

# **Reasoning about Actions in Prioritized Default Theory**

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# Syntax of Action Language $\mathcal{B}$

Domain Signature:

- a set  $\mathcal{F}$  of fluents.
- a set  $\mathcal{A}$  of actions.  $\mathcal{F}$  and  $\mathcal{A}$  are disjoint.

Fluent literals: fluents and fluents preceded by symbol  $\neg$  (e.g.,  $p$ ,  $\neg q$ ).

Dynamic causal laws are statements of the form:

$$a \text{ causes } f \text{ if } p_1, \dots, p_n$$

Informal reading: execution of action  $a$  causes fluent literal  $f$  to become true at the next moment of time if fluent literals  $p_1, \dots, p_n$  are true when  $a$  is executed.

Executability conditions:

$$a \text{ executable\_if } p_1, \dots, p_n$$

# Syntax of Action Language $\mathcal{B}$

Static causal laws:

$$f \text{ if } p_1, \dots, p_n$$

Informal reading: at any moment of time, fluent literal  $f$  is true if fluent literals  $p_1, \dots, p_n$  are true.

Collections of dynamic laws, static laws, and executability conditions form the *domain description*.

Axioms describing the *initial situation*:

$$\text{initially } f$$

Queries are described by statements of the form:

$$\varphi \text{ after } \alpha$$

Informal reading: fluent formula  $\varphi$  is true after action sequence  $\alpha$  has been executed

## Syntax of Action Language $\mathcal{B}$

An *action theory* is a pair  $\langle D, \Gamma \rangle$ , where  $D$  is a domain description and  $\Gamma$  is a collection of axioms describing the initial situation.

*Example.* A briefcase has two clasps. Actions are available to unfasten each clasp. The briefcase becomes open when the two clasps are unfastened.

**Objects:** briefcase, clasps ( $c_1, c_2$ )

**Fluents:** open (briefcase is open), fastened( $X$ ) (clasp  $X$  is fastened)

**Actions:** unfasten( $X$ ) (unfasten clasp  $X$ )

% action unfasten( $X$ ) causes clasp  $X$  to be unfastened.  
*unfasten( $X$ ) causes  $\neg$ fastened( $X$ )*

% if both clasps are unfastened, the briefcase pops open  
*open if  $\neg$ fastened( $c_1$ ),  $\neg$ fastened( $c_2$ )*

## Semantics of $\mathcal{B}$

Let  $D$  be a domain description in  $\mathcal{B}$ .  $D$  describes a transition diagram, i.e. a directed graph whose nodes correspond to possible states of the world and arcs correspond to transitions of state due to the execution of actions.

An *interpretation*,  $I$ , of the fluents in  $D$  is a maximal consistent set of fluent literals from  $\mathcal{F}$ .

A fluent  $f$  is true (resp. false) in  $I$  if  $f \in I$  (resp.  $\neg f \in I$ ).

Truth of fluent formulas is defined as usual. Formula  $\varphi$  *holds* in  $I$  ( $I \models \varphi$ ) if  $\varphi$  is true in  $I$ .

## Semantics of $\mathcal{B}$

Let  $F$  be a consistent set of fluent literals and  $K$  be a set of static causal laws.  $F$  is *closed under  $K$*  if, for every static causal law “ $f$  if  $p_1, \dots, p_n$ ” in  $K$ , if  $\{p_1, \dots, p_n\} \subseteq F$ , then  $f \in F$ .  $CN_K(F)$  denotes the least consistent set of fluent literals from  $D$  that contains  $F$  and is closed under  $K$  (closure of  $F$  under  $K$ ).

An interpretation,  $\sigma$ , of the fluents in  $D$  is a *state* (of  $D$ ) if  $\sigma$  is closed under the static causal laws of  $D$ . Action  $a$  is executable in a state  $\sigma$  if there exists an executability condition

$$a \text{ executable\_if } p_1, \dots, p_n$$

in  $D$  such that  $\sigma \models p_1 \wedge \dots \wedge p_n$ .

The *immediate effect* of an action  $a$  in state  $\sigma$  is:

$$E(a, \sigma) = \{f \mid \text{“}a \text{ causes } f \text{ if } p_1, \dots, p_n\text{”} \in D \text{ and } \sigma \models p_1 \wedge \dots \wedge p_n\}$$

# Semantics of $\mathcal{B}$

## *Successor State*

Let  $D$  be a domain description,  $K$  be the set of static laws of  $D$ ,  $\sigma_0$ ,  $\sigma_1$  be states and  $a$  be an action.

$\sigma_1$  is a successor state of  $\sigma_0$  under the execution of  $a$  if:

- $a$  is executable in  $\sigma_0$ , and
- $\sigma_1 = CN_K(E(a, \sigma_0) \cup (\sigma_0 \cap \sigma_1))$ .

A sequence  $\mathcal{T} = \sigma_0, a_0, \sigma_1, a_1, \sigma_2, \dots, a_{n-1}, \sigma_n$  is a *trajectory in  $D$*  if, for each transition  $\sigma_i, a_i, \sigma_{i+1}$  of  $\mathcal{T}$ ,  $\sigma_{i+1}$  is a successor state of  $\sigma_i$  under  $a_i$ .

The possible trajectories of an action theory  $\langle D, \Gamma \rangle$  are the trajectories in  $D$   $\sigma_0, a_0, \sigma_1, \dots, a_{n-1}, \sigma_n$  where  $\sigma_0$  is described with  $\Gamma$ .

## Semantics of $\mathcal{B}$

*Example.* Consider the previous briefcase example. Let the initial situation,  $\Gamma$ , be:

*initially*  $\neg open$   
*initially*  $fastened(c_1)$   
*initially*  $fastened(c_2)$

The initial state of all possible trajectories is

$$\sigma_0 = \{\neg open, \neg fastened(c_1), \neg fastened(c_2)\}.$$

We can check that, for any trajectory  $\sigma_0, unfasten(c_1), \sigma_1$  of  $\langle D, \Gamma \rangle$ :

$$\sigma_1 \models \neg fastened(c_1).$$

We write that

$$\langle D, \Gamma \rangle \models \neg fastened(c_1) \text{ after } unfasten(c_1).$$



# Prioritized Default Theories

Default: a rule that can be defeated (i.e., not applied) if its application causes inconsistencies.

Prioritized Default Theories allow for the specification of rules, defaults, and priorities between conflicting defaults.

*Example.*

1. Normally, cars have 4 seats.
2. Pick-up trucks are cars.
3. Normally, pick-up trucks have 2 seats.
4. My Ranger is a pick-up truck.

*Desired conclusion: my Ranger has 2 seats.*

# Syntax of Prioritized Default Theories

The concepts of term, atom, literal are defined as usual in logic languages.

A rule is a statement of the form:

$$rule(r, l_0, [l_1, \dots, l_m])$$

where  $r$  is the name of the rule,  $l_0, \dots, l_m$  are literals and  $[]$  is the list operator.  $body(r)$  denotes  $[l_1, \dots, l_m]$ .  $head(r)$  denotes  $l_0$ .

A default is a statement of the form:

$$default(d, l_0, [l_1, \dots, l_m])$$

$d$  is the name of the default rule.  $body(d)$  and  $head(d)$  are defined as for rules.

A preference statement is:

$$prefer(d_1, d_2)$$

where  $d_1, d_2$  are names of default rules.

A Prioritized Default Theory is a collection of rules, defaults, and preference statements.

# Semantics of Prioritized Default Theories

The semantics of Prioritized Default Theories (PDTs) is defined by translation to A-Prolog. Let  $T$  be a prioritized default theory. The semantics of  $T$  is defined by the answer set semantics of  $T \cup Inf \cup Def$ , where  $Inf$  and  $Def$  are:

$$\begin{array}{l}
 Inf \quad \left\{ \begin{array}{ll} holds(L) & \leftarrow rule(R, L, Body), hold(Body). \\ holds(L) & \leftarrow default(D, L, Body), hold(Body), \\ & not\ defeated(D), not\ holds(\neg L). \\ hold([]). \\ hold([H|T]) & \leftarrow holds(H), hold(T). \end{array} \right. \\
 \\
 Def \quad \left\{ \begin{array}{ll} defeated(D) & \leftarrow default(D, L, Body), \\ & holds(\neg L). \\ defeated(D) & \leftarrow default(D, L, Body), \\ & default(D_1, L_1, Body_1), \\ & prefer(D_1, D), \\ & hold(Body_1), \\ & not\ defeated(D_1). \end{array} \right.
 \end{array}$$

Notice that these definitions differ from the ones presented in (Gelfond and Son, 1998).

## Action Theories as PDTs

Given an action theory  $\langle D, \Gamma \rangle$ , consider the language containing:

- atoms of the form  $f(T)$  [*fluent literal  $f$  is true at time  $T$* ]
- atoms of the form  $possible(a, T)$  [*action  $a$  is executable at time  $T$* ]
- atoms of the form  $occ(a, T)$  [*action  $a$  occurs at time  $T$* ]
- rule names for dynamic, static laws, and executability conditions
- default names of the form  $inertial(f, T)$ , where  $f$  is a fluent literal and  $T$  denotes a time point.

## Action Theories as PDTs

*Translation.* A action theory  $\langle D, \Gamma \rangle$ , is translated in a prioritized default theory  $\Pi^n(D, \Gamma)$  as follows (notice that  $T$  ranges from 0 to  $n$ ):

Dynamic laws “ $a$  causes  $f$  if  $p_1, \dots, p_k$ ” are translated into

$$\text{rule}(\text{dynamic}(f, a, T), f(T + 1), [p_1(T), \dots, p_k(T), \text{possible}(a, T)]) \leftarrow \text{occ}(a, T)$$

Executability conditions “ $a$  executable\_if  $p_1, \dots, p_k$ ” are translated into

$$\text{rule}(\text{executable}(a, T), \text{possible}(a, T), [p_1(T), \dots, p_k(T)])$$

Static laws “ $f$  if  $p_1, \dots, p_k$ ” are translated into

$$\text{rule}(\text{causal}(f, T), f(T), [p_1(T), \dots, p_k(T)])$$

The inertia axiom is represented explicitly as

$$\text{default}(\text{inertial}(f, T), f(T + 1), [f(T)])$$

Axioms “initially  $f$ ” are translated into

$$\text{holds}(f(0))$$

## Action Theories as PDTs

The translation is correct, i.e. the semantics of  $\Pi^n(D, \Gamma)$  coincides with the semantics of  $\langle D, \Gamma \rangle$ . Let  $M$  be an answer set of  $\Pi^n(D, \Gamma)$  and  $s_i(M) = \{f \mid \text{holds}(f(i)) \in M\}$ .

**Theorem 1.** Let  $\langle D, \Gamma \rangle$  be a complete and consistent action theory.

[*soundness*]

For every sequence of actions  $a_0, \dots, a_{n-1}$  such that there exists a trajectory  $\sigma_0, a_0, \dots, \sigma_n$ , and for every answer set  $M$  of  $\Pi^n(D, \Gamma) \cup \{\text{occ}(a_i, i) \mid 0 \leq i < n\}$ ,

$s_{i+1}(M)$  is a successor state of  $s_i(M)$  under  $a_i$ .

[*completeness*]

For every trajectory  $\sigma_0, a_0, \dots, \sigma_n$ , there exists an answer set  $M$  of  $\Pi^n(D, \Gamma) \cup \{\text{occ}(a_i, i) \mid 0 \leq i < n\}$  such that

$$s_i(M) = \sigma_i \text{ for every } i, 1 \leq i \leq n$$

## Using smodels

Issues to deal with when preparing the encoding for smodels:

*Representation of lists of preconditions.* The authors give a name to each list and include facts associating names of lists with their elements. For example, list  $[p_1(T), \dots, p_k(T)]$  is represented as:

$$\begin{aligned} &in(p_1(T), list_1). \\ &in(p_2(T), list_1). \\ &\dots \\ &in(p_k(T), list_1). \end{aligned}$$

*Checking that lists of preconditions are satisfied.* The authors introduce a new relation,  $holds\_set(List)$ , where  $List$  is the name of a list. The relation is defined as follows:

$$\begin{aligned} not\_holds\_set(List) &\leftarrow in(F, List), not\ holds(F). \\ holds\_set(List) &\leftarrow not\ not\_holds\_set(List). \end{aligned}$$

# Computing Trajectories

Let  $\langle D, \Gamma \rangle$  be an action theory and  $\varphi = f_1 \wedge \dots \wedge f_k$ . We can compute the trajectories such that  $\sigma_n \models \varphi$  by adding to the smodels encoding the following rules:

$$\begin{aligned} goal(T) &\leftarrow holds(f_1, T), \dots, holds(f_k, T). \\ &\leftarrow not\ goal(n). \\ 1\{occ(A, T)\}1 &\leftarrow T < n. \end{aligned}$$



## Preferences on Actions

*“Riding a bus and a taxi are two alternatives to go to the airport. If someone wants to save money, he will prefer riding the bus.”*

When action theories are encoded using Prioritized Default Theory, the application of dynamic laws can be controlled by introducing literals of the form

$$block(r, [l_1, \dots, l_m]).$$

Set *Inf* from the previous encoding is modified so that the first statement becomes:

$$\begin{aligned} holds(L) &\leftarrow rule(R, L, Body), hold(Body), \text{not } blocked(R). \\ blocked(R) &\leftarrow block(R, Body), hold(Body). \end{aligned}$$

For each preference  $prefer_{act}(a, b)$ , the new encoding will also include:

$$\begin{aligned} &block(dynamic(F, b, T), \\ &\quad [p_1(T), \dots, p_k(T), possible(a, T)]) \leftarrow goal(n). \end{aligned}$$

“If it is possible to execute action  $a$  and the goal is achievable, action  $b$  should not be executed.”

## Preferences on Actions

The previous approach does not ensure completeness: if  $a$  and  $b$  are possible, and  $a$  is preferred to  $b$  but  $a$  does not lead to the goal, then the program may fail to produce a trajectory.

Alternative: use the **maximize** construct of smodels. For each statement  $prefer_{act}(a, b)$  and each time point  $t$ , include

**maximize** $[occ(a, t) = 1, occ(b, t) = 0]$ .

*Preferences on actions are static.*

# Preferences on Literals and smodels

The **maximize** statement can also be used to encode preferences between literals. For example, we may prefer trajectories where the final state contains *health(good)* instead of *health(bad)*.

Preference between fluent literals is represented by relation  $\prec$ .  $f_2 \prec f_1$  says that models where  $f_2$  is true are preferred to models containing  $f_1$ .

If  $\prec$  is an irreflexive partial order, and the set of preferences over literals is finite, there exists a finite number of maximal length sequences of literals  $f_1, \dots, f_k$  such that  $f_k \prec f_{k-1} \prec \dots \prec f_1$ . For each sequence, we can include in the program a statement:

$$\text{maximize}[f_1 = 0, \dots, f_k = k - 1].$$

*Preferences on literals are static.*

Limitation (valid also for preferences on actions): smodels currently does not handle properly multiple **maximize** constructs.

# Conclusions

The main points discussed in the paper are:

- Encoding of Action Theories using Prioritized Default Theories;
- Encoding of Prioritized Default Theories in the language of smodels;
- Use of smodels to compute the trajectories for a goal;
- Use of various techniques to select preferred trajectories.

## Final Observations

[*Encoding of lists for smodels*].

The encoding of lists for smodels is incorrect. Consider static law

$$p \text{ if } p.$$

Applying the translation described in the paper, and simplifying a little the code, we obtain the following encoding of the causal law:

$$\begin{aligned} \text{holds}(p(T)) &\leftarrow \text{holds\_set}(l_1(T)). \\ \text{holds\_set}(l_1(T)) &\leftarrow \text{not not\_holds\_set}(l_1(T)). \\ \text{not\_holds\_set}(l_1(T)) &\leftarrow \text{not holds}(p(T)). \end{aligned}$$

This encoding can be shown to be equivalent to:

$$\begin{aligned} \text{holds}(p(T)) &\leftarrow \text{not not\_holds\_set}(l_1(T)). \\ \text{not\_holds\_set}(l_1(T)) &\leftarrow \text{not holds}(p(T)). \end{aligned}$$

Consider now initial state  $\sigma_0 = \{\neg p\}$  and action  $a$  (with no direct effect). The only successor state of  $\sigma_0$  under  $a$  is  $\sigma_1 = \{\neg p\}$ .

However, the smodels encoding will return two models, one where the successor state is  $\sigma_1$ , the other where the successor state is  $\sigma'_1 = \{p\}$ .

## Final Observations

[*Use of maximize*].

The use of maximize may lead to unintuitive results, in particular for preferences on literals. Consider the following program (for sake of simplicity, it is not the encoding of a action theory)

$$\begin{array}{l} a \leftarrow \text{not } b. \\ b \leftarrow \text{not } a. \end{array}$$

$$\begin{array}{l} c \leftarrow \text{not } d. \\ d \leftarrow \text{not } c. \end{array}$$

$$\leftarrow d, b.$$

Suppose that our preference is  $d \prec c \prec b \prec a \prec z$ . **maximize** $[d = 4, c = 3, b = 2, a = 1, z = 0]$  gives model  $\{c, b\}$ . Notice that  $\{d, a\}$  is also a model. This is *almost* intuitively acceptable (what about  $\{d\}$  ??).

Now, let us introduce a new atom  $k$ , such that

$$d \prec k \prec c \prec b \prec a \prec z.$$

This is achieved by replacing the previous **maximize** by:

**maximize** $[d = 5, k = 4, c = 3, b = 2, a = 1, z = 0]$ .

Since  $k$  is not defined by the program, nothing should change in the relationship between  $d$ ,  $c$ ,  $b$ ,  $a$ , and  $z$ . However,  $\{c, b\}$  is **no more** a model of the program. (smodels returns  $\{d, a\}$ .)