

**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2012 and 2013

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**



Texas Tech University System Administration

October 18, 2010

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ADMINISTRATOR'S STATEMENT
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2010**
TIME: **9:34:30AM**
PAGE: **1 of 5**

Agency code: **768** Agency name: **Texas Tech University System Administration**

SYSTEM BACKGROUND

The Texas Tech University System (TTUS), created in 1999, is composed of one health related and two general academic institutions. The components are Texas Tech University System Administration (TTUSA); Texas Tech University (TTU); Texas Tech University Health Sciences Center (TTUHSC) and Angelo State University (ASU).

The System encompasses eight academic campuses and centers state-wide in Lubbock, Abilene, Amarillo, Boerne, Fredericksburg, Highland Lakes, Junction, and San Angelo; the eight locations of the health sciences campuses and centers are Lubbock, El Paso, Amarillo, Abilene, Dallas, Highland Lakes, Midland and Odessa. Total enrollment across all components is more than 40,000 students. Headquartered in Lubbock, the System's control and direction is vested in a nine-member Board of Regents. The Chancellor, appointed by the Board of Regents, is the chief executive officer of the Texas Tech University System.

The Texas Tech University System and its components employ approximately 18,000 faculty and staff. The annual combined budget of all components of the System totals more than \$1.46 billion; approximately \$377 million of these funds are provided by the state's General Revenue Fund and ARRA funds.

TTUSA is committed to providing the highest quality and most efficient resources and services to its components to assist them in meeting their strategic priorities and goals outlined for the next 10 years: increasing enrollment and promoting student success, strengthening academic quality and reputation, expanding and enhancing research and creative scholarship, furthering outreach and engagement, and increasing and maximizing resources.

INSTITUTIONAL ORGANIZATION

The Texas Tech University System is governed by a nine-member Board of Regents appointed by the Governor. The board reviews major issues and establishes policy for the System and its components. The following individuals are members of the Texas Tech University System Board of Regents:

BOARD OF REGENTS

Mr. Larry K. Anders	January 31, 2011	Dallas, Texas
Mr. Jerry E. Turner	January 31, 2013	Blanco, Texas
Mr. L. Frederick "Rick" Francis	January 31, 2013	El Paso, Texas
Mr. John Huffaker	January 31, 2015	Amarillo, Texas
Mr. Mickey L. Long	January 31, 2015	Midland, Texas
Mrs. Nancy Neal	January 31, 2015	Lubbock, Texas
Mr. John F. Scovell	January 31, 2013	Dallas, Texas
Mr. Daniel T. Serna	January 31, 2011	Arlington, Texas
Mr. Jeff Harris (student regent)	May 31, 2011	San Angelo, Texas

INCREASING ENROLLMENT AND PROMOTING STUDENT SUCCESS

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Sufficient funding is necessary to support the Texas Tech University System's goal of increasing enrollment and student success, which further aids in fulfilling the statewide goals of Closing the Gaps.

Texas Tech University's enrollment for the fall of 2009 was 30,049, an increase of 2,053 students or 7.3 percent over fall of 2006. The university set a new record for freshmen enrollment with 4,579 students and transfer student enrollment grew to 2,442 students. In fiscal year 2009-2010, TTU awarded more than 6,650 degrees, the largest number ever.

Angelo State University's enrollment for the 2009 fall semester was 6,383, an increase of 228 students or 3.7 percent over fall 2008. Hispanic enrollment exceeded 25 percent for the first time in the institution's history in the fall of 2009, allowing ASU to seek designation as a "Hispanic Serving Institution." In fiscal year 2009-2010, ASU conferred more than 900 bachelor's and master's degrees to its students, graduating one of the largest graduating classes ever.

Texas Tech University Health Sciences Center's spring 2010 enrollment number was 3,416, an increase of 800 students or 30.6 percent over fall 2007. In 2009, TTUHSC awarded 436 baccalaureate degrees in nursing, more than any other university in Texas. In the same year, the inaugural class enrolled in our second medical school, the Paul L. Foster School of Medicine in El Paso, which is the only four-year medical school on the U.S. and Mexico border. In fiscal year 2009-2010, TTUHSC graduated one of its largest classes ever with approximately 1,000 students receiving degrees.

STRENGTHENING ACADEMIC QUALITY AND REPUTATION

Graduates from our universities are known for their practicality, strong work ethic and ambition. The component institutions of the Texas Tech University System are preparing students for lifelong success personally, professionally and civically. Each university provides students with unique programs and opportunities to enhance their degree and gives them the skills necessary to be successful in life. Offering a well-rounded college experience and more than just a great education is just one of the many differential characteristics that set the Texas Tech University System apart from other university systems.

By strengthening academic quality and reputation, our institutions are proactive in assisting the State with its goal of increasing the number of nationally recognized programs.

In 2006, Texas Tech University was authorized to shelter a chapter of Phi Beta Kappa. Texas Tech's Phi Beta Kappa chapter received the highest possible rating for 2008-2009 from the national Phi Beta Kappa society and is now in consideration of the Exemplary Chapter Award. One of only three public universities in the state to house a chapter, Texas Tech's core programs in the liberal arts and sciences are now judged to be among the top 10 percent of all institutions in higher education. Texas Tech's School of Law led all Texas law schools on the July 2009 and February 2010 bar examinations with first-time pass rates of 94.52 percent and 95.45 percent, respectively.

Angelo State University has a longstanding reputation for academic quality as exemplified by university acceptance rates that significantly exceed state averages for admission to medical, law and professional schools. ASU's young Honors Program has recorded a more than 90 percent acceptance rate for graduates who applied to graduate programs, medical schools or other professional schools. Angelo State's pre-med program boasts an average 52 percent medical school acceptance rate over the last four decades, compared to a statewide average of 35 percent. In 2010 for the 13th straight year, ASU students have maintained a 100 percent passing rate on the Texas Examination of Educator Standards (TExES) teacher certification test for secondary mathematics.

In the spring of 2008, the Texas Tech University Health Sciences Center Paul L. Foster School of Medicine in El Paso received accreditation from the Liaison Committee on Medical Education (LCME) and seated its charter class in the fall of 2009. For the third consecutive year, recent graduates from the School of Allied Health Sciences Master of Science

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Speech-Language Pathology (SLP) program achieved a 100 percent first-time pass rate on state licensure and national certification examination. The SLP program at TTUHSC is ranked No. 2 of the 17 Texas programs and in the top quartile of speech-language pathology programs in the nation by U.S. News and World Report.

EXPAND AND ENHANCE RESEARCH

The Texas Tech University System is committed to expanding the role of research at its component institutions, while maintaining its focus on quality undergraduate and graduate education. Each of our universities has research areas of unparalleled excellence that are leveraging their unique resources to provide solutions to complex problems impacting our nation and world. Research is essential to graduate education and has a dynamic impact on undergraduate programs. Being a leader in knowledge creation is critical to overall quality and prosperity in higher education. In 2009, Texas Tech University System-wide overall research expenditures approached \$130 million.

The Texas Tech University System is dedicated to recruiting research-oriented faculty. Hiring researchers with proven histories of accomplishment who can also perform in the classroom is one step in enhancing our research objectives. Improving the research productivity of the Texas Tech University System components will allow our institutions to increase federal dollars to further the research goal in Closing the Gaps.

Texas Tech University has established itself as a center of excellence in areas of specialized technology research. Advances in pulsed power, wind science and engineering, nanophotonics, and cotton genetics are attracting the attention of leading scientists and providing the resources to solve some of today's most pressing challenges. Continuing excellence in these research areas and enhancing work in others such as food safety, renewable energy, and integrative biosciences is one of the strategic aims of the Texas Tech University. Recognizing this commitment, the state's Emerging Technology Fund recently awarded Texas Tech and the National Institute for Renewable Energy \$8.4 million for the study of wind energy. The state's grant will be matched by contributions from the private sector, enabling world class research collaboration between federal agencies, the private sector and Texas Tech researchers.

As a teaching-focused institution, ASU stresses the importance of research in which students play an important role. Students work side-by-side with professors in externally funded projects in areas such as materials science, endangered flora, small mammal studies, and sheep and goat research. Internal funds are available to support individual student research projects across all disciplines as well as to support faculty research in which students may play an active role.

Research is an important component of any health sciences center and arguably the mission that is most important in determining the national status of a university. The Texas Tech University Health Sciences Center has expanded its research by increasing the number of National Institutes of Health (NIH) grants. Research efforts have been raised in recruiting NIH researchers for recognition nationally as an institution of academic excellence. In fiscal year 2010, TTUHSC has received the highest amount of NIH funding in its history.

In 2009, Texas Tech University Health Sciences Center expended more than \$31.3 million in research, a 63% increase since 2007. TTUHSC has also recently been the recipient of \$4.42 million in competitive grants for cancer research from the newly created Cancer Prevention and Research Institute of Texas.

The Texas Tech University System is dedicated to helping researchers introduce new discoveries to the marketplace and creating an additional source of revenue for the universities. At the System level, the Office of Technology Commercialization, coordinates intellectual property from researchers and brings it to the commercial market to provide an income stream for the university as well as the inventor.

FURTHER OUTREACH AND ENGAGEMENT

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As one of the largest employers in West Texas, the Texas Tech University System is an economic engine in the region. After a 2010 analysis of the current economic impact study, it has been determined that the System generates a total economic impact of \$2.27 billion per year for the region in which it operates. The System also helps sustain 27,777 jobs annually and has an annual household earnings impact of \$1.2 billion to the citizens of West Texas.

The impact of an educated population and workforce may be the single most important benefit an institution of higher education may provide. The annual contribution to the Texas workforce from the graduates of the component institutions in the Texas Tech University System is estimated to be over \$4.6 billion.

Research dollars are a particular type of investment that have both immediate impacts and longer lasting or dynamic impacts. Moreover, the economic impact of current research and development endeavors by Texas Tech University System faculty and students has both local impacts and far-reaching or cascading effects on the Texas economy. The state-wide economic impacts of research conducted by System faculty and students include: nearly 2,000 jobs created or sustained in the state, more than \$104 million in Texas household income, and nearly \$240 million in gross state output.

INCREASE AND MAXIMIZE RESOURCES

The System and its components are committed to utilizing the resources necessary to achieve its goals in combination with improved productivity, internal efficiencies and budget reallocations. In addition, the System will continue to share services across all institutions in order to further reduce expenses.

The Texas Tech University System currently performs or coordinates many collaborative functions between the four components including strategic planning, fundraising, legal counsel, audit services, governmental relations, facilities planning and construction, investments, cash and debt management, risk management, communication services, and technology commercialization.

Beginning May 1, 2009 all staff positions (including temporary employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment. These background checks are performed in accordance with Texas Education Code, Section 51.215 and Government Code, Section 411.097.

FUNDING PRIORITIES

A top priority for the 82nd Legislature will be to provide sufficient funds to cover the growth in enrollments statewide and the resulting increased costs. With the 5 percent general revenue reductions made in FY 2010 and FY 2011 and the continuous growth in enrollments statewide, institutions of higher education are already straining not to affect their core operations. The formula strategies primarily fund the core functions of the universities and health sciences centers, such as instruction, administration and physical plant.

If sufficient funds are not added to the formula funding, there will be a negative impact on the quality of services provided through the core functions. A significant portion of this funding is allocated to faculty and staff salaries, while a very small portion is associated with other expenses such as maintenance/operations and other administrative costs. As a result, the ultimate impact will be in faculty and staff positions.

In order to retain current personnel levels and to support the strategic hiring of faculty and staff, the System will need adequate funding for salaries. Institutions of higher education made up 41 percent of the \$1.25 billion budget reductions taken in FY 2010 and FY 2011. Additional reductions in the FY 2012-2013 biennium with increased demand for our services will result in reductions of course offerings and services to the students.

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FY 2012-2013 Proposed 10% Reduction

Initial 5% Reduction

While management has met the challenges of the initial 5% reduction from the FY 2010 -2011 appropriation, an additional 5% reduction will lessen the options of management to maintain current service levels at the System. This reduction will result in the elimination of two positions in the functions that provide direct support to the components of the Texas Tech University System.

Second 5% Reduction

This additional reduction will eliminate management options and lead to the elimination of services and personnel that provide direct support to the components of the Texas Tech University System. This reduction will eliminate two additional positions.

EXCEPTIONAL ITEMS

	FY 2012	FY 2013
Restoration of Texas Tech University System 5% Reduction	\$29,779,806	U.B.

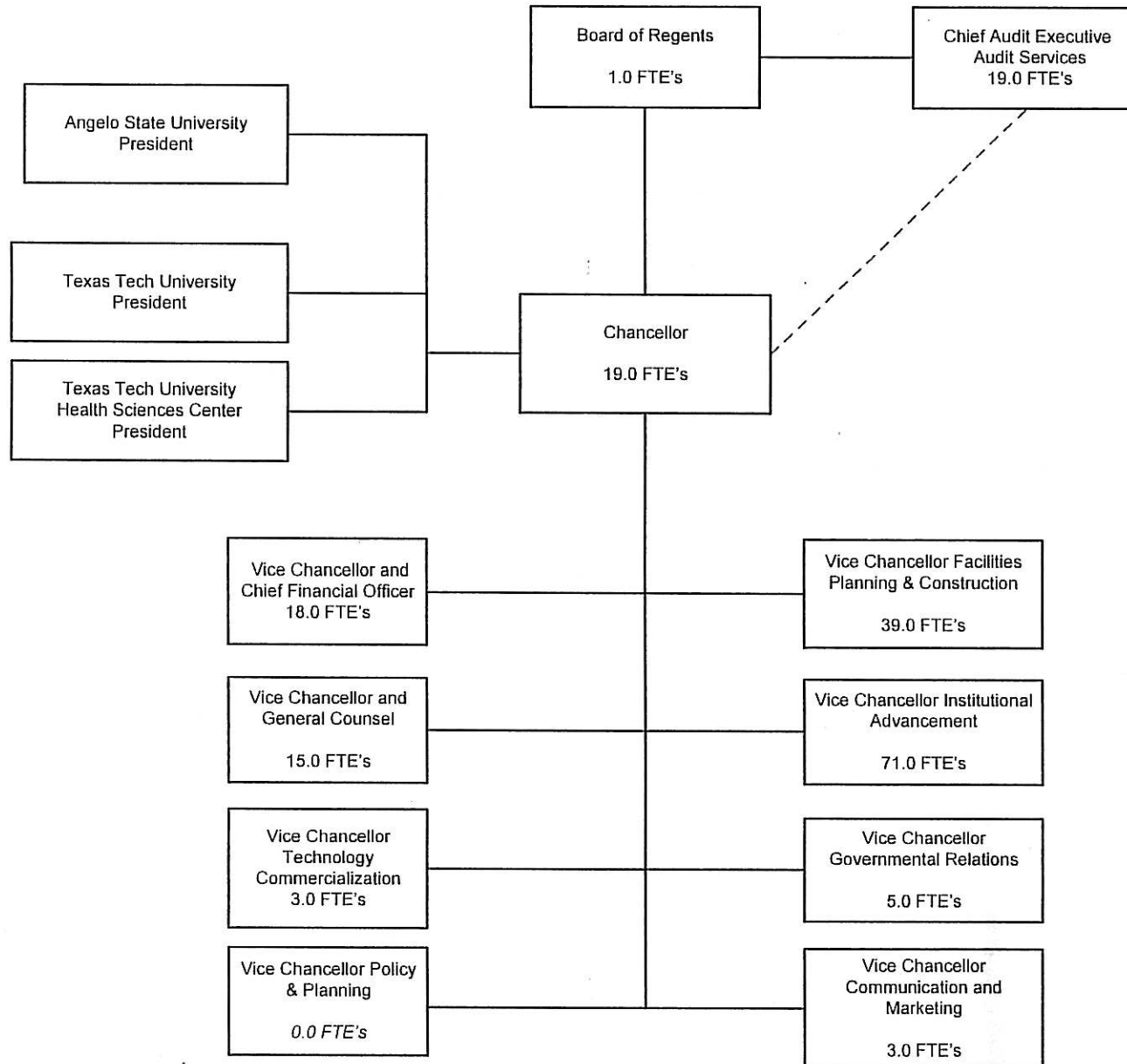
The Texas Tech University System has implemented the five percent reduction required of institutions of higher education for FY 2010 and FY 2011. The impact of this reduction has slowed hiring and has resulted in a small degradation of service, e.g. fewer staff to respond to service requests. The reduction has been managed to minimize its impact on the students and mission of the Texas Tech University System.

The reduction as proposed for FY 2012 and FY 2013 will present similar management issues. However, the reduction provides no margin for management response or reaction to special circumstances. In addition, expanded services for additional / new students will not be available. All three institutions of the Texas Tech University System will have exceptional growth in the Fall 2010, and it is a significant concern for how core services will be provided for the increasing base of qualified students.

In order to meet the demands of FY 2012 and FY 2013, the Texas Tech University System requests the restoration of the initial 5% reduction. This restoration is being requested for all components and will enable the System to provide the same level of basic services for all students in FY 2012 and FY 2013.

Texas Tech University System Administration 2011 Budget

Total FTE's – 193.0



2.A. SUMMARY OF BASE REQUEST BY STRATEGY
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DATE: 10/19/2010
TIME: 9:38:52AM

Agency code: 768 Agency name: Texas Tech University System Administration

Goal / Objective / STRATEGY	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
11 SYSTEM OFFICE OPERATIONS	415,047	1,950,000	1,850,000	1,900,000	1,900,000
TOTAL, GOAL 1	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
3 Provide Special Item Support					
5 Exceptional Item Request					
1 EXCEPTIONAL ITEM REQUEST	0	0	0	0	0
TOTAL, GOAL 3	\$0	\$0	\$0	\$0	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	415,047	1,950,000	1,850,000	1,900,000	1,900,000
SUBTOTAL	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
TOTAL, METHOD OF FINANCING	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2010**
TIME: **9:41:34AM**

Agency code: 768		Agency name: Texas Tech University System Administration			
METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
<u>GENERAL REVENUE</u>					
<u>1</u> General Revenue Fund					
<i>REGULAR APPROPRIATIONS</i>					
Regular Appropriations from MOF Table (2008-09 GAA)					
	\$415,047	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table (2010-11 GAA)					
	\$0	\$2,000,000	\$2,000,000	\$1,900,000	\$1,900,000
<i>LAPSED APPROPRIATIONS</i>					
Five Percent Reduction (2010-11 Biennium)					
	\$0	\$(50,000)	\$(150,000)	\$0	\$0
TOTAL, General Revenue Fund	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
TOTAL, ALL GENERAL REVENUE	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
GRAND TOTAL	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000

2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2010**
TIME: **9:41:34AM**

Agency code: 768	Agency name: Texas Tech University System Administration				
METHOD OF FINANCING	Exp 2009	Est 2010	Bud 2011	Req 2012	Req 2013
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2008-09 GAA)	120.2	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2010-11 GAA)	0.0	100.0	100.0	95.0	95.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
UNATHORIZED NUMBER OVER (BELOW) CAP	(25.2)	(5.0)	(5.0)	0.0	0.0
TOTAL, ADJUSTED FTES	95.0	95.0	95.0	95.0	95.0
NUMBER OF 100% FEDERALLY FUNDED FTES					
	0.0	0.0	0.0	0.0	0.0

2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2010**
TIME: **9:44:48AM**

Agency code:	768	Agency name:	Texas Tech University System Administration			
OBJECT OF EXPENSE		Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
1001	SALARIES AND WAGES	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
OOE Total (Excluding Riders)		\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
OOE Total (Riders)						
Grand Total		\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000

2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST

82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2010

TIME : 9:52:50AM

Agency code: 768

Agency name: Texas Tech University System Administration

Priority	Item	2012			2013			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Restoration of Reduction	\$29,779,806	\$29,779,806	74.0	\$0	\$0	74.0	\$29,779,806	\$29,779,806
Total, Exceptional Items Request		\$29,779,806	\$29,779,806	74.0	\$0	\$0	74.0	\$29,779,806	\$29,779,806
Method of Financing									
	General Revenue	\$29,779,806	\$29,779,806		\$0	\$0		\$29,779,806	\$29,779,806
	General Revenue - Dedicated								
	Federal Funds								
	Other Funds								
		\$29,779,806	\$29,779,806		\$0	\$0		\$29,779,806	\$29,779,806
Full Time Equivalent Positions				74.0				74.0	
Number of 100% Federally Funded FTEs				0.0				0.0	

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
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DATE : 10/19/2010
TIME : 9:54:49AM

Agency code:	768	Agency name:	Texas Tech University System Administration			
Goal/Objective/STRATEGY	Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
1 Provide Instructional and Operations Support						
1 <i>Provide Instructional and Operations Support</i>						
11 SYSTEM OFFICE OPERATIONS	\$1,900,000	\$1,900,000	\$0	\$0	\$1,900,000	\$1,900,000
TOTAL, GOAL 1	\$1,900,000	\$1,900,000	\$0	\$0	\$1,900,000	\$1,900,000
3 Provide Special Item Support						
5 <i>Exceptional Item Request</i>						
1 EXCEPTIONAL ITEM REQUEST	0	0	29,779,806	0	29,779,806	0
TOTAL, GOAL 3	\$0	\$0	\$29,779,806	\$0	\$29,779,806	\$0
TOTAL, AGENCY STRATEGY REQUEST	\$1,900,000	\$1,900,000	\$29,779,806	\$0	\$31,679,806	\$1,900,000
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$1,900,000	\$1,900,000	\$29,779,806	\$0	\$31,679,806	\$1,900,000

2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/19/2010
TIME : 9:54:49AM

Agency code: 768		Agency name: Texas Tech University System Administration					
Goal/Objective/STRATEGY		Base 2012	Base 2013	Exceptional 2012	Exceptional 2013	Total Request 2012	Total Request 2013
General Revenue Funds:							
1 General Revenue Fund		\$1,900,000	\$1,900,000	\$29,779,806	\$0	\$31,679,806	\$1,900,000
		\$1,900,000	\$1,900,000	\$29,779,806	\$0	\$31,679,806	\$1,900,000
TOTAL, METHOD OF FINANCING		\$1,900,000	\$1,900,000	\$29,779,806	\$0	\$31,679,806	\$1,900,000
FULL TIME EQUIVALENT POSITIONS		95.0	95.0	74.0	74.0	169.0	169.0

3.A. STRATEGY REQUEST
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Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2010
TIME: 9:57:37AM

Agency code: **768** Agency name: **Texas Tech University System Administration**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	11	System Office Operations	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
TOTAL, OBJECT OF EXPENSE		\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
Method of Financing:						
1	General Revenue Fund	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,900,000	\$1,900,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
FULL TIME EQUIVALENT POSITIONS:		95.0	95.0	95.0	95.0	95.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Tech University System (TTUS) is composed of one health related and two general academic institutions. The components are Texas Tech University System Administration; Texas Tech University; Texas Tech University Health Sciences Center and Angelo State University.

The System encompasses eight academic campuses and centers in Lubbock, Abilene, Amarillo, Boerne, Fredericksburg, Highland Lakes, Junction, San Angelo and Seville, Spain; the seven locations of the health sciences campuses and centers are Lubbock, El Paso, Amarillo, Abilene, Permian Basin, Dallas and Highland Lakes. The total enrollment across all components is more than 40,000 students. Headquartered in Lubbock, the System's control and direction is vested in a ten-member Board of Regents. The Chancellor, appointed by the Board of Regents, is the chief executive officer of the Texas Tech University System.

The System is committed to providing the highest quality and most efficient resources and services to its components. The System strives to increase accessibility, enhance instruction and infrastructure formula funding, provide necessary financial aid, produce and commercialize technologies, strengthen academic quality and reputation, advance research, improve productivity and efficiency, and further the quality and availability of health care for all Texans.

3.A. STRATEGY REQUEST
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DATE: 10/19/2010
TIME: 9:57:37AM

Agency code: **768** Agency name: **Texas Tech University System Administration**

GOAL:	1	Provide Instructional and Operations Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Instructional and Operations Support	Service Categories:		
STRATEGY:	11	System Office Operations	Service:	19	Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

Sufficient funding is necessary to support the Texas Tech University System's goal of increased enrollment and to further aid in fulfilling the statewide goals of Closing the Gaps.

Graduates from our universities are known for their practicality, strong work ethic and ambition. The component institutions of the Texas Tech University System are preparing students for lifelong success personally, professionally and civically.

As the cost of a four-year college education rises, universities must find ways to keep deserving families from being priced out of a quality education. The Texas Tech University System is committed to keeping higher education affordable and accessible to all families by providing necessary financial resources.

The Texas Tech University Health Sciences Center prides itself on investigating and treating complex health issues—especially those affecting historically underserved populations.

Each of our universities has research areas of unparalleled excellence that are leveraging their unique resources to provide solutions to complex problems impacting our nation and world.

The System and its components are committed to utilizing the resources necessary to achieve its goals in combination with improved productivity, internal efficiencies and budget reallocations. In addition, the System will continue to share services across all institutions in order to reduce expenses.

3.A. STRATEGY REQUEST
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2010
TIME: 9:57:37AM

Agency code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 3 Provide Special Item Support
OBJECTIVE: 5 Exceptional Item Request
STRATEGY: 1 Exceptional Item Request

Statewide Goal/Benchmark: 2 0
Service Categories:
Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2009	Est 2010	Bud 2011	BL 2012	BL 2013
Objects of Expense:						
1001	SALARIES AND WAGES	\$0	\$0	\$0	\$0	\$0
2005	TRAVEL	\$0	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$0	\$0	\$0	\$0	\$0
Method of Financing:						
1	General Revenue Fund	\$0	\$0	\$0	\$0	\$0
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$0	\$0	\$0	\$0	\$0
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$0	\$0
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$0	\$0	\$0	\$0	\$0
FULL TIME EQUIVALENT POSITIONS:		0.0	0.0	0.0	0.0	0.0
STRATEGY DESCRIPTION AND JUSTIFICATION:						
EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:						

3.A. STRATEGY REQUEST
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2010
TIME: 9:57:09AM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
METHODS OF FINANCE (INCLUDING RIDERS):				\$1,900,000	\$1,900,000
METHODS OF FINANCE (EXCLUDING RIDERS):	\$415,047	\$1,950,000	\$1,850,000	\$1,900,000	\$1,900,000
FULL TIME EQUIVALENT POSITIONS:	95.0	95.0	95.0	95.0	95.0

4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/19/2010**
TIME: **9:59:41AM**

Agency code: **768**

Agency name:

Texas Tech University System Administration

CODE	DESCRIPTION	Excp 2012	Excp 2013
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Item Name: Restoration of Texas Tech University System 5% Reduction

Item Priority: 1

Includes Funding for the Following Strategy or Strategies: 03-05-01 Exceptional Item Request

OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	14,939,354	0
2005	TRAVEL	1,054,460	0
2009	OTHER OPERATING EXPENSE	9,760,992	0
5000	CAPITAL EXPENDITURES	4,025,000	0
TOTAL, OBJECT OF EXPENSE		\$29,779,806	\$0

METHOD OF FINANCING:

1	General Revenue Fund	29,779,806	0
TOTAL, METHOD OF FINANCING		\$29,779,806	\$0

FULL-TIME EQUIVALENT POSITIONS (FTE):

	74.00	74.00
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DESCRIPTION / JUSTIFICATION:

The Texas Tech University System has implemented the five percent reduction required of institutions of higher education for FY 2010 and FY 2011. The impact of this reduction has slowed hiring and has resulted in a small degradation of service, e.g. fewer staff to respond to service requests. The reduction has been managed to minimize its impact on the students and mission of the Texas Tech University System.

The reduction as proposed for FY 2012 and FY 2013 will present similar management issues and is manageable by the System. However, the reduction provides no margin for management response or reaction to special circumstances. In addition, expanded services for additional / new students will not be available. All three institutions of the Texas Tech University System will have exceptional growth in the Fall, 2010, and it is a significant concern for how core services will be provided for the increasing base of qualified students.

EXTERNAL/INTERNAL FACTORS:

In order to meet the demands of FY 2012 and FY 2013, the Texas Tech University System requests the restoration of the initial 5% reduction. This restoration is being requested for all components and will enable the System to provide the same level of basic services for all students in FY 2012 and FY 2013.

4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

DATE: 10/19/2010

82nd Regular Session, Agency Submission, Version 1

TIME: 10:01:03AM

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 768 Agency name: Texas Tech University System Administration

Code	Description	Excp 2012	Excp 2013
Item Name:		Restoration of Texas Tech University System 5% Reduction	
Allocation to Strategy:		3-5-1 Exceptional Item Request	
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	14,939,354	0
2005	TRAVEL	1,054,460	0
2009	OTHER OPERATING EXPENSE	9,760,992	0
5000	CAPITAL EXPENDITURES	4,025,000	0
TOTAL, OBJECT OF EXPENSE		\$29,779,806	\$0
METHOD OF FINANCING:			
1	General Revenue Fund	29,779,806	0
TOTAL, METHOD OF FINANCING		\$29,779,806	\$0
FULL-TIME EQUIVALENT POSITIONS (FTE):		74.0	74.0

4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/19/2010
TIME: 10:02:31AM

Agency Code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 - 0

OBJECTIVE: 5 Exceptional Item Request

Service Categories:

STRATEGY: 1 Exceptional Item Request

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2012	Excp 2013
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OBJECTS OF EXPENSE:

1001	SALARIES AND WAGES	14,939,354	0
2005	TRAVEL	1,054,460	0
2009	OTHER OPERATING EXPENSE	9,760,992	0
5000	CAPITAL EXPENDITURES	4,025,000	0
Total, Objects of Expense		\$29,779,806	\$0

METHOD OF FINANCING:

1	General Revenue Fund	29,779,806	0
Total, Method of Finance		\$29,779,806	\$0

FULL-TIME EQUIVALENT POSITIONS (FTE):	74.0	74.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Restoration of Texas Tech University System 5% Reduction

6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/19/2010**Time: **10:03:45AM**

Agency Code: **768** Agency: **Texas Tech University System Administration**

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS**A. Fiscal Year 2008 - 2009 HUB Expenditure Information**

Statewide HUB Goals	Procurement Category	% Goal	<u>HUB Expenditures FY 2008</u>			<u>Total Expenditures FY 2008</u>		<u>HUB Expenditures FY 2009</u>			<u>Total Expenditures FY 2009</u>	
			% Actual	Diff	Actual \$			% Actual	Diff	Actual \$		
11.9%	Heavy Construction	0.0 %	0.0%	0.0%	\$0	\$0		0.0 %	0.0%	\$0		\$0
26.1%	Building Construction	0.0 %	2.7%	2.7%	\$2,723	\$101,095		0.0 %	0.0%	\$0		\$120
57.2%	Special Trade Construction	0.0 %	0.0%	0.0%	\$0	\$330		0.0 %	0.0%	\$0		\$0
20.0%	Professional Services	0.0 %	0.0%	0.0%	\$0	\$5,000		0.0 %	0.0%	\$0		\$278,367
33.0%	Other Services	33.0 %	1.2%	-31.8%	\$9,287	\$762,792		33.0 %	1.0%	\$7,983		\$806,757
12.6%	Commodities	12.6 %	41.4%	28.8%	\$158,872	\$384,145		12.6 %	29.1%	\$96,914		\$332,492
	Total Expenditures		13.6%		\$170,882	\$1,253,362		7.4%		\$104,897		\$1,417,736

B. Assessment of Fiscal Year 2008 - 2009 Efforts to Meet HUB Procurement Goals**Attainment:**

Texas Tech University System Administration (TTUSA) exceeded the Statewide Goal of 12.60% by 28.7% in 2008 and by 16.5% in 2009.

Applicability:

TTUSA's primary expenditures categories are Other Services and Commodity Purchasing.

Factors Affecting Attainment:

The majority of purchases from TTUSA are either internal office needs or many are proprietary purchases. Availability and capability of HUB vendors in West Texas is very limited for some commodities and services. TTU System will continue to require prime contractors to put forth a good faith effort in establishing contracts with HUBs as subcontractor, suppliers, and material providers for contracts of \$100,000 or more.

"Good-Faith" Efforts:

TTUSA provides HUB support to TTUS departments by providing vendor names to purchasing employees on a monthly basis.

Attendance at Economic Opportunity Forums throughout the state to acquaint HUB vendors how to locate opportunities with TTUS, TTU, TTUHSC, and ASU. Participates in presentations to Small Business Development programs. Assists HUB firms with certification.

Require prime contractors to put forth a good faith effort on all contracts and assist with HUB Subcontracting Plan questions.

Schedule 6.H. ESTIMATED FUNDS OUTSIDE THE GAA
80th Regular Session, Agency Submission, Version 1

Texas Tech University System Administration (768)
Estimated Funds Outside the GAA
2010-11 and 2012-13 Biennia

	2010 - 2011 Biennium				2012 - 2013 Biennium			
	<u>FY 2010 Revenue</u>	<u>FY 2011 Revenue</u>	<u>Biennium Total</u>	<u>Percent of Total</u>	<u>FY 2012 Revenue</u>	<u>FY 2013 Revenue</u>	<u>Biennium Total</u>	<u>Percent of Total</u>
APPROPRIATED SOURCES (INSIDE THE GAA)								
State Appropriations	\$ 2,000,000	\$ 1,900,000	\$ 3,900,000	11.70%	\$ 1,900,000	\$ 1,900,000	\$ 3,800,000	11.52%
State Grants and Contracts			-				-	
Research Excellence Funds (URF/TEF)			-				-	
Higher Education Assistance Funds			-				-	
Available University Fund			-				-	
Tuition and Fees (net of Discounts and Allowances)			-				-	
Federal Grants and Contracts			-				-	
Endowment and Interest Income			-				-	
Local Government Grants and Contracts			-				-	
Private Gifts and Grants			-				-	
Sales and Services of Educational Activities (net)			-				-	
Sales and Services of Hospitals (net)			-				-	
Other Income			-				-	
Total	<u>2,000,000</u>	<u>1,900,000</u>	<u>3,900,000</u>	<u>11.70%</u>	<u>1,900,000</u>	<u>1,900,000</u>	<u>3,800,000</u>	<u>11.52%</u>
NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)								
State Grants and Contracts			-				-	
Tuition and Fees (net of Discounts and Allowances)			-				-	
Federal Grants and Contracts			-				-	
Endowment and Interest Income	4,615,293	4,550,000	\$ 9,165,293	27.49%	4,550,000	4,550,000	\$ 9,100,000	27.58%
Local Government Grants and Contracts			-				-	
Private Gifts and Grants			-				-	
Sales and Services of Educational Activities (net)			-				-	
Sales and Services of Hospitals (net)			-				-	
Professional Fees (net)	2,598,306	2,598,306	\$ 5,196,612	15.59%	2,598,306	2,598,306	\$ 5,196,612	15.75%
Auxiliary Enterprises (net)			-				-	
Transfers From Components - Appropriated Funds	3,638,213	3,456,302	\$ 7,094,515	21.28%	3,456,302	3,456,302	\$ 6,912,604	20.95%
Other Income	<u>3,992,760</u>	<u>3,992,760</u>	<u>\$ 7,985,520</u>	<u>23.95%</u>	<u>3,992,760</u>	<u>3,992,760</u>	<u>\$ 7,985,520</u>	<u>24.20%</u>
Total	<u>14,844,572</u>	<u>14,597,368</u>	<u>\$ 29,441,940</u>	<u>88.30%</u>	<u>14,597,368</u>	<u>14,597,368</u>	<u>\$ 29,194,736</u>	<u>88.48%</u>
TOTAL SOURCES	<u>\$ 16,844,572</u>	<u>\$ 16,497,368</u>	<u>\$ 33,341,940</u>	<u>100.0%</u>	<u>\$ 16,115,619</u>	<u>\$ 16,115,619</u>	<u>\$ 32,994,736</u>	<u>100.0%</u>

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/19/2010
Time: 10:15:13AM

Agency code: **768** Agency name: **Texas Tech University System Administration**

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
1 Reduce Operational Expenses - 5%							
Category: Administrative - Operating Expenses							
Item Comment: While management has met the challenges of the initial 5% reduction from the FY 2010 -2011 appropriation, an additional 5% reduction will lessen the options of management to maintain current service levels at the System. This reduction will result in the elimination of two positions in the functions that provide direct support to the components of the Texas Tech University System.							
Strategy: 1-1-11 System Office Operations							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$95,000	\$95,000	\$190,000	
General Revenue Funds Total	\$0	\$0	\$0	\$95,000	\$95,000	\$190,000	
Item Total	\$0	\$0	\$0	\$95,000	\$95,000	\$190,000	
FTE Reductions (From FY 2012 and FY 2013 Base Request)							
2 Reduce Operating Expenses - 10%							
Category: Administrative - Operating Expenses							
Item Comment: This additional reduction will eliminate management options and lead to the elimination of services and personnel that provide direct support to the components of the Texas Tech University System. This reduction will eliminate 2 additional positions.							
Strategy: 1-1-11 System Office Operations							
<u>General Revenue Funds</u>							
1 General Revenue Fund	\$0	\$0	\$0	\$95,000	\$95,000	\$190,000	
General Revenue Funds Total	\$0	\$0	\$0	\$95,000	\$95,000	\$190,000	
Item Total	\$0	\$0	\$0	\$95,000	\$95,000	\$190,000	
FTE Reductions (From FY 2012 and FY 2013 Base Request)							
AGENCY TOTALS							
General Revenue Total				\$190,000	\$190,000	\$380,000	\$380,000

6.I 10 PERCENT BIENNIAL BASE REDUCTION OPTIONS
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/19/2010
Time: 10:15:13AM

Agency code: 768 Agency name: Texas Tech University System Administration

Item Priority and Name/ Method of Financing	REVENUE LOSS			REDUCTION AMOUNT			TARGET
	2012	2013	Biennial Total	2012	2013	Biennial Total	
Agency Grand Total	\$0	\$0	\$0	\$190,000	\$190,000	\$380,000	
Difference, Options Total Less Target							
Agency FTE Reductions (From FY 2012 and FY 2013 Base Request)							

Schedule 2: Grand Total Educational, General and Other Funds

DATE: 10/19/2010

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 10:17:00AM

PAGE: 1 of 2

Agency Code: 768 Agency Name: Texas Tech University System Administration

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Balances as of Beginning of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
General Revenue Appropriations					
Direct Appropriations	418,047	2,000,000	2,000,000	1,900,000	1,900,000
Transfer from Office of the Governor Deficiency and Emergency Grants	0	0	0	0	0
Less: General Revenue Appropriations Lapsed	0	(50,000)	(150,000)	0	0
Plus: Additional General Revenue through Budget Execution	0	0	0	0	0
Less: ARRA Formula Swap	0	0	0	0	0
Other (Itemize)					
Subtotal, General Revenue Appropriations	418,047	1,950,000	1,850,000	1,900,000	1,900,000
Other Educational and General Income	0	0	0	0	0
Other Appropriated Funds Income					
Health-related Institutions Patient Income (medical, dental, other)	0	0	0	0	0
Interagency contracts	0	0	0	0	0
Tobacco - Related Funds	0	0	0	0	0
ARRA Formula Swap	0	0	0	0	0
Other (Itemize)					
TOTAL, EDUCATIONAL AND GENERAL APPROPRIATIONS	418,047	1,950,000	1,850,000	1,900,000	1,900,000
General Revenue Transfers					
Transfer from Coordinating Board for Advanced Research Program	0	0	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2009, 2010, 2011)	0	0	0	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2009, 2010, 2011)	0	0	0	0	0
Other (Itemize)					
Other: Fifth Year Accounting Scholarship	0	0	0	0	0

Schedule 2: Grand Total Educational, General and Other Funds

DATE: 10/19/2010

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 10:17:00AM

PAGE: 2 of 2

Agency Code: 768 Agency Name: Texas Tech University System Administration

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Texas Grants	0	0	0	0	0
Less: Transfer to System Administration	0	0	0	0	0
B-on-Time Program	0	0	0	0	0
Subtotal, General Revenue Transfers	0	0	0	0	0
General Revenue HEF for Operating Expenses	0	0	0	0	0
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2009, 2010, 2011)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	0	0	0	0	0
Transfer from Coordinating Board for Incentive Funding	0	0	0	0	0
ARRA Article XII Section 25 Special Item Appropriations	0	0	0	0	0
Other (Itemize)					
Other Deductions (Itemize)					
Decrease Capital Projects - Educational and General Funds	0	0	0	0	0
Other (Itemize)					
Total Funds	418,047	1,950,000	1,850,000	1,900,000	1,900,000
Less: Balances as of End of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
Grand Total, Educational, General and Other Funds	418,047	1,950,000	1,850,000	1,900,000	1,900,000
Designated Tuition (Sec. 54.0513)	0	0	0	0	0
Indirect Cost Recovery (Sec. 145.001(d))	0	0	0	0	0

Schedule 3A: Staff Group Insurance Data Elements (ERS)
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/19/2010**
Time: **10:20:22AM**
Page: **1** of **3**

Agency Code: **768** Agency Code: **Texas Tech University System Administration**

E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
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GR & GR-D Percentages

GR %	100.00%
GR-D %	0.00%
Total Percentage	100.00%

FULL TIME ACTIVES

1a Employee Only	36	36	0	36	35
2a Employee and Children	21	21	0	21	21
3a Employee and Spouse	15	15	0	15	4
4a Employee and Family	18	18	0	18	14
5a Eligible, Opt Out	0	0	0	0	0
6a Eligible, Not Enrolled	5	5	0	5	2
Total for This Section	95	95	0	95	76

PART TIME ACTIVES

1b Employee Only	1	1	0	1	0
2b Employee and Children	1	1	0	1	0
3b Employee and Spouse	1	1	0	1	0
4b Employee and Family	1	1	0	1	0
5b Eligible, Opt Out	0	0	0	0	0
6b Eligible, Not Enrolled	2	2	0	2	3
Total for This Section	6	6	0	6	3

Total Active Enrollment	101	101	0	101	79
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Schedule 3A: Staff Group Insurance Data Elements (ERS)
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/19/2010**
Time: **10:20:22AM**
Page: **2** of **3**

Agency Code: **768** Agency Code: **Texas Tech University System Administration**

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	36	36	0	36	35
2e Employee and Children	21	21	0	21	21
3e Employee and Spouse	15	15	0	15	4
4e Employee and Family	18	18	0	18	14
5e Eligible, Opt Out	0	0	0	0	0
6e Eligible, Not Enrolled	5	5	0	5	2
Total for This Section	95	95	0	95	76

Schedule 3A: Staff Group Insurance Data Elements (ERS)

82nd Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/19/2010**Time: **10:20:22AM**Page: **3** of **3**Agency Code: **768**Agency Code: **Texas Tech University System Administration**

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	37	37	0	37	35
2f Employee and Children	22	22	0	22	21
3f Employee and Spouse	16	16	0	16	4
4f Employee and Family	19	19	0	19	14
5f Eligible, Opt Out	0	0	0	0	0
6f Eligible, Not Enrolled	7	7	0	7	5
Total for This Section	101	101	0	101	79

SCHEDULE 4: COMPUTATION OF OASI
82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: **10/19/2010**
Time: **10:22:19AM**
Page: **1 of 1**

Agency Code: **768** Agency: **Texas Tech University System Administration**

	Actual Salaries & Wages 2009	Actual Salaries & Wages 2010	Budgeted Salaries & Wages 2011	Estimated Salaries & Wages 2012	Estimated Salaries & Wages 2013
Gross Educational & General Payroll - Subject to OASI	\$5,081,670	\$4,718,299	\$4,812,665	\$4,812,665	\$4,812,665
FTE Employees - Subject to OASI	76.0	78.0	78.0	78.0	78.0
Average Salary (Gross Payroll / FTE Employees)	\$66,864	\$60,491	\$61,701	\$61,701	\$61,701
Employer OASI Rate 7.65% x Average Salary	\$5,115	\$4,628	\$4,720	\$4,720	\$4,720
x FTE Employees	76.0	78.0	78.0	78.0	78.0
Grand Total, OASI	\$388,740	\$360,984	\$368,160	\$368,160	\$368,160

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI	% to Total	Allocation of OASI
General Revenue (% to Total)	1.0000	\$388,740	1.0000	\$360,984	1.0000	\$368,160	1.0000	\$368,160	1.0000	\$368,160
Other Educational and General Funds (% to Total)	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Health-related Institutions Patient Income (% to Total)	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Grand Total, OASI (100%)	1.0000	\$388,740	1.0000	\$360,984	1.0000	\$368,160	1.0000	\$368,160	1.0000	\$368,160

SCHEDULE 5: CALCULATION OF RETIREMENT PROPORTIONALITY AND ORP DIFFERENTIAL

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Automated Budget and Evaluation System of Texas (ABEST)

PAGE: **1** of **1**Agency code: **768**Agency name: **Texas Tech University System Administration**

Description	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Proportionality Amounts					
Gross Educational and General Payroll - Subject To Retirement	4,923,682	5,131,845	5,131,845	5,131,845	5,131,845
Employer Contribution to TRS Retirement Programs	198,346	228,153	229,753	229,753	229,753
Employer Contribution to ORP Retirement Programs	168,961	197,315	195,716	195,716	195,716
Proportionality Percentage					
General Revenue	100.00 %	100.00 %	100.00 %	100.00 %	100.00 %
Other Educational and General Income	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
Health-related Institutions Patient Income	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	0	0	0	0	0
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Gross Payroll Subject to Differential - Optional Retirement Program	16,497	9,740	9,740	9,740	9,740
Total Differential	120	89	89	89	89

SCHEDULE 7: CURRENT AND LOCAL FUND (GENERAL) BALANCES

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Agency code: **768** Agency name **TEXAS TECH UNIV SYS ADMIN**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
1. Balance of Current Fund in State Treasury	\$0	\$0	\$0	\$0	\$0

Schedule 8: PERSONNEL
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Agency code: **768** Agency name: **TEXAS TECH UNIV SYS ADMIN**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	95.0	95.0	95.0	95.0	95.0
Subtotal, Directly Appropriated Funds	95.0	95.0	95.0	95.0	95.0
Non Appropriated Funds Employees	68.0	68.0	68.0	68.0	68.0
Subtotal, Non-Appropriated	68.0	68.0	68.0	68.0	68.0
GRAND TOTAL	163.0	163.0	163.0	163.0	163.0
Part B.					
Personnel Headcount					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	105.0	105.0	105.0	105.0	105.0
Subtotal, Directly Appropriated Funds	105.0	105.0	105.0	105.0	105.0
Non Appropriated Funds Employees	77.0	87.0	88.0	88.0	88.0
Subtotal, Non-Appropriated	77.0	87.0	88.0	88.0	88.0
GRAND TOTAL	182.0	192.0	193.0	193.0	193.0

Schedule 8: PERSONNEL
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Agency code: **768** Agency name: **TEXAS TECH UNIV SYS ADMIN**

	Actual 2009	Actual 2010	Budgeted 2011	Estimated 2012	Estimated 2013
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	\$4,923,682	\$5,131,845	\$5,131,845	\$5,460,809	\$5,460,809
Subtotal, Directly Appropriated Funds	\$4,923,682	\$5,131,845	\$5,131,845	\$5,460,809	\$5,460,809
Non Appropriated Funds Employees	\$4,766,692	\$4,766,692	\$4,766,692	\$4,766,692	\$4,766,692
Subtotal, Non-Appropriated	\$4,766,692	\$4,766,692	\$4,766,692	\$4,766,692	\$4,766,692
GRAND TOTAL	\$9,690,374	\$9,898,537	\$9,898,537	\$10,227,501	\$10,227,501

SCHEDULE 10B: TUITION REVENUE BOND ISSUANCE HISTORY

82nd Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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Agency code: **768**

Agency name: **Texas Tech University System Administration**

Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2010	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$35,000,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		Feb 1 1984	\$1,500,000			
		Subtotal	\$35,000,000	\$0		
1993	\$16,000,000	Jan 5 1994	\$16,000,000			
		Subtotal	\$16,000,000	\$0		
1995	\$25,000,000	Feb 15 1995	\$25,000,000			
		Subtotal	\$25,000,000	\$0		
1997	\$62,500,000	May 4 1999	\$38,200,000			
		Jan 1 2002	\$24,300,000			
		Subtotal	\$62,500,000	\$0		
1998	\$20,000,000	Sep 19 1998	\$20,000,000			
		Subtotal	\$20,000,000	\$0		
2001	\$90,529,525	Sep 1 2003	\$90,529,525			
		Subtotal	\$90,529,525	\$0		
2002	\$16,917,550	Oct 17 2002	\$16,917,550			
		Subtotal	\$16,917,550	\$0		
2003	\$45,000,000	Feb 1 2006	\$45,000,000			
		Subtotal	\$45,000,000	\$0		
2006	\$89,810,000	Mar 3 2009	\$89,810,000			
		Subtotal	\$89,810,000	\$0		

Schedule 10C: Revenue Capacity for Tuition Revenue Bond Projects

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Agency Code: 768

Agency Name: Texas Tech University System Administration

	Act 2009	Act 2010	Bud 2011	Est 2012	Est 2013
Gross Tuition	\$88,285,178	\$94,787,720	\$99,441,945	\$100,551,680	\$101,758,624
Less: Remissions and Exemptions	(19,709,524)	(22,424,414)	(23,455,718)	(23,540,168)	(23,624,816)
Less: Refunds	(28,000)	(26,000)	(30,000)	(30,000)	(30,000)
Less: Installment Payment Forfeits	0	0	0	0	0
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
Subtotal	\$68,547,654	\$72,337,306	\$75,956,227	\$76,981,512	\$78,103,808
Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act	0	0	0	0	0
Less: Transfer of Funds for Texas Public Education Grants Program (TX. Educ. Code Ann. Sec. 56c) and for Emergency Loans (TX. Educ. Code Ann. Sec. 56d)	(7,916,524)	(7,845,631)	(8,816,240)	(8,965,268)	(9,107,870)
Less: Transfer of Funds (2%) for Emergency Loans (Medical School)	0	0	0	0	0
Less: Transfer of Funds for Repayment of Student Loans of Physicians (TX. Educ. Code Ann. Sec. 61.539)	(73,491)	(80,842)	(89,203)	(99,683)	(112,783)
Less: Statutory Tuition (TX. Educ. Code Ann. Sec. 54.051) Set aside for Doctoral Incentive Loan Repayment Program (TX. Educ. Code Ann. Sec. 56.095)	(60,386)	(68,634)	(68,634)	(68,934)	(69,234)
Less: Other Authorized Deductions	0	0	0	0	0
Total Net Tuition Available to Pledge for Tuition Revenue Bonds	\$60,497,253	\$64,342,199	\$66,982,150	\$67,847,627	\$68,813,921
Debt Service on Existing Tuition Revenue Bonds	(28,163,523)	(27,680,761)	(27,512,731)	(26,149,468)	(26,118,912)
Estimated Debt Service for Authorized but Unissued Tuition Revenue Bonds	0	0	0	0	0
Subtotal, Debt Service on Existing Authorizations	\$(28,163,523)	\$(27,680,761)	\$(27,512,731)	\$(26,149,468)	\$(26,118,912)
TOTAL TUITION AVAILABLE FOR NEW AUTHORIZATIONS	\$32,333,730	\$36,661,438	\$39,469,419	\$41,698,159	\$42,695,009
Debt Capacity Available for New Authorizations	\$371,038,817	\$422,045,706	\$454,551,054	\$480,309,463	\$491,830,443