other State *</td>
</tr>
<tr>
<td>2013</td>
<td>$500,000</td>
<td>$100,000</td>
<td>$0</td>
</tr>
<tr>
<td></td>
<td>Federal</td>
<td>Private</td>
<td>Other State *</td>
</tr>
</tbody>
</table>

### (6) Consequences of Not Funding:

The Archive and Virtual Vietnam Archive are used by tens of thousands of students and researchers each year and we now support more than 1.5 million online research sessions every year. These resources are also being used by the US Veterans Administration to help Vietnam veterans and by the Department of Defense to better fight the Global War on Terror and to fully account for MIAs from the Vietnam War, which includes 119 Texans.

TTU is the only academic institution in the US with an Archive dedicated to the collection and preservation of these historical materials. Without the Vietnam Archive, future generations will be unable to fully examine and interpret the events of the Vietnam War and its aftermath. There is a finite amount of time in which to collect these invaluable materials that document individual American experiences in the Vietnam War.
Agency Code: 733  
Agency: Texas Tech University

Special Item: 2  
Research to Enhance Ag Production and Add Value to Ag Production in TX

(1) Year Special Item: 1999

(2) Mission of Special Item:
The mission of this line is to enhance the profitability, productivity, safety and security of the agricultural and natural resource enterprises of Texas.

(3) (a) Major Accomplishments to Date:
A partial list of accomplishments is noted:
Developed an integrated crop/livestock/forage production system that requires 23% less irrigation water over cotton monoculture systems, potentially saving Texas producers an estimated $18M in expenses; developed satellite imagery systems to produce maps of crop groundcover for precision applications to increase crop revenue by $50M annually; and made advances that reduce nitrogen fertilizer use by 40%, protecting the ozone belt and reducing soil erosion.
Breeding, genomics and biotechnology research developed higher yielding cotton varieties that add approx. $50/acre, or $100M annual farm income. Manufacture and sale of instruments developed for rapid measurement of fabric smoothness, stain release, and shrinkage could generate $5-$10M annually in economic activity, while the savings in testing costs and contractual disputes could amount to $10-$20M annually.
Food safety and quality research produced cattle feed additives and chemical treatments for meat that will potentially save the industry $9B annually in illnesses prevented and product recalls; and innovative packaging methods to extend shelf life.
Value added products include a nutritious sausage that increases daily fruit intake for school lunch programs. Findings in proper management of plant density and irrigation can potentially conserve water and increase cotton micronaire, and enhance profitability by approx. $37M for High Plains cotton industry.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
TTU College of Agricultural Sciences and Natural Resources researchers are providing educational and research leadership and expertise in developing production processes that are environmentally and economically sustainable and increase yield and quality of agricultural products. TTU is investigating the use of agricultural crops for biofuels and other value-added products, contributing to global energy security, viability of the agriculture industry, and economic development of rural communities. Under development is commercialization of plant and animal genotypes enriched in nutrients for human health by using transgenic technology, new bioactive natural products as pharmaceuticals or agrichemicals, and medicinal plants for production of pharmaceuticals. Research efforts are targeted to water, food safety and quality, and computer imaging technology to measure diverse properties of fibers, yarns, and fabrics. Genomic mapping of corn, cotton, wheat, and sorghum to increase tolerance to biotic/abiotic stresses will continue, as well as the nationally recognized research program in farm and trade policy analyses and crop insurance and agricultural risk management. Research will continue on management strategies to optimize populations of wildlife species, in management practices to maintain healthy playa lake environments for non-harvested species that provide economic opportunities, and in protein supplementation for ruminant animals on improved forages.

(4) Funding Source Prior to Receiving Special Item Funding:
Very limited funding was received from the USDA and producer/commodity groups.

(5) Non-general Revenue Sources of Funding:

<table>
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<tr>
<th>Year</th>
<th>Federal</th>
<th>Private</th>
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**Agency Code: 733  Agency: Texas Tech University**

<table>
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<th>Year</th>
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<td>$4,100,000</td>
<td>$1,250,000</td>
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</table>

* includes funding from states other than Texas

**Consequences of Not Funding:**

These research thrusts are critical to the economic stability of Texas. The special item support has been instrumental in making Texas Tech University a leading nucleus for agricultural research, and has generated approximately 4:1 in sponsored funding. TTU is uniquely positioned to promote interdisciplinary and inter-institutional programs linking all disciplines of the College of Agricultural Sciences and Natural Resources, other colleges/schools of Texas Tech University, Texas AgriLife Research, Texas AgriLife Extension, and USDA-ARS scientists. With external pressures of increased global competition, uncertainties of farm and trade policies, declining supplies of underground water for crop irrigation, and increasing production/input/energy/technology costs, continued research support is critical to sustain the viability of agriculture and rural communities in this vast, highly productive region of the state.
SCHEDULE 11: SPECIAL ITEM INFORMATION
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: 733
Agency: Texas Tech University

Special Item: 3
Research in Energy Production and Environmental Protection in Texas

(1) Year Special Item: 1999

(2) Mission of Special Item:
The mission of this special line item is to enhance the Texas economy by developing new technologies that will supply sustainable, reasonably priced, environmentally-friendly sources of energy and water, and to protect and preserve our environment and natural resources while utilizing them to improve the quality of life for Texans.

(3) (a) Major Accomplishments to Date:
Multidisciplinary research is supported in Whitacre College of Engineering (WCOE), Water Resources Center (WRC), Wind Science Engineering Research Center (WISE), and Cooperative Biological Database (CBD). WRC accomplishments include identification of natural perchlorate in the hydrologic cycle, water recycling systems for NASA, demonstration of wind-powered desalination, watershed management strategies for water yield enhancement, regional water planning, observation of aquifer recharge, studies of remediation of soil and groundwater contamination, evaluation of emerging contaminants in surface and groundwater, and computer modeling for groundwater management. WISE has provided extensive wind-related research, information and outreach relating to wind energy and hazard mitigation; proposed a standard for windstorm resistant construction for the TX Gulf Coast, and the effects of extreme wind speed and wind direction shear on the performance of utility scale wind turbines; developed the 59 station West Texas Mesonet, and defined turbine inflow and wakes to optimize performance, and evaluate effects of transient wind events on wind turbine power systems. The CBD developed a web-based catalogue to permit decision makers, and the general public internet access to this important guide to the University’s mammalian species collection. Satellite images aid development of land use and land cover maps for the state and spatial distribution of vertebrates.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
WCOE research focus will be on development of novel solar materials and improvement of photovoltaic devices and the development of small and large scale energy storage devices for intermittent sources of energy and portable storage devices, as well as other renewable energy areas including biofuels, wind and geothermal. WRC new research will include enhancement of aquifer recharge through playas, development of energy-efficient RO systems for small applications, monitoring of river flow and water quality for City of Lubbock, application of regional climate projections for regional water availability, and providing hydrologic services for the state’s water yield enhancement program. WISE plans continued growth of the Great Plains Wind Power Test Facility at Reese Tech Center; continued acquisition of research grade wind data from extreme wind events to aid engineering design; quantification and mapping of turbine inflow and wake flows using state-of-the-art Ka-band mobile radar technology to optimize performance and investigate reliability; expansion of the West Texas Mesonet; enhancement of existing atmospheric observational technologies and development of new capabilities to provide real-time information and improve operational decision making. CBD is expanding Internet access to biological databases to provide new information and greater access. Books on wildlife and Texas natural history, as well as distributions of vertebrates and invertebrates have been published.

(4) Funding Source Prior to Receiving Special Item Funding:
Limited amounts of funding were received from a variety of federal, state and private sources to support small projects in the multiple disciplines encompassed by this line item.

(5) Non-general Revenue Sources of Funding:

<table>
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<tr>
<th>Year</th>
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*Includes other non-general funds.
### Agency: Texas Tech University

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* includes funding from states other than Texas

### (6) Consequences of Not Funding:

This strategy provides significant leverage in attracting sponsored funding by providing matching funds, infrastructural support for “in kind” matching, and a base of research reputation, experience, and expertise attractive to external research sponsors. As a result of no or reduced funding, important research of significant potential economic benefit to the State of Texas and the surrounding region would be eliminated. Additionally, valuable research in alternate energy sources, support for wind energy-related workforce development, more efficient use of existing energy and water resources, and environmental protection, restoration, and management will be lost.
Special Item: 4  Research in Emerging Technologies and Economic Development in Texas

(1) Year Special Item: 1999

(2) Mission of Special Item:
The mission of this special line item is to enhance the Texas economy by creating and transferring intellectual property and by developing more effective workforces and marketing strategies.

(3) (a) Major Accomplishments to Date:
Advances have been made in the commercialization of new technologies, such as a developed turfgrass which in turn stimulated the creation of an estimated 100 additional retail and marketing positions across Texas. Investments that lead to a license agreement have been made into preclinical trials of the efficacy of novel antibiotics in mice. TTU has also funded the development of a patent pending microwave pasteurization prototype which was licensed to a local start-up company. The Texas workforce is being strengthened through graduate fellowships in areas of great importance to West Texas and the State, such as alternative energy, environmental toxicology, math, science, engineering, and agriculture. This line has enabled targeted research in renewable energy technologies, as well as clean energy production. Agricultural production research has been supported in the areas of genomics and breeding mechanisms, and large scale oilseed extraction. Rural tourism and grape and wine industry research has provided new Texas wineries with marketing and business strategies, as well as helped established wineries with new product ideas and efficient marketing practices. Additional research has been made in the areas of nanotechnology, neuroimaging, career and early childhood development, substance abuse, domestic violence intervention, financial planning, family and individual mental health, the “greening” of the hospitality industry, and military family services.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
This line item will support development of intellectual capital to fuel an emerging high-tech economy and create new employment opportunities in Texas and beyond. The line funding will be used to bridge the gap between proof of concept and commercial viability, and will support the technology transfer of TTU developed technologies into the private sector. Pilot proposals supported by research from the supported seed grants will continue to serve as the foundation for future grants to be submitted to external agencies. Advances are expected in research pertaining to neuroimaging, nanotechnology, career development, early child development, substance abuse treatment and recovery, domestic violence, financial planning and retirement, and family and individual mental health. Increased productivity, reduced burdens on social and governmental services, and improved family relations are all expected outcomes. Marketing research continues on the wine industry, rural tourism, the “greening” of the hospitality industry and preferences in organic food and food safety. This will provide important industry data on new markets and improving marketing efficiency, enhancing our understanding and knowledge of local food industries, attracting new investors, and developing techniques to improve product satisfaction, food safety, and profitability. Funding is expected to be leveraged from federal and private sources, as well as through industry partners and state agencies.

(4) Funding Source Prior to Receiving Special Item Funding:
None.

(5) Non-general Revenue Sources of Funding:

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Agency Code: 733  

Agency: Texas Tech University

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* includes funding from states other than Texas

(6) Consequences of Not Funding:

TTU is committed to supporting the commercialization of new technologies, and this line contributes to an overall plan to bridge the gap between basic and applied research and proof of concept. Using this funding to advance promising technologies, TTU is able to bring forward opportunities of significant potential economic benefit to the State of Texas and the surrounding region. Reducing this ability to provide start-up capital money to fund promising projects during formative stages would all but eliminate the chances of obtaining substantial external federal support for such research and would decrease the level of sponsored projects to the university in areas emphasized. Beginning Fall 2009 this line has contributed critical graduate research fellowship support to an initiative targeted at growth in areas of research identified by TTU to deliver an economically important return to the State and to the region. These graduate students will be the faculty of the future and the innovative problem-solving business leaders of tomorrow. TTU’s workforce research also addresses important social and human concerns for Texas and the nation. The findings from such research programs have untold economic benefit to the State of Texas and beyond. This funding has allowed TTU the ability to respond quickly to problems, opportunities, and issues of emerging state and national interest. Reduction of funding would adversely affect TTU’s ability to protect intellectual property.
Agency Code: 733  Agency:  Texas Tech University

Special Item:  5  Junction Annex Operation

(1) Year Special Item:  1972

(2) Mission of Special Item:
To provide enhanced access to higher education for residents of the under-served Western Hill Country region; facilities for off-campus academic programs (especially field science and teacher education) for local and resident Texas Tech students; and facilities for off-campus special programs, such as Outdoor School, a residential field science enrichment program for rural independent school districts.

(3) (a) Major Accomplishments to Date:
Establishment of the Junction Center as a hub of the TTU Hill Country Educational Network which expands access to bachelor’s and master’s degrees for residents of up to 14 Hill Country and Central Texas counties. Staff, logistic and technology support is provided by TTU Junction to other academic centers in the TTU Hill Country Educational Network at Fredericksburg and Marble Falls.
Expansion and improvement of facilities to support: 1) academic programs; 2) a major field research station focused on water and watershed issues supporting research by several Texas universities; 3) academic and scientific conferences; 4) training and professional development for a wide-range of local, state and federal government entities; and 5) workforce and professional development training by community colleges. During 2009 a major research lab was completed with matching funds from the City of Junction, and interactive video conferencing classrooms were upgraded with the latest technology through a USDA telecommunications grant matched by AT&T. The Center is becoming well-known for hosting important scientific meetings, such as the Texas Academy of Sciences 2009 and the Organization of Biological Field Stations in 2008. Such meetings hosted over 1300 attendees in 2009 alone.
Continued support for Outdoor School, a week-long field-science school, which serves school districts from throughout Central Texas and provides opportunities for science education research.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
The TTU Junction Center expects continued development as a regional resource to provide comprehensive higher-education services offering an ever-expanding menu of degrees and programs delivered by several institutions, particularly in the fields of public education and natural sciences. Undergraduate and graduate programs in education will continue to provide critically-needed access to students from rural communities and promote improvement in K-12 education throughout the region.
Continued expansion of the Junction Llano River Field Research station facilities and services is planned and will provide a comprehensive center for research in water and watershed issues. Several important scientific conferences which will have a major impact on local economic development are planned for the TTU Center.
The TTU Center at Junction will continue to expand its role as a major resource for science teacher education by operating its Outdoor School to serve as many as 1,500 K-12 students (many Title I) and teachers (including pre-service teachers) per year. Non-credit programming in support of business and economic development will be expanded. Finally, TTU Junction will continue to upgrade its facilities to better host comprehensive workforce and professional development training programs of state and federal agencies, including programs in sustainable development and environmental ecology. This training is critical in updating the skills of local and state agency personnel.

(4) Funding Source Prior to Receiving Special Item Funding:
None.

(5) Non-general Revenue Sources of Funding:
2010  $193,000 Tuition and Fees
          $195,000 Auxiliary Sales
Agency Code: 733  Agency:  Texas Tech University

<table>
<thead>
<tr>
<th>Year</th>
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<th>Auxiliary Sales</th>
<th>Private grants (projected)</th>
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<tbody>
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<td>2011</td>
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<tr>
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</tr>
<tr>
<td>2013</td>
<td>$256,000</td>
<td>$258,000</td>
<td>$50,000</td>
</tr>
</tbody>
</table>

(6) Consequences of Not Funding:

The fastest-growing rural area in Texas would be severely underserved in terms of higher education, including technical and workforce development training, and critical scientific research would be eliminated. Discontinuing the distance education programs offered at Junction would negatively impact Texas Tech students (who have no other regional options for field science study) and the residents of rural counties in the Hill Country desiring to participate in higher education. The residents of Junction and surrounding Kimble County would suffer severe negative economic impact through the loss of a major driver of regional economic development (over $3 million per year impact).
TTU Hill Country Educational Network

(1) Year Special Item: 2002

(2) Mission of Special Item:
To provide expanded access to higher education for residents of the underserved Hill Country through a network of higher education teaching sites: The TTU Hill Country Educational Network.

(3) (a) Major Accomplishments to Date:
Expansion of the TTU Hill Country Educational Network which provides access to academic programs for residents of 14 Hill Country counties. Teaching sites utilizing advanced technology for instructional delivery have been established and are fully operational. Since 2004, more than 300 students have graduated in the following programs: M.Ed. in Education Leadership with principal certification, Master of Art Education, MS in Nursing, BS in Nursing, and the Bachelor of General Studies. Many of the graduates have assumed leadership positions in education and health care in the Hill Country region. Texas Tech now offers the doctorate in Educational Leadership to more than 15 students in the region, and Angelo State continues to expand its offerings, with four new graduate programs in education beginning in 2010. Texas Tech began to offer an u/g teaching degree with EC-6 Certification and ESL/Bilingual endorsement to over 40 students in 2008-2009 and will offer a special education concentration beginning in 2010-2011. This program enrolls many teacher aides wishing to progress to teacher status and is strongly supported by area superintendents. A grant from USDA telecommunications (matched by AT&T) provided state of the art video conferencing classrooms at Highland Lakes (Marble Falls) and Fredericksburg. Science labs were constructed in both Highland Lakes and Fredericksburg.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
The TTU Hill Country Educational Network will outfit and operate new higher education centers with interactive video conferencing classrooms and science laboratories, which are needed to support education of teachers and nurses. An undergraduate teaching degree with ESL and bilingual certifications is currently in operation and will add concentrations in math/science and special education, all helping to meet the critical needs of rural districts. An undergraduate program in hotel and restaurant management designed to support rural economic development created by the tourism industry is planned. Finally, emphasis will be placed on development of additional academic concentrations in the Bachelor of General Studies degrees to open new career pathways for place-bound community college students. Improved academic facilities and expanded technology services will support additional educational opportunities to residents of the Hill Country region, building on community initiatives to construct higher education centers in Marble Falls and Fredericksburg.

(4) Funding Source Prior to Receiving Special Item Funding:
None.

(5) Non-general Revenue Sources of Funding:
This item is supported by tuition and fee revenue, formula funding and donated funds. In both Marble Falls and Fredericksburg, local agencies and nonprofit organizations have built education facilities in 2009/2010 to house academic, workforce development, and administrative operations. The community investment in these facilities exceeded $8.0 million.

2010 $470,000 Tuition and Fees
2011 $520,000 Tuition and Fees
2012 $570,000 Tuition and Fees
Agency Code: 733  Agency:  Texas Tech University

$30,000  Private grants (projected)
2013  $625,000 Tuition and Fees
$50,000  Private grants (projected)

(6) Consequences of Not Funding:

The fastest growing rural area in Texas would continue to be under-served in terms of public higher education opportunities, including technical and workforce development training, with subsequent negative impact on economic development in the region. Discontinuing the development of these facilities and instructional programs would negatively impact the residents of the rural counties in the Texas Hill Country and fail to support the goals of the Closing the Gaps study by eliminating critical skills training for nurses and educators.
Agency Code: 733  
Agency: Texas Tech University

Special Item: 7  
Small Business Development Center

(1) Year Special Item: 1990

(2) Mission of Special Item:
To provide free business counseling and training for all small businesses in the 95 county service area of the Northwest Texas SBDC (NWTSBDC). Special emphasis is being given to rural small businesses through basic and advanced counseling and wireless broadband teleconferencing.

(3) (a) Major Accomplishments to Date:
Since program start in 1987, the NWTSBDC has assisted in creating 25,043 new jobs. The NWTSBDC has also assisted in opening 7,176 new businesses. The NWTSBDC has counseled over 62,148 clients and trained over 112,500 seminar attendees.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
Over the next 2 years the Northwest Texas SBDC will counsel over 6,050 clients, provide training to over 10,000 small business seminar attendees, assist with over 630 new business starts, expansions and saves as well as assist in the creation of over 2,900 new jobs within the region.

(4) Funding Source Prior to Receiving Special Item Funding:
Federal funds and Local funding.

(5) Non-general Revenue Sources of Funding:

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<tr>
<th>Year</th>
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<th>Local Funds</th>
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<tr>
<td>2012</td>
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</tr>
<tr>
<td>2013</td>
<td>$1,116,428</td>
<td>$378,000</td>
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</table>

(6) Consequences of Not Funding:
All of the Federal Funds that this program receives require either one-for-one or two-for-one matching. If this program is not funded by the State of Texas, an equal amount of funding will be lost from Federal and Local Sources. This decrease in funding would result in a major reduction in program services and would cause the NWTSBDC to serve 3,000 to 4,000 fewer small business clients, 6,000 to 9,000 fewer seminar attendees, create 300 to 400 fewer new businesses and a loss of 1,500 to 2,000 new jobs created.
Agency Code: 733  Agency: Texas Tech University

Special Item: 8  Museum & Historic, Cultural, and Educational Centers

(1) Year Special Item: 1966

(2) Mission of Special Item:
This strategy concerns the International Cultural Center, the Lubbock Lake Landmark, the Museum of Texas Tech University, and the National Ranching Heritage Center (NRHC). Each of the four entities has a teaching and research function for university students and faculty, and an over-arching mission of public outreach and education locally, regionally, nationally, and globally. The collections, exhibitions, publications, and programs conducted at all four sites complement the diverse interests and roles of TTU in public and professional service. All four centers offer an inviting and informative gateway to the university and provide many citizens opportunities for direct involvement with various functions of the centers.

(3) (a) Major Accomplishments to Date:

International Cultural Center
• Expanded opportunities for TTU students to study abroad. Students can now choose from 500 sites in 80 countries;
• Passport acceptance services for TTU and Lubbock area communities;
• Successful implementation of changing security requirements from the Department of Homeland Security impacting international students, researchers, and faculty;
• Surpassed 1,600 non-immigrant international students at TTU;

Museum and Lubbock Lake Landmark
• Received 10-year certification as State Curatorial Facility for Archaeological Collections and 10-year re-accreditation by the American Association of Museums; new species of bat (Micronycteris giovanniae) named after internationally acclaimed poet Nicky Giovanni
• Color Print and Andy Warhol Foundation acquisitions; incorporated University of Texas at Austin biological collections and Science Museum of Minnesota biological collections into the Museum’s Natural Sciences collections

National Ranching Heritage Center
• Published book on spur collection and history of spur makers; began history project recording lives of cowboys and ranch life; co-sponsored with Texas Historical Commission annual regional Texas History Day competition for middle school students;
• Began research project studying social/economic impact of wind farm leases on ranch operations; acquired ranch house of African-American Texas rancher of mid 19th to early 20th centuries

(3) (b) Major Accomplishments Expected During the Next 2 Years:
International Cultural Ctr
• Increase numbers for study abroad in non-traditional countries, Peace Corps Masters International, faculty led programs, scholarships, and participation in graduate programs
• Work with Mentor Tech; continue intensive language study abroad to increase retention of language students
• Add K-12 international outreach programs that align w/TEKS; reach remote areas of West Texas; provide workshops on international issues & the Holocaust
• Continue art exhibits, cultural programs, & lectures
• Increase studies of water-related issues in arid/semiarid lands; add MS in Arid Land Studies

Museum & Lubbock Lake Landmark
• Enhance and expand research and educational programming, research and preservation of collections, collections as a significant recruiting tool, graduate student mentoring & retention, facilities for the Museum Science/Heritage Management graduate programs, and visibility of the Ctr for Advanced Study of Museum Science/Heritage Management
• Celebrate 75th Anniversary of Lubbock Lake Landmark Discovery with year-long educational programming
• Create planning document for a transitional space into the Museum

National Ranching Heritage Ctr
• Continue research of socio-economic changes on ranches with wind farm leases
• Acquire scholarships for interns pursuing Museum Science/Heritage Management graduate degrees
• Begin research of impact of illegal aliens crossing ranches along southern US border
• Expand community education programs

(4) Funding Source Prior to Receiving Special Item Funding:
The National Ranching Center ~1975 Private Sources.

(5) Non-general Revenue Sources of Funding:

International Cultural Center
2010 $185,210 Grants
2011 $186,465 Grants
2012 $186,465 Grants
2013 $186,465 Grants

Museum and Lubbock Lake Landmark
2010 $77,000 Private Funds
2011 $20,000 Grant
$189,800 Private Funds (requested but have not heard if funding will be granted)
2012 $20,000 Grant
2013 $20,000 Grant

National Ranching Heritage Center
2010 $20,982 Contracts
$242,600 Private Gifts
$42,760 Investment income
2011 $15,000 Contracts
$203,200 Private Gifts
$40,000 Investment income
Agency Code: 733  
Agency:  
Texas Tech University

<table>
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<tr>
<th>Year</th>
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(6) Consequences of Not Funding:

The Museum, Lubbock Lake Landmark, and National Ranching Heritage Center are trusted with the responsibility to preserve and protect collections, and to provide professional stewardship for those objects placed in their care. That mandate will be disrupted as a consequence of reduced or eliminated funding. The immediate impact of no funding will be loss of staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments. Public programming, as well as the dissemination of information gained from collections, will be decreased or eliminated should funding be cut. Service to the University’s academic programs will be eliminated, and the Museum Science & Heritage Management graduate programs and other TTU classes held at the locations will have to be discontinued. The research and educational activities at the NRHC and the Lubbock Lake Landmark will be curtailed, and care of the cultural and natural heritage associated with these locations will be dramatically reduced or terminated. The loss of public trust will be devastating nationally and internationally.

If not funded, the International Cultural Center will be inoperative and cannot fulfill the functions for which the building was constructed. The target groups to be served by the International Cultural Center, especially the thousands of schoolchildren and TTU students who will be adversely affected by receiving reduced services and inadequate educational opportunities.
Agency Code: 733  
Agency:  
Texas Tech University

Special Item: 9  Center for Financial Responsibility

(1) Year Special Item: 2000

(2) Mission of Special Item:
The Center for Financial Responsibility (CFR) mission is to help Texas citizens achieve personal responsibility in retirement planning, debt management and financial literacy by conducting research, and delivery of financial knowledge to citizens, financial services professionals, fiduciaries and teachers. The CFR focuses on the financial well-being of Texas citizens by emphasizing increasing savings and financial preparation for retirement. The CFR develops financial literacy resources to prevent individual bankruptcy and financial hardships that negatively affect state and local economies. Research conducted by the CFR with high school teachers found reluctance to teach the topic due to 1) lack of academic preparation and 2) personal financial issues within the lives of those educators. Institutions of higher education and those students graduating with high debt loads from loans and credit card abuse are served through research and service from the nationally recognized Red-to-Black Financial Counseling Center (R2B) and state-wide financial literacy research with Texas adults. The R2B model earns national recognition as a means of increasing retention and matriculation rates. A third goal is to increase the number of academic programs in Personal Financial Planning (PFP) with emphasis on minority institutions throughout Texas and focusing on availability of general education classes in personal finance to increase student body financial literacy.

(3) (a) Major Accomplishments to Date:

• International Foundation for Retirement Education (InFRE) $100,000 grant for research into the scope, careers opportunities and impact of the retirement industry.
• Charles Schwab Institutional $500,000 grant for research on financial issues directly related to economic well-being of Texas families and individuals.
• CFP Board $2,000,000 grant to develop the first doctoral degree in the U.S. in Personal Financial Planning thereby expanding faculty and research capabilities on family and individual financial issues.
• ING $250,000 diversity initiative grant to TTU and Prairie View A&M to implement PFP programs at Historically Black College and Universities (HBCUs) throughout the United States. The CFR is facilitating program development at minority-serving institutions to serve as centers to increase student financial literacy. Meetings are being held with minority institutions in Texas to build faculty and administrator awareness of careers for minority students.
• InFRE $100,000 grant for development of an online retirement literacy index for use by citizens to evaluate preparation for and understanding of retirement with National Association of Government Defined Contribution Administrators (NAGDCA ).
• The CFR has worked with InFRE to implement the Certified Retirement Counselor designation into university curricula throughout Texas as a career path. NAGDCA has provided ongoing financial support for those students through mentorships at their national meeting.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
Agency Code: 733  Agency:  Texas Tech University

• Expansion of minority university (HBCUs and Hispanic-Serving Institutions) financial planning programs in Texas with particular emphasis on UT-Pan American University (UTPA) with funding of $300,000 from industry and governmental sources.
• Acquisition of approximately $200,000 for financial planning education with UTPA for education on student debt control to increase graduate program enrollment and retention and accomplish the legislature’s “Be on Time” initiative.
• Continued development of in-service education and delivery of financial literacy information for use by Texas teachers as well as curricula appropriate for delivery as part of the financial literacy legislative requirement in Texas high schools. Increased financial literacy should increase teacher retention and teaching of financial literacy in classes.
• Acquisition of funding from the Financial Planning Association Foundation to deliver personal financial information to teachers in public schools throughout Texas with the outcome being increased responsibility for economic well-being and higher levels of student and faculty financial literacy.
• Establishment of a financial literacy/assistance program to serve military personnel stationed within Texas in cooperation with Texas A&M Agrilife Extension Service with approximate funding of $2,000,000.
• Develop a research assessment model for financial literacy outcomes with Washington, D.C. charter schools with a $200,000 grant for a 2 year study.

(4) Funding Source Prior to Receiving Special Item Funding:
An initial grant of $200,000 was from the International Foundation for Retirement Education. The leverage afforded by the state line item is essential to acquiring all of the funding listed above.

(5) Non-general Revenue Sources of Funding:
All sources of funds have been from corporate/industry sources including financial services foundations listed above. Federal sources are being pursued when appropriate Requests for Proposals or specific initiatives are publicized at the federal level.

(6) Consequences of Not Funding:
The relatively small amount of funds requested are essential to providing an institutional framework to develop the truly unique services, research and programs that brought national recognition to the PFP program and Texas Tech University as the best program in the U.S. from The New York Times. The funded and proposed initiatives involving the development of academic programs in personal financial planning in Texas minority colleges and universities and the resultant increase in financial literacy within general student populations are a statewide benefit to citizens of Texas and a significant leverage of this funding that impacts multiple public academic institutions throughout Texas. The ability to leverage the funds has been proven every year in the form of the acquisition of additional monies that increase the academic uniqueness, recruitment and retention of students, publication of research, and development of programs of direct benefit to Texas Tech as well as the state of Texas.
Agency Code: 733  
Agency: Texas Tech University

| Special Item: 10 | Competitive Knowledge |

(1) Year Special Item: 2006

(2) Mission of Special Item:
The undergraduate and graduate educational experience, and ultimately the success of students, is dependent upon the quality of student-faculty interaction. Faculty members are expected to provide quality instruction, engage in meaningful research endeavors, and contribute to their communities through informed and skillful service. Therefore, it is important that an adequate number of faculty members be provided who use their research expertise to enrich their teaching with the most up-to-date information available in their fields of study. Findings of research are integrated into the teaching and service components of the university’s mission to provide graduates who are well prepared to enter the workforce and address the challenges facing Texas and the world.

(3) (a) Major Accomplishments to Date:
Created 33 new Tenure/Tenure Track faculty positions.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
Continue supporting the recruitment of additional highly qualified and skilled faculty members to improve the undergraduate experience and to enhance the graduate and research mission of the institution.

(4) Funding Source Prior to Receiving Special Item Funding:
We did not have a funding source prior to receiving the Special Item Funding.

(5) Non-general Revenue Sources of Funding:
The faculty brings extramural funding through grants and awards.

(6) Consequences of Not Funding:
Texas Tech University has invested in these highly qualified and skilled faculty members with the expectations that they continue to function as valuable contributors to TTU’s teaching and research mission. Not having this line item would adversely impact the level and quality of service provided to our students, including reduced research activity, course offerings, and student credit hour production and graduation rates.
Faculty Salaries costs are a recurring expenses. If these funds are reduced or eliminated, TTU would not have the resources to fund the 33 filled faculty positions. This would adversely impact the student to faculty ratio.
Agency Code: 733    Agency: Texas Tech University

Special Item: 11    Institutional Enhancement

(1) Year Special Item: 2000

(2) Mission of Special Item:
The mission of this special line item is to support and enhance the academic research environment at Texas Tech University, preparing students to be the workforce of tomorrow for Texas.

(3) (a) Major Accomplishments to Date:
This special item funding has supported strategic growth of faculty in fields critical to the success of the State’s workforce, enabling the University to recruit and retain a body of diverse and distinguished scholars and researchers dedicated to academic research excellence. This funding has contributed essential infrastructure support for high quality academic programs for undergraduate, graduate, and professional students, through academic program support, and necessary institutional operations. This has enabled the University to increase both the quality and number of graduates for the State.

Texas Tech University is recognized as a national leader in Science-Technology-Engineering-Mathematics (STEM) initiatives that promote recruitment and retention of underrepresented groups in STEM fields.

(3) (b) Major Accomplishments Expected During the Next 2 Years:
Continue meeting ever changing global information needs by acquiring materials such as required on-line databases, improving infrastructure, hiring highly qualified staff to provide assistance to students and researchers, and providing required services to the satellite campuses.

(4) Funding Source Prior to Receiving Special Item Funding:
The Institutional Enhancement special item was the result of the consolidation of certain types of special items by the 76th Legislature, and an increase of $1M per year for academic enhancement purposes.

(5) Non-general Revenue Sources of Funding:
None.

(6) Consequences of Not Funding:
This line item contributes core academic and operational infrastructure support that enables Texas Tech University to provide an extensive selection of academic research programs all dedicated to educational excellence: 118 undergraduate, 107 master’s, and 60 doctoral degree programs. Through successful graduates and research generated through these programs, Texas Tech University is able to provide long term economic benefit to the region and State. The current $20M over the biennium for this special item represents the difference in the University’s ability to provide basic educational services, and in developing quality academic and research programs. If this funding is reduced or eliminated the University’s academic support infrastructure would be compromised, resulting in fewer programs and opportunities to produce outstanding leaders in the Texas workforce and innovative faculty of tomorrow.
### Schedule 12A: Reconciliation of Formula Strategies to NACUBO Functions of Cost

81st Regular Session, Agency Submission, Version 1

**Agency Code:** 733  
**Agency Name:** Texas Tech University

#### SUMMARY OF REQUEST FOR FY 2009-2011:

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<th>Item</th>
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<th>Est 2010</th>
<th>Bud 2011</th>
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<td>1 A.1.1 Operations Support</td>
<td>$127,405,541</td>
<td>$131,284,637</td>
<td>$129,563,815</td>
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<tr>
<td>2 A.1.2. Teaching Experience Supplement</td>
<td>$ -</td>
<td>$ -</td>
<td>$ -</td>
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<tr>
<td>3 B.1.1 E&amp;G Space Support</td>
<td>$8,407,517</td>
<td>$8,326,704</td>
<td>$7,867,028</td>
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<td><strong>Total, Formula Expenditures</strong></td>
<td>$135,813,058</td>
<td>$139,611,341</td>
<td>$137,430,843</td>
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#### RECONCILIATION TO NACUBO FUNCTIONS OF COST

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<td>5 Instruction</td>
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<td>9 Total, Formula Expenditures by NACUBO Functions of Cost</td>
<td>$135,813,058</td>
<td>$139,611,341</td>
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## SUMMARY OF REQUEST FOR FY 2009-2011:

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|   | A.1.2 Teaching Experience Supplement |     |     |     |
|   | $- | $- | $- | $- |

|   | B.1.1 E&G Space Support |     |     |     |
|   | $8,407,517 | $8,326,704 | $7,867,028 |

|   | Objects of Expense:     |     |     |     |
|   | c)                      |     |     |     |
|   |                          | 1001 | $6,655,193 | $7,776,755 | $6,227,358 |
|   |                          | 1002 | $259,975 | $272,823 | $243,264 |
## Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

12th Regular Session, Agency Submission, Version 1

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Subtotal, Objects of Expense

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### RECONCILIATION TO NACUBO FUNCTIONS OF COST

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Subtotal $95,950,703 $98,634,156 $97,075,817

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### Academic Support

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## Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

### 81st Regular Session, Agency Submission, Version 1

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### Subtotal

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### Operation and Maintenance of Plant

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## Schedule 12B: Object of Expense Detail for Formula Strategies and NACUBO Functions of Cost

### 81st Regular Session, Agency Submission, Version 1

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