

**STATE OF TEXAS
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2022 and 2023

**Submitted to the
Office of Governor, Budget Division
and the Legislative Budget Board**



Texas Tech University System Administration

October 23, 2020

TABLE OF CONTENTS

ADMINISTRATOR’S STATEMENT.....	1
ORGANIZATIONAL CHART.....	8
CERTIFICATE OF DUAL SUBMISSION.....	9
SUMMARY OF REQUEST	
Budget Overview.....	10
2.A. Summary of Base Request by Strategy.....	11
2.B. Summary of Base Request by Method of Finance.....	12
2.C. Summary of Base Request by Object of Expense.....	14
2.E. Summary of Exceptional Items Request.....	15
2.F. Summary of Total Request by Strategy.....	16
STRATEGY REQUEST	
3.A. Strategy Request.....	18
3.A.1. Program-Level Request.....	21
3.B. Rider Revisions and Additions Request.....	22
EXCEPTIONAL ITEM REQUEST	
4.A. Exceptional Item Request Schedule.....	43
4.B. Exceptional Items Strategy Allocation Schedule.....	45
4.C. Exceptional Items Strategy Request.....	46

SUPPORTING SCHEDULES

Schedule 6.A. Historically Underutilized Business Supporting Schedule.....	47
Schedule 6.G. Homeland Security Funding Schedule, Part C, COVID-19 Related Expenditures.....	48
Schedule 6.H. Estimated Funds Outside the Institution’s Bill Pattern.....	51

HIGHER EDUCATION SUPPORTING SCHEDULES

Schedule 3A – Group Health Insurance Data Elements (ERS).....	52
Schedule 4 – Computation of OASI.....	55
Schedule 5 – Calculation and Retirement Proportionality and ORP Differential.....	56
Schedule 7 – Personnel.....	57
Schedule 8B – Tuition Revenue Bond Issuance History.....	58

Schedules Not Included

Agency Code: 768	Agency Name: Texas Tech University System Administration	Prepared By: David Mondt	Date: Sept. 2020	Request Level: Baseline
For the schedules identified below, Texas Tech University System Administration either has no information to report or the schedule is not applicable. Accordingly, these schedules have been excluded from the Texas Tech University System Administration Legislative Appropriations Request for the 2022-2023 biennium.				
Number	Name			
2.D.	Summary of Base Request Objective Outcomes			
2.G.	Summary of Total Request Objective Outcomes			
3.C.	Rider Appropriations and Unexpended Balances Request			
5.A.-5.E.	Capital Budget Supporting Schedules			
6.B.	Current Biennium One-time Expenditure Schedule			
6.C.-6.D.	Federal Funds Supporting and Tracking Schedules			
6.E.	Estimated Revenue Collections Supporting Schedule			
6.F.	Advisory Committee Supporting Schedule			
6.G.	Homeland Security Funding Schedule - Part A and Part B only			
6.J.	Behavioral Health Funding Schedule			
6.K.	Budgetary Impacts Related to Recently Enacted Legislation			
6.L.	Documentation Production Standards			
7.A.-7.B.	Administrative and Support Costs			
8	Summary of Requests for Facilities-Related Projects			
Schedule 1A	Other Educational and General Income			
Schedule 1B	Health-related Institutions Patient Income			
Schedule 2	Selected Educational, General, and Other Funds			
Schedule 3B-3D	Health Insurance Data Elements			
Schedule 6	Constitutional Capital Funding			
Schedule 8A	Proposed Tuition Revenue Bond Projects			
Schedule 8C	Tuition Revenue Bond Request by Project			
Schedule 9	Non-Formula Support Information			

768 Texas Tech University System Administration

INSTITUTIONAL ORGANIZATION

The Texas Tech University System (TTU System) is governed by a nine-member Board of Regents appointed by the Governor of Texas. Members of the board serve six-year, staggered terms. In addition to the nine members, there also is a non-voting student regent who serves a one-year term and is appointed by the governor. A list of the current members of the Board of Regents is included in the organization chart.

The board reviews major issues and establishes policy for the TTU System and its components. The board also appoints a chancellor who is the chief executive officer of the TTU System. As CEO, the chancellor works collaboratively with the Board of Regents, TTU System administration and university presidents to enhance the TTU System's profile and support shared missions of advancing higher education, health care, research and community engagement.

TTU SYSTEM OVERVIEW

As a leading university system in public higher education, the TTU System provides high-quality education, innovative research, and exceptional health care to fields critical to the future of Texas and the world.

The TTU System is comprised of a central administration (Texas Tech University System Administration), two health-related institutions (Texas Tech University Health Sciences Center and Texas Tech University Health Sciences Center El Paso) and two general academic institutions (Texas Tech University and Angelo State University). While the component institutions of the TTU System have their own long-standing histories and traditions, the TTU System itself was created in 1996 and formally established by the Texas Legislature in 1999.

The TTU System Administration (TTUSA) is headquartered in Lubbock, Texas. The TTU System's primary campuses are in Lubbock, San Angelo, and El Paso, and its regional campuses are in Abilene, Amarillo, Cleburne, Dallas, Fredericksburg, Highland Lakes, Junction, Midland, Odessa, Rockwall and Waco. The TTU System has two international locations that include an academic site in Seville, Spain, and a campus in San Jose, Costa Rica, both within the flagship institution, Texas Tech University.

Collectively, the annual budget of all components of the TTU System totals more than \$2.1 billion, including all funds. Approximately \$600 million of these funds are appropriated by the Texas Legislature.

Historic milestones were achieved for the state of Texas and the TTU System, after receiving legislative funding and approval was provided in the 86th Texas Legislature to establish a new Texas Tech University veterinary school in Amarillo, and a new dental school at TTUHSC El Paso. This will be the state's first veterinary school in more than a century and first dental school in over 50 years. The addition of these two schools makes the TTU System one of only nine in the nation to offer programs for undergraduate, medical, law, nursing, pharmacy, dental and veterinary education, among other academic areas.

As one of the largest employers in West Texas, the TTU System has approximately 19,000 faculty and staff focused on advancing its overall mission. Combined, TTU System component institutions enroll approximately 57,000 students across all campuses.

MIDWESTERN STATE UNIVERSITY

In Feb. 2020, Midwestern State University (MSU Texas) began a formal process to explore the opportunity of joining the TTU System as a member institution following

768 Texas Tech University System Administration

preliminary, agreeable discussions. On August 6, 2020, the boards of each institution approved a memorandum of understanding that aligned priorities and established a mutually beneficial agreement pending Legislative approval. A strategic partnership between MSU Texas and the TTU System will support the betterment of higher education in the State of Texas. If directed by the state, the TTU System would be delighted to finalize this partnership for MSU Texas to become the fifth member institution of the TTU System.

TTU SYSTEM ADMINISTRATION, LEADERSHIP & SUPPORT

Under the governance of the Board of Regents, the TTUSA provides its component institutions with leadership and services that support the attainment of each component's individual mission. Fostering a culture of collaboration, sustainability, and excellence throughout the TTU System, the administration provides autonomy for the TTU System's component institutions while encouraging accountability, uniformity and consistency. TTUSA provides for the overall fiscal health and stability of the TTU System and supports impactful initiatives of the component institutions.

The functions performed by the TTUSA and coordinated between the component institutions of the TTU System have been strategically identified to maximize consolidated expertise and cost saving measures in areas that include strategic planning, governmental relations, fundraising, investments, cash and debt management, risk management, information systems, facilities planning and construction, legal counsel and audit services, among other areas. A 2018 analysis conservatively identified approximately \$37 million in efficiencies and cost savings attributable to shared services.

REOPENING CAMPUSES

In response to the coronavirus (COVID-19) pandemic, the TTU System and its component institutions are committed to safely reopening campus locations with a priority toward the health and safety of faculty, staff, students and surrounding communities. The financial impact of the COVID-19 disruption has been significant, and leadership has prioritized resources to provide a safe return to campus while remaining focused on providing various alternatives to student learning opportunities. TTU System universities have taken this opportunity to reflect internally and redefine what higher education will be like in the future as students continue a pursuit of excellence.

STUDENT ENROLLMENT AND SUCCESS

The TTU System remains diligent in its efforts to improve higher education participation and educate the state's workforce while being mindful of the cost of education and the impact of student debt on the future success of student graduation.

The TTU System has experienced tremendous enrollment growth, despite declining national trends and as the demand for higher education continues to increase in Texas. From Fall 2015 to Fall 2020, student enrollment grew by 17 percent, from 48,750 students to 57,227 students, respectively. All four component institutions experienced enrollment growth while simultaneously improving the quality of their student population. In fact, each university within the TTU System achieved record or near record enrollment totals during the Fall 2020 semester.

Each component institution has also diversified its student population. Overall, the TTU System's Hispanic population grew to approximately 30 percent in Fall 2020, up from 23 percent in Fall 2015. Both general academic institutions, Angelo State University and Texas Tech University, as well as TTUHSC El Paso, are designated as Hispanic Serving Institutions by the U.S. Department of Education.

768 Texas Tech University System Administration

Each university provides students with opportunities to enhance their marketable skills through service learning and collaborative programs that improve technical abilities and develop interpersonal skills through academic, research, simulation, study abroad, internship and service opportunities.

DEGREES AWARDED

Since 1925, the component institutions of the TTU System have educated generations of leaders and graduated more than 359,000 students. Graduates from TTU System institutions are known for their learned skill sets, practicality, strong work ethic and ambition. In academic year 2019-20, TTU System institutions awarded 12,962 degrees, up from 10,636 degrees in 2014-15.

RESEARCH EXCELLENCE

The TTU System's investment in research reached \$242 million in 2020, up from \$224 million in 2015, as part of a concentrated commitment to expanding the research enterprise at each of its component institutions. Each component institution of the TTU System has research areas of unparalleled excellence which include agriculture, wind science, energy production, tele-health, cybersecurity, and public health, among many other areas.

By leveraging unique resources and collaborations, TTU System institutions provide solutions to complex problems that impact their communities, the state, nation and world. TTU System component institutions strategically recruited research-oriented faculty. Hiring researchers with proven histories of accomplishment, who can also perform in the classroom, has been an important priority in enhancing the research objectives for both the TTU System and the state.

PATIENT CARE

In 2020, the TTU System provided service to more than 332,000 patients throughout the 108 counties that make up its vast service area with more than 3 million people. The TTU System's health-related institutions pride themselves on investigating and treating complex health issues—especially those affecting rural and historically underserved populations. Through partnerships with teaching hospitals, health care professionals, and local communities, the TTU System's health-related institutions combine education, research, and practice to provide health care solutions for West Texas, the U.S.-Mexico border region and beyond.

Additionally, each component institution of the TTU System responded quickly and nimbly to the health care needs and challenges created by COVID-19 by efficiently deploying tele-health strategies, developing innovative ways to print 3D personal protection equipment, and producing viral transport media to offset a statewide shortage.

ECONOMIC IMPACT

While the impact of an educated population and workforce is one of the most important benefits of higher education, institutions also are centers for innovation and serve as economic engines for their regions and the state. The last study conducted on the economic impact of the TTU System revealed the organization generated an economic impact of \$9.98 billion for the State of Texas and helped sustain more than 40,775 jobs.

LEGISLATIVE PRIORITIES

768 Texas Tech University System Administration

Formula Funding – As the state’s population grows and the demand for higher education increases, sufficient state funding is necessary to support academic and support services. Continued investment by the state and prioritization of formula funding is critical as a stable source of funding for institutions. The TTU System respectfully requests the state fully fund the formula rates and levels to include increases for enrollment growth and inflation and the creation of mission specific formulas for the TTU System’s health-related institutions

Non-Formula Support – Base formulas are an excellent mechanism to distribute state appropriations to institutions of higher education, however, there are legislative initiatives for higher education in which the formulas do not provide enough support. The TTU System requests the legislature continue funding current levels for non-formula support items.

Employee Benefits – Higher Education Group Insurance allocations are important to offering competitive benefits packages and attracting top talent, which are keys to productivity and efficiency. Any shortfall in the contribution from the state requires institutions of higher education to divert resources from other areas to fund this mandatory expense. The TTU System requests the state contribution for institutions of higher education be funded at the full ERS premium rate.

Tuition Revenue Bonds – Facilities, with the capacity to seat the growing number of students on TTU System university campuses along with state-of-the-art technology, is essential for higher education to achieve the performance expectations set by the state. The TTU System requests funding of previous commitments to debt service along with funding for the authorization of new tuition revenue bonds as requested by TTU System institutions.

Financial Aid – Scholarships and grants are extraordinarily important to students and families to offset costs of higher education and encourages students to apply to college who otherwise might not. The TTU System requests the Legislature prioritize funding TEXAS grants and other forms of financial aid.

Hazlewood Exemptions – Veterans make valuable contributions to university programs, and each TTU System component institution has a program in place to assist veterans in their transition to academic life. The tuition exemptions of this important program result in forgone revenues that have a multimillion-dollar impact on the component institutions of the TTU System.

Research Funds – Research is critical to the overall quality of higher education. Being a leader in knowledge creation is essential to graduate education and has a dynamic impact on undergraduate education. Investment in research also drives innovation that helps advance the economic success and prosperity of the Texas economy. The TTU System requests the state maintain funding for research at its current levels.

Graduate Medical Education – The best way to meet the health care needs for the state’s growing population is to retain medical graduate students through residency training programs. The TTU System appreciates the state’s significant investment in graduate medical education and requests the state continue to expand its support of these programs to meet the health care workforce needs of Texas.

Exceptional Items – TTUSA is requesting additional funding for system operations for the 2022-23 biennium. Additionally, TTUSA requests continued funding for the Dental School at TTUHSC El Paso and supports the exceptional item requests the component institutions of the TTU System have each made in their LARs including the two new mission specific formulas at the Health Science Centers, increased funding for the Veterinary School at Texas Tech, and the Cybersecurity/Artificial Intelligence initiative at Angelo State University.

768 Texas Tech University System Administration

OTHER ITEMS

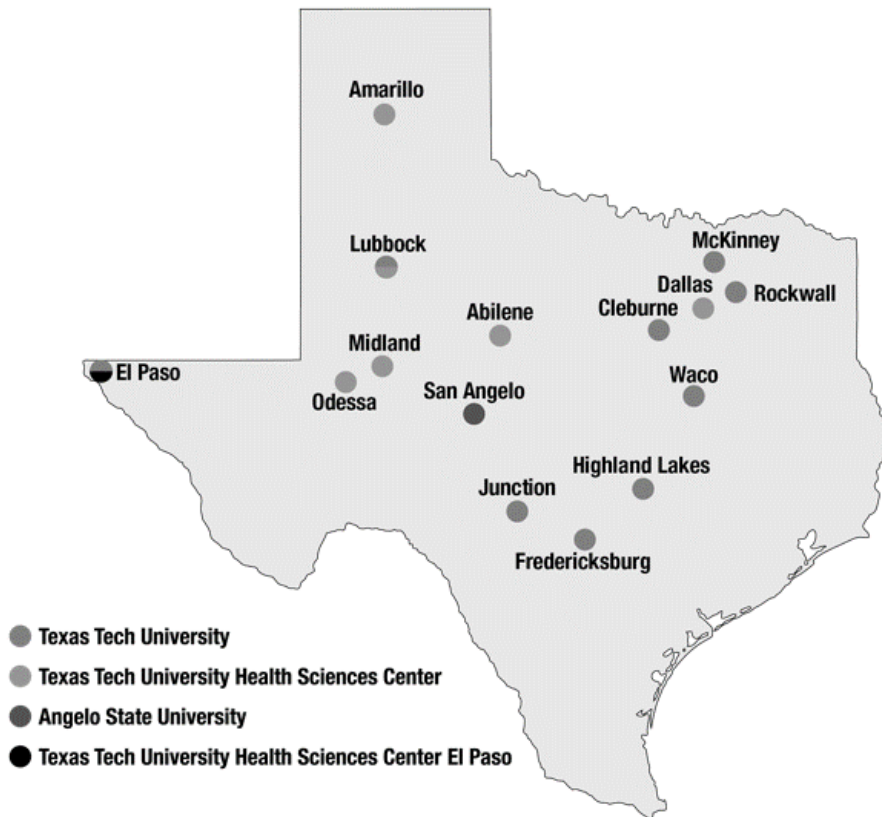
5% Reduction – Per the May 20, 2020 directive to reduce 2020-21 General Revenue and General Revenue-Dedicated by 5%, TTUSA has proposed to take the full reduction in fiscal year 2021, and the base request for the 2022-23 biennium has been adjusted accordingly.

Background Checks – All staff positions (including temporary employees) are classified as security sensitive level I positions and require criminal background checks prior to employment, promotion, reclassification, transfer or direct appointment. These background checks are performed in accordance with Texas Education Code, Section 51.215 and Government Code, Section 411.094.

Rider Revisions and Additional Requests – The rider revisions and additions requested in Schedule 3.B. are submitted on behalf of, and with the support of each component of the TTU System. In addition, it appears there is a consensus among the Texas public systems and institutions of higher education in support of the requested revisions. The revisions, each of which includes an explanation, serve the general purposes of clarifying legislative intent, eliminating unnecessary or redundant requirements, or aligning the rider with relevant statutes.

Low-Producing Programs – Pursuant to the Texas Tech University President's recommendation, the TTU System Board of Regents will consider at its December 2020 meeting a plan for the consolidation or continuation of the following programs: Master's degree in Secondary Education, Master's degree in Microbiology and Bachelor's degree in Interdisciplinary Arts Studies.

Texas Tech University System – Locations



Texas Tech University

- Collin College (McKinney)
- El Paso
- Fredericksburg
- Highland Lakes
- Junction
- Lubbock
- Waco
- Rockwall
- San Jose, Costa Rica
- Seville, Spain



Texas Tech University Health Sciences Center

- Abilene
- Amarillo
- Dallas
- Lubbock
- Midland
- Odessa



Angelo State University

- San Angelo



Texas Tech University Health Sciences Center El Paso

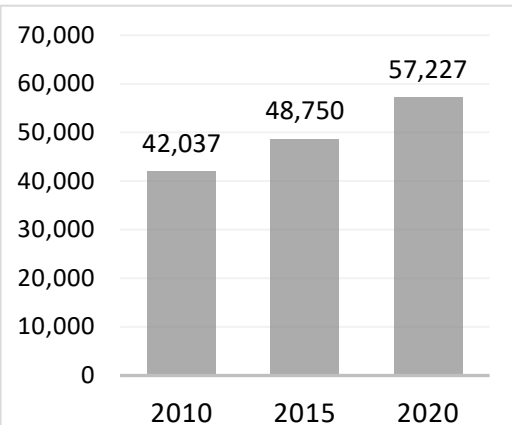
- El Paso

Texas Tech University System

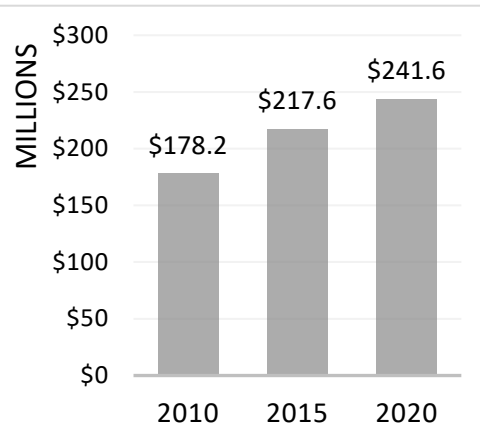
With the addition of the TTU School of Veterinary Medicine and TTUHSC El Paso Woody L. Hunt School of Dental Medicine, the TTU System will be 1 of only 9 in the country whose universities are offering programs for:

- Undergraduate
- Medical
- Law
- Nursing
- Pharmacy
- Dental
- Veterinary

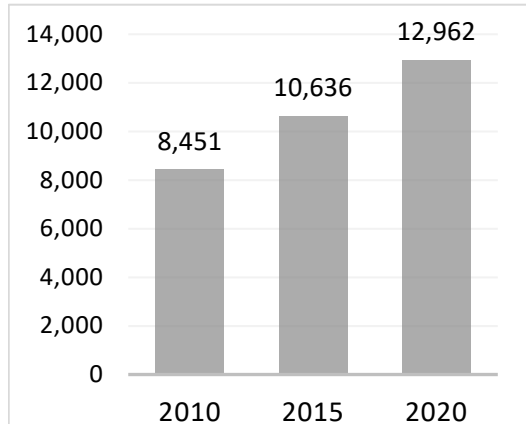
ENROLLMENT



RESEARCH EXPENDITURES

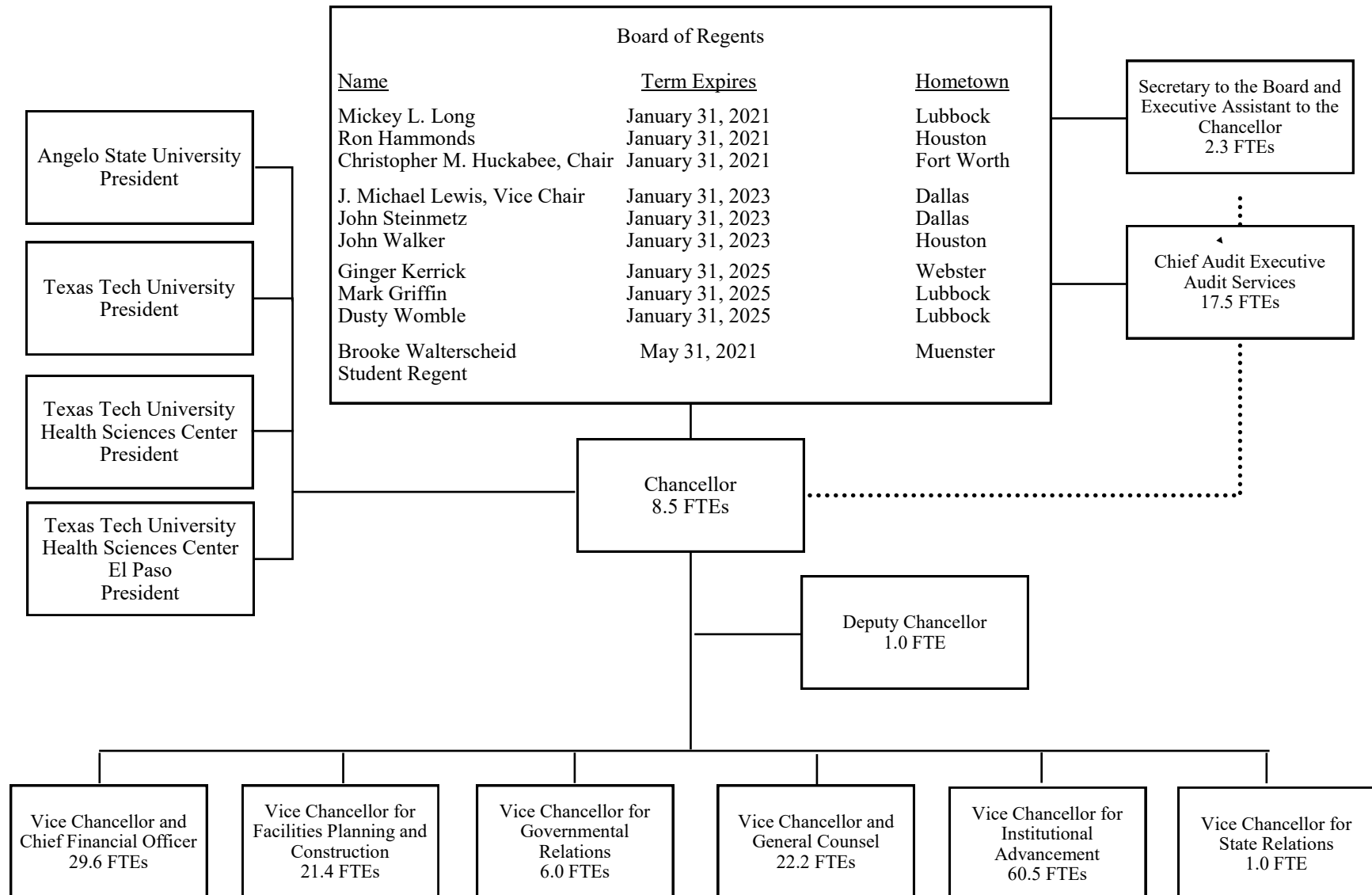


DEGREES AWARDED



Texas Tech University System Administration 2021 Budget

Total FTEs — 170.0





CERTIFICATE

Agency Name Texas Tech University System Administration

This is to certify that the information contained in the agency Legislative Appropriation Request filed with the Legislative Budget Board (LBB) and the Governor's Office Budget Division (Governor's Office) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Governor's Office will be notified in writing in accordance with Article IX, Section 7.01 (2020-21 GAA).

Chief Executive Officer or Presiding Judge


Signature

Tedd L. Mitchell, M.D.
Printed Name

Chancellor
Title

September 18, 2020
Date

Board or Commission Chair


Signature

Christopher M. Huckabee
Printed Name

Chair
Title

September 18, 2020
Date

Chief Financial Officer


Signature

Gary Barnes
Printed Name

Vice Chancellor and Chief Financial Officer
Title

September 18, 2020
Date

Budget Overview - Biennial Amounts
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

768 Texas Tech University System Administration
Appropriation Years: 2022-23

		GENERAL REVENUE FUNDS		GR DEDICATED		FEDERAL FUNDS		OTHER FUNDS		ALL FUNDS		EXCEPTIONAL ITEM FUNDS
		2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2020-21	2022-23	2022-23
Goal: 1. Provide Instructional and Operations Support												
1.1.11. System Office Operations		2,599,200	2,599,200							2,599,200	2,599,200	1,400,800
Total, Goal		2,599,200	2,599,200							2,599,200	2,599,200	1,400,800
Total, Agency		2,599,200	2,599,200							2,599,200	2,599,200	1,400,800
Total FTEs										78.3	78.3	14.6

2.A. Summary of Base Request by Strategy

9/17/2020 4:47:57PM

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

768 Texas Tech University System Administration

Goal / Objective / STRATEGY	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
1 Provide Instructional and Operations Support					
1 Provide Instructional and Operations Support					
11 SYSTEM OFFICE OPERATIONS	1,368,000	1,368,000	1,231,200	1,299,600	1,299,600
TOTAL, GOAL 1	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
TOTAL, AGENCY STRATEGY REQUEST	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*				\$0	\$0
GRAND TOTAL, AGENCY REQUEST	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
<u>METHOD OF FINANCING:</u>					
General Revenue Funds:					
1 General Revenue Fund	1,368,000	1,368,000	1,231,200	1,299,600	1,299,600
SUBTOTAL	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
TOTAL, METHOD OF FINANCING	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600

*Rider appropriations for the historical years are included in the strategy amounts.

2.B. Summary of Base Request by Method of Finance
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/15/2020 3:45:36PM

Agency code: 768		Agency name: Texas Tech University System Administration				
METHOD OF FINANCING		Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
<u>GENERAL REVENUE</u>						
<u>1</u>	General Revenue Fund					
	REGULAR APPROPRIATIONS					
	Regular Appropriations from MOF Table (2018-19 GAA)	\$1,368,000	\$0	\$0	\$0	\$0
	Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$1,368,000	\$1,368,000	\$0	\$0
	Regular Appropriations from MOF Table (2022-23 GAA)	\$0	\$0	\$0	\$1,299,600	\$1,299,600
	BASE ADJUSTMENT					
	Base Adjustment (5% reduction 2020-21)	\$0	\$0	\$(136,800)	\$0	\$0
TOTAL,	General Revenue Fund	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
TOTAL, ALL	GENERAL REVENUE	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600

2.B. Summary of Base Request by Method of Finance
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/15/2020 3:45:36PM

Agency code: 768	Agency name: Texas Tech University System Administration				
METHOD OF FINANCING	Exp 2019	Est 2020	Bud 2021	Req 2022	Req 2023
GRAND TOTAL	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
FULL-TIME-EQUIVALENT POSITIONS					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table (2018-19 GAA)	78.9	0.0	0.0	0.0	0.0
Regular Appropriations from MOF Table (2020-21 GAA)	0.0	78.3	78.3	0.0	0.0
Regular Appropriations from MOF Table (2022-23 GAA)	0.0	0.0	0.0	78.3	78.3
UNAUTHORIZED NUMBER OVER (BELOW) CAP					
Regular Appropriations from MOF Table	(4.7)	0.1	0.0	0.0	0.0
TOTAL, ADJUSTED FTES	74.2	78.4	78.3	78.3	78.3
NUMBER OF 100% FEDERALLY FUNDED FTES					
	0.0	0.0	0.0	0.0	0.0

2.C. Summary of Base Request by Object of Expense

9/17/2020 4:47:58PM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

768 Texas Tech University System Administration

OBJECT OF EXPENSE	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
1001 SALARIES AND WAGES	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
OOE Total (Excluding Riders)	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
OOE Total (Riders)					
Grand Total	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600

2.E. Summary of Exceptional Items Request
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/17/2020
TIME : 4:47:58PM

Agency code: 768

Agency name: Texas Tech University System Administration

Priority	Item	2022			2023			Biennium	
		GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	System Operations	\$700,400	\$700,400	14.6	\$700,400	\$700,400	14.6	\$1,400,800	\$1,400,800
Total, Exceptional Items Request		\$700,400	\$700,400	14.6	\$700,400	\$700,400	14.6	\$1,400,800	\$1,400,800
Method of Financing									
	General Revenue	\$700,400	\$700,400		\$700,400	\$700,400		\$1,400,800	\$1,400,800
	General Revenue - Dedicated								
	Federal Funds								
	Other Funds								
		\$700,400	\$700,400		\$700,400	\$700,400		\$1,400,800	\$1,400,800
Full Time Equivalent Positions				14.6				14.6	
Number of 100% Federally Funded FTEs				0.0				0.0	

2.F. Summary of Total Request by Strategy
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/17/2020
TIME : 4:47:59PM

Agency code: 768	Agency name: Texas Tech University System Administration					
Goal/Objective/STRATEGY	Base	Base	Exceptional	Exceptional	Total Request	Total Request
1 Provide Instructional and Operations Support						
1 Provide Instructional and Operations Support						
11 SYSTEM OFFICE OPERATIONS	\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000	\$2,000,000
TOTAL, GOAL 1	\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000	\$2,000,000
TOTAL, AGENCY STRATEGY REQUEST	\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000	\$2,000,000
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST						
GRAND TOTAL, AGENCY REQUEST	\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000	\$2,000,000

2.F. Summary of Total Request by Strategy
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 9/17/2020
TIME : 4:47:59PM

Agency code: 768		Agency name: Texas Tech University System Administration				
		Base	Base	Exceptional	Exceptional	Total Request
Goal/Objective/STRATEGY						Total Request
General Revenue Funds:						
1	General Revenue Fund	\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000
		\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000
	TOTAL, METHOD OF FINANCING	\$1,299,600	\$1,299,600	\$700,400	\$700,400	\$2,000,000
FULL TIME EQUIVALENT POSITIONS		78.3	78.3	14.6	14.6	92.9

3.A. Strategy Request
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/20/2020 2:31:53PM

768 Texas Tech University System Administration

GOAL: 1 Provide Instructional and Operations Support
OBJECTIVE: 1 Provide Instructional and Operations Support
STRATEGY: 11 System Office Operations

Service Categories:

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
Objects of Expense:						
1001	SALARIES AND WAGES	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
TOTAL, OBJECT OF EXPENSE		\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
Method of Financing:						
1	General Revenue Fund	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)					\$1,299,600	\$1,299,600
TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)		\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
FULL TIME EQUIVALENT POSITIONS:		74.2	78.4	78.3	78.3	78.3

STRATEGY DESCRIPTION AND JUSTIFICATION:

Under the governance of the Board of Regents, the Texas Tech University System Administration provides its component institutions with leadership and central services that support the attainment of each component's individual mission. Areas include strategic planning, governmental relations, fundraising, investments, cash and debt management, risk management, facilities planning and construction, legal counsel and audit services, among other areas.

3.A. Strategy Request
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/20/2020 2:31:53PM

768 Texas Tech University System Administration

GOAL: 1 Provide Instructional and Operations Support

OBJECTIVE: 1 Provide Instructional and Operations Support

STRATEGY: 11 System Office Operations

Service Categories:

Service: 02

Income: A.2

Age: B.3

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
------	-------------	----------	----------	----------	---------	---------

EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:

EXPLANATION OF BIENNIAL CHANGE (includes Rider amounts):

<u>STRATEGY BIENNIAL TOTAL - ALL FUNDS</u>		BIENNIAL	<u>EXPLANATION OF BIENNIAL CHANGE</u>	
Base Spending (Est 2020 + Bud 2021)	Baseline Request (BL 2022 + BL 2023)	CHANGE	\$ Amount	Explanation(s) of Amount (must specify MOFs and FTEs)
\$2,599,200	\$2,599,200	\$0		
			\$0	Total of Explanation of Biennial Change

3.A. Strategy Request
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

10/20/2020 2:31:53PM

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
METHODS OF FINANCE (INCLUDING RIDERS):				\$1,299,600	\$1,299,600
METHODS OF FINANCE (EXCLUDING RIDERS):	\$1,368,000	\$1,368,000	\$1,231,200	\$1,299,600	\$1,299,600
FULL TIME EQUIVALENT POSITIONS:	74.2	78.4	78.3	78.3	78.3

3.A.1. PROGRAM-LEVEL REQUEST SCHEDULE

[illegible]

<p>Program Prioritization: <i>Indicate the methodology or approach taken by the agency, court, or institution to determine the ranking of each program by priority.</i></p> <p>TTUSA's approach is based on its mission to help the system's universities attain their individual missions. Preserving current funding was given top priority.</p>

3.B. Rider Revisions and Additions Request

Agency Code:	Agency Name: Texas Tech University System Administration	Prepared By: David Mondt	Date: 9/18/2020	Request Level: Base
Current Rider Number	Page Number in 2020–21 GAA	Proposed Rider Language		
Higher Education Employees Group Insurance Contributions, Rider 8	III-48	<p>8. Benefits Proportionality Audit Requirement.</p> <p>a. Each institution of higher education, excluding Public Community/Junior Colleges, shall consider as part of its annual audit risk assessment whether to conduct an internal audit of benefits proportional by fund reporting. In the event a benefits proportional internal audit is to be conducted, the institution shall notify the State Auditor's Office, and submit a copy of the internal audit to the Legislative Budget Board, Comptroller of Public Accounts, and State Auditor's Office no later than August 31, 2020. The Any audit must examine fiscal years 2017, 2018, and 2019, and must be conducted using a methodology approved by the State Auditor's Office with a copy of the internal audit provided to Legislative Budget Board, Comptroller of Public Accounts, and State Auditor's Office.</p> <p>b. If the internal audit conducted by an institution identifies any instances in which an institution has not been compliant with the proportionality requirements provided by Article IX, Sec. 6.08, Benefits Paid Proportional by Method of Finance in the examined prior three fiscal years defined in subsection (a) and received excess General Revenue as a result of this noncompliance, the institution shall submit a reimbursement payment to the Comptroller of Public Accounts within two years from the conclusion of the institution's audit. The Comptroller of Public Accounts shall notify the Legislative Budget Board and State Auditor's Office of all reimbursement payments submitted by an institution of higher education.</p> <p>c. If an institution has previously conducted an internal audit of benefits proportional by fund for the fiscal years included in subsection (a) using a methodology determined to be acceptable by the State Auditor's Office, the State Auditor's Office may waive the requirement that the institution conduct an additional internal audit. The State Auditor's Office shall notify the Legislative Budget Board and Comptroller of Public Accounts of any institutions who receive such a waiver. Any institution that receives a waiver from the audit requirement from the State Auditor's Office is still subject to the provisions of subsection (b) for any instances of noncompliance that were identified.</p>		

3.B. Rider Revisions and Additions Request (continued)

		<p><u>a</u>d. For fiscal years 2022<u>0</u> and 2023<u>4</u>, institutions of higher education shall also consider audits of benefits proportional when developing their annual internal audit plans.</p> <p><u>b</u>e. It is the intent of the Legislature that the State Auditor's Office audit at least two institutions of higher education for compliance with benefits proportional provisions during the 2022<u>0</u>-23<u>4</u> biennium.</p> <p><u>c</u>. <u>If an audit conducted identifies any instances in which an institution has not been compliant with the proportionality requirements provided by Article IX, Sec. 6.08, Benefits Paid Proportional by Method of Finance in the prior three fiscal years defined in subsection (a) and received excess General Revenue as a result of this noncompliance, the institution shall submit a reimbursement payment to the Comptroller of Public Accounts within two years from the conclusion of the institution's audit. The Comptroller of Public Accounts shall notify the Legislative Budget Board and State Auditor's Office of all reimbursement payments submitted by an institution of higher education.</u></p> <p><i>TTU System requests that the provisions of the rider be modified to allow institution internal audit departments to evaluate the cost/benefit of the audit as part of their annual risk assessment process. Within the TTU System, these audits utilized significant resources and resulted in only minimal findings. TTU System has not addressed the intent provision (formerly paragraph e.) for the State Auditor (SAO) to conduct two audits. The SAO would be in the best position to evaluate whether this was beneficial and to make recommendations as to whether to retain, modify or delete this provision.</i></p>
--	--	---

3.B. Rider Revisions and Additions Request (continued)

Special Provisions Relating Only to State Agencies of Higher Education, Rider 19	III-265	<p>Sec. 19. Self-insurance Funds. Any funds of an institution of higher education used for or allocated to a self-insurance fund authorized by Government Code §2259.031004 for a risk otherwise insurable by the institution of higher education, <u>including a reserve account for lump sum payments vacation and sick leave,</u> shall be treated by the Comptroller of Public Accounts as an expenditure of the respective funds. The self-insurance funds so created shall be considered designated funds as that term is used in §51.008(b) of the Education Code. Any self-insurance fund reserves so created shall not exceed in amount the maximum value determined to be actuarially sound for each such self-insurance program.</p> <p><i>TTU System requests the change to correct the Government Code citation and to authorize Vacation-Sick Leave reserve payout account as an allowed self-insurance fund. TTU System policy authorizes these funds as revolving activity whereby the assessment collected in the form of a payroll fringe benefit expense is intended to match annual payout requirements. This smooths the budgetary impact on departments within the organization which have terminating or deceased employees. This change would allow the fringe assessment to systematically be paid from appropriations similar to workers compensation insurance, rather than identifying specific employees and seeking reimbursement on them individually.</i></p>
Special Provisions Relating Only to State Agencies of Higher Education, Rider 22	III-265	<p>Sec. 22. Ethics Policy.</p> <p>1. None of the funds appropriated by this Act may be expended by an institution of higher education until its governing board has adopted has filed with the Texas Higher Education Coordinating Board an ethics policy which has been adopted by the board of regents <u>includes the requirements of Texas Government Code Section 572.051.</u> The ethics policy shall apply to the board of regents and its staff, the administration, staff, and faculty of the institutions under the board's governance.</p> <p>2. The ethics policy adopted by each board of regents shall <u>also</u> include specific provisions regarding sexual harassment.</p> <p><i>TTU System requests the revision of this reporting requirement as regulatory relief. While eliminating a requirement to file the ethics policy with the Coordinating Board, the provision as revised makes clear the expectations through citation of the statutory standard of conduct.</i></p>

3.B. Rider Revisions and Additions Request (continued)

<p>Special Provisions Relating Only to State Agencies of Higher Education, Rider 26</p>		<p>Sec. 26. General Academic Funding. Appropriations made in this Act for formula funding for general academic institutions will consist of four formulas and supplemental items.</p> <p>1. Instruction and Operation Formula. The Instruction and Operations Formula shall provide funding for faculty salaries, including nursing, departmental operating expense, library, instructional administration, research enhancement, student services, and institutional support. These funds are distributed on a weighted semester credit hour basis. The rate per weighted semester credit hour for the 2020-21 biennium is \$55.85 in fiscal year 2020 and fiscal year 2021.</p> <p>Weighting is determined by the following matrix:</p> <p><i>[Matrix excluded here for space purposes]</i></p> <p>2. Teaching Experience Supplement. For the 2020-21 biennium, an additional weight of 10 percent is added to lower division and upper division semester credit hours taught by tenured and tenure-track faculty. Furthermore, it is the intent of the Legislature that the weight shall increase by 10 percent per biennium, up to 50 percent.</p> <p>3. Infrastructure Support. Funding associated with plant-related formulas and utilities shall be distributed by the infrastructure support formula which is driven by the predicted square feet for universities' educational and general activities produced by the Space Projection Model developed by the Coordinating Board. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater, and thermal energy. The average rate per square foot is \$5.47 in fiscal year 2020 and fiscal year 2021.</p> <p>4. Supplemental Non-formula Items. Institutions shall receive a direct reimbursement as applicable for staff group insurance (other educational and general income portion), workers' compensation insurance, unemployment compensation insurance, public education grants, organized activities, scholarships, tuition revenue bond payments, and facility lease charges. Institutions may receive an appropriation for non-formula support items. Revenue derived from board authorized tuition would still be appropriated to the institutions levying the additional charges.</p> <p>5. The General Academic Instruction and Operations and Infrastructure formulas shall incorporate the Higher Education Coordinating Board's October 2006 recommendations for mission-specific</p>
---	--	--

3.B. Rider Revisions and Additions Request (continued)

		<p>formula funding for Texas A&M University at Galveston.</p> <p>These formulas and supplemental items shall be reviewed and updated by study committees appointed by the Higher Education Coordinating Board and recommended changes forwarded to the Legislature, Legislative Budget Board, and Governor by June 1, 2020. The study committees shall review the expenditure study that is used for the cost matrix above, including determining and reviewing the growth of costs affiliated with higher education and its consequent impact on higher education institutions, and make recommendations for improvements to better reflect the actual expenditures of the institutions. The study committee recommendations shall be forwarded to the Legislative Budget Board along with the recommendations on formulas and supplemental items.</p> <p>The formula advisory committees shall also review the Space Projection Model as it relates to distance education courses, including the different physical space and technology needs between traditional courses, online courses, and distance education courses, as well as information on associated costs of each course type, and recommend changes to the Space Projection Model to the Legislative Budget Board and Governor by June 1, 2020.</p> <p><i>TTU System requests the changes because the study committees have reviewed these issues as directed.</i></p>
--	--	--

3.B. Rider Revisions and Additions Request (continued)

Special Provisions Relating Only to State Agencies of Higher Education, Rider 39	III-273	<p>Sec. 39. Appropriation of Funds from the Permanent Health Fund for Higher Education.</p> <p>Included in the amounts appropriated to health related institutions of higher education is an estimated appropriation based on the institution's allocation of the estimated earnings out of the Permanent Health Fund for Higher Education for each fiscal year of the biennium. Amounts available for distribution from this fund are estimated to be <u>\$22,925,000</u> each fiscal year of the biennium. The funds appropriated out of the Permanent Health Fund for Higher Education shall be distributed to the institutions of higher education in accordance with Education Code §63.003 for the purpose of medical research, health education, or treatment programs. The determined distribution allocations shall be considered approved unless the Legislative Budget Board issues a written disapproval within 30 business days after the date the Legislative Budget Board staff concludes its distribution calculations and forwards the distribution calculations to the Chair of the House Appropriations Committee, Chair of the Senate Finance Committee, Speaker of the House, and Lieutenant Governor. Any requests for additional information made by the Legislative Budget Board interrupt the counting of the 30 business days.</p> <p><i>TTU System requests the change to update the amount with the 2021 distribution amount.</i></p>
--	---------	--

3.B. Rider Revisions and Additions Request (continued)

<p>Special Provisions Relating Only to State Agencies of Higher Education, Rider 41</p>	<p>III-273 to III-274</p>	<p>Sec. 41. Report on Real Property. Institutions of higher education shall use the appropriations above to submit to the Asset Management Division in the General Land Office the following information, as the General Land Office may require, in accordance with general law:</p> <ol style="list-style-type: none"> 1. a description of each item of property by reference to a volume number and page or image number or numbers of the official public records of real property in a particular county, or if not applicable, by a legal description; 2. the date of purchase of the property, if applicable; 3. the purchase price of the property, if applicable; 4. the name of the institution holding title to the property for the state; 5. a description of the current uses of the property and of the projected future uses of the property during the next 15 years; and 6. a description of each building or other improvement located on the property. 7. If the description of real property required by this section is excessively voluminous, as in the case of parkland, the division may direct the institution in possession of the real property to furnish the description only in summary form, as agreed to by the division and the institution involved. 8. In addition, if the institution of higher education has done an appraisal on the property, the date of the appraisal and the value broken out by land and improvements should be submitted. <p><i>TTU System requests the deletion of this reporting requirement as regulatory relief. Higher education is required to maintain this by statute (Texas Natural Resources Code Sec. 31.153) but not required by statute to provide annual reports. In addition, the General Land office is not responsible for maintaining inventory records for institutions of higher education (TNRC Sec. 31.155(a)), for reviewing and verifying real property records, making recommendation regarding real property, or to make reports involving real property of an institution of higher education (TNRC Sec. 31.155(d)).</i></p>
---	---------------------------	---

3.B. Rider Revisions and Additions Request (continued)

Special Provisions Relating Only to State Agencies of Higher Education, Rider 42	III-274	<p>Sec. 42. Limitation on Use of Funds. State agencies and institutions of higher education that are appropriated funds from the receipts collected pursuant to the Comprehensive Tobacco Settlement Agreement and Release in this Article shall submit a budget by November 1 of each year of the biennium to the Legislative Budget Board and the Governor. This budget shall describe the purposes and amounts for which such funds will be expended by the state agency or institution of higher education. No funds described in this budget may be expended by the state agency or institution of higher education until the Legislative Budget Board and the Governor receive the budget.</p> <p><i>TTU System requests the deletion of this reporting requirement as regulatory relief.</i></p>
--	---------	---

3.B. Rider Revisions and Additions Request (continued)

<p>Special Provisions Relating Only to State Agencies of Higher Education, Rider 46</p>	<p>III-274 to III-275</p>	<p>Sec. 46. Report Concerning Designated Tuition.</p> <p>(a) Not later than January 1, 2020, the governing board of each public institution of higher education that charges students designated tuition under §54.0513, Education Code, shall use the appropriations in the Act to report to the legislature, for the 2017-18 and 2018-19 academic years:</p> <p style="padding-left: 40px;">(1) the amount the institution has collected in designated tuition;</p> <p style="padding-left: 40px;">(2) the purposes for which the institution spent the money derived from designated tuition and the amount of that money spent for each of those purposes; and</p> <p style="padding-left: 40px;">(3) the amount set aside from designated tuition for resident undergraduate and graduate student assistance under §§56.011 and 56.012, Education Code and how these amounts are allocated under the following categories:</p> <p style="padding-left: 80px;">(a) grants</p> <p style="padding-left: 80px;">(b) scholarships,</p> <p style="padding-left: 80px;">(c) work study programs,</p> <p style="padding-left: 80px;">(d) students loans,</p> <p style="padding-left: 80px;">(e) and student loan repayment assistance.</p> <p>(b) Reports required by this section shall be delivered to the Lieutenant Governor, the Speaker of the House, the chair of the Senate Finance Committee, the chair of the House Appropriations Committee, and the Legislative Budget Board.</p> <p><i>TTU System requests the deletion of this reporting requirement as regulatory relief.</i></p>
---	---------------------------	---

3.B. Rider Revisions and Additions Request (continued)

<p>Special Provisions Relating Only to State Agencies of Higher Education, Rider 61</p>	<p>III-280</p>	<p>Sec. 61. Research Funding Reporting Requirement. Each general academic institution and health related institution shall report, by December 1 of each year of the biennium, to the Legislative Budget Board and Governor, the following information:</p> <p>(a) The amount of research funds awarded to the institution in the prior fiscal year, from appropriations made elsewhere in this Act, from the following, listed individually by source of funding:</p> <ol style="list-style-type: none">1. Core Research Support;2. Texas Research University Fund;3. Comprehensive Research Fund;4. Available National Research University Fund;5. Texas Research Incentive Program;6. Governor's University Research Initiative; and the7. Cancer Prevention and Research Institute of Texas. <p>(b) For each individual award granted to an institution under programs listed in Subsection (a), the amount of funding, if any, provided to an institution from an external source as a matching award amount.</p> <p><i>TTU System requests the deletion of this rider and reporting requirement. The report is redundant as all information included in the report is found in the General Appropriations Act or online at the Texas Higher Education Coordinating Board (TRIP) and CPRIT websites.</i></p>
---	----------------	--

3.B. Rider Revisions and Additions Request (continued)

Article IX, Section 7.04	IX-35 to IX-36	<p>Sec. 7.04. Contract Notification: Amounts Greater than \$50,000.</p> <p>(a) In this section "contract" includes a contract, grant, or agreement, including a revenue generating contract, an interagency or interlocal grant or agreement, purchase order or other written expression of terms of agreement or an amendment, modification, renewal, or extension of such for the purchase or sale of goods or services that was entered into or paid for, either in whole or in part <u>with funds appropriated by this Act</u>, by a state agency or institution of higher education.</p> <p>(b) In this section "contract" does not include a contract with a value of less than or equal to \$50,000.</p> <p>(c) In this section "contract" includes an amendment, modification, renewal or extension which increases a contract's value from a value less than or equal to \$50,000 to a value greater than \$50,000.</p> <p>(d) Before the 30th calendar day after awarding a contract or granting an amendment, modification, renewal, or extension, a state agency or institution of higher education shall report to the Legislative Budget Board in the manner prescribed by Legislative Budget Board all contracts, amendments, modifications, renewals, and extensions to which the agency or institution was a party.</p> <p>(e) A state agency or institution of higher education receiving an appropriation under this Act shall report a contract pursuant to this section without regard to source of funds or method of finance associated with the expenditure, including a contract for which only non-appropriated funds will be expended.</p> <p>(f) The Legislative Budget Board may conduct reviews of contracts required to be submitted under this section 7.04 and valued at \$1,000,000 or more. If a contract reported under this section is found to violate:</p> <p style="padding-left: 40px;">(1) State of Texas Procurement and Contract Management Guide; or</p> <p style="padding-left: 40px;">(2) Any applicable statutes, rules, policies and procedures related to the procurement and contracting of goods and services, including compliance with conflict of interest disclosure requirements;</p> <p style="padding-left: 40px;">the Director of the Legislative Budget Board may provide written notification to the comptroller, the governor, and/or the Legislative Budget Board detailing the requirements of this section that the agency did not meet and any recommendations to address identified risks related to the procurement or contract. The recommendations may include enhanced monitoring by Legislative Budget Board</p>
-----------------------------	----------------	---

3.B. Rider Revisions and Additions Request (continued)

		<p>staff; auditing by the State Auditor's Office; required agency consultation with the Quality Assurance Team and/or Contract Advisory Team; or contract cancellation.</p> <p>(g) For contracts with an initial award value greater than \$1 million, a state agency or institution of higher education shall provide notice of a contract for services for which the expected total value of the contract subsequent to amendment or renewal exceeds the total value of the initial contract award by 10 percent or more, in accordance with procedures established by the Legislative Budget Board, to:</p> <p>(1) the governor;</p> <p>(2) the lieutenant governor; and</p> <p>(3) the speaker of the house of representatives.</p> <p>(h) A state agency or institution of higher education must provide the notice required under Subsection (g) not later than the 30th day after the date of the disclosure or discovery that the expected total value of the contract subsequent to amendment or renewal exceeds the total value of the initial contract award by 10 percent. The notice must include:</p> <p>(1) the amount of the cost increase;</p> <p>(2) the reason for the cost increase; and</p> <p>(3) any opportunity the state agency had to lessen the cost or to purchase the service from another vendor after the first dollar of the increased cost was discovered or disclosed to the agency or institution.</p> <p>(i) The Legislative Budget Board shall establish the procedures for the notice required by Subsections (g) or (h).</p> <p><i>TTU System requests the revision of this reporting requirement to limit it to funds within the Act as regulatory relief.</i></p>
--	--	--

3.B. Rider Revisions and Additions Request (continued)

Article IX, Section 7.10	IX-39	<p>Sec. 7.10. Reporting Requirement for Deepwater Horizon Oil Spill Funds.</p> <p>(a) Any state agency or institution of higher education that receives, expends, or administers funds, appropriations, or donations related to the Deepwater Horizon oil spill shall submit reports at the end of each fiscal quarter to the Legislative Budget Board. These include, but are not limited to, funds, appropriations, or donations from:</p> <ul style="list-style-type: none"> (1) the State Treasury; (2) the federal government; (3) the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act; (4) legal settlements and agreements; (5) private companies; and (6) non-profit organizations. <p>(b) The reports shall be in the format prescribed by the Legislative Budget Board and include the following information:</p> <ul style="list-style-type: none"> (1) activity since April 20, 2010; (2) amounts received by funding source; (3) projects and project descriptions; (4) expenditures, obligations, and projected costs; (5) timelines; and (6) direct and indirect costs. <p>(c) A state agency or institution of higher education that has previously reported under the provision of this section and has subsequently completed all activities related to the Deepwater Horizon oil spill may notify the Legislative Budget Board of this fact and cease further reporting to the LBB in the fiscal quarter following the last fiscal year quarter with activity. In the event that the agency or institution of higher education has additional unexpected activity, the agency or institution shall notify the Legislative Budget Board and begin reporting again the next fiscal quarter.</p> <p><i>TTU System requests the deletion of this reporting requirement as regulatory relief. Reporting in FY 2022 and FY 2023 will be more than ten years after the original event with questionable continuing purpose.</i></p>
-----------------------------	-------	---

3.B. Rider Revisions and Additions Request (continued)

<p>Article IX, Section 7.12</p>	<p>IX-39 to IX-41</p>	<p>Sec. 7.12. Notification of Certain Purchases or Contract Awards, Amendments, and Extensions.</p> <p>(a) In this section "contract" includes a contract, grant or agreement, including a revenue generating contract, an interagency or interlocal grant or agreement, purchase order or other written expression of terms of agreement or an amendment, modification, renewal, or extension of such for the purchase or sale of goods or services that was entered into or paid for, either in whole or in part <u>with funds appropriated by this Act</u>, by a state agency or institution of higher education.</p> <p>(b) Until providing notice that satisfies the requirements of Subsections 7.12(c) and (d), an agency or institution of higher education appropriated funds in this Act may not expend any funds to make a payment on a contract if the expected amount of the contract exceeds or may reasonably be expected to exceed either of the following thresholds:</p> <p style="padding-left: 40px;">(1) \$10 million; or</p> <p style="padding-left: 40px;">(2) \$1 million in the case of a contract awarded:</p> <p style="padding-left: 80px;">(A) as a result of an emergency or following an emergency procedure allowed by statute; or</p> <p style="padding-left: 80px;">(B) without issuing a request for proposal, request for bid, or other similar process common to participation in the competitive bidding processes required by statute, rule, or ordinary and commonly recognized state policies and procedures.</p> <p>(c) An agency or institution of higher education may not expend funds to make a payment on a contract under Subsection (b)(1) or (b)(2) until the notice required in this Section 7.12 is provided to the Legislative Budget Board. The notice shall be provided to the Legislative Budget Board:</p> <p style="padding-left: 40px;">(1) prior to the date on which the first payment under the contract will be made, but no later than 30 calendar days after the date on which the contract is awarded; or</p> <p style="padding-left: 40px;">(2) within 48 hours of making a payment if the contract was awarded as a result of an emergency or following an emergency procedure allowed by statute. Such a purchase must be necessary to avoid an immediate hazard to life, health, safety or the welfare of humans, or to avoid an immediate hazard to property.</p> <p>(d) The notice required by Section 7.12(c) must include:</p>
-------------------------------------	-----------------------	---

3.B. Rider Revisions and Additions Request (continued)

		<p>(1) (A) information regarding the nature, term, amount and the vendor(s) awarded the contract;</p> <p>(B) a copy of the contract documents, including all appendices and attachments, and, if applicable, a finding of fact for major consulting contracts from the Governor's Office stating that the consulting services are necessary as required by Government Code, Section 2254.028(a)(3);</p> <p>(C) each request for proposal, invitation to bid, or comparable solicitation related to the contract; and</p> <p>(D) Subsections (d)(1)(B) and (C) shall not apply:</p> <p style="padding-left: 40px;">(i) to an enrollment contract described by T.A.C. Section 391.183 as that section existed November 1, 2013;</p> <p style="padding-left: 40px;">(ii) to a contract of the Texas Department of Transportation that relates to highway construction or engineering, or is subject to Section 201.112, Transportation Code;</p> <p>(2) (A) certification signed by the executive director of the agency or other similar agency or institution administrator or designee of the agency or institution of higher education stating that the process used to award the contract, contract extension, or purchase complies with or is consistent with the following:</p> <p style="padding-left: 40px;">(i) State of Texas Procurement and Contract Management Guide; and</p> <p style="padding-left: 40px;">(ii) statutes, rules, policies and procedures related to the procurement and contracting of goods and services, including compliance with conflict of interest disclosure requirements; or</p> <p>(B) if the process to award the contract, contract extension, or procurement did not comply with the requirements of Subsection (d)(2)(A)(i) and (ii), or if these requirements are found to be inapplicable, the agency or institution of higher education shall provide either a legal justification for the inapplicability of the requirements or an explanation for the alternative process utilized, and legal justification for the alternative process;</p> <p>(3) certification by the executive director of the agency or other similar agency or institution administrator or designee of the agency or institution of higher education that the agency or institution has a process for:</p>
--	--	--

3.B. Rider Revisions and Additions Request (continued)

		<p>(A) verification of vendor performance and deliverables;</p> <p>(B) payment for goods and services only within the scope of the contract or procurement order;</p> <p>(C) calculation and collection of any liquidated damages associated with vendor performance; and</p> <p>(D) when, why, or how to apply corrective action plans for continuing poor vendor performance;</p> <p>(4) certification by the executive director of the agency or other similar agency or institution administrator or designee of the agency or institution of higher education that the agency or institution will comply with the requirement to provide information to the Vendor Performance Tracking System when the contract is completed; and</p> <p>(5) any other information requested by the Legislative Budget Board before or after the Legislative Budget Board receives the notice as required by this Section 7.12.</p> <p>(e) A state agency or institution of higher education receiving an appropriation under this Act shall provide notice of a contract pursuant to this section without regard to the source of funds or method of finance associated with the expenditures, including a contract for which only non-appropriated funds will be expended.</p> <p>(f) If the agency does not satisfy the notification requirements of this section, the Director of the Legislative Budget Board may provide written notification to the comptroller, governor, and Legislative Budget Board detailing the requirements of this section that the agency did not meet and any recommendations to address identified risks related to the procurement or contract. The recommendations may include enhanced monitoring by Legislative Budget Board staff; auditing by the State Auditor's Office; required agency consultation with the Quality Assurance Team and/or Contract Advisory Team; or contract cancellation.</p> <p>(g) It is the intent of the legislature that a written notice certified as required by this Section 7.12 should be considered a "governmental record" as defined under Chapter 37, Penal Code.</p> <p><i>TTU System requests the revision of this reporting requirement to limit it to funds within the Act as regulatory relief.</i></p>
--	--	---

3.B. Rider Revisions and Additions Request (continued)

Article IX, Section 7.13	IX-41	<p>Sec. 7.13. Document Production Standards. Out of the funds appropriated by this Act, each state agency shall review their document production protocols and apply best practices to produce documents in the most practicable, efficient, and cost effective manner possible that would lead to reduction in the total volume of paper used for general office administration, publications and reproduction and printing services. This may also include changing the format of transmitting or receiving documents; such as through electronic rather than hard copy. Agencies shall report any cost savings or other efficiencies achieved from implementing changes to document transmission and production practices in the agency's Legislative Appropriations Request.</p> <p><i>TTU System requests the deletion of this reporting requirement as regulatory relief.</i></p>
-----------------------------	-------	---

3.B. Rider Revisions and Additions Request (continued)

Article IX, Section 12.01	IX-61 to IX-62	<p>Sec. 12.01. Aircraft.</p> <p>(a) Notwithstanding any other provision of this Act, the purchase of aircraft may not be made from appropriated funds except as authorized in this section.</p> <p>(b) Agencies authorized to expend appropriated funds for the maintenance and operation of state-owned aircraft or replacements authorized by Subsection (d) are:</p> <ul style="list-style-type: none"> (a) Texas A&M University System; (2) Texas Department of Criminal Justice; (3) Texas Department of Transportation; (4) Parks and Wildlife Department; (5) Department of Public Safety of the State of Texas; (6) University of Texas System; (7) Texas State Technical College; and (8) Texas Forest Service. <p>(c) Notwithstanding any other provision of this Act, all state-owned aircraft (including aircraft forfeited to or seized by a particular agency) are subject to the authority of the Texas Department of Transportation.</p> <p>(d) Expenditure of appropriated funds for replacement of aircraft with aircraft of comparable quality may be made contingent upon approval of the Texas Department of Transportation and a finding of fact by the Governor that a report has been filed with the Governor showing that:</p> <ul style="list-style-type: none"> (1) the aircraft to be replaced has been destroyed or has deteriorated to an extent that continued operation presents a serious hazard or that the aircraft to be replaced can no longer meet the mission requirements of the principal user state agency; and
------------------------------	----------------	--

3.B. Rider Revisions and Additions Request (continued)

		<p>(2) other state-owned aircraft cannot be effectively utilized in lieu of a replacement aircraft.</p> <p>(e) Expenditures necessary to purchase liability insurance pursuant to §2205.045(a), Government Code, shall be made on a pro rata basis, as determined by the Texas Department of Transportation, from appropriations authorized to each agency operating a state-owned aircraft. The Comptroller shall transfer such necessary amounts from agencies operating aircraft to the Texas Department of Transportation for the purchase of liability insurance and expenditure of such funds by the Department is authorized.</p> <p>(f) Any reimbursements received by a state agency for authorized aircraft services rendered to another state agency are appropriated to the agency receiving the reimbursements, and shall be credited to the agency's appropriation item from which the cost of aircraft operation is paid.</p> <p><u>(g) This section does not apply to unmanned aerial vehicles (drones) purchased and operated by an institution of higher education. An institution of higher education is not prohibited from purchasing a drone using funds appropriated by this Act.</u></p> <p><i>TTU System requests that the rider be clarified to exclude unmanned aerial vehicles (drones). Drones are classified as a type of aircraft in the State's accounting system and as such could be construed as prohibited by this section.</i></p>
Article IX, Section 13.12	IX-67	<p>Sec. 13.12. Reporting of Federal Homeland Security Funding. All state agencies and other than an institutions <u>of higher education</u> shall include in their operating budget reports to the Legislative Budget Board:</p> <p>(1) an estimated amount of federal homeland security funding received by the agency or institution of higher education and used for the operation and administration of state homeland security programs; and</p> <p>(2) the amount of federal homeland security funding received by the agency or institution and passed through to other agencies, institutions <u>of higher education</u>, or local units of government.</p> <p><i>TTU System requests the deletion of this reporting requirement as regulatory relief.</i></p>

3.B. Rider Revisions and Additions Request (continued)

Article IX, Section 17.11	IX-84	<p>Sec. 17.11. Energy Efficiency Savings for State Facilities.</p> <p>(a) In this section, "facility" means a facility with at least 100,000 gross square feet.</p> <p>(b) It is the intent of the legislature that a state agency that is appropriated money by this Act with charge and control over a facility shall have a remote or on-site assessment of the facility performed by the Energy Systems Laboratory at Texas A&M Engineering Experiment Station or another qualified provider to determine whether implementation of continuous commissioning or existing building commissioning practices would result in estimated savings of at least 10 percent in utility costs for the facility. A state agency shall supply any documents necessary to perform the assessment. The state agency shall report to the Legislative Budget Board on the results of the assessment.</p> <p>(c) If the results of an assessment performed under Subsection (b) of this section show estimated utility cost savings of at least 10 percent, the state agency shall have the Energy Systems Laboratory at Texas A&M Engineering Experiment Station or another qualified provider prepare a plan for implementation of continuous commissioning or existing building commissioning practices and monitoring of the implementation for the state agency.</p> <p>(d) <u>This section does not apply to an institution of higher education.</u></p> <p><i>TTU System requests the change to this requirement as regulatory relief.</i></p>
------------------------------	-------	---

3.B. Rider Revisions and Additions Request (continued)

<p>Article IX, Section 17.10 (Paragraphs (b)(10) and (f) only)</p>	<p>IX-82 to IX-84</p>	<p>Sec. 17.10. Contract Management and Oversight.</p> <p><i>(Paragraph (a) omitted due to space considerations)</i></p> <p>(b) Agencies and institutions should manage contracts consistent with state statute, the General Appropriations Act, and the State of Texas Procurement and Contract Management Guide and ensure proper oversight of contract processes including:</p> <p><i>(intervening provisions (1) to (9) omitted due to space considerations)</i></p> <p>(10) Ensure that vendor performance is reported to the Vendor Performance Tracking System (VPTS) and that VPTS data is used in selecting vendors for contract awards. <u>This provision does not apply to an institution of higher education.</u></p> <p><i>(intervening paragraphs (c) through (e) omitted due to space considerations)</i></p> <p>(f) Each agency, <u>other than</u> an institution of higher education, that receives appropriations in this Act, shall provide a report to the Legislative Budget Board and the Governor that details the steps taken to ensure compliance with state procurement requirements and any other information required by the Legislative Budget Board. The report for activities undertaken in fiscal year 20220 is due not later than September 30, 20220, and a summary report for the 20220-231 biennium is due August 31, 20231.</p> <p><i>(subsequent paragraphs omitted due to space considerations)</i></p> <p><i>TTU System requests the change to this requirement as regulatory relief. With regard to paragraph (b)(10), TTU System believes that institutions of higher education that purchase under authority provided in the Education Code are exempt from this requirement and seeks the change as a clarification. Regarding paragraph (f), the original rider adopted by the 85th Legislature did not require institutions of higher education to submit a report since there was no associated cost savings listed in the rider for institutions. Institutions of higher education currently report contract information to the LBB pursuant to Riders 7.04 and 7.12 in Article IX. Statutory contracting requirements ensure that institutions of higher education are proactively ensuring savings and compliance as it relates to procurement.</i></p>
--	-----------------------	--

4.A. Exceptional Item Request Schedule
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **9/17/2020**
TIME: **4:48:00PM**

Agency code: **768** Agency name: **Texas Tech University System Administration**

CODE	DESCRIPTION	Excp 2022	Excp 2023
<p style="text-align: right;">Item Name: Additional Funding for System Administration</p> <p style="text-align: right;">Item Priority: 1</p> <p style="text-align: right;">IT Component: No</p> <p style="text-align: right;">Anticipated Out-year Costs: Yes</p> <p style="text-align: right;">Involve Contracts > \$50,000: No</p> <p>Includes Funding for the Following Strategy or Strategies: 01-01-11 System Office Operations</p>			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	700,400	700,400
TOTAL, OBJECT OF EXPENSE		\$700,400	\$700,400
METHOD OF FINANCING:			
1	General Revenue Fund	700,400	700,400
TOTAL, METHOD OF FINANCING		\$700,400	\$700,400
FULL-TIME EQUIVALENT POSITIONS (FTE):		14.60	14.60

DESCRIPTION / JUSTIFICATION:

Additional funding for system operation will provide for appropriate staffing levels as the system administration strives to meet the increasing needs of our component institutions. The additional funding will in turn allow our component institutions to retain more funding for their students and their academic missions.

EXTERNAL/INTERNAL FACTORS:

Major accomplishments to date and expected over the next two years: The leadership and support services provided by the system administration help our institution manage costs and have been vital to our component institutions ability to effectively and efficiently meet past education goals in the State's Closing the Gap initiative and current education goals in the State's 60x30TX initiative.

Year established: The Texas Tech University System was formally established as a state agency in 1999.

Formula funding: n/a

Non-general revenue sources of funding: TTUSA receives other funding from our component institutions.

Consequences of not funding: Component institutions will be required to continue to contribute funding in order to maintain the same level of basic services in 2022-23 biennium.

PCLS TRACKING KEY:

4.A. Exceptional Item Request Schedule
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **9/17/2020**
TIME: **4:48:00PM**

Agency code: **768**

Agency name:

Texas Tech University System Administration

CODE	DESCRIPTION	Excp 2022	Excp 2023
-------------	--------------------	------------------	------------------

DESCRIPTION OF ANTICIPATED OUT-YEAR COSTS :

Permanent support for continuing operations.

ESTIMATED ANTICIPATED OUT-YEAR COSTS FOR ITEM:

2024	2025	2026
\$700,400	\$700,400	\$700,400

4.B. Exceptional Items Strategy Allocation Schedule
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/17/2020
TIME: 4:48:00PM

Agency code: **768** Agency name: **Texas Tech University System Administration**

Code	Description	Excp 2022	Excp 2023
Item Name: Additional Funding for System Administration			
Allocation to Strategy: 1-1-11 System Office Operations			
OBJECTS OF EXPENSE:			
1001	SALARIES AND WAGES	700,400	700,400
TOTAL, OBJECT OF EXPENSE		\$700,400	\$700,400
METHOD OF FINANCING:			
1	General Revenue Fund	700,400	700,400
TOTAL, METHOD OF FINANCING		\$700,400	\$700,400
FULL-TIME EQUIVALENT POSITIONS (FTE):		14.6	14.6

4.C. Exceptional Items Strategy Request
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/17/2020
TIME: 4:48:01PM

Agency Code: **768** Agency name: **Texas Tech University System Administration**

GOAL: 1 Provide Instructional and Operations Support

OBJECTIVE: 1 Provide Instructional and Operations Support

STRATEGY: 11 System Office Operations

Service Categories:

Service: 02 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2022	Excp 2023
------------------	-----------	-----------

OBJECTS OF EXPENSE:

1001 SALARIES AND WAGES

700,400

700,400

Total, Objects of Expense

\$700,400

\$700,400

METHOD OF FINANCING:

1 General Revenue Fund

700,400

700,400

Total, Method of Finance

\$700,400

\$700,400

FULL-TIME EQUIVALENT POSITIONS (FTE):

14.6

14.6

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:

Additional Funding for System Administration

6.A. Historically Underutilized Business Supporting Schedule
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 9/17/2020
Time: 4:48:01PM

Agency Code: 768 Agency: Texas Tech University System Administration

COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS

A. Fiscal Year - HUB Expenditure Information

Statewide HUB Goals	Procurement Category	% Goal	<u>HUB Expenditures FY 2018</u>			<u>Total Expenditures FY 2018</u>		<u>HUB Expenditures FY 2019</u>			<u>Total Expenditures FY 2019</u>	
			% Actual	Diff	Actual \$			% Actual	Diff	Actual \$		
11.2%	Heavy Construction	0.0 %	0.0%	0.0%	\$0		\$0	0.0 %	0.0%	\$0		\$0
21.1%	Building Construction	21.1 %	0.0%	-21.1%	\$0		\$963,247	0.0 %	0.0%	\$0		\$0
32.9%	Special Trade	0.0 %	0.0%	0.0%	\$0		\$15,180	0.0 %	0.0%	\$0		\$17,171
23.7%	Professional Services	0.0 %	0.0%	0.0%	\$0		\$156,597	0.0 %	0.0%	\$0		\$147,682
26.0%	Other Services	26.0 %	0.2%	-25.8%	\$6,136		\$3,513,269	26.0 %	0.3%	\$12,632		\$4,857,582
21.1%	Commodities	21.1 %	21.8%	0.7%	\$176,240		\$810,135	21.1 %	12.9%	\$97,736		\$759,381
	Total Expenditures		3.3%		\$182,376		\$5,458,428		1.9%	\$110,368		\$5,781,816

B. Assessment of Fiscal Year - Efforts to Meet HUB Procurement Goals

Attainment:

Texas Tech University System Administration (TTUSA) exceeded the Commodities Statewide Goal of 21.1% by 0.65% in 2018 but failed to meet the goal by 8.23% in 2019.

Applicability:

TTUSA's primary expenditures categories are Other Services and Commodity Purchasing but in 2018 Building Construction expenditures were high due to the completion of the New System Office.

Factors Affecting Attainment:

The majority of purchases from TTUSA are either internal office needs or many are proprietary purchases. Availability and capability of HUB vendors in West Texas is very limited for some commodities and services.

TTU System will continue to require prime contractors to put forth a good faith effort in establishing contracts with HUBs as subcontractors, suppliers, and material providers for contracts of \$100,000 or more.

"Good-Faith" Efforts:

TTUSA provides monthly HUB reports to TTUS departments that include vendor names to purchasing employees.

Participates in local HUB forums & presentations to Small Business Development programs.

Assists HUB firms with certification.

Require prime contractors to put forth a good faith effort on all contracts and assist with HUB Subcontracting Plan questions.

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

DATE: 9/17/2020
TIME: 4:48:01PM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
OBJECTS OF EXPENSE						
2003	CONSUMABLE SUPPLIES	\$0	\$1,501	\$0	\$0	\$0
2005	TRAVEL	\$0	\$2,479	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$5,956	\$17,101	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$3,494	\$0	\$0	\$0
TOTAL, OBJECTS OF EXPENSE		\$0	\$13,430	\$17,101	\$0	\$0
METHOD OF FINANCING						
8888	Local/Not Appropriated Funds	\$0	\$13,430	\$17,101	\$0	\$0
	Subtotal, MOF (Other Funds)	\$0	\$13,430	\$17,101	\$0	\$0
TOTAL, METHOD OF FINANCE		\$0	\$13,430	\$17,101	\$0	\$0

FULL-TIME-EQUIVALENT POSITIONS

NO FUNDS WERE PASSED THROUGH TO LOCAL ENTITIES

NO FUNDS WERE PASSED THROUGH TO OTHER STATE AGENCIES OR INSTITUTIONS OF HIGHER EDUCATION

USE OF HOMELAND SECURITY FUNDS

Non-appropriated funds were used for PPE, cleaning supplies, equipment for remote work and cancelled travel. FY21 projected expenses are primarily for a delayed upgrade to building HVAC filtration, along with some minor expenses for PPE.

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

Funds Passed through to Local Entities
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/17/2020

TIME: 4:48:01PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
-------------	--------------------	-----------------	-----------------	-----------------	----------------	----------------

6.G. HOMELAND SECURITY FUNDING SCHEDULE - PART C - COVID-19 RELATED EXPENDITURES

Funds Passed through to State Agencies
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 9/17/2020

TIME: 4:48:01PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

CODE	DESCRIPTION	Exp 2019	Est 2020	Bud 2021	BL 2022	BL 2023
-------------	--------------------	-----------------	-----------------	-----------------	----------------	----------------

6.H Estimated Funds Outside the Institution's Bill Pattern

Agency Name (Agency Code)

Estimated Funds Outside the Institution's Bill Pattern
2020-21 and 2022-23 Biennia

	2020-21 Biennium				2022-23 Biennium			
	<u>FY 2020</u> <u>Revenue</u>	<u>FY 2021</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>	<u>FY 2022</u> <u>Revenue</u>	<u>FY 2023</u> <u>Revenue</u>	<u>Biennium</u> <u>Total</u>	<u>Percent</u> <u>of Total</u>
APPROPRIATED SOURCES INSIDE THE BILL PATTERN								
State Appropriations (excluding HEGI & State Paid Fringes)	\$ 1,368,000	\$ 1,368,000	\$ 2,736,000		\$ 1,299,600	\$ 1,299,600	\$ 2,599,200	
5% Base Adjustment	-	(136,800)	(136,800)		-	-	-	
Tuition and Fees (net of Discounts and Allowances)	-	-	-		-	-	-	
Endowment and Interest Income	-	-	-		-	-	-	
Sales and Services of Educational Activities (net)	-	-	-		-	-	-	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Other Income	-	-	-		-	-	-	
Total	<u>1,368,000</u>	<u>1,231,200</u>	<u>2,599,200</u>	<u>5.0%</u>	<u>1,299,600</u>	<u>1,299,600</u>	<u>2,599,200</u>	<u>5.1%</u>
APPROPRIATED SOURCES OUTSIDE THE BILL PATTERN								
State Appropriations (HEGI & State Paid Fringes)	1,457,211	1,476,570	2,933,781		1,476,570	1,476,570	2,953,140	
Higher Education Assistance Funds	-	-	-		-	-	-	
Available University Fund	-	-	-		-	-	-	
State Grants and Contracts	-	-	-		-	-	-	
Total	<u>1,457,211</u>	<u>1,476,570</u>	<u>2,933,781</u>	<u>5.6%</u>	<u>1,476,570</u>	<u>1,476,570</u>	<u>2,953,140</u>	<u>5.8%</u>
NON-APPROPRIATED SOURCES								
Tuition and Fees (net of Discounts and Allowances)	-	-	-		-	-	-	
Federal Grants and Contracts	-	-	-		-	-	-	
State Grants and Contracts	-	-	-		-	-	-	
Local Government Grants and Contracts	-	-	-		-	-	-	
Private Gifts and Grants	-	-	-		-	-	-	
Endowment and Interest Income	9,767,585	9,468,130	19,235,715		9,468,130	9,468,130	18,936,260	
Sales and Services of Educational Activities (net)	-	-	-		-	-	-	
Sales and Services of Hospitals (net)	-	-	-		-	-	-	
Professional Fees (net)	-	-	-		-	-	-	
Auxiliary Enterprises (net)	-	-	-		-	-	-	
Other Income	4,937,310	4,105,450	9,042,760		4,105,450	4,105,450	8,210,900	
Transfers from Components (Appropriated & Non-Appropriated)	9,400,699	8,942,229	18,342,928		8,942,229	8,942,229	17,884,458	
Total	<u>24,105,594</u>	<u>22,515,809</u>	<u>46,621,403</u>	<u>89.4%</u>	<u>22,515,809</u>	<u>22,515,809</u>	<u>45,031,618</u>	<u>89.0%</u>
TOTAL SOURCES	<u><u>\$ 26,930,805</u></u>	<u><u>\$ 25,223,579</u></u>	<u><u>\$ 52,154,384</u></u>	<u><u>100.0%</u></u>	<u><u>\$ 25,291,979</u></u>	<u><u>\$ 25,291,979</u></u>	<u><u>\$ 50,583,958</u></u>	<u><u>100.0%</u></u>

Schedule 3A: Staff Group Insurance Data Elements (ERS)
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

9/17/2020 4:48:03PM

768 Texas Tech University System Administration

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
GR & GR-D Percentages					
GR %	100.00%				
GR-D/Other %	0.00%				
Total Percentage	100.00%				
FULL TIME ACTIVES					
1a Employee Only	33	33	0	33	38
2a Employee and Children	12	12	0	12	17
3a Employee and Spouse	9	9	0	9	12
4a Employee and Family	24	24	0	24	13
5a Eligible, Opt Out	0	0	0	0	0
6a Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	78	78	0	78	80
PART TIME ACTIVES					
1b Employee Only	0	0	0	0	1
2b Employee and Children	0	0	0	0	0
3b Employee and Spouse	0	0	0	0	0
4b Employee and Family	0	0	0	0	0
5b Eligible, Opt Out	0	0	0	0	0
6b Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	1
Total Active Enrollment	78	78	0	78	81

Schedule 3A: Staff Group Insurance Data Elements (ERS)
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

9/17/2020 4:48:03PM

768 Texas Tech University System Administration

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
FULL TIME RETIREES by ERS					
1c Employee Only	0	0	0	0	0
2c Employee and Children	0	0	0	0	0
3c Employee and Spouse	0	0	0	0	0
4c Employee and Family	0	0	0	0	0
5c Eligible, Opt Out	0	0	0	0	0
6c Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
PART TIME RETIREES by ERS					
1d Employee Only	0	0	0	0	0
2d Employee and Children	0	0	0	0	0
3d Employee and Spouse	0	0	0	0	0
4d Employee and Family	0	0	0	0	0
5d Eligible, Opt Out	0	0	0	0	0
6d Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	0	0	0	0	0
Total Retirees Enrollment	0	0	0	0	0
TOTAL FULL TIME ENROLLMENT					
1e Employee Only	33	33	0	33	38
2e Employee and Children	12	12	0	12	17
3e Employee and Spouse	9	9	0	9	12
4e Employee and Family	24	24	0	24	13
5e Eligible, Opt Out	0	0	0	0	0
6e Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	78	78	0	78	80

Schedule 3A: Staff Group Insurance Data Elements (ERS)
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

9/17/2020 4:48:03PM

768 Texas Tech University System Administration

	E&G Enrollment	GR Enrollment	GR-D/OEGI Enrollment	Total E&G (Check)	Local Non-E&G
TOTAL ENROLLMENT					
1f Employee Only	33	33	0	33	39
2f Employee and Children	12	12	0	12	17
3f Employee and Spouse	9	9	0	9	12
4f Employee and Family	24	24	0	24	13
5f Eligible, Opt Out	0	0	0	0	0
6f Eligible, Not Enrolled	0	0	0	0	0
Total for This Section	78	78	0	78	81

Schedule 4: Computation of OASI
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Agency 768 Texas Tech University System Administration

Proportionality Percentage Based on Comptroller Accounting Policy Statement #011, Exhibit 2	2019		2020		2021		2022		2023	
	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	100.0000	\$352,594	100.0000	\$370,676	100.0000	\$357,561	100.0000	\$352,922	100.0000	\$352,922
Other Educational and General Funds (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Health-Related Institutions Patient Income (% to Total)	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0	0.0000	\$0
Grand Total, OASI (100%)	100.0000	\$352,594	100.0000	\$370,676	100.0000	\$357,561	100.0000	\$352,922	100.0000	\$352,922

Schedule 5: Calculation of Retirement Proportionality and ORP Differential

10/15/2020 3:48:23PM

87th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

768 Texas Tech University System Administration

Description	Act 2019	Act 2020	Bud 2021	Est 2022	Est 2023
Proportionality Amounts					
Gross Educational and General Payroll - Subject To TRS Retirement	4,550,183	4,849,663	4,698,362	4,629,962	4,629,962
Employer Contribution to TRS Retirement Programs	309,412	363,725	352,377	347,247	370,397
Gross Educational and General Payroll - Subject To ORP Retirement	660,020	375,894	526,526	526,526	526,526
Employer Contribution to ORP Retirement Programs	44,881	25,519	35,804	35,804	35,804
Proportionality Percentage					
General Revenue	100.0000 %	100.0000 %	100.0000 %	100.0000 %	100.0000 %
Other Educational and General Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Health-related Institutions Patient Income	0.0000 %	0.0000 %	0.0000 %	0.0000 %	0.0000 %
Proportional Contribution					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	0	0	0	0	0
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
Differential					
Differential Percentage	1.9000 %	1.9000 %	1.9000 %	1.9000 %	1.9000 %
Gross Payroll Subject to Differential - Optional Retirement Program	554,904	272,368	194,632	194,632	194,632
Total Differential	10,543	5,175	3,698	3,698	3,698

Schedule 7: Personnel
87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

Date: 9/17/2020

Time: 4:48:03PM

Agency code: **768** Agency name: **Texas Tech Univ Sys Admin**

	Actual	Actual	Budgeted	Estimated	Estimated
Part A.					
FTE Postions					
Directly Appropriated Funds (Bill Pattern)					
Educational and General Funds Non-Faculty Employees	74.2	78.4	78.3	78.3	78.3
Subtotal, Directly Appropriated Funds	74.2	78.4	78.3	78.3	78.3
Non Appropriated Funds Employees	96.8	94.6	91.7	91.7	91.7
Subtotal, Other Funds & Non-Appropriated	96.8	94.6	91.7	91.7	91.7
GRAND TOTAL	171.0	173.0	170.0	170.0	170.0

Schedule 8B: Tuition Revenue Bond Issuance History

9/17/2020 4:48:03PM

87th Regular Session, Agency Submission, Version 1
Automated Budget and Evaluation System of Texas (ABEST)

768 Texas Tech University System Administration						
Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2020	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$35,000,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		Feb 1 1984	\$1,500,000			
		Subtotal	\$35,000,000	\$0		
1993	\$41,000,000	Jan 5 1994	\$16,000,000			
		Feb 15 1995	\$25,000,000			
		Subtotal	\$41,000,000	\$0		
1997	\$82,500,000	Sep 19 1998	\$20,000,000			
		May 4 1999	\$38,200,000			
		Jan 1 2002	\$24,300,000			
		Subtotal	\$82,500,000	\$0		
2001	\$107,447,075	Sep 1 2003	\$90,529,525			
		Oct 17 2012	\$16,917,550			
		Subtotal	\$107,447,075	\$0		
2003	\$45,000,000	Jan 1 2006	\$45,000,000			
		Subtotal	\$45,000,000	\$0		
2006	\$89,810,000	Mar 3 2009	\$89,810,000			
		Subtotal	\$89,810,000	\$0		
2015	\$247,115,000	Feb 22 2017	\$247,115,000			
		Subtotal	\$247,115,000	\$0		