

**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2020 and 2021

**Submitted to the
Office of Governor, Budget Division
and the Legislative Budget Board**



Texas Tech University System Administration

October 19, 2018

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Schedules Not Included

Agency Code: 768	Agency Name: Texas Tech University System Administration	Prepared By: David Mondt	Date: August 2018	Request Level: Baseline
For the schedules identified below, Texas Tech University System Administration either has no information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the Texas Tech University System Administration Legislative Appropriations Request for the 2020-2021 biennium.				
Number	Name			
2.D	Summary of Base Request Objective Outcomes			
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INSTITUTIONAL ORGANIZATION

The Texas Tech University System (TTU System or System) is governed by a nine-member Board of Regents appointed by the Governor of Texas. Members of the board serve six-year, staggered terms. In addition to the nine members, there also is a non-voting student regent who serves a one-year term and is appointed by the governor. A list of the current members of the Board of Regents is included in the organization chart.

The board reviews major issues and establishes policy for the System and its components. The board also appoints a chancellor who is the chief executive officer of the System. As chief executive officer, the chancellor carries out the policies of the System as determined by the regents and has direct responsibility for all aspects of oversight and management of the components.

SYSTEM OVERVIEW

The TTU System is a university system that provides high-quality education, innovative research, and exceptional health care to fields critical to the future of West Texas and the World.

One of the main objectives of the TTU System is to produce leaders who act on bold initiatives to improve lives.

The System is comprised of a central administration (Texas Tech University System Administration), two health-related institutions (Texas Tech University Health Sciences Center and Texas Tech University Health Sciences Center El Paso), and two general academic institutions (Texas Tech University and Angelo State University). While the component institutions of the TTU System have their own long-standing histories and traditions, the System itself was created in 1996 and formally established by the Texas Legislature in 1999. Within this relatively young history, the System has distinguished itself as a leader in higher education.

The TTU System Administration is headquartered in Lubbock, Texas. The System's primary campuses are in Lubbock, San Angelo, and El Paso, and its regional campuses are in Abilene, Amarillo, Cleburne, Dallas, Fredericksburg, Highland Lakes, Junction, Midland, Odessa, and Waco. The System has two international locations that include an academic site in Seville, Spain and its new TTU campus in San Jose, Costa Rica.

Collectively, the annual budget of all components of the TTU System totals more than \$2.1 billion, including all funds. Approximately \$572 million of these funds are appropriated by the Texas Legislature.

The TTU System is one of the largest employers in West Texas with 18,300 faculty and staff focused on advancing higher education, health care, research, and community outreach.

Student Enrollment and Success

The TTU System remains diligent in its efforts to improve higher education participation and educate the State's workforce while being mindful of the cost of education and the impact of student debt on the future success of our graduates.

As student enrollment continues to increase and demographics continue to shift, the TTU System is focused on student success programs to develop the hard and soft

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skills required for lifelong personal, professional, and civic success. In Fall 2017, total student enrollment reached record numbers with 52,161 students enrolled across all campuses. All four components experienced enrollment growth. The average annual growth across the System was 4.0 percent per year over the five-year period from 2012-2017. The System's Hispanic population grew as a percent of its total population to 27% in Fall 2017, up from 19% in Fall 2012. Both general academic institutions, ASU and TTU, are designated as Hispanic Serving Institutions by the U.S. Department of Education. Service learning and collaborative learning programs such as CALUE (Center for Active Learning and Undergraduate Engagement), CONNECT, and IPE (Interprofessional Practice and Education) engage students to improve technical skills and enhance interpersonal skills through academic, research, simulation, study abroad, internship, and service opportunities.

Degrees Awarded

System institutions are graduating some of their largest class sizes ever with over 11,800 degrees awarded in 2017. All combined more than 335,000 students and generations of leaders have graduated from TTU System institutions since the first degree was awarded in May 1927.

Research Excellence

The System's investment in research reached \$241 million in 2017, up from \$194 million in 2012, as part of the System's commitment to continuing to expand the research enterprise at each of its component institutions. Each component institution of the TTU System has research areas of unparalleled excellence, leveraging unique resources and collaborating to provide solutions to complex problems that impact the state, nation, and world. System components have strategically recruited research-oriented faculty. Hiring researchers with proven histories of accomplishment who can also perform in the classroom has been an important priority in enhancing the research objectives for both the System and State.

Patient Care

In 2017, TTU System provided service to 311,545 patients throughout the 108 counties that make up its vast service area. The System's health sciences centers pride themselves on investigating and treating complex health issues—especially those affecting historically underserved populations. Through partnerships with teaching hospitals, health care professionals, and local communities, the System's health-related institutions work towards solving the health care problems of West Texas, the U.S.-Mexico border region, and beyond through education, research, and practice.

Economic Impact

The impact of an educated population and workforce is one of the most important benefits of higher education. Another benefit is institutions of higher education are centers for innovation and serve as economic engines for their regions and the State. The last study conducted on the economic impact of the TTU System revealed the organization generated a total economic impact of \$9.98 billion for the State of Texas and helped sustain more than 40,775 jobs.

SYSTEM ADMINISTRATION, LEADERSHIP & SUPPORT

Under the governance of the Board of Regents, TTUSA provides its component institutions with leadership and services that support the attainment of each component's individual mission. TTUSA fosters a culture of collaboration, sustainability, and excellence throughout the system. The administration provides autonomy for the System's component institutions while ensuring accountability, uniformity, and consistency. TTUSA provides for the overall fiscal health and stability of the System and

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advances impactful initiatives in support of the System's component institutions.

The functions TTUSA performs and coordinates between the component institutions of the System have been strategically identified to maximize consolidated expertise and cost saving measures in areas that include strategic planning, academic affairs, governmental relations, communication services, fundraising, investments, cash and debt management, risk management, facilities planning and construction, legal counsel, and audit services. A 2018 analysis conservatively identified \$37 million in efficiencies and cost savings attributable to system services.

LEGISLATIVE PRIORITIES

Formula Funding – As the state's population grows and the demand for higher education increases, sufficient state funding is necessary to support the cost of delivering academic and support services. We respectfully request the State fully fund the formula rates and levels as recommended by the Coordinating Board which include increases for enrollment growth and inflation.

Non-Formula Support – Base formulas are an excellent mechanism to distribute state appropriations to institutions of higher education, however, there are legislative initiatives for higher education in which the formulas fail to provide enough support. We request the legislature continue funding current levels for non-formula support items and are encouraged by the recent report by the Joint Interim Committee on Higher Education Formula Funding.

Employee Benefits – Higher Education Group Insurance allocations are important to offering competitive benefits packages and attracting top talent, which are keys to productivity and efficiency. Any shortfall in the contribution from the State requires institutions of higher education to divert resources from other areas to fund this mandatory expense. We request the state contribution for institutions of higher education be funded at the full ERS premium rate.

Higher Education Funds – Buildings with classrooms, labs, and equipment in good condition is critical in teaching students the necessary skills to lead the next generation. However, allowable expenses for HEF have not kept up with changes in technology. Capital funding such as HEF also helps eligible institutions use other appropriations in an efficient manner and can serve as an alternate to tuition revenue bonds. We request the State increase funds for HEF and expand allowed purposes to include software-based and cloud-based information technology services.

Tuition Revenue Bonds – Facilities, with the capacity to seat the growing number of students on our campuses along with state-of-the-art technology, is essential for higher education to achieve the performance expectations set by the State. We request funding of previous commitments to debt service along with the authorization of new tuition revenue bonds as requested by System institutions.

Financial Aid – Scholarships and grants are extraordinarily important to students and their families to help offset the costs of higher education and encourages students to apply to college who otherwise might not do so. We request the Legislature prioritize funding TEXAS grants and other forms of financial aid.

Hazlewood Exemptions – Veterans make valuable contributions to our programs and each System component has a program in place to assist veterans in their transition to academic life. The tuition exemptions of this important program result in forgone revenues that have a multimillion dollar impact on the fiscal health of component institutions of the TTU System. We request the State fund the full impact of Hazlewood exemption, especially the legacy portion of the program.

Research Funds – Research is critical to the overall quality and prosperity in higher education. Being a leader in knowledge creation is essential to graduate education and

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has a dynamic impact on undergraduate education. We request the State maintain funding for research at current levels.

Graduate Medical Education – The best way to meet the health care needs for the State’s growing population is to retain medical student graduates through residency training programs. We request the State continue to expand its support of graduate medical education.

Exceptional Items – The TTU System Administration is requesting additional funding for system operations in the amount of \$1,264,000 (\$632,000 in 2020 and \$632,000 in 2021) for the 2020-2021 biennium. The component institutions of the TTU System have each made requests for exceptional items in their LARs. Exceptional items have been reviewed by the Board of Regents and submitted due their critical importance to the State’s 60x30TX goals.

STATEMENT ON 10% BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

TTUSA’s appropriation is allocated for salaries and wages. The 10% reduction in Schedule 6.I. details the reduction in staff the administration would carry out to meet the base reduction if implemented by the Legislature.

STATEMENT ON BACKGROUND CHECKS

All staff positions (including temporary employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment. These background checks are performed in accordance with Texas Education Code, Section 51.215 and Government Code, Section 411.097.

RIDER REVISIONS AND ADDITIONAL REQUESTS

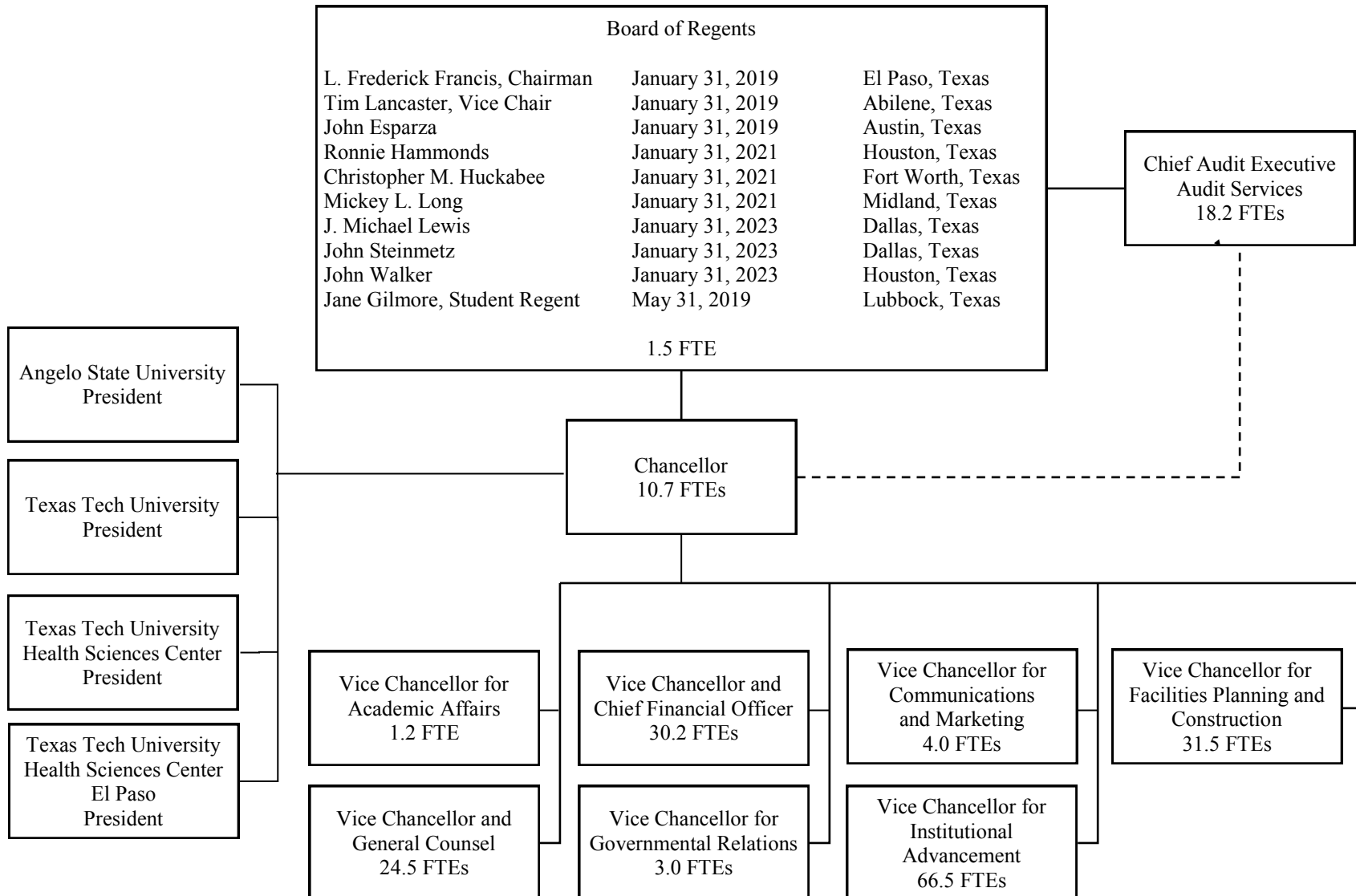
The rider revisions and additions requested in Schedule 3.B. are submitted on behalf of, and with the support of each component of the Texas Tech University System. In addition, we believe there is a consensus among the Texas public systems and institutions of higher education in support of the requested revisions. The revisions, each of which includes an explanation, serve the general purposes of clarifying legislative intent, eliminating unnecessary or redundant requirements, or aligning the rider with relevant statutes.

STATEMENT ON LOW PRODUCING PROGRAMS

Pursuant to the Texas Tech University President’s recommendation, the TTU System Board of Regents will consider at its December 2018 meeting a plan for the consolidation or continuation of the following programs: Doctoral degree in Land Use Planning, management, and Design; the Masters degree in Microbiology and the Masters degree in Zoology.

Texas Tech University System Administration 2019 Budget

Total FTEs — 191.3



Budget Overview - Biennial Amounts
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

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Appropriation Years: 2020-21

		GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
		2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2018-19	2020-21	2020-21
Goal: 1. Provide Instructional and Operations Support												
1.1.11. System Office Operations		2,736,000	2,736,000							2,736,000	2,736,000	1,264,000
Total, Goal		2,736,000	2,736,000							2,736,000	2,736,000	1,264,000
Total, Agency		2,736,000	2,736,000							2,736,000	2,736,000	1,264,000
Total FTEs										78.9	78.9	13.2

2.A. Summary of Base Request by Strategy

7/31/2018 6:27:14PM

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Automated Budget and Evaluation System of Texas (ABEST)

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Goal / Objective / STRATEGY	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
11 SYSTEM OFFICE OPERATIONS	1,411,774	1,368,000	1,368,000	1,368,000	1,368,000
TOTAL, GOAL 1	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
TOTAL, AGENCY STRATEGY REQUEST	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	1,411,774	1,368,000	1,368,000	1,368,000	1,368,000
SUBTOTAL	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
TOTAL, METHOD OF FINANCING	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance

7/31/2018 6:27:14PM

86th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 768		Agency name: Texas Tech University System Administration				
METHOD OF FINANCING		Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
<u>GENERAL REVENUE</u>						
1	General Revenue Fund					
	REGULAR APPROPRIATIONS					
	Regular Appropriations from MOF Table (2016-17 GAA)	\$1,425,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2018-19 GAA)	\$0	\$1,368,000	\$1,368,000	\$0	\$0
	Regular Appropriations from MOF Table	\$0	\$0	\$0	\$1,368,000	\$1,368,000
	LAPSED APPROPRIATIONS					
	Savings due to Hiring Freeze	\$(13,226)	\$0	\$0	\$0	\$0
TOTAL,	General Revenue Fund	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
TOTAL, ALL	GENERAL REVENUE	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000

2.B. Summary of Base Request by Method of Finance

7/31/2018 6:27:14PM

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Automated Budget and Evaluation System of Texas (ABEST)

Agency code:	768	Agency name:	Texas Tech University System Administration		
METHOD OF FINANCING	Exp 2017	Est 2018	Bud 2019	Req 2020	Req 2021
GRAND TOTAL	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2016-17 GAA)	80.0	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2018-19 GAA)	0.0	78.9	78.9	0.0	0.0
Regular Appropriations from MOF Table	0.0	0.0	0.0	78.9	78.9
LAPSED APPROPRIATIONS					
Savings Due to Hiring Freeze	(0.3)	0.0	0.0	0.0	0.0
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Unauthorized Number Over (Below) Cap	(0.6)	0.0	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	79.1	78.9	78.9	78.9	78.9
NUMBER OF 100% FEDERALLY FUNDED FTES					
	0.0	0.0	0.0	0.0	0.0

2.C. Summary of Base Request by Object of Expense

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Automated Budget and Evaluation System of Texas (ABEST)

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OBJECT OF EXPENSE	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
1001 SALARIES AND WAGES	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
OOE Total (Excluding Riders)	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
OOE Total (Riders)					
Grand Total	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000

2.E. Summary of Exceptional Items Request
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/31/2018
TIME : 6:27:15PM

Agency code: 768

Agency name: Texas Tech University System Administration

Priority	Item	2020			2021			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	System Operations	\$632,000	\$632,000	13.2	\$632,000	\$632,000	13.2	\$1,264,000	\$1,264,000
Total, Exceptional Items Request		\$632,000	\$632,000	13.2	\$632,000	\$632,000	13.2	\$1,264,000	\$1,264,000
Method of Financing									
	General Revenue	\$632,000	\$632,000		\$632,000	\$632,000		\$1,264,000	\$1,264,000
	General Revenue - Dedicated								
	Federal Funds								
	Other Funds								
		\$632,000	\$632,000		\$632,000	\$632,000		\$1,264,000	\$1,264,000
Full Time Equivalent Positions				13.2				13.2	
Number of 100% Federally Funded FTEs				0.0				0.0	

2.F. Summary of Total Request by Strategy
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 7/31/2018
TIME : 6:27:15PM

Agency code: 768	Agency name: Texas Tech University System Administration					
Goal/Objective/STRATEGY	Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
1 Provide Instructional and Operations Support						
1 Provide Instructional and Operations Support						
11 SYSTEM OFFICE OPERATIONS	\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000
TOTAL, GOAL 1	\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000
TOTAL, AGENCY STRATEGY REQUEST	\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000

2.F. Summary of Total Request by Strategy
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 7/31/2018
TIME : 6:27:15PM

Agency code: 768		Agency name: Texas Tech University System Administration					
Goal/Objective/STRATEGY		Base 2020	Base 2021	Exceptional 2020	Exceptional 2021	Total Request 2020	Total Request 2021
General Revenue Funds:							
1	General Revenue Fund	\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000
		\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000
TOTAL, METHOD OF FINANCING		\$1,368,000	\$1,368,000	\$632,000	\$632,000	\$2,000,000	\$2,000,000
FULL TIME EQUIVALENT POSITIONS		78.9	78.9	13.2	13.2	92.1	92.1

3.A. Strategy Request
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GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 11 System Office Operations

Service Categories:

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
TOTAL, OBJECT OF EXPENSE		\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
Method of Financing:						
1	General Revenue Fund	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,368,000	\$1,368,000
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
FULL TIME EQUIVALENT POSITIONS:		79.1	78.9	78.9	78.9	78.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

Under the governance of the Board of Regents, the Texas Tech University System Administration provides its component institutions with leadership and services that support the attainment of each component's individual mission. Areas include strategic planning, academic affairs, governmental relations, communication services, fundraising, investments, cash and debt management, risk management, facilities planning and construction, legal counsel, and audit services.

3.A. Strategy Request
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Automated Budget and Evaluation System of Texas (ABEST)

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GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 11 System Office Operations

Service Categories:

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2017	Est 2018	Bud 2019	BL 2020	BL 2021
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EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2018 + Bud 2019)	Baseline Request (BL 2020 + BL 2021)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,736,000	\$2,736,000	\$0		
			\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
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Automated Budget and Evaluation System of Texas (ABEST)

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SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
METHODS OF FINANCE (INCLUDING RIDERS):				\$1,368,000	\$1,368,000
METHODS OF FINANCE (EXCLUDING RIDERS):	\$1,411,774	\$1,368,000	\$1,368,000	\$1,368,000	\$1,368,000
FULL TIME EQUIVALENT POSITIONS:	79.1	78.9	78.9	78.9	78.9

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE
85th Regular Session, Agency Submission, Version 1

Agency Code: 768		Agency: Texas Tech University System Administration				Prepared By: David Mondt					
Date:						18-19 Base	Requested 2020	Requested 2021	Biennial Total 20-21	Biennial Difference	
Goal	Goal Name	Strategy	Strategy Name	Program	Program Name					\$	%
A	Instruction/Operations	A.1.1	System Office Operations	A.1.1.1	System Office Operations	\$2,736,000	\$1,368,000	\$1,368,000	\$2,736,000	\$0	0.0%
			*Exceptional Item Request	A.1.1.1	Additional Funding for System Operations	\$0	\$632,000	\$632,000	\$1,264,000	\$1,264,000	

Agency Code: 768	Agency Name: Texas Tech University System Administration	Prepared By: David Mondt	Date: August 3, 2018	Request Level: Base
Current Rider Number	Page Number in 2018–19 GAA	Proposed Rider Language		
		Sec. 46. Report Concerning Designated Tuition. (a) Not later than January 1, 2018, the governing board of each public institution of higher education that charges students designated tuition under §54.0513, Education Code, shall use the appropriations in the Act to report to the legislature, for the 2015–16 and 2016–17 academic years: (1) the amount the institution has collected in designated tuition; (2) the purposes for which the institution spent the money derived from designated tuition and the amount of that money spent for each of those purposes; and (3) the amount set aside from designated tuition for resident undergraduate and graduate student assistance under §§56.011 and 56.012, Education Code and how these amounts are allocated under the following categories: (a) grants (b) scholarships; (c) work-study programs; (d) students loans; (e) and student loan repayment assistance. (b) Reports required by this section shall be delivered to the Lieutenant Governor, the Speaker of the House, the chair of the Senate Finance Committee, and the chair of the House Appropriations Committee. <i>TTU System requests deletion of this rider. In the infancy of Boards of Regents' authority to set designated tuition rates, there was a very legitimate need for accountability such that legislators and others understood the uses of the tuition. Now that tuition setting authority has been in existence for 15 years, the incremental changes are small relative to the totals and the reports provide little new information. The Legislature also receives duplicative information on the amount collected as part of the Legislative Appropriation Request.</i>		

Higher Education Employees Group Insurance Contributions Rider 8	III-45	8. Benefits Proportionality Audit Requirement. a. Each institution of higher education, excluding Public Community/Junior Colleges, shall <u>consider as part of its annual audit risk assessment whether to conduct an internal audit of benefits proportional by fund reporting. In the event a benefits proportional internal audit is to be conducted, the institution shall notify the State Auditor's Office, and submit a copy of the internal audit to the Legislative Budget Board, Comptroller of Public Accounts, and State Auditor's Office no later than August 31, 2018. The</u> <u>Any</u> <u>audit must examine fiscal years 2015, 2016, and 2017, and must be conducted using a methodology approved by the State Auditor's Office with a copy of the internal audit provided to Legislative Budget Board, Comptroller of Public Accounts, and State Auditor's Office.</u> b. If the internal audit conducted by an institution identifies any instances in which an institution has not been compliant with the proportionality requirements provided by Article IX, Sec. 6.08, Benefits Paid Proportional by Method of Finance in the <u>examined</u> prior three fiscal years defined in subsection (a) and received excess General Revenue as a result of this noncompliance, the institution shall submit a reimbursement payment to the Comptroller of Public Accounts within two years from the conclusion of the institution's audit. The Comptroller of Public Accounts shall notify the Legislative Budget Board and State Auditor's Office of all reimbursement payments submitted by an institution of higher education. c. If an institution has previously conducted an internal audit of benefits proportional by fund for the fiscal years included in subsection (a) using a methodology determined to be acceptable by the State Auditor's Office, the State Auditor's Office may waive the requirement that the institution conduct an additional internal audit. The State Auditor's Office shall notify the Legislative Budget Board and Comptroller of Public Accounts of any institutions who receive such a waiver. Any institution that receives a waiver from the audit requirement from the State Auditor's Office is still subject to the provisions of subsection (b) for any instances of noncompliance that were identified. d. For fiscal years 2018 and 2019, institutions of higher education shall also consider audits of benefits proportional when developing their annual internal audit plans. <u>ce.</u> It is the intent of the Legislature that the State Auditor's Office audit at least two institutions of higher education for compliance with benefits proportional provisions during the <u>2020-21</u> 2018-219 biennium. <i>TTU System requests that the provisions of the rider be modified so as to allow institution internal audit departments to evaluate the cost/benefit of the audit as part of their annual risk assessment process. Within the TTU System, these audits utilized significant resources and resulted in only minimal findings. TTU System has not addressed the intent provision (formerly paragraph e.) for the State Auditor (SAO) to conduct two audits. The</i>
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		<i>SAO would be in the best position to evaluate whether this was beneficial and to make recommendations as to whether to retain, modify or delete this provision.</i>
Special Provisions Relating Only to State Agencies of Higher Education, Rider 21	III-262	Sec. 21. County Indigent Care Contracts. 1. Contracts Required. It is the intent of the Legislature that all institutions of higher education providing indigent health care contract with relevant counties in their service area to recover the costs associated with treating those counties' indigent patients. 2. County Indigent Care Contracts Reporting. The University of Texas Medical Branch at Galveston, The University of Texas M.D. Anderson Cancer Center, and The University of Texas Health Science Center at Tyler shall submit to the Legislative Budget Board and the Governor at the end of each fiscal year a list of counties whose indigent residents have been served by each institution; the total amount of reimbursement received by each institution from each county pursuant to the Indigent Health Care and Treatment Act; and the total cost, by county, of services provided by each institution for which counties are liable pursuant to the Indigent Health Care and Treatment Act. In addition, each institution shall report annually (no later than March <u>December</u> 1st) to the Legislative Budget Board and Governor on the status of contract agreements or negotiations with each county whose indigent residents have been served by the institution. <i>TTU System requests the change to the reporting date. Most of the information reported is based on information gathered for the federal Medicare Cost Report which is not completed until January 31st each year. A change in the reporting date would better align with federal requirements.</i>

Special Provisions Relating Only to State Agencies of Higher Education, Rider 60	III-273	Sec. 60. Emerging Research Universities Research Funding. 2. Core Research Support: Provides <u>The core research support fund is established to promote increased research capacity at the Emerging Research Universities. Funding to eligible institutions shall be allocated as follows: 50 percent based on the average amount of restricted research funds expended by each institution per year for the three preceding state fiscal years, determined in the manner described by section 62.095(b); and 50 percent based on the average amount of total research funds expended by each institution per year for the three preceding state fiscal years, determined in the manner described by Section 62.053 (b). Any unexpended balances as of August 31, 202018, are hereby appropriated for the same purpose for the fiscal year beginning September 1, 202018. The amounts listed below for each institution are for informational purposes are appropriated out of the General Revenue fund elsewhere in the Act in each affected institution “Core Research Support” strategy and shall be expended for the support and maintenance of educational and general activities, including research and student services that promote increased research capacity at the institution. only:</u> <i>(remainder of the rider to be updated for revised appropriations)</i> <i>TTU System requests the section in the rider relating to Core Research Support be expanded similar to the Texas Research University Fund and Comprehensive Research Fund Riders based on language from the Education Code Chapter 62. This change will provide Emerging Research Institutions Unexpended Balance authority similar to what was previously authorized in the Research Development Fund (RDF).</i>
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Special Provisions Relating Only to State Agencies of Higher Education, Rider 61	III-273	Sec. 61. Research Funding Reporting Requirement. Each general academic institution and health related institution shall report, by December 1 of each year of the biennium, to the Legislative Budget Board and Governor, the following information: (a) The amount of research funds awarded to the institution in the prior fiscal year, from appropriations made elsewhere in this Act, from the following, listed individually by source of funding: 1. Core Research Support; 2. Texas Research University Fund; 3. Comprehensive Research Fund; 4. Available National Research University Fund; 5. Texas Research Incentive Program; 6. Governor's University Research Initiative; and the 7. Cancer Prevention and Research Institute of Texas. (b) For each individual award granted to an institution under programs listed in Subsection (a), the amount of funding, if any, provided to an institution from an external source as a matching award amount. <i>TTU System requests the deletion of this rider and reporting requirement. The report is redundant as all information included in the report is found in the General Appropriations Act or online at the Texas Higher Education Coordinating Board (TRIP) and CPRIT websites.</i>
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Article IX, Section 7.10	IX-39	Sec. 7.10. Reporting Requirement for Deepwater Horizon Oil Spill Funds. (a) Any state agency or institution of higher education that receives, expends, or administers funds, appropriations, or donations related to the Deepwater Horizon oil spill shall submit reports at the end of each fiscal quarter to the Legislative Budget Board. These include, but are not limited to, funds, appropriations, or donations from: (1) the State Treasury; (2) the federal government; (3) the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act; (4) legal settlements and agreements; (5) private companies; and (6) non-profit organizations. (b) The reports shall be in the format prescribed by the Legislative Budget Board and include the following information: (1) activity since April 20, 2010; (2) amounts received by funding source; (3) projects and project descriptions; (4) expenditures, obligations, and projected costs; (5) timelines; and (6) direct and indirect costs. (c) A state agency or institution of higher education that has previously reported under the provision of this section and has subsequently completed all activities related to the Deepwater Horizon oil spill may notify the Legislative Budget Board of this fact and cease further reporting to the LBB in the fiscal quarter following the last fiscal year quarter with activity. In the event that the agency or institution of higher education has additional unexpected activity, the agency or institution shall notify the Legislative Budget Board and begin reporting again the next fiscal quarter. <i>TTU System requests the deletion of this reporting requirement. All institutions have completed Deepwater Horizon related activities as of FY 2018. Reporting in FY 2020 and FY 2021 will be ten years after the original event with questionable continuing purpose.</i>
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4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/31/2018
TIME: 6:27:16PM

Agency code: 768 Agency name:
Texas Tech University System Administration

CODE	DESCRIPTION		Excp 2020	Excp 2021
	Item Name:	Additional Funding for System Operations		
	Item Priority:	1		
	IT Component:	No		
	Anticipated Out-year Costs:	Yes		
	Involve Contracts > \$50,000:	No		
	Includes Funding for the Following Strategy or Strategies:	01-01-11 System Office Operations		
OBJECTS OF EXPENSE:				
1001	SALARIES AND WAGES		632,000	632,000
	TOTAL, OBJECT OF EXPENSE		\$632,000	\$632,000
METHOD OF FINANCING:				
1	General Revenue Fund		632,000	632,000
	TOTAL, METHOD OF FINANCING		\$632,000	\$632,000
FULL-TIME EQUIVALENT POSITIONS (FTE):			13.20	13.20

DESCRIPTION / JUSTIFICATION:

Additional funding for system operations will provide for appropriate staffing levels as the system administration strives to meet the increasing needs of our component institutions. The additional funding will in turn allow our component institutions to retain more funding for their students and their academic missions.

EXTERNAL/INTERNAL FACTORS:

Major accomplishments to date and expected over the next two years:

The leadership and support services provided by the system administration help our institution manage costs and have been vital to our component institutions ability to effectively and efficiently meet past education goals in the State's Closing the Gaps initiative and implement plans to meet current education goals as part of the State's 60x30TX initiative.

Year established:

The Texas Tech University System was formally established in 1999.

Formula funding:

n/a

Non-general revenue sources of funding:

TTUSA receives other funding from our component institutions.

4.A. Exceptional Item Request Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/31/2018
TIME: 6:27:16PM

Agency code: 768

Agency name:

Texas Tech University System Administration

CODE DESCRIPTION

Excp 2020

Excp 2021

Consequences of not funding:

Component institutions will be required to continue to contribute funding in order to to maintain the same level of basic services in FY 2020 and 2021.

PCLS TRACKING KEY:

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Permanent support for continuing operations.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2022	2023	2024
\$632,000	\$632,000	\$632,000

4.B. Exceptional Items Strategy Allocation Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/31/2018
TIME: 6:27:16PM

Agency code: **768** Agency name: **Texas Tech University System Administration**

Code	Description	Excp 2020	Excp 2021
Item Name: Additional Funding for System Operations			
Allocation to Strategy: 1-1-11 System Office Operations			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	632,000	632,000
TOTAL, OBJECT OF EXPENSE		\$632,000	\$632,000
METHOD OF FINANCING:			
1	General Revenue Fund	632,000	632,000
TOTAL, METHOD OF FINANCING		\$632,000	\$632,000
FULL-TIME EQUIVALENT POSITIONS (FTE):		13.2	13.2

4.C. Exceptional Items Strategy Request
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 7/31/2018
TIME: 6:27:16PM

Agency Code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 1 Provide Instructional and Operations Support

OBJECTIVE: 1 Provide Instructional and Operations Support

STRATEGY: 11 System Office Operations

Service Categories:

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2020	Excp 2021
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OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES

632,000

632,000

Total, Objects of Expense

\$632,000

\$632,000

METHOD OF FINANCING:

1 General Revenue Fund

632,000

632,000

Total, Method of Finance

\$632,000

\$632,000

FULL-TIME EQUIVALENT POSITIONS (FTE):

13.2

13.2

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Additional Funding for System Operations

6.A. Historically Underutilized Business Supporting Schedule
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/31/2018
Time: 6:27:16PM

Agency Code: 768 Agency: Texas Tech University System Administration

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year 2016 - 2017 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	HUB Expenditures FY 2016			Total Expenditures FY 2016		HUB Expenditures FY 2017			Total Expenditures FY 2017	
			% Actual	Diff	Actual \$		% Goal	% Actual	Diff	Actual \$		
11.2%	Heavy Construction	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	\$0	\$10,398	
21.1%	Building Construction	21.1 %	0.5%	-20.6%	\$53,598	\$9,820,843	21.1 %	1.2%	-19.9%	\$152,328	\$12,470,927	
32.9%	Special Trade	0.0 %	0.0%	0.0%	\$0	\$0	0.0 %	0.0%	0.0%	\$0	\$0	
23.7%	Professional Services	0.0 %	0.0%	0.0%	\$0	\$148,347	0.0 %	0.0%	0.0%	\$0	\$167,318	
26.0%	Other Services	26.0 %	0.9%	-25.1%	\$19,875	\$2,292,571	26.0 %	1.5%	-24.5%	\$28,576	\$1,870,989	
21.1%	Commodities	21.1 %	25.9%	4.8%	\$231,590	\$892,566	21.1 %	72.3%	51.2%	\$2,215,026	\$3,064,126	
	Total Expenditures		2.3%		\$305,063	\$13,154,327		13.6%		\$2,395,930	\$17,583,758	

B. Assessment of Fiscal Year 2016 - 2017 Efforts to Meet HUB Procurement Goals

Attainment:

TTUSA exceeded the Commodities Statewide Goal of 21.1% by 4.85% in 2016 and 51.19% in 2017.

Applicability:

TTUSA's primary expenditures categories are Other Services and Commodity Purchasing but in 2016 and 2017 Building Construction expenditures were high due to New System Office.

Factors Affecting Attainment:

The majority of purchases are either internal office needs or many are proprietary purchases. Availability and capability of HUB vendors in West Texas is very limited for some commodities and services. TTU System will continue to require prime contractors to put forth a good faith effort in establishing contracts with HUBs as subcontractors, suppliers, and material providers for contracts of \$100,000 or more.

"Good-Faith" Efforts:

TTUSA:

- Provides monthly HUB reports to TTUS departments that include vendor names to purchasing employees.
- Participates in local HUB forums & presentations to Small Business Development programs.
- Assists HUB firms with certification.
- Require prime contractors to put forth a good faith effort on all contracts and assist with HUB Subcontracting Plan questions.

Texas Tech University System (768)
Estimated Funds Outside the Institution's Bill Pattern
2018-19 and 2020-21 Biennia

	2018-19 Biennium				2020-21 Biennium			
	<u>FY 2018 Revenue</u>	<u>FY 2019 Revenue</u>	<u>Biennium Total</u>	<u>Percent of Total</u>	<u>FY 2020 Revenue</u>	<u>FY 2021 Revenue</u>	<u>Biennium Total</u>	<u>Percent of Total</u>
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGI & State Paid Fringes)	1,368,000	1,368,000	2,736,000		1,368,000	1,368,000	2,736,000	
Tuition and Fees (net of Discounts and Allowances)								
Endowment and Interest Income								
Sales and Services of Educational Activities (net)								
Sales and Services of Hospitals (net)								
Other Income								
Total	<u>1,368,000</u>	<u>1,368,000</u>	<u>2,736,000</u>	<u>5.5%</u>	<u>1,368,000</u>	<u>1,368,000</u>	<u>2,736,000</u>	<u>5.5%</u>
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (HEGI & State Paid Fringes)	1,415,355	1,461,045	2,876,400		1,461,045	1,461,045	2,922,089	
Higher Education Assistance Funds								
Available University Fund								
State Grants and Contracts								
Total	<u>1,415,355</u>	<u>1,461,045</u>	<u>2,876,400</u>	<u>5.8%</u>	<u>1,461,045</u>	<u>1,461,045</u>	<u>2,922,089</u>	<u>5.8%</u>
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)								
Federal Grants and Contracts								
State Grants and Contracts								
Local Government Grants and Contracts								
Private Gifts and Grants								
Endowment and Interest Income	8,671,647	8,963,834	17,635,481		\$ 8,963,834	\$ 8,963,834	17,927,668	
Sales and Services of Educational Activities (net)	3,646,076	3,646,076	7,292,152		\$ 3,646,076	\$ 3,646,076	7,292,152	
Sales and Services of Hospitals (net)								
Professional Fees (net)								
Auxiliary Enterprises (net)								
Transfers from Components (appropriated and non-appropriated)	\$ 9,547,589	9,547,589	19,095,178		9,547,589	9,547,589	19,095,178	
Other Income								
Total	<u>21,865,312</u>	<u>22,157,499</u>	<u>44,022,811</u>	<u>88.7%</u>	<u>22,157,499</u>	<u>22,157,499</u>	<u>44,314,998</u>	<u>88.7%</u>
TOTAL SOURCES	<u><u>\$ 24,648,667</u></u>	<u><u>\$ 24,986,544</u></u>	<u><u>\$ 49,635,211</u></u>	<u><u>100.0%</u></u>	<u><u>\$ 24,986,544</u></u>	<u><u>\$ 24,986,544</u></u>	<u><u>\$ 49,973,087</u></u>	<u><u>100.0%</u></u>

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/31/2018

Time: 6:27:16PM

Agency code: **768** Agency name: **Texas Tech University System Administration**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS			REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021	Biennial Total	2020	2021	Biennial Total	2020	2021	Biennial Total

1 2.5% Reduction to System Office Operations

Category: Programs - Service Reductions (Other)

Item Comment: Incremental reduction to system leadership and support services.

Strategy: 1-1-11 System Office Operations

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
General Revenue Funds Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
Item Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

2 2.5% Reduction to System Office Operations

Category: Programs - Service Reductions (Other)

Item Comment: Incremental reduction to system leadership and support services.

Strategy: 1-1-11 System Office Operations

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
General Revenue Funds Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/31/2018

Time: 6:27:16PM

Agency code: **768** Agency name: **Texas Tech University System Administration**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS		Biennial Total	REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021		2020	2021	Biennial Total	2020	2021	Biennial Total
Item Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

3 2.5% Recuotion to System Office Operations

Category: Programs - Service Reductions (Other)

Item Comment: Incremental reduction to system leadership and support services.

Strategy: 1-1-11 System Office Operations

General Revenue Funds

1 General Revenue Fund	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
General Revenue Funds Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
Item Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			

FTE Reductions (From FY 2020 and FY 2021 Base Request)

4 2.5% Reduction to System Office Operations

Category: Programs - Service Reductions (Other)

Item Comment: Incremental reduction to system leadership and support services.

Strategy: 1-1-11 System Office Operations

General Revenue Funds

6.I. Percent Biennial Base Reduction Options

10 % REDUCTION

86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 7/31/2018

Time: 6:27:16PM

Agency code: **768** Agency name: **Texas Tech University System Administration**

Item Priority and Name/ <u>Method of Financing</u>	REVENUE LOSS		Biennial Total	REDUCTION AMOUNT			PROGRAM AMOUNT		TARGET
	2020	2021		2020	2021	Biennial Total	2020	2021	Biennial Total
1 General Revenue Fund	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
General Revenue Funds Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
Item Total	\$0	\$0	\$0	\$34,200	\$34,200	\$68,400			
FTE Reductions (From FY 2020 and FY 2021 Base Request)									
AGENCY TOTALS									
General Revenue Total				\$136,800	\$136,800	\$273,600			\$273,600
Agency Grand Total	\$0	\$0	\$0	\$136,800	\$136,800	\$273,600			\$273,600
Difference, Options Total Less Target									
Agency FTE Reductions (From FY 2020 and FY 2021 Base Request)									
Article Total				\$136,800	\$136,800	\$273,600			
Statewide Total				\$136,800	\$136,800	\$273,600			

Schedule 3A: Staff Group Insurance Data Elements (ERS)
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

7/31/2018 6:27:17PM

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	100.00%				
GR-D/Other %	0.00%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	40	40	0	40	42
2a Employee and Children	15	15	0	15	20
3a Employee and Spouse	2	2	0	2	9
4a Employee and Family	22	22	0	22	18
5a Eligible, Opt Out	0	0	0	0	1
6a Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	79	79	0	79	90
PART TIME ACTIVES					
1b Employee Only	0	0	0	0	3
2b Employee and Children	0	0	0	0	0
3b Employee and Spouse	0	0	0	0	0
4b Employee and Family	0	0	0	0	0
5b Eligible, Opt Out	0	0	0	0	0
6b Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	3
Total Active Enrollment	79	79	0	79	93

Schedule 3A: Staff Group Insurance Data Elements (ERS)
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

7/31/2018 6:27:17PM

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	40	40	0	40	42
2e Employee and Children	15	15	0	15	20
3e Employee and Spouse	2	2	0	2	9
4e Employee and Family	22	22	0	22	18
5e Eligible, Opt Out	0	0	0	0	1
6e Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	79	79	0	79	90

Schedule 3A: Staff Group Insurance Data Elements (ERS)
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

7/31/2018 6:27:17PM

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	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	40	40	0	40	45
2f Employee and Children	15	15	0	15	20
3f Employee and Spouse	2	2	0	2	9
4f Employee and Family	22	22	0	22	18
5f Eligible, Opt Out	0	0	0	0	1
6f Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	79	79	0	79	93

Schedule 4: Computation of OASI
86th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency 768 Texas Tech University System Administration

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2017		2018		2019		2020		2021	
	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	100.0000	\$351,740	100.0000	\$347,038	100.0000	\$352,683	100.0000	\$359,227	100.0000	\$365,770
Other Educational and General Funds (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Health-Related Institutions Patient Income (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Grand Total, OASI (100%)	100.0000	\$351,740	100.0000	\$347,038	100.0000	\$352,683	100.0000	\$359,227	100.0000	\$365,770

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

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Description	Act 2017	Act 2018	Bud 2019	Est 2020	Est 2021
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	4,196,412	4,370,321	4,414,013	4,495,908	4,577,803
Employer Contribution to TRS Retirement Programs	285,356	297,182	300,153	305,722	311,291
Gross Educational and General Payroll - Subject To ORP Retirement	1,108,530	774,717	764,981	779,174	793,367
Employer Contribution to ORP Retirement Programs	73,163	51,131	50,489	51,425	52,362
Proportionality Percentage					
General Revenue	100.0000 %	100.0000 %	100.0000 %	100.0000 %	100.0000 %
Other Educational and General Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Health-related Institutions Patient Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	0	0	0	0	0
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Differential Percentage	1.9000 %	1.9000 %	1.9000 %	1.9000 %	1.9000 %
Gross Payroll Subject to Differential - Optional Retirement Program	846,053	639,171	650,222	662,286	674,349
Total Differential	16,075	12,144	12,354	12,583	12,813

Schedule 7: Personnel
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Date: 7/31/2018

Time: 6:27:17PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

	Actual 2017	Actual 2018	Budgeted 2019	Estimated 2020	Estimated 2021
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	79.1	78.9	78.9	78.9	78.9
Subtotal, Directly Appropriated Funds	79.1	78.9	78.9	78.9	78.9
Non Appropriated Funds Employees	99.8	101.5	112.4	112.4	112.4
Subtotal, Other Funds & Non-Appropriated	99.8	101.5	112.4	112.4	112.4
GRAND TOTAL	178.9	180.4	191.3	191.3	191.3

Part B.
Personnel Headcount

Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	78.0	78.0	80.0	80.0	80.0
Subtotal, Directly Appropriated Funds	78.0	78.0	80.0	80.0	80.0
Non Appropriated Funds Employees	125.0	121.0	125.0	125.0	125.0
Subtotal, Non-Appropriated	125.0	121.0	125.0	125.0	125.0
GRAND TOTAL	203.0	199.0	205.0	205.0	205.0

Schedule 7: Personnel
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Date: 7/31/2018

Time: 6:27:17PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

	Actual 2017	Actual 2018	Budgeted 2019	Estimated 2020	Estimated 2021
PART C.					
Salaries					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	\$5,440,038	\$5,237,463	\$5,394,587	\$5,394,587	\$5,394,587
Subtotal, Directly Appropriated Funds	\$5,440,038	\$5,237,463	\$5,394,587	\$5,394,587	\$5,394,587
Non Appropriated Funds Employees	\$10,804,692	\$10,787,904	\$11,111,541	\$11,111,541	\$11,111,541
Subtotal, Non-Appropriated	\$10,804,692	\$10,787,904	\$11,111,541	\$11,111,541	\$11,111,541
GRAND TOTAL	\$16,244,730	\$16,025,367	\$16,506,128	\$16,506,128	\$16,506,128

Schedule 8B: Tuition Revenue Bond Issuance History

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Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2018	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$35,000,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		Feb 1 1984	\$1,500,000			
		Subtotal	\$35,000,000	\$0		
1993	\$41,000,000	Jan 5 1994	\$16,000,000			
		Feb 15 1995	\$25,000,000			
		Subtotal	\$41,000,000	\$0		
1997	\$82,500,000	Sep 19 1998	\$20,000,000			
		May 4 1999	\$38,200,000			
		Jan 1 2002	\$24,300,000			
		Subtotal	\$82,500,000	\$0		
2001	\$107,447,075	Sep 1 2003	\$90,529,525			
		Oct 17 2012	\$16,917,550			
		Subtotal	\$107,447,075	\$0		
2003	\$45,000,000	Feb 1 2006	\$45,000,000			
		Subtotal	\$45,000,000	\$0		
2006	\$89,810,000	Mar 3 2019	\$89,810,000			
		Subtotal	\$89,810,000	\$0		
2015	\$247,115,000	Feb 22 2017	\$247,115,000			
		Subtotal	\$247,115,000	\$0		