

Name: \_\_\_\_\_

R#: \_\_\_\_\_

**PROGRAM FOR PFP DOCTORAL DEGREE AT TTU***Updated 3/29/2022—Effective Fall 2022**(Course #'s pending approval: 6310, 6315, 6320, 6384, 6394, 6350, 6450)***LEVELING - FINANCIAL PLANNING FOUNDATION<sup>1</sup>**

Course #	Course Name	Hrs.	Planned Date	Completed Date
PFP 5371	Fundamentals of PFP	3		
PFP 5350	Individual Tax Planning Topics	3		
PFP 5472	Wealth Management	4		
PFP 5397	Risk Management & Insurance	3		
PFP 5394	Retirement Planning	3		
PFP 5398	Estate Planning	3		
PFP 5377	Client Comm. & Counseling	3		
PFP 6373	PFP Capstone	3		
PFP 6310	Statistics for Financial Planning <sup>2</sup>	3		
<b>REQUIRED CREDIT HOURS</b>		<b>28</b>		

**COLLATERAL 1 – INTRODUCTION TO FINANCIAL PLANNING RESEARCH**

Course #	Course Name	Hrs.	Planned Date	Completed Date
PFP 6301	Academic Leadership in PFP <sup>3</sup>	3		
PFP 6305	Introduction to Ph.D. Studies in PFP	3		
PFP 6397	Research Seminar in PFP	3		
<b>REQUIRED CREDIT HOURS</b>		<b>9</b>		

**COLLATERAL 2 - SKILLS**

Course #	Course Name	Hrs.	Planned Date	Completed Date
PFP 6374	Consumer and Household Economic Theory	3		
PFP 6383	Seminar in Regulatory Policy	3		
PFP 5385	Behavioral Finance	3		
PFP 6384	Diversity, Equity, and Inclusion in Financial Planning Research	3		
PFP 6394	Psychology of Financial Planning	3		
PFP 6315	Regression Methods for Financial Planning	3		
PFP 6320	Survey of Statistical Techniques in Financial Planning	3		
<b>REQUIRED CREDIT HOURS</b>		<b>21</b>		

**COLLATERAL 3 - APPLICATION**

Course #	Course Name	Hrs.	Planned Date	Completed Date
PFP 6350	Applied Research Methods I	3		
PFP 6450	Applied Research Methods II	3		
PFP 6377	Research Methods I	3		
PFP 6378	Research Methods II	3		
<b>REQUIRED CREDIT HOURS</b>		<b>12</b>		

<sup>1</sup> Leveling courses are required for students to be educationally qualified to sit for the CFP® Certification Examination. Upper-division undergraduate, graduate, or certificate coursework may be substituted for PFP-leveling courses at the discretion of the Ph.D. Program Director, typically when students have completed a CFP Board-Registered program. PFP courses are substituted on a course-by-course basis if students show a comparable completed course with a B grade or better on their transcript. Those with an active CFP® certification only need to show course completion to meet substitution requirements.

<sup>2</sup> Students must demonstrate an equivalent course with a B or better and must pass a leveling statistics examination. Approval is at the discretion of the Ph.D. Program Director in collaboration with the IOR.

<sup>3</sup> All PhD students must enroll in PFP 6301 (Academic Leadership) the first semester they enter the PhD program. Students continue attending PFP 6301 until the semester they successfully present their dissertation proposal. After that, students are welcome, but are not required, to attend PFP 6301.

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**COLLATERAL 4 - ELECTIVES**

<b>Course #</b>	<b>Course Name</b>	<b>Hrs.</b>	<b>Planned Date</b>	<b>Completed Date</b>
<b><i>PFP Practice</i></b>				
PFP 5380	Professional Technology in PFP	3		
PFP 5395	CFP Review Course	3		
<b><i>Charitable Financial Planning Certificate</i></b>				
PFP 5325	Introduction to Charitable Giving	3		
PFP 5326	Advanced Charitable Planning	3		
PFP 5327	Charitable Giving: Research, Theory & Marketing	3		
PFP 5328	Planned Giving Demographics & Decision Making	3		
<b><i>Life Centered Financial Planning Certificate</i></b>				
PFP 5365	Fundamentals of Life-Centered Financial Planning	3		
PFP 5383	Financial Planning with Emotional Intelligence	3		
<b><i>Teaching, Program, and Professional Development</i></b>				
PFP 6340	Development and Pedagogy in PFP	3		
PFP 6395	PFP Program Development Seminar	3		
PFP 5175	Special Topics in PFP	1		
PFP 5189	Professional Development in PFP I	1		
PFP 5289	Professional Development in PFP II	2		
PFP 5399	Professional Residency in PFP	3		
FCSE 6343 or EDHE 5342	College Teaching	3		
<b><i>PFP Research</i></b>				
PFP 6330	Research Fund Development	3		
PFP 7000	Research (independent study)	3		
<b><i>Statistics</i></b>				
PFP XXXX	Hierarchical Linear Models	3		
PFP XXXX	Bayesian Statistics and Econometrics	3		
PFP XXXX	Time Series Econometrics	3		
AAEC 5307	Applied Econometrics I	3		
AAEC 6311	Applied Econometrics II	3		
ISQS 6348	Applied Multivariate	3		
EPSY 6301	Structural Equation Modeling (SEM) I	3		
EPSY 6349	Advanced Structural Equation Modeling (SEM)	3		
EPSY 6302	Survey Research in Education	3		
ISQS 5330	Decision Theory and Business Analytics	3		
ISQS 6350	Multivariate Analysis	3		
MATH 5099	Machine Learning in Finance (individual study)	3		
POLS 5385	Causal Inference and Quasi-Experimental Methods	3		
CMFT 6342	Advanced Family Therapy Topics (Qualitative)	3		
<b><i>Finance</i></b>				
FIN 5325	Security Analysis	3		
FIN 5326	Portfolio Theory	3		
FIN 5328	Option and Futures	3		
FIN 5329	Money and Capital Markets	3		
FIN 5334	Real Estate Finance	3		
<b>REQUIRED CREDIT HOURS</b>		<b>18</b>		

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## QUALIFYING EXAM

Qualifying exam target date: \_\_\_\_\_

To sit for the qualifying exam, students must earn a B or better in these courses:

- ✓ **COLLATERAL 1 – INTRODUCTION TO FINANCIAL PLANNING RESEARCH**
  - All courses
- ✓ **COLLATERAL 2 – SKILLS**
  - All courses
- ✓ **COLLATERAL 3 – APPLICATION**
  - Applied Research Methods I
  - Research Methods I
  - Research Methods II

## DISSERTATION HOURS

Course #	Course Name	Hrs.	Semester	Completed
PFP 8000	Doctor's Dissertation			
<b>REQUIRED CREDIT HOURS</b>		<b>12</b>		
<b>TOTAL PH.D. PROGRAM HOURS</b>		<b>72</b>		

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**DEGREE PLAN FLOW – 3 YEARS**

	Year 1			Year 2			Year 3		Total
	Fall	Spring	Summer	Fall	Spring	Summer	Fall	Spring	
<b>INTRODUCTION</b>	Academic Leadership (6301) <sup>4</sup>			Academic Leadership (6301)					9
	Introduction (6305)	PFP Seminar (6397) ★							
<b>SKILLS<sup>5</sup></b>	DEI (6384) Or Psych (6394)	Consumer & HH Econ (6374) or Behavioral Finance (5385)	Regulatory Policy (PFP 6383 – Maymester)	DEI (6384) Or Psych (6394)	Consumer & HH Econ (6374) or Behavioral Finance (5385)				21 (24 with leveling)
	Statistics for PFP (6310-leveling)	Regression (6315) ★		Survey of Stats (6320) ★					
<b>APPLICATION</b>			Applied R1 (6350) ★	Research 1 (6377) ★	Research 2 (6378) ★	Applied R2 (6450) ★			12
<b>ELECTIVES</b>			Elective	Elective	Elective	Elective	Elective	Elective	18
<b>QUALIFYING EXAM</b>						Qualifying Exam			
<b>DISSERTATION</b>							Dissertation (8000-6 CH)	Dissertation (8000-6 CH)	12
<b>Credit Hours<sup>6</sup></b>	12	9	9	12	9	6	9	9	72 (75 with 5311)

**Pre-requisites:**★ **PFP Seminar (PFP 6397):** PFP 6301 & 6305 (pre or co-req)★ **Regression (PFP 6315):** PFP 6310★ **Survey of Statistics (PFP 6320):** PFP 6310 & 6315★ **Applied R1 (PFP 6350):** All classes in “Year 1 Fall & Spring block” (PFP 6301, 6305, 6397, 6310, 6315), and any 2 theory “skills” courses.★ **Applied R2 (PFP 6450):** Applied R1 (PFP 6350)★ **Research 1 (PFP 6377):** Applied R1 (PFP 6350), Pre- or Co-req – Survey of Stats (PFP 6320)★ **Research 2 (PFP 6378):** PFP 6377

<sup>4</sup> Continuous enrollment. All PhD students must enroll in PFP 6301 (Academic Leadership) the first semester they enter the PhD program. Students continue attending PFP 6301 until the semester they successfully present their dissertation proposal. After that, students are welcome, but are not required to attend PFP 6301.

<sup>5</sup> Students can take theory skills in any order without pre-requisites.

<sup>6</sup> Assistantship credit hour maximum for Fall, Spring, and Summer, is 9/9/6, respectively. Assistantship credit hour exceptions will be granted for PFP 6301, “mester” classes, and electives. Elective exceptions require excellent assistantship performance, faculty approval, and Assistantship Coordinator approval.

## **APPENDIX: PURPOSE STATEMENTS**

### **COLLATERAL 1 – INTRODUCTION TO FINANCIAL PLANNING RESEARCH**

The purpose of **COLLATERAL 1 – INTRODUCTION TO FINANCIAL PLANNING RESEARCH** is to learn the fundamental research methods, skills, and theoretical knowledge to conduct PFP research. Upon completion of this collateral, students will be able to

- ✓ Navigate the Ph.D. program manual, rules, policies, and procedures governing the TTU PFP Ph.D. program (PFP 6301).
- ✓ Locate internal (university, college, & school) and external (corporate, organization, government, etc.) resources to conduct research (e.g., software, library, grants, etc.; PFP 6305).
- ✓ Explain the scientific method (PFP 6305).
- ✓ Define theory and its contribution to the research process (PFP 6305).
- ✓ Identify the major data sets used in PFP research (PFP 6305).
- ✓ Identify, comprehend, and discuss seminal research papers and theories across foundational PFP topic areas (PFP 6397).
- ✓ Conduct and write a literature review (PFP 6305, & 6397).
- ✓ Create testable research questions (PFP 6305, & 6397).

### **COLLATERAL 2 - SKILLS**

The purpose of **COLLATERAL 2 – SKILLS** is to develop advanced theoretical and analytical skills. Theoretical skills will center on five prevalent PFP topic areas: (a) consumer and household economics, (b) diversity, equity, and inclusion; (c) psychology (inclusive of therapy and counseling); (d) regulatory policy; and (e) behavioral finance. Upon completion of this collateral, students will be able to

- ✓ Identify, comprehend, and discuss core theories.
- ✓ Conduct and write literature reviews on the student's topic of choice within each theoretical domain.
- ✓ Summarize and present theories.
- ✓ Generate theoretically informed hypotheses within each topic area.
- ✓ Identify appropriate analytical methods and considerations within each topic area.
- ✓ Generate fundamental and advanced statistical analyses.

### **COLLATERAL 3 – APPLICATION**

The purpose of **COLLATERAL 3 – APPLICATION** is to apply the scientific method, knowledge, and skills to produce and evaluate PFP research. Upon completion of this collateral, students will be able to

- ✓ Write an abstract for a conference submission (PFP 6350 & 6450).
- ✓ Produce and deliver conference presentations (PFP 6350 & 6450).
- ✓ Identify the core PFP and ancillary journals suitable for publishing PFP research (PFP 6350 & 6450).
- ✓ Write research papers suitable to submit for publication (PFP 6350 & 6450).
- ✓ Describe and complete the publication process (PFP 6350 & 6450).
- ✓ Respond to reviewer comments (PFP 6450).
- ✓ Review and critique other students' research (PFP 6450).
- ✓ Articulate the dissertation writing process (PFP 6377 & 6378).
- ✓ Write one full dissertation paper that adheres to the TTU Graduate School dissertation guidelines (assumes 3 essay format; PFP 6377 & 6378).

### **COLLATERAL 4 – ELECTIVES**

The purpose of **COLLATERAL 4 – ELECTIVES** is to acquire skills specific to each student's unique research agenda. Upon completion of this collateral, students will be able to

- ✓ Align their acquired skills with their dissertation topic and future research agenda.
- ✓ Communicate to future employers, colleagues, and faculty how their acquired skills support their dissertation topic and future research agenda.