

TEXAS TECH UNIVERSITY SYSTEM
Lubbock, Texas

Minutes

Board of Regents
October 12, 2012

Friday, October 12, 2012.—The members of the Board of Regents of the Texas Tech University System convened at approximately 9:03 am on Friday, October 12, 2012, in the Matador Room, Room 227, Second Floor, Student Union Building, 15th Street and Akron Avenue, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were Larry K. Anders; L. Frederick “Rick” Francis; Mickey L. Long, Vice Chairman; Debbie Montford; Nancy R. Neal; John Field Scovell; John D. Steinmetz; Suzanne Taylor, Student Regent; Jerry E. Turner, Chairman; and John B. Walker.

The following officers and staff were present: Mr. Kent Hance, Chancellor, TTUS; Dr. Joseph Rallo, President, ASU; Dr. Lawrence Schovanec, Interim President, TTU; Mr. Ben Lock, Executive Assistant to the Chancellor and Secretary of the Board, TTUS; Mr. Pat Campbell, Advisor to the Board of Regents, TTUS; Mr. John Huffaker, Vice Chancellor and General Counsel, TTUS; Mr. Jim Brunjes, Chief Financial Officer, TTUS; Mr. Elmo Cavin, Executive Vice President for Finance and Administration, TTUHSC; Mr. Kyle Clark, Vice President for Administration and Finance, TTU; Mr. Michael Reid, Vice President for Finance and Administration, ASU; Mrs. Kim Turner, Chief Audit Executive, TTUS; Mrs. Theresa Drewell, Associate Vice Chancellor, Facilities Planning and Construction, TTUS; Dr. Kelly Overley, Vice Chancellor for Institutional Advancement, TTUS; Mr. Jodey Arrington, Vice Chancellor for Technology Commercialization, TTUS; Dr. John Opperman, Vice Chancellor for Policy and Planning, TTUS; Dr. Brian May, Provost and Vice President for Academic Affairs, ASU; Dr. Javier Flores, Vice President for Student Affairs and Enrollment Management, ASU; Dr. Bob Smith, Provost and Senior Vice President for Academic Affairs, TTU; Dr. Juan Muñoz, Senior Vice President, Division of Institutional Diversity, Equity & Community Engagement and Vice Provost, Division of Undergraduate Education & Student Affairs, TTU; Mr. Russell Thomasson, Chief of Staff, Chancellor’s Office, TTUS; Mr. Hector Romo, President, Student Government Association, ASU; and Mrs. Christina Martinez, Assistant Secretary to the Board of Regents, TTUS.

- I. CALL TO ORDER; CONVENE INTO OPEN SESSION OF THE BOARD.—
Chairman Turner announced a quorum present and called the meeting to order.

II. INTRODUCTIONS AND RECOGNITIONS.—Chairman Turner called Mr. Hance, Dr. Schovanec, Mr. Cavin, and Dr. Rallo to present their introductions and recognitions.

Chancellor Kent Hance began his introductions to the Board, “In regards to the passing of Harry Weitlauf, Harry was a graduate of the University of Washington. He was 75 years old. He had headed our Cell Biology and Biochemistry Department. He had been a loyal Texas Tech employee. He was well liked by students and fellow faculty members. It’s a great loss to us. Please keep his family and his HSC family in your prayers.

“As for our introductions this morning, my first is Matt Schaefer. Matt is a State Representative-elect from Tyler. He was without any main party opposition. He will be a State Representative in January. His undergraduate degree is in business from Texas Tech. His law degree was from Texas Tech. He practices law and is involved in the real estate business. His family members are Texas Tech people. His brother David played tight end at Texas Tech and was the first football player or major athlete at Texas Tech to be a member of Phi Beta Kappa. Matt also worked for U.S. Senator Phil Graham. So, he is not new to politics. He and his wife Jessie live in Tyler. He wanted to say hello and we welcome him.”

Mr. Schaefer addressed the Board: “Thank you very much. Tyler is the Lubbock of east Texas. There is a lot in common and now you have a Red Raider out there in the piney woods. You are going to have another Red Raider in the halls of the capitol. I am very proud of my education here and my family’s. We have invested a lot of our lives here. I look forward to working with the school and the great things for the school.”

Chancellor Hance continued. “I’d like to introduce Dr. Steven Balch. Many of you met him last night at the reception. He is the director of the Institute for the Study of Western Civilization. He served for 25 years as the founding president and chairman of the National Association of Scholars. His Ph.D. is from Cal-Berkeley. In 2007, President Bush awarded him the National Humanities Medal. He will be a faculty member in that area. He will be a great addition. This is something that we will be very proud of that is being done at Texas Tech. Thank you Dr. Balch.

“Also, Barry Brown is present. He will be heading up our work in Washington. He is a Texas Tech graduate. He worked on the hill for over 20 years. He has served on the Board of the Texas Tech Alumni Association and the Red Raider Club. He will be a great asset to us and we appreciate having Barry as part of the team.

“Scott Self is one of our development officers in Institutional Advancement for the School of Engineering. He was the top producer in fundraising in Institutional Advancement last year. I think that is well worth recognizing. Scott we

appreciate you and all you have done. You have done an outstanding job in raising money for Texas Tech. Thank you.

“Our very own John Huffaker was selected to receive the Excellence in Agriculture Award as presented by the American Agriculture Law Association. They choose only one person per year and it is the highest honor given by the association. He’ll be honored in Nashville at their National Convention next Saturday.”

Chancellor Hance stated that was the conclusion of his introductions and recognitions.

Dr. Schovanec presented his introductions and recognitions. “I have several introductions this morning. I am pleased to introduce our new interim head coach for the Red Raider basketball team, Chris Walker. Coach Walker has been with Texas Tech for just over a year. Previously, he worked at his alma mater Villanova plus he has had coaching appointments at New Mexico, Pepperdine, Vanderbilt and Loyola Marymount. In his 17 years as a college assistant, he has been on the staff of 8 NCAA tournament teams. Congratulations Chris. We wish you the best for this season.

“Texas Tech is proud to welcome the new dean of the Jerry S. Rawls College of Business, Dr. Lance Nail. Dr. Nail was appointed as a result of a national search and he assumed this position on August 15, 2012. He received his Ph.D. in finance from the University of Georgia. Prior to joining Texas Tech, he served as dean at the College of Business at the University of Southern Mississippi and as chair of the Department of Finance, Economic and Quantitative Methods at the University of Alabama at Birmingham.

“We are also pleased to introduce some new interim positions. Dr. Dominick “Dom” Casadonte will serve as the interim dean of the Graduate School. Dr. Casadonte began his academic career at Texas Tech in the fall of 1989. During this time, he has held many positions of service and he has received several teaching honors and research accomplishments. Most recently he was the chair of the Department of Chemistry and Biochemistry and he is a Minnie Stevens Piper Professor.

“Also joining us today is the interim dean for the College of Arts and Sciences, Dr. Jeff Williams. Dr. Williams received his Ph.D. in linguistics from the University of Texas. He came to Tech in 2006 as chair of the Department of Sociology, Anthropology and Social Work. Prior to his appointment at TTU, he served as a faculty member at the University of Sydney in Australia, Vanderbilt University and Cleveland State University. He was also involved with the Rock and Roll Hall of Fame. So, he is multi-talented.

“We have our new interim vice president for research, Dr. Michael San Francisco. Dr. San Francisco is a professor in the department of biological sciences. He has been at Tech since 1990 serving in various capacities of service. He has been the associate dean of the Honors College, the associate vice president for research and he was once the interim chair of the Department of Biological Sciences.

“It is also my honor to introduce to you today our newest Horn Professor, Dr. Tom Knight. Dr. Knight is a professor in the Department of Agriculture and Applied Economics. He received his Ph.D. in agricultural economics from the University of Missouri at Columbia. Prior to coming to Texas Tech in 2002, he was a full professor at Texas A&M. He is the recipient of several awards acknowledging his teaching and his research. He has received a CASNR Graduate Advising Award, the CASNR Research Award and was also awarded the President’s Academic Achievement Award. Congratulations to Dr. Knight.”

Chairman Turner added, “Dr. Knight, we are very proud of you and thank you for what you do for Texas Tech.”

Dr. Schovanec continued. “This has been a remarkable time of achievement for the Upward Bound Program—a program that is designed to prepare high school students for college. Over the last six months, Upward Bound has received three different grants that total just under \$5 million. I want to introduce some of those staff members who make this possible. Gabriel Gonzales is a first generation college student from Slaton. He is currently the Quest Unit Coordinator for the Upward Bound Program. Jesse Jalomo serves as the director of the Upward Bound Programs at Texas Tech. He is a graduate from Texas Tech with both his undergraduate and graduate degrees. He is also a former Upward Bound student. Sylvia Gonzales has been an associate director with the Upward Bound Program for one and half years. She has also worked for the TRiO Program for 12 years. Sharon Hornsby has been with Texas Tech for five years. She has a degree from the University in human development and family studies. And last but not least, Dr. Juan Muñoz. Dr. Muñoz is our senior vice president who oversees the University’s diversity initiatives which manages the Upward Bound Program. Congratulations to each of you for all of your recent accomplishments.”

Dr. Schovanec stated that was the conclusion of his introductions.

Mr. Cavin presented the introductions for the Health Sciences Center. “As the Board is aware, Dr. Arthur Nelson retired this past summer as the dean of the School of Pharmacy. He served for 18 years in that capacity. He was our first and only dean of the School of Pharmacy to this point. After a national search, the Search Committee narrowed the pool of applicants down to ten individuals who they brought in for interviews. From there, the Search Committee selected three candidates who they recommended to the president. The president conducted a second round of interviews for those three and the president has

named Dr. Quentin Smith as the second dean of the Health Sciences Center School of Pharmacy. Dr. Smith graduated from Overland College with a bachelor's of arts degree in chemistry. He went on to obtain his doctorate in pharmacology from the University of Utah and then did a post doctoral fellowship in the Gerontology Research Center at the National Institute of Aging focusing on neurosciences, aging and blood brain barrier. Dr. Smith is one of our own. He has been at the Health Sciences Center since 1997 as a professor and chair of the Department of Pharmacology. In 2009, he was given additional responsibilities as the senior associate dean for sciences. Prior to his recruitment, he served as the chief in the section of neurochemistry and brain transport at the laboratory of neurosciences with the National Institute on Aging at the National Institutes of Health. He is an extremely productive researcher with primary research interest in drug development and delivery of the central nervous system for the treatment of brain tumors, strokes and neurodegenerative diseases. He also serves on numerous NIH study committees. To tell you how the students think of him, he was voted as the most influential faculty member by the graduating PharmD students in both 2002 and 2003. He was also voted as teacher of the year by the PharmD students in 1999, 2000, 2002 and again in 2010. He has received numerous awards during his time at the Health Sciences Center. He received the Chancellor's Council Distinguished Research Award, the University Distinguished Professor Award and the President's Excellence in Teaching Award. He is also a fellow in the American Association of Pharmaceutical Sciences and on top of that he is a Murray Professor with the Health Science Center. Please join me in congratulating Dr. Smith on his appointment."

Mr. Cavin stated that was the conclusion of his introductions.

Dr. Rallo had no introductions.

III. RECESS—Chairman Turner recessed the meeting at 9:19 am.

IV. MEETING OF STANDING COMMITTEES.—Took place after the recess of the Meeting of the Board. (Refer to VII. for items approved.)

Friday, October 12, 2012.—The members of the Board of Regents of the Texas Tech University System reconvened upon the adjournment of the Audit Committee meeting at approximately 11:16 am on Friday, October 12, 2012, in the Matador Room, Room 227, Second Floor, Student Union Building, 15th Street and Akron Avenue, Lubbock, Texas, with the following in attendance:

ATTENDANCE.—

Regents present were Larry K. Anders; L. Frederick "Rick" Francis; Mickey L. Long, Vice Chairman; Debbie Montford; Nancy R. Neal; John Field Scovell; John

D. Steinmetz; Suzanne Taylor, Student Regent; Jerry E. Turner, Chairman; and John B. Walker.

The following officers and staff were present: Mr. Kent Hance, Chancellor, TTUS; Dr. Joseph Rallo, President, ASU; Dr. Lawrence Schovanec, Interim President, TTU; Mr. Ben Lock, Executive Assistant to the Chancellor and Secretary of the Board, TTUS; Mr. Pat Campbell, Advisor to the Board of Regents, TTUS; Mr. John Huffaker, Vice Chancellor and General Counsel, TTUS; Mr. Jim Brunjes, Chief Financial Officer, TTUS; Mr. Elmo Cavin, Executive Vice President for Finance and Administration, TTUHSC; Mr. Kyle Clark, Vice President for Administration and Finance, TTU; Mr. Michael Reid, Vice President for Finance and Administration, ASU; Mrs. Kim Turner, Chief Audit Executive, TTUS; Mrs. Theresa Drewell, Associate Vice Chancellor, Facilities Planning and Construction, TTUS; Dr. Kelly Overley, Vice Chancellor for Institutional Advancement, TTUS; Mr. Jodey Arrington, Vice Chancellor for Technology Commercialization, TTUS; Dr. John Opperman, Vice Chancellor for Policy and Planning, TTUS; Dr. Brian May, Interim Provost and Vice President for Academic Affairs, ASU; Dr. Javier Flores, Vice President for Student Affairs and Enrollment Management, ASU; Dr. Bob Smith, Provost and Senior Vice President for Academic Affairs, TTU; Dr. Juan Muñoz, Senior Vice President, Division of Institutional Diversity, Equity & Community Engagement and Vice Provost, Division of Undergraduate Education & Student Affairs, TTU; Mr. Russell Thomasson, Chief of Staff, Chancellor's Office, TTUS; Mr. Hector Romo, President, Student Government Association, ASU; Mr. Alex Alston, President, Student Government Association, TTU; Mr. Jason Chapandral, President, Student Government Association, TTUHSC; and Mrs. Christina Martinez, Assistant Secretary to the Board of Regents, TTUS.

- V. CALL TO ORDER; RECONVENE INTO OPEN SESSION OF THE BOARD.—Chairman Turner announced a quorum present and called the meeting to order.
- VI. OPEN SESSION.—At approximately 11:16 am, the Board continued meeting in open session in the Matador Room, Room 227, Second Floor, Student Union Building, 15th Street and Akron Avenue, Lubbock, Texas, to consider items as a Committee of the Whole and Meeting of the Board.
 - VI.A. APPROVAL OF MINUTES.—Chairman Turner asked for approval of the minutes of the board meetings held on August 9-10, 2012 and September 11, 2012. Regent Long moved for their approval. Regent Montford seconded the motion, and the motion passed unanimously.
 - VI.B. COMMITTEE OF THE WHOLE.—At approximately 11:17 am, Chairman Turner announced that the Board would continue in Open Session and that for the purpose of facilitating action on the items to be considered from the Consent and Information Agendas, Regent Long would preside over the Committee of the Whole.

VI.B.1. Regent Long presented the item regarding approval of the Consent Agenda and acknowledgment of review of the Information Agenda. Regent Long moved that the Board approve the Consent Agenda and acknowledge its review of the Information Agenda. The motion was seconded by Regent Montford and passed unanimously. The following are the Minute Orders approved by this motion:

VI.B.1.a. TTU: Approve leave of absence.—This item was pulled from consideration.

VI.B.1.b. TTU: Approve exception to Section 03.01.8, Regents' Rules (nepotism).—The Board approved an exception to Section 03.01.8.c., Nepotism, *Regents' Rules*, to allow Gregory McKenna, Ph.D., husband of Sindee Simon, new chairperson, Department of Chemical Engineering, to be employed as a Horn professor in the Department of Chemical Engineering, College of Engineering. Dr. McKenna will be supervised by Dr. Al Sacco, dean, who will also be responsible for all supervisory and personnel matters related to Dr. McKenna's appointment. This request was approved administratively by the president and the chancellor.

VI.B.1.c. TTU: Approve name change of program and building located on the East Research Campus.—The Board approved to (i) change the name of the International Textile Center to the "Fiber and Biopolymer Research Institute" and (ii) change the building name accordingly. This request was approved administratively by the president and the chancellor.

VI.B.1.d. ASU: Approve commissioning of police officer.—The Board approved to commission the following individual as a police officer, effective with the date indicated below. This request was approved administratively by the president and the chancellor.

Thomas Delgado, effective September 17, 2012
VI.B.1.e. TTU: Approve modification of endowment for the College of Visual and Performing Arts.—The Board approved the modification of the criteria for

the Christmas in November Regents Scholarship Endowment in the College of Visual and Performing Arts. This request was approved administratively by the president and the chancellor.

The proposed new criteria for the endowment is "scholarships awarded to undergraduate or graduate students majoring in Music with at least a 3.0 GPA."

- VI.B.1.f. TTUS: Approve appointment of a member to the Board of Directors of Texas Tech Foundation, Inc.—The Board approved the appointment of David Arrington of Midland, Texas to the Board of Directors of Texas Tech Foundation, Inc. This request was approved administratively by the president and the chancellor.

Information Agenda

Information is provided as required by Section 01.02.6.b(2)(c), *Regents' Rules*

- (1) TTUHSC: Contract Renewals per Section 07.12.4.b., *Regents' Rules*: "Approval of the President is required for all component institution contract renewals or amendments. A list of those renewal contracts in excess of \$500,000 per annum, including the amount of the contract, will be provided to the board as an information item at the next board meeting."
- (a) 09226-D; El Paso County Clinical Services Inc; amendment to "Anesthesiology Services Agreement"; \$15,007,954.00.
 - (b) 03094-L; El Paso Psychiatric Center; amendment to "Agreement ... for Psychiatric Medical Services", with resident funding; \$860,828.00.
 - (c) 92370-6B; Baptist St Anthonys Hospital Corporation; amendment to "Agreement" for Family Medicine faculty and resident support; and Lease Agreement, see 92370-5; \$1,483,044.00.
 - (d) 10335-A; El Paso County Clinical Services Inc; amendment to "Internal Medicine Hospitalist Services Agreement", First Amendment; \$3,348,348.00.
 - (e) 04223-4; Amarillo VA Health Care System; renewal "Disbursement Agreement for Resident Stipends and Fringe Benefits for Payment in Arrears"; \$922,200.00.
 - (f) 92177-8V; amendment to Covenant Health System; "Amendment to Affiliation Agreement", resident &

- program director funding 07/01/12 to 06/30/13;
\$2,156,644.00.
- (g) 12698-0; University Medical Center - UMC Lubbock; "Agreement for Faculty Support, Department of Surgery, John Fitzwater, MD", RE John W. Fitzwater, MD; \$1,561,680.00.
 - (h) 09287-2A; El Paso County Clinical Services Inc; amendment to "Residents Agreement ... "; \$34,680,363.00.
 - (i) 12832-0; Lubbock County Hospital District; "Agreement for Department Support, Department of Surgery"; \$500,000.00.
 - (j) 91284-8I; Northwest Texas Healthcare System Inc; amendment to "Physician in Training Support Agreement", medical resident salary funding 07/01/2012 to 06/30/2013; \$3,454,525.26.
 - (k) 11599-A; Tenet Hospitals Limited; amendment to "Services Agreement for Medical Resident Rotation"; \$569,033.22.
 - (l) 98136-14; University Medical Center - UMC Lubbock; renewal "Master Coordinating Agreement 2012-2013"; \$32,595,163.00.
 - (m) 05333-C; Aetna Health Inc; amendment to "Physician Group Agreement"; \$1,097,400.00.
 - (n) 01372-4D; University of Texas Medical Branch; amendment to "Interagency Cooperation Contract ... for Pharmaceutical Services"; \$5,000,000.00.
 - (o) 12727-C; El Paso Childrens Hospital Corporation; amendment to "Pediatric Specialty Medical Services Agreement"; \$8,674,706.70
 - (p) 09117-1D; El Paso County Clinical Services Inc; amend "Medical Services Agreement"; \$36,263,472.00.

(2)

ASU: Report of Compliance with Section 03.01.8.d, e, f, g and h, *Regents' Rules*:

- d. No employee may approve, recommend, or otherwise take action with regard to the appointment, reappointment, promotion, salary, or supervision of an individual related to the employee within a prohibited degree.
- e. If the appointment, reappointment, reclassification, or promotion of an employee places the employee under an administrative supervisor who is related within a prohibited degree, all subsequent personnel and compensation actions affecting the employee shall become the responsibility of the next higher administrative supervisor.
- f. If the appointment, reappointment, reclassification, or promotion of an employee makes the employee an administrative supervisor over an employee who is related within a prohibited degree, all subsequent personnel and compensation actions affecting the subordinate employee shall become the responsibility of the next higher administrative supervisor.

- g. The provisions of subsections e. and f. shall apply to situations where two employees marry and one spouse is the administrative supervisor of the other.
- h. All instances where an employee marries an administrative supervisor, is placed under the administrative supervision of a relative, or is made the administrative supervisor of a relative within the prohibited degree will be reported to the board as an information item.

Mr. Travis Scott, Head Women Softball Coach and Ms. Katie Naumoff, Assistant Women's Softball Coach are engaged and will be married July 2013. Ms. Naumoff will be supervised by Mr. Sean Johnson, Athletic Director, who will also be responsible for all supervisory and personnel matters related to Ms. Naumoff's appointment. In such situations, the *Regents' Rules* do not require Board approval of an exception to the nepotism policy, but rather require a report be made to the Board on an Information Agenda.

Regent Long stated that consideration of items by the Committee of the Whole was concluded.

VII. REPORTS OF STANDING COMMITTEES.—At approximately 11:18 am, at the direction of Chairman Turner, Standing Committee reports were presented sequentially to the Committee of the Whole.

VII.A. REPORT OF THE FACILITIES COMMITTEE

Committee Chairman Scovell reported that the Facilities Committee met in open session on October 12, 2012 to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Facilities Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

- VII.A.1. TTUS: Report on Facilities Planning and Construction projects.—The Board accepted a report on the Facilities Planning and Construction managed projects, included herewith as Attachment No. 1.

VII.B. REPORT OF THE FINANCE AND ADMINISTRATION COMMITTEE

Committee Chairman Anders reported that the Finance and Administration Committee met in open session on October 12, 2012 to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow was recommended by the Finance and Administration Committee and

approved in open session and without objection by the Board of Regents of the Texas Tech University System.

VII.B.1. ASU: Approve use of Revenue Finance System to renovate Concho Hall.—The Board authorized the president to use the Revenue Financing System to fund the total project cost in the amount of \$1,500,000 for the renovation of Concho Hall. The Revenue Financing System will be repaid through debt service over five years by Residential Programs Revenue.

VII.B.2. TTU: Authorize engagement of search firm to assist in searches for senior-level executive positions, as amended.—The Board authorized the president or his designee to negotiate and enter into agreements with Storbeck/Pimentel & Associates and Wheless Partners executive search firms to provide professional services in filling vacant, senior-level executive positions at Texas Tech University.

The professional services of a search firm will significantly benefit efforts to recruit candidates with the dynamic leadership qualities necessary for the continued growth and development of Texas Tech University, its academic initiatives, and quest for national research university status.

Storbeck/Pimentel & Associates is recommended to engage in assisting with a national search for the Vice President of Research, and the Vice Provost for Graduate Education and Dean of Graduate School. Wheless Partners is recommended to engage in assisting with a national search for the Dean of the College of Media and Communication and, upon successful completion of that search, the Dean of the Honors College. The anticipated costs for these searches shall not exceed 1/3 of the total first year's cash compensation, plus an 8% administrative fee, and out-of-pocket expenses.

A request for proposal ("RFP") was issued seeking proposals from search firms with extensive experience in higher education and proven success in placing candidates in senior-level executive positions at major universities. Both Storbeck/Pimentel & Associates and Wheless Partners were selected through this process.

VII.B.3. TTUSA: Approve budget adjustments for the period September 1, 2012 through August 31, 2013.—The Board approved a budget adjustment in the amount of \$923,465 for the period September 1, 2012 through August 31, 2013. The

chart below provides more detail on the history of funding and source of funds used to support the Office of Research, Commercialization and Federal Relations.

TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION – BOARD APPROVAL ITEM
(September 1, 2012 – August 31, 2013)

1	2	3	4	5	6
Office	FY12	FY13 Budget Approved – August Board Meeting	FY13 Budget Adjustment Request – October Board Meeting	FY13 Total Budget Request	Increase from FY12 to FY13
<u>Technology Commercialization</u>				857,250	201,550
Transfer from TTU			171,214		
Transfer from TTUHSC			88,538		
<i>Shared Services</i>					
TTU	283,500	218,749			
TTUHSC	283,500	218,749			
<i>ORCFR Fund Balance</i>					
Royalty	88,700		100,000		
Emerging Technology Fund			60,000		
<u>Corporate Engagement*</u>				271,077	121,077
Transfer from TTU			28,366		
Transfer from TTUHSC			23,209		
<i>Shared Services</i>					
TTU		64,751			
TTUHSC		64,751			
<i>ORCFR Fund Balance</i>					
Wind/EDC	50,000		50,000		
Emerging Technology Fund	100,000		40,000		
<u>Federal Relations*</u>				362,138	62,138
Transfer from TTU	70,000		131,069		
Transfer from TTUHSC	56,000		104,855		
Transfer from ASU	14,000		26,214		
Chancellor's Council/System Fund Balances	130,000				
<i>ORCFR Fund Balance</i>					
Royalty	30,000		100,000		
Total	1,105,700	567,000	923,465	1,490,465	384,765

*A portion of OCE activities was funded by OFR

The TTUS Fiscal Year 2013 Budget Adjustment PowerPoint and the TTUS Office of Research, Commercialization and Federal Relations PowerPoint as presented to the Board during the presentation of this item are included herewith as Attachment No. 2 and Attachment No. 3.

VII.B.3.A. TTUS: Authorize an amendment to the consulting agreement to provide support for federal relations.—The Board authorized the chancellor to execute an amendment to the agreement

with Arnold & Porter, LLP to commence September 1, 2012 and terminate December 31, 2012, to consult and support the TTUS, TTU, TTUHSC and ASU in identifying and pursuing funding opportunities within the federal government and other sources.

The agreement from September 1, 2011 through August 31, 2012 with Arnold and Porter, LLP was \$16,250 per month with a portion, \$11,250 per month, being paid to RH Strategies, LLC (Ryan Henry).

The contract will be amended to \$17,500 from September 1, 2012 through December 31, 2012 with Arnold and Porter, LLP being paid \$17,500 per month with a portion, \$12,500 per month, being paid to the Alpine Group (Barry Brown).

A RFP will be issued prior to the December 2012 Board of Regents Meeting to solicit the firm to provide federal relations services, beginning January 1, 2013.

- VII.B.4. TTUS: Approve an amendment to Section 07.12.1, *Regents' Rules*, regarding contracting policies and procedures.—The Board approved the adoption of revisions to the contracting policies and procedures in Section 07.12, *Regents' Rules*, included herewith as Attachment No. 4. The amendment relates to defining the exceptions to the contracting policies within Section 07.12.1.

The Rules Committee – composed of Mickey Long (chair), Larry Anders, Rick Francis and John Scovell – recommends that Section 07.12.1 be modified as presented.

- VII.B.5. TTUS: Approve amendments to Chapters 02 and 06, *Regents' Rules*, regarding fund raising.—The Board approved the adoption of the revisions to Chapter 02 (Administration) and Chapter 06 (Institutional Advancement), *Regents' Rules*, included herewith as Attachment No 5. The amendments relate to clarifying the role of the institutional presidents with respect to an institution's fund raising activities.

The Rules Committee – composed of Mickey Long (chair), Larry Anders, Rick Francis and John Scovell – recommends that Sections 02.02.3 and 06.03.2 be modified as presented in the attached.

VII.C. REPORT OF THE ACADEMIC, CLINICAL AND STUDENT AFFAIRS COMMITTEE

Committee Chairman Neal reported that the Academic, Clinical and Student Affairs Committee met in open session on October 12, 2012 to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the actions set forth in the Minute Orders that follow were recommended by the Academic, Clinical and Student Affairs Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

VII.C.1. ASU: Approve revisions to the admissions requirements at Angelo State University.—The Board approved the revisions to the Admissions Requirements at Angelo State University, included herewith as Attachment No. 6, effective immediately upon approval by the Board of Regents.

VII.C.2. TTU: Approve appointments with tenure.—The Board approved the granting of tenure to the following faculty of Texas Tech University contemporaneously with their appointments:

Venkatesh Uddameri, Ph.D., new professor in Civil and Environmental Engineering, Whitacre College of Engineering. Professor Uddameri is currently tenured as professor in the Department of Environmental Engineering at Texas A&M University-Kingsville, TX; and

Charles West, Ph.D., new professor in Plant and Soil Sciences and Thornton Distinguished Professor, College of Agricultural Sciences and Natural Resources. Professor West is currently tenured as professor in the Department of Crop, Soil and Environmental Sciences at the University of Arkansas.

VII.C.3. TTUHSC: Approve conferral of emeritus appointment.—The Board approved to confer the title of professor emeritus on Dennis B. Dove, M.D. for his faithful service to the School of Medicine and the Texas Tech University Health Sciences Center in Amarillo.

VII.D. REPORT OF THE AUDIT COMMITTEE

Committee Chairman Francis reported that the Audit Committee met in

open session on October 12, 2012 to consider those matters on its agenda and to formulate recommendations to the Board of Regents of the Texas Tech University System. Unless otherwise indicated, the action set forth in the Minute Order that follows was recommended by the Audit Committee and approved in open session and without objection by the Board of Regents of the Texas Tech University System.

VII.D.1. TTUS: Report on audits.—The Board accepted a report on the System’s audit projects, included herewith as Attachment No. 7

VII.D.2. TTUS: Report on data security.—The Board accepted a report on the status of the System’s data security controls, included herewith as Attachment No. 8.

At approximately 10:45 am, the Audit Committee convened into Executive Session as authorized by Sections 551.074 and 551.076 of the *Texas Government Code*.

At approximately 11:16 am, the Audit Committee reconvened in open session in the Matador Room, Second Floor, Room 227, Student Union Building, 15th Street and Akron Avenue, Lubbock, Texas, to consider items as a committee. There were no motions to present on any of the items discussed from Executive Session.

VIII. OPEN SESSION.—At approximately 11:22 am the Board continued in open session in the Matador Room, Room 227, Second Floor, Student Union Building, 15th Street and Akron Avenue, Lubbock, Texas, to consider items as the Committee of the Whole and Meeting of the Board.

VIII.A. SCHEDULE FOR BOARD MEETINGS.—Mr. Lock presented the following schedule for future board meetings: December 13-14, 2012; February 28-March 1, 2013, Abilene; May 16-17, 2013; August 8-9, 2013; December 12-13, 2013.

VIII.B. CHANCELLOR’S REPORT.—Chairman Turner called on Chancellor Hance, who presented the Chancellor’s Report, included herewith as Attachment No. 9.

VIII.C. THE PRESIDENT’S REPORT, TTU.—Chairman Turner called on Interim President Schovanec, who presented the President’s Report, included herewith as Attachment No 10.

VIII.C.1 REPORT BY STUDENT GOVERNMENT ASSOCIATION, TTU.—Chairman Turner called on Mr. Alex Alston, president, Student Government Association, TTU, who presented a

report from the Student Government Association, included herewith as Attachment No. 11.

VIII.D. THE PRESIDENT'S REPORT, TTUHSC.—Chairman Turner called on Mr. Cavin, who presented the President's Report, included herewith as Attachment No. 12.

VII.D.1 REPORT BY STUDENT GOVERNMENT ASSOCIATION, TTUHSC—Chairman Turner called on Mr. Jason Chapandral, president, Student Government Association, TTUHSC, who presented a report from the Student Government Association, included herewith as Attachment No. 13.

VIII.E. THE PRESIDENT'S REPORT, ASU.—Chairman Turner called on President Rallo, who presented the President's Report, included herewith as Attachment No. 14.

VII.E.1 REPORT BY STUDENT GOVERNMENT ASSOCIATION, ASU—Chairman Turner called on Mr. Hector Romo, president, Student Government Association, ASU, who presented a report from the Student Government Association, included herewith as Attachment No. 15.

IX. EXECUTIVE SESSION.—At approximately 12:17 pm, the Board recessed and convened into Executive Session as authorized by Sections 551.071 and 551.074 of the *Texas Government Code* in the Masked Rider Room, Room 220, Second Floor, Student Union Building, 15th Street and Akron Avenue. The Board took a lunch break from 12:30 pm to 1:00 pm.

X. OPEN SESSION.—At approximately 4:59 pm, the Board continued in open session in the Matador Room, Room 227, Second Floor, Student Union Building, 15th Street and Akron Avenue, to consider items as a Committee of the Whole and Meeting of the Board.

X.A. REPORT OF EXECUTIVE SESSION.—Chairman Turner called on Vice Chair Long to present motions regarding items discussed in Executive Session.

Vice Chairman Long announced there was one motion resulting from Executive Session on that day.

X.A.1. Regent Long moved that the Board of Regents authorize the chancellor to publicly announce the name of the finalist for president and chief executive officer of Angelo State University at the time and in the manner the chancellor deems

appropriate...within the parameters discussed in Executive Session. Regent Francis seconded the motion.

No action was taken on other matters that were discussed in Executive Session, which included:

Consultation with Attorney:

- Report and update on the status of potential and pending litigation involving the Texas Tech University System component Institutions
- Report on the status of litigation involving the Medical Self-Insurance Plan
- Discussion of settlement negotiations regarding pending and potential litigation
- Consultation with legal counsel regarding possible acquisition of real property in El Paso, Texas to meet future expansion needs
- Consultation with legal counsel regarding negotiations with Abilene Community Partners regarding School of Nursing building and School of Pharmacy addition
- Otherwise consult with and seek the advice of legal counsel

Personnel Matters:

- Discussion of candidates for the position of President of Angelo State University
- Discussion of candidates for the position of President of Texas Tech University
- Discussion of the performance and evaluation of Texas Tech University System Administration and component institution employees as requested by the Board of Regents


X.B. CHAIRMAN'S ANNOUNCEMENTS.—There were no announcements.

XI. ADJOURNMENT.—Chairman Turner adjourned the meeting at approximately 5:00 pm.

INDEX OF ATTACHMENTS

Attachment 1	TTUS Design and Construction Review Report
Attachment 2	TTUS Fiscal Year 2013 Budget Adjustment PowerPoint
Attachment 3	TTUS Office of Research, Commercialization and Federal Relations PowerPoint
Attachment 4	TTUS Proposed Amendments to Chapter 07 (Fiscal Management), <i>Regents' Rules</i>
Attachment 5	TTUS Proposed Amendments to Chapter 02 (Administration) and Chapter 06 (Institutional Advancement), <i>Regents' Rules</i>
Attachment 6	ASU Operating Policy and Procedure 10.01, Undergraduate Student Admissions
Attachment 7	TTUS Prioritized Audit Plan 2013
Attachment 8	TTUS Data Security Talking Points
Attachment 9	TTUS Chancellor's Report
Attachment 10	TTU President's Report
Attachment 11	TTU SGA President's Report
Attachment 12	TTUHSC President's Report
Attachment 13	TTUHSC SGA President's Report
Attachment 14	ASU President's Report
Attachment 15	ASU SGA President's Report

I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on October 12, 2012.



Ben Lock
Secretary

SEAL