

**STATE OF TEXAS  
LEGISLATIVE APPROPRIATIONS REQUEST**

**For Fiscal Years 2008 and 2009**

**Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board**



**Texas Tech University System Administration**

**October 13, 2006**

## TABLE OF CONTENTS

|   |    |
|---|----|
| ADMINISTRATOR'S STATEMENT .....                         | 1  |
| ORGANIZATIONAL CHART .....                              | 5  |
| SUMMARY OF REQUEST                                      |    |
| Summary of Base Request by Strategy .....               | 6  |
| Summary of Base Request by Method of Finance .....      | 7  |
| Summary of Base Request by Object of Expense .....      | 9  |
| Summary of Exceptional Items Request .....              | 10 |
| Summary of Total Request by Strategy .....              | 11 |
| STRATEGY REQUEST .....                                  | 13 |
| RIDER REVISIONS AND ADDITIONS .....                     | 16 |
| EXCEPTIONAL ITEM REQUEST                                |    |
| Exceptional Item Request Schedule .....                 | 21 |
| Exceptional Items Strategy Allocation Schedule .....    | 23 |
| Exceptional Items Strategy Request .....                | 25 |
| SUPPORTING SCHEDULES                                    |    |
| Schedule 6.A. Historically Underutilized Business ..... | 26 |

## HIGHER EDUCATION SUPPORTING SCHEDULES

|   |    |
|---|----|
| Schedule 2 – Grand Total Educational, General and Other Funds .....                           | 27 |
| Schedule 6.H. – Estimated Funds Outside the GAA .....   | 30 |
| Schedule 6.I. – Allocation of the Biennial Ten Percent Reduction to Strategies Schedule ..... | 31 |
| Schedule 7 – Current and Local Fund (General) Balances .....                                  | 32 |
| Schedule 8 – Personnel .....  | 33 |
| Schedule 10C – Revenue Capacity for Tuition Revenue Bond Projects .....                       | 34 |
| Schedule 11 – Special Item Information .....  | 36 |

**ADMINISTRATOR'S STATEMENT**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **9:33:10AM**  
PAGE: **1 of 4**

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Agency code: **768**

Agency name: **Texas Tech University System Administration**

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TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION

I. OVERVIEW

The Texas Tech University System (TTUS) is composed of the following components: Texas Tech University System Administration (TTUSA); Texas Tech University (TTU); and Texas Tech University Health Sciences Center (TTUHSC). Primary campuses for both TTU and TTUHSC are in Lubbock.

TTU is a statewide, comprehensive, multi-faceted university with 28,000 students pursuing undergraduate, graduate, and professional degrees in 12 different instructional schools and colleges. TTU offers one of the most comprehensive academic programs in the state on a single campus. Texas Tech University has established academic centers in Junction, Fredericksburg, Marble Falls/Highland Lakes, Amarillo, and Abilene to provide educational services to the residents of the Texas Hill Country and West Texas. These centers offer graduate and undergraduate programs to residents in these areas.

TTUHSC consists of Schools of Allied Health Sciences, Biomedical Sciences, Medicine, Nursing, and Pharmacy. TTUHSC has campuses in Amarillo, El Paso, Lubbock, and the Permian Basin and includes programs in Abilene, Dallas, and the Texas Hill Country. TTUHSC is the major health education and patient care provider for a vast area of West Texas comprised of 108 counties. TTUHSC's primary mission is the provision of quality education, the conduct of cutting edge research, and the provision of excellent patient care.

TTUSA has a direct legislative appropriation of \$415,546 for FY 2007. The majority of TTUSA's budget is provided through charges for services to the TTU and TTUHSC budgets.

**ADMINISTRATOR'S STATEMENT**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **9:33:18AM**  
PAGE: **2 of 4**

Agency code: **768**

Agency name: **Texas Tech University System Administration**

**II. INSTITUTIONAL ORGANIZATION**

The Texas Tech University System Board of Regents is the governing board of the Texas Tech System. The board reviews major issues and establishes policy for the System and its components. The following individuals are members of the Texas Tech Board of Regents:

| Board Member                        | Term Ends | Hometown             |
|-------------------------------------|-----------|----------------------|
| Mr. Larry K Anders                  | 2011      | Dallas, Texas        |
| Mr. C. Robert "Bob" Black           | 2007      | Horseshoe Bay, Texas |
| Mr. F. Scott Dueser                 | 2009      | Abilene, Texas       |
| Mr. L. Frederick "Rick" Francis     | 2007      | El Paso, Texas       |
| Mr. Chad Greenfield, Student Regent | 2007      | Monahans, Texas      |
| Mr. Mark Griffin                    | 2011      | Lubbock, Texas       |
| Mr. J. Frank Miller, III            | 2009      | Dallas, Texas        |
| Mr. Daniel "Dan" T. Serna           | 2011      | Arlington, Texas     |
| Mrs. Windy Sitton                   | 2009      | Lubbock, Texas       |
| Dr. Bob L. Stafford                 | 2007      | Amarillo, Texas      |

TTUSA is the executive arm of the System. The Chancellor serves as the Chief Executive Officer for the Texas Tech University System. TTUSA includes the shared services areas of: General Counsel, Governmental Relations, Institutional Advancement, Facilities Planning and Construction, the Chief Financial Officer, and Audit Services.

In addition to services common to most or all other systems, TTUSA manages its fund-raising activities centrally for TTUS. Effective fundraising initiatives are achieved with system level oversight including donor outreach, identification of priorities, and coordinated capital campaigns. Approximately one-third of the resources and FTEs of TTUSA are directed toward this function.

**III. ACCOUNTABILITY**

In August, 2005, the Board of Regents directed that certain functions be reevaluated as to their appropriateness in reporting to TTUSA. As a result of that evaluation, Texas Tech Police (with an annual budget of \$3.5 million) was reassigned to Texas Tech University. Another \$475,000 was also reduced from the System Administration Budget.

For FY 2007, the Chancellor at the request of the Board of Regents reduced additional functions at TTUSA, resulting in an additional \$1.74 million budget reduction. These resources were returned to the components who will establish priorities for the functions and use of the funds. From the beginning of FY 2006, through transfers and reductions, the TTUSA Budget has been reduced \$5.8 million.

The Board is committed to continuing reviews to ensure that the TTUSA is accountable for every resource committed to its functions and efforts. Although Texas Tech's administrative expense ratios are among the lowest for higher education in Texas, the Board of Regents and the administration will continue to work to identify additional efficiencies and revenue enhancements for funds that can be returned to the academic missions of the component institutions.

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Agency code: **768**

Agency name: **Texas Tech University System Administration**

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#### IV. EFFECTIVENESS

The value, worth, and effectiveness of a system administration cannot be ascertained without considering the administrative cost ratios at the component institutions. To truly evaluate the efficiency and necessity of a system administration, the totality of administrative costs should be considered, not just those attributable to the system offices alone. It must be remembered that all of the system offices in the state, while they share many common functions and traits, are different and unique to the mission of the component institutions they serve. Uniform, consistent comparisons are difficult to achieve. Each system office should be evaluated as to its value and contribution to not only the administrative efficiency of its components but also to the value-added enhancement of the core academic enterprise.

#### V. FUNCTIONAL ORGANIZATION

The Texas Tech University System currently performs or coordinates many collaborative functions between the three components: Texas Tech University, Texas Tech University Health Sciences Center, and Texas Tech University System Administration. Principal among these are:

1. Strategic Planning – The System offices and the Board of Regents provide significant support for strategic planning. As Texas Tech's institutions look to the future, examining how they will continue to improve their academic status and further serve the students and citizens of Texas is of greatest importance.
2. Fund Raising Infrastructure – The Institutional Advancement Office is staffed with senior fundraisers. Infrastructure is in place to support major fund raising initiatives and activities system wide. There is a continued emphasis on fundraising necessitated by the current economic conditions and the need to continue to grow resources to assist students with scholarships and build support for quality faculty. The reporting of this function to the Chancellor is unique among higher education systems in Texas.
3. Shared Information Systems - Major information systems for Accounting, Payroll, Human Resources, On-Line Travel, Student Information, and Financial Aid are provided. These major systems are supported and maintained by the Technology Operations and Systems Management and Information Systems departments. Texas Tech University System is the only System in the State of Texas to have centralized support for all major administrative information systems.
4. Legal – Full legal services are supported system wide by experienced staff which minimizes legal risks in a cost-effective manner.
5. Audit Services – Audit Services provides system wide reviews of administrative and accounting internal controls and assessments of quality of performance.
6. Facilities Planning and Construction - All major projects are supported system wide through one operation. Each component of TTUS has completed a Campus Master Plan for the future. These plans are for needed new educational and research facilities, parking structures, and campus design.
7. Equal Employment Opportunity – The EEO staff ensures that equal employment opportunity exists in all personnel transactions within TTUS.
8. Investments – Strong portfolio management of Long Term Investments (endowments).
9. Cash Management – Management of day-to-day cash position and operations.
10. Debt Management – Fully qualified debt management staff and appropriate reserves enable TTUS to receive an Aa rating by the bond rating agencies.
11. Internet Services – High-Speed Internet services system wide are supported through a single provider.
12. Risk Management – Implementation and responsibility for TTUS risk management program, including workers compensation quality control and accurate claims processing.
13. Communication Services – All voice related services are provided through a central department.
14. Governmental Relations – Staff responds to inquiries for requested information and tracks System issues with state governmental entities.

**ADMINISTRATOR'S STATEMENT**  
80th Regular Session, Agency Submission, Version 1  
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DATE: **10/13/2006**  
TIME: **9:33:18AM**  
PAGE: **4 of 4**

Agency code: **768**

Agency name: **Texas Tech University System Administration**

**VI. FUNDING PRIORITIES**

A top priority for the 80th Legislature will be to provide sufficient funds to cover the costs of increased statewide enrollments in higher education. These priorities should also consider funding for functions authorized but not funded, such as the TTUHSC School of Medicine in El Paso, full funding of debt service for the authorized Tuition Revenue Bonds, and \$262.5 million of HEF funding beginning in FY 2008 as approved in the 79th Regular Session.

The proposed formula changes from the Coordinating Board include the priority to increase the formula funding rates. Formula funding rates need to reflect the changes in enrollment growth statewide and the changes in inflation to maintain current purchasing power. The formula items primarily fund core functions of instruction, administration, and physical plant for the university and health sciences center. Infrastructure funding increases are also needed as a result of enrollment growth and rising utilities. A reduction to the formula items would negatively impact the quality of services provided. The ultimate impact of formula reductions would be to faculty and staff positions, which receive a significant allocation of the formula funding. In order to retain current faculty and staff, TTUS will need adequate funding for increased costs for salaries and health care insurance. Funding of the Group Health Insurance premiums is needed through the same process as is provided for other state employees.

**VII. EXCEPTIONAL ITEMS**

1. Reinstate funding to 2002-03 biennium appropriation levels.

|                   | 2008      | 2009      | Biennium Total |
|-------------------|-----------|-----------|----------------|
| Amount Requested: | \$126,458 | \$126,458 | \$252,916      |

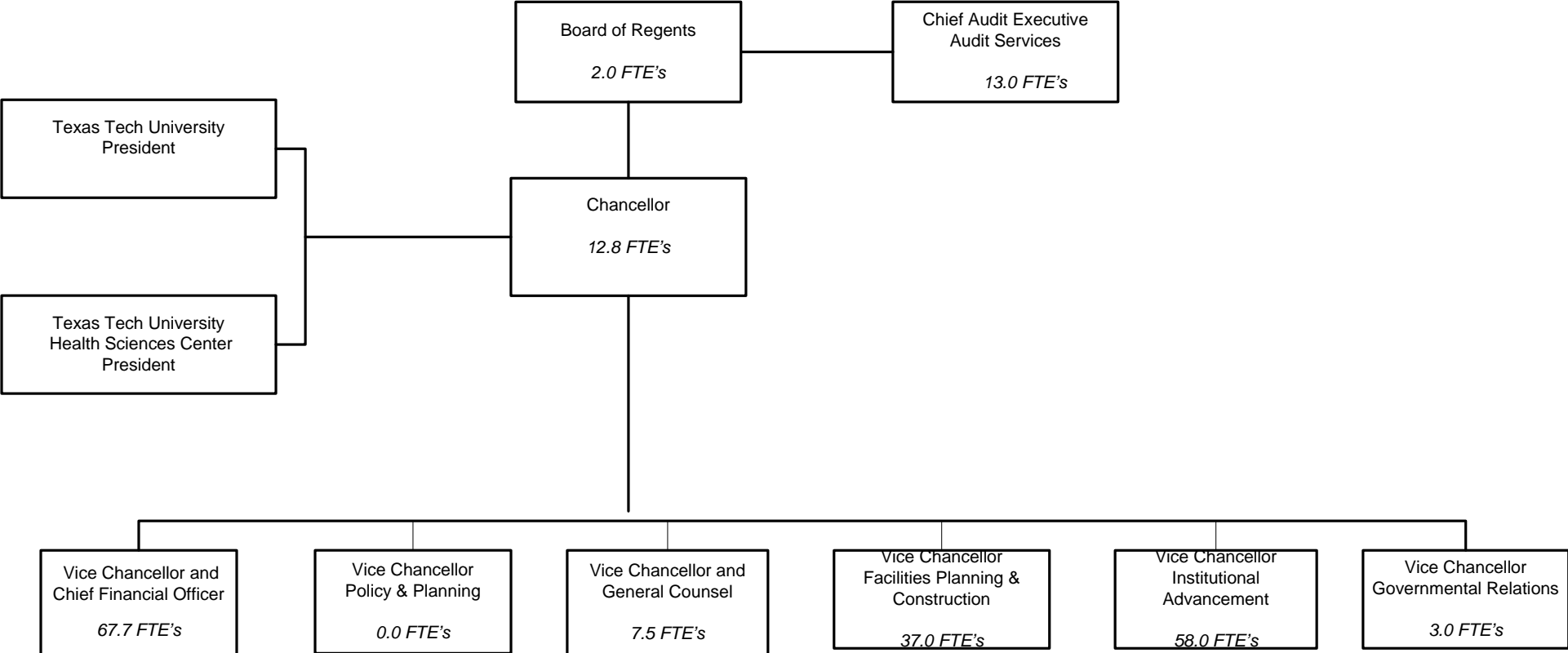
2. Equitable Funding for System Administration

Texas Tech University System Administration requests funding that is at the same level of comparable systems within the State. In terms of annual system wide expenditures, Texas Tech University System is the third largest system in the State, behind University of Texas System and Texas A&M University System. However, funding for TTUSA is less than the University of Houston System and the Texas State University System.

|                   | 2008        | 2009        | Biennium Total |
|-------------------|-------------|-------------|----------------|
| Amount Requested: | \$1,500,000 | \$1,500,000 | \$3,000,000    |

# Texas Tech University System Administration 2006

Total FTE's – 201.0





**2.A. SUMMARY OF BASE REQUEST BY STRATEGY**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
 TIME: **9:14:51AM**

Agency code: **768** Agency name: **Texas Tech University System Administration**

| <b>Goal / Objective / STRATEGY</b>                    | <b>Exp 2005</b>  | <b>Est 2006</b>  | <b>Bud 2007</b>  | <b>Req 2008</b>  | <b>Req 2009</b>  |
|---|------------------|------------------|------------------|------------------|------------------|
| <b>1</b> Provide Instructional and Operations Support |                  |                  |                  |                  |                  |
| <b>1</b> Provide Instructional and Operations Support |                  |                  |                  |                  |                  |
| <b>11</b> SYSTEM OFFICE OPERATIONS                    | 436,361          | 410,546          | 409,547          | 373,542          | 373,542          |
| <b>TOTAL, GOAL 1</b>                                  | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>TOTAL, AGENCY STRATEGY REQUEST</b>                 | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*</b>    |                  |                  |                  | <b>\$0</b>       | <b>\$0</b>       |
| <b>GRAND TOTAL, AGENCY REQUEST</b>                    | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>METHOD OF FINANCING:</b>                           |                  |                  |                  |                  |                  |
| <b>General Revenue Funds:</b>                         |                  |                  |                  |                  |                  |
| 1 GENERAL REVENUE FUND                                | 436,361          | 410,546          | 409,547          | 373,542          | 373,542          |
| <b>SUBTOTAL</b>                                       | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>TOTAL, METHOD OF FINANCING</b>                     | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |

\*Rider appropriations for the historical years are included in the strategy amounts.

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
 TIME: **9:15:49AM**

Agency code: **768** Agency name: **Texas Tech University System Administration**

| METHOD OF FINANCING  | Exp 2005         | Est 2006         | Bud 2007         | Req 2008         | Req 2009         |
|--|------------------|------------------|------------------|------------------|------------------|
| <b><u>GENERAL REVENUE</u></b>  |                  |                  |                  |                  |                  |
| <b>1</b> General Revenue Fund  |                  |                  |                  |                  |                  |
| <i>REGULAR APPROPRIATIONS</i>  |                  |                  |                  |                  |                  |
|  | \$437,500        | \$415,546        | \$414,547        | \$373,542        | \$373,542        |
| <i>TRANSFERS</i>   |                  |                  |                  |                  |                  |
| Art IX, Sec 5.09, Reductions for Commercial Air Travel (2006-07 GAA) | \$0              | \$(5,000)        | \$(5,000)        | \$0              | \$0              |
| Art. III, Sec. 56.1 Special Provisions (2004-05 GAA)                 | \$(1,139)        | \$0              | \$0              | \$0              | \$0              |
| <b>TOTAL, General Revenue Fund</b>                                   | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>TOTAL, ALL GENERAL REVENUE</b>                                    | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>GRAND TOTAL</b>   | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**

80th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**

TIME: **9:15:53AM**

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|-------------------------|---|--|--|--|--|
| Agency code: <b>768</b> | Agency name: <b>Texas Tech University System Administration</b> |  |  |  |  |
|-------------------------|---|--|--|--|--|

| <b>METHOD OF FINANCING</b>                   | <b>Exp 2005</b> | <b>Est 2006</b> | <b>Bud 2007</b> | <b>Req 2008</b> | <b>Req 2009</b> |
|--|-----------------|-----------------|-----------------|-----------------|-----------------|
| <b><u>FULL-TIME-EQUIVALENT POSITIONS</u></b> |                 |                 |                 |                 |                 |
| REGULAR APPROPRIATIONS                       |                 |                 |                 |                 |                 |
| Regular Appropriations                       | 29.5            | 160.2           | 160.2           | 120.2           | 120.2           |
| UNAUTHORIZED NUMBER OVER (BELOW) CAP         |                 |                 |                 |                 |                 |
| Unauthorized Number Over Cap                 | 128.3           | (37.5)          | (40.0)          | 0.0             | 0.0             |
| <b>TOTAL, ADJUSTED FTES</b>                  | <b>157.8</b>    | <b>122.7</b>    | <b>120.2</b>    | <b>120.2</b>    | <b>120.2</b>    |
| <br>   |                 |                 |                 |                 |                 |
| <b>NUMBER OF 100% FEDERALLY FUNDED FTEs</b>  | <b>0.0</b>      | <b>0.0</b>      | <b>0.0</b>      | <b>0.0</b>      | <b>0.0</b>      |

**2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **9:16:22AM**

| Agency code: <b>768</b>             | Agency name: <b>Texas Tech University System Administration</b> |                  |                  |                  |                  |
|-------------------------------------|---|------------------|------------------|------------------|------------------|
| <b>OBJECT OF EXPENSE</b>            | <b>Exp 2005</b>   | <b>Est 2006</b>  | <b>Bud 2007</b>  | <b>BL 2008</b>   | <b>BL 2009</b>   |
| 1001 SALARIES AND WAGES             | \$0   | \$40,526         | \$0              | \$0              | \$0              |
| 1002 OTHER PERSONNEL COSTS          | \$25,947  | \$136,593        | \$0              | \$0              | \$0              |
| 2001 PROFESSIONAL FEES AND SERVICES | \$4,478   | \$31,581         | \$0              | \$0              | \$0              |
| 2002 FUELS AND LUBRICANTS           | \$15,358  | \$414            | \$0              | \$0              | \$0              |
| 2003 CONSUMABLE SUPPLIES            | \$44,254  | \$24,224         | \$0              | \$0              | \$0              |
| 2004 UTILITIES                      | \$49,962  | \$33,338         | \$0              | \$0              | \$0              |
| 2005 TRAVEL                         | \$41,012  | \$31,300         | \$0              | \$0              | \$0              |
| 2006 RENT - BUILDING                | \$375   | \$250            | \$0              | \$0              | \$0              |
| 2007 RENT - MACHINE AND OTHER       | \$23,355  | \$0              | \$0              | \$0              | \$0              |
| 2009 OTHER OPERATING EXPENSE        | \$221,851   | \$112,320        | \$409,547        | \$373,542        | \$373,542        |
| 5000 CAPITAL EXPENDITURES           | \$9,769   | \$0              | \$0              | \$0              | \$0              |
| <b>OOE Total (Excluding Riders)</b> | <b>\$436,361</b>  | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>OOE Total (Riders)</b>           |   |                  |                  |                  |                  |
| <b>Grand Total</b>                  | <b>\$436,361</b>  | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |

**2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
 TIME : 9:16:54AM

Agency code: 768

Agency name: Texas Tech University System Administration

| Priority                                    | Item                               | 2008                   |                    |            | 2009                |                    |            | Biennium            |                    |  |
|---|------------------------------------|------------------------|--------------------|------------|---------------------|--------------------|------------|---------------------|--------------------|--|
|   |                                    | GR and GR/GR Dedicated | All Funds          | FTEs       | GR and GR Dedicated | All Funds          | FTEs       | GR and GR Dedicated | All Funds          |  |
| 1   | Reinstate funding to 2002-2003     | \$126,458              | \$126,458          | 4.0        | \$126,458           | \$126,458          | 4.0        | \$252,916           | \$252,916          |  |
| 2   | Equitable Funding for System Admin | \$1,500,000            | \$1,500,000        |            | \$1,500,000         | \$1,500,000        |            | \$3,000,000         | \$3,000,000        |  |
| <b>Total, Exceptional Items Request</b>     |                                    | <b>\$1,626,458</b>     | <b>\$1,626,458</b> | <b>4.0</b> | <b>\$1,626,458</b>  | <b>\$1,626,458</b> | <b>4.0</b> | <b>\$3,252,916</b>  | <b>\$3,252,916</b> |  |
| <b>Method of Financing</b>                  |                                    |                        |                    |            |                     |                    |            |                     |                    |  |
|   | General Revenue                    | \$1,626,458            | \$1,626,458        |            | \$1,626,458         | \$1,626,458        |            | \$3,252,916         | \$3,252,916        |  |
|   | General Revenue - Dedicated        |                        |                    |            |                     |                    |            |                     |                    |  |
|   | Federal Funds                      |                        |                    |            |                     |                    |            |                     |                    |  |
|   | Other Funds                        |                        |                    |            |                     |                    |            |                     |                    |  |
|   |                                    | <b>\$1,626,458</b>     | <b>\$1,626,458</b> |            | <b>\$1,626,458</b>  | <b>\$1,626,458</b> |            | <b>\$3,252,916</b>  | <b>\$3,252,916</b> |  |
| <b>Full Time Equivalent Positions</b>       |                                    |                        |                    | <b>4.0</b> |                     |                    |            | <b>4.0</b>          |                    |  |
| <b>Number of 100% Federally Funded FTEs</b> |                                    |                        |                    | <b>0.0</b> |                     |                    |            | <b>0.0</b>          |                    |  |

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/13/2006  
 TIME : 9:17:22AM

| Agency code: 768   |                      | Agency name: Texas Tech University System Administration |                             |                             |                               |                               |
|--|----------------------|--|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| <b>Goal/Objective/STRATEGY</b>                               | <b>Base<br/>2008</b> | <b>Base<br/>2009</b>                                     | <b>Exceptional<br/>2008</b> | <b>Exceptional<br/>2009</b> | <b>Total Request<br/>2008</b> | <b>Total Request<br/>2009</b> |
| <b>1</b> Provide Instructional and Operations Support        |                      |  |                             |                             |                               |                               |
| <b>1</b> <i>Provide Instructional and Operations Support</i> |                      |  |                             |                             |                               |                               |
| <b>11</b> SYSTEM OFFICE OPERATIONS                           | \$373,542            | \$373,542  | \$1,626,458                 | \$1,626,458                 | \$2,000,000                   | \$2,000,000                   |
| <b>TOTAL, GOAL 1</b>   | <b>\$373,542</b>     | <b>\$373,542</b>   | <b>\$1,626,458</b>          | <b>\$1,626,458</b>          | <b>\$2,000,000</b>            | <b>\$2,000,000</b>            |
| <b>TOTAL, AGENCY<br/>STRATEGY REQUEST</b>                    | <b>\$373,542</b>     | <b>\$373,542</b>   | <b>\$1,626,458</b>          | <b>\$1,626,458</b>          | <b>\$2,000,000</b>            | <b>\$2,000,000</b>            |
| <b>TOTAL, AGENCY RIDER<br/>APPROPRIATIONS REQUEST</b>        |                      |  |                             |                             |                               |                               |
| <b>GRAND TOTAL, AGENCY REQUEST</b>                           | <b>\$373,542</b>     | <b>\$373,542</b>   | <b>\$1,626,458</b>          | <b>\$1,626,458</b>          | <b>\$2,000,000</b>            | <b>\$2,000,000</b>            |

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/13/2006  
 TIME : 9:17:26AM

Agency code: 768 Agency name: Texas Tech University System Administration

| <b>Goal/Objective/STRATEGY</b>        | <b>Base<br/>2008</b> | <b>Base<br/>2009</b> | <b>Exceptional<br/>2008</b> | <b>Exceptional<br/>2009</b> | <b>Total Request<br/>2008</b> | <b>Total Request<br/>2009</b> |
|---------------------------------------|----------------------|----------------------|-----------------------------|-----------------------------|-------------------------------|-------------------------------|
| <b>General Revenue Funds:</b>         |                      |                      |                             |                             |                               |                               |
| 1 GENERAL REVENUE FUND                | \$373,542            | \$373,542            | \$1,626,458                 | \$1,626,458                 | \$2,000,000                   | \$2,000,000                   |
|                                       | <b>\$373,542</b>     | <b>\$373,542</b>     | <b>\$1,626,458</b>          | <b>\$1,626,458</b>          | <b>\$2,000,000</b>            | <b>\$2,000,000</b>            |
| <b>TOTAL, METHOD OF FINANCING</b>     | <b>\$373,542</b>     | <b>\$373,542</b>     | <b>\$1,626,458</b>          | <b>\$1,626,458</b>          | <b>\$2,000,000</b>            | <b>\$2,000,000</b>            |
| <b>FULL TIME EQUIVALENT POSITIONS</b> | <b>120.2</b>         | <b>120.2</b>         | <b>4.0</b>                  | <b>4.0</b>                  | <b>124.2</b>                  | <b>124.2</b>                  |

**3.A. STRATEGY REQUEST**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
 TIME: 9:17:54AM

Agency code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 1 Provide Instructional and Operations Support Statewide Goal/Benchmark: 2 0  
 OBJECTIVE: 1 Provide Instructional and Operations Support Service Categories:  
 STRATEGY: 11 System Office Operations Service: 19 Income: A.2 Age: B.3

| CODE   | DESCRIPTION                    | Exp 2005         | Est 2006         | Bud 2007         | BL 2008          | BL 2009          |
|--|--------------------------------|------------------|------------------|------------------|------------------|------------------|
| <b>Objects of Expense:</b>                         |                                |                  |                  |                  |                  |                  |
| 1001   | SALARIES AND WAGES             | \$0              | \$40,526         | \$0              | \$0              | \$0              |
| 1002   | OTHER PERSONNEL COSTS          | \$25,947         | \$136,593        | \$0              | \$0              | \$0              |
| 2001   | PROFESSIONAL FEES AND SERVICES | \$4,478          | \$31,581         | \$0              | \$0              | \$0              |
| 2002   | FUELS AND LUBRICANTS           | \$15,358         | \$414            | \$0              | \$0              | \$0              |
| 2003   | CONSUMABLE SUPPLIES            | \$44,254         | \$24,224         | \$0              | \$0              | \$0              |
| 2004   | UTILITIES                      | \$49,962         | \$33,338         | \$0              | \$0              | \$0              |
| 2005   | TRAVEL                         | \$41,012         | \$31,300         | \$0              | \$0              | \$0              |
| 2006   | RENT - BUILDING                | \$375            | \$250            | \$0              | \$0              | \$0              |
| 2007   | RENT - MACHINE AND OTHER       | \$23,355         | \$0              | \$0              | \$0              | \$0              |
| 2009   | OTHER OPERATING EXPENSE        | \$221,851        | \$112,320        | \$409,547        | \$373,542        | \$373,542        |
| 5000   | CAPITAL EXPENDITURES           | \$9,769          | \$0              | \$0              | \$0              | \$0              |
| <b>TOTAL, OBJECT OF EXPENSE</b>                    |                                | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>Method of Financing:</b>                        |                                |                  |                  |                  |                  |                  |
| 1  | GENERAL REVENUE FUND           | \$436,361        | \$410,546        | \$409,547        | \$373,542        | \$373,542        |
| <b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>       |                                | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b> |                                |                  |                  |                  | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b> |                                | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>FULL TIME EQUIVALENT POSITIONS:</b>             |                                | <b>157.8</b>     | <b>122.7</b>     | <b>120.2</b>     | <b>120.2</b>     | <b>120.2</b>     |

**STRATEGY DESCRIPTION AND JUSTIFICATION:**



**3.A. STRATEGY REQUEST**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
 TIME: 9:17:58AM

Agency code: **768**      Agency name: **Texas Tech University System Administration**

|            |    |  |                           |    |                         |
|------------|----|--|---------------------------|----|-------------------------|
| GOAL:      | 1  | Provide Instructional and Operations Support | Statewide Goal/Benchmark: | 2  | 0                       |
| OBJECTIVE: | 1  | Provide Instructional and Operations Support | Service Categories:       |    |                         |
| STRATEGY:  | 11 | System Office Operations                     | Service:                  | 19 | Income: A.2    Age: B.3 |

| <b>CODE</b> | <b>DESCRIPTION</b> | <b>Exp 2005</b> | <b>Est 2006</b> | <b>Bud 2007</b> | <b>BL 2008</b> | <b>BL 2009</b> |
|-------------|--------------------|-----------------|-----------------|-----------------|----------------|----------------|
|-------------|--------------------|-----------------|-----------------|-----------------|----------------|----------------|

The Texas Tech University System (TTUS) is composed of the following components: Texas Tech University System Administration (TTUSA); Texas Tech University (TTU); and Texas Tech University Health Sciences Center (TTUHSC). Primary campuses for both TTU and TTUHSC are in Lubbock.

TTU is a statewide, comprehensive, multi-faceted university with 28,000 students pursuing undergraduate, graduate, and professional degrees in 12 different instructional schools and colleges. TTU offers one of the most comprehensive academic programs in the state on a single campus. Texas Tech University has established academic centers in Junction, Fredericksburg, Marble Falls/Highland Lakes, Amarillo, and Abilene to provide educational services to the residents of the Texas Hill Country and West Texas. These centers offer graduate and undergraduate programs to residents in these areas.

TTUHSC consists of Schools of Allied Health Sciences, Biomedical Sciences, Medicine, Nursing, and Pharmacy. TTUHSC has campuses in Amarillo, El Paso, Lubbock, and the Permian Basin and includes programs in Abilene, Dallas, and the Texas Hill Country. TTUHSC is the major health education and patient care provider for a vast area of West Texas comprised of 108 counties. TTUHSC's primary mission is the provision of quality education, the conduct of cutting edge research, and the provision of excellent patient care.

TTUSA has a direct legislative appropriation of \$415,546 for FY 2007. The majority of TTUSA's budget is provided through charges for services to the TTU and TTUHSC budgets.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

TTUSA is the executive arm of the System. The Chancellor serves as the Chief Executive Officer for the Texas Tech University System. TTUSA includes the shared services areas of: General Counsel, Governmental Relations, Institutional Advancement, Facilities Planning and Construction, the Chief Financial Officer, and Audit Services.

In addition to services common to most or all other systems, the TTUSA manages its fund-raising activities centrally for the TTUS. Effective fundraising initiatives are achieved with system level oversight including donor outreach, identification of priorities, and coordinated capital campaigns. Approximately one-third of the resources and FTEs of the TTUSA are directed toward this function.

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 9:17:58AM

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**SUMMARY TOTALS:**

|   |                  |                  |                  |                  |                  |
|---|------------------|------------------|------------------|------------------|------------------|
| <b>OBJECTS OF EXPENSE:</b>                    | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>METHODS OF FINANCE (INCLUDING RIDERS):</b> |                  |                  |                  | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>METHODS OF FINANCE (EXCLUDING RIDERS):</b> | <b>\$436,361</b> | <b>\$410,546</b> | <b>\$409,547</b> | <b>\$373,542</b> | <b>\$373,542</b> |
| <b>FULL TIME EQUIVALENT POSITIONS:</b>        | <b>157.8</b>     | <b>122.7</b>     | <b>120.2</b>     | <b>120.2</b>     | <b>120.2</b>     |

### 3.B. Rider Revisions and Additions Request

|                             |  |   |                                  |                                   |
|-----------------------------|--|---|----------------------------------|-----------------------------------|
| <b>Agency Code:</b><br>768  | <b>Agency Name:</b><br>Texas Tech University System Administration | <b>Prepared By:</b><br>Texas Tech University System Administration  | <b>Date:</b><br>October 13, 2006 | <b>Request Level:</b><br>Baseline |
| <b>Current Rider Number</b> | <b>Page Number in 2006-07 GAA</b>                                  | <b>Proposed Rider Language</b>  |                                  |                                   |
| Sec 59                      | III-259 (Special Provisions)                                       | <p><b>Sec. 59. Report Concerning Designated Tuition.</b></p> <p>(a) Not later than January 1, 2006, the governing board of each public institution of higher education that charges students designated tuition under § 54.0513, Education Code, shall report to the legislature, for the 2004-2005 and 2005-2006 academic years:</p> <p>(1) the amount the institution has collected in designated tuition;</p> <p>(2) the purposes for which the institution spent the money derived from designated tuition and the amount of that money spent for each of those purposes; and</p> <p>(3) the amount set aside from designated tuition for resident undergraduate and graduate student assistance under § 56.011 and 56.012, Education Code.</p> <p><del>(b) In addition to the information reported under Subsection (a), not later than January 1, 2006, the governing board of each institution of higher education shall report to the legislature the total academic cost for resident undergraduates enrolled for 15 semester credit hours. The information reported shall be derived from actual fee bills for the 2004 fall semester and the 2003 spring and fall semesters and must reflect the actual charges, before any adjustments or discounts are applied for waivers, exemptions, or other discounts, in the following categories:</del></p> <p><del>(1) statutory tuition;</del></p> <p><del>(2) designated tuition;</del></p> <p><del>(3) mandatory fees; and</del></p> <p><del>(4) average college and course fees, which must include all academic related fees and charges not reported under (1), (2), or (3), such as fees for laboratories, field trips, multimedia, equipment replacement, and instructional technology, but should not include charges for voluntary services ("optional fees").</del></p> <p>(eb) <u>The report</u> Reports required by this section shall be delivered to the Lieutenant Governor, the Speaker of the House, the chair of the Senate Finance Committee, the chair of the House Appropriations Committee, and the members of the Legislative Oversight Committee on Higher Education.</p> <p><i>The report required in subsection (b) is duplicated by a report currently required by the Texas Higher Education Coordinating Board. The annual report is the Integrated Fiscal Reporting System.</i></p> |                                  |                                   |

### 3.B. Rider Revisions and Additions Request (continued)

|                             |   |   |
|-----------------------------|---|---|
| <p>Art IX,<br/>Sec 5.08</p> | <p>IX-27 through IX-28 (Travel Regulations)</p> | <p>Sec. 5.08. <b>Limitation on Travel Expenditures.</b></p> <p>(a) In this section “travel” refers only to travel outside the state of Texas, except as set forth in Subsection (k) of this section.</p> <p>(b) (1) None of the funds appropriated by this Act may be expended, without the prior approval of the Legislative Budget Board, for travel purposes if such expenditure would cause the agency’s <del>or institution’s</del> travel expenditures for that fiscal year to exceed an amount equal to 100 percent of that agency’s <del>or institution’s</del> fiscal year 2000 amount of travel expenditures including any excess travel expenditure amounts approved by waiver.</p> <p>(2) The general limitations provided by this subsection apply in addition to the limits of any additional agency specific limitation.</p> <p>(3) In the event that a state agency <del>or institution</del> had, as determined by the Comptroller, no expenditures for travel outside the state of Texas during fiscal year 2000, the general limitations provided by Subsection (b)(1) shall not apply, but out-of-state travel spending may not exceed in either year of the biennium the amount spent for that purpose in the last state fiscal year in which out-of-state travel occurred.</p> <p>(c) The Legislative Budget Board may consider requests from agencies which demonstrate circumstances which would make such reductions in actual travel impractical or inefficient in accomplishing the goals and strategies contained in their appropriations pattern. Such circumstances may include: new or expanded programs, law enforcement, tax collection activities, statutorily mandated travel, or other pressing public purposes.</p> <p>(d) The Comptroller shall prescribe accounting procedures and reporting requirements to administer this section and to ensure that expenditures for travel by all state agencies <del>and institutions of higher education</del> are properly reported and monitored.</p> <p>(e) (1) Upon notification by the Comptroller that a state agency <del>or institution of higher education</del> has exceeded the travel cap limit as specified in Subsection (b) of this section for two consecutive fiscal years without the written approval of the Legislative Budget Board, the state agency <del>or institution of higher education</del> may not pay or reimburse with funds appropriated by this Act, a travel expense for travel to conventions, conferences, or seminars except as provided by this Subsection (e).</p> <p>(2) The Comptroller may allow a state agency <del>or institution of higher education</del> to reimburse or pay a travel expense incurred by an employee on or after the date the agency <del>or institution</del> receives notice of its exceeding the limits if:</p> <p>(A) the expense is incurred while the employee is returning to the employee’s designated headquarters;</p> <p>(B) the reimbursement or payment is necessary to prevent unreasonable hardship to the employee; or</p> <p>(C) the expense is incurred while the employee is completing official state business that the agency <del>or institution</del> deems critical to fulfillment of the agency’s <del>or institution’s</del> constitutional or statutory duties.</p> |
|-----------------------------|---|---|

### 3.B. Rider Revisions and Additions Request (continued)

|                             |   |   |
|-----------------------------|---|---|
| <p>Art IX,<br/>Sec 5.08</p> | <p>IX-27 through IX-28 (Travel Regulations)</p> | <p>Sec. 5.08. Limitation on Travel Expenditures (Continued)</p> <p>(3) The Comptroller shall notify the Legislative Budget Board if the Comptroller allows such a payment or reimbursement. The notification must include the reason that the payment or reimbursement is allowed. The Legislative Budget Board may then direct the Comptroller to reduce the agency's or institution's appropriation by the amount of the payment or reimbursement if in the Legislative Budget Board's opinion the payment was the result of an agency failing to comply with the notification by the Comptroller.</p> <p>(f) All documents filed by an appointed officer under this section are public information. In this section, the term "public information" has the meaning provided by Chapter 552, Government Code.</p> <p>(g) In this section "appointed officer" includes all persons included under the definition provided by Chapter 572, Government Code, except that for the purposes of the definition of "appointed officer," the term "state agency" also includes a council of governments, a local workforce development board, or a mental health mental retardation community center that uses funds appropriated by this Act to pay for the transportation, meals, lodging, or other travel expenses of its employees or officials. For the purpose of this provision, the term "council of governments" shall include a council of governments, a regional planning commission, or similar regional planning agency created under Chapter 391, Local Government Code.</p> <p>(h) An appointed officer may not receive reimbursement from funds appropriated by this Act for expenses related to travel before filing with the Texas Ethics Commission copies of all documents that will be submitted to the Comptroller and Legislative Budget Board in support of the travel expense claim.</p> <p>(i) Funds appropriated by this Act may not be used to pay expenses for a trip to foreign countries, except for Canada or Mexico, unless the board or commission of each state agency <del>and institution of higher education</del> has approved the travel before departure. A copy of the approval must be attached to each travel voucher submitted to the Comptroller. By October 1 of each year, each agency <del>and institution of higher education</del> must submit a report on all foreign travel with required approvals to the Governor and the Legislative Budget Board. In implementing this rider, state agencies shall review their travel policies in order to ensure that travel which is critical to the delivery of services consistent with the mission of the agency is not affected.</p> <p>(k) Travel expenses incurred within the Washington, D.C. area by the Office of State-Federal Relations (OSFR) and by state agencies <del>and institutions of higher education</del> that are represented by their employees in the Washington, D.C. office of OSFR shall be considered in-state travel for the purpose of calculating the agencies' compliance with out-of-state travel limitation provisions.</p> |
|-----------------------------|---|---|

### 3.B. Rider Revisions and Additions Request (continued)

|                                    |  |  |
|------------------------------------|--|--|
| <p><b>Art IX,<br/>Sec 5.08</b></p> | <p><b>IX-27 through IX-28 (Travel Regulations)</b></p> | <p><b>Sec. 5.08. Limitation on Travel Expenditures (Continued)</b></p> <p><i>The limitation on travel expenditures inhibits the ability of TTU System institutions to fulfill their missions of education, research, patient care and public service. TTU institutions often partner in collaborative efforts with entities from other states and travel limitations such as this inhibit their ability to do so. The current limitations are based on expenditures from seven fiscal years ago and have not been adjusted for inflation or the rising costs of travel. Updating the cap to a more current fiscal year would not be beneficial since all subsequent fiscal years have been limited to FY 2000 expenditure levels.</i></p>  |
| <p><b>Art IX,<br/>Sec 5.09</b></p> | <p><b>IX-28 (Travel Regulations)</b></p>               | <p><b>Sec. 5.09. Expenditures for Commercial Air Travel.</b></p> <p><del>(a)</del> It is the intent of the Legislature that the Texas Building and Procurement Commission establish rules to encourage state agencies and institutions of higher education to reduce travel expenses by purchasing airline tickets at least 14 days before an employee of the agency or institution travels by commercial air carrier on agency or institution business.</p> <p>(b) Effective September 1, 2005, the Comptroller shall reduce appropriations made to all state agencies appropriated funds under Articles I through VIII of this Act, excluding institutions of higher education, by a total of \$4,994,716 in General Revenue for the biennium beginning September 1, 2005. Amounts to be reduced at each affected agency shall be determined by the Texas Building and Procurement Commission based on historical information related to agency travel and all reductions in appropriations must be approved by the Legislative Budget Board and Governor.</p> <p><del>(c) Effective September 1, 2005, the Comptroller shall reduce appropriations made to all institutions of higher education appropriated funds under Article III of this Act by a total of \$3,000,000 in General Revenue for the biennium beginning September 1, 2005. Amounts to be reduced at each affected institution shall be determined by the Texas Building and Procurement Commission based on historical information related to agency travel and all reductions in appropriations must be approved by the Legislative Budget Board and Governor.</del></p> <p><i>The TTU System has an active travel management program. The appropriation reductions were difficult to administer and took away funds needed to support the System's missions of education, research, patient care and public service.</i></p> |

### 3.B. Rider Revisions and Additions Request (continued)

|                                     |  |   |
|-------------------------------------|--|---|
| <p><b>Art. IX,<br/>Sec 7.09</b></p> | <p><b>IX-49 (Reporting Requirements)</b></p> | <p><b>Sec. 7.09. Reporting Fees, Fines, and Penalties.</b></p> <p>(a) Before November 1 of each fiscal year, each state agency <del>and institution of higher education</del> shall report to the Legislative Budget Board in the manner prescribed by the Legislative Budget Board all fees, fines, and penalties assessed and all fees, fines, and penalties assessed but not collected by the agency or institution during the prior fiscal year.</p> <p>(b) Each report made under this section shall detail the effort made by the reporting state agency or institution of higher education to collect fees, fines, and penalties that are more than ninety days past due.</p> <p><u>(c) This section shall not apply to an institution of higher education or an affiliated entity.</u></p> <p><i>The majority of the fees reported by institutions of higher education under the provisions of this section are also reported to the Texas Higher Education Coordinating Board in its survey of tuition and mandatory fees. Elimination of this report reduces the administrative burden on institutions of higher education.</i></p> |
|-------------------------------------|--|---|

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
 TIME: **9:19:17AM**

Agency code: **768**

Agency name:  
**Texas Tech University System Administration**

| <b>CODE</b>                 | <b>DESCRIPTION</b>  | <b>Excp 2008</b> | <b>Excp 2009</b> |
|-----------------------------|---|------------------|------------------|
|                             | <b>Item Name:</b> Reinstatement funding to 2003-2003 biennium appropriation levels.                 |                  |                  |
|                             | <b>Item Priority:</b> 1   |                  |                  |
|                             | <b>Includes Funding for the Following Strategy or Strategies:</b> 01-01-11 System Office Operations |                  |                  |
| <b>OBJECTS OF EXPENSE:</b>  |   |                  |                  |
| 2009                        | OTHER OPERATING EXPENSE   | 126,458          | 126,458          |
|                             | <b>TOTAL, OBJECT OF EXPENSE</b>   | <b>\$126,458</b> | <b>\$126,458</b> |
| <b>METHOD OF FINANCING:</b> |   |                  |                  |
| 1                           | GENERAL REVENUE FUND  | 126,458          | 126,458          |
|                             | <b>TOTAL, METHOD OF FINANCING</b>   | <b>\$126,458</b> | <b>\$126,458</b> |
|                             | <b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>  | 4.00             | 4.00             |

**DESCRIPTION / JUSTIFICATION:**

This reduction will necessitate decreases in the administrative area, impacting administrative services provided to Texas Tech University and Texas Tech University Health Sciences Center.

**EXTERNAL/INTERNAL FACTORS:**

Sufficient funding is needed to cover the growth in services supported by the administrative core functions of the university and health sciences center. A reduction negatively impacts the quality of services provided.



**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
 TIME: **9:19:20AM**

Agency code: **768**

Agency name:

**Texas Tech University System Administration**

| <b>CODE</b>                 | <b>DESCRIPTION</b>  | <b>Excp 2008</b>   | <b>Excp 2009</b>   |
|-----------------------------|---|--------------------|--------------------|
|                             | <b>Item Name:</b> Equitable Funding for System Administration                                       |                    |                    |
|                             | <b>Item Priority:</b> 2   |                    |                    |
|                             | <b>Includes Funding for the Following Strategy or Strategies:</b> 01-01-11 System Office Operations |                    |                    |
| <b>OBJECTS OF EXPENSE:</b>  |   |                    |                    |
| 1001                        | SALARIES AND WAGES  | 1,500,000          | 1,500,000          |
|                             | <b>TOTAL, OBJECT OF EXPENSE</b>   | <b>\$1,500,000</b> | <b>\$1,500,000</b> |
| <b>METHOD OF FINANCING:</b> |   |                    |                    |
| 1                           | GENERAL REVENUE FUND  | 1,500,000          | 1,500,000          |
|                             | <b>TOTAL, METHOD OF FINANCING</b>   | <b>\$1,500,000</b> | <b>\$1,500,000</b> |

**DESCRIPTION / JUSTIFICATION:**

Texas Tech University System Administration requests funding that is at the same level of comparable systems within the State. In terms of annual system wide expenditures, Texas Tech University System is the third largest system in the State, behind University of Texas System and Texas A&M University System. However, funding for TTUSA is less than the University of Houston System and the Texas State University System.

For FY 2005, the Texas Tech System components had total operating expenditures of \$871.2 million and received a state appropriation of \$437,500 for operational support. Additional system appropriations would allow the components to retain more funding for academic purposes.

**EXTERNAL/INTERNAL FACTORS:**

The Texas Tech University System Board of Regents is the governing board of the Texas Tech System. The board reviews major issues and establishes policy for the System and its components.

TTUSA is the executive arm of the System. The Chancellor serves as the Chief Executive Officer for the Texas Tech University System. TTUSA includes the shared services areas of: General Counsel, Governmental Relations, Institutional Advancement, Facilities Planning and Construction, the Chief Financial Officer, and Audit Services.

In addition to services common to most or all other systems, the TTUSA manages its fund-raising activities centrally for the TTUS. Effective fundraising initiatives are achieved with system level oversight including donor outreach, identification of priorities, and coordinated capital campaigns. Approximately one-third of the resources and FTEs of the TTUSA are directed toward this function.

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**

TIME: **9:19:57AM**

Agency code: **768**

Agency name: **Texas Tech University System Administration**

|  | Excp 2008   | Excp 2009                |
|--|---|--------------------------|
| <b>Item Name:</b>                            | Reinstate funding to 2003-2003 biennium appropriation levels. |                          |
| <b>Allocation to Strategy:</b>               | 1-1-11  | System Office Operations |
| <b>OBJECTS OF EXPENSE:</b>                   |   |                          |
| 2009 OTHER OPERATING EXPENSE                 | 126,458   | 126,458                  |
| <b>TOTAL, OBJECT OF EXPENSE</b>              | <b>\$126,458</b>  | <b>\$126,458</b>         |
| <b>METHOD OF FINANCING:</b>                  |   |                          |
| 1 GENERAL REVENUE FUND                       | 126,458   | 126,458                  |
| <b>TOTAL, METHOD OF FINANCING</b>            | <b>\$126,458</b>  | <b>\$126,458</b>         |
| <b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b> | 4.0   | 4.0                      |

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**

TIME: **9:20:05AM**

Agency code: **768** Agency name: **Texas Tech University System Administration**

|                                   | Excp 2008                                   | Excp 2009                |
|-----------------------------------|---|--------------------------|
| <b>Item Name:</b>                 | Equitable Funding for System Administration |                          |
| <b>Allocation to Strategy:</b>    | 1-1-11                                      | System Office Operations |
| <b>OBJECTS OF EXPENSE:</b>        |   |                          |
| 1001 SALARIES AND WAGES           | 1,500,000                                   | 1,500,000                |
| <b>TOTAL, OBJECT OF EXPENSE</b>   | <b>\$1,500,000</b>                          | <b>\$1,500,000</b>       |
| <b>METHOD OF FINANCING:</b>       |   |                          |
| 1 GENERAL REVENUE FUND            | 1,500,000                                   | 1,500,000                |
| <b>TOTAL, METHOD OF FINANCING</b> | <b>\$1,500,000</b>                          | <b>\$1,500,000</b>       |

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

**DATE: 10/13/2006**  
**TIME: 9:20:36AM**

Agency Code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 1 Provide Instructional and Operations Support  
 OBJECTIVE: 1 Provide Instructional and Operations Support  
 STRATEGY: 11 System Office Operations

Statewide Goal/Benchmark: 2 - 0  
 Service Categories:  
 Service: 19 Income: A.2 Age: B.3

| <b>CODE DESCRIPTION</b> | <b>Excp 2008</b> | <b>Excp 2009</b> |
|-------------------------|------------------|------------------|
|-------------------------|------------------|------------------|

**OBJECTS OF EXPENSE:**

|                                  |                    |                    |
|----------------------------------|--------------------|--------------------|
| 1001 SALARIES AND WAGES          | 1,500,000          | 1,500,000          |
| 2009 OTHER OPERATING EXPENSE     | 126,458            | 126,458            |
| <b>Total, Objects of Expense</b> | <b>\$1,626,458</b> | <b>\$1,626,458</b> |

**METHOD OF FINANCING:**

|                                 |                    |                    |
|---------------------------------|--------------------|--------------------|
| 1 GENERAL REVENUE FUND          | 1,626,458          | 1,626,458          |
| <b>Total, Method of Finance</b> | <b>\$1,626,458</b> | <b>\$1,626,458</b> |

**FULL-TIME EQUIVALENT POSITIONS (FTE):**

|     |     |
|-----|-----|
| 4.0 | 4.0 |
|-----|-----|

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Reinstate funding to 2003-2003 biennium appropriation levels.  
 Equitable Funding for System Administration

**6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/13/2006  
Time: 9:21:15AM

Agency Code: 768      Agency: Texas Tech University System Administration

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

**A. Fiscal Year 2004 - 2005 HUB Expenditure Information**

| Procurement Category       | Statewide Adjusted HUB Goals | Adjusted HUB Expenditures FY 2004 |                  | Total Expenditures FY 2004 | Adjusted HUB Expenditures FY 2005 |                  | Total Expenditures FY 2005 |
|----------------------------|------------------------------|-----------------------------------|------------------|----------------------------|-----------------------------------|------------------|----------------------------|
|                            |                              | HUB %                             | HUB \$           |                            | HUB %                             | HUB \$           |                            |
| Building Construction      | 25.1%                        | 34.2%                             | \$61,107         | \$178,530                  | 0.0%                              | \$0              | \$0                        |
| Special Trade Construction | 47.0%                        | 0.0%                              | \$0              | \$7,459                    | 0.0%                              | \$0              | \$16,046                   |
| Professional Services      | 18.1%                        | 0.0%                              | \$0              | \$8,009                    | 0.0%                              | \$0              | \$72,447                   |
| Other Services             | 33.0%                        | 19.5%                             | \$189,098        | \$971,027                  | 39.9%                             | \$473,043        | \$1,186,091                |
| Commodities                | 11.5%                        | 33.3%                             | \$150,065        | \$450,861                  | 35.4%                             | \$256,959        | \$725,184                  |
| <b>Total Expenditures</b>  |                              | <b>24.8%</b>                      | <b>\$400,270</b> | <b>\$1,615,886</b>         | <b>36.5%</b>                      | <b>\$730,002</b> | <b>\$1,999,768</b>         |

**B. Assessment of Fiscal Year 2004 - 2005 Efforts to Meet HUB Procurement Goals**

**Attainment:**

Texas Tech University System Administration (TTUSA) realized growth in the percentage of total expenditures spent with adjusted HUBs, an increase of 12%. TTUSA significantly exceeded the statewide HUB goal for Commodity Purchasing, 11.5%, in both FY 2004, 33.3%, and FY 2005, 35.4%. TTUSA also exceeded the statewide HUB goal for Other Services, 33%, in FY 2005, at 39.9%.

**Applicability:**

TTUSA's primary expenditures are categorized in Other Services and Commodity Purchasing.

**Factors Affecting Attainment:**

The Texas Tech University System HUB coordinators will coordinate with each other and comply with all HUB reporting requirements, including bi-annual reports to the TBPC. The HUB coordinators will also track the extent of the HUB participation by all major departments in their acquisition programs and make it an agency review item at the executive level. TTU System will require prime contractors to put forth a good faith effort in establishing contracts with HUBs as subcontractors, suppliers, or material providers for contracts of \$100,000 or more.

**"Good-Faith" Efforts:**

The TTU System is consistently increasing awards to HUBs. In FY 2001, TTUS total expenditures in the TBPC reported categories were \$191.7 million; HUB expenditures were \$9.9 million, or 5.16%. In FY 2005, total expenditures were \$206.9 million; HUB expenditures were \$49.5 million, or 23.93%.

**Schedule 2: Grand Total Educational, General and Other Funds**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **9:21:52AM**  
PAGE: **1 of 3**

Agency Code: **768** Agency Name: **Texas Tech University System Administration**

|   | <b>Act 2005</b> | <b>Act 2006</b> | <b>Bud 2007</b> | <b>Est 2008</b> | <b>Est 2009</b> |
|---|-----------------|-----------------|-----------------|-----------------|-----------------|
| <b>Balances as of Beginning of Fiscal Year</b>  |                 |                 |                 |                 |                 |
| Encumbered and Obligated  | 0               | 0               | 0               | 0               | 0               |
| Unencumbered and Unobligated  | 0               | 0               | 0               | 0               | 0               |
| Capital Projects - Legislative Appropriations   | 0               | 0               | 0               | 0               | 0               |
| Capital Projects - Other Educational and General Funds  | 0               | 0               | 0               | 0               | 0               |
| <b>General Revenue Appropriations</b>   |                 |                 |                 |                 |                 |
| Direct Appropriations   | 437,500         | 415,546         | 414,547         | 373,542         | 373,542         |
| Less: Transfer Sec. 9-5.09(c), Expenditures for Commercial Air Travel (2006-07)   | 0               | (5,000)         | (5,000)         | 0               | 0               |
| Transfer from Office of the Governor Deficiency and Emergency Grants  | 0               | 0               | 0               | 0               | 0               |
| Less: General Revenue Appropriations Lapsed   | 0               | 0               | 0               | 0               | 0               |
| Plus: Special Provisions Relating to Agencies of Higher Education, Section 56 (Health Related Institutions only) (2005) | 0               | 0               | 0               | 0               | 0               |
| Less: Special Provisions Relating to Agencies of Higher Education, Section 56 Reductions (2005)                         | (1,139)         | 0               | 0               | 0               | 0               |
| Plus: Additional General Revenue through Budget Execution Other (Itemize)   | 0               | 0               | 0               | 0               | 0               |
| <b>Subtotal, General Revenue Appropriations</b>   | <b>436,361</b>  | <b>410,546</b>  | <b>409,547</b>  | <b>373,542</b>  | <b>373,542</b>  |
| Other Educational and General Income  | 0               | 0               | 0               | 0               | 0               |
| <b>Other Appropriated Funds Income</b>  |                 |                 |                 |                 |                 |
| Health-related Institutions Patient Income (medical, dental, other)   | 0               | 0               | 0               | 0               | 0               |
| Interagency contracts   | 0               | 0               | 0               | 0               | 0               |
| Tobacco - Related Funds   | 0               | 0               | 0               | 0               | 0               |
| Other (Itemize)   | 0               | 0               | 0               | 0               | 0               |
| <b>TOTAL, EDUCATIONAL AND GENERAL APPROPRIATIONS</b>  | <b>436,361</b>  | <b>410,546</b>  | <b>409,547</b>  | <b>373,542</b>  | <b>373,542</b>  |
| <b>General Revenue Transfers</b>  |                 |                 |                 |                 |                 |
| Transfer from Coordinating Board for Developmental Education (2005, 2006, 2007)   | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Advanced Research Program (2006 - 2007)  | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Advanced Technology Program (2005)   | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Texas College Work Study Program (2005, 2006, 2007)                                | 0               | 0               | 0               | 0               | 0               |

**Schedule 2: Grand Total Educational, General and Other Funds**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 9:21:56AM  
PAGE: 2 of 3

Agency Code: **768** Agency Name: **Texas Tech University System Administration**

|  | <b>Act 2005</b> | <b>Act 2006</b> | <b>Bud 2007</b> | <b>Est 2008</b> | <b>Est 2009</b> |
|--|-----------------|-----------------|-----------------|-----------------|-----------------|
| Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005) [Nursing]                     | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005) [All other enrollment growth] | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program (2006-07)                           | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005)[Nursing]                      | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005)[All other enrollment growth]  | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for the Cancer Registry (2006-07)   | 0               | 0               | 0               | 0               | 0               |
| Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program (2006-07)                           | 0               | 0               | 0               | 0               | 0               |
| Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)                                    | 0               | 0               | 0               | 0               | 0               |
| Less: Transfer to Other Institutions   | 0               | 0               | 0               | 0               | 0               |
| Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2005, 2006, 2007)                | 0               | 0               | 0               | 0               | 0               |
| Other (Itemize)  |                 |                 |                 |                 |                 |
| Other: Fifth Year Accounting Scholarship   | 0               | 0               | 0               | 0               | 0               |
| Texas Grants   | 0               | 0               | 0               | 0               | 0               |
| Less: Transfer to System Administration  | 0               | 0               | 0               | 0               | 0               |
| <b>Subtotal, General Revenue Transfers</b>   | <b>0</b>        | <b>0</b>        | <b>0</b>        | <b>0</b>        | <b>0</b>        |
| General Revenue HEF for Operating Expenses   | 0               | 0               | 0               | 0               | 0               |
| Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)   | 0               | 0               | 0               | 0               | 0               |
| Other Additions (Itemize)  |                 |                 |                 |                 |                 |
| Increase Capital Projects - Educational and General Funds  | 0               | 0               | 0               | 0               | 0               |
| Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2005, 2006, 2007)                    | 0               | 0               | 0               | 0               | 0               |
| Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)          | 0               | 0               | 0               | 0               | 0               |
| Other (Itemize)  |                 |                 |                 |                 |                 |
| Other Deductions (Itemize)   |                 |                 |                 |                 |                 |
| Decrease Capital Projects - Educational and General Funds  | 0               | 0               | 0               | 0               | 0               |
| Other (Itemize)  |                 |                 |                 |                 |                 |

**Schedule 2: Grand Total Educational, General and Other Funds**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 9:21:56AM  
PAGE: 3 of 3

Agency Code: **768** Agency Name: **Texas Tech University System Administration**

|   | <b>Act 2005</b> | <b>Act 2006</b> | <b>Bud 2007</b> | <b>Est 2008</b> | <b>Est 2009</b> |
|---|-----------------|-----------------|-----------------|-----------------|-----------------|
| <b>Total Funds</b>  | <b>436,361</b>  | <b>410,546</b>  | <b>409,547</b>  | <b>373,542</b>  | <b>373,542</b>  |
| Less: Balances as of End of Fiscal Year                     |                 |                 |                 |                 |                 |
| Encumbered and Obligated                                    | 0               | 0               | 0               | 0               | 0               |
| Unencumbered and Unobligated                                | 0               | 0               | 0               | 0               | 0               |
| Capital Projects - Legislative Appropriations               | 0               | 0               | 0               | 0               | 0               |
| Capital Projects - Other Educational and General Funds      | 0               | 0               | 0               | 0               | 0               |
| <b>Grand Total, Educational, General and Other Funds</b>    | <b>436,361</b>  | <b>410,546</b>  | <b>409,547</b>  | <b>373,542</b>  | <b>373,542</b>  |
| <b>Designated Tuition (Sec. 54.0513)</b>                    | <b>0</b>        | <b>0</b>        | <b>0</b>        | <b>0</b>        | <b>0</b>        |
| <b>Indirect Cost Recovery (Informational Purposes Only)</b> | <b>0</b>        | <b>0</b>        | <b>0</b>        | <b>0</b>        | <b>0</b>        |



**Schedule 6.H. ESTIMATED FUNDS OUTSIDE THE GAA**  
80th Regular Session, Agency Submission, Version 1

**Texas Tech University System Administration (768)**  
**Estimated Funds Outside the GAA**  
**2006-07 and 2008-09 Biennia**

|  | 2006 - 2007 Biennium       |                            |                           |                             | 2008 - 2009 Biennium       |                            |                           |                             |
|--|----------------------------|----------------------------|---------------------------|-----------------------------|----------------------------|----------------------------|---------------------------|-----------------------------|
|  | <u>FY 2006<br/>Revenue</u> | <u>FY 2007<br/>Revenue</u> | <u>Biennium<br/>Total</u> | <u>Percent<br/>of Total</u> | <u>FY 2008<br/>Revenue</u> | <u>FY 2009<br/>Revenue</u> | <u>Biennium<br/>Total</u> | <u>Percent<br/>of Total</u> |
| <b>APPROPRIATED SOURCES (INSIDE THE GAA)</b>       |                            |                            |                           |                             |                            |                            |                           |                             |
| State Appropriations                               | \$ 410,546                 | \$ 409,547                 | \$ 820,093                |                             | \$ 373,542                 | \$ 373,542                 | \$ 747,084                |                             |
| State Grants and Contracts                         |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Research Excellence Funds (URF/TEF)                |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Higher Education Assistance Funds                  |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Available University Fund                          |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Tuition and Fees (net of Discounts and Allowances) |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Federal Grants and Contracts                       |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Endowment and Interest Income                      |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Local Government Grants and Contracts              |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Private Gifts and Grants                           |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Sales and Services of Educational Activities (net) |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Sales and Services of Hospitals (net)              |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Other Income                                       |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Total  | <u>410,546</u>             | <u>409,547</u>             | <u>820,093</u>            | <u>2.5%</u>                 | <u>373,542</u>             | <u>373,542</u>             | <u>747,084</u>            | <u>2.3%</u>                 |
| <b>NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)</b>  |                            |                            |                           |                             |                            |                            |                           |                             |
| State Grants and Contracts                         |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Tuition and Fees (net of Discounts and Allowances) |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Federal Grants and Contracts                       |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Endowment and Interest Income                      | 2,205,000                  | 2,205,000                  | 4,410,000                 |                             | 2,205,000                  | 2,205,000                  | 4,410,000                 |                             |
| Local Government Grants and Contracts              |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Private Gifts and Grants                           |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Sales and Services of Educational Activities (net) |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Sales and Services of Hospitals (net)              |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Professional Fees (net)                            | 1,077,018                  | 3,187,118                  | 4,264,136                 |                             | 3,187,118                  | 3,187,118                  | 6,374,236                 |                             |
| Auxiliary Enterprises (net)                        |                            |                            | -                         |                             |                            |                            | -                         |                             |
| Transfers From Components - Appropriated Funds     | 8,166,624                  | 6,391,353                  | 14,557,978                |                             | 6,391,353                  | 6,391,353                  | 12,782,707                |                             |
| Other Income                                       | 5,141,367                  | 4,094,838                  | 9,236,205                 |                             | 4,094,838                  | 4,094,838                  | 8,189,676                 |                             |
| Total  | <u>16,590,009</u>          | <u>15,878,309</u>          | <u>32,468,319</u>         | <u>97.5%</u>                | <u>15,878,309</u>          | <u>15,878,309</u>          | <u>31,756,619</u>         | <u>97.7%</u>                |
| <b>TOTAL SOURCES</b>                               | <u>\$ 17,000,555</u>       | <u>\$ 16,287,856</u>       | <u>\$ 33,288,412</u>      | <u>100.0%</u>               | <u>\$ 16,251,851</u>       | <u>\$ 16,251,851</u>       | <u>\$ 32,503,703</u>      | <u>100.0%</u>               |

**6.I. Allocation of the Biennial Ten Percent Reduction to Strategies Schedule**

| Agency Code: 768                         |                          | Agency Name: Texas Tech University System Administration |                  |             |             |             |  |            |                     |                             |                     |
|--|--------------------------|--|------------------|-------------|-------------|-------------|--|------------|---------------------|-----------------------------|---------------------|
| Strategies                               |                          | Biennial Application of 10 Percent Reduction             |                  |             |             |             | FTE Reductions (FY 2008-09 Base Request Compared to Budgeted 2007) |            | Revenue Impact? Y/N | Requesting Restoration? Y/N | Exceptional Item(s) |
| Code                                     | Name                     | GR   | GR-Dedicated     | Federal     | Other       | All Funds   | FY 08  | FY 09      |                     |                             |                     |
| A.1.1                                    | SYSTEM OFFICE OPERATIONS | \$ 83,009  |                  |             |             | \$ 83,009   | 1.3  | 1.3        | N                   | Y                           | 1                   |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
|  |                          |  |                  |             |             |             |  |            |                     |                             |                     |
| <b>Agency Biennial Total</b>             |                          | <b>\$ 83,009</b>   | <b>\$ -</b>      | <b>\$ -</b> | <b>\$ -</b> | <b>\$ -</b> | <b>1.3</b>   | <b>1.3</b> |                     |                             |                     |
| <b>Agency Biennial Total (GR + GR-D)</b> |                          |  | <b>\$ 83,009</b> |             |             |             |  |            |                     |                             |                     |

**Strategy Code / Name**  
**Explanation of Impact to Programs and Revenue Collections**

**A.1.1 SYSTEM OFFICE OPERATIONS**

This reduction will necessitate decreases in the administrative area, impacting administrative services provided to Texas Tech University and Texas Tech University Health Sciences Center. Sufficient funding is needed to cover the growth in services by the administrative core functions of the university and health sciences center. A reduction negatively impacts the quality of services provided.

**SCHEDULE 7: CURRENT AND LOCAL FUND (GENERAL) BALANCES**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **9:22:34AM**  
PAGE: **1 of 1**

Agency code: **768** Agency name: **TEXAS TECH UNIV SYS ADMIN**

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|  | <b>Actual<br/>2005</b> | <b>Actual<br/>2006</b> | <b>Budgeted<br/>2007</b> | <b>Estimated<br/>2008</b> | <b>Estimated<br/>2009</b> |
|--|------------------------|------------------------|--------------------------|---------------------------|---------------------------|
| 1. Balance of Current Fund in State Treasury | \$0                    | \$0                    | \$0                      | \$0                       | \$0                       |

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Schedule 8: PERSONNEL  
 80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
 TIME: **9:22:59AM**  
 PAGE: **1 of 1**

Agency code: **768** Agency name: **TEXAS TECH UNIV SYS ADMIN**

|                                   | Actual<br>2005      | Actual<br>2006      | Budgeted<br>2007    | Estimated<br>2008   | Estimated<br>2009   |
|-----------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| <b>Part A.</b>                    |                     |                     |                     |                     |                     |
| <b>FTE Postions</b>               |                     |                     |                     |                     |                     |
| E & G Non-Faculty Employees       | 157.8               | 122.7               | 120.2               | 120.2               | 120.2               |
| <b>SUBTOTAL, E&amp;G</b>          | <b>157.8</b>        | <b>122.7</b>        | <b>120.2</b>        | <b>120.2</b>        | <b>120.2</b>        |
| Other Funds Employees             | 112.0               | 78.2                | 80.8                | 80.8                | 80.8                |
| <b>SUBTOTAL, NON-APPROPRIATED</b> | <b>112.0</b>        | <b>78.2</b>         | <b>80.8</b>         | <b>80.8</b>         | <b>80.8</b>         |
| <b>GRAND TOTAL</b>                | <b>269.8</b>        | <b>200.9</b>        | <b>201.0</b>        | <b>201.0</b>        | <b>201.0</b>        |
| <br>                              |                     |                     |                     |                     |                     |
| <b>Part B.</b>                    |                     |                     |                     |                     |                     |
| <b>Personnel Headcount</b>        |                     |                     |                     |                     |                     |
| E & G Non-Faculty Employees       | 170                 | 127                 | 127                 | 127                 | 127                 |
| <b>SUBTOTAL, E&amp;G</b>          | <b>170</b>          | <b>127</b>          | <b>127</b>          | <b>127</b>          | <b>127</b>          |
| Other Funds Employees             | 103                 | 93                  | 93                  | 93                  | 93                  |
| <b>SUBTOTAL, NON-APPROPRIATED</b> | <b>103</b>          | <b>93</b>           | <b>93</b>           | <b>93</b>           | <b>93</b>           |
| <b>GRAND TOTAL</b>                | <b>273</b>          | <b>220</b>          | <b>220</b>          | <b>220</b>          | <b>220</b>          |
| <br>                              |                     |                     |                     |                     |                     |
| <b>PART C.</b>                    |                     |                     |                     |                     |                     |
| <b>Salaries</b>                   |                     |                     |                     |                     |                     |
| E & G Non-Faculty Employees       | \$8,137,236         | \$6,844,075         | \$5,716,868         | \$5,888,374         | \$6,065,025         |
| <b>SUBTOTAL, E&amp;G</b>          | <b>\$8,137,236</b>  | <b>\$6,844,075</b>  | <b>\$5,716,868</b>  | <b>\$5,888,374</b>  | <b>\$6,065,025</b>  |
| Other Funds Employees             | \$3,107,037         | \$5,853,878         | \$5,396,417         | \$5,558,309         | \$5,725,058         |
| <b>SUBTOTAL, NON-APPROPRIATED</b> | <b>\$3,107,037</b>  | <b>\$5,853,878</b>  | <b>\$5,396,417</b>  | <b>\$5,558,309</b>  | <b>\$5,725,058</b>  |
| <b>GRAND TOTAL</b>                | <b>\$11,244,273</b> | <b>\$12,697,953</b> | <b>\$11,113,285</b> | <b>\$11,446,683</b> | <b>\$11,790,083</b> |

**Schedule 10C: Revenue Capacity for Tuition Revenue Bond Projects**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 9:23:40AM  
PAGE: 1 of 2

Agency Code: **768** Agency Name: **Texas Tech University System Administration**

|   | <b>Act 2005</b>     | <b>Act 2006</b>     | <b>Bud 2007</b>     | <b>Est 2008</b>     | <b>Est 2009</b>     |
|---|---------------------|---------------------|---------------------|---------------------|---------------------|
| Gross Tuition   | \$66,637,275        | \$67,493,597        | \$68,008,947        | \$70,689,186        | \$70,794,777        |
| Less: Remissions and Exemptions   | (11,531,195)        | (12,400,712)        | (12,455,903)        | (12,557,617)        | (12,576,463)        |
| Less: Refunds   | (43,124)            | (30,052)            | (35,000)            | (35,000)            | (35,000)            |
| Less: Installment Payment Forfeits  | 0                   | 0                   | 0                   | 0                   | 0                   |
| Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)  | (7,862,900)         | (7,290,916)         | (7,305,498)         | (9,812,109)         | (9,826,749)         |
| Less: Statutory Tuition Increases (TX. Educ. Code Ann. Sec. 54.0512) (2005, 2006, 2007)   | 0                   | 0                   | 0                   | 0                   | 0                   |
| Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)                                      | 0                   | 0                   | 0                   | 0                   | 0                   |
| Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)            | 0                   | 0                   | 0                   | 0                   | 0                   |
| Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)   | (184,000)           | (226,000)           | (325,000)           | (429,000)           | (566,280)           |
| Plus: Tuition waived for students 55 years or older (TX. Educ. Code Ann. Sec. 54.0013)  | 0                   | 0                   | 0                   | 0                   | 0                   |
| Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)   | 82,992              | 89,365              | 93,300              | 102,650             | 112,900             |
| <b>Subtotal</b>   | <b>\$47,099,048</b> | <b>\$47,635,282</b> | <b>\$47,980,846</b> | <b>\$47,958,110</b> | <b>\$47,903,185</b> |
| Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act  | (156,980)           | (129,720)           | (120,000)           | 0                   | 0                   |
| Less: Transfer of Funds for Texas Public Education Grants Program (TX. Educ. Code Ann. Sec. 56c) and for Emergency Loans (TX. Educ. Code Ann. Sec. 56d) | (6,180,676)         | (6,419,526)         | (6,473,441)         | (6,482,776)         | (6,492,126)         |
| Less: Transfer of Funds (2%) for Emergency Loans (Medical School)   | (69,872)            | (70,014)            | (71,800)            | (71,800)            | (71,800)            |
| Less: Transfer of Funds for Repayment of Student Loans of Physicians (TX. Educ. Code Ann. Sec. 61.539)  | 0                   | 0                   | 0                   | 0                   | 0                   |
| Less: Statutory Tuition (TX. Educ. Code Ann. Sec. 54.051) Set aside for Doctoral Incentive Loan Repayment Program (TX. Educ. Code Ann. Sec. 56.095)     | (54,666)            | (58,898)            | (58,898)            | (58,898)            | (58,898)            |
| Less: Other Authorized Deductions   | 0                   | 0                   | 0                   | 0                   | 0                   |
| <b>Total Net Tuition Available to Pledge for Tuition Revenue Bonds</b>  | <b>\$40,636,854</b> | <b>\$40,957,124</b> | <b>\$41,256,707</b> | <b>\$41,344,636</b> | <b>\$41,280,361</b> |

**Schedule 10C: Revenue Capacity for Tuition Revenue Bond Projects**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 9:23:44AM  
PAGE: 2 of 2

Agency Code: **768**

Agency Name: **Texas Tech University System Administration**

|  | <b>Act 2005</b>        | <b>Act 2006</b>        | <b>Bud 2007</b>        | <b>Est 2008</b>        | <b>Est 2009</b>        |
|--|------------------------|------------------------|------------------------|------------------------|------------------------|
| Debt Service on Existing Tuition Revenue Bonds                           | \$ (10,168,727)        | \$ (16,632,444)        | \$ (16,758,415)        | \$ (16,216,722)        | \$ (16,232,079)        |
| Estimated Debt Service for Authorized but Unissued Tuition Revenue Bonds | 0                      | 0                      | 0                      | (7,828,603)            | (7,832,200)            |
| <b>Subtotal, Debt Service on Existing Authorizations</b>                 | <b>\$ (10,168,727)</b> | <b>\$ (16,632,444)</b> | <b>\$ (16,758,415)</b> | <b>\$ (24,045,325)</b> | <b>\$ (24,064,279)</b> |
| <b>TOTAL TUITION AVAILABLE FOR NEW AUTHORIZATIONS</b>                    | <b>\$30,468,127</b>    | <b>\$24,324,680</b>    | <b>\$24,498,292</b>    | <b>\$17,299,311</b>    | <b>\$17,216,082</b>    |
| <b>Debt Capacity Available for New Authorizations</b>                    | <b>\$321,000,000</b>   | <b>\$257,000,000</b>   | <b>\$258,000,000</b>   | <b>\$182,000,000</b>   | <b>\$181,500,000</b>   |

**SCHEDULE 11: SPECIAL ITEM INFORMATION**  
80TH REGULAR SESSION  
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/13/2006**  
Time: **9:24:10AM**  
Page: **1 of 2**

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Agency Code: **768**      Agency: **Texas Tech University System Administration**

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**Special Item: 1      Reinstatement Funding to 2002-2003 Biennium Appropriation Levels**

**(1) Year Special Item:**      2002

**(2) Mission of Special Item:**

Texas Tech University System Administration (TTUSA) is the executive arm of the system, including the Chancellor as the chief executive officer and other shared administrative services.

**(3) (a) Major Accomplishments to Date:**

TTUSA contributes value to the administrative efficiency of its components and value-added enhancement of the core academic enterprise.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

TTUSA will continue its pursuit of effective and efficient administrative services.

**(4) Funding Source Prior to Receiving Special Item Funding:**

N.A.

**(5) Non-general Revenue Sources of Funding:**

N.A.

**(6) Consequences of Not Funding:**

These reductions have necessitated decreases in the administrative area, impacting administrative services provided to Texas Tech University and Texas Tech University Health Sciences Center.

The goal is to reinstate funding for fiscal years 2008 and 2009 for the current 90% GR Limit of \$83,009 and to reinstate funding to 2003-03 levels of \$169,907. The biennium total requested is \$252,916.

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**SCHEDULE 11: SPECIAL ITEM INFORMATION**  
80TH REGULAR SESSION  
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/13/2006**  
Time: **9:24:14AM**  
Page: **2 of 2**

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Agency Code: **768**      Agency: **Texas Tech University System Administration**

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**Special Item: 2      Equitable Funding for System Administration**

**(1) Year Special Item:**      2008

**(2) Mission of Special Item:**

Texas Tech University System Administration (TTUSA) is the executive arm of the system, including the Chancellor as the chief executive officer and other shared administrative services.

**(3) (a) Major Accomplishments to Date:**

Texas Tech University System office contributes value to the administrative efficiency of its components and value-added enhancement of the core academic enterprise.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

TTUSA will continue its pursuit of effective and efficient administrative services.

**(4) Funding Source Prior to Receiving Special Item Funding:**

N.A.

**(5) Non-general Revenue Sources of Funding:**

N.A.

**(6) Consequences of Not Funding:**

Texas Tech System Administration requests funding that is at the same level of comparable systems within the State. Additional system appropriations would allow the components, Texas Tech University and Texas Tech University Health Sciences Center, to retain more funding for academic purposes.

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