

Peristaltic Pumps

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9 Synonyms

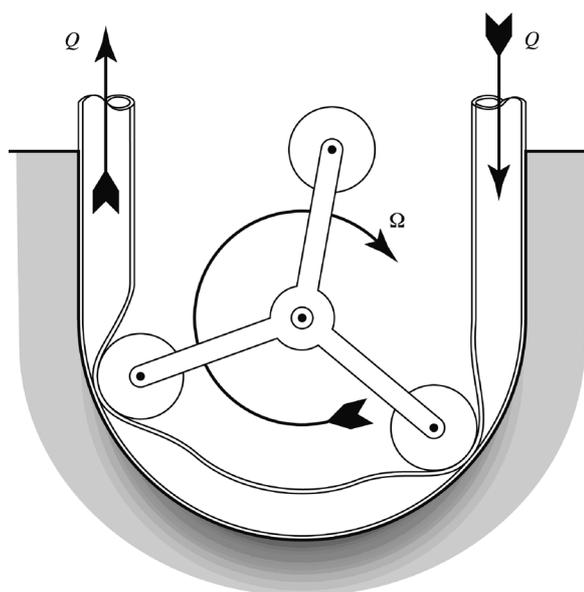
10 Flexible member pump; Membrane pumps; Diaphragm
11 pumps; Valveless pumps

12 Definition

13 Peristaltic pumps are mechanical displacement pumps
14 that induce flow in a fluid-filled, flexible-walled conduit
15 through ► [peristalsis](#) – transport due to traveling contrac-
16 tion waves. While macroscale peristaltic pumps appear in
17 a variety of configurations, micropumps based on this prin-
18 ciple almost exclusively use the sequenced contraction and
19 expansion of a small number of discrete actuators – typi-
20 cally three – placed along the fluid channel.

21 Overview

22 Peristaltic pumps emulate the biological process of peri-
23 stalsis, in which material is moved through the esoph-
24 agus or other anatomical passage by the contraction of
25 smooth muscle in rhythmic waves. Figure 1 is a schematic
26 of a typical ► [rotary peristaltic pump](#), as implemented at
27 the macroscale, in which a set of revolving contact ele-
28 ments creates a traveling compression wave in a section of
29 a flexible tube. Figure 2 is a schematic of a macroscale
30 implementation of a ► [linear peristaltic pump](#), in which
31 a number of translating piston actuators cyclically com-
32 press a flexible tube. In either case, a moving boundary dis-
33 places fluid and induces a flow, placing peristaltic pumps
34 in the class of positive-displacement pumps. Increasing the
35 tube diameter or the pumping cycle frequency increases
36 the flow rate. A major attraction of macroscale peristaltic
37 pumps is cleanliness. The fluid is completely isolated from
38 the pump components since it never leaves the tube. Fur-
39 thermore, it is a simple matter to change the tubing to
40 avoid cross-contamination between fluids, and the tub-
41 ing material may be tailored to ensure compatibility with
42 a particular application. The pumping action is relatively
43 gentle, making peristaltic pumps suitable for reactive liq-
44 uids or cell suspensions. Also, the pumps may be self-



Peristaltic Pumps, Figure 1 A typical macroscale rotary peristaltic pump design. A set of rotating contact elements cyclically compresses a flexible tube. The elements may roll or slide along the tube; the number of contact elements may also vary. The fluid never leaves the tube, protecting the fluid from contamination by the pump elements, and the pump elements from corrosion or abrasion by aggressive fluids. The tube itself is easily replaced. Tube size and composition may be adjusted to accommodate different fluids, or to change the flow rate. Changing the rotation rate Ω changes the flow rate; changing the sign of Ω reverses the flow direction

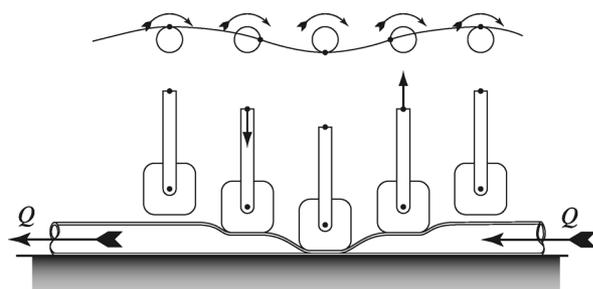
45 priming due to the low-pressure region created behind the
46 moving constriction, and the flow direction can be easi-
47 ly reversed. At the macroscale, use of rotary peristaltic
48 pumps is widespread, while linear peristaltic pumps are
49 found mainly in niche applications, such as intravenous
50 drug delivery.

51 Cleanliness considerations do not translate to the
52 microscale, nor does the notion of interchangeable com-
53 ponents. Therefore, use of peristaltic micropumps must
54 be justified for other reasons. Self-priming and bi-
55 directional remain appealing features, but perhaps the
56 main benefit is simplicity of design. Any mechanism pro-
57 viding volume change in a chamber or channel is a candi-
58 date actuator for a peristaltic pump. For example, a typi-
59 cal active microvalve involves a membrane that can be
60 forced against a seal to close, or pulled away from the
61 seal to open [1, 11]. Two or more active microvalves can
62 be arranged in sequence and actuated with the appropriate
63 phasing to create a linear peristaltic micropump. The prin-
64 ciples of peristaltic pumping apply to liquid or gas, and
65 micropumps for both have been demonstrated. The ease
66 with which an actuator can be transformed into a pump
67 accounts for the wide variety of linear peristaltic micro-

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2 Peristaltic Pumps



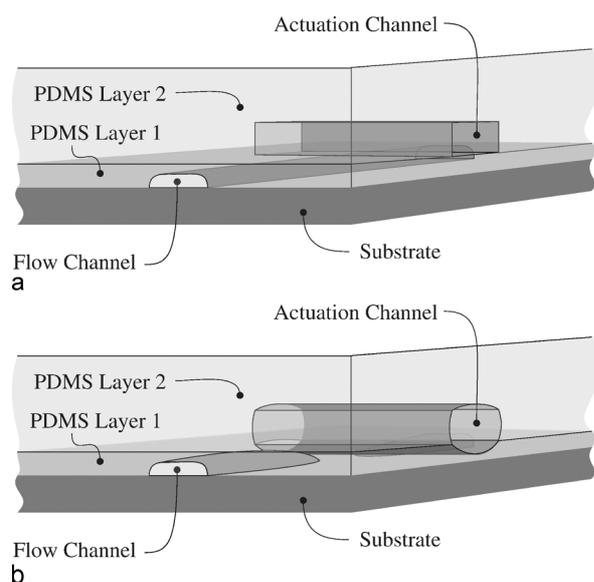
Peristaltic Pumps, Figure 2 A typical macroscale linear peristaltic pump design. A set of translating actuators cyclically compresses a flexible tube. The number of actuators may vary. Features of macroscale rotating peristaltic pumps also apply to microscale linear peristaltic pumps. Linear peristaltic pumps are used in applications such as drug delivery, when accurate flow rate control is needed

68 pumps that have been reported, including an early micro-
 69 machined silicon and glass version by Smits (as reviewed
 70 in [2, 11], or see the original paper referenced therein).
 71 In this article we refer to an actuator as *closed* when the
 72 associated chamber or channel volume is minimized, and
 73 as *open* when that volume is maximized. The volume in
 74 the closed position is called the ► **dead volume**, and the
 75 difference between the volume in the open position and
 76 the dead volume is the ► **stroke volume**. The ► **stroke vol-**
 77 **ume** divided by the dead volume is the ► **compression**
 78 **ratio**. Compression ratio is particularly important when
 79 pumping gases, since the amount of gas ejected from the
 80 actuator is reduced by the compressibility of the fluid. In
 81 pure liquids this effect is much less, but compression ratio
 82 plays an important role in determining how severely bubbles
 83 impact a particular pump. In classifying micropumps,
 84 a distinction is sometimes made between ► **integrated**
 85 **actuators** – fabricated along with the other components of
 86 the microfluidic system – and ► **external actuators** – fab-
 87 **ricated** separately and attached later. It is common to see
 88 peristaltic micropumps of either kind.
 89 While a linear pump can easily be built up from two or
 90 more basic actuation units, microscale rotary mechanisms
 91 face significant fabrication challenges. Accordingly, rotary
 92 peristaltic micropumps have seen very limited implementa-
 93 tion. While several rotary mechanical micropumps are
 94 described in the literature (see the summaries in [1], [2]
 95 and [11], for example), to our knowledge there is only one
 96 example in the literature of a true rotary peristaltic micro-
 97 pump; Kim et al. (Sensors and Actuators A 128:43–51,
 98 2006) use a silicone membrane for the deformable chan-
 99 nel surface, and a rotating ferrofluid plug driven by an
 100 external magnet for actuation. Also notable is a mini-
 101 ature rotary peristaltic pump by Bar-Cohen and Chang
 102 (*Smart Structures and Materials 2000: Active Materials:*

Behaviors and Mechanics, Proceedings of SPIE 3992, 103
 2000) that employs a traveling flexural wave in a piezo- 104
 electric ring. Reflecting their dominance in the litera- 105
 ture, the subsequent discussion will consider only lin- 106
 ear peristaltic micropumps, which for brevity are hence- 107
 forth referred to simply as peristaltic micropumps. Most 108
 reported microscale implementations approximate contin- 109
 uous peristaltic action using two or more (most commonly 110
 three) discrete collapsible chambers. A notable exception, 111
 and one which does not fit well into the analysis scheme 112
 presented here, is the colloidal peristaltic pump presented 113
 in [14]. There a large number (approximately twelve) of 114
 3 μm colloidal silica particles are oscillated sinusoidally 115
 in a 6 μm deep polydimethylsiloxane (PDMS) channel 116
 using external actuation by optical trapping with a scanned 117
 532 nm laser. Flow rate on the order of 1 nl/h are induced 118
 in an aqueous solution by the resulting traveling wave. 119
 Peristaltic actuation through movable suspended particles 120
 is, to our knowledge, unique to [14]. The remainder of this 121
 paper focuses on the much more common case of discrete 122
 collapsible chambers. 123

Peristaltic pumps have found wide application in microflu- 124
 idic systems based on PDMS, due to their ease of fab- 125
 rication and versatility in operation. PDMS is a popular 126
 material for microfluidics for several reasons. Compared 127
 to traditional semiconductor industry fabrication schemes, 128
 both the materials and processing tools are inexpensive. 129
 PDMS is biocompatible, resistant to many chemicals, and 130
 transparent to wavelengths of light useful in chemical and 131
 biochemical analysis. Figure 3 shows a typical actuator 132
 design, similar to that first reported in [3], with the fluid 133
 channels formed between a thin PDMS layer and a glass, 134
 silicon, or PDMS substrate, and actuator channels formed 135
 at right angles to the fluid channels in a thicker PDMS 136
 layer, bonded to the planar side of the fluid layer. We refer 137
 to the area of the fluid channel under an actuator chan- 138
 nel as a pump chamber, even though it is geometrically 139
 identical to the rest of the channel. The actuator channels 140
 are inflated from an external pressure source to close the 141
 pump chamber; when the pressure is removed the natural 142
 elasticity of the PDMS restores the channel to its original 143
 shape. As suggested by the figure, due to the low mod- 144
 ulus of elasticity of PDMS, the pump chambers may be 145
 designed to collapse completely when closed, allowing 146
 them to act either in concert for pumping, or in isolation 147
 as pure valves. 148

In a peristaltic pump, the volume of fluid displaced by 149
 the individual actuators is rectified, that is, directed in the 150
 desired direction of flow, solely by the phasing of multiple 151
 actuators. Other types of displacement pumps rectify flow 152
 differently. A passive check-valve pump uses two passive, 153
 one-way check valves incorporating moving flaps or other 154



Peristaltic Pumps, Figure 3 A typical pump chamber in a multilayer PDMS implementation (similar to those of [3]). The chamber is open by default, as shown in (a). Inflating the actuation channel with compressed air closes the chamber, as shown in (b). PDMS layer 1 defines the fluid channels (sometimes called the working channel), while PDMS layer 2 defines the actuation channels. In both cases casting or spinning PDMS on a negative mold creates the channel structures. The molds are defined photolithographically, with standard positive resists used for thin channels (on the order of a micrometer) and negative resists such as SU8 used for thicker channels (up to one millimeter). The patterned side of the fluid layer is bonded to a glass, silicon, or PDMS substrate, and the patterned side of the actuation layer is bonded in turn to the planar side of the fluid layer. For convenience, we refer to the area of the fluid channel under the actuation channel as the pump chamber, even though it is otherwise indistinguishable from the rest of the channel. Baking the fluid layer mold at high temperature after the pattern is developed causes the photoresist to *reflow*, yielding rounded corners in the fluid channels. These rounded corners reduce leakage when the chamber is closed

155 components. One such valve at the pump inlet permits
 156 fluid to enter the chamber as the pump chamber expands,
 157 but prevents it from leaving as the chamber contracts.
 158 Likewise, a passive check valve at the outlet prevents back-
 159 flow on the expansion stroke, but permits fluid to exit the
 160 chamber on the contraction stroke. Valveless rectification
 161 pumps are similar, but use flow diodes instead of mechan-
 162 ical check valves. Flow diodes are diffuser/nozzle struc-
 163 tures or so-called Tesla valves that have no moving parts,
 164 but exhibit a preferential flow direction due to their geom-
 165 etry. More detail on passive check-valve or valveless rec-
 166 tifier pumps may be found in [1], [2] or [11]. Since these
 167 require only a single actuator, versus two or more for peri-
 168 staltic pumps, they are natural design alternatives. Rea-
 169 sons to prefer a peristaltic design include ease of inte-
 170 gration onto the microchip, with no special fabrication

171 steps or external connections. For example, [12] presents
 172 an electrostatically-actuated peristaltic micropump suit-
 173 able for incorporation into a micro-total analytical system
 174 (μ TAS) with no additional special considerations. Peri-
 175 staltic pumps avoid the more complex microfabrication
 176 typically required for passive check valves, and the sus-
 177 ceptibility of such valves to fouling by particles or bubbles.
 178 Valveless rectifiers are simple to fabricate, and not prone
 179 to fouling, but may have a larger footprint than peristaltic
 180 designs. Finally, peristaltic pumps may be used to generate
 181 forward or reverse flow by modifying the actuator phasing,
 182 while bidirectional versions of the other two options are
 183 difficult to realize. Some guides to peristaltic pump design
 184 advise adding series passive check valves to aid in recti-
 185 fication if the application allows [1], though this reduces
 186 to some extent the operational and fabrication benefits that
 187 peristaltic designs offer over check-valve designs.

188 In many peristaltic micropump implementations identi-
 189 cal actuators provide both stroke displacement and
 190 flow valving. However, these functions may also be
 191 divided between actuators of different design. One anal-
 192 ysis reported in the literature treats a large stroke displace-
 193 ment actuator with a low flow resistance, flanked by active
 194 valves with small stroke displacement [4]. While similar
 195 in concept to a passive check-valve diaphragm pump,
 196 the valving actuators in this case are actively opened and
 197 closed by external commands, and flow direction is deter-
 198 mined by the phasing of these commands with regard to
 199 actuation of the central diaphragm. Hence, this design may
 200 properly be classified as a peristaltic pump.

201 Due to a combination of surface tension and viscosity
 202 effects, the pressure drop per unit length along a channel
 203 with characteristic length l (radius or hydraulic diameter)
 204 scales unfavorably (as $1/l^3$ or $1/l^2$, depending on the anal-
 205 ysis). Thus mechanical pumps must generate extremely
 206 high pressures to maintain flow rates as channel diameters
 207 shrink. For this reason, it is widely accepted that mechan-
 208 ical pumping mechanisms, including peristaltic pumps, are
 209 not suitable for very small channels. Nguyen and Were-
 210 ley survey the literature, and, using water as a working
 211 fluid, give $10 \mu\text{l}/\text{min}$ as the flow rate below which nonme-
 212 chanical pumping principles are preferred [1]. However,
 213 that boundary is somewhat arbitrary, as demonstrated by
 214 flow rate of $0.14 \mu\text{l}/\text{min}$ reported in [3] for pneumatically-
 215 actuated peristaltic pumping of water in PDMS channels,
 216 the flow rate of $1.7 \text{ nl}/\text{min}$ for electrostatically-actuated
 217 peristaltic pumping of ethanol in parylene channels [12],
 218 and the flow rate of $1 \text{ nl}/\text{h}$ reported in [14] for optically-
 219 actuated peristaltic pumping by colloidal particles sus-
 220 pended in an aqueous solution.

221 **Basic Methodology**

222 A linear peristaltic micropump consists of two or more
 223 actuators arranged along a channel, and driven in a partic-
 224 ular sequence. Important parameters that quantify pump
 225 performance are the maximum flow rate, denoted here
 226 by Q^* , the maximum pressure that can be generated
 227 between the pump inlet and outlet (called the ► **pump**
 228 **head**), denoted here by p^* , and the efficiency with which
 229 the pump converts external power into flow power, denoted
 230 here by η . Given a particular actuator, or set of available
 231 actuators, pump design consists of selecting the number
 232 of actuators, the actuation sequence, and the frequency of
 233 actuation. Important information about the individual actu-
 234 ators includes the stroke volume, V , the dead volume, V_0 ,
 235 the flow resistance in open and closed configurations, R_o
 236 and R_c , respectively, the maximum pressure the actuator
 237 can generate, p_{act}^* , called the ► **closing pressure**, the mini-
 238 mum time required for the actuator to either open or close
 239 completely, T_{min} (the minimum time to open and the mini-
 240 mum time to close are typically different; here T_{min} would
 241 be the larger of the two) and the energy or power required
 242 to operate an actuator. Almost any mechanical transducer
 243 can be used as an actuator in a peristaltic micropump, mak-
 244 ing generalizations about pump performance difficult. For
 245 example, the response time of a thermopneumatic actua-
 246 tor may be dominated by heat transfer out of the actuator,
 247 while that of a piezoelectric actuator is primarily deter-
 248 mined by material stiffness and membrane geometry. As
 249 another example, a thermal actuator may require contin-
 250 ual power to remain in the closed position, while – once
 251 closed – an electrostatic or pneumatic actuator may be held
 252 closed with little or no additional power consumption.

253 In this article we focus on those considerations that are
 254 common to all peristaltic pump designs. This rules out
 255 detailed physical analysis. However, some broad assump-
 256 tions about actuator and system characteristics allow rough
 257 comparisons for pump design purposes. We begin by
 258 assuming ideal actuators, with infinite flow resistance in
 259 the closed position and zero dead volume. The first prop-
 260 erty allows easier accounting of the movement of fluid, the
 261 second allows issues related to fluid compressibility to be
 262 ignored.

263 The first step in this simplified analysis is estimating the
 264 volume of fluid transported in a complete pumping cycle.
 265 To do this, we apply the following rules:

- 266 1. When an actuator closes, the fluid within is completely
 267 expelled.
- 268 2. When an actuator opens, it completely fills with fluid.
- 269 3. If an actuator remains closed during a step in the
 270 sequence, no fluid may pass across it.

- 271 4. If, in a single step, some actuators open while others
 272 close, and there is a path for fluid to flow between them,
 273 then fluid is transferred from the latter to the former.
- 274 5. If an actuator opens or closes with no closed actuators
 275 between it and either the inlet or the outlet, the trans-
 276 fer of fluid is made equally from or to both sides. Once
 277 the fluid volume is computed, the flow rate flows from
 278 the actuation frequency. Maximum head is taken to be
 279 the maximum pressure exerted by an actuator (though
 280 this is not often known), and efficiency is estimated
 281 from the actuation sequence. Often, an estimate of flow
 282 rate is all that is desired. It must be noted that these
 283 estimates are very coarse, with for examples, errors of
 284 a factor of two reported, and attributed to unmodeled
 285 valve leakage [5].

286 **Simplified Sequence Analysis**

287 As an illustration of the simplified analysis, consider the
 288 three-step cycle shown in Fig. 4 for a pump consisting of
 289 three identical ideal actuators with stroke volume V . At
 290 the start of the cycle, no fluid has yet been transferred. In
 291 step 2, chamber $C1$ opens while chamber $C3$ closes. By
 292 rules 1 and 2, $C1$ must fill with volume V while $C2$ expels
 293 volume V . Since the intervening chamber $C2$ is closed, by
 294 rule 3 $C1$ must take volume V from the inlet, while $C3$
 295 must expel volume V to the outlet. In step 3 $C1$ closes
 296 while $C2$ opens. By rule 4, since there is an open path
 297 between them, this transfers volume V from $C1$ to $C2$.
 298 Likewise the return to step 1, which completes the cycle,
 299 transfers volume V from $C2$ to $C3$. We conclude that, ide-
 300 ally, volume V is pumped per complete three-step cycle.
 301 At the final step the net fluid volume increase at the outlet
 302 must equal the net decrease at the input. This is a useful
 303 check that the analysis was done correctly.

304 **Maximum Flow Rate**

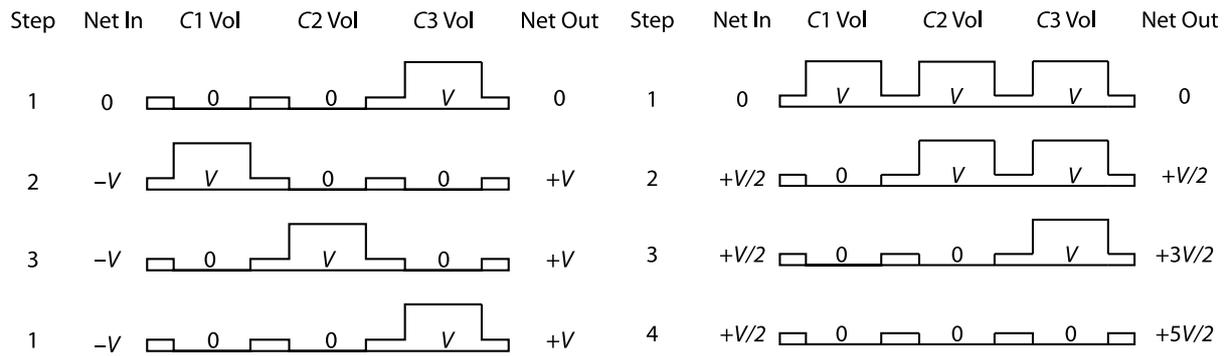
305 If each step requires time T , the pumping rate is $V/3T$. The
 306 maximum flow rate Q^* then corresponds to the minimum
 307 cycle time, T_{min} : $Q^* = V/3T_{min}$.

308 **Maximum Head**

309 In step 2, $C3$ is emptied to the outlet. If the outlet pressure
 310 is greater than p_{act}^* , the maximum pressure the actuator can
 311 provide, the chamber will be unable to close. Thus, the
 312 maximum head can be approximated as the closing pres-
 313 sure, $p^* = p_{act}^*$.

314 **Efficiency**

315 Fluid power is the product of flow rate by head. In an ideal
 316 ► **positive displacement pump**, flow rate is independent

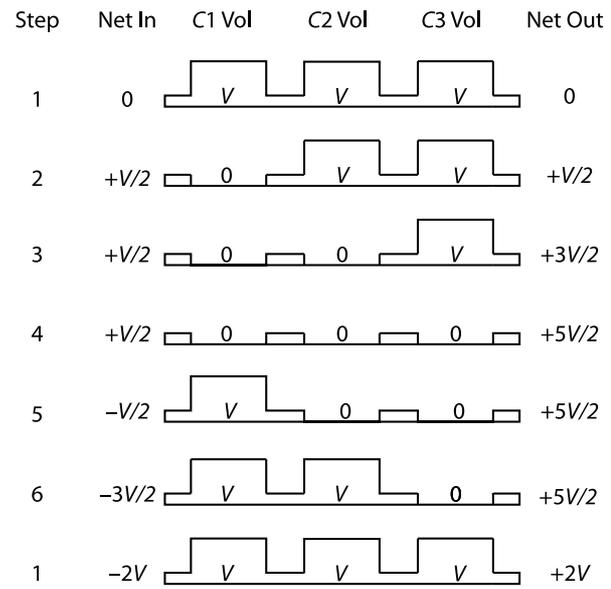


Peristaltic Pumps, Figure 4 A three-step, three-actuator pumping sequences, used in numerous pumps, including thermopneumatic pumps by Grosjean and Tai (see the reference in [1]) and Jeong, et al. [8]. The stroke volume is designated V . Chambers 1, 2, and 3 are abbreviated C1, C2, and C3. At every step two chambers are closed, reducing leakage. Note that Grosjean and Tai use a similar looking figure to define the pump sequence, but in their paper the raised level indicates actuation, which corresponds to *closing* the chamber

of head. Therefore, the maximum fluid power is approximately $P^* = p^*Q = p_{act}^* V/3T$. One actuator closes at each step, and two actuators must be held closed at each step. If energy E_{act} is required to close an actuator, and power P_{act} is required to hold it closed, we see that each cycle requires total energy $3E_{act} + 6P_{act}T$ (we assume no energy is required to open an actuator). The total power consumed per cycle is $(E_{act}/T) + 2P_{act}$, and the maximum efficiency is $\eta = p_{act}^* V / (3E_{act} + 6P_{act}T)$. Experiments suggest that a linear relation between flow rate and pump head may be a more appropriate model [4]. In that case, the maximum fluid power is given by $P^* = p^*Q^*/4$.

330 Design Comparison

To illustrate the use of the simplified analysis in design, we compare the actuation sequence analyzed above to a six-step three-chamber sequence also reported in the literature [5], and shown in Fig. 5. The analysis is similar to the three-step sequence, except for steps 1 and 6, where an actuator closes with no closed actuators between it and either the inlet or the outlet. By rule 5, the expelled fluid is divided evenly between the inlet and the outlet. Two considerations make application of the simplified model more problematic in this case. The first is the all-actuators-open configuration in step 1. If there is significant head across the pump, this may produce an unmodeled backflow. The second is the assumption mentioned above, that the flow splits evenly in step 1 and 6. If the external system flow resistances as seen from the input and output are different, or if there is significant head, the split will *not* be even.



Peristaltic Pumps, Figure 5 A six-step, three-actuator pumping sequences proposed in the literature [5]. At step 1 all chambers are open, allowing backflow which will reduce the net flow rate

These issues aside, we find that a volume $2V$ is pumped in each six-step cycle.

If each step requires time T , the pumping rate is $2V/6T$, or $V/3T$. The maximum flow rate is $Q^* = V/3T_{min}$. This is the same as for the three-step sequence.

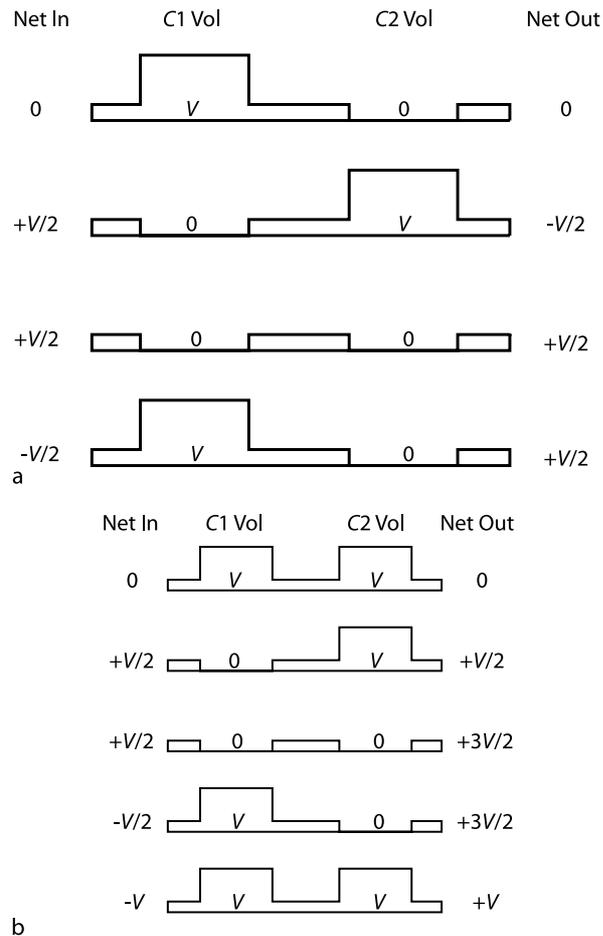
In steps 2, 3, and 4 chambers are emptied to the outlet. The maximum head can again be approximated as the closing pressure.

The maximum fluid power is approximately $P^* = p^*Q = p_{act}^* V/3T$. In each cycle, energy $3E_{act}$ is required for actuator closings, and energy $9P_{act}T$ is needed to hold actuators closed, for a total cycle power requirement $(E_{act}/2T) + (2P_{act}/3)$. The maximum efficiency is $\eta = 2p_{act}^* V / (3E_{act} + 9P_{act}T)$.

To the level of modeling accuracy applied, the two designs have the same maximum flow rate and maximum head. The six-step sequence is more power efficient, but there are unanswered questions about how well this design will perform, particularly if there is significant backpressure. If power usage is more important than maximum head, the six-step design may be preferable, but further investigation will be required. If efficiency is not important, the three-step sequence should be chosen for its higher resistance to backflow. An advantage of the six-step sequence is that only one chamber is actuated per step. This makes the sequence insensitive to asymmetries in opening versus closing actuators. For example, if the actuators close ten times faster than they open, fluid may not transfer

TS3 Please check. [2] does not refer to Smits.

6 Peristaltic Pumps



Peristaltic Pumps, Figure 6 Pumping sequences for two-actuator peristaltic pumps [6]. Sequence A has at least one actuator closed at all times. Sequence B has a higher maximum flow rate, but may have problems with backflow under high head conditions

smoothly between chambers in the sequence of Fig. 4, but instead may leak out of the chamber. Avoiding this risk by adding intermediate steps, as for example, in the five-step sequence used by Smits [2]^{TS3} will reduce the maximum flow rate, and make the sequence of Fig. 5 more attractive. Most reported peristaltic micropump designs use three actuators. A few use more, but typically not more than five. Since the actuators take up potentially valuable space, and require connections for power and switching, it is desirable in many applications to use the minimal possible number. Some authors have stated that three chambers are the fewest possible for peristaltic pumping. However, the sequences shown in Fig. 6 have been shown to provide peristaltic pumping using only two chambers [6]. Diagrams like Figs. 4–6 are easy to grasp visually, but a more compact description of pumping sequences is also

useful. One method used in the literature (for example, in [7]) is to indicate an actuated (closed) chamber by a 1 and an un-actuated (open) chamber by a 0. Thus the sequence in Fig. 4 is written {110, 011, 101}, that of Fig. 5 becomes {000, 100, 110, 111, 011, 001}, and those of Fig. 6 A and B are {01, 10, 00} and {00, 10, 11, 01}, respectively. The five-step sequence used by Smits [2]^{TS4} is, following the convention of 1 for closed, 0 for open, {011, 001, 101, 100, 110}. (This sequence does involve an actuator closing simultaneously with another opening, but they are separated by a closed chamber.) Some care is required in using this notation, since some mechanisms close when actuated (e. g. thermopneumatic), while others open (e. g. piezoelectric).

Dynamic Modeling

Leaks, backpressure, and actuator dynamics all influence the performance of peristaltic pumps. Leaks and backpressure effects will alter the distribution of fluid as actuators open and close. The dynamics of the actuators determines the maximum actuation rate, which in turn limits the maximum flow rate. These effects can be incorporated into lumped-parameter models for analysis and simulation. We do not pursue this further in this article, but refer the reader to the literature. One general approach is presented in [4]. Also relevant for further study are [5], [12] and [13], which present dynamic models for pneumatic and electrostatic pumps, respectively. All of these works are applied to liquid pumps. For gas pumps, or robustness to bubbles, compressibility becomes a factor. Some considerations of micropumps for compressible fluids may be found in [1]. Finite-element analysis of individual chambers can also be used to obtain detailed predictions of pump dynamic performance.

Key Research Findings

Fabrication Materials and Actuator Types

Peristaltic pumps have been demonstrated in all materials common for microfluidic applications, using a variety of physical principles. Space constraints prevent a comprehensive listing, but [1], [2], and [11] provide good overviews. Summarizing here just the main fabrication materials, the operating principle, and the working fluid, these include for silicon and/or glass devices, piezoelectric, thermopneumatic, and electrostatic actuators pumping water. For PDMS devices only pneumatic pumping of water is reported. For plastic or mixed-type devices, electrostatic, thermopneumatic, and pneumatic actuators are reported, pumping water and air. A novel traveling wave pump implemented using optically-actuated col-

^{TS4} Please check. [2] does not refer to Smits.

439 loidal silica spheres is reported in [14]. Recently, Husband
440 et al. (Microelectronic Engineering 73–74:858–863, 2004)
441 report a piezoelectric silicon-glass device pumping water
442 and air, Pan et al. (Proceedings of the 26th Annual Inter-
443 national IEEE EMBS Conference, 2004) report a magneti-
444 cally driven PDMS pump for water, and Jeong et al. report
445 a thermopneumatic PDMS pump for water [8]. Electro-
446 static pumps implemented in parylene for integration with
447 μ TAS devices are reported in [12].

448 Reducing Device Complexity

449 Peristaltic pumps already offer simpler fabrication than
450 passive check-valve pumps. Even the simplest actuators,
451 however, require at least two patterned layers, as, for
452 example, in the PDMS device of Fig. 3. However peri-
453 staltic pumping was recently demonstrated in a device with
454 a single patterned layer of PDMS on an un-patterned glass
455 or PDMS substrate [9]. The single patterned layer incor-
456 porates both pneumatic actuation lines and the water-filled
457 working channels. Rather than press on the fluid channel
458 from above, the actuation lines in this device squeeze the
459 working channel from the sides. While these actuators pro-
460 vide enough stroke and increased resistance for pumping,
461 cell sorting, and mixing, in their closed configuration they
462 are still quite leaky, and do not completely seal the chan-
463 nel. However, the elimination of the second layer, along
464 with the associated alignment and bonding tasks, should
465 yield a significant reduction in device cost.

466 Reducing Number of Off-Chip Connections

467 Each actuator in a peristaltic pump is an active element,
468 requiring switching and power. In applications such as
469 Lab-on-a-Chip, the number of required off-chip connec-
470 tions can become very large. As mentioned above, one
471 means for doing this is by using two actuators instead of
472 three [6]. The proliferation of pumps and valves is a partic-
473 ular problem in pneumatically actuated pumps that require
474 external valving. An innovative approach to ameliorating
475 this problem is described in [10] for pneumatically actu-
476 ated pumps fabricated in PDMS. Here, a single pneumatic
477 actuation channel has a serpentine shape, crossing over the
478 straight channel containing the working fluid at multiple
479 intersections. The phasing of the actuation is provided by
480 the natural delay associated with the propagating inflation
481 zone in the serpentine channel. In this way the equivalent
482 of a three-, five-, or seven-actuator peristaltic pump is real-
483 ized using a single actuator line.

Multifunction Components

The individual actuators in a peristaltic pump can also
serve as individual valves. Additional flexibility in inte-
grating peristaltic pumps into large microfluidic systems
can be gained from providing components with multi-
ple functions. An example of this is the rotary mixer
described in [7], which can serve as an effective dynamic
micromixer, or as a peristaltic pump, depending on the
actuation pattern of the individual valves.

Future Directions for Research

Progress in peristaltic pump design has paralleled the
advancement of microfluidics as a field. As new materi-
als and applications have been developed, peristaltic pump
designs have soon followed. This trend seems likely to
continue.

Peristaltic pumps are commonly used in PDMS-based sys-
tems. As those systems have attracted increasing amounts
of research effort, due to their biocompatibility and
demonstrated success in manipulation of cells, genetic
material, and proteins, new innovations in PDMS-based
pumps have followed. Progress has been made in reducing
the need for external connections, but improvement would
be welcome in the area of fully integrated pumps of rea-
sonable cost and low complexity.

Cross References

- ▶ Electromagnetic Valves
- ▶ Electrostatic Valves
- ▶ Magnetic Pumps
- ▶ Membrane Actuation for Micropumps
- ▶ Microactuators
- ▶ Microfluidic Dispensing
- ▶ Microfluidic Mixing
- ▶ Microfluidic Pumping
- ▶ Piezoelectric Valves
- ▶ Piezo/PZT in Microfluidics
- ▶ Pneumatic Valves
- ▶ Soft Photolithography
- ▶ Thermomechanical Valves
- ▶ Thermopneumatic Valves
- ▶ Versatile On-Chip Liquid Handling Techniques

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