

DICER-LIKE 4 functions in trans-acting small interfering RNA biogenesis and vegetative phase change in *Arabidopsis thaliana*

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Arabidopsis thaliana contains four DICER-LIKE (DCL) genes with specialized functions in small RNA biogenesis for RNA interference-related processes. A mutant with defects in *DCL4* was identified and analyzed for microRNA- and endogenous, small interfering RNA (siRNA)-related functions. The *dcl4-2* mutant contained normal or near-normal levels of microRNAs (21 nt) and heterochromatin-associated siRNAs (24 nt). In contrast, this mutant lacked each of three families of 21-nt trans-acting siRNAs (ta-siRNAs) and possessed elevated levels of ta-siRNA target transcripts. The *dcl4-2* mutant resembled an *rna-dependent RNA polymerase 6* mutant in that both mutants lacked ta-siRNAs and displayed heterochronic defects in which vegetative phase change was accelerated. Double mutant analyses with *dcl2-1*, *dcl3-1*, and *dcl4-2* alleles revealed hierarchical redundancy among DCL activities, leading to alternative processing of ta-siRNA precursors in the absence of *DCL4*. These data support the concept that plants have specialized and compartmentalized DCL functions for biogenesis of distinct small RNA classes.

Eukaryotes contain small RNA-dependent pathways that negatively regulate gene expression at the transcriptional or posttranscriptional level (1). Small RNAs categorized as microRNAs (miRNAs) or small interfering RNAs (siRNAs) arise from imperfectly base-paired foldback structures or from dsRNA precursors, respectively (1, 2). Small RNAs associate with factors, such as ARGONAUTE (AGO) proteins, in effector complexes to guide target RNA cleavage, translational repression, or chromatin modification (3). miRNA primary transcripts arise from genetically defined PolIII units, whereas dsRNA precursors for siRNAs may arise from bidirectional transcription of a locus, transcription of extended inverted duplications, or the activity of an RNA-dependent RNA polymerase (RDR) on a suitable RNA template (1, 2). RNaseIII-type enzymes, termed Droscha and Dicer (DCR) in animals or DCR-LIKE (DCL) in plants, catalyze processing of miRNA and siRNA precursors to 21- to 24-nt duplexes (2, 4). Loading of effector complexes with miRNAs or siRNAs involves asymmetric strand selection from the duplex based on the thermodynamic properties of each end (5, 6).

Although gene families encoding common components (AGO or DCR/DCL) of the small RNA biogenesis and effector complex machineries are conserved across eukaryotic kingdoms, small RNA pathways have become diversified and specialized between and within kingdoms (4). This diversification and specialization is most evident in plants, such as *Arabidopsis thaliana*, which contain 4 DCL, 10 AGO, and at least 3 functional RDR genes (4). miRNAs form through multiple processing steps catalyzed by DCL1 and require AGO1 to guide cleavage (or nondegradative repression in some cases) of target mRNAs *in trans* (7–11). Several classes of siRNAs form through distinct pathways. Heterochromatin-associated siRNAs (predominantly 24-nt) form through the activities of RDR2, RNA polymerase IV, and DCL3 and require AGO4 for activity to direct or reinforce cytosine methylation of DNA and histone H3 methylation at Lys-9 (12–16). Formation of posttranscriptionally

active siRNAs from exogenous (viral and transgenic) sources may involve RDR1 or RDR6 and, for some viruses, DCL2 (4). Endogenous, trans-acting siRNAs (ta-siRNAs) arise from PolIII genes and function like miRNAs to guide cleavage of target mRNAs (17–19). ta-siRNAs require RDR6 and suppressor of gene silencing 3 (SGS3) (of unknown biochemical function) for precursor formation (18, 19). ta-siRNA formation also requires DCL1, although the specific role of DCL1 may be indirect (17–19). The DCL activity that catalyzes processing of dsRNA precursors for ta-siRNAs is unclear based on previous studies. All known classes of endogenous small RNAs in *Arabidopsis* require HEN1, an RNA methyltransferase that modifies the 3' end of miRNAs and siRNAs (20). Among the four DCLs in *Arabidopsis*, DCL4 is the conspicuous protein for which no activities were assigned previously.

The biogenesis pathway for ta-siRNAs involves site-specific cleavage of primary transcripts guided by a miRNA (17). Two miRNAs, miR173 and miR390, function in this capacity in *Arabidopsis* (17). The processed transcript is then converted to dsRNA through the activities of RDR6 and SGS3 (17–19). A DCL activity then catalyzes siRNA duplex formation in 21-nt increments, starting from the processed end of the precursor. Active ta-siRNAs, therefore, are accurately phased with respect to the miRNA-guided cleavage site (17).

A. thaliana has three known families of ta-siRNA-encoding genes, designated *TAS1*, *TAS2*, and *TAS3* (17–19). The *TAS1* family is composed of three genes that encode a closely related set of ta-siRNAs [for example, siR255/siR480(+)] that target four mRNAs encoding proteins of unknown function (17–19). *TAS2*-derived ta-siRNAs (for example, siR1511) targets a set of mRNAs encoding pentatricopeptide repeat proteins (17, 18). The *TAS3* locus specifies two ta-siRNAs that target a set of mRNAs for several Auxin response factors (ARFs), including ARF3 (*ETTIN*) and ARF4 (17, 21). *Arabidopsis* mutants with defects in *RDR6* and *SGS3* lack ta-siRNAs and exhibit accelerated transition from juvenile to adult phase during vegetative development (18, 19), suggesting that ta-siRNAs regulate developmental timing, presumably through regulation of ta-siRNA target genes.

In this study, we identified a role for DCL4 in ta-siRNA biogenesis. *dcl4* mutant plants exhibit phase-change phenotypes that resemble those of *rdr6* mutants, specifically lack ta-siRNAs, and accumulate elevated levels of ta-siRNA target mRNAs. These results indicate that DCL4 functions to process ta-siRNA precursors in a distinct small RNA biogenesis pathway.

Abbreviations: DCR, Dicer; DCL, DCR-LIKE; miRNA, microRNA; siRNA, small interfering RNA; ta-siRNA, trans-acting siRNA; RDR, RNA-dependent RNA polymerase; AGO, ARGONAUTE; SGS3, suppressor of gene silencing 3.

Data deposition: The cDNA sequence for *DCL4* has been deposited in the GenBank database (accession no. DQ118423). Microarray data were deposited in the National Center for Biotechnology Information Gene Expression Omnibus database (NCBI GEO accession no. GSE3011).

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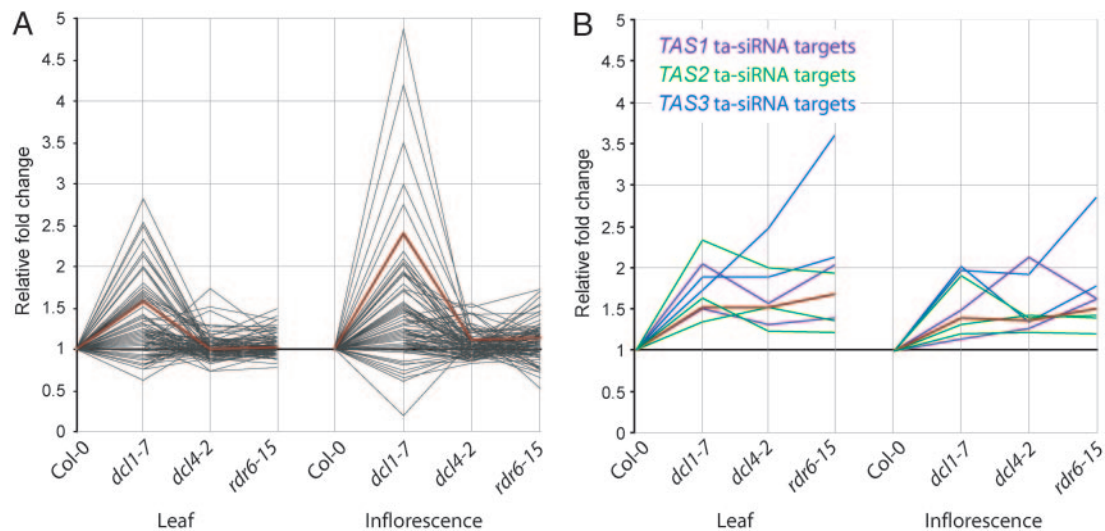


Fig. 2. Expression profiling of miRNA and ta-siRNA target genes in *dcl1-7*, *dcl4-2*, and *rdr6-15* mutant lines. Mean fold-change values were calculated for miRNA (A) and ta-siRNA (B) target transcripts in Col-0 (1.0 by definition) and mutant plants. The primary principal components analysis eigenvectors that accounted for >60% or >90% of the variation with miRNA and ta-siRNA targets, respectively, are shown by the red lines. Targets for ta-siRNAs from *TAS1* (purple), *TAS2* (green), and *TAS3* (blue) are color-coded. Note that only seven of nine predicted or validated ta-siRNA targets are represented on the array (Table 1).

array (17). Significantly coaffected genes were identified by the SAM method (27), with a false discovery rate of 0.05. In three independent analyses, genes exhibiting significant up-regulation in *dcl1-7*, *dcl4-2*, and *rdr6-15* in either tissue type were identified.

Results

Arabidopsis DCL4 Encodes All Highly Conserved Domains Found in Other DCR Family Members. Members of the DCR family in eukaryotes share highly conserved structural features, including a helicase domain, dual RNase III-like domains, dsRNA-binding motif(s), and a centrally located Piwi/Ago/Zwille (PAZ) domain (22, 28). Previous descriptions of domain structure of the four *Arabidopsis* DCL proteins indicated that DCL4 was unusual because of the lack of a PAZ domain (22). We analyzed the *DCL4* mRNA by RT-PCR cloning and sequencing and by mapping the 5' and 3' UTRs with RNA ligase-mediated RACE procedures. The cloned cDNA revealed a 5,109-bp ORF, which contrasted with the previous prediction of a 5,031-bp ORF. This discrepancy was apparently due to inaccurate predictions at multiple exon/intron junctions, including those flanking exons 6, 9, 17, and 18, and introns 5, 8, 16, and 17 in the revised annotation (Fig. 1A Upper), resulting in a predicted protein with internal deletions and insertions. The previous annotation lacked 101 bp (3,000–3,100 nt from the start codon) encompassing the coding region for part of the PAZ domain. With this revision, *DCL4* is predicted to encode a protein of 1,702 residues with a central PAZ domain in addition to all other conserved domains (Fig. 1A Lower).

The 5' RACE assay revealed two alternative transcription start sites that would give rise to transcripts with 5' UTRs of 198 or 55 nt (Fig. 1A and Fig. 6, which is published as supporting information on the PNAS web site). The 3' RACE assay revealed two polyadenylation sites, which would define mRNAs with 3' UTRs of 231 or 278 nt (Figs. 1A and 6). The upstream polyadenylation site was supported by an EST clone (GenBank accession no. AV547573) containing precisely the same 3' UTR sequence.

Identification of the *dcl4-2* Mutant. To explore the function of *Arabidopsis DCL4*, we identified a mutant line (*dcl4-2*; Genomanalyse im Biologischen System Pflanze seed line GABI160G05) harboring a T-DNA insertion beginning after

nucleotide 9005 from the *DCL4* start codon. This insertion should result in termination of the wild-type sequence before the coding region for the second dsRNA binding motif (Fig. 1A). A series of RNA blot assays was done to characterize the *dcl4-2* transcript. Probes corresponding to sequences upstream of the insertion site (RIII probe and probe A) detected wild-type *DCL4* and mutant *dcl4-2* transcripts of similar size (Fig. 1B). A probe (probe B) specific for sequences downstream of the insertion site detected the wild-type transcript but not the *dcl4-2* mutant transcript (Fig. 1B). These results suggest that the *dcl4-2* transcript is likely chimeric with partial *DCL4*- and T-DNA-specific sequences but not with sequences encoding the second dsRNA-binding motif. A 3' RACE analysis confirmed the chimeric nature of the *dcl4-2* transcript and revealed a 192-nt segment of T-DNA-derived sequence (Fig. 6).

Homozygous *dcl4-2* mutant plants (Col-0 background) had elongated and downwardly curled rosette leaves, which are phenotypes also associated with *rdr6* mutants (Fig. 1C) (18). The length/width ratios of early rosette leaves from the *dcl4-2* and *rdr6-15* mutant plants were significantly ($P < 0.001$) higher than those of equivalent leaves in Col-0 plants (Fig. 1D), although the phenotype of the *dcl4-2* mutant was weaker than the *rdr6-15* phenotype (Fig. 1C and D). In addition, precocious production of abaxial trichomes in early rosette leaves was also detected in *dcl4-2* and *rdr6-15* mutants (data not shown). The elongated leaf and early abaxial trichome phenotypes are hallmarks of accelerated juvenile-to-adult phase change. In fact, a role for *RDR6* and the ta-siRNA pathway in vegetative phase change was shown by Peragine *et al.* (18). The phenotypic similarity between the *dcl4-2* and *rdr6-15* mutants, therefore, suggests that DCL4 functions in the ta-siRNA pathway to affect vegetative phase change.

Expression Profiling of miRNA and ta-siRNA Targets in the *dcl4-2* Mutant. Given the phenotypic similarity between the *dcl4-2* and *rdr6-15* mutants (Fig. 1C and D), we hypothesized that DCL4 functions specifically in the ta-siRNA pathway, perhaps as the enzyme that catalyzes ta-siRNA formation from dsRNA precursors. If this hypothesis were true, then *dcl4-2* and *rdr6-15* mutants should have similar effects on ta-siRNAs and ta-siRNA target genes. In previous studies, ta-siRNAs accumulated to low levels and ta-siRNA target transcripts accumulated to relatively

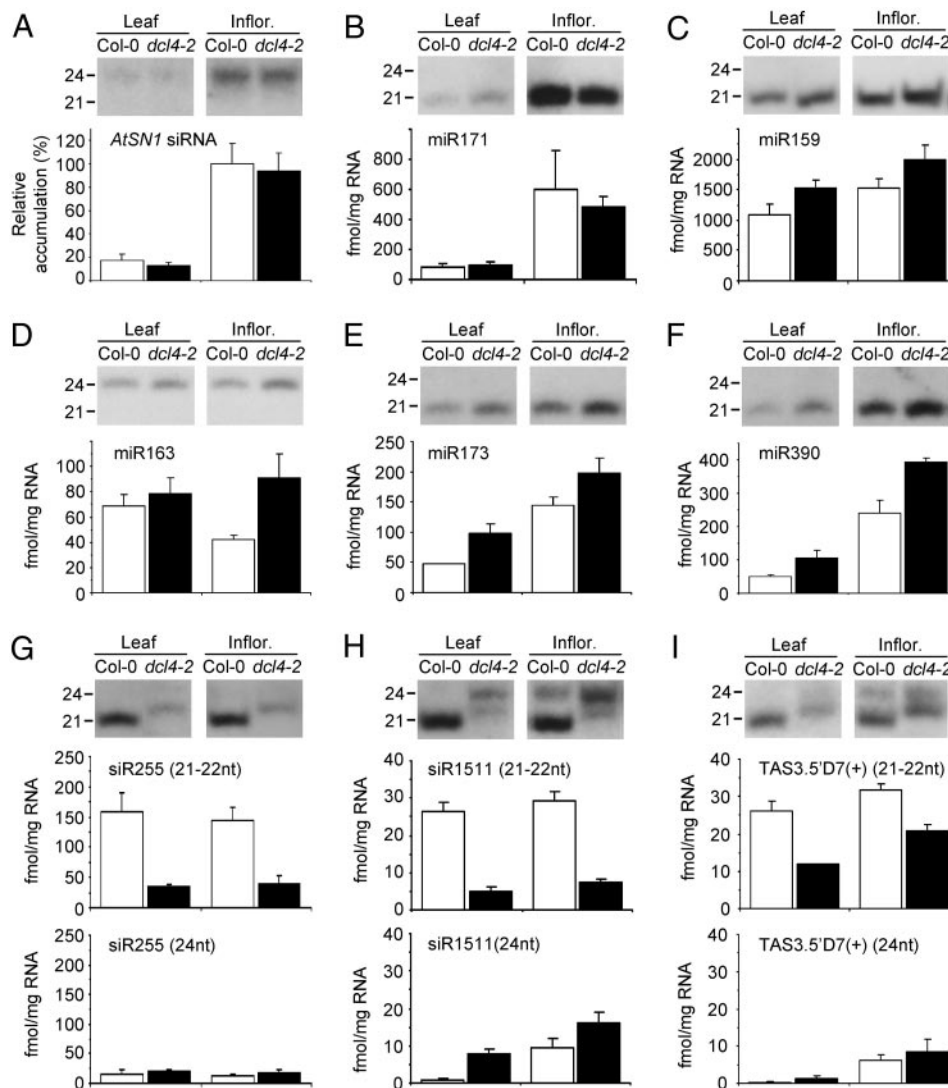


Fig. 3. RNA blot assays for small RNAs in *dcl4-2* plants. Triplicate RNA samples from leaf and inflorescence (Inflor.) tissues of *dcl4-2* mutant and wild-type plants were analyzed. A representative blot image and mean (\pm SD) small RNA levels (fmol/mg total RNA based on quantitative standards) are presented in columns in each panel. Small RNAs tested were *AtSN1* siRNAs (A), miR171 (B), miR159 (C), miR163 (D), miR173 (E), and miR390 (F) and ta-siRNAs siR255 (G), siR1511 (H), and TAS3.5'D7(+) (I). In blot assays for ta-siRNAs, signals from the 21- plus 22-nt zone and the 24-nt zone were measured and presented in separate graphs. Note that it was not possible to accurately measure 22-nt ta-siRNA forms in the presence of high levels of 21-nt forms.

high levels in *rdr6* mutant plants (17–19). *Arabidopsis* transcript levels were measured by expression profiling in two tissue types of Col-0, *dcl1-7*, *dcl4-2*, and *rdr6-15* plants. The *dcl1-7* mutant was included to distinguish genes that were regulated by miRNAs (primarily affected in *dcl1-7*) versus ta-siRNAs (affected in *rdr6-15* and *dcl1-7*).

As shown previously, miRNA target gene transcripts as a group were generally up-regulated in *dcl1-7*. This gene set, however, was largely unaffected in *dcl4-2* and *rdr6-15* (Fig. 2A). This finding was confirmed by principal components analysis, in which at least 60% of the variation in both tissue types was explained by an eigenvector that was elevated specifically in *dcl1-7* (Fig. 2A). These data suggest that *DCL4* is not required for miRNA activity. In contrast, all predicted and validated ta-siRNA targets that were represented on the array (seven targets; see Table 1, which is published as supporting information on the PNAS web site) were significantly up-regulated in at least one tissue type in *dcl1-7*, *dcl4-2*, and *rdr6-15* plants. Coregulation of ta-siRNA target mRNAs was supported strongly by principal components analysis in both of the tissues analyzed

(Fig. 2B). In total, only nine genes were significantly up-regulated in all three mutants (false discovery rate = 0.05; SAM method) (Table 1). Because the *dcl4-2* mutant affected ta-siRNA but not miRNA targets, it is likely to be required for ta-siRNA processing downstream of miRNA-guided cleavage of primary ta-siRNA transcripts. Among transcripts from *TAS1*, *TAS2*, and *TAS3*, only *TAS2* [*Arabidopsis* Genome Initiative (AGI) no. At2g39680] transcript was represented in the ATH1 array. This transcript was up-regulated by 1.3- to 3.3-fold in each mutant, although a significant difference was detected only in the *rdr6-15* plants (data not shown).

Biogenesis of Endogenous ta-siRNAs Is Impaired in *dcl4-2* Plants. The requirement for *DCL4* in biogenesis of endogenous ta-siRNAs was tested by using *dcl4-2* mutant plants and quantitative RNA blot assays. *DCL3*- and *RDR2*-dependent heterochromatin-associated, *AtSN1*-derived siRNAs (24 nt) and *DCL1*-dependent miRNAs were also tested. *AtSN1* siRNAs accumulated to levels that were similar in wild-type and *dcl4-2* plants (Fig. 3A). Among five miRNAs tested, none accumulated to significantly lower

