# General Principles of Animal Welfare Audits



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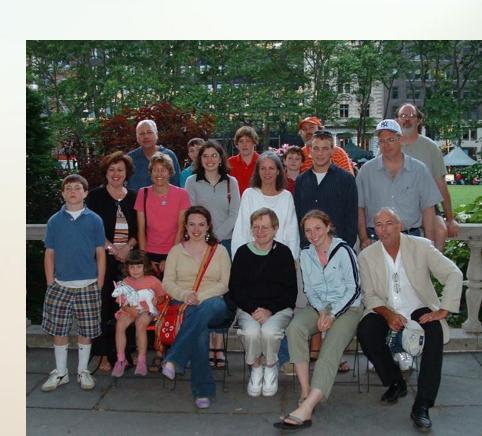
#### **Outline**

- What is animal welfare?
- What are the welfare issues at a processing plant?
- Plant tactics to do well on audits
- First, second and third-party audits
- Qualifications of auditors
- Sample size determination
- Conduct of the audit
- Reporting results
- Ethics



- A controversial topic for activists, scientists and for some consumers
- What is the basis of defining AW?
  - Emotions?
  - Anthropomorphism?
  - Science?
  - Objective data?
  - Subjective data?

What would 'regular' people think?



- Welfare ranges from poor to adequate to good
- Adequate welfare is the minimum standard



#### Adequate welfare includes:

- Free from pain
- Free from distress including psychological distress
- Basic needs met for:
  - Space
  - Temperature
  - Feed & Water
  - Health



# Adequate welfare does *not* include:

- Access to entertainment/toy s or 'fun'
- Excess eating or drinking
- Drugs that make animals 'feel' good
- Extraordinary comfort



# What are the issues at a plant?

- Any event from arrival, unloading, resting, stun and exsanguination, including
- DOA and nonambulatory animals
- Adequate space
- Access to water if resting
- Lack of physical abuse moving animals
- Facilities that do not injure animals
- Proper stun and stick



#### Plant tactics to do well on audits

- Spread the word when the auditor arrives that the auditor is on site
- Slow down the line speed
- Change human behaviors to be less aggressive

Do not keep internal records to avoid

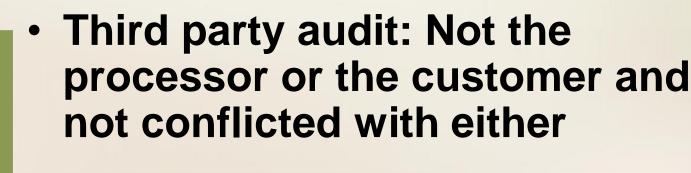
'looking bad'



# First, Second, Third Party Audits

Consider processor and its customer

- First party audit: Internal audit by processor
- Second party audit: customer audit or processor may bring in a consultant/auditor to look at its process



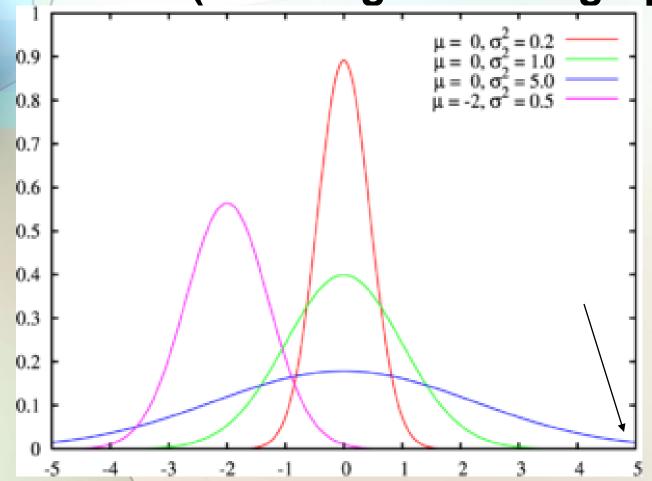


# **Qualifications of Auditors**

- They should be trained in quality audit techniques
- They must have enough experience to use some professional judgment (ex., nipple waterers are not a sharpprotruding object)
- Eventually, all AW auditors will be PAACO-certified

# Selecting a sample size

 Start with the normal distribution of animals; mostly fine, some excessive (to the right on the graphs).



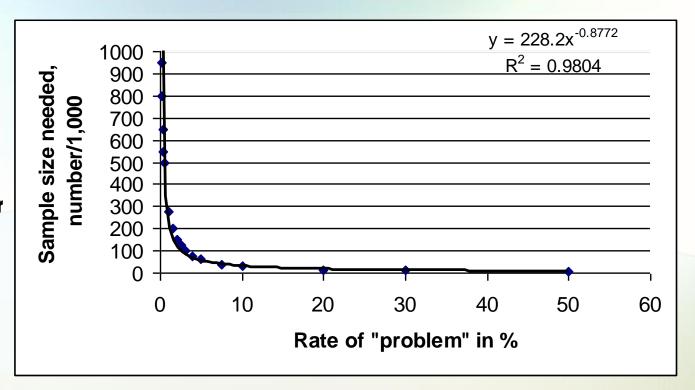
- Some populations are variable (see blue line) and some are uniform (see red line).
- The extreme values fall on one end of the curve (see arrow on blue line)
- Those are 'errors' or problem values or noncompliant values based on the standards selected

# Selecting a sample size

- The sample size needed is determined by the rate of 'error' in the product
- The audit is attempting to find errors or out of compliance issues as a way of addressing if the minimum standards are met
- The lower the error rate (or in our case, AW issues), the larger the sample size must be

#### **Sample Size Calculation**

**Graph** shows sample size **needed** to find errors with a population of 1,000 items (or animals) *(Sawyer, 1996)* 



- Consider here a plant that processes 1,000 animals per hour
- With a high error rate, fewer samples are needed
- ·If the error rate is 1%, 275 animals must be observed
- A sample of 100 animals can detect an error rate over 3% on average
- A 0.1% (1/1,000) error rate means 950 must be observed

#### **Conduct of the Audit**

- Auditor must be professional at all times
- Audit must be well documented using numbers if possible
- Auditor must be non-intrusive
- Auditor should be not visible to staff being audited if at all possible
- A person in a white lab coat carrying a clipboard changes human behaviors

# **Reporting results**

- Results are reported to the person paying for the audit, unless other arrangements have been made ahead of time
- Results must always be confidential
- Third party audit results should be shared with processor and customer
- After the audit, if all agree, the results may be shared with others

#### **Ethics**

- A quality audit can only be effective if all three parties are honest and ethical
- Auditors should refrain from accepting gifts or food from either party unless it is socially negative to refuse (this should be rare)
- All parties should avoid telling others the outcome of audits unless all parties agree

# **The End**

