DEPARTMENTAL GUIDELINES

FOR FACULTY USE

IN

PREPARATION OF

PROMOTION AND TENURE DOCUMENTATION

Preparation of Promotion and Tenure Documentation

GENERAL INFORMATION: (use as a check list)

THE STYLE AND FORMAT MUST BE FOLLOWED. <u>DOSSIERS NOT CONFORMING TO THIS WILL BE RETURNED WITHOUT</u> EVALUATION.

	Review the Promotion, Tenure, and Continuing Appointment Guidelines document before starting this process.
	Use Times New Roman font. Ensure all materials (except letters of support) are in the same font.
	Headings should be in 14-point bold font and all capitals. Text should be in 12-point font and not bold.
П	Latters of some art

- ☐ Letters of support
 - o Five letters are recommended for the Davis College. The institutional minimum is three, and 10 is the maximum allowed. The choice of potential reviewers should be made by the department chair from a diverse list developed by the candidate in consultation with the department chair.
 - Letters should represent a cross-section of the candidate's discipline from peer academic institutions. At least three outside reviewers should be selected from among TTU's national peer institutions, whenever possible, and most of the external reviewers should not be personally acquainted with the candidate. A list of national peer institutions can be found at this link: https://www.depts.ttu.edu/irim/Miscelleneous/PeerGroup.php
 - o Do not solicit letters from current students.
 - o Letters should be on letterhead stationery and signed by the author, or at the least they should indicate the professional affiliation (current or past) of the author.
 - o ALL letters received must be included in the dossier.
- ☐ Dividers used to separate sections should be labeled as follows:
 - o Dean's Evaluation
 - o Department Chair Evaluation
 - Curriculum Vita with Ratings of Publications
 - o Letters from External Reviewers
 - o Basic Information
 - o Candidate's Self-Statements on:
 - Teaching
 - Research and Discovery
 - Outreach and Engagement (if the candidate chooses to include)
 - Service

- Teaching, Research, and Discovery, Outreach and Engagement, and Service Self-Statement Goals
- o Appendix (to include):
 - Faculty Annual Reports with Chairperson Assessments
 - Third-Year Review
 - Ballot Comments
- Dublications must be rated from 0 to 5 or S by the chair as follows:
 - 5 = Outstanding recognition in field, highly prestigious, refereed.
 - 4 = Highly respected in field, refereed.
 - 3 = Good reputation, selective in publication, refereed.
 - 2 = Average, fairly easy to publish in, typically refereed.
 - 1 = Below average publications, not discriminating on articles published.
 - 0 = Not to be counted as publication.
 - S = Special publication not ranked above.
- All documentation, including publications, must be presented in chronological order with the earliest presented first and progressing to the most current.
- <u>For Promotion and Tenure consideration</u>: This should be your <u>entire career</u> documentation.
- For Promotion only: Include information since last promotion only with the following exceptions:
 - a. In the <u>summary sections</u>: provide summary information since last promotion AND career total numbers for:
 - i. Number of graduate student programs chaired or co-chaired and numbers of graduate committees on which you served.
 - ii. Dollars of grant support generated. For awards received since being at TTU, this must be those dollars attributed to the candidate only and should match the Office of Research Services report; however, the total grant amount should also be included. Include funded and pending grants only. Do NOT include unfunded grant proposals.
 - iii. Gifts-in-Kind obtained or other recognized sources of support.
 - iv. Publications that are published or in-press (books, refereed journal articles, technical publications). Do NOT include publications in review.

See attached example dossier outline for preparation of promotion and tenure documentation.

EXAMPLE DOSSIER OUTLINE

(Cover letter)
Departmental letterhead
Date
Department/Unit Chair (address)
Dear:
This letter is to affirm I have reviewed the contents of my dossier as it is to be submitted to the Dean of the Davis College of Agricultural Sciences and Natural Resources, in consideration of my application for tenure and promotion to [associate professor/professor].
Sincerely,
(Candidate)

(Cover page)

IN CONSIDERATION FOR [PROMOTION AND TENURE/ PROMOTION/TENURE]

Submitted by	
Candidate's name [Current Rank]	

Department of ______ Texas Tech University

Last Name	First Name	Middle Name(s)			
Co	onsideration for: Tenure Prom	notion			
	11011				
100 les annulate de Cal	11	14			
	llowing section if college or departmental rs. Please indicate if so.]	i tenure guiaetines nave been revisea			
Candidate elects to be	evaluated by the following tenure policy	(select one):			
Tenure policy	in effect at time of hire				
Tenure policy	in effect at time of last promotion (pro	omotion dossiers only)			
Tenure policy	Tenure policy that is currently in effect				
Name:	Department: _				

RECOMMENDATIONS

EVALUATOR	TENURE	PROMOTION
Department Committee (ballot count)	Approve	Approve Disapprove
Department Chairperson (signature)	Approve	Approve Disapprove
College or School Committee (ballot count)	Approve	Approve
Dean of College or School (signature)	Approve	Approve
Dean, Graduate School (signature)	Approve Disapprove	Approve Disapprove
Provost & Senior Vice President (signature)	Approve	Approve
President (signature)	Approve Disapprove	Approve

DEAN'S EVALUATION

College Criteria for Tenure and Promotion

Dean's Letter:

The dean should submit in this section of the dossier a letter to the PSVP indicating the basis of the recommendation for the candidate. At the time the dossier is submitted to the PSVP, the candidate should also be given information regarding the dean's recommendation.

- Primary audience is the Provost.
- Provide a critical review from the Dean's perspective relative to college expectations.
- Describe College procedures for arriving at College Committee votes and the Dean's own recommendation.
- Briefly explain the College Review Committee votes, especially if negative or mixed.
- Explain any differences of the Dean's recommendation from the faculty vote or Chair's recommendation, based on evidence.
- The Dean's letter should cite notable observations from the external letters.

DEPARTMENT CHAIR EVALUATION

Letter of support from department chair and departmental procedures

DEPARTMENT CHAIR AND ACADEMIC UNIT EVALUATION

1. Department Chairperson's Recommendation:

The department chairperson should submit in this section of the dossier a letter to the Dean indicating the basis of the recommendation(s) for the candidate. At the time the dossier is submitted to the Dean, the candidate should also be given information regarding the recommendation.

2. Departmental Procedures:

The department chairperson should submit the tenure and promotion ballots and ballot comments for each candidate to the Dean and the college Promotion and Tenure Committee. The ballot comments should be separated from the ballots.

THE CHAIR'S LETTER

- The Dean, College Promotion and Tenure Committee, and Provost are the primary audiences.
- Describe departmental procedures for voting, stating the counts in favor and against and any absences, separately for tenure and for promotion.
- Abstentions are no longer recorded; encourage only votes for or against.
- Describe procedures for selection of external reviewers, which should entail primary role of the chair with some input from the candidate.
- State how ratings of publications were attained.
- Explain chair's recommendation fully and clearly based on a critical review of the evidence from annual reviews, third-year review, and other documented records of teaching outcomes, research agenda and indices (including engaged scholarship, as applicable), professional service and outreach contributions.
- The chair's letter should cite notable observations from the external letters.

CURRICULUM VITA

Curriculum Vitae

Include a complete up-to-date vita with chair's rating of research and discovery. After the applicant's submission to the department, the chair must evaluate the reputation of the source publishing the results of the research, or the standard for creative exhibition or performance, by placing a number in the left-hand margin of the curriculum vita, adjacent to each publication. The chair may use additional sheets for notes and explanations if necessary. Only rate publications/items since the candidate's most recent promotion at TTU.

- The CV should only appear once; do not cut and paste to self-statement sections.
- Publication ratings should be in the left margin

The scale is to be used for publications with the following definitions:

- 5 = Outstanding recognition in field, highly prestigious, refereed.
- 4 = Highly respected in field, refereed.
- 3 = Good reputation, selective in publication, refereed.
- 2 = Average, fairly easy to publish in, typically refereed.
- 1 = Below average publication, not discriminating on articles published.
- 0 = Not to be counted as publication.
- S = Special publication not ranked above.
- Include citation indices and impact factors, where applicable.
- Footnote the publication section to clarify meaning and weight of order of authorship. Highlight student authors.
- Itemize graduate student committees served, highlight graduate students completed and when.
- Clearly itemize grant proposals and fellowship applications, whether PI or Co-PI, whether funded, and amounts by total and percentage.

CURRICULUM VITAE

(Candidate may choose to list items in chronological or reverse chronological order)

Remove the words curriculum vitae from the dossier. It's already on your divider page.

Name

Address

Phone

E-mail

EDUCATION:

(Example degrees)

2000 B.S. University of Nebraska, Landscape Architecture.

2005 M. S. Iowa State University. Agronomy; Minor: Animal Science.

2007 Ph.D. Louisiana State University. Animal Science; Minor: Agricultural Economics.

PROFESSIONAL EXPERIENCE:

1958-1964	Assistant Professor	Virginia Polytechnic	Institute and State University.
1930-1904	Assistant Professor.	v irginia Polytechnic	institute and State University.

1964-1968 Post-doctoral Fellow, Louisiana State University.
1968-present Assistant Professor, Texas Tech University

LICENSES AND CERTIFICATIONS: (Career)

INTERNATIONAL EXPERIENCE: (Career)

MEMBERSHIP IN PROFESSIONAL AND HONORARY SOCIETIES: (Career)

Professional:

- 1. Horticultural Society; 2007 to 2018
- 2. American Society of Animal Science; 2007 to present
- 3. Texas Society for Roadside Improvement; 2009 to present

Honorary:

1. Gamma Sigma Delta; 2007 to present

HONORS AND AWARDS: (Career)

Honors:

1. Fellow, American Society of Agronomy, 2007

Awards:

1. Outstanding Service to Agriculture, Gamma Sigma Delta, 2010

AREA OF EXPERTISE:
PATENTS: total of (Career)
1. Smith, James K., Paul R. Jones, and Jerry N. Green, 2004. Granola supplemented diet for enhancing birth control in mammals and poultry. US Patent Number 5,864,291 B2, Date of Issue Jul. 20, 2024.
PUBLICATIONS 1.2: (Since last promotion; use numbered lists)
Books: total of
Book Chapters: total of
1. Brown, W.K. 2018. Influence of environment on calcium metabolism. <i>In</i> : <u>Calcium in Cellular Processes and Medicine</u> . B.M. Altura, J. Durlach, and M.S. Seelig (eds.). 4 th International Symposium on Calcium. Lubbock, TX. P. 50-66.
Books and Book Chapters Edited: total of
Refereed Journals: total published, in press
Published: Follow acceptable format of the applicant's citation guidelines (i.e. APA)]
1. Morton*, J.L., D.H. Vaughn , J.M. Luna, and M.A. Cochran. 2024. Establishment of corn in rotation with alfalfa and rye: Influence of grazing, tillage, and herbicides. Agronomy J. 95:642-844.
In press:
Proceedings: total of
Refereed
Volunteered: Invited:
Non-refereed
Volunteered: Invited:

¹ Indicate your graduate students with an asterisk (*).
² Put your name in **bold**.

Abstracts: total o	f
Volunteered: Invited:	
Technical reports	: total of
Other publication	s: total of
SCHOLARLY PRES	ENTATIONS AND LECTURES: total of (Since last promotion)
1. [Follow accept	table format of the applicant's citation guidelines (i.e. APA)]
2. Doe, J., & Pop	pin, M. (2025). Title of contribution. Title of conference, Location.
GRADUATE STUDE	ENT COMMITTEES: (Since last promotion)
Completed:	
Chaired: total of	
M.S. 1. Jim Brown	Completed in 2024. Title of thesis:
Ph.D 1. Jon Doe	Completed in 2023. Title of dissertation:
Co-Chaired: total	of
Committee memb	er of: total of
In progress:	
Chair: total of	
M.S. 1. Jane Brown	Anticipated completion date
Ph.D. 1. Jodie Doe	Anticipated completion date
Co-Chair: total of	,
Committee memb	er of: total of

UNDERGRADUATE ADVISING: (Since last promotion)

TEACHING RESPONSIBILITIES: (Since last promotion)

Other Teaching Responsibilities (Since last Promotion)

Departmental Coordinator of Undergraduate teaching, (2022 to 2025) Coach of the TTU Soil Judging Team – 2006 to 2009 Describe contact hours if relevant.

GRANTS AND AWARDS: total funded \$ (Since last promotion)

Funded:

2023

1. PI or Co-PI, title, granting agency, total amount funded, own portion of total amount.

2024

1. PI or Co-PI, title, granting agency, total amount funded, own portion of total amount.

2025

1. PI or Co-PI, title, granting agency, total amount funded, own portion of total amount.

Pending:

Rejected: (to be filled out by candidates for promotion and tenure ONLY).

Cash and Gifts-in-Kind

Funded:

2024

1. PI or Co-PI, title, granting agency, total amount funded, own portion of total amount.

2025

1. PI or Co-PI, title, granting agency, total amount funded, own portion of total amount.

OUTREACH & ENGAGEMENT ACTIVITIES: (Since last promotion)

Encouraged, but not required section

Impact Statement:

In narrative form, provide the following information:

Issue: What is the problem that needed the attention of your research or teaching? What was the desired behavior change?

Partnerships: Which off and on-campus groups did you work with?

Outputs: Provide a simple statement of number products. (i.e.: number of presentations,

videos, journal articles. List audience size if possible).

Outcomes: Describe the behavior change.

Outreach:

Presentations, Lectures, or Public Speaking Engagements

- 1. Name of presenter(s), (Year). *Title of presentation* [Description of presentation]. Title of symposium/conference, Location.
- 2. Banks, J. (2025). *The Sheep/Wool Industry in Texas*. [Informational presentation]. Ramirez Elementary School 5th grade, Lubbock, TX.

Non-Credit Classes, Public Programs, Camps, or Workshops

- 1. Name of presenter(s), (Year). *Title of event* [Description of event]. Name of audience, Location.
- 2. Newman, R. (2025). *Creating Compelling Video Messages* [Workshop]. Texas County Extension Agents Conference, Austin, TX.
- 3. Gonzalez, A., & Cruz, E. (2025). *Ag Comm Camp*. [Three day camp for FFA members]. Texas FFA, Junction, TX.

Field Days

- 1. Name of presenter(s), (Year). *Title of event* [Description of event]. Name of audience, Location.
- 2. Banks, J., **Newman, R.,** & Jackson, J. (2025). *Water Management in a Dry Climate* [Public Field Day]. Panhandle/South Plains area agricultural producers, New Deal Farm, New Deal, TX.

Contests

1. Name of contest. Name of organization. Location, Year(s).

- 2. Livestock Career Development Event. National FFA Organization. Indianapolis, IN, 2021-present
- 3. Livestock judging. District 2 4-H. Lubbock, TX, 2024.

Media Interviews

- 1. Reporter(s) name (year, date). Title of article. *Title of media outlet*. Location.
- 2. Morgan, T. (2025). Will the price of eggs ever drop? *U.S. Farm Report*. Kansas City, MO [Nationally syndicated].
- 3. Woodward, B. (2025). Americans search for cheaper eggs. *Washington Post*. Washington, D.C. p. 1A.

Engagement:

Community Partners

1. Name of partner organization

Community Engaged Teaching

- 1. Course number/name (Semester & year taught). Describe output.
- 2. ACOM 3301 Video Production in Agriculture (Spring 2024). Students shot video for Buddy Holly Hall, then saved the video in a folder and sent it to a staff member for them to use in their promotional materials.

Community Engaged Research

- 1. Name(s) of community partner (can be general). Dates of data collection. Describe project and output.
- 2. Various South Plains cotton producers. (Summers, 2024-25). Periodically trap and count insects in the turnrows of cotton farms in a 10-county radius of Lubbock. At the conclusion of each month, producers get a report of the insect trap data from their farm. Project funded by USDA-NIFA.

Clinical Services

1.

Other

	Books
	Book Chapters
	Refereed Journals
	Peer Reviewed Conference Presentations
	Curriculum
	List in the acceptable format of the applicant's citation guidelines (i.e. APA)
	Patents
	Videos/Documentaries/Podcasts
	Inventions or Businesses
	Websites or Social Media
	Exhibits
	List, describe, and provide audience size, if applicable.
CONS	SULTING ACTIVITIES: (Since last promotion)
	1. Consultant to Agripro Chemical Company, Midland, TX, 2022 to 2023.
<u>SERV</u>	ICE TO PROFESSIONAL ORGANIZATIONS (Since last promotion)
	National:
	1. American Society of Agronomy (member since 2007.)

a. Board of Directors, 2015 to 2023

1. Southern Pasture and Forage Crop Association (member since 2014.)

b. President, 2023

Regional:

b.

Engaged Scholarship:

20

- 1. Texas Association of Animal Scientists (member since 2006.)
 - a.
 - b.

OTHER PROFESSIONAL SERVICE: (Since last promotion)

1. Member of Board of Directors, Texas Cattlemen's Association, 2002 to 2006.

SERVICE TO: (Since last promotion)

For the following, list boards of directors, committees served on (chaired), officer, editor, advisor, or other positions held, and other relevant activities that illustrate service activities. Follow the numbered list format.

UNIVERSITY:
COLLEGE:
DEPARTMENT:
COMMUNITY:
INDUSTRY:
OTHER:

LETTERS FROM EXTERNAL REVIEWERS

LETTERS FROM EXTERNAL REVIEWERS

- Precede letters with a page listing brief bios of each letter writer. As much as possible, none of the external reviewers should be direct collaborators, mentors, or other personal affiliates of the candidate. Please state if they are.
- Include a sample of the letter or email that was sent to solicit external reviews and provides them instruction on what to review.
- To be selected primarily by the Department Chair, allowing some input from the candidate. Procedure for selection should be described in the Chair's letter. (State any involvement of the Dean's Office in this process.)
- All solicited external letters received become a part of the dossier
- The candidate may view the external letters when the dossier is reviewed. *

At least three letters from TTU Peer Institutions https://www.depts.ttu.edu/irim/Miscelleneous/PeerGroup.php

- When there is a preponderance of letters from either women or men, consider stating whether the candidate's field is gendered to clarify that the distribution is representative.
- Consider how to clarify when the candidate's area of study or performance is so new or recently emerging that most letter writers claim lack of expertise or direct involvement with what the candidate does.
- The Chair's and Dean's letters should cite notable observations from these letters.
- See OP 32.01 Section 4.b for additional details regarding letters from external reviewers.

^{*}The accessibility of external letters to the candidate is a long-held assumption at TTU. This apparently has not been practiced in all the colleges, but it is encouraged owing to the State's open records statutes. Those concerned that this openness would restrict the availability of objective writers may be relieved in that reviews of dossiers over about two decades indicates that letters are routinely received that clearly and objectively evaluate the candidate's record and span the spectrum of supportive to non-supportive.

BASIC INFORMATION

BASIC INFORMATION

Precede with a co	opy of the original lette	er of appointment o	r letter specifying	g changes to th	e appointment)
2. 3. 4. 5. 6. 7. 8.	Allocation in present	t initial appointment and where: his position: (licensures, certifice in other institutivith initial appointment) %%%(e.g., administration assignment:	t): cations, etc.): ons of higher edu ent to this position		· sectors:
	a. Teachingb. Researchc. Service	%			
	o. Research c				
	d. Other	(e.g., administra	tion) %		
	. Average percent of as			rears:%	
Cui	rrent Semester (e.g., Fa	11 2023)	Last Se	emester (Spring	z 2024)
	e# Credits		Course #		•
	rior Semester (e.g., Fal	ll 2024) Enrollment		emester (Sprin <u>Credits</u>	
			·		-
	completed by departs		-		
Compa	ared with other teachin	g assignments in th	e department, thi	s applicant's lo	oad nas been:
	High	Av	erage	Low	

Department Chair's signature:

SELF-STATEMENT ON TEACHING AND GOAL STATEMENT ON TEACHING

CANDIDATES SELF-STATEMENT ON TEACHING AND GOAL STATEMENT ON TEACHING

- One-to-three-page narrative written by the candidate.
- Tabulate student ratings of teaching on one page.
- One page summary of representative student comments (selected by chair).
- A statement of where the faculty member envisions his or her teaching (goals) to be in five to seven years. What are the post-tenure or post-promotion goals of their teaching?

Summary of Student Ratings of Teaching Effectiveness

[Name of Faculty Member]

Evaluation scale: 5 = excellent, 4 = outstanding, 3 = good, 2 = fair, 1 = poor. Entries are the section mean by term.

List of Courses Taught with Enrollments

Spring TTU 2023

spring 110 2020									
Course	Course	Title	Sec	Credit	Enrolle	Eval 1	Eval 1	Eval 11	Syllabus
Prefix	Number			Hours			or 2**	or 3**	
ACOM	1111	Introduction to Agricultural	001	3	28	4.89	4.52	5.00	Y
		Communications							
ACOM	2222	Video Production in Agriculture	001	3	34	4.60	4.25	4.55	Y
Term Department Mean						4.40	4.26	4.16	
University Mean						4.55	4.43	4.20	

And so on for each course and semester in the review period. Utilize the table format automatically generated by Degree Works.

SELF-STATEMENT ON RESEARCH AND DISCOVERY AND GOAL STATEMENT ON RESEARCH AND DISCOVERY

CANDIDATE SELF-STATEMENT ON RESEARCH AND DISCOVERY AND GOAL STATEMENT ON RESEARCH AND DISCOVERY

- One-to-three-page narrative by faculty member
- This may include scholarship of engagement (peer reviewed products). *
- State how startup has been used and recovered in research/discovery.
- Use ORS %-credit to document grant funding, as applicable.
- Speak to unfunded as well as funded proposals.
- Refer to citation indices, impact factors, and reviews of work.
- A statement of where the faculty member envisions his or her research and creative activity (goals) to be in five to seven years. What are the post-tenure or post-promotion goals of research and discovery?

^{*}Statements about activity in the scholarship of engagement are to be made where there is evidence of such activity. The candidate may place engaged scholarship documentation in the next section on Outreach and Engagement.

OPTIONAL SELF-STATEMENT ON OUTREACH AND ENGAGEMENT

AND

GOAL STATEMENT ON OUTREACH AND ENGAGEMENT

OPTIONAL SECTION

CANDIDATE SELF-STATEMENT ON OUTREACH AND ENGAGEMENT AND GOAL STATEMENT ON OUTREACH AND ENGAGEMENT

- One-to-three-page narrative by faculty member.
- If the faculty member does not feel his or her body of work in outreach and engagement OR- if he or she does not have an O&E appointment, this section may be omitted.
- Describe the need/issue addressed by outreach and engagement (no more than one paragraph). Identify the community partner and describe their role in the partnership. List the outputs (outreach and engaged scholarship activities) that address the need. Describe the impact on that need.
 - o Repeat for each need or issue addressed by O&E activities.
 - o Ensure engagement activities are programmatic in nature.
- Describe how the projects relate to one another.
- Include engaged scholarship citations in this section.
- Provide a statement of the candidate's direction and goals for the next five to seven years. What are the post-tenure or post-promotion goals of their outreach and engagement efforts?
- Reference specific pages in your CV section to reinforce evidence.

SELF-STATEMENT ON SERVICE AND GOAL STATEMENT ON SERVICE

CANDIDATE SELF-STATEMENT ON SERVICE AND GOAL STATEMENT ON SERVICE

- One page narrative by the faculty member
- Review service contributions to the department, college, university, and profession
- Comment on how service intersects with teaching and scholarly activity
- A statement of where the faculty member envisions his or her service (goals) to be in five to seven years. What are the post-tenure or post-promotion goals of their service?

APPENDIX

FACULTY ANNUAL REPORTS WITH CHAIRPERSON ASSESSMENTS

THIRD-YEAR REVIEW

BALLOT COMMENTS

FACULTY ANNUAL REPORTS WITH CHAIRPERSON ASSESSMENTS AND/OR 3 YEAR REVIEW (IF APPLICABLE)

BALLOT COMMENTS

- Annual Report and Third-Year Review
 - -In chronological order, first year through most recent year.
 - -Third-year review appears after third annual report.
- Ballot Comments
 - -Include copies of all ballot comments submitted by faculty voters.