

### Financial Conflict of Interest Requirements by Agency/Institution

<u>Agency</u>	<u>Disclosure</u>	<u>Training</u>	<u>Regulatory Link</u>	<u>Regulatory Wording (OP or Policy)</u>
PHS (including NIH); CDC	<b>At submission</b>	<b>At award</b>	<a href="#">link</a>	"Investigators who are planning to participate in, or are participating in, NIH-funded research...are required to disclose to the designated official(s) of the Institution...[.] Each investigator, including subrecipient investigators, must complete training prior to engaging in NIH-funded research and at least every four years."
NSF		Not currently required	<a href="#">link</a>	"Each investigator [must] disclose to a responsible representative of the institution all significant financial interests of the investigator (including those of the investigator's spouse and dependent children)..."
USDA DoD FDA	<b>Abide by Texas Tech institutional policies</b>		<a href="#">link</a>	"All employees and other individuals...must complete training prior to engaging in [PHS-funded] research..." / "All employees and other individuals planning to act as investigators shall disclose, no later than the time of application for PHS-funded research and annually thereafter..." / "All employees and other individuals planning to act as investigators shall disclose to the AVPR, by October 1 of each year..."

Texas Tech University OP 74.17 requires that recipients of non-PHS funding who did not submit a disclosure during the initial disclosure period do so prior to the expenditure of award funds. Please contact Dr. Kelsie Jackson, Administrator for Financial Disclosure, with questions about these or other FCOI requirements.