

# Sufficient Mutation Operators for Measuring Test Effectiveness

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*30<sup>th</sup> International Conference on Software Engineering, ICSE 2008, Leipzig, Germany  
May 2008*





# Outline

- Motivation
- A Quick Review of Mutation
- Sufficient Set Problem
- Applicable Statistical Techniques
- Experimental Procedure
- Data Analysis
- Conclusion
- Research Directions



# Motivation

- >50% of the development budget is still allocated for testing
- Software systems are becoming more complex

**Need More Effective and Less Expensive Testing Techniques**

➤ Measuring the Cost: **Easy**

➤ E.g., # of test cases needed

➤ **Assessing the Effectiveness!!!**

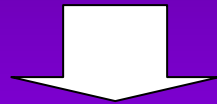
**How?**



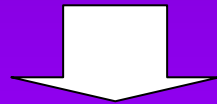
# Motivation

## Assessing the Effectiveness of Testing Techniques

- Empirical Investigation and Experimentation
  - Testing technique A is more effective than testing technique B if A detects more faults than B for a set of subject programs with known faults



Need a set of subject programs accompanied by some faults



**Using Mutation to Generate Faulty Versions**



# Mutation

## Terminologies

- Mutation – Modifying the source code slightly
- Mutation Operator – Mathematically well-defined functions that transforms an element of the source code to another element in the same class
- Mutant – The source code resulting from applying a mutation operator
- Killed Mutants – There is at least one test case in the test suite that detects the mutant
  - Running the test case on both the gold and the mutant produces different output
- Alive Mutants – No test case in the test suite is able to detect the mutant. But by adding the required test cases it might be detected
- Equivalent Mutant – There is absolutely no test case to detect the mutant



# Mutation

## Faults vs. Mutants

- Andrews et al. [2005, 2006] showed that:
  - Mutants can be a good representation of real faults
  - They have can have high correlations for both random and coverage-based test suites

Mutant  $\leftrightarrow$  Fault



# Mutation

## An Expensive Procedure

- > 100 mutation operators
  - E.g., 137 lines of code => 4935 mutants generated by 108 mutation operators
- Makes mutation infeasible



### Sufficient Set Problem [Offutt et al., 1996]

“Search for a subset of mutation operators that can be used instead of all mutation operators in measuring the adequacy of test cases”



# Sufficient Set Problem

## Related Work

- Mutation Testing
  - Used to enhance a test suite [Hamlet 1977; De Millo et al. 1978]
  - Mutation Tools
    - Proteum, MuJava
- Sufficient Operators
  - Compared 22 operators with 2 operators [Wong 1993]
  - Compared 22 operators with 4 subsets [Offutt et al. 1996]
  - Defined guidelines for selecting [Barbosa et al. 2001]
- Generating Faulty Versions
  - Hand-seeding [Frankl and Weiss, 1993]
  - Reintroducing [Frankl and Iakounenko, 1998]
  - Mutation [Daran et al., 1996]





# Sufficient Set Problem

## Why is Our Work Different?

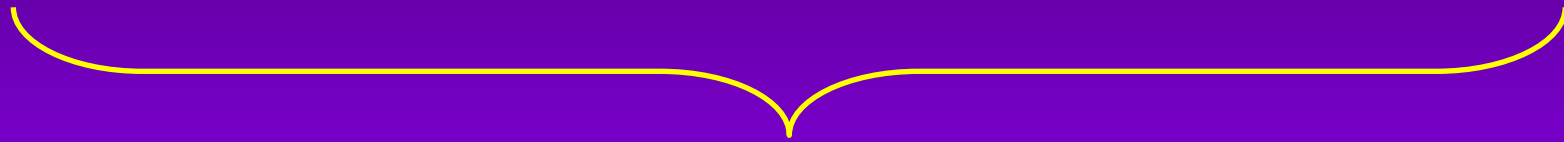
1. Using larger subject programs with complex data structures
  - 137 – 513 lines of code
2. Sufficient set for a wide range  $[0, 1]$  of prediction for mutation score
3. A variable reduction problem
4. Identifying important operators through linear regressions



# Sufficient Set Problem

## Our Definition

- We refine sufficient set problem as:
  - Finding a subset of mutants and a multiple linear regression model that can predict the behavior of all mutants



The problem is transformed to a statistics problem



# Sufficient Set Problem

## The Scheme

For each test suite  $s$ , we compute:

1. Mutants Detection Rate (AM) (Generated by all operators):

$$AM = \frac{\# \text{Killed Non - Equivalent Mutants}}{\# \text{All Non - Equivalent Mutants}}$$

2. Mutant Detection Rate (  $Am_i$  ) (Generated by operator  $i$ ):

$$Am_i = \frac{\# \text{Killed Non - Equivalent Mutants of Operator } i}{\# \text{All Non - Equivalent Mutants of Operator } i}$$



# Sufficient Set Problem

## Rephrasing The Problem

The Problem is to find a linear model:

$$AM = k + c_1Am_1 + c_2Am_2 + \dots + c_nAm_n + \varepsilon$$

- The right hand side involves operators with minimum costs
- The prediction should be accurate



# Sufficient Set Problem

## Cost of Operators

- Sufficient set problem
  - A special case
    - Unequal costs are associated with operators
  - Cost of operator  $i$

$$\text{cost}(i) = \frac{\# \text{ Non - Equivalent Mutants Generated by } i}{\# \text{ All Non - Equivalent Mutants}}$$



# Possible Statistical Techniques

- What Statistics offers:
  - Variable Reduction Techniques
    - Correlation Analysis
      - Based on the correlation between operators
    - Cluster Analysis
      - Based on the distance of two operators
  - All-Subset Selection and Multiple Linear Models



# All Subset Selection

- The Idea of Prediction and Applying Multiple Linear Regression
- Output: A set of good models (active sets: important variables)
- Variants
  - Exhaustive
  - Forward (Backward) Stage-wise
  - Lasso
  - Least Angle Regression
    - Intermediate steps
    - Better performance and answer set
    - Variables with equal costs



# Cost-based Least Angle Regression

## CbLARS

- Least Angle Regression (LARS)
  - Variables have equal costs
- Cost-based Least Angle Regression (CbLARS)
  - Developed as a collaboration between two departments (Statistics and Computer Science) at the University of Western Ontario
  - A modification of Original Least Angle Regression
  - Variables are associated with unequal costs





# Experimental Procedure

## The Artifacts

- Subject Programs: the Siemens set
  - Consists of seven programs
    - Net Lines of code: 137 => 513
    - #test cases: 1052 => 5542
- Mutant Generator: Proteum (Maldonado et al.)
  - A Mutant generator for C
  - Implements 108 mutation operators



# Experimental Procedure

## A Summary

Subjects	Lines of Code	#Mutants	#Selected	#Equivalent
<code>tcas.c</code>	137	4935	4935	0
<code>totinfo.c</code>	281	8767	1958	218
<code>schedule.c</code>	296	4130	1964	204
<code>schedule2.c</code>	263	6552	1964	467
<code>printtokens.c</code>	343	11741	1966	415
<code>printtokens2.c</code>	355	10266	1963	21
<code>replace.c</code>	513	23847	1969	0
		70238	16719	1325

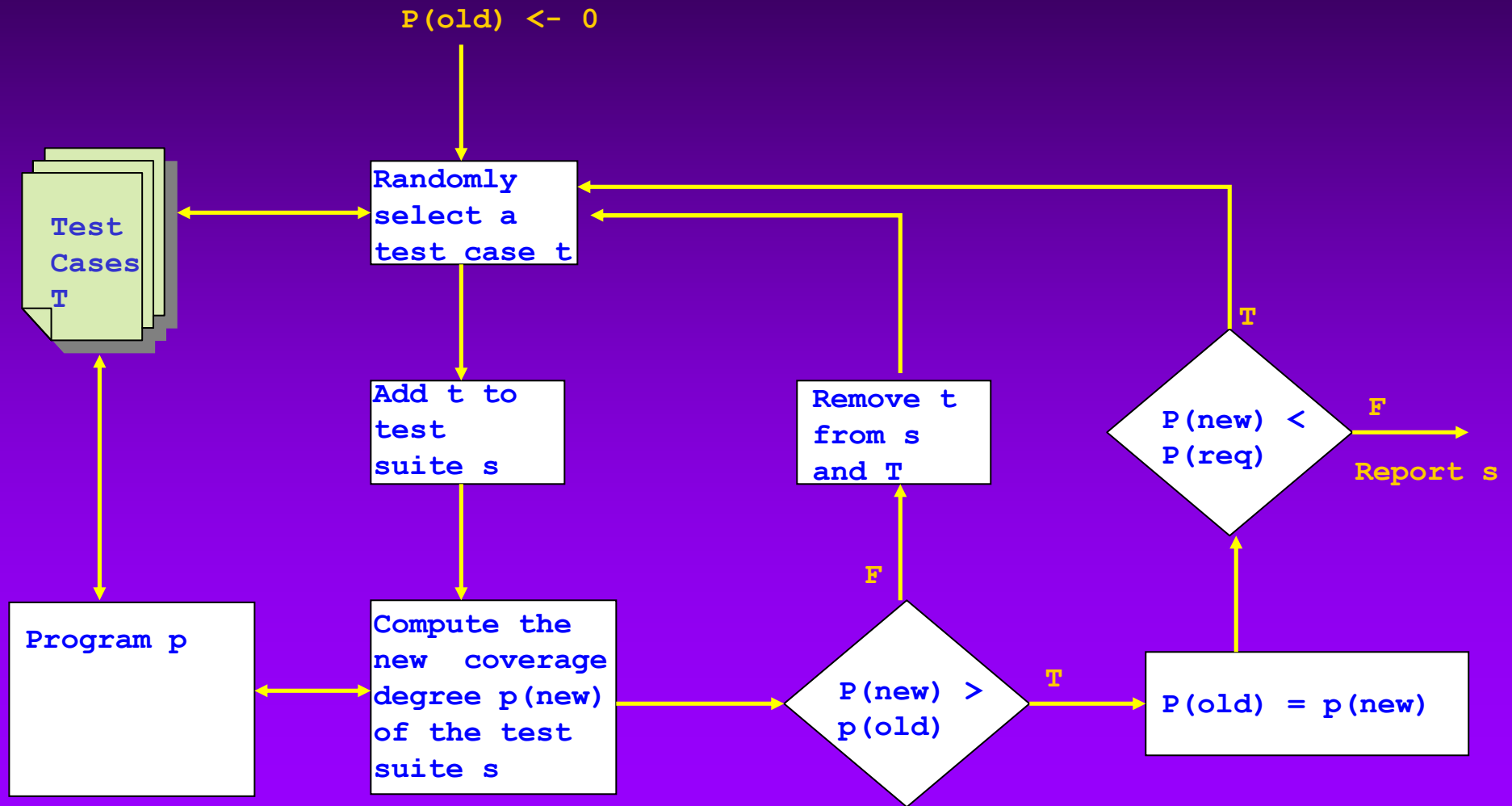
Total #Non-Equivalent Mutants = 15394



# Experimental Procedure

## Test Suite Generation

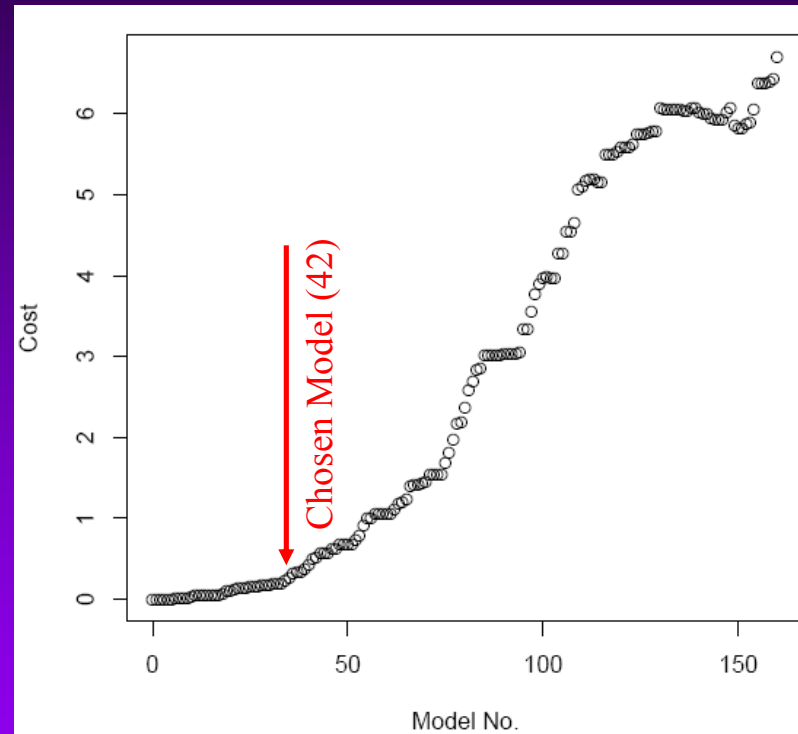
Required Coverage Degree =  $p(\text{req})$  in  $\{50, 51, \dots, 95\}$





# Data Analysis

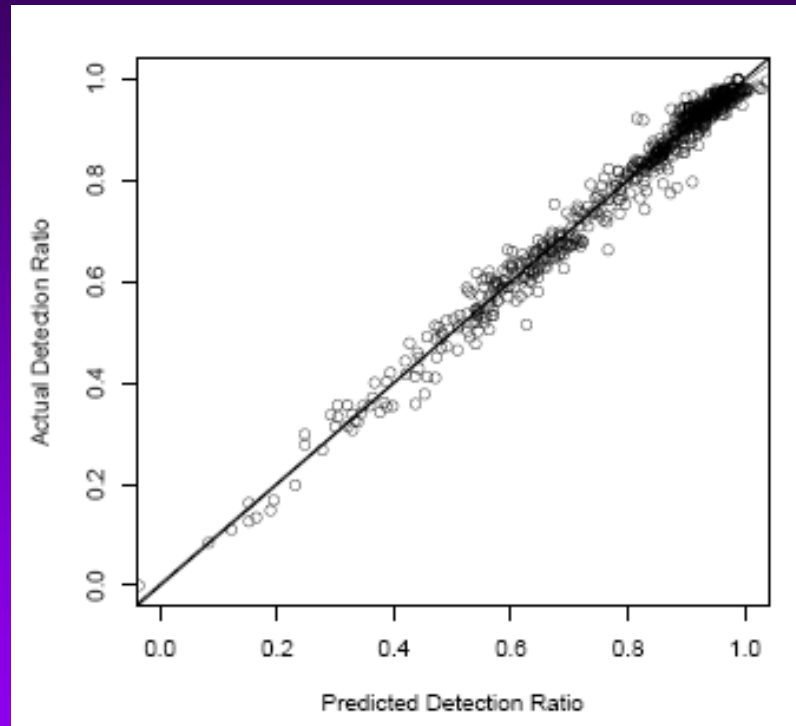
CbLARS – The First Model with  $\text{Adjusted } R^2 \geq 0.98$





# Data Analysis

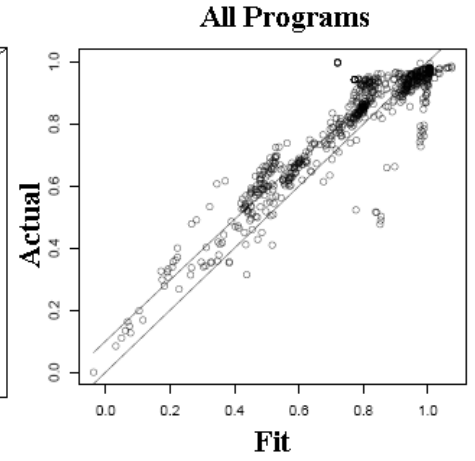
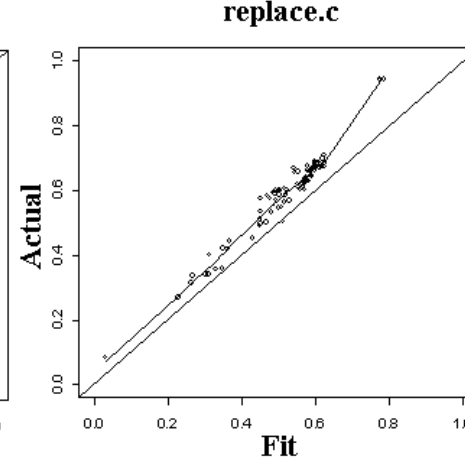
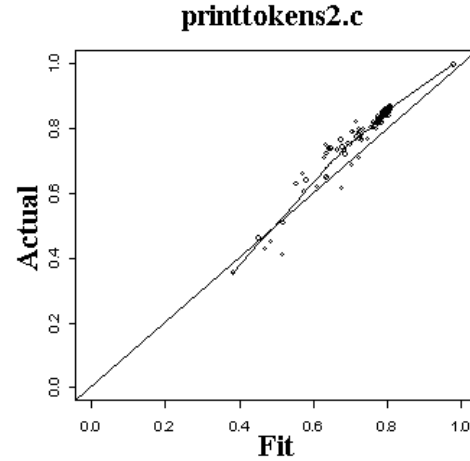
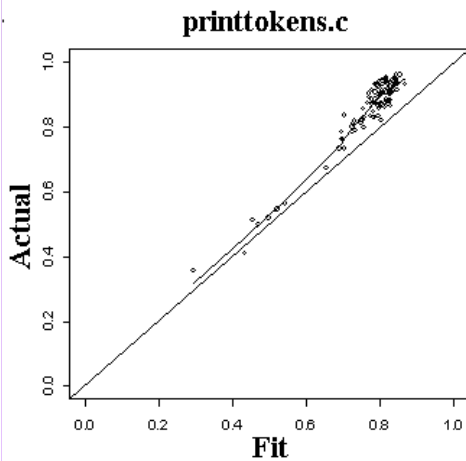
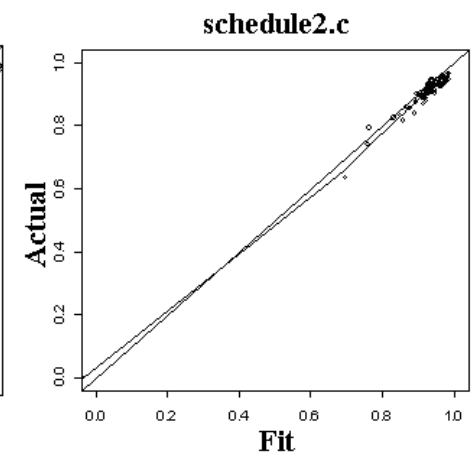
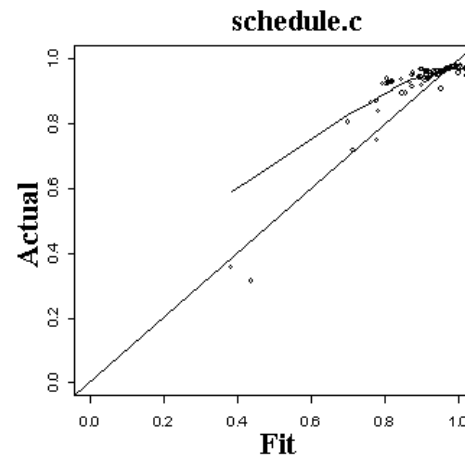
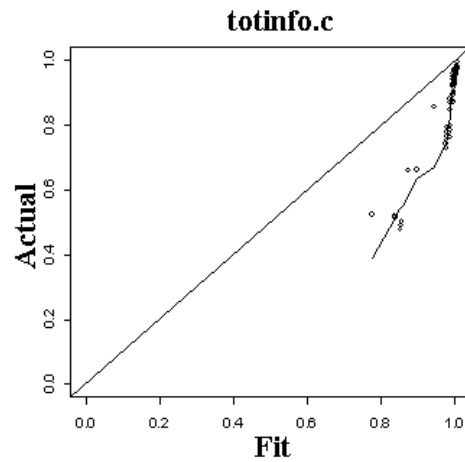
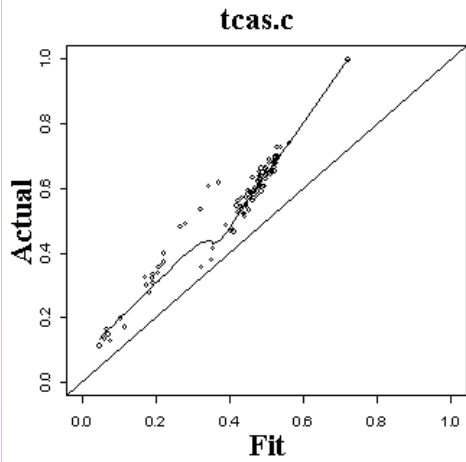
## CbLARS – Fitted vs. Actual





# Data Analysis

## CbLARS - CrossValidation





# Data Analysis

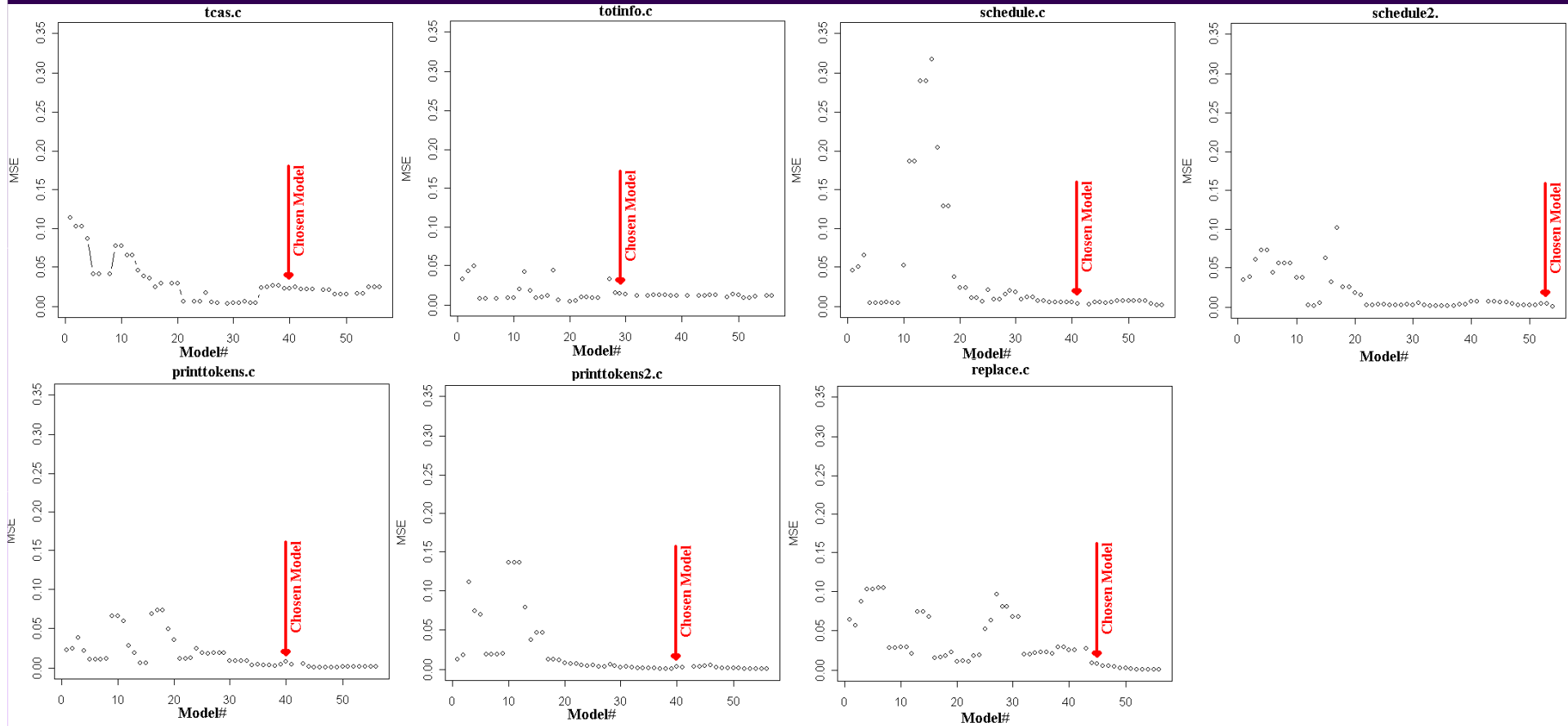
## CbLARS – Seven Fold Cross Validation

Subjects	MSE	r2	Spearman	Kendall
<code>printtokens.c</code>	0.008	0.930	0.769	0.601
<code>printtokens2.c</code>	0.003	0.924	0.967	0.849
<code>replace.c</code>	0.008	0.963	0.973	0.876
<code>schedule.c</code>	0.003	0.747	0.873	0.725
<code>schedule2.c</code>	<0.001	0.982	0.911	0.755
<code>tcas.c</code>	0.022	0.936	0.965	0.866
<code>totinfo.c</code>	0.015	0.841	0.900	0.765



# Data Analysis

## CbLARS – Overfitting Check with MSE





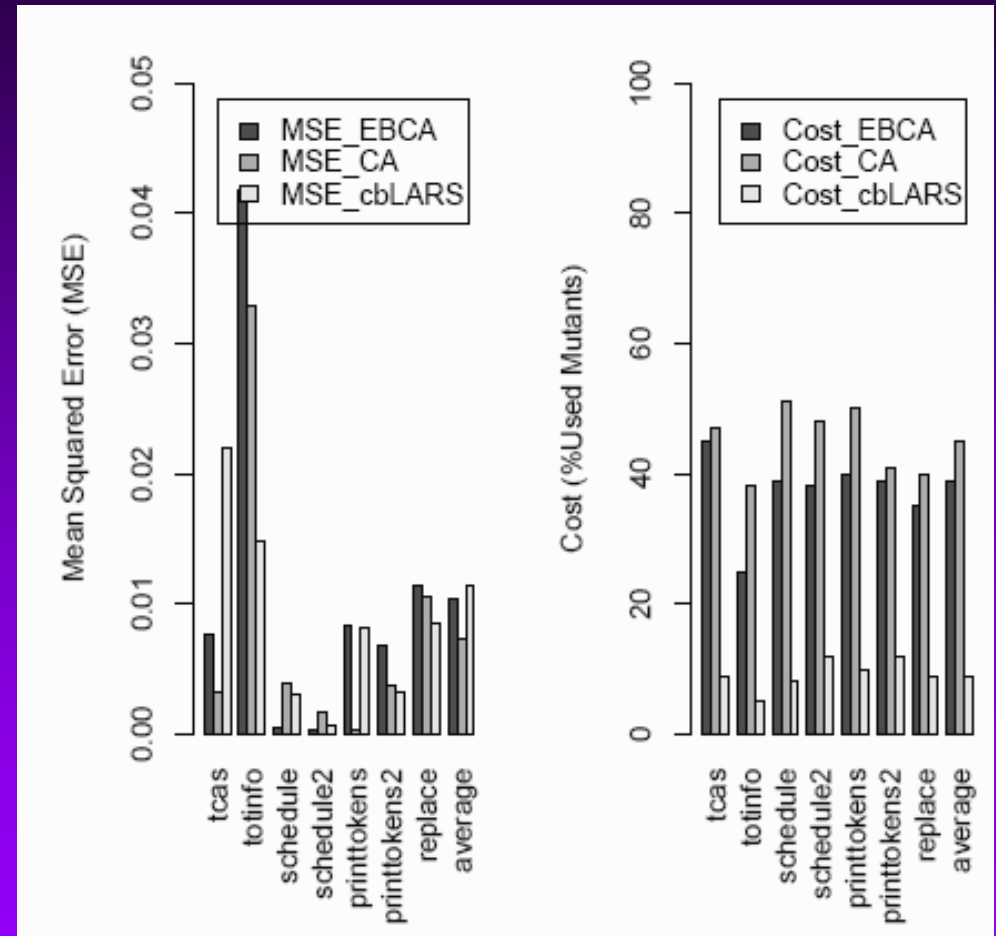


# Conclusion

## Comparison

Technique	# Operators	# Mutants	% Mutants
Correlation	52	6592	42.82%
Cluster	58	8596	55.83%
CbLARS	28	1139	7.39%

- CbLARS outperforms others
- The cut-off threshold value for correlation ( $k = 0.9$ ) might be too high for this problem
- Correlation  $\cup$  Cluster
- 22 operators in common





# Conclusion

## Interesting Observations

- No Mutation Operators for Mutating Constants Selected!!
  - E.g., Cccr Constant for Constant Replacement
  - Cccr generates many similar mutants
- 50% of negation operators were selected
  - E.g., ArgLogNeg Insert Logical Negation on Argument



# Research Directions

- Identifying Sufficient Set for Coverage-based Test Suites
- Sufficient Set for Object-oriented Languages

Thank You

