TEXAS TECH UNIVERSITY SYSTEM
Lubbock, Texas

Minutes

Board of Regents

December 10, 2020

Thursday, December 10, 2020.—The members of the Board of Regents of the Texas Tech University System convened a hybrid (in-person and videoconference) meeting at 9:31 am on Thursday, December 10, 2020, in the Board of Regents Conference Room (104A), First Floor, System Building, 1508 Knoxville Avenue, Lubbock, Texas with the following in attendance:

ATTENDANCE.—

Regents present via videoconference were Mark Griffin; Ron Hammonds; Christopher "Chris" M. Huckabee, Chairman; Ginger Kerrick; J. Michael Lewis, Vice Chairman; Mickey L. Long; John Steinmetz; John B. Walker; Brooke Walterscheid, Student Regent; and Dusty Womble.

The following officers and staff present in person were: Dr. Tedd Mitchell, Chancellor, TTUS; Dr. Lawrence Schovanec, President, TTU; Dr. Richard Lange, President, TTUHSC El Paso; Dr. Lori Rice-Spearman, President, TTUHSC; Mr. Ronnie Hawkins, Jr., President, ASU; Mr. Keino McWhinney, Secretary of the Board and Executive Assistant to the Chancellor, TTUS; Mr. Eric Bentley, Vice Chancellor and General Counsel, TTUS; Mr. Gary Barnes, Vice Chancellor and Chief Financial Officer, TTUS; Mr. Billy Breedlove, Vice Chancellor for Facilities, Planning and Construction, TTUS; Mrs. Kim Turner, Chief Audit Executive, Office of Audit Services, TTUS; Mr. Patrick Kramer, Vice Chancellor for Institutional Advancement, TTUS; Mrs. Kendra Burris, Deputy Chancellor, TTUS; Mr. Dailey Fuller, Chief of Staff, Chancellor's Office, TTUS; Mr. Joe Carmichael, IT Support Senior Specialist, Advancement Services, TTUS; Mr. Tyrel Fuchs, IT Support Technician II, IT Help Central, TTU; Mr. Scott Lacefield, Senior Director of Communications, Chancellor's Office.

The following officers and staff present via videoconference were: Mrs. Noel Sloan, Vice President for Administration and Finance and Chief Financial Officer, TTU; Mrs. Angie Wright, Vice President for Finance and Administration, ASU; Mrs. Penny Harkey, Vice President and Chief Financial Officer, TTUHSC; Dr. Donald Topliff, Provost and Vice President for Academic Affairs, ASU; Mr. Ojay Barbee, IT Support Senior Technician, TTUS; Mr. Andrew Bevly, Assistant Managing Director, IT Help Central, TTU; and Mrs. Christina Martinez, Assistant Secretary to the Board of Regents, TTUS.

I. MEETING OF THE BOARD—CALL TO ORDER; CONVENE INTO OPEN SESSION OF THE BOARD.—At 9:31 am, Chairman Huckabee announced a quorum present and called the meeting to order.

I.A. ROLL CALL.—Chairman Huckabee called on Secretary of the Board, Keino McWhinney, to establish who was on the call and present in the Board Room.

I.B. RESOLUTION OF APPRECIATION.—Chairman Huckabee called upon Regent Hammonds who presented a resolution of appreciation for all healthcare workers. Regent Hammonds moved for approval of the resolution as presented. Regent Long seconded the motion, and the motion passed unanimously.

WHEREAS, The Board of Regents of the Texas Tech University System is proud to recognize and honor the health care workforce and medical professionals for their dedication and service to the communities and surrounding areas throughout the state and region during the coronavirus (COVID-19) global pandemic; and

WHEREAS, The World Health Organization declared a global pandemic on March 11, 2020, our front line health care workforce has tirelessly gone above and beyond to serve our communities and citizens in need during an unprecedented time in the history of our country with the devastation experienced due to COVID-19; and

WHEREAS, Governor Greg Abbott issued a disaster proclamation on March 13, 2020, our health care professionals have greatly sacrificed for the betterment of our citizens, consistently working 12-14 hour shifts, with some having not received a day off since March; and

WHEREAS, Our hospitals have experienced surges of COVID-19 cases, at times with zero hospital bed capacity, and limited providers while still operating with high efficiency to serve our neighbors in need; and

WHEREAS, Our local communities have relied heavily on our health care systems, each stretched beyond its limits, but continue to rise above expectations and provide our communities with world-class health care services; and

WHEREAS, The Board of Regents offers expressions of profound gratitude for such dedication, service, and passion; now, therefore, be it

RESOLVED, That the Board of Regents of the Texas Tech University System on this date, December 10, 2020, do hereby extend its heartfelt appreciation to the health care workforce and medical professionals,

particularly at our component institutions, for the expertise, sacrifice and relentless dedication; and, be it further

RESOLVED, That copies of this Resolution be prepared for the leadership at our institutions of the TTU System to share as an expression of high regard from the Board of Regents.

II. OPEN SESSION.—At 9:33am on Thursday, December 10, 2020, the Board continued meeting in open session in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, Lubbock, Texas, to consider items as a Committee of the Whole and Meeting of the Board.

II.A. APPROVAL OF MINUTES.—Chairman Huckabee asked for approval of the minutes of the board meetings held on October 15, 2020; October 16, 2020; and November 24, 2020; and the committee minutes for October 16, 2020 for the Academic, Clinical and Student Affairs Committee; Audit Committee; and Facilities Committee. Regent Walker moved for their approval. Regent Long seconded the motion, and the motion passed unanimously.

II.B. COMMITTEE OF THE WHOLE.—Chairman Huckabee facilitated action on the items to be considered by the Committee of the Whole.

II.B.1. TTUS: Report on COVID-19.—The Board accepted a report on COVID-19.

II.B.2. TTUS: Report on audits.—The Board accepted a report on the System's audit projects, included herewith at Attachment No. 1

II.B.3. TTU: Authorize expenditures to the Museum East Wing Addition project for CMAR Pre-construction services.—The Board authorized the chancellor or the chancellor's designee to (i) approve expenditures of $160,400 for a total of $1,302,817 for the Museum East Wing Addition project, with an anticipated total project budget of $12,000,000; (ii) waive the board directed fees for landscape enhancements and public art; and (iii) award a Construction Manager At Risk ("CMAR") Agreement for pre-construction services. The new authorized expenditures include the previously board authorized expenditures ($1,142,417) to be funded through the Revenue Finance System ("RFS") repaid with Gifts, Higher Education Funds ("HEF") (cash), Institutional Funds, and Texas Research Incentive Program ("TRIP"). The Board reasonably expects to incur debt obligations for the design, planning and construction of the project, and all or a portion of the debt proceeds are reasonably expected to be

used to reimburse the System for project expenditures previously expended. The maximum principal amount of debt obligations to be issued for the Project is $12,000,000.

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

This approval grants authority to award a Construction Manager At Risk ("CMAR") Agreement to allow the CMAR to provide pre-construction activities associated with the planning and design process, i.e., project evaluation; site analysis; constructability review; value engineering; scheduling; cost control; and development of a Guaranteed Maximum Price ("GMP").

The Museum of Texas Tech University received a generous bequest of a large collection of glassware and other three-dimensional art forms from Dr. Robert N. and Louise Wilson Arnold.

The proposed location of the addition is an infill project on the east side of the Museum Building complex, a space originally designated in the 1970 construction as an outdoor sculpture courtyard and theater space. The footprint is approximately 6,000 square feet, which can accommodate a basement and two-story addition, adding approximately 18,000 GSF.

The scope of work envisioned includes: (1) the basement level to provide connection to other secured hallways, collections areas, and include the main storage room for the collection; (2) modification of various existing spaces/rooms to connect to a central basement hallway; (3) provide fresh air intakes for a mechanical room; (4) the first-floor level will primarily consist of gallery space for the collection and other display items; and (5) the second level will provide academic and staff space for the Heritage Management & Museum Science program.

The component institution and FP&C will explore the possibility of incorporating existing and/or surplus furniture, fixtures, and equipment into the project.
The TTU Authorize expenditures to the TTU Museum East Wing Addition project for CMAR Pre-construction services PowerPoint is included herewith as Attachment No. 2.

II.B.4. TTUHSC: Approve total project budget for CMA services and CMAR's GMP for the Dorothy and Todd Aaron Medical Sciences Building Addition and Renovation on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program.—The Board authorized the chancellor or the chancellor's designee to (i) accept the Guaranteed Maximum Price ("GMP") for construction of the Dorothy and Todd Aaron Medical Sciences Building Addition and Renovation project on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program; (ii) increase the budget by $26,842,252 for a total project budget of $30,000,000; (iii) report the project to the Texas Higher Education Coordinating Board ("THECB"); (iv) award a Construction Manager-Agent Agreement; and (v) amend the Construction Manager At Risk ("CMAR") Agreement. The total project budget will be funded through the Revenue Finance System ("RFS") repaid with a Legislative Appropriation from the 86th Texas Legislature Regular Session, a grant from the Midland Development Corporation, gift funds, and institutional funds.

The Board reasonably expects to incur debt obligations for the design, planning and construction of the project, and all or a portion of the debt proceeds are reasonably expected to be used to reimburse the System for project expenditures previously expended. The maximum principal amount of debt obligations to be issued for the Project is $30,000,000.

The Board also authorized the president to negotiate and execute any and all agreements with city, state, and county agencies, utility companies and other entities required to successfully complete the project.

This approval grants authority to (1) award a Construction Manager-Agent ("CMA") Agreement to allow the CMA to provide pre-construction services and on-site project observation services; and (2) authorize the construction of an addition and select renovation to the Dorothy and Todd Aaron Medical Sciences Building on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program with a total project budget of $30,000,000.

The Construction Manager-Agent was selected from the TTU System's approved Construction Manager-Agent Firms Pre-Qualification List (2020-2023). Project Control of Texas, Inc.

was chosen based upon their specific expertise and prior work performance.

The project will construct a 24,000 GSF addition to the existing Dorothy and Todd Aaron Medical Sciences Building ("AMSB") located on the campus of Midland College in Midland, TX, and will renovate approximately 9,600 SF of existing space. The addition includes new educational / classroom spaces, faculty / staff offices, and support spaces. It also includes a full Gross Anatomy Lab complete with specialized building systems and additional medical equipment. The renovation will accommodate the transition of instructional spaces to meet program needs and modernization of instructional technologies used in current teaching practices. This project will incrementally increase the current cohort capacity of the educational program and allow for the possibility of future expansion. The project will also entail site work, utility infrastructure analysis, and a separate delivery drive for the anatomical specimens as required by the State Anatomical Board of Texas.

The component institution and FP&C will explore the possibility of incorporating existing and/or surplus furniture, fixtures, and equipment into the project.

The mission of the Texas Tech University Health Sciences Center School of Health Professions Physician Assistant Program is to provide comprehensive medical education to physician assistant students. Through an environment of academic excellence and the promotion of life-long learning and professionalism, graduates will be prepared to practice patient-centered primary care, increasing access to healthcare for communities of West Texas and beyond.

The TTU Approve total project budget for CMA services and CMAR's GMP for the Dorothy and Todd Aaron Medical Sciences Building Addition and Renovation on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program PowerPoint is included herewith as Attachment No. 3.

II.B.5. TTUS: Report on Facilities Planning and Construction project.—The Board accepted a report on Facilities Planning and Construction managed projects, included herewith as Attachment No. 4 (TTUS Report on Facilities Planning and

Construction projects (project data as of (11/18/2020) PowerPoint).

The TTUS Facilities Planning and Construction Capital Projects Budget Analysis FY 2010-2020 Report (11/16/2020) is included herewith as Attachment No. 5.

II.B.6. TTUS: Approve amendments to *Regents' Rules*, Chapter 01, Chapter 09, and Investment Policy Statements – STIF, ITIF, LTIF.—The Board adopted amendments to *Regents' Rules* Chapter 01 ("Bylaws") and Chapter 09 ("Investments & Endowments"), the Investment Policy Statements for the Short Term Investment Fund ("STIF"), Intermediate Term Investment Fund ("ITIF") and the Long Term Investment Fund ("LTIF").

Various amendments are proposed that would:

- Approve the chancellor's recommendations for revisions to the Regents Rules and Investment Policy Statements in support of the chancellor's restructure of the Texas Tech University System Office of Investments
- Update the name of the Finance and Administration Committee to the Finance, Administration, and Investments Committee (FAI)
- Combine the Short Term Investment Fund (STIF) and the Intermediate Term Investment Fund (ITIF) into the Comprehensive Cash Pool;
- Revise LTIF asset allocation target, ranges & policy benchmarks

A summary of the proposed amendments is included below.

SUMMARY OF THE PROPOSED CHANGES TO CHAPTERS 01 and 09 *REGENTS' RULES* AND THE INVESTMENT POLICY STATEMENTS:

In support of the authority delegated to Chancellor Mitchell to restructure the Texas Tech University System Office of Investments during the special called Board meeting on November 24, 2020, the following revisions and updates to the Regents' Rules and Board Policy Statements will be necessary.

Chapter 01 – Bylaws

- Editing the name of the Finance and Administration Committee to Finance, Administration, and Investments Committee (FAI) and expanded oversight and communication.
- Add language Section 01.02.8.d.(3)(l), Regents' Rules to update the function of the FAI committee to include: "approve primary performance benchmarks, long-term strategic ranges for asset class allocations, provide oversite in setting the objectives and performance

goals, and receive quarterly and annual up-dates of the investments performance returns and distributions."

- Delete all references to the Investment Advisory Committee (IAC) including its duties and responsibilities. (*IAC is no longer a Special Committee of the Board of Regents*.)

Chapter 09 -- Investments and Endowments

- Provide definition of investment programs to include distinction between the new Comprehensive Cash Pool and the Long Term Investment Fund to return the investment and oversight of the ITIF to the CFO/Treasurer.
- Delete all references to the IAC including record of its duties and responsibilities.
- Updating language to replace references to the Short Term Investment Fund and Intermediate Term Investment Fund with the Comprehensive Cash Pool.
- Adjust/define roles of Vice Chancellor and Chief Financial Officer (CFO), Chief Investment Officer (CIO), investment consultant, Treasurer, and investment managers.

Amendments to the Investment Policy Statements

- New Comprehensive Cash Pool: Combine the Short Term Investment Fund (STIF) and the Intermediate Term Investment Fund (ITIF) to create new Comprehensive Cash Pool (CCP) Investment Policy Statement:
  - Investment structure of three segments based on cash flow requirements to provide sufficient liquidity covering operating outflows as well as preserving the system's excellent credit ratings.
  - Revised asset allocation target, ranges & policy benchmarks.
  - Established a stakeholder committee.
- Amendments to LTIF Investment Policy Statement:
  - Update role and responsibility of the Finance, Administration, and Investments Committee (FAI), the Chief Investment Officer (CIO), and CFO.
  - Delete all references to the Investment Advisory Committee and replace with description and function of the Investment Resource Committee (IRC) which will be managed by the CFO.
  - Clarify/Simplify performance benchmarks (Global 60/40 + 100 bps over) and strategic benchmark (CPI+5% over rolling 10-year periods).
  - Updates to core to statement on core investment beliefs.
  - Inclusion of quarterly report by the CIO to the FAI committee.

The language of the proposed amendments is included herewith as Attachment No. 6, (TTUS Chapter 01 – Bylaws, redline with proposed revisions); Attachment No. 7 (TTUS Chapter 09 – Investments and Endowments, redline with proposed revisions); and Attachment No. 8 (TTUS Investment Policy Statement, redline with proposed revisions).

Any amendment to the *Regents' Rules* or a Board Policy Statement must be approved by the full Board in accordance with Section 01.08, *Regents' Rules*.

II.B.7. TTUS: Report on endowments.—The Board accepted an update on the TTUS Total Endowment, as of August 31, 2020, included herewith as Attachment No. 9 (TTUS Report on TTUS Endowments, as of August 31, 2020 PowerPoint).

II.B.8. ASU: Approve the addition of the Master of Public Health degree with a major in Public Health in the Archer College of Health and Human Services.—The Board approved the new degree program request for the Master of Public Health degree with a major in Public Health in the Department of Health Science Professions within the Archer College of Health and Human Services and authorized submission to the Texas Higher Education Coordinating Board seeking its approval for such a program and to the Southern Association of Colleges and Schools for its review.

Implementation of this new program will begin in fall of 2021.

Table 1. Five-Year Enrollment Projection

	Year 1	Year 2	Year 3	Year 4	Year 5
Total New Students	**14**	**16**	**20**	**24**	**30**
Attrition	2	2	2	2	2
Cumulative Headcount	**14**	**28**	**34**	**42**	**52**
FTSE	14	16	20	24	30
Graduates	0	12	14	18	22

Table 2. Five-Year Costs and Funding Sources

Cost Category	Cost Sub-Category	1st Year	2nd Year	3rd Year	4th Year	5th Year	TOTALS
Faculty Salaries	**New**	$32,500	$32,500	$32,500	$32,500	$32,500	$162,500
	Reallocated	$71,250	$71,250	$71,250	$71,250	$71,250	$356,250
Program Administration	**New**						
	Reallocated	$20,000	$20,000	$20,000	$20,000	$20,000	$100,000
Clerical/Staff	**New**	$12,000	$12,000	$12,000	$12,000	$12,000	$60,000
	Reallocated	$2,000	$2,000	$2,000	$2,000	$2,000	$10,000
Supplies and Materials	**New**	$2,000	$2,000	$2,000	$2,000	$2,000	$10,000
	Reallocated						
Library & Instructional Technology Resources		$3,000	$3,000	$3,000	$3,000	$3,000	$15,000
Equipment[1]		NA	NA	NA	NA	NA	
Facilities		NA	NA	NA	NA	NA	

TOTALS	$142,750	$142,750	$142,750	$142,750	$142,750	$713,750

Table 3. Anticipated Funding for the First Five Years of the Program

Funding Category	1st Year	2nd Year	3rd Year	4th Year	5th Year	TOTALS
Formula Funding			$109,745	$134,328	$166,813	$410,886
Tuition and Fees	$124,389	$200,978	$246,145	$301,131	$373,792	$1,246,435
Reallocation	$71,250	$71,250	$71,250	$71,250	$71,250	$356,250
TOTALS	$195,639	$272,228	$427,140	$506,710	$611,855	$2,013,572

II.B.9. ASU: Approve the addition of the Master of Science degree in Computer Science in the College of Science and Engineering.— The Board approved the new degree program request for the Master of Science degree with a major in Computer Science in the Department of Computer Science within the College of Science and Engineering and authorized submission to the Texas Higher Education Coordinating Board seeking its approval for such a program and to the Southern Association of Colleges and Schools for its review.

Implementation of this new program will begin in fall of 2021.

The program courses will be delivered in both online and hybrid modes, based on demand and student requirements. In hybrid mode, courses will be offered face to face on the main campus in San Angelo and can also be attended online by students who cannot be on campus due to work or being in a remote location. Courses delivered in hybrid mode will be broadcasted via online tools in real-time for synchronous viewing and interactive attendance, and also be recorded at the same time for later viewing by all students.

Table 1. Five-Year Enrollment Projection

	Year 1	Year 2	Year 3	Year 4	Year 5
Total New Students	20	30	35	35	35
Attrition	2	3	4	4	4
Cumulative Headcount	**20**	**48**	**53**	**57**	**61**
FTSE	20	48	53	57	61
Graduates	0	18	27	31	31

Table 2. Five-Year Costs and Funding Sources

Cost Category	Cost Sub-Category	1st Year	2nd Year	3rd Year	$100,000	5th Year	TOTALS
Faculty Salaries	**New**	$0	$100,000	$100,000	$100,000	$200,000	$500,000
	Reallocated	$40,000	$40,000	$40,000	$40,000	$40,000	$200,000
Program Administration	**New**						
	Reallocated	$10,000	$10,000	$10,000	$10,000	$10,000	$50,000
Clerical/Staff	**New**						
	Reallocated	$1,000	$1,000	$1,000	$1,000	$1,000	$5,000
Supplies and Materials	**New**						
	Reallocated						
Library & Instructional Technology Resources		$5,000	$5,000	$5,000	$5,000	$5,000	$25,000
Equipment		$10,000	$10,000	$10,000	$10,000	$10,000	$50,000
Facilities							
TOTALS		$66,000	$166,000	$166,000	$166,000	$266,000	$830,000

Table 3. Anticipated Funding for the First Five Years of the Program.

Funding Category	1st Year	2nd Year	3rd Year	4th Year	5th Year	TOTALS
Formula Funding			$390,549	$410,199	$410,199	$1,210,948
Tuition and Fees	$100,498	$210,309	$265,214	$278,525	$278,525	$1,133,070
Reallocation	$51,000	$51,000	$51,000	$51,000	$51,000	$255,000
TOTALS	$151,498	$261,309	$706,214	$739,724	$739,724	$2,599,018

II.B.10. TTU: Approve appointments with tenure.—The Board approved the granting of tenure for the faculty members as listed below, concurrently with their respective appointments to the University.

Prasanth Chelikani, Ph.D., appointed a professor in the School of Veterinary Medicine effective January 1, 2021. Most recently, Dr. Chelikani has been a tenured professor at the University of Calgary, Alberta, Canada.

Anne Gorden, Ph.D., appointed an associate professor in the Department of Chemistry and Biochemistry, College of Arts and Sciences, effective September 1, 2020. Dr. Gorden was a tenured associate professor at Auburn University from 2011 until starting her position at TTU.

John Gorden, Ph.D., appointed an associate professor in the Department of Chemistry and Biochemistry, College of Arts and Sciences, effective September 1, 2020. Dr. Gorden was a tenured associate professor at Auburn University from 2014 until his appointment at TTU.

Tim Linksvayer, Ph.D., to be appointed an associate professor in the Department of Biological Sciences, College of Arts and Sciences, effective January 1, 2021. Dr. Linksvayer has been a tenured associate professor at the University of Pennsylvania since 2017.

II.B.11. TTUHSC El Paso: Approve appointment with tenure.—The Board approved the granting of tenure to Fady F. Faddoul, D.D.S., M.S.D. concurrent with his appointment as professor at the Woody L. Hunt School of Dental Medicine, effective as of January 1, 2021.

Fady F. Faddoul, DDS, MSD, has had a distinguished career in dental education, and particularly in the area of oral medicine. He brings a rich variety of experience in administration, research, education, and clinical affairs. He joins TTUHSC El Paso from the Case Western Reserve University School of Dentistry in Cleveland, Ohio, where he has served as a Tenured Professor and Chair of the Department of Comprehensive Care and Director of the Faculty Practice. He earned his DDS degree from Case Western Reserve University School of Dentistry and his BS degree from John Carrol University in Cleveland, OH. He also earned an MSD in Oral Medicine and completed a two-year Advanced Education in General Dentistry residency program – both from Case Western Reserve University.

Dr. Faddoul is an accomplished clinician, administrator, clinical teacher, and mentor. He has developed a national and international expertise in the areas of dental implantology and infection control. He is a consultant to the Commission on Dental Accreditation and has been honored by his peers by his selection as a Fellow of the American College and International College of Dentists.

II.B.12. ASU, TTU, TTUHSC, TTUHSC El Paso, TTUSA, and TTUS: Approve Consent Agenda; acknowledge review of Information Agenda.—Chairman Huckabee announced that for the purpose of facilitating action on the items to be considered, Vice Chairman Lewis would preside over the Committee of the Whole. Regent Lewis presented the item regarding approval of

the Consent Agenda and acknowledgment of review of the Information Agenda. The following are the Minute Orders approved by this motion:

II.B.12.a. ASU: Approve revisions to the admissions requirements at Angelo State University.—The Board approved revisions to the Admissions Requirements, included herewith as Attachment No. 10 (ASU OP 10.01, Undergraduate Student Admissions). This request was approved administratively by the president and the chancellor.

Angelo State University is requesting authorization to modify existing admission standards for first time freshman applicants. Specifically, ASU is requesting to formally adopt the "Test Optional" admission requirements currently in place due to a lack of national testing dates for the ACT or SAT during the COVID-19 pandemic.

Under the "Test-Optional" criteria students in the top 25% of their senior class will be automatically admitted. Students in the top 26% to the top 50% of their senior class with a 2.5 grade point average or higher will be automatically admitted. The Admissions staff will conduct a "file review" for admission applicants in the lower 50% of their senior class. Consideration factors in the file review may include but not limited to, the applicant's: academic record, dual credit course completion, class rank, standardized test score, first generation status, bilingual proficiency, extracurricular activities, community activities, region of residence, socioeconomic background, financial status of the school district, the school district's performance level on the TEA's accountability criteria, responsibilities such as employment or helping to rear children, resident of a rural or urban area or a resident of a central city or suburban area, attendance in a school under a court ordered desegregation plan, commitment to a particular field of study, personal interview, admission to a comparable accredited out-of-state institution, or any other consideration the university considers necessary to accomplish the university's stated mission.

EXECUTIVE SUMMARY

The recommended changes indicate a revision to the admission standards. The proposed changes will align with ASU's strategic goal of increasing enrollment of first-time freshman in a more efficient manner.

II.B.12.b. TTU: Approve revisions to the undergraduate admission policy.—The Board approved revisions to the undergraduate admissions policy of Texas Tech University, effective December 12, 2020, included herewith as Attachment No. 11. This request was approved administratively by the president and the chancellor.

As a state-supported institution, Texas Tech University recognizes its responsibility to provide excellent educational opportunities for its residents. Applicants may be considered for admission to the undergraduate divisions of Texas Tech University by graduation from high school, by transfer from an accredited college, and/or by entrance examination. The completed application, test scores, prior conduct findings, and other applicable qualifying factors constitute the basis upon which eligibility is considered. Students who meet the stated requirements may reasonably expect to be admitted. However, additional factors may be considered in determining the applicant's admission. The admission of some applicants may be deferred in order to ensure sufficient resources to serve all enrolled students effectively. To be considered for admission, applicants must be eligible to return to all prior institutions.

II.B.12.c. TTU: Approve leave of absence without pay.—The Board approved the following leave of absence without pay. This request was approved administratively by the president and the chancellor.

Dr. Cristina Bradatan, Ph.D., associate professor in the Department of Sociology, Anthropology, and Social Work, College of Arts and Sciences, for a leave without pay for the period of November 1, 2020 through December 31,

2021. The purpose of the leave is to work for the U.S. Census Bureau's International Programs Directorate which is relevant to Dr. Bradatan's research interests. Her full salary and benefits will be paid by the federal government for the period of leave.

II.B.12.d. TTU: Approve exception to nepotism policy.—The Board approved an exception to the nepotism policy in the following instance as listed below. This request was approved administratively by the president and the chancellor.

Randy Ward was recently appointed as an Associate Head Coach of the Texas Tech Women's Softball Program, effective October 21, 2020. Mr. Ward is the spouse of Head Softball Coach Sami Ward. Mr. Ward's supervision, including his performance evaluations and compensation decisions, will be provided by the Softball Sports Supervisor (currently Mrs. Jennifer Brashear) in conferral with the Athletic Director. This arrangement will be evaluated at the end of each fiscal year to assure there is effective management of the conflict of interest and to determine whether modifications are necessary.

II.B.12.e. TTUHSC: Approve conferral of emeritus appointment.—The Board approved conferral of the title of professor emeritus on Mubariz Naqvi, M.D. and associate professor emeritus on Paul E. Tullar, M.D. for their distinguished service to the School of Medicine ("SOM") and the Texas Tech University Health Sciences Center ("TTUHSC"). This request was approved administratively by the president and the chancellor.

Dr. Mubariz Naqvi joined the TTUHSC SOM Amarillo Campus in 1978 with the Department of Pediatrics, Division of Neonatology. He established the first neonatal intensive care unit and the NICU graduate follow-up program. Dr. Naqvi has won numerous resident and student teaching awards, including the 2006 and 2017 Outstanding Teacher of the Year. In 2007 Dr. Naqvi was inducted into the Alpha

Omega Alpha National Honors Society by the medical students, and received the Outstanding Service award at the Texans Caring for Texans ceremony in 2008. Additionally, he was honored with the University Distinguished Professor Award in 2016. Throughout his career Dr. Naqvi has been characterized as a gentle spirit, dedicated to the smallest and most fragile infants, a skilled clinician, and a humble servant to his patients and their parents, resulting in the naming of the Northwest Texas Hospital NICU as the "Mubariz Naqvi Neonatal Center for Clinical Care Education and Research" in 2006. The March of Dimes honored Dr. Naqvi with the "Unsung Hero" award for 25 years of service to neonates in the Texas Panhandle. Dr. Naqvi retired on August 31, 2020.

Dr. Paul E. Tullar joined the TTUHSC SOM in 2007 with the Department of Obstetrics and Gynecology on the Amarillo campus. His leadership positions with the university included the chair of the Faculty Council Executive Committee, the MPIP Policy Committee, and the TTUHSC Faculty Senate. Additionally, he received the Regional Dean's Departmental Service Award (2014), the Dean's Educational Innovation Award (2016), and the Northwest Texas Hospital Service Award (2016), among others spanning his career. Dr. Tullar has been an active member of the Potter-Randall County Medical Society, and dedicated editor and author of research on postpartum depression. He established the Advanced Life Support in Obstetrics and Gynecology (ALSO) certification course used on all TTUHSC campuses. Dr. Tullar retired on October 7, 2020.

II.B.12.f. TTUHSC: Approve employee appointment to non-elective position.—The Board approved the following employee appointment to a non-elective position as listed below. This request was approved administratively by the president and the chancellor.

Surendra Varma, MD, DSc(Hon), FAAP, FACE, is a Grover E. Murray Professor and the Executive Associate Dean for Graduate Medical Education & Resident Affairs. Dr. Varma received a Bachelor of Science in Physics, Chemistry, Biology and English from Lucknow University, a Bachelor of Medicine and Bachelor of Surgery and Doctorate in Medicine (Pediatrics) from King George Medical University, and completed Residency and Fellowship in Pediatrics and Pediatric Endocrinology at Harvard Medical School.

Secretary Alex M. Azar II of the U.S. Department of Health and Human Services has appointed Dr. Varma to serve as a member of the Council on Graduate Medical Education (COGME), Health Resources and Services Administration (HRSA). COGME provides an ongoing assessment of physician workforce trends, training issues and financing policies, and recommends appropriate federal and private sector efforts on these issues. Dr. Varma will serve for a term beginning November 22, 2020, and ending November 22, 2024, subject to prescribed appointment procedures and periodic review of the council's function.

Further, the Board found, with respect to the appointee, that his service is of benefit to the State of Texas and TTUHSC and that his service does not conflict with his respective position of employment at TTUHSC.

II.B.12.g. Approve amendment to *Regents' Rules*, Chapter 05 (Student Affairs) relating to the delegation of authority in setting campus admission standards.— The Board approved adoption of amendments to Chapter 05 (Student Affairs), *Regents' Rules*, included herewith as Attachment No. 12 (TTUS Chapter 05 – Student Affairs, redline with proposed revisions) to delegate authority to the chancellor, or the chancellor's designee, to set campus admission standards.

II.B.12.h. ASU, TTU, TTUHSC, and TTUHSC El Paso: Acknowledge the Building Replacement Estimate Report (formerly referred to as Campus Condition

Index Report) and submission to the THECB.—The Board acknowledged the Building Replacement Estimate Reports (formerly referred to as Campus Condition Index Report "CCIR") and submission of those report to the Texas Higher Education Coordinating Board ("THECB") for: Angelo State University; Texas Tech University; Texas Tech University Health Sciences Center; and Texas Tech University Health Sciences Center at El Paso. This request was approved administratively by the president and the chancellor.

The ASU Building Replacement Estimate Report; TTU Building Replacement Estimate Report; TTUHSC Building Replacement Estimate Report; and the TTUHCE El Paso Building Replacement Estimate Report are included herewith as Attachment No. 13, Attachment No. 14, Attachment No. 15 and Attachment No. 16, respectively.

II.B.12.i. TTU: Approve naming of Texas Tech Plaza, Personal Financial Planning Clinic Room 305C (Borhani Family Counseling Room).—The Board approved naming the Personal Financial Planning Clinic Room 305C within the Texas Tech Plaza the "Borhani Family Counseling Room." The donor concurs with the naming of this space. Signage for the space will specify the approved name. This request was approved administratively by the president and the chancellor.

Marcus Borhani and Dawn Kelley ("Donors") made a generous contribution of $25,000 to support the Personal Financial Planning Experiential Learning Space. To honor and recognize this contribution, Personal Financial Planning Clinic Room 305C within the Texas Tech Plaza will be named the "Borhani Family Counseling Room". Signage for the space will specify the approved name.

The gift meets the minimum fifty percent (50%) threshold requirement for naming a subunit within a facility, as verified by the vice chancellor of facilities planning and construction.

II.B.12.j. TTUHSC El Paso: Approve naming of Medical Sciences Building II, Study Room 1C106 (David D. Nguyen, M.D. Study Room).—The Board approved naming the Study Room 1C106 within the Medical Sciences Building II the "David D. Nguyen, M.D. Study Room." The donor concurs with the naming of this space. Signage for the space will specify the approved name. This request was approved administratively by the president and the chancellor.

David D. Nguyen, M.D. ("Donor") made a generous contribution of $43,000 to support the Paul L. Foster School of Medicine. To honor and recognize this contribution, the Study Room 1C106 within the Medical Sciences Building II will be named the "David D. Nguyen, M.D. Study Room". Signage for the space will specify the approved name.

The gift meets the minimum fifty percent (50%) threshold requirement for naming a subunit within a facility, as verified by the vice chancellor of facilities planning and construction.

II.B.12.k. TTUHSC El Paso: Approve naming of Medical Sciences Building II, Dental Learning Center, Dental Simulation Station in Room 3B410 (El Paso Electric Dental Simulation Station).—The Board approved naming the Dental Simulation Station in Room 3B410 within the Dental Learning Center of Medical Sciences Building II the "El Paso Electric Dental Simulation Station." The donor concurs with the naming of this space. Signage for the space will specify the approved name. This request was approved administratively by the president and the chancellor.

El Paso Electric ("Donor") made a generous contribution of $5,000 to support the Woody L. Hunt School of Dental Medicine and the Dental Dean's Excellence Fund. To honor and recognize this contribution, Dental Simulation Station in Room 3B410 within the Dental Learning Center of Medical Sciences Building II will be named the "El Paso Electric Dental Simulation Station". Signage for the space will specify the approved name.

The gift meets the minimum fifty percent (50%) threshold requirement for naming a subunit within a facility, as verified by the vice chancellor of facilities planning and construction.

II.B.12.l. TTUHSC El Paso: Approve naming of Texas Tech Dental Oral Health Clinic, Special Needs Suite Room 1105 (Ethos Financial Special Needs Dental Suite).—The Board approved naming the Special Needs Suite Room 1105 within the Texas Tech Dental Oral Health Clinic the "Ethos Financial Special Needs Dental Suite." The donor concurs with the naming of this space. Signage for the space will specify the approved name. This request was approved administratively by the president and the chancellor.

Ethos Financial ("Donor") made a generous contribution of $25,000 to support the Woody L. Hunt School of Dental Medicine and Dental Dean's Excellence Fund. To honor and recognize this contribution, Special Needs Suite Room 1105 within the Texas Tech Dental Oral Health Clinic will be named the "Ethos Financial Special Needs Dental Suite". Signage for the space will specify the approved name.

The gift meets the minimum fifty percent (50%) threshold requirement for naming a subunit within a facility, as verified by the vice chancellor of facilities planning and construction.

II.B.12.m. TTUHSC El Paso: Approve naming of Texas Tech Dental Oral Health Clinic, Multi-Purpose Suite Room 1108 (El Paso Electric Dental Suite).—The Board approved naming the Multi-Purpose Suite Room 1108 within the Texas Tech Dental Oral Health Clinic the "El Paso Electric Dental Suite." The donor concurs with the naming of this space. Signage for the space will specify the approved name. This request was approved administratively by the president and the chancellor.

El Paso Electric ("Donor") made a generous contribution of $25,000 to support the Woody L. Hunt School of Dental Medicine and the Dental

Dean's Excellence Fund. To honor and recognize this contribution, Multi-Purpose Suite Room 1108 within the Texas Tech Dental Oral Health Clinic will be named the "El Paso Electric Dental Suite". Signage for the space will specify the approved name.

The gift meets the minimum fifty percent (50%) threshold requirement for naming a subunit within a facility, as verified by the vice chancellor of facilities planning and construction.

II.B.12.n. TTUHSC El Paso: Approve naming of Texas Tech Dental Oral Health Clinic, Multi-purpose Suite, Room 1110 (Bank of America Special Needs Dental Suite).—The Board approved naming the Multi-Purpose Suite Room 1110 within the Texas Tech Dental Oral Health Clinic the "Bank of America Special Needs Dental Suite." The donor concurs with the naming of this space. Signage for the space will specify the approved name. This request was approved administratively by the president and the chancellor.

Bank of America Charitable Foundation, Inc. ("Donor") made a generous contribution of $50,000 to support the Woody L. Hunt School of Dental Medicine. To honor and recognize this contribution, Multi-Purpose Suite Room 1110 within the Texas Tech Dental Oral Health Clinic will be named the "Bank of America Special Needs Dental Suite". Signage for the space will specify the approved name.

The gift meets the minimum fifty percent (50%) threshold requirement for naming a subunit within a facility, as verified by the vice chancellor of facilities planning and construction.

II.B.12.o. TTU: Approve commissioning of police officers.—The Board approved to commission the individuals as listed below as a police officer, effective on the date indicated below. This request was approved administratively by the chancellor.

Rigoberto Hernandez, effective June 2, 2020

Lawrence Hernandez, effective September 1, 2020
Blake Blancett, effective September 1, 2020

II.B.12.p. TTU: Approve contract with DDSports, Inc., for basketball tracking systems, wearable devices, and data and analytics software platform.—The Board authorized the president or his designee to execute a contract with DDSports, Inc. ("ShotTracker") for Texas Tech University ("TTU") Athletics basketball tracking systems, wearable devices, and data and analytics software platform. This request was approved administratively by the president and the chancellor.

TTU, the Big 12 Conference ("Conference"), and other members of the Conference seek to enter into a contract with ShotTracker for basketball tracking systems, wearable devices, and a web-enabled data and analytics software platform. The contract imposes no financial obligation on TTU but will cover a term beginning on or about December 15, 2020, and extending through five basketball seasons over a period of more than four years.

II.B.12.q. TTUHSC: Approve contract with Lubbock County Hospital District dba University Medical Center for Electronic Medical Records, Registration and Scheduling Package.—The Board approved a contract with Lubbock County Hospital District dba University Medical Center ("UMC") for Texas Tech University Health Sciences Center's ("TTUHSC") use of additional Cerner Electronic Medical Records functionality thru implementation of a Registration and Scheduling Package. TTUHSC is requesting Board of Regents authorization allowing the president to approve and execute the contract (CON2794952). This request was approved administratively by the president and the chancellor.

TTUHSC has a longstanding relationship with UMC governed by the Master Coordinating Agreement ("MCA") and ancillary contracts that additionally govern the sharing of certain assets and licensing. UMC currently licenses the Cerner Document Imaging and Capture System ("EMR") which is

shared with TTUHSC for agreed upon compensation.

UMC will begin implementation of an additional Cerner EMR product known as the Registration and Scheduling Package. TTUHSC would be a party to the implementation which includes licensing of up to 295 TTUHSC physicians/providers at up to 16 TTUHSC locations expanding the following functionality:

- Practice Management: Registration and Scheduling
- Automated Messaging
- Address Verification
- Eligibility and Benefits Verification

The full term of the contract will be for a period beginning December 1, 2020 through December 31, 2030. Upon the completion of implementation, the cost of which is borne by UMC, TTUHSC will begin a subscription commitment at $36,495 monthly for a term of 105 months, with first payment beginning on or about April 1, 2022.

Information Agenda

Information is provided as required by Section 01.02.7.d(4)(c), *Regents' Rules*

(1) ASU, TTU, TTUHSC and TTUHSC El Paso: Summary of Revenues and Expenditures by Budget Category, FY 2020 (as of August 31, 2020), per Section 01.02.8.d(3)(g), *Regents' Rules* – All actual expenditures will be reviewed by the Finance and Administration Committee annually and provided as information. Financial reports for the most recently completed quarter for each of the component institutions are available at: https://www.texastech.edu/offices/cfo/board-financial-reports.php

(2) TTUHSC and TTUHSC El Paso: Contracts for ongoing and continuing health-related service relationships per Section 07.12.4.c, *Regents' Rules* – "Notwithstanding Section 07.12.3.a or Section 07.12.3.b, *Regents' Rules*, the board delegates to the presidents of health-related institutions the authority to approve the proposals and execute and sign contracts for health related services, as specified herein. This delegation is limited to contracts with

entities for which the institution has an ongoing and continuing contractual relationship, to include: revenue contracts from which the institution receives payment for health related services; participation in health provider networks; resident or faculty support; and expense contracts with healthcare providers or suppliers necessary to fulfill the obligation to provide health related services as part of a revenue contract. Before such a contract may be executed, the president shall obtain the prior review of the TTUS Office of General Counsel and the TTUS vice chancellor and chief financial officer, or their designees. A list of health related services contracts that have been executed under this delegation of authority since the previous regular board meeting shall be provided to the board as an information item at the next regular board meeting."

TTUHSC:

(a) CON2622357; Covenant Health System; Support - TTUHSC Resident Rotations and Physician Services; $3,651,108 annually.
(b) CON2603385; Covenant Health System; Physician Services Agreement; $4,932,636 annually.
(c) AMEND595882-007; Permian Basin Clinical Services Inc; Addendum/Extension to Master Coordinating Agreement; Increase of $365,589 to $5,152,221 annually.
(d) AMEND592606-022; University Medical Center - UMC Lubbock; Schedule of Services and Compensation; Increase of $2,100,714 to $29,018,799 annually.
(e) AMEND1592374-010; University Medical Center - UMC Lubbock; Replace Exhibit A - Agreement for Faculty Support Department of Internal Medicine, Cardiology; Reduction of $11,500 to $2,662,305 annually.
(f) AMEND1592373-008; University Medical Center - UMC Lubbock; Amended and Restated Agreement - Faculty Support Department of Internal Medicine, Medical Oncology; Decrease of $67,640 to $2,131,500 annually.
(g) AMEND1575374-006; Ector County Hospital District; Master Coordinating Agreement - Faculty & Resident Support FY21; Decrease of $3,429,771 to $9,842,829 annually.

TTUHSC El Paso:

(a) 02568; El Paso Health, "Provider Agreement" for TTUHSC El Paso campus, RE: Patient billing contract for third party provider for academic hospital which operates a Health Maintenance Organization, annual amount not to exceed $2,000,000, total contract value over term $4,000,000.
(b) 05288; El Paso Health, "Provider Agreement" for TTUHSC El Paso campus, RE: Third party administrator plan for academic hospital, annual amount not to exceed $9,000,000, total contract value over term $27,000,000.
(c) 07867; El Paso Children's Hospital, "Master Service Agreement" for TTUHSC El Paso campus RE: multiple physician pediatric specialty services for hospital, annual amount not to exceed $10,212,806, total contract over term $20,629,867.
(d) 08077; El Paso Children's Hospital, "Service Agreement" for TTUHSC El Paso campus RE: physician services for

pediatric intensive care unit services for hospital, annual amount not to exceed $2,014,800, total contract over term $6,044,400.

(e) 01434; El Paso County Hospital District dba University Medical Center, "Pathology Service Agreement" for TTUHSC El Paso Campus RE: physician services for pathology services for hospital, annual amount not to exceed $3,051,803, total contract over term $18,673,018.

(3) TTU and TTUHSC El Paso: Consulting contracts with an initial consideration of $25,000 or less per Section 07.12.4.e.(2), *Regents' Rules* – "(a) Board approval is not required, but the vice chancellor and chief financial officer, in consultation with the chancellor, presidents, and chief financial officers of the institutions, shall review consulting contracts of $25,000 or less prior to execution of the contract by the chancellor or president, as appropriate. (b) A report of the contract shall be provided as an Information Agenda item at the next board meeting."

TTU:

(a) Ruffalo Noel Levitz, to provide an organizational and operational review of the Financial Aid and Scholarships office; $14,900.

(b) TreMonti, Consulting services to review the technology portfolio to determine the potential commercial opportunity pertaining to Texas Tech University's technology commercialization program; $7,500. $12,000 was approved at the December 2019 meeting.

TTUHSC El Paso:

(a) 06608-A01; Patton Healthcare Consulting, Inc., "Consultant Services Amendment" for TTUHSC El Paso campus, RE: Consulting services for institution in preparation of Joint Commission survey, amended value increases contract value by $9,600, total contract value $19,500

(4) TTUHSC El Paso: Contracts that involve a stated or implied consideration that total in excess of $1,000,000 over the entire term of the contract but the per annum amount is less than $1,000,000 per section 07.12.4 of the *Regents' Rules* – Notwithstanding Section 07.12.3.a, *Regents' Rules*, the chancellor or president, as appropriate, is delegated the authority to approve: (i) contracts that involve a stated or implied consideration that total in excess of $1,000,000 over the entire term of the contract but the per annum amount is less than $1,000,000; and (ii) any amendment, extension, or renewal of a contract originally approved by the chancellor or president, as appropriate, so long as the amendment, extension, or renewal does not cause the per annum amount of the contract to exceed $1,000,000. This requirement is applicable to both cash and non-cash considerations. Information about such contracts or contract amendments, extensions, or renewals that are approved by the

chancellor or a president under this delegation of authority shall be provided to the board as an information item at the next regular board meeting.

(a) See attachment below of contracts that meet the above criteria.

Regents' Rules 07.12.4

Component	Vendor	Services or Goods to be Provided	Estimated Per Annum Consideration	Estimated Term Consideration (includes renewals)	Start	End	Procurement Method
TTUHSC El Paso	06995: The Hospitals of Providence	Neurology: Medical Director Services for Sierra Campus	$543,850	$1,359,652	11/01/20	04/30/23	N/A: Professional Services
TTUHSC El Paso	07985: TX HHSC	Social Worker Services to support Community Living Assistance and Support Services (CLASS)	$555,000	$1,433,750	08/11/20	02/23/23	N/A: Professional Services

(5) TTU and TTUHSC: Contracts for Sponsored Program Projects per Section 07.12.4.b., *Regents' Rules* – The board delegates to the presidents the authority to approve the proposals and execute and sign contracts for sponsored program projects in excess of $1,000,000 per annum. Sponsored program projects are those grants, contracts, and cooperative agreements from either the public or private sectors that support research, instructional, and service projects. A list of such contracts for sponsored program projects in excess of $1,000,000 per annum shall be provided to the board as an information item at the next regular board meeting.

TTU:

(a) LRN COVID -19 Testing; Steven M. Presley, Department Chairperson; TIEHH, and Principal Investigator; DHHS - Centers for Disease Control and Prevention/DSHS - Department of State Health Services; $2,230,000 awarded

TTUHSC:

(a) Cancer Prevention and Research Institute of Texas grant funding entitled "From Whole Animal Imaging to Super-Resolution Microscopy: An Imaging Core for the TTUHSC Campus at Amarillo", grant year 8/31/2020 through 8/30/2021; award amount $1,867,326.00.
(b) National Institutes of Health: National Institute on Alcohol Abuse and Alcoholism grant funding entitled "Medication Development for Alcohol Use Disorder", grant year 9/20/2020 through 8/31/2021; award amount $1,521,805.00.

(6) TTU: Reports to the Board: Office of Research Commercialization (ORC) report as required – "10.16.1 At least once a year, coincidental with a regular board meeting, the ORC Director shall prepare for the chancellor and the board a report listing the titles and a brief description of each intellectual property disclosure received since the last report, all license and assignment agreements entered into by the ORC, and the status of existing license and assignment agreements, including the distribution of revenues earned from such agreements."

(a) ORC FY20 Annual Report is included as a supplemental attachment to the agenda book.

(7) TTUS: Emergency or exigent circumstances delegation of authority to the Board chairman and chancellor by Sections 01.02.1.b-c.(1), *Regents' Rules* – "When an emergency or exigent circumstances exist that cannot be adequately addressed through Section 07.04.4.a(2) relating to budget adjustments, Section 07.12.3.g relating to contracts, or Section 08.01.7 relating to major construction projects, the chair – or if the chair is not available within the time required for action, the vice chair – may approve a proposal submitted by the chancellor, or the chancellor's designee, for an action that otherwise would require the approval of the board as a whole, with subsequent notification to the board as soon as practicable….The chair – or if the chair is not available within the time required for action, the vice chair – may authorize the chancellor to exercise such additional authority as is necessary to ensure the health and safety of the students, faculty, and staff of the Texas Tech University System and/or the continued operations of the System's components during a state of emergency or exigent circumstances (including, but not limited to, a "disaster" as defined in Section 418.004(1), Texas Government Code)."

(a) On November 12, 2020 Chancellor Mitchell approved temporary modifications to existing admissions standards for TTUHSC Schools including, but not limited to, extension of application deadlines, waiver of application fees, facilitation of online interviews in lieu of in-person interviews for applicants, waiver of standardized admission tests, extension of deadlines for immunization requirements, postponement of campus tours, and acceptance of transcripts with courses awarded with Pass (P) or Credit (CR). These modifications to admissions standards are applicable to prospective students in Spring 2021 and are aligned with guidance provided by the respective accrediting bodies as well as national standards. As required by Section 01.02.1.c.(1), *Regents' Rules*, the chancellor consulted with the chairman before exercising this authority.

II.C. SCHEDULE FOR BOARD MEETINGS.— Mr. McWhinney presented the following schedule for future board meetings: February 25-26, 2021, Lubbock; May 13-14, 2021, Lubbock; August 5-6, 2021, Lubbock; October 2021, TBA; and December 9-10, 2021, Lubbock.

III. EXECUTIVE SESSION.—At 10:51 am, the Board recessed and convened into Executive Session as authorized by Sections 551.071, 551.072, 551.073, 551.074, and 551.076 of the *Texas Government Code* in the Board of Regents Committee Room (106), First Floor, System Administration Building, 1508 Knoxville Avenue.

IV. OPEN SESSION.—At 2:15 pm, the Board reconvened in open session in the Board of Regents Conference Room (104A), First Floor, System Administration Building, 1508 Knoxville Avenue, to consider items as a Committee of the Whole and Meeting of the Board.

IV.A. REPORT OF EXECUTIVE SESSION.— Chairman Huckabee called on Vice Chairman Lewis to present motions regarding items discussed in Executive Session.

Vice Chairman Lewis announced there were five motions resulting from Executive Session.

IV.A.1. Vice Chairman Lewis moved that the Board authorize President Lange to conclude the negotiations and execute the necessary documents for acquisition of property in El Paso as identified in Executive Session … under the terms and conditions set forth in Executive Session. The motion was seconded by Regent Hammonds and unanimously approved by the Board.

IV.A.2. Vice Chairman Lewis moved that the Board authorize the naming of a unit of Texas Tech University Health Sciences Center at El Paso in accordance with the terms and conditions set forth in Executive Session … and delegate to President Lange the authority to announce the naming at the appropriate time. The motion was seconded by Regent Long and unanimously approved by the Board.

IV.A.3. Vice Chairman Lewis moved that the Board of Regents authorize President Rice-Spearman to proceed with the actions necessary to sell certain Texas Tech University Health Sciences Center improved real property in Amarillo … under the terms and conditions set forth in Executive

Session. The motion was seconded by Regent Griffin and unanimously approved by the Board.

IV.A.4. Vice Chairman Lewis moved that the Board authorize President Schovanec to conclude the negotiations and execute a contract for acquisition of body camera equipment and related supporting software for the Texas Tech University Police Department … under the terms and conditions set forth in Executive Session. The motion was seconded by Regent Walker and unanimously approved by the Board.

IV.A.5. Vice Chairman Lewis moved that the Board of Regents authorize the naming of a unit as well as namings of areas within a facility of Angelo State University in accordance with he terms and conditions set forth in Executive Session … and delegate to President Hawkins the authority to announce the naming at the appropriate time. The motion was seconded by Regent Walker and unanimously approved by the Board.

No action was taken on any other matters that were posted for discussion in Executive Session, which included:

Consultation with Attorney Regarding Legal Matters or Pending and/or Contemplated Litigation or Settlement Offers (Tex. Govt. Code § 551.071) including: Pre-litigation and litigation update; Discussion regarding a potential insurance claim; and Other pending legal matters, potential legal claims updates, settlement offer updates, and discussion and advice from general counsel on pending legal issues.

Deliberation Regarding Individual Personnel Matters Relating to the Appointment, Employment, Evaluation, Reassignment, Duties, Discipline, or Dismissal of Officers or Employees of the TTU System and its Component Institutions. (Tex. Govt. Code § 551.074) including: Discussion of Chancellor duties, assignments, and expectations; and Discussion of other personnel matters including the duties, performance and evaluation of Texas Tech University System or component institution officers and employees.

Deliberation Regarding Security Devices or Security Audits. (Section 551.076).

(*In connection with this item, to the extent that any agenda notation or supplemental written materials, which might otherwise be covered by Tex. Govt. Code §551.1281(b)(1), have been excluded from an internet web

posting, such exclusion has been authorized by a certification pursuant to Tex. Govt. Code §551.1281(c).)

IV.B. APPROVE SUSPENSION OF OFFICER ELECTION RULES AND REPORT OF NOMINATIONS COMMITTEE AND BOARD OFFICER ELECTIONS.—At 2:18 pm, Chairman Huckabee facilitated the election of new officers.

IV.B.1. Chairman Huckabee called upon Regent Long who made a motion to suspend *Regents' Rules*, 01.02.9.f. regarding the election of board officers. The motion was seconded by Regent Walker and unanimously approved by the Board.

IV.B.2. Chairman Huckabee called upon Regent Kerrick, chair of the Nominations Committee for Board Officer Elections who presented the slate of candidates for consideration for chair and vice chair of the Board of Regents. Regent Kerrick made the motion upon recommendation of the Nominating Committee, which consisted of Regent Long, Regent Womble and Regent Kerrick, to elect Regent J. Michael Lewis as chair and Regent Mark Griffin as vice chair of the Texas Tech University System Board of Regents. The motion was seconded by Regent Steinmetz and unanimously approved by the Board. Regent Griffin abstained from the vote for vice chairman.

Regent Huckabee congratulated newly elected Chairman Lewis and Vice Chairman Griffin and expressed his gratitude for being able to serve as a regent and chair and for having served with such outstanding regents. Regent Huckabee then "passed" the gavel to Chairman Lewis.

Chairman Lewis thanked Mr. Huckabee for his service as chairman of the Board and thanked the regents for their confidence in him to lead the Board and Texas Tech to continued growth and success.

Vice Chairman Griffin also addressed the Board, noting his thanks to the regents and his dedication to continue in working for the best interests of Texas Tech.

IV.C. CHAIRMAN'S ANNOUNCEMENTS.—No further comments were made.

V. ADJOURNMENT.—Chairman Lewis recessed the meeting at 2:27 pm.

INDEX OF ATTACHMENTS

Attachment 1	TTUS Prioritized Audit Plan FY 2021
Attachment 2	TTU Authorize expenditures to the TTU Museum East Wing Addition project for CMAR Pre-construction services PowerPoint
Attachment 3	TTU Approve total project budget for CMA services and CMAR's GMP for the Dorothy and Todd Aaron Medical Sciences Building Addition and Renovation on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program PowerPoint
Attachment 4	TTUS Report on Facilities Planning and Construction projects (project data as of (11/18/2020) PowerPoint
Attachment 5	TTUS Facilities Planning and Construction Capital Projects Budget Analysis FY 2010-2020 Report (11/16/2020)
Attachment 6	TTUS Chapter 01 – Bylaws, redline with proposed revisions
Attachment 7	TTUS Chapter 09 –Investments and Endowments, redline with proposed revisions
Attachment 8	TTUS Investment Policy Statement, redline with proposed revisions
Attachment 9	TTUS Report on TTUS Endowments, as of August 31, 2020 PowerPoint
Attachment 10	ASU OP 10.01, Undergraduate Student Admissions, redline with proposed revisions
Attachment 11	TTU OP 34.01, Undergraduate Admissions, redline with proposed revisions
Attachment 12	TTUS Chapter 05 – Student Affairs, redline with proposed revisions
Attachment 13	ASU Building Replacement Estimate Report
Attachment 14	TTU Building Replacement Estimate Report
Attachment 15	TTUHSC Building Replacement Estimate Report
Attachment 16	TTUHSC El Paso Building Replacement Estimate Report

I, Keino McWhinney, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on December 10, 2020.

Keino McWhinney
Secretary of the Board

SEAL

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2021

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF DEC 2	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		TOTAL ENGAGEMENT HOURS AVAILABLE		**19,000**					
		REQUIRED ENGAGEMENTS							
Required	ALL	Audit Report Follow-Up Procedures and Reporting	Follow-Up	900		In Progress	383	517	0
Required	ALL	State Auditor's Office, THECB, and Comptroller's Office Misc. Projects		15			1	14	0
		TTU, HSC: 2020 Statewide Federal Financial Audit	*SAO*			In Progress			0
		TTU, HSC, HSC-EP: Comptroller's Office Recovery Audit	*State Comptroller's Office*			In Progress			0
		TTU: Comptroller's Post-Payment Audit	*State Comptroller's Office*			In Progress			0
		HSC: Correctional Managed Healthcare	*SAO*			In Progress			0
		HSC: Financial Aid Compliance - Graduate Medical Education Grants	*Coordinating Board*			Complete			0
Required	ALL	CPRIT Grant Funds	External	10					10
Required	ALL	Contracting and Procurement Processes	Compliance	375					375
Required	ALL	Risk Management Assessment	Risk Management	50					50
Required	TTUS	Texas Tech Foundation, Inc. Financial Statements	External	100		In Progress	102	10	(12)
Required	TTUS	Regents, Chancellor, & Presidents Travel and Other Expenses	External	50		In Progress	49	5	(4)
Required	TTUS	Multihazard Emergency Plan Safety and Security Audit	Compliance	150		In Progress	59	91	0
Required	TTUS	Office of Audit Services Annual Report	Compliance	30		Complete	29		1
Required	TTUS	Office of Audit Services Annual Plan	Compliance	100					100
Required	TTUS	Office of Audit Services Quality Assurance Activities Review	Compliance	60					60
Required	TTUS	Office of Audit Services Self-Assessment	Compliance	120					120
Required	TTUS	Office of Audit Services External Quality Assessment	Compliance	80					80
Required	TTU	Athletics Financial Agreed-Upon Procedures	External	5		In Progress		5	0
Required	TTU	Texas Tech Public Media Financial Statements	External	200		In Progress	177	23	0
Required	TTU	Texas Higher Education Coordinating Board Facilities Audit	Compliance	100					100
Required	HSC	Correctional Managed Health Care Contract	Compliance	150					150
New	HSC	Nursing Shortage Reduction Regular Program Funds	Compliance	30		Complete	24		6
Required	HSC/HSC-EP	Texas Higher Education Coordinating Board Residency Grants	Compliance	50		In Progress	2	48	0
Required	HSC-EP	Student Financial Aid Program	External	40		In Progress	1	39	0
Required	ASU	Carr Foundation Financial Statements	External	5		In Progress		5	0
Required	ASU	ASU Foundation, Inc. Financial Statements	External	5					5
		TOTALS FOR REQUIRED ENGAGEMENTS		**2,625**	**-**		**827**	**757**	**1,041**
		AUDITS IN PROGRESS AT AUGUST 1, 2020							
Prior Year	TTU	Advising Processes	Operational/IT	433		In Progress	314	119	0
Prior Year	TTU	Intercollegiate Athletics	Operational/Compliance	150		Complete	560		(410)
Prior Year	TTU	University Libraries	Financial/Operational	313		Complete	477		(164)
Prior Year	HSC	Student Financial Aid	External	5		Complete			5
Prior Year	HSC	School of Pharmacy	Financial/Operational	316		In Progress	437	30	(151)
Prior Year	HSC	Lubbock Department of Pediatrics	Financial/Operational	276		Complete	381		(105)
Prior Year	HSC-EP	Student Financial Aid	Compliance	135		Complete	265		(130)
Prior Year	HSC-EP	Electronic Medical Record Application Controls	IT/Controls	35		Complete	117		(82)
Prior Year	HSC-EP	Hunt School of Nursing	Financial/Operational	89		Complete	121		(32)
Prior Year	HSC-EP	Institutional Advancement	Financial/Controls	20		Complete	25		(5)
Prior Year	ASU	Contracting Office	Operational/Compliance	278		Complete	275		3
Prior Year	ALL	Wrap-up on Audits Included in August BOR Report		50		Complete	100		(50)
		TOTALS FOR AUDITS IN PROGRESS		**2,100**	**-**		**3,072**	**149**	**(1,121)**
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS							
		Total Hours Budgeted for Special Projects & Investigations		**3,500**	(1,202)				**2,298**
		IN PROGRESS AT AUGUST 1, 2020							
Special	HSC	School of Nursing Combest Center	Special		196	Complete	196		0
Special	HSC-EP	Sick Leave Donation Special	Special		106	Complete	106		0
		BEGUN AFTER AUGUST 1, 2020							
Special	HSC	Campus Alliance for Telehealth Resources (CATR)	Special		150	In Progress	52	98	0
Special	HSC-EP	Student Fees	Special		300	In Progress	267	33	0
Special	HSC-EP	Pathology Special	Special		250	In Progress	212	38	0
Special	ALL	Miscellaneous Hotline Projects	Special		200	In Progress	71	129	0
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS		**3,500**	**1,202**		**904**	**298**	**2,298**

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2021

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF DEC 2	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		HIGHEST PRIORITY							
1	ALL	COVID-Related Funding	Financial/Compliance	1,500	(400)				1,100
		TTU CARES Act			200	In Progress	75	125	0
		HSC CARES Act			200	In Progress	16	184	0
		HSC-EP CARES Act							0
		ASU CARES Act							0
1	TTU	International Student Visa Processes	Operational/Compliance	400					400
1	TTU	Information Technology Change Management Processes	IT/Operational	175					175
1	HSC	International Student Visa Processes	Operational/Compliance	350					350
1	HSC	Lubbock Department of Otolaryngology (ENT)	Financial/Operational	400					400
1	HSC-EP	Hunt School of Dental Medicine State Line Item	Financial	350					350
1	HSC-EP	Paul L. Foster School of Medicine Financial Review	Financial	400					400
1	ASU	Athletics Title IX Processes	Compliance/Management Advisory	400					400
1	ASU	Student Billing Processes	Operational/Compliance	300		In Progress	53	247	0
		HIGHEST PRIORITY TOTALS		**4,275**	**-**		**144**	**556**	**3,575**
		MODERATE PRIORITY							
2	TTUSA	Information Technology General Controls Review	IT Security/Controls	450		In Progress	10	440	0
2	TTU	Intercollegiate Athletics	Operational/Compliance	400					400
2	TTU	School of Veterinary Medicine State Line Item	Financial	250		In Progress	116	134	0
2	HSC	President's Office	Management Advisory	325					325
2	HSC	Amarillo Campus Departments	Financial/Operational	400					400
2	HSC-EP	MPIP Business Office	Operational	375					375
2	HSC-EP	Information Technology Governance	IT/Governance	500		In Progress	140	360	0
2	ASU	President's Office	Management Advisory	250		In Progress	3	247	0
2	ASU	Office of Institutional Advancement	Management Advisory	350					350
		MODERATE PRIORITY TOTALS		**3,300**	**-**		**269**	**1,181**	**1,850**
		LOWER PRIORITY							
3	TTU	Certified Cost Rehabilitation Report - Weeks Hall	Financial/Compliance	40					40
3	TTU	PCI DSS Compliance	IT/Compliance	300					300
3	TTU	College of Architecture Information Technology Resources	IT/Management Advisory	150					150
3	HSC	PCI DSS Compliance	IT/Compliance	350					350
3	HSC-EP	PCI DSS Compliance	IT/Compliance	350					350
3	HSC-EP	Dental EHR Project Implementation Review	IT/Controls	300		In Progress	12	288	0
3	ASU	Internal Research Grant Financial Compliance	Compliance	200					200
		LOWER PRIORITY TOTALS		**1,690**	**-**		**12**	**288**	**1,390**
		CONSTRUCTION PROJECT AUDITS							
FP&C	TTUS	TTU Talkington College of VPA Phase II	*RL Townsend & Associates*						0
FP&C	TTUS	TTU Weeks Hall Renovation	*RL Townsend & Associates*			In Progress			0
FP&C	TTUS	TTU Womble Basketball Practice Facility	*RL Townsend & Associates*			In Progress			0
FP&C	TTUS	TTU School of Veterinary Medicine	*CBIZ Risk & Advisory Services*			In Progress			0
FP&C	TTUS	TTU Football Training Facility	*RSM US LLP*						0
FP&C	TTUS	TTU Jones AT&T Stadium East Side	*RSM US LLP*			In Progress			0
FP&C	TTUS	TTUS HSC Southwest Professional Building	*RL Townsend & Associates*						0
FP&C	TTUS	TTUS HSC School of Health Professions Midland PA Building	*RL Townsend & Associates*						0
FP&C	TTUS	HSC-EP Medical Sciences Building II	*RSM US LLP*			In Progress			0
FP&C	TTUS	HSC-EP Dental Clinic	*CBIZ Risk & Advisory Services*			In Progress			0
FP&C	TTUS	ASU Museum	*CBIZ Risk & Advisory Services*			In Progress			0
		CONSTRUCTION PROJECT TOTALS		**0**	**-**		**-**	**-**	**0**

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2021

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF DEC 2	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		OTHER VALUE-ADDED WORK						
		Total Hours Budgeted for Other Value-Added Work	**1,540**	**(944)**				**596**
Other	ALL	Data Analysis			Ongoing	102		
Other	ALL	Fraud Prevention Training			Paused	5		
Other	ALL	Cash Handling, Internal Control, and Control Environment Training			Paused			
Other	ALL	New Employee Orientation			Paused			
Other	ALL	TeamMate+ Migration & Upgrade			In Progress	509		
Other	TTUSA	Values Culture Integration			Ongoing	25		
Other	TTUS	Enterprise Systems Steering Committee, Council, and Work Group			Ongoing	5		
Other	TTUS	FP&C Contract Selection Process Proctor			Ongoing			
Other	TTUS	Chrome River Travel & Expense System Implementation			In Progress			
Other	TTUS	OAS Quality and Process Improvement Activities			Ongoing	16		
Other	HSC	President's Advisory Board			Ongoing	12		
Other	HSC	Institutional Compliance Risk Council			Ongoing	1		
Other	HSC	Billing Compliance Advisory Committee			Ongoing	1		
Other	HSC	Enterprise Risk Management Committee			Ongoing			
Other	HSC	Performance Improvement Committee			Ongoing	2		
Other	HSC	Clery Compliance Committee			Ongoing	1		
Other	HSC-EP	Institutional Compliance Committee			Ongoing			
Other	HSC-EP	Conflict of Interest Committee			Ongoing			
Other	HSC-EP	IT Collaboration Software Task Force			Ongoing			
Other	ASU	Process Improvement Team			Ongoing			
Other	ASU	Chrome River Expense System Implementation			In Progress	10		
Other	N/A	Quality Assurance (Peer) Reviews: Midwestern State; Texas A&M Univ. System; University System of Georgia			In Progress	44		
Other	N/A	Professional Organizations (ACUA, TACUA, IIA, TXCPA, ACFE)			Ongoing	131		
Other	ALL	Other Miscellaneous Projects			Ongoing	80		
		OTHER VALUE-ADDED WORK TOTALS	**1,540**	**-**		**944**	**-**	**596**
		TOTAL ENGAGEMENT HOURS	**19,030**	**0**		**6,172**	**3,229**	**9,629**

	KEY
TTUS	Texas Tech University System and/or inclusive of multiple Texas Tech institutions
TTUSA	Texas Tech University System Administration
TTU	Texas Tech University
HSC	Texas Tech University Health Sciences Center
ASU	Angelo State University
HSC-EP	Texas Tech University Health Sciences Center El Paso
N/A	Work that is not attributable to a particular institution or campus

Required	Audits that are mandated by law, Operating Policies, standards, contracts, etc. Will be performed based on timing of external deadlines.
Prior Year	Engagements from prior year annual plan that were in progress at August 1. Goal is to complete them early in the year.
Special	Unplanned special projects and investigations.
1	Engagements that were deemed most critical per the risk assessment at August 1.
2	Engagements that were deemed to be moderately critical per the risk assessment at August 1.
3	Engagements that were deemed least critical per the risk assessment at August 1.
FP&C	Outsourced construction audits performed by independent CPA or consulting firms.
Other	Other projects, including committee service, class development and instruction, professional organizations, etc.

Texas Tech University

Item 3

Authorize expenditures to the TTU Museum East Wing Addition project for CMAR Pre-construction services.

Billy Breedlove



Basement Floor Plan

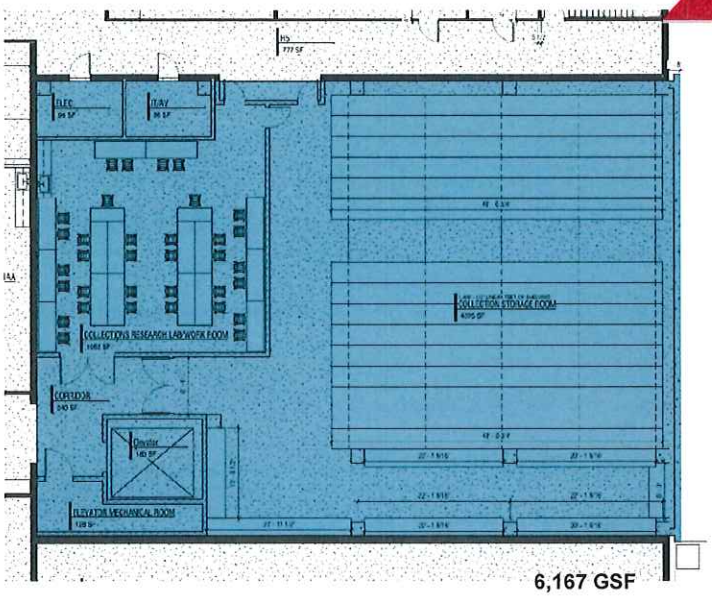
Item 3

LEGEND

- Collection Storage
- Collection Research
- Receiving Room
- New Elevator



Key Plan



6,167 GSF

NORTH

First Floor Plan

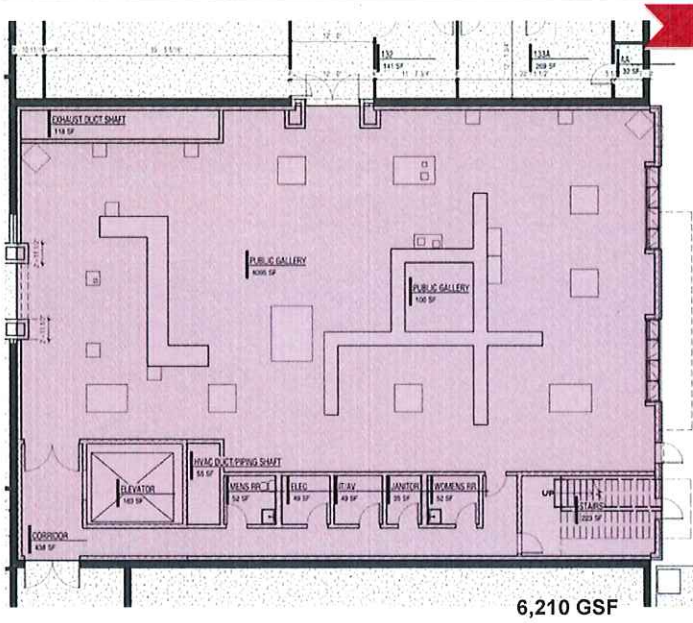
Item 3

LEGEND

- Public Gallery
- Stairs and Egress
- New Elevator



Key Plan



6,210 GSF

NORTH

Second Floor Plan

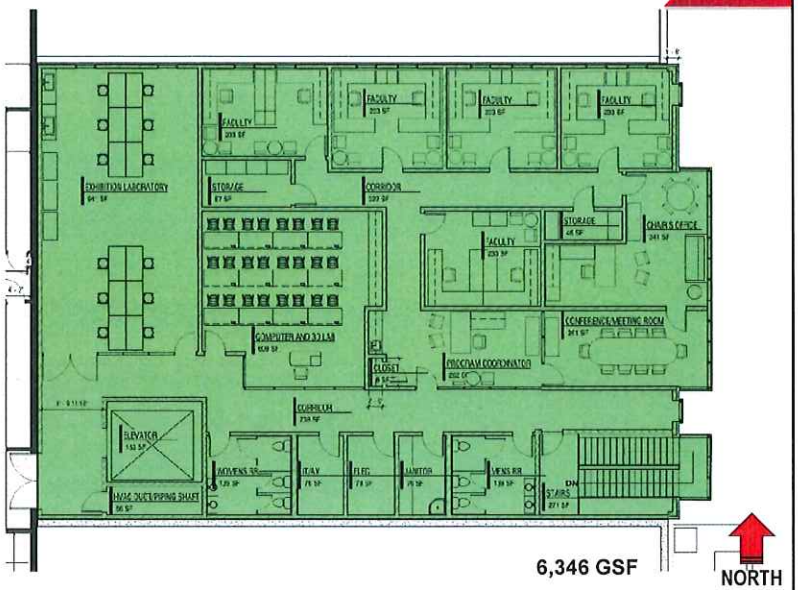
Item 3

LEGEND

- Exhibition Lab
- Heritage Chair and Coordinator Offices
- Conference / Meeting Room
- Research
- Computer Lab
- Storage



Key Plan



Scope of Services

Item 3

- Execute Construction Manager At Risk ("CMAR") Agreement to allow the CMAR to provide pre-construction activities associated with the planning and design process, such as:
  - Project evaluation;
  - Site analysis;
  - Constructability review;
  - Value engineering;
  - Scheduling;
  - Cost control; and
  - Development of a Guaranteed Maximum Price ("GMP").

Project Overview

Item 3

- Project will construct an approximate 18,700 GSF in-fill addition on the east side of the Museum of Texas Tech University.
- The project to include:
  - Basement connections to the central secured hallways; collections areas; and main storage room for the new collection;
  - First Floor will provide public gallery space for the Dr. Robert and Louise Arnold collection and other display items. The space will feature gallery walls and lighting that highlights the art; and
  - Second Floor will provide academic and staff space for the Heritage Management & Museum Science program.
- Utilities, infrastructure, and a new elevator will be included in the project.

Project Budget

Item 3

	BOR Authorized October 2020	Additional Request	Revised Budget
	$ 1,142,417	$ 160,400	$ 1,302,817
Construction	$ 0	$ 25,000	$ 25,000
Professional Services	$ 998,620	$ 125,000	$ 1,123,620
FF&E	$ 0	$ 0	$ 0
Administrative Cost	$ 8,000	$ 0	$ 8,000
BOR Directed Fees (2.4% FP&C)	$ 34,375	$ (3,840)	$ 30,535
Contingency	$ 101,422	$ 14,240	$ 115,662

** Fees Waived for 1% Landscape Enhancements and 1% Public Art*

Recommendation

Item 3

- Authorize expenditures of $160,400 for a total of $1,302,817 for the Museum East Wing Addition project, with an anticipated total project budget of $12,000,000; waive the board directed fees for landscape enhancements and public art; and award a Construction Manager At Risk ("CMAR") Agreement for pre-construction services.
- The new authorized expenditures include the previously board authorized expenditures ($1,142,417) to be funded through the Revenue Finance System ("RFS") repaid with Gifts, Higher Education Funds ("HEF") (cash), Institutional Funds, and Texas Research Incentive Program ("TRIP").

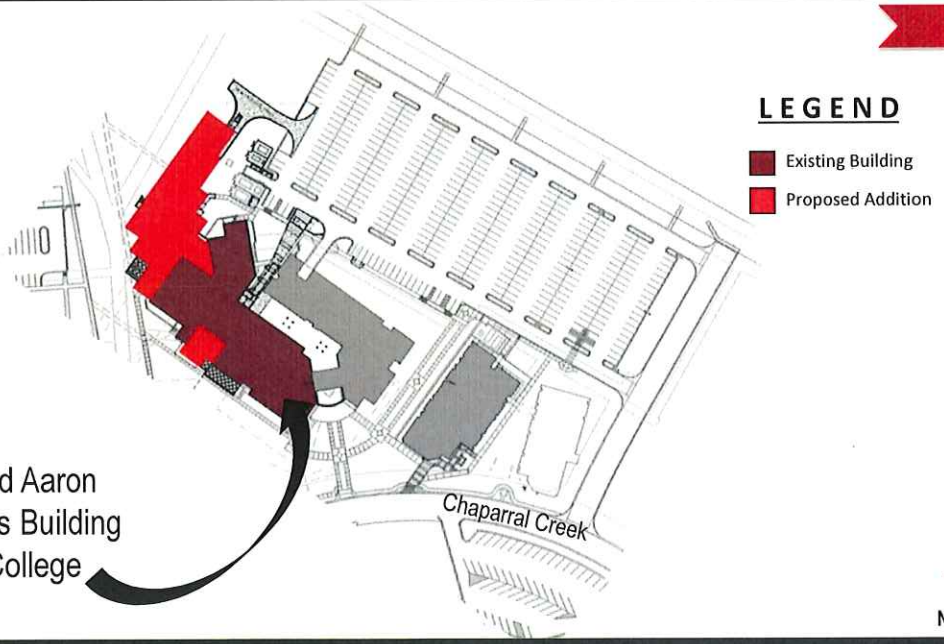
Texas Tech University Health Sciences Center

Item 4

Approve total project budget for CMA services and CMAR's GMP for the Dorothy and Todd Aaron Medical Sciences Building Addition and Renovation on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program.

Billy Breedlove

Item 4



Dorothy and Todd Aaron Medical Sciences Building on the Midland College campus

Floor Plan Overview

Item 4

COLOR LEGEND

- BREAK ROOM
- CLINICAL CLASSROOM
- COLLAB CLASSROOM
- COLLABORATION
- CONFERENCE
- CORRIDOR
- FACULTY OFFICE
- IT
- LAB
- LECTURE
- RESTROOM
- SUPPORT
- ANATOMY OFFICE
- ANATOMY SUPPORT
- PRE AND DEBRIEF
- SIM PATIENT EXAM

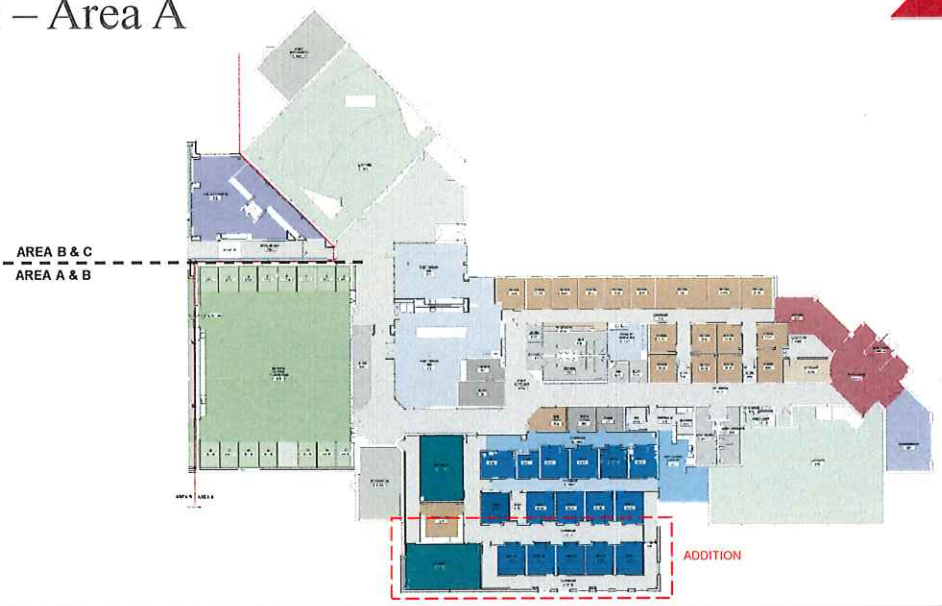


Floor Plan – Area A

Item 4

COLOR LEGEND

- BREAK ROOM
- CLINICAL CLASSROOM
- COLLAB CLASSROOM
- COLLABORATION
- CONFERENCE
- CORRIDOR
- FACULTY OFFICE
- IT
- LAB
- LECTURE
- RESTROOM
- SUPPORT
- ANATOMY OFFICE
- ANATOMY SUPPORT
- PRE AND DEBRIEF
- SIM PATIENT EXAM

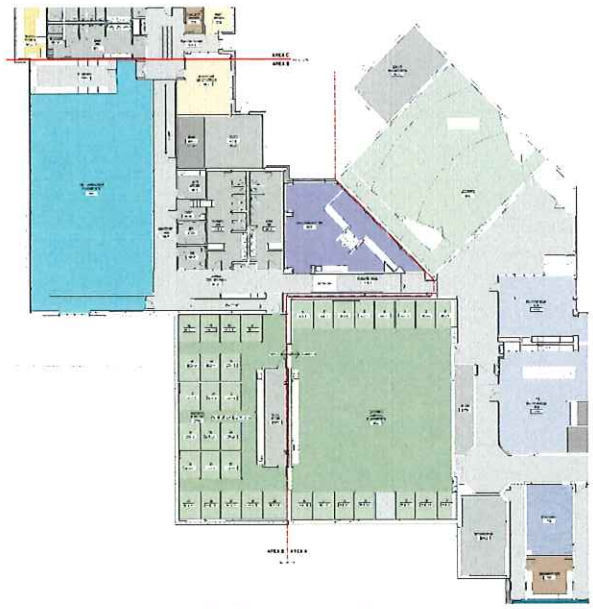


Floor Plan – Area B

Item 4

COLOR LEGEND

- BREAK ROOM
- CLINICAL CLASSROOM
- COLLAB CLASSROOM
- COLLABORATION
- CONFERENCE
- CORRIDOR
- FACULTY OFFICE
- IT
- LAB
- LECTURE
- RESTROOM
- SUPPORT
- ANATOMY OFFICE
- ANATOMY SUPPORT
- PRE AND DEBRIEF
- SIM PATIENT EXAM



Floor Plan – Area C

Item 4

COLOR LEGEND

- BREAK ROOM
- CLINICAL CLASSROOM
- COLLAB CLASSROOM
- COLLABORATION
- CONFERENCE
- CORRIDOR
- FACULTY OFFICE
- IT
- LAB
- LECTURE
- RESTROOM
- SUPPORT
- ANATOMY OFFICE
- ANATOMY SUPPORT
- PRE AND DEBRIEF
- SIM PATIENT EXAM



Scope of Services

Item 4

- Execute a Construction Manager-Agent ("CMA") Agreement to allow the CMA to provide pre-construction services and on-site project observation services.
- The CMA was selected from the TTU System's Construction Manager-Agent Firms Pre-Qualification List (2020-2023).
- Amend the Construction Manager At Risk ("CMAR") Agreement to construct the new School of Health Professions Physician Assistant Building.

Proposed Project Overview

Item 4

- The project will provide an approximate 24,000 GSF addition and renovate 9,600 SF within the existing Dorothy and Todd Aaron Medical Sciences Building on the Midland College campus.
- Addition will include:
  - Educational / classroom spaces;
  - Faculty/staff offices; support spaces; and
  - Gross Anatomy Lab with specialized building systems and equipment.
- Renovation of existing space will provide:
  - Instructional spaces to meet program needs;
  - Modernized instructional technologies;
  - Incremental increase in program capacity; and
  - Faculty/staff offices; and support spaces.

Project Budget

Item 4

	BOR Authorized May 2020 $ 3,157,748	Additional Request $ 26,842,252	Revised Budget $ 30,000,000
Construction	$ 25,000	$ 17,796,059	$ 17,821,059
Professional Services	$ 2,762,648	$ 993,353	$ 3,756,001
FF&E	$ 0	$ 5,863,244	$ 5,863,244
Administrative Cost	$ 15,750	$ 442,495	$ 458,245
BOR Directed Fees* (2.4% FP&C)	$ 74,010	$ 629,115	$ 703,125
Contingency	$ 280,340	$ 1,117,986	$ 1,398,326

** Fees Waived for 1% Landscape Enhancements and 1% Public Art*

Recommendation

Item 4

- Authorize the acceptance of the Guaranteed Maximum Price ("GMP") for the construction of the Dorothy and Todd Aaron Medical Sciences Building Addition and Renovation project on the Midland College campus for the TTUHSC School of Health Professions Physician Assistant program; increase the budget by $26,842,252 for a total project budget of $30,000,000; report the project to the Texas Higher Education Coordinating Board ("THECB"); award a Construction Manager-Agent ("CMA") Agreement; and amend the Construction Manager At Risk ("CMAR") Agreement.
- The total project budget will be funded through the Revenue Finance System ("RFS") repaid with a Legislative Appropriation from the 86th Texas Legislature Regular Session, a grant from the Midland Development Corporation, Gifts, and Institutional Funds.

Texas Tech University System

Item 5

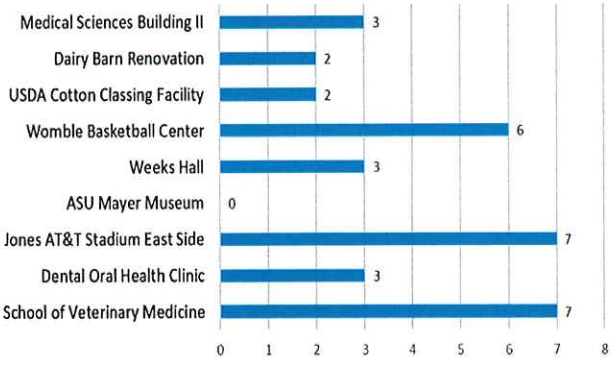
Report on Facilities Planning and Construction projects (project data as of (11/18/2020)

Billy Breedlove

COVID-19 Project Impacts

Item 5

Positive COVID Cases*



* Number of Cases Since March 2020

Known Delays Due to COVID

- Elevator and components
- Stainless steel products
- Flooring shipments and installation
- Material/labor shortages for fabrication & installation of specialty equipment
- Furniture, Fixtures, and Equipment
- Architectural Stone
- Brick pavers
- Mechanical, Electrical and Plumbing equipment delays
- Folding panel partitions
- AV equipment
- COVID travel restrictions
- Quarantine of entire crews due to COVID and some project shut-downs

TTUHSC El Paso – Dental Oral Health Clinic

Item 5

Current Budget: $ 25,000,000

Gross Square Feet: 39,771 GSF

Team / Status:

- Design Professional:
  Brown Reynolds Watford Architects
  @ 80%
- Construction Manager At Risk (CMAR):
  Hensel Phelps @ 36%
- On Site Project Management /
  Construction Observation
  by TTUHSC-EP
- Artist:
  Waived

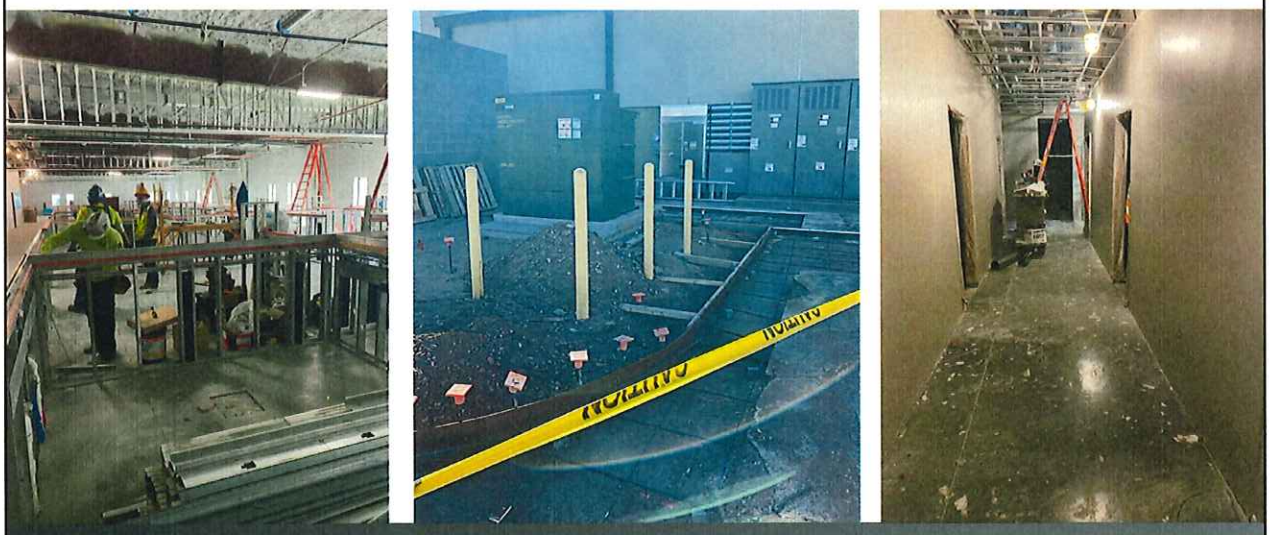
Substantial Completion Date:
Original Date – April 2021

Actual Date – TBD



TTUHSC El Paso – Dental Oral Health Clinic

Item 5



TTUHSC El Paso – Dental Oral Health Clinic

Item 5

Construction Delivery: CMR

	Total Project 2/2020 | 40,562 GSF B	Previous Budget 10/2020 | 40,562 GSF C	Current Budget 11/18/2020 | 40,562 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 25,000,000	$ 25,000,000	$ 25,000,000	$ -	
CATEGORY					
Construction	$ 15,003,210	$ 15,003,210	$ 15,003,210	$ -	
Professional Services	$ 2,748,254	$ 2,748,254	$ 2,748,254	$ -	
FF&E	$ 6,273,297	$ 6,273,297	$ 6,273,297	$ -	
Administrative	$ 78,105	$ 78,105	$ 78,105	$ -	
Project Contingency	$ 311,197	$ 311,197	$ 311,197	$ -	
Regents' Rules	$ 585,937	$ 585,937	$ 585,937	$ -	
TOTAL	$ 25,000,000	$ 25,000,000	$ 25,000,000	$ -	

PARTNERS

General Contractor	Hensel Phelps
Design Professional	Brown Reynolds Watford Architects, Inc.
On Site Project Management / Construction Observation by TTUHSC-EP	
Tier 2 Auditor	CBIZ

TTU – School of Veterinary Medicine

Item 5

Current Budget: $ 105,000,000

Gross Square Feet: 210,000 GSF

Team / Status:

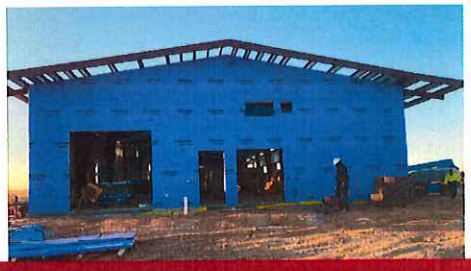
- Design Professional:
  Kirksey Architecture @ 72%
- Construction Manager At Risk (CMAR):
  Western Builders of Amarillo @ 43%
- Construction Manager Agent (CMA):
  Project Control @ 37%
- Artist:
  Waived

Substantial Completion Date:
Original Date – October 2021

Actual Date – TBD









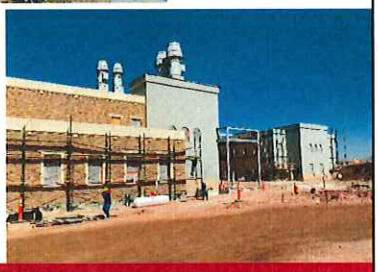
TTU – School of Veterinary Medicine - Headquarters

Item 5









TTU – School of Veterinary Medicine - Mariposa

Item 5









TTU – School of Veterinary Medicine - Combined

Item 5

Construction Delivery: CMAR

	Total Project 1/2020 | 185,200 GSF HQ 2/2020 | 24,800 GSF Mariposa B	SVM Main Campus Previous Budget 10/2020 | 185,200 GSF C	SVM Main Campus Changes +/(-) Change	Mariposa Station Previous Budget 10/2020 | 24,800 GSF D-C	Mariposa Station Changes +/(-) Change	Notes	Total Project Current Budget (No Change) D
BUDGET	$ 105,000,000	$ 90,000,000		$ 15,000,000			$ 105,000,000
CATEGORY							
Construction	$ 87,729,020	$ 71,587,225	$ -	$ 16,141,795	$ -		$ 87,729,020
Professional Services	$ 6,513,810	$ 5,420,267	$ -	$ 1,093,543	$ -		$ 6,513,810
FF&E	$ 6,923,175	$ 6,840,539	$ (100,000)	$ 82,636	$ 100,000	Budget change to fund the Telecommunicatons Network Equipment and installation at Mariposa.	$ 6,923,175
Administrative	$ 181,512	$ 157,181	$ -	$ 24,331	$ -		$ 181,512
Project Contingency	$ 1,523,108	$ 1,511,218	$ -	$ 11,890	$ -		$ 1,523,108
Regents' Rules	$ 2,129,375	$ 2,129,375	$ -	$ -	$ -		$ 2,129,375
TOTAL	$ 105,000,000	$ 87,645,805	$ (100,000)	$ 17,354,195	$ 100,000		$ 105,000,000

PARTNERS

General Contractor	Western Builders of Amarillo
Design Professional	Kirksey Architecture
CM Agent (PM Assist)	Project Control
Tier 2 Auditor	CBIZ

TTU – USDA Cotton Classing Laboratory

Item 5

Const. Contract Amount: $ 14,466,000

Gross Square Feet: 30,730 GSF

Team:

- Design Professional:
  Fanning, Fanning and Associates @ N/A
- Construction Manager At Risk (CMAR):
  Lee Lewis Construction, Inc. @14%
- On Site Project Management / Construction Observation by TTUS-FP&C
- Artist:
  N/A

Substantial Completion Date:
Original Date – June 2021

Actual Date – TBD









TTU – USDA Cotton Classing Laboratory

Item 5







TTU – The Dustin R. Womble Basketball Center

Item 5

Current Budget: $ 29,500,000

Gross Square Feet: 58,630 GSF

Team / Status:

- Design Professional:
  Populous, Inc. @ 92%
- Construction Manager At Risk (CMAR):
  Lee Lewis Construction, Inc. @ 96%
- Construction Manager at Agent (CMA):
  Waived
- Artist:
  Stephen Johnson /
  Design Approval Pending

Substantial Completion Date:
Original Date – June 30, 2020

Actual Date – TBD







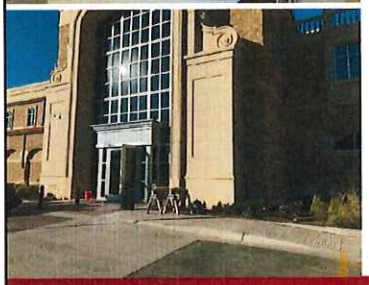
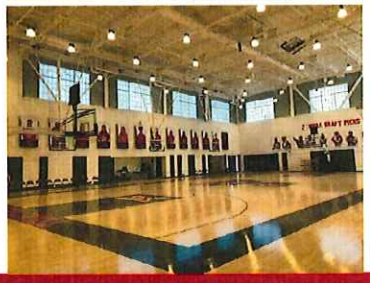


TTU – The Dustin R. Womble Basketball Center

Item 5






TTU – The Dustin R. Womble Basketball Center

Item 5

Construction Delivery: CMAR

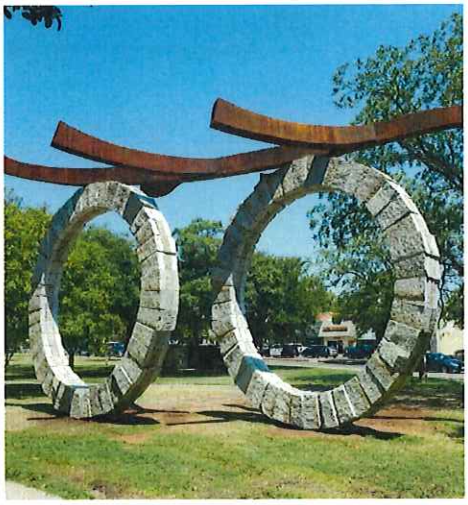
	Total Project 12/2018 | 58,630 GSF B	Previous Budget 10/2020 | 58,630 GSF C	Current Budget 11/18/2020 | 58,630 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 29,500,000	$ 29,500,000	$ 29,543,940	$ 43,940	$43,940 increased funding for video boards Populous-design + remibursable costs.
CATEGORY					
Construction	$ 22,714,153	$ 24,400,158	$ 24,380,766	$ (19,392)	For DP Amendment to Populous for additional scope and to add funds to FF&E for women's basketball marker boards, the lobby light fixture, and other items.
Professional Services	$ 2,395,592	$ 2,202,278	$ 2,237,484	$ 35,206	
FF&E	$ 2,150,264	$ 1,531,964	$ 1,568,702	$ 36,738	
Administrative	$ 83,400	$ 67,600	$ 58,988	$ (8,612)	
Project Contingency	$ 858,591	$ -	$ -	$ -	
Regents' Rules	$ 1,298,000	$ 1,298,000	$ 1,298,000	$ -	
TOTAL	$ 29,500,000	$ 29,500,000	$ 29,543,940	$ 43,940	

PARTNERS

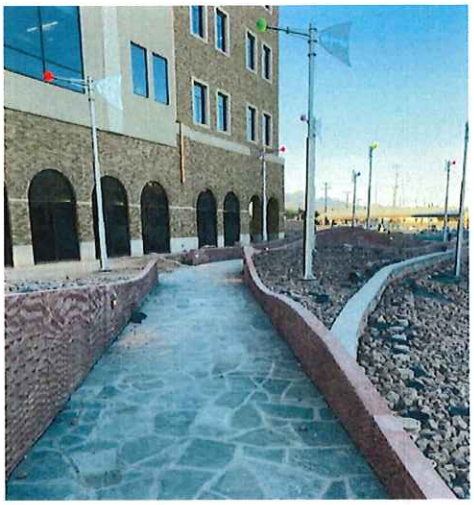
General Contractor	Lee Lewis Construction, Inc.
Design Professional	Populous, Inc.
CM Agent (PM Assist)	Waived
Tier 2 Auditor	Townsend

Public Art – Weeks Hall / Medical Science Building II

Item 5



As *Far as the Eyes Can See* - Ilan Averbuch, Long Island City, NY



Between Earth and Sky - Thomas Sayre, Raleigh, NC

ASU – Angelo State University Mayer Museum

Item 5

Current Budget: $ 17,850,000

Gross Square Feet: 32,005 GSF

Team / Status:
- Design Professional (DP): KFW Architects @ 98%
- Construction Manager At Risk (CMAR): Western Builders of Amarillo @ 84%
- Construction Manager Agent (CMA): Waived
- Artist: Waived

Substantial Completion Date:
Original Date – August 2020

Actual – August 31, 2020

Mezzanine Addition – January 2021









ASU – Angelo State University Mayer Museum

Item 5

Construction Delivery: CMAR

	Total Project 08/2019 | 32,005 GSF B	Previous Budget 10/2020 | 32,005 GSF C	Current Budget 11/18/2020 | 32,005 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 17,100,000	$ 17,850,000	$ 17,850,000		
CATEGORY					
Construction	$ 13,857,231	$ 14,547,764	$ 14,547,764	$ -	
Professional Services	$ 1,133,738	$ 1,161,708	$ 1,161,708	$ -	
FF&E	$ 1,376,750	$ 1,394,250	$ 1,394,250	$ -	
Administrative	$ 131,000	$ 131,000	$ 131,000	$ -	
Project Contingency	$ 200,428	$ 200,428	$ 200,428	$ -	
Regents' Rules	$ 400,853	$ 414,850	$ 414,850	$ -	
TOTAL	$ 17,100,000	$ 17,850,000	$ 17,850,000	$ -	

PARTNERS

General Contractor	Western Builders of Amarillo
Design Professional	KFW Architects, Inc.
CM Agent (PM Assist)	N/A
Tier 2 Auditor	CBIZ

TTU – Jones AT&T Stadium East Side Finish Out

Item 5

Current Budget: $ 9,403,407

Gross Square Feet: 25,000 GSF

Team / Status:
- Design Professional: Gensler @ 76%
- Construction Manager At Risk (CMAR): Lee Lewis Construction, Inc. @ 38%
- Artist: Waived

Substantial Completion Date:
Original Date – December 2020

Actual Date – TBD









TTU – Jones AT&T Stadium East Side Finish Out

Item 5

Construction Delivery: CMR

	BOR Appr (Full) 2/2020 | 25,000 GSF B	Previous Budget 10/2020 | 25,000 GSF C	Current Budget 11/18/2020 | 25,000 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 9,403,407	$ 9,403,407	$ 9,403,407	$ -	
CATEGORY					
Construction	$ 6,492,913	$ 6,492,913	$ 6,492,913	$ -	
Professional Services	$ 618,604	$ 636,304	$ 636,304	$ -	
FF&E	$ 1,565,361	$ 1,565,361	$ 1,565,361	$ -	
Administrative	$ 68,851	$ 68,851	$ 68,851	$ -	
Project Contingency	$ 437,286	$ 419,586	$ 419,586	$ -	
Regents' Rules	$ 220,392	$ 220,392	$ 220,392	$ -	
TOTAL	$ 9,403,407	$ 9,403,407	$ 9,403,407	$ -	

PARTNERS

General Contractor	Lee Lewis Construction Inc.
Design Professional	Gensler
CM Agent	N/A
Tier 2 Auditor	RSM

TTUHSC – Dallas Southwest Professional Building Renovation

Item 5

Current Budget: $ 15,500,000

Gross Square Feet: 63,000 Building
17,700 Renovation

Team / Status:

- Design Professional:
  Parkhill @ 65%
- Construction Manager At Risk (CMAR):
  Hill & Wilkinson @ 0%
- Artist:
  Waived

Substantial Completion Date:
Original Date – June 2020

Actual Date – TBD



Item 5

TTUHSC – Dallas Southwest Professional Building Renovation

Construction Delivery: CMR

	BOR Appr (Full) 10/2020 | 17,700 GSF B	Previous Budget 10/2020 | 17,700 GSF C	Current Budget 12/01/2020 | 17,700 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 15,500,000	$ 15,500,000	$ 15,500,000	$ -	
CATEGORY					
Construction	$ 12,038,500	$ 12,038,500	$ 12,038,500	$ -	
Professional Services	$ 1,322,000	$ 1,322,000	$ 1,322,000	$ -	
FF&E	$ 1,361,800	$ 1,361,800	$ 1,361,800	$ -	
Administrative	$ 59,652	$ 59,652	$ 59,652	$ -	
Project Contingency	$ 354,767	$ 354,767	$ 354,767	$ -	
Regents' Rules	$ 363,281	$ 363,281	$ 363,281	$ -	
TOTAL	$ 15,500,000	$ 15,500,000	$ 15,500,000	$ -	

PARTNERS

General Contractor	Hill & Wilkinson
Design Professional	Parkhill Smith and Cooper
CM Agent	N/A
Tier 2 Auditor	Townsend

Item 5

TTUHSC El Paso – Dental Learning Center

Current Budget: $ 12,518,175

Gross Square Feet: 28,831 GSF

Team / Status:
- Design Professional:
  Perkins + Will @ 83%
- Construction Manager At Risk (CMAR):
  Sundt @ 88%
- Construction Manager Agent (CMA):
  N/A
- Artist:
  Waived

Substantial Completion Date:
Original Date – March 2019

Anticipated Date – July 2020

Actual Date – August 5, 2020







TTUHSC El Paso – Dental Learning Center

Item 5

Construction Delivery: CMAR

	Total Project 12/2018 | 28,831 GSF B	Previous Budget 10/2020 | 28,831 GSF C	Current Budget 11/18/2020| 28,831 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 12,518,175	$ 12,518,175	$ 12,518,175	$ -	
CATEGORY					
Construction	$ 5,620,245	$ 5,809,512	$ 5,809,512	$ -	
Professional Services	$ 775,163	$ 802,342	$ 802,342	$ -	
FF&E - FP&C	$ 4,870,982	$ 267,392	$ 267,392	$ -	
FF&E - El Paso		$ 3,000,000	$ 3,000,000		
Administrative	$ 42,604	$ 42,604	$ 42,604	$ -	
Project Contingency - FP&	$ 933,386	$ 10,000	$ 10,000	$ -	
Project Contingency - El Paso		$ 2,422,652	$ 2,422,652		
Regents' Rules	$ 275,795	$ 163,673	$ 163,673	$ -	
TOTAL	$ 12,518,175	$ 12,518,175	$ 12,518,175	$ -	

PARTNERS

General Contractor	Sundt
Design Professional	Perkins + Will
CM Agent	Broaddus and Associates
Tier 2 Auditor	RSM

TTUHSC El Paso - Medical Sciences Building II

Item 5

Current Budget: $ 85,255,675

Gross Square Feet: 219,900 GSF

Team / Status:
- Design Professional: Perkins + Will @ 87%
- Construction Manager At Risk (CMAR): Sundt @ 87%
- Construction Manager Agent (CMA): Broaddus and Associates @ 97%
- Artist: Thomas Sayre / Installed Summer 2020

Substantial Completion Date:
Original Date – March 2019

Anticipated Date – August 2020

Actual Date – September 4, 2020









TTUHSC El Paso - Medical Sciences Building II

Item 5

Construction Delivery: CMAR

	Total Project 12/2016 | 223,000 GSF B	Previous Budget 10/2020 | 219,900 GSF C	Current Budget 11/18/2020 | 219,900 GSF D	+/(-) Change D-C	NOTES
BUDGET	$ 84,400,000	$ 85,255,675	$ 85,255,675		
CATEGORY					
Construction	$ 59,398,160	$ 64,911,087	$ 64,911,087	$ -	
Professional Services	$ 9,749,196	$ 10,024,578	$ 10,049,578	$ 25,000	Terracon materials testing amendment.
FF&E	$ 7,302,320	$ 6,099,104	$ 6,099,104	$ -	
Administrative	$ 856,129	$ 299,362	$ 299,362	$ -	
Project Contingency	$ 3,499,945	$ 296,872	$ 271,872	$ (25,000)	Terracon materials testing amendment.
Regents' Rules	$ 3,594,250	$ 3,624,672	$ 3,624,672	$ -	
TOTAL	$ 84,400,000	$ 85,255,675	$ 85,255,675	$ -	

PARTNERS

General Contractor	Sundt
Design Professional	Perkins + Will
CM Agent	Broaddus and Associates
Tier 2 Auditor	RSM

Texas Tech University System

Item 5

Projects – In Design

TTU – Football Training Facility Renovation and Expansion

Item 5

Status: Stage II Design

Current Budget: $ 1,757,437

Projected Budget: TBD

Gross Square Feet: 85,000 - 90,000 GSF

Team / Status:
- Design Professional: Gensler
- Construction Manager At Risk (CMAR): Lee Lewis Construction, Inc.
- Construction Manager Agent (CMA): TBD
- Artist: TBD

Substantial Completion Date:
Original Date – TBD

Actual Date – TBD



TTU – NRHC Ranch Life Learning Center

Item 5

Status: Stage II Design

Current Budget: $ 712,562

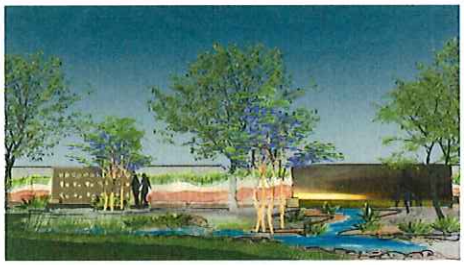
Projected Budget: TBD

Gross Square Feet: 38,005 GSF

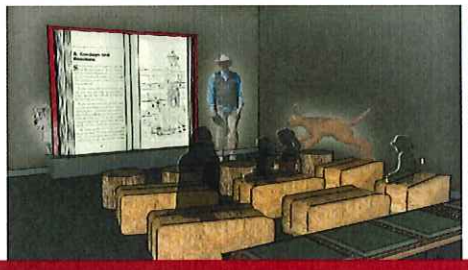
Team / Status:
- Design Professional: Condray Design Group
- Construction Manager At Risk (CMAR): Teinert Construction, Inc.
- Construction Manager Agent (CMA): N/A
- Artist: TBD

Substantial Completion Date:
Original Date – TBD

Actual Date – TBD









TTUS – Project Assist

Item 5

- USDA Cotton Classing Laboratory
- Frazier Alumni Pavilion Addition
- Texas Tech Federal Credit Union Expansion
- Red Raider Substation
- Veteran Administration Lubbock Community Based Outpatient Clinic
- Lubbock NW Drainage Improvement Project
- Lubbock Playa Lake 52 Drainage Project

Texas Tech University System

Item 5

Status of Public Art

TTU – Weeks Hall Renovation

Item 5

Art Budget: $ 236,000

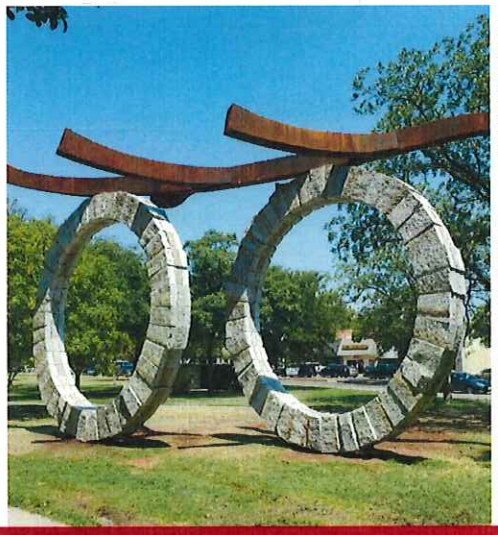
Artist: Ilan Averbuch
Long Island City, NY

Title: As *Far as the Eyes Can See*

Status: Installed Summer 2020

Artist Statement: "As Far as the Eyes Can See" is a Cor-ten weathering steel and granite sculpture measuring 24' x 48' x 18'. Two colossal rings of rough-cut granite stone are placed near and parallel to each other. They are made of recycled curbstone from old cities and roads. A branch made of Cor-ten weathering steel hovers above the stone rings connecting to them at their apex forming a canopy. From a distance it is an object and an image, but as we enter the sculpture it becomes architectural and spatially engaging.

The twin stone circles suggest wheels, movement and physical motion, but can also be read metaphorically representing the circles of natural cycles. The stone rings remind us of two massive frames that offer alternating views of the surrounding landscape. The sculpture becomes a lens into and out of the campus inspired by the curiosity of inquisitive minds, asking students to look further than the limits they see before them.





TTU – The Dustin R. Womble Basketball Center

Item 5

Art Budget: $ 281,000

Artist: Stephen Johnson
Lawrence, KS

Title: *Awaiting Artist Announcement*

Status: TBD



TTUHSC El Paso - Medical Sciences Building II

Item 5

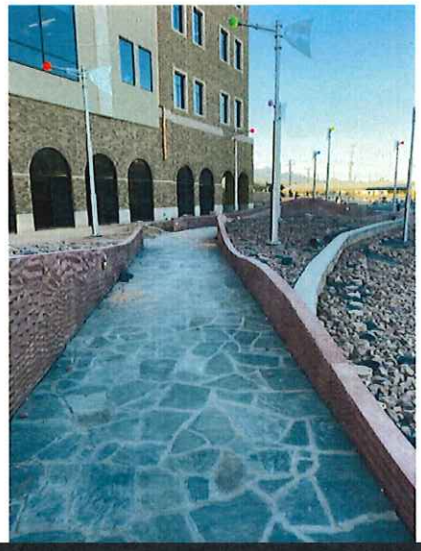
Art Budget: $ 790,000

Artist: Thomas Sayre
Raleigh, NC

Title: *Between Earth and Sky*

Status: Installed Summer 2020

Artist Statement: "Between Earth and Sky" sculpts the almost 15,000 square foot site into one large, sculptural environment, consisting of highly articulated ground plane terrain and an ever-changing array of kinetic wind sculptures hovering above it. The ground plane will have sculpted berms; slicing through the berms is a curved pathway of hand-carved earthcast walls. The 23 wind activated sculptures vary in height from 16-24 feet, each with a unique pattern and hand-blown glass ball that will be lit at night. We are awaiting completion of the landscaping for final photos of the installation.





FACILITIES PLANNING AND CONSTRUCTION

Capital Project Budget Analysis
Fiscal Year 2010-2020

	Project Name	Contract Type	BUDGET					COST	VARIANCE A	VARIANCE B		BOR Adjustment Date	BUDGET ADJUSTMENTS
			Original Budget (Proposed MP1)	Board Approved A	Board Adjustment B	Internal Adjustment	Adjusted Budget C	Actual/Projected D	Actual/Projected less Board Approved (Over/-Under) (D -A)	Actual/Projected less Adjusted Budget (Over/-Under) (D -C)	% (Over/-Under)		
	Jones AT&T Stadium East Side Expansion	Construction Mgr @ Risk	$62,000,000	$25,000,000	$9,630,000	$245,000	$34,875,000	$33,481,504	$8,481,504	-$1,393,496	-4%	10/2009, 12/2009	**BOR Adjustment:** MP1 Estimated Budget of $25.0M was increased upon programming, design development pkg and CMR projected construction budget. **Internal Adjustment:** ClubCor donation, $245k
	Jones AT&T Stadium North EndZone Expansion	Construction Mgr @ Risk	"	$6,000,000			$6,000,000	$5,998,165	-$1,835	-$1,835	0%		
	Jones AT&T Stadium NEZ Facilities	Construction Mgr @ Risk	"	$1,300,000		$22,000	$1,322,000	$1,109,951	-$190,049	-$212,049	-19%		**Internal Adjustment:** 1% Art remaining (addn funding given by donor, $22k)
	Art 3D Sculpture	Construction Mgr @ Risk	$5,583,000	$3,800,000			$3,800,000	$3,756,532	-$43,468	-$43,468	-1%		
	NRHC North Addition	Competitive Sealed Proposal	N/A	$1,833,000			$1,833,000	$1,700,210	-$132,790	-$132,790	-8%		
	TT Baseball Park Improvements & Picnic Area	Competitive Sealed Proposal	$5,000,000	$5,000,000		$350,990	$5,350,990	$5,332,424	$332,424	-$18,566	0%		**Internal Adjustment:** Addn funding for coaches office and FFE/Picnic Area
	Admin Abatement & Renovation		$3,850,000	$3,850,000		-$1,800,000	$2,050,000	$1,964,579	-$1,885,421	-$85,421	-4%		**Internal Adjustment:** Reduction of $1.8m (return to TTU)
	Rawls College of Business Administration	Construction Mgr @ Risk	$70,000,000	$70,000,000		-$6,000,000	$64,000,000	$61,885,415	-$8,114,585	-$2,114,585	-3%		**Internal Adjustment:** $4m reduction due to savings. Addn $2.1m projected under budget
	Experimental Sciences Build-Out	Construction Mgr @ Risk	$15,000,000	$15,000,000	-$2,900,000		$12,100,000	$8,237,520	-$6,762,480	-$3,862,480	-47%	02/2010	**BOR Adjustment:** Project savings. **Internal Adjustment:** Board reduction of $2.9m reallocated to Materials Rsrch Bldg.
	Kent R. Hance Campus Chapel	Competitive Sealed Proposal	$3,000,000	$3,000,000	$464,447		$3,464,447	$3,461,032	$461,032	-$3,415	0%	03/2012	**BOR Adjustment:** Added Scope: audio/visual/data package, enhanced exterior lighting, automated carillon system, campanario enhancements, four stone medallions, and the chapel's stained glass windows
	Center for Pulse Power & Power Electronics	Construction Mgr @ Risk	N/A	$1,500,000		-$319,500	$1,180,500	$1,178,371	-$321,629	-$2,129	0%		**Internal Adjustment:** Reduction of $319.5k due to project savings.
	Softball Team Facility	Construction Mgr @ Risk	$3,000,000	$3,000,000		$227,200	$3,227,200	$3,183,279	$183,279	-$43,921	-1%		**Internal Adjustment:** Addn funding for water line & transfer from original improvement project.
	Media and Communication	Construction Mgr @ Risk	$25,000,000	$25,000,000		$199,183	$25,199,183	$24,498,447	-$501,553	-$700,736	-3%		**Internal Adjustment:** Addn funding for valve boxes/Ph2 of HVAC ren/AV retrofit/KTXT equipment.
	Architecture Bldg. Life Safety Upgrades	Construction Mgr @ Risk	$7,400,000	$7,400,000		$247,661	$7,647,661	$6,233,542	-$1,166,458	-$1,414,119	-23%		**Internal Adjustment:** Addn funding for brick and joint repair.
	Biological Sciences Bldg. Life Safety Upgrades	Construction Mgr @ Risk	$8,300,000	$8,300,000			$8,300,000	$6,584,959	-$1,715,041	-$1,715,041	-26%		
	Rawls Golf Course Clubhouse and Team Facility	Competitive Sealed Proposal	$3,700,000	$3,700,000		$213,016	$3,913,016	$3,902,436	$202,436	-$10,580	0%		**Internal Adjustment:** Addn funding for landscape enhancements/irrigation/golf bag drop.
	Burkhart Center for Autism Education and Research	Competitive Sealed Proposal	$10,000,000	$10,600,000		$26,400	$10,626,400	$10,042,304	-$557,696	-$584,096	-6%		**Internal Adjustment:** Addn funding to supplement electronic lock system.
	PrinTech Building Renovation (HESS Relocation	Design Build	$6,000,000	$6,000,000	$1,200,000	$449,412	$7,649,412	$7,540,645	$1,540,645	-$108,767	-1%	12/2013	**BOR Adjustment:** finish-out 7,760 square feet of shell space as the final stage of the project and allow for full integration of the Department of Health, Exercise & Sports Sciences (HESS) to the former PrinTech Building.. The scope includes offices for the faculty and staff, IT office, conference room, survey/analysis room, and support spaces. **Internal Adjustment:** Addn funding for dance integration/paving/Phase 3 furniture.
	Talkington Res Hall & The Commons Facility	Design Build	$65,000,000	$45,000,000	$2,000,000		$47,000,000	$46,892,790	$1,892,790	-$107,210	0%	05/2012	**BOR Adjustment:** cost increase will facilitate purchase and installation of the following items: two standalone 300 ton chillers; increase cost for IT/Data cabling and equipment; kitchen equipment and related infrastructure services; and contingency.
	Petroleum Engineering and Research Building	Construction Mgr @ Risk	$20,000,000	$20,000,000	$2,800,000	$23,028	$22,823,028	$22,823,028	$2,823,028	$0	0%	12/2012	**BOR Adjustment:** increase will provide for (1) an enhanced AV System with high definition interactive video LCD wall panels along with digital signage in various places throughout the building; (2) structural change in the building's high-bay space that transforms the space into two individual floor spaces due to programming change, therefore adding 2,311 SF to the project; and (3) upgrade of the main entry/circulation flooring material. **Internal Adjustment:** Addn funding for enhanced A/V system & structural changes.
	Admin Building-3rd Floor Interior Finish-Out	Competitive Sealed Proposal	N/A	$2,400,000	$350,197		$2,750,197	$2,745,599	$345,599	-$4,598	0%		
	Gerald Myers Indoor Soccer Facility	Design Build	N/A	$900,000	$180,000	$43,000	$1,123,000	$1,123,000	$223,000	$0	0%	05/2012	**BOR Adjustment:** Full project funding request to construct a 37,756 gross square feet structure to enclose the existing practice field in order to create an indoor soccer facility. **Internal Adjustment:** Addn funding for additional scope and fire alarm system.
	Creative Movement Studio	Design Build	$4,000,000	$2,200,000		$12,500	$2,212,500	$2,268,184	$68,184	$55,684	2%		**Internal Adjustment:** Addn funding to assist in cost of transformers.
	TIEHH BSL-3 Lab	Competitive Sealed Proposal	N/A	$2,400,000			$2,400,000	$2,030,475	-$369,525	-$369,525	-18%		
	Video Scoreboard Package	Competitive Sealed Proposal	$7,500,000	$11,000,000			$11,000,000	$11,000,000	$0	$0	0%		
	Jones AT&T Stadium NEZ Colonnade & Infill Seating	Design Build	$5,000,000	$5,000,000	$350,000	$51,078	$5,401,078	$5,395,347	$395,347	-$5,731	0%	05/2013	**BOR Adjustment:** budget increase is due to undocumented underground water, storm sewer, and electrical lines which conflicted with the installation of footings for several of the columns. **Internal Adjustment:** Addn funding to fund fence & gate.
	Research & Technology Park - Phase 1	**Design Build**	**$30,000,000**	**$1,200,000**	**$27,845,000**		**$29,045,000**	**$26,334,222**	**-$2,710,778**	**-$2,710,778**	**-10%**	12/2013	**BOR Adjustment: Full project funding request to construct Phase One of the Park will establish the site infrastructure and a 2-story, approximately 41,000 gross square foot facility. The scope of work includes approximately a 120-seat auditorium off the main entry/lobby, collaboration lobby located on the second floor, conference rooms, researcher/staff offices, restrooms, support spaces, and mechanical/electrical service areas. The project will finish-out and provide Furniture, Fixtures & Equipment (FF&E) for all common spaces and for Activator Labs 1 & 2.**
	Human Sciences Life Safety Upgrade	Construction Mgr @ Risk	$6,000,000	$9,650,000			$9,650,000	$6,463,766	-$3,186,234	-$3,186,234	-49%		
	Univ College Bldg. (Bayer CropScience) Rsrch Facility	Construction Mgr @ Risk	$19,316,135	$19,316,135			$19,316,135	$18,869,178	-$446,957	-$446,957	-2%		
	Engineering & Materials Research Ctr Renovation	**Design Build**	**$30,700,000**	**$1,680,000**	**$27,527,030**		**$29,207,030**	**$27,230,334**	**-$1,976,696**	**-$1,976,696**	**-7%**	10/2014, 05/2015	**BOR Adjustment: *10/2014 - Increase planning budget* (Phase I abatement & interior demolition). BOR Adjustment: *05/2015* - Full project funding Phase II project will renovate 73,649 SF within the building. The basement for the Joint User Materials Synthesis and Characterization laboratory; the first and second floors will be constructed as flexible research space along with associated offices for faculty and grad students, several meeting/conference rooms, one 50-seat lecture hall for distance education, as well as, upgraded classroom spaces. In addition, the project will provide accessible elevators and restrooms, new fire alarm & suppression systems; improved energy efficiency of the building through the replacement of the roofing system and exterior windows. Also, landscape enhancements and public art.**
	Bayer Plant Sciences	Construction Mgr @ Risk	$13,600,000	$13,600,000	$740,000		$14,340,000	$14,204,791	$604,791	-$135,209	-1%	12/2014	**BOR Adjustment:** budget increase will allow the west and north colonnade walkways to be re-instated within the construction contract. These components were previously value engineered out in order to stay within the $13.6 million budget. Several factors have contributed to the overall increase of the construction project budget, such as, (1) delay in the construction start date due to the timing receipt of the gift funds; (2) change of the general contractor; (3) processing of the new Construction Manager at Risk contract; (4) construction cost escalation due to the 5 month delay associated with the change in general contractor; and (5) the overall drawn out project schedule of 3 years from inception (June 2011) to today.
	Campus Beautification Phase I & II	Competitive Sealed Proposal	N/A	$2,500,000	$2,450,000	$125,000	$5,075,000	$4,841,672	$2,341,672	-$233,328	-5%	08/2014	**BOR Adjustment:** Phase II scope of work will provide enhancements to Urbanovsky Park and the surrounding recreational fields by constructing a new jogging trail, new basketball and volleyball courts, pavilion, picnic area, seating, enhanced area lighting, and numerous plantings of trees, ornamental plantings, various landscaping materials, and a pond with an aeration fountain feature to create a more inviting environment within the park and recreational fields. **Internal Adjustment:** Addn funding for irrigation.
T	West Village	Design Build	$50,000,000	$50,000,000	$4,800,000		$54,800,000	$54,366,988	$4,366,988	-$433,012	-1%	05/2013	**BOR Adjustment:** Budget increase due to summary of (i) increase the project's residential square footage from 185,000 GSF to 231,500 GSF; (ii) decrease the project's food service square footage from 15,000 GSF to 5,000 GSF.
T	Synthetic Turf Intramural Rec Fields	Competitive Sealed Proposal	$5,785,000	$5,785,000			$5,785,000	$5,211,868	-$573,132	-$573,132	-11%		

Board Minutes
December 10, 2020
Attachment 5
Page 1 of 4

FACILITIES PLANNING AND CONSTRUCTION

Capital Project Budget Analysis
Fiscal Year 2010-2020

Project Name	Contract Type	BUDGET					COST	VARIANCE A	VARIANCE B		BOR Adjustment Date	BUDGET ADJUSTMENTS
		Original Budget (Proposed MP1)	Board Approved A	Board Adjustment B	Internal Adjustment	Adjusted Budget C	Actual/Projected D	Actual/Projected less Board Approved (Over/-Under) (D -A)	Actual/Projected less Adjusted Budget (Over/-Under) (D -C)	% (Over/-Under)		
United Supermarkets Arena Renovation	Design Build	$4,300,000	$4,300,000	$1,281,624		$5,581,624	$5,581,227	$1,281,227	-$397	0%	12/2014, 08/2015, 10/2017	**BOR Adjustment:** (1) additional scope request includes renovation of the Men, Women, and Volleyball coaches' offices and suites and full redesign and renovation of the main west front entry lobby, gathering space, and former retail space; (2) expanded scope for the project includes renovation of the north and south event level corridors, along with interior finishes and graphic upgrades to the practice gym; and (3) expanded scope for the project covers the new branding graphic package and associated electrical and interior finishes for the west entry lobby.
Rawls College of Business Administration Addition	Construction Mgr @ Risk	$15,000,000	$15,000,000	$874,782		$15,874,782	$14,831,246	-$168,754	-$1,043,536	-7%	02/2016	**BOR Adjustment:** budget increase covers the following expanded scope for the finish-out of a 1,686 SF lease space for Chick-fil-A in accordance with the terms of the lease: (1) additional professional fee for the design of the space; (2) interior finish-out of the space including surface materials, lighting, millwork, plumbing, cabinets, freezers, etc.; and (3) purchase and installation of Chick-fil-A proprietary FF&E items.
Jones AT&T Stadium NEZ Building Renovation	Construction Mgr @ Risk	$3,750,000	$3,750,000	$900,000		$4,650,000	$4,573,429	$823,429	-$76,571	-2%	12/2015	**BOR Adjustment:** budget increase covers the following expanded scope: (1) the change from "approximately 200 new premium seats" to approximately 56 new premium outdoor loge suites with seating for 224 spectators; (2) change from a catering/warming kitchen to a food prep club kitchen; (3) roof replacement; and (4) new air handling units.
Jones AT&T Stadium Renovation - SE 1st Floor	Construction Mgr @ Risk	$1,650,000	$1,650,000	$868,066		$2,518,066	$2,106,396	$456,396	-$411,670	-20%	08/2015	**BOR Adjustment:** expanded project scope entails renovation of the former Red Raider Locker Room retail store on the north end of the building's first floor. The 4,547 square feet space will be renovated to provide administrative and staff offices, conference rooms, and support spaces to house various members of the Athletic groups for Institutional Development, Red Raider Club, and Athletic Administration. **Internal Adjustment:** increase to add NE corner renovation.
Petroleum Engineering Bldg. Renovation Phase I	Construction Mgr @ Risk	$5,000,000	$5,000,000	$4,859,000		$9,859,000	$9,149,748	$4,149,748	-$709,252	-8%	02/2016, 08/2016	**BOR Adjustment:** (1) budget increase covers the following expanded scope for 20,480 GSF: (1) renovation of three spaces to create industrial labs with new lighting, refinished interior surfaces, door modifications and installation of one overhead coiling door, along with ceiling electrical power drops as required; (2) renovate room 109 to host the CadCam Computer Lab with a raised floor; (3) minor demolition required to create a conference room; (4) remaining existing offices to receive new finishes along with new ceiling and lighting; (5) two existing classrooms require replacement of the egress doors to meet code; (6) existing tiered classroom #121 to receive new paint, ceiling and lighting; (7) existing student lounge to be finished out to match student lounge on second floor; (8) existing restrooms to be made accessible and renovated; (9) various doors will be replaced; and (10) corridors to receive new finishes, ceilings, and lighting; and (11) distance education capabilities and equipment installation in several classrooms. (2) budget increase covers the expanded scope to include renovation of an additional 3,237 square feet in the building, and modification to a lab within the previously approved scope. The project will (1) renovate Rooms 118A and 118B for the Advanced Manufacturing Teaching Lab; (2) renovate Room 119 into a study room; and (3) convert Lab #111 from the previously approved dry lab to a wet lab function.
Museum Life Safety Upgr & Air Mgmt Replacement	Construction Mgr @ Risk	$6,000,000	$7,650,000			$7,650,000	$5,812,564	-$1,837,436	-$1,837,436	-32%		
Experimental Sciences Building II	**Construction Mgr @ Risk**	**$77,000,000**	**$2,217,364**	**$77,282,636**		**$79,500,000**	**$79,500,000**	**$0**	**$0**	**0%**	08/2016 02/2019	**BOR Adjustment: 08/2016 Full project funding ESBII. BOR Adjustment: 02/2019, Full ESB II Shell Space funding.**
Talkington College of Visual & Performing Arts - Maedgen Theatre Addition	Construction Mgr @ Risk	$24,700,000	$23,000,000			$23,000,000	$23,000,000	$0	$0	0%		
Jones AT&T Sports Performance Center	**Construction Mgr @ Risk**	**$45,000,000**	**$2,160,330**	**$45,839,670**		**$48,000,000**	**$47,609,029**	**-$390,971**	**-$390,971**	**-1%**	12/2015	**BOR Adjustment: Full project funding**
New Honors Residence Hall	Design Build	$30,000,000	$29,900,000			$29,900,000	$28,313,326	-$1,586,674	-$1,586,674	-6%		
Athletic Dining Facility	Construction Mgr @ Risk	$7,000,000	$220,000	$5,340,000		$5,560,000	$5,560,000	$0	$0	0%	03/2018 08/2018 12/2018	**BOR Adjustment: 03/2018,** Award a Construction Mgr @ Risk contract **BOR Adjustment: 08/2018,** Establish a Stage II budget and amend the DP agreement. **BOR Adjustment 12/2018,** Full project funding.
Jones AT&T South End Zone Facility	Construction Mgr @ Risk	$50,000,000	$650,000			$650,000	$650,000	$0	$0	0%		
Dustin R. Womble Basketball Practice Facility	Construction Mgr @ Risk	$23,500,000	$750,000	$28,750,000	$43,940	$29,543,940	$29,543,940	-$43,940	$0	0%	06/2018, 12/2018, 11/2020	**BOR Adjustment:** Amend design professional agreement to complete stage 2 services. **BOR Adjustment 12/2018,** Full project funding. **Internal Adj. 11/2020:** Video Board Design Costs $43,940.
Football Training Facility	Construction Mgr @ Risk	$20,000,000	$250,000	$1,507,437		$1,757,437	$1,757,437	$0	$0	0%	10/2018 12/2018	**BOR Adjustment:** Amend DP agreement for Stage I services. Increase funded from Jones Stadium South EndZone. **BOR Adjustment 12/2018,** DP Stage II and CMR precon services.
Football Training Facility Practice Fields	CSP		$1,500,000	$1,028,513		$2,528,513	$2,498,867	-$29,646	-$29,646	-1%	01/2018	**BOR Adjustment:** Threshold from minor to major project as construction bids exceeded the minor project, <$2m, threshold.
School of Vet Medicine-Feasibility	Feasibility	N/A	$242,300	$2,255,864		$2,498,164	$2,498,164	$0	$0	0%	08/2018, 10/2018, 06/2019	**BOR Adjustment: 08/2018,** Establish Stage I budget/amend DP agreement. **BOR Adjustment 10/2018,** award CM@R for pre-con services. **BOR Adj. 06/2019 (Special Call)**-authorized DP Stage II Services. $2,498,164 of $8,421,682 stayed with SoVM-Feasibility (17-01), $5,923,518 moved to SoVM (20-01) project.
School of Vet Medicine-Amarillo Campus	Construction Mgr @ Risk	$90,000,000	$ -	$90,000,000	-$2,454,195	$87,545,805	$87,545,805	$0	$0	0%	6/2019 12/2019 1/2020	**BOR Adj. 06/2019** (Special Call)-authorized DP Stage II Services. **BOR Adj. 12/2019,** GMP Bid Pack 1. **BOR Adj. 1/2020,** GMP Bid Pack 2 and total project budget for the Amarillo Campus HQ.
School of Vet Medicine-Mariposa	Construction Mgr @ Risk	$15,000,000	$ -	$15,000,000	$2,454,195	$17,454,195	$17,454,195	$0	$0	0%	6/2019 2/2020	**BOR Adj. 06/2019** (Special Call)-authorized DP Stage II Services. **BOR Adj. 02/2020**-authorized full funding.
National Ranching Heritage Center Ranch Life Learning Center	Construction Mgr @ Risk	$7,000,000	$193,150	$519,412		$712,562	$712,562	$0	$0	0%	05/2019	**BOR Adjustment: 05/2019,** Establish Stage II and CMR pre-construction services.
Lubbock Municipal Auditorium & Coliseum	Construction Mgr @ Risk		$200,000	$3,300,000		$3,500,000	$3,131,289	-$368,711	-$368,711	-12%	12/2018	**BOR Adjustment:** Full project funding
Weeks Hall Renovation	Construction Mgr @ Risk	$24,800,000	$975,000	$23,525,000		$24,500,000	$24,500,000	$0	$0	0%	08/2018 12/2018	**BOR Adjustment:** Approve Stage II budget/amend DP and CM@R agreements. **BOR Adjustment 12/2018,** Full project funding
Talkington College of Visual & Performing Arts - Maedgen Theatre Addition Phase II	Construction Mgr @ Risk	$20,000,000	$555,520	$19,444,480		$20,000,000	$20,000,000	$0	$0	0%	10/2019, 10/2020	**BOR Adjustment 10/2019:** Authorize Stage II services and award CMAR pre-con services. **BOR Adj. 10/2020:** Accept and authorize GMP Amendment.
Jones AT&T Stadium-East Side Finish-Out	Construction Mgr @ Risk	$9,403,407	$364,892	$9,038,515		$9,403,407	$9,403,407	$0	$0	0%	10/2018	**BOR Adjustment:** Amend DP agreement for Stage II services. **BOR Adjustment 2/2020:** full funding approved.
Dairy Barn Renovation	Construction Mgr @ Risk	$3,539,061	$123,650	$3,415,411		$3,539,061	$3,539,061	$0	$0	0%	02/2019 10/2019	**BOR Adjustment:** Design Professional Stage II and CMR pre-construction services. **BOR Adj. 10/2019,** Full project funding.
Museum East Wing Addition	Construction Mgr @ Risk	$12,000,000	$100,000	$1,042,417		$1,142,417	$1,142,417	$0	$0	0%	12/2019, 10/2020	**BOR Adjustment:** Award DP and authorize Stage I Services. **BOR Ad. 10/2020:** Authorized Stage II DP Services.
Rip Griffin Park Expansion & Renovation	Construction Mgr @ Risk	$13,174,252	$210,000	$894,660		$1,104,660	$1,104,660	$0	$0	0%	12/2019	**BOR Adjustment 12/2019:** DP Stage II Services and award CMAR pre-con services.
Academic Sciences Building	Construction Mgr @ Risk	$85,000,000	$1,749,251	$0		$1,749,251	$1,749,251	$0	$0	0%	2/2020	**BOR Adjustment 2/2020:** Approve and award Design Professional Stage I Services
TOTAL - TTU		1,774,684,990	$495,625,592	$414,404,161	-$5,840,092	$904,189,661	$875,160,577	-$4,108,940	-$29,029,084	-6%		

FACILITIES PLANNING AND CONSTRUCTION

Capital Project Budget Analysis

Fiscal Year 2010-2020

	Project Name	Contract Type	BUDGET: Original Budget (Proposed MP1)	BUDGET: Board Approved A	BUDGET: Board Adjustment B	BUDGET: Internal Adjustment	BUDGET: Adjusted Budget C	COST: Actual/Projected D	VARIANCE A: Actual/Projected less Board Approved (Over/-Under) (D -A)	VARIANCE B: Actual/Projected less Adjusted Budget (Over/-Under) (D -C)	VARIANCE B: % (Over/-Under)	BOR Adjustment Date	BUDGET ADJUSTMENTS
TTUS	System Office Building	Construction Mgr @ Risk	$27,500,000	$27,500,000			$27,500,000	$27,278,358	-$221,642	-$221,642	-1%		
	TOTAL - System		27,500,000	$27,500,000	$0	$0	$27,500,000	$27,278,358	-$221,642	-$221,642	-1%		
HSC	F. Marie Hall SimLife Center	Construction Mgr @ Risk	$6,500,000	$6,500,000			$6,500,000	$6,350,659	-$149,341	-$149,341	-2%		
	HSC 4C Cancer Research Labs	Construction Mgr @ Risk	$3,200,000	$3,200,000		$313,338	$3,513,338	$3,504,108	$304,108	-$9,230	0%		Internal Adjustment: Addn funding to meet GMP.
	Abilene School of Nursing		$12,000,000	$12,000,000			$12,000,000	$12,000,000	$0	$0	0%		
	Abilene School of Pharmacy Lab Addition		$3,000,000	$3,000,000			$3,000,000	$3,000,000	$0	$0	0%		
	Larry Combest Health & Wellness Expansion	Competitive Sealed Proposal	$5,108,500	$5,108,500			$5,108,500	$4,985,911	-$122,589	-$122,589	-2%		
	Abilene Public Health Facility	Competitive Sealed Proposal	$14,250,000	$15,000,000			$15,000,000	$15,000,000	$0	$0	0%		
	Panhandle Clinical Simulation Center	Construction Mgr @ Risk	$9,750,000	$279,805	$9,470,195		$9,750,000	$8,645,538	-$1,104,462	-$1,104,462	-13%	05/2016	BOR Adjustment: Full project funding.
	Permian Basin Academic Facility	Construction Mgr @ Risk	$22,300,000	$552,665	$21,747,335		$22,300,000	$20,746,191	-$1,553,809	-$1,553,809	-7%	08/2016	BOR Adjustment: Full project funding.
	Lubbock Ed, Rsrch & Technology + West Expansion	Construction Mgr @ Risk	$83,700,000	$2,403,613	$96,971,387		$99,375,000	$99,375,000	$0	$0	0%	10/2016, 10/2017, 10/2018	BOR Adjustment: 10/2016, Full project funding. 10/2017, Scope increase to add 2,300 GSF expansion to the Fifth Floor of the west expansion project, a 12,500 GSF Classroom/Academic Event Center, and reinstatement of other value engineering items (roofing system membrane, lobby ceiling. 10/2018, purchase additional equipment.
	Southwest Professional Building-Dallas	Construction Mgr @ Risk	$15,500,000	$1,399,750	$14,100,250		$15,500,000	$15,500,000	$0	$0	0%	10/2019, 10/2020	BOR Adjustment 10/2019: Award CMAR pre-con services. BOR Adj. 10/2020: Accept and Amend CMAR Agreement for GMP.
	School of Health Professions Physician Assistant Building-Midland College	Construction Mgr @ Risk	$30,000,000	$592,937	$2,564,811		$3,157,748	$3,157,748	$0	$0	0%	10/2019, 2/2020, 5/2020	BOR Adjustment 2/2020: Authorize DP Stage II Services. BOR Adj. 5/2020 award CMAR pre-con services.
	TOTAL - HSC		205,308,500	$50,037,270	$144,853,978	$313,338	$195,204,586	$192,265,155	-$2,626,093	-$2,939,431	-2%		
EP	El Paso School of Nursing	Construction Mgr @ Risk	$14,500,000	$11,000,000	$3,500,000		$14,500,000	$13,564,218	-$935,782	-$935,782	-7%	02/2013	BOR Adjustment: Reapproval to construct an approximate 33,000 GSF facility - up from 25,000 GSF.
	Medical Sciences Building II	Construction Mgr @ Risk	$84,400,000	$2,412,064	$82,843,611		$85,255,675	$85,255,675 1	$0	$0	0%	12/2016, 12/2018	BOR Adjustment: Full project funding. BOR Adjustment 12/2018, additional offices
	Dental Oral Health Clinic	Construction Mgr @ Risk	$25,000,000	$689,473	$24,310,527		$25,000,000	$25,000,000	$0	$0	0%	08/2019	BOR Adj. 08/2019: Authorized Stage II Services and awarded CMAR for pre-construction services. BOR Adj. 2/2020: authorize full funding.
	Dental Learning Center	Construction Mgr @ Risk	$13,000,000	$300,000	$12,218,175		$12,518,175	$12,518,175	$0	$0	0%	08/2018, 12/2018	BOR Adjustment: Establish a Stage II budget/amend DP agreement. BOR Adjustment 12/2018, full project funding
	TOTAL - El Paso		136,900,000	$14,401,537	$122,872,313	$0	$137,273,850	$136,338,068	-$935,782	-$935,782	-2%		
ASU	Porter Henderson Library IT Commons Reno		$4,380,000	$4,380,000			$4,380,000	$3,870,681	-$509,319	-$509,319	-13%		
	Rec/Wellness/CHP Expansion		$7,000,000	$7,000,000			$7,000,000	$6,932,280	-$67,720	-$67,720	-1%		
	Plaza Verde Res Hall		$35,000,000	$35,000,000		-$3,000,000	$32,000,000	$30,700,865	-$4,299,135	-$1,299,135	-4%		Internal Adjustment: Reduction due to savings/audit findings
	Concho Hall		N/A	$2,500,000	-$2,500,000		$0	$0	$0	$0	0%	05/2013	BOR Adjustment: Project cancelled. Internal Adjustment: Project cancelled
	Hunter Strain Eng Lab Addition	Construction Mgr @ Risk	$4,000,000	$4,000,000			$4,000,000	$4,000,000	$0	$0	0%		
	LeGrand Football Stadium Press Box	Construction Mgr @ Risk	$3,000,000	$3,000,000	$976,000		$3,976,000	$3,976,000	$0	$0	0%	08/2016, 02/2017	BOR Adjustment: 08/2016, Expand scope to cover modifications to the window design, enhance ADA access, and provide additional elevator stops. 02/2017, Expand scope to provide full brick façade to roof, relocation of the building pad 4' to the west, three-story emergency stair structure for egress purposes, increased elevator size and capacity for emergency responders, and additional design professional costs for associated changes.
	Centennial Village Phase II	Design Build	$12,700,000	$14,500,000			$14,500,000	$12,522,451	-$1,977,549	-$1,977,549	-16%		
	Food Service Renovation	Construction Mgr @ Risk		$626,120	$7,973,880		$8,600,000	$7,587,705	-$1,012,295	-$1,012,295	-13%	12/2018	BOR Adjustment: Full project funding
	College of Health & Human Services	Construction Mgr @ Risk	$26,360,000	$797,195	$25,562,805		$26,360,000	$23,145,648	-$3,214,352	-$3,214,352	-14%	08/2016	BOR Adjustment: Full project funding
	Angelo State University Mayer Museum	Construction Mgr @ Risk	$14,200,000	$425,000	$17,425,000		$17,850,000	$17,850,000	$0	$0	0%	02/2019, 05/2019, 08/2019, 08/2020	BOR Adjustment: Design Professional Stage II BOR Adjustment 05/2019: Pre-construction services. BOR Adj. 08/2019: Accepted the GMP and amended the CMAR contract. BOR Adj. 08/2020: Mezzanine Expansion approved.
	TOTAL - ASU		106,640,000	$72,228,315	$49,437,685	-$3,000,000	$118,666,000	$110,585,630	-$11,080,370	-$8,080,370	-6%		
	TOTAL - TTU/TTUS/HSC/El Paso/ASU		2,251,033,490	$659,792,714	$731,568,137	-$8,526,754	$1,382,834,097	$1,341,627,788	-$18,972,827	-$41,206,309	-3%		

Variance based on initial full project funding

Project Status:

In Design

FACILITIES PLANNING AND CONSTRUCTION

Capital Project Budget Analysis

Fiscal Year 2010-2020

Project Name	Contract Type	BUDGET					COST	VARIANCE A	VARIANCE B		BOR Adjustment Date	BUDGET ADJUSTMENTS
		Original Budget (Proposed MP1)	Board Approved A	Board Adjustment B	Internal Adjustment	Adjusted Budget C	Actual/Projected D	Actual/Projected less Board Approved (Over/-Under) (D -A)	Actual/Projected less Adjusted Budget (Over/-Under) (D -C)	% (Over/-Under)		
In Construction												
Complete - Project Closed/Project Under Warranty												

Chapter 01 -- Bylaws

Date last revised: 04-06-2020

[*see last page for list of amendments adopted*]

• • •

01.02.8 Board committees

d. Standing committees

• • •

(3) Finance, ~~and~~ Administration, and Investments Committee. The Finance, ~~and~~ Administration, and Investments Committee shall consist of three members. This committee shall consider, summarize facts, recommend actions, and present alternatives to the board as necessary for the following:

(a) the budgeting process;

(b) all requests for budgets covering expenditures of educational and general funds, designated funds, auxiliary programs, and funding from external sources (including public/private partnerships);

(c) handling of TTU system funds and depositories whether from appropriated or non-appropriated funds;

(d) the pursuit, negotiation, and closing of outside financing, including the issuance of notes, bonds, securities of any type, and agreements of any description that result in indebtedness by the TTU system;

(e) all administrative matters relating to affirmative action and equal employment opportunity, central computing services, communication services, purchasing and contracting, office services, financial administration of grants, accounting services, personnel, budgeting, cash management, investments, utility management, police operations, and all parts thereof;

(f) contracts and easements related to business or administrative functions that require board action;

(g) the annual review of all actual expenditures as well as the detailed review of the expenditures of the Office of the Chancellor and the Offices of the Presidents, with the board approving all budgets;

(h) being informed of all appropriations requests and providing coordination of issues related to legislative appropriations;

(i) review of all private fund-raising activities for the TTU system and make recommendations that will ensure coordination of all private fundraising functions;

(j) all administrative matters relating to physical plant operations and traffic and parking; ~~and~~

(k) review and approval of all quasi-endowments that exceed $250,000; and

(l) approve primary performance benchmarks, long-term strategic ranges for asset class allocations, provide oversite in setting the objectives and performance goals, and receive quarterly and annual updates of the investments performance returns and distributions.

(4) Audit Committee. The Audit Committee shall have three members. The committee shall ensure that the board maintains direct access to both internal and external audits of the TTU system. The Audit Committee Charter approved by the board shall provide guidelines for the operation of the committee and the auditing functions throughout the TTU system; however, audits of the Office of the Board of Regents shall be the responsibility of the board. The chief audit executive shall be responsible to the board through the Audit Committee. The committee shall consider, summarize facts, recommend actions, and present alternatives to the board as necessary for the following:

(a) provide oversight of internal and external audit activities throughout the TTU system;

(b) make recommendations for the selection of external auditors;

(c) recommend for approval by the board the appointment, termination or reassignment, compensation, and evaluation of the chief audit executive;

(d) review the findings of all internal and external auditors;

(e) review the system-wide risk assessment evaluations and plans to address the significant risks identified;

(f) on or before August 31 of each year, present to the board for approval an Audit Committee-approved annual audit plan;

(g) ensure that adequate resources in terms of staff and budget are provided to enable the Office of Audit Services to perform its responsibilities effectively; and

(h) provide the board with relevant information obtained from ongoing reviews of auditing, compliance, and governance activities and reports of

internal and external auditors, including from the State Auditor's Office.

e. Special committees.

(1) Appointment by the chair. During a board meeting, or at any time between board meetings if at least 48 hours advance notice is provided to all members, the chair may appoint special committees, name the members thereof, and designate the committee chair.

(2) Appointment by the board. In addition, during a board meeting, six or more members, by vote, may appoint special committees, name the members thereof, and designate the committee chair.

(3) Duration and duties of a special committee. Any special committee so created shall be temporary ~~(except the Investment Advisory Committee)~~ and shall be charged in writing as to its particular duties and functions and the period in which it is to serve. Action by the chair or at least six members will be required to extend this period.

(4) Advisory status of special committees. Unless a special committee is given a specific delegation of authority by the board to take action on behalf of the board, a special committee shall serve only in an advisory capacity. Any recommendation of a special committee that requires action by the board shall be submitted to the standing committee of the board that has responsibility for the matter or to the board's Committee of the Whole, as appropriate, for a determination of whether the proposed action should be adopted by the board.

~~f. Investment Advisory Committee.~~

~~(1) Duties and responsibilities. The Investment Advisory Committee (IAC) is a special committee of indefinite duration as authorized by the board and is charged with the following duties and responsibilities:~~

~~(a) to meet quarterly with investment counsel, investment managers and appropriate officers and staff for the purpose of reviewing and consulting with these parties and advising the board and the board of directors of the Texas Tech Foundation (Foundation board) on asset allocation, investment policy and investment results; and~~

~~(b) for identifying fund managers, monitoring the performance of investments, evaluating fund manager performance and developing and recommending an asset allocation plan for the Long-Term Investment Fund, and for reviewing the investments and performance of the Short and Intermediate Term Investment Fund.~~

~~(2) Advisory status of IAC. Unless the IAC is given a specific delegation of authority by the board to take action on behalf of the board, the IAC shall serve only in an advisory capacity. Any recommendation of the IAC that requires action by the board shall be submitted to the standing committee of the board that has responsibility for the matter or to the board's Committee of the Whole, as appropriate, for a determination of whether the proposed action should be adopted by the board.~~

~~(3) Terms of office. Members of the IAC serve at the will of the board and are appointed to four-year staggered terms that begin on a February 1. Appointment of members of the IAC shall be made upon the expiration of a member's term or upon a vacancy in the membership of the committee. No member of the IAC shall serve more than two consecutive four-year terms on the IAC.~~

~~(4) Membership. The IAC shall be comprised of nine members, as follows:~~

~~(a) Three members appointed by the chair of the board, at least one of which shall be a current member of the board and with all board members appointed to the IAC serving at the will of the board chair; and~~

~~(b) One member appointed by the Foundation board; and~~

~~(c) Five members appointed by the chancellor, of which two are to be recommended by the Foundation board subject to the following provisions:~~

~~i. the members recommended by the Foundation board are subject to approval by the chancellor and the board; and~~

~~ii. any disagreement regarding these two Foundation board appointees shall be resolved through consultation between the chancellor and representatives of the board and the Foundation board.~~

~~(d) From the members of the IAC, the chair of the board shall appoint the chair of the IAC.~~

~~(5) Qualifications.~~

~~(a) All members appointed to the IAC shall possess the necessary skills and knowledge in the areas of finance, accounting and investments in order to substantively participate in the deliberations and recommendations of the IAC, and each has the duty to actively participate in all meetings and other activities of the IAC in order to fulfill their obligations to their respective boards; and~~

~~(b) No member shall have any financial interest in any organization providing investment services to the TTU system.~~

~~(6) Joint investment policy. Foundation assets and TTU system assets shall be commingled for investment in order to realize the benefits of higher long-term investment returns, greater portfolio diversity and lower investment management costs. Accordingly, the board and the~~

~~Foundation board shall jointly develop and adopt a joint investment policy to be implemented by the Foundation and TTU system, and be responsible for the investment of the assets of the Foundation and TTU system, with the earnings credited to the corresponding entity.~~

01.02.9 Procedures

a. Rules of order. When in session, the board shall follow the procedures set forth in *Robert's Rules of Order* unless the procedures conflict with the *Regents' Rules.*

b. Executive sessions

(1) Only board members may attend executive sessions unless the board invites other persons to attend.

(2) The board shall conduct all executive sessions in accordance with the applicable provisions of Chapter 551, *Texas Government Code.*

(3) In accordance with Sec. 01.02.6.b(1), the agenda for all executive sessions shall be approved in advance by the chair and, except in cases of an emergency meeting, shall be delivered to each board member not less than seven days prior to the day of the meeting at which the executive session is to take place. Subject to applicable state open meeting requirements, including advance posting requirements, urgent and emergency items may be added to the executive session agenda after the required delivery date but are subject to the chair's approval.

c. Board communications

(1) It is not only the right but also the duty of each board member to be fully informed on all matters that influence or have impact on his or her obligations as a board member.

(2) Requests to appear before the board

(a) During a meeting of the board, members of the public may be allowed to make presentations for a reasonable amount of time, as determined by the chair, on any topic listed on the agenda for a board or committee meeting that is open to the public. Presentations or discussions on topics not listed on the agenda for an open session shall not be allowed.

(b) A request to appear before the board must be filed with the chair or the secretary not less than 48 hours in advance of the board meeting and must state the purpose of such appearance. The chair shall approve or disapprove such a request.

(c) The board shall not serve as a hearing or appellate body for individual complaints, grievances, or appeals of students, faculty or staff members except as specifically provided or required in the *Regents' Rules*. Individual complaints, grievances, or appeals of students, faculty or staff members are to be addressed through the grievance procedures applicable to the appropriate TTU system component, and a decision at the final level of review or appeal within the administration of the TTU system will constitute final action on the grievance.

(3) The board hereby reserves to itself the authority and responsibility for determining matters of policy and any official statements concerning political or other subjects of a controversial nature that represent an official policy, statement, or position of the board and/or the TTU system. Accordingly:

(a) Statements, policies, and positions by the board on such matters shall be made by the board through the chair or the chancellor.

(b) No board member, officer, faculty or staff member shall have the authority to speak for or issue any public statement on policy for or on behalf of the

board or the TTU system on such matters, without the board's prior approval.

(c) Any statement on emergency matters shall be cleared by the chancellor in coordination with the chair.

(d) This policy declaration is intended to set forth the position, authority, and responsibility of the board on these matters without suggesting any limitation on the rights of persons to speak in their individual and personal capacities.

(4) A president of a component institution, the vice chancellor and chief financial officer, or the vice chancellor and general counsel should bring any matter to the board that, in that officer's opinion, could have a material impact on the TTU system or when one of these officers has a professional duty or obligation to disclose the matter to the board.

d. Quorum

(1) Five members or more present shall constitute a quorum.

(2) In accordance with Section 551.127, *Texas Government Code* (as amended or modified), a member may participate in an open or closed meeting via videoconference when the member presiding over the meeting is physically present at one location of the meeting that is open to the public during the open portions of the meeting, provided the meeting is legally posted in advance as a videoconference meeting.

(3) The board may participate in an open or closed meeting via telephone conference call in accordance with Section 551.121, *Texas Government Code* (as amended or modified), only if the meeting is legally posted in advance as a telephone conference meeting and:

(a) the meeting is a special called meeting and immediate action is required; and

(b) the convening at one location of a quorum of the board is difficult or impossible.

e. Board members entitled to vote. Board members participating via teleconference or videoconference in a board meeting legally posted and conducted as a teleconference or videoconference meeting shall be entitled to vote.

f. Suspension of the *Regents' Rules*. To be approved, a motion to suspend any provision of the *Regents' Rules* must be approved by the affirmative vote of a majority of the members present.

01.02.10 Board and committee meetings attendance. Recognizing the broad authority and responsibility vested in the board for the governance and operation of the TTU system, there is a specific expectation that each member of the board understands and recognizes the importance of his or her attendance at board and committee meetings and will make a sincere commitment to attend as many of these meetings as possible.

01.03 **Board conduct**. Each member of the board shall perform his or her activities on behalf of the TTU system in conformity with:

a. the ethics policy set out in Section 03.01, *Regents' Rules*;

b. the conflict of interest policy for board members in Section 03.03, *Regents' Rules*;

c. the provisions of Chapter 09, *Regents' Rules*, and the Investment Policy Statements for the Long Term Investment Fund and the ~~Short/Intermediate Term Investment Fund~~Comprehensive Cash Pool relating to conflicts of interest and investments; and

d. applicable state laws related to standards of conduct and conflicts of interest.

01.04 **New chancellor selection.** When there is a vacancy in the position of chancellor, the board shall establish a selection process and shall select a

chancellor. The process shall result in a limited slate of unranked candidates for the position to be delivered to the full board for its deliberation.

01.05 **Board members service on internal support and advisory groups.**

01.05.1 Service in a non-voting capacity required.

a. Board members frequently are asked to serve on support and advisory groups for various units of the TTU system. Such service, when requested because of unique contributions that can be made because of the regents' capabilities related to the work of the group, can make important contributions to the efforts of the TTU system. It is noted, however, that casting a vote in such groups may place a member of the board in the position of casting a vote with the support group and again when the matter is presented to the board.

b. This eventuality should be avoided, and the board therefore restricts any membership on such groups to non-voting participation, and any exception to this policy must be approved by the board.

01.05.2 Exception for service on a committee for an academic or administrative search. A board member may serve as a voting participant of an academic or administrative search or search advisory committee without the need for an exception being granted under Section 01.05.1, *Regents' Rules.*

01.06 **Board seating at commencement.** Board members are encouraged to participate in the commencement exercises of the component institutions. A seat on the platform will be provided for each member who attends.

01.07 ***Regents' Rules* review.**

01.07.1 The chair shall appoint a special committee – a *Regents' Rules* Review Committee – to:

a. on an as-needed basis, review the *Regents' Rules* and any Board Policy Statements that have been adopted by the board; and

b. advise the board of such updates and revisions as may be necessary or appropriate.

01.07.2 Each *Regents' Rules* Review Committee shall serve for a fixed period of time, as designated initially or as extended by the chair.

01.07.3 The *Regents' Rules* Review Committee shall serve in an advisory capacity only. Whenever the *Regents' Rules* Review Committee determines that one or more revisions to the *Regents' Rules* or a Board Policy Statement may be needed, the proposed changes are to be submitted to the standing committee of the board that has responsibility for the matter or to the board's Committee of the Whole, as appropriate, for a determination of whether the proposed revisions should be adopted by the board.

01.08 **Amendments.**

01.08.1 Vote required for adoption. The *Regents' Rules* may be amended only by the affirmative vote of at least five members of the board which is conducted at a regular or special called meeting.

01.08.2 Additional requirements for amendments to Chapter 01 (Bylaws). Any proposed amendment to Chapter 01 of these *Regents' Rules* must be filed in writing with the secretary not less than 30 days before the meeting at which the amendment is to be considered. It shall be the duty of the secretary to deliver forthwith a copy of such proposed amendment to every member of the board.

Dates Approved or Amended:

-- Comprehensive review of chapter.....02-28-2014	• amendments throughout
-- Technical adjustments.....10-10-2014	• to §01.02.1.f & §01.02.6.b(6)
-- Technical adjustment 12-12-2014	• to §01.02.6.b(5)
-- System cleanup legislation revisions.....10-09-2015	• to §01.02.1 ... c, e & g
-- Added Board self-evaluation policy.....03-02-2018	• as §01.01.4

-- Added emergency delegations of authority to the Board chair and Chancellor ..... 04-06 2020c • to §01.02.1.b & §01.02.1.c

See also the document entitled "Comprehensive Reviews and Updates" for a more complete explanation of the amendments listed above.

Chapter 09 -- Investments and Endowments

Date last revised: 12-13-2019

[*see last page for list of amendments adopted*]

09.00 Investment Programs~~Chapter definitions~~.

09.00.1 The System components have two types of funds; operating funds and endowment funds. To facilitate the investment of system funds, the board established two investment programs, the Comprehensive Cash Pool "CCP" and the Long Term Investment Fund "LTIF". The purpose of the CCP is to provide for the collective investment of all operating funds available for the day-to-day expenses of the system including payroll, scholarships, research, maintenance, and utilities. The purpose of the LTIF is to provide for the collective investment of all donor restricted and/or board restricted endowment and trust funds to be held long-term by the system

09.01 **Chapter definitions.**

~~09.00.1 "IAC" means the Investment Advisory Committee.~~

09.0~~1~~0.~~2~~1 "LTIF" means the Long Term Investment Fund and is the investment vehicle for endowment funds, including quasi-endowments and trust funds.

09.0~~1~~0.~~3~~2 "CCP" means Comprehensive Cash Pool consisting of operating funds of the system and is the investment vehicle for the operating funds.~~"ITIF" means the Intermediate Term Investment Fund.~~

~~09.00.4 "STIF" means the Short Term Investment Fund.~~

90.01.3 "CFO" means the Vice Chancellor and Chief Financial Officer of the TTU system.

09.0~~1~~0.~~5~~4 "CIO" means the Chief Investment Officer of the TTU system.

09.010.65 "Treasurer" means the director of the Office of Treasury of the TTU system.

09.021 **Roles and responsibilities.**

~~09.01.1 Investment Advisory Committee. The IAC is a special committee of indefinite duration as authorized by the board and is charged with the following duties and responsibilities:~~

~~a. To meet at least quarterly with the CIO, investment counsel, investment managers and other appropriate TTU system officers and staff for the purpose of reviewing with and advising these parties, and reporting to the board and the board of directors of the Texas Tech Foundation on asset allocation, investment policy and performance; and~~

~~b. For identifying fund managers, monitoring the performance of investments, evaluating fund manager performance and developing and recommending an asset allocation for the LTIF and the ITIF.~~

09.02.1 Vice Chancellor and Chief Financial Officer. The CFO is responsible for all financial affairs including the cash and investment management of the TTU System's operating and endowment funds as directed by the Board rules and investment policy statements. The CFO may delegate investment and transaction responsibilities to the CIO and Treasurer.

09.02.2 Chief Investment Officer. The CIO is responsible for the day-to-day investment activities related to the LTIF, with reporting responsibilities and delegated authority as defined in the Investment Policy Statement for the Long Term Investment Fund.

09.021.32 Investment consultant/s. The investment consultant/s may ~~shall~~ assist ~~board, the IAC,~~ the CIO and the Treasurer ~~and all fiscal and investment officers~~ in developing and modifying policy objectives and guidelines, including the development of asset allocation strategies, recommendations on long-term asset allocation and the appropriate mix of investment manager styles and strategies. The consultant also ~~shall~~ may aid ~~provide assistance~~ in investment manager searches and selection, and in investment performance

calculation, evaluation, and analysis. The consultant shall provide timely information, written and/or oral, on investment strategies, instruments, investment managers and other related issues, as requested by the board, ~~the IAC~~the CIO, or any investment officer. More than one consultant can be obtained. Any engagement with an investment consultant(s) will be at the direction of the CIO/Treasurer.

~~09.01.3 Chief investment officer. The CIO is responsible for the day-to-day investment activities related to the LTIF and ITIF, with reporting responsibilities and delegated authority as defined in the Investment Policy Statement for the Long Term Investment Fund and the Investment Policy Statement for the Intermediate Term Fund.~~

09.0~~2~~1.3~~4~~ Treasurer. The Treasurer is responsible for the day-to-day investment activities related to the operating funds as outlined in the CCP~~STIF~~, with reporting responsibilities and delegated authority as defined in the Investment Policy Statement for the Comprehensive Cash Pool~~Short Term Investment Fund and the Investment Policy Statement for the Intermediate Term Investment Fund~~.

09.0~~2~~1.5~~5~~ Investment managers. The duties and responsibilities of each of the investment managers retained by the board include:

a. Managing the assets under its management in accordance with the policy guidelines and objectives expressed herein.

b. Meeting or exceeding the manager specific benchmarks established by the CIO or Treasurer.

c. Exercising investment discretion within the guidelines and objectives stated herein; and such discretion includes decisions to buy, hold or sell securities in amounts and proportions reflective of the investment manager's current investment strategy and as compatible with the investment objectives.

d. Complying with all provisions pertaining to the investment manager's duties and responsibilities as a fiduciary, with fund assets being invested with the care, skill, prudence and diligence under the circumstances then prevailing that a prudent

professional investment manager, acting in a like capacity and familiar with such matters, would use in the investment of TTU system assets.

e. Using best efforts to ensure that portfolio transactions are placed on a "best execution" basis.

f. Exercising ownership rights, where available, through proxy solicitations, doing so strictly for the economic benefit of the LTIF~~, ITIF,~~ or ~~STIF~~CCP, as applicable.

g. Meeting with the CIO ~~and IAC~~ or Treasurer at least annually or more frequently upon request; additionally, each investment manager shall report to the ~~IAC,~~ investment consultant, CIO, and/or Treasurer as outlined in the specific ~~ITIF~~ CCP and LTIF investment policy statements.

h. Acknowledging in writing to the CIO/Treasurer the investment manager's intention to comply with Section 09.02~~1~~.4~~5~~, *Regents' Rules*, as it currently exists or as modified in the future.

09.02~~1~~.6~~6~~ Bank custodian(s). The board shall approve one or more unaffiliated custodian bank(s) through a competitive bid process that will be responsible for performing the following functions:

a. accept daily instructions from designated investment staff;

b. advise designated investment staff daily of changes in cash equivalent balances;

c. immediately advise designated investment staff of additions or withdrawals from the custodial account;

d. notify investment managers of proxies, tenders, rights, fractional shares or other dispositions of holdings;

e. resolve any problems that designated investment staff may have relating to the custodial account;

f. maintain safekeeping of securities;

g. collect interest and dividends;

h. perform daily cash sweep of idle principal and income cash balances;

i. process all investment manager transactions;

j. collect proceeds from maturing securities;

k. disburse all income or principal cash balances as directed;

l. provide monthly statements by investment account and a consolidated statement of all assets;

m. work with the investment consultant and the TTU system accountant to ensure accuracy in reporting;

n. manage the securities lending program; and

o. provide written statements revealing monthly reconciliation of custody and investment managers' accounting statements.

09.032 **Comprehensive Cash Pool~~Short Term Investment Fund~~.** The CCP consists of funds available for operating, non-operating and reserves not endowed by donors and/or the board. The policies for the investment of funds in the ~~STIF~~ CCP shall be those outlined in the Investment Policy Statement for the Comprehensive Cash Pool~~Short Term Investment Fund~~.

~~09.03 **Intermediate Term Investment Fund.** The policies for the investment of funds in the ITIF shall be those outlined in the Investment Policy Statement for the Intermediate Term Investment Fund.~~

09.04 **Long Term Investment Fund (Endowment).** The policies for the investment of funds for the LTIF shall be those outlined in the Investment Policy Statement for the Long Term Investment Fund.

09.05 **Investment Fund Exceptions.** In rare circumstances, the board may acknowledge the need to accommodate placement of funds held within the ~~STIF, ITIF,~~CCP and/or LTIF with a modification to asset allocation or

placement of funds held in trust outside of the ~~STIF, ITIF,~~CCP and LTIF. The board shall approve all such investment exceptions.

09.06 **Proxy voting.**

09.06.1 Responsibility for the exercise of ownership rights through proxy solicitations shall rest solely with the investment managers, who shall exercise this responsibility strictly for the economic benefits of the ~~STIF~~ CCP and/or LTIF. Investment managers shall annually report to the CIO standing policies with respect to proxy voting, including any changes that have occurred in those policies.

09.06.2 Additionally, investment managers shall provide a written annual report of the proxy votes for all shares of stock in companies held in the ~~STIF~~ CCP and/or LTIF investment program. These reports shall specifically note and explain any instances where proxies were not voted in accordance with standing policy.

09.07 **Directed commissions.** Investment managers shall use their best efforts to ensure that portfolio transactions are placed on a "best execution" basis. Additionally, arrangements to direct commissions should only be implemented by specific authorization of the CIO and/or Treasurer.

09.08 **Commingled funds.** The board, in recognition of the benefits of commingled funds as investment vehicles (i.e., the ability to diversify more extensively than in a small, direct investment account and the lower costs that can be associated with these funds) may elect to invest in such commingled funds from time to time. The board recognizes that it cannot give specific policy directives to a commingled fund with pre-established policies; therefore, the board is relying on the CIO and/or Treasurer ~~and the IAC~~ to assess and monitor the investment policies of any commingled funds used by the TTU system.

09.09 **Mineral leases.** It is the intent of the board to lease oil, gas, sulfur, ore, water, and other mineral interests of the TTU system for development whenever there is a demand that will reasonably ensure that they may be leased advantageously and it is in the best interest of the TTU system. All leases will be executed in accordance with the applicable laws and with rules and regulations adopted by the board that are not inconsistent with the provisions of law. The reason for leasing minerals is to obtain additional income to be used by the board for the administration of the TTU system, for payment of

principal of and interest on revenue bonds and notes issued by the board, and for any other purpose that in the judgment of the board may be for the good of the TTU system.

09.09.1 Lands under exclusive control of the board and owned by the state of Texas.

a. Leases will be negotiated with prospective lessees to obtain their best offer above the minimum outlined in Section 09.~~07~~09.1.c, *Regents' Rules*.

b. Leases normally will be for oil and gas production only. Separate leases will be required for other mineral production, whether strip-mined or not.

c. The board may not sell a lease for less than the royalty and rental terms demanded at that time by the General Land Office of the State of Texas in connection with the sale of oil, gas, and other mineral leases of the public lands of this state. In addition, no bid or proposal shall be accepted that offers a royalty of less than one-quarter of production, a primary term greater than five years or a delay rental of less than $5 per acre per year.

d. No state lands shall be sold unless the mineral rights are retained by the state, unless impractical.

e. Use of TTU system standardized oil and gas lease or oil and gas and mineral lease forms will be required for all leases.

f. Use of TTU system standardized division order forms will be required for all division orders.

09.09.2 Mineral and royalty interests derived from trusts and gifts.

a. Leases will be negotiated with prospective lessees to obtain their best offer above the minimums outlined in Section 09.~~07~~09.2.c, *Regents' Rules*.

b. Leases will normally be for oil and gas production only. Separate leases will be required for other mineral production, whether strip-mined or not.

c. No proposal shall be accepted that offers a royalty of less than one-quarter of production, a primary term of more than five years or a delay rental of less than $5 per year per mineral acre, beginning with the second year of the lease.

d. Use of TTU system standardized oil and gas lease or oil and gas and mineral lease forms will be required for all leases.

e. Use of TTU system standardized division order forms will be required for all division orders.

09.09.3 Approval. All leases and other documents relating to leasing shall be approved by the board, and then be signed by the chancellor.

Dates Approved or Amended:

-- Comprehensive review of chapter 08-08-2014	• amendments throughout
-- Miscellaneous cleanup revisions 10-09-2015	• to §09.07.3
-- Amendments re: SITIF management 08-10-2018	• to §09.02 – to allow the SITIF investment policy statement to provide all of the policies that govern management of the SITIF
-- Various amendments 12-13-2019	• to update SITIF references to the new STIF and ITIF; and • to add language to handle exceptions to investments requested by a donor

See also the document entitled "Comprehensive Reviews and Updates" for a more complete explanation of the amendments listed above.

It is proposed that the Investment Policy Statements for the Short Term Investment Fund (STIF) and the Intermediate Term Investment Fund (ITIF) be combined into one new policy statement - the Comprehensive Cash Pool (CCP) for all institutional operating funds.

*The existing STIF and ITIF policy statements would be deleted and replaced with one new policy statement. This document provides the language for the new **CCP** policy statement.*

Texas Tech University System

INVESTMENT POLICY STATEMENT

Comprehensive Cash Pool

Date adopted: TBD

DRAFT

Section 1 Introduction.

This policy statement shall guide the investment of institutional operating funds known as the Comprehensive Cash Pool ("CCP") of the Texas Tech University System ("TTU system"). As a pooled fund for the collective investment of the operating funds, non-operating funds and other funds of the system and component institutions, the CCP is established to optimize system-wide liquidity and earnings, protect and preserve balances, and control costs of administering the pool and managing the system's assets.

All institutional funds not invested in the Long Term Investment Fund ("LTIF") will be invested in accordance with this policy statement, excluding bond proceeds and certain gifted assets.

Section 2 Roles and Responsibilities.

2.1 **Board of Regents (the "Board").** The Board through the Finance, Administration and Investment Committee ("FAI Committee") will approve the investment policy, return objectives, risk tolerance, pool allocation ranges and monitor performance. The Board grants the authority to manage the CCP in accordance with this policy to the Vice Chancellor and Chief Financial Officer of the TTU system.

2.2 **Vice Chancellor and Chief Financial Officer of the TTU system ("CFO").** The CFO shall manage the CCP in accordance with this policy under the oversight of the FAI Committee of the Board. The CFO is responsible for all cash management activities and is authorized to set pool allocation targets within pre-approved ranges. The CFO may procure and retain investment consultant services through a competitive-bid process to advise on the management of these funds. The CFO may delegate investment and transaction responsibilities to the Treasurer.

2.3 **Pool Advisory Committee ("PAC").** The CFO will establish a Pool Advisory Committee, comprised of financial officers from various system components. The PAC may meet periodically to provide guidance and oversight regarding CCP

investment policy and strategic direction. Members will be appointed at the discretion of the CFO.

2.4 **Treasurer.** The Treasurer, under the supervision of the CFO, is charged with implementing and administering the CCP in accordance with the rules and guidelines set forth in this Investment Policy Statement. The Treasurer is responsible for day-to-day portfolio management activities, investment vehicle recommendations, and operating procedures. In addition, the Treasurer will be responsible for:

a. Monitoring, reporting and reconciliation on the performance of investments in the CCP.

b. Recommending new investment vehicles to the CFO and PAC.

c. Evaluating the effectiveness of policies, procedures, objectives and strategy, and proposing, when appropriate, modifications for recommendation to the CFO.

d. Maintaining internal controls to provide for responsible separation of duties and adequacy of an audit trail.

e. Complying with applicable laws regarding the essential safekeeping and trading of CCP assets.

2.5 **Investment Consultant/Advisor.** The investment consultant's/s' primary responsibility is to provide independent information and advice to the CFO, PAC, Treasurer and staff. Within its broad scope of services, the consultant/s may focus on the following:

a. Investment policy development;

b. Strategic asset allocation studies;

c. Assist in manager searches and selection;

e. Monitor investment performance; and

f. Provide investment education.

2.6 **External Investment Managers.** External investment managers may invest CCP assets in accordance with established guidelines but will apply their own judgment regarding security selection. External investment managers will be given full discretion, within established guidelines and policy limits, to select individual securities, and diversify their portfolios.

Section 3 Investment Objectives.

The investment of funds for the CCP shall provide incremental return to assist in meeting the operating needs of the TTU system.

3.1 **Investment objectives of the CCP.**

a. The investment of funds shall consider asset diversification, suitability, and the experience, quality, and capability of financial firm and personnel. The fund shall consider the relevant investment horizon and shall be governed by the following investment objectives, in the following order of priority:

(1) preservation and safety of principal;

(2) liquidity; and

(3) return.

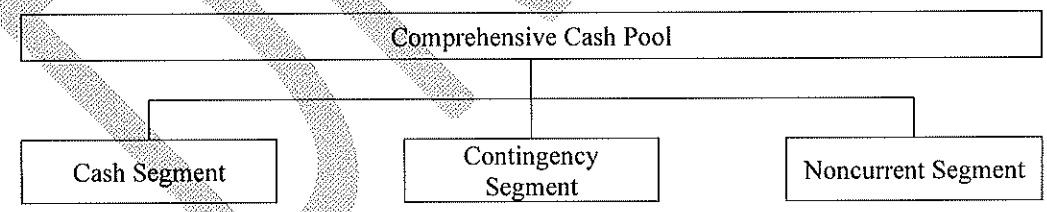
b. In determining whether the objectives in Section 3.1.a have been met, the following shall be taken into consideration:

(1) The investment of all funds, rather than a consideration as to the prudence of a single investment.

(2) Whether the investment decision was consistent with this written policy.

Section 4 Investment Structure.

CCP assets will be structured into three segments based on cash flow requirements to provide sufficient liquidity covering operating outflows as well as preserving the system's excellent credit ratings.



The approved liquidity segments and a general investment strategy for each are as follows:

4.1 **Cash Segment.** The Cash Segment is designed to meet the current operating needs of the TTU system. This segment is expected to offer the highest level of liquidity and protect the nominal value of principal. Funds will be invested primarily in high quality money market funds or other instruments widely considered as cash equivalents, such as collateralized depository demand and/or interest earning accounts, local government investment pools, and fully collateralized repurchase agreements.

4.2 **Contingency Segment.** The Contingency Segment is designed to provide a margin of safety to meet any current or unplanned expenditures. A secondary objective is to provide enhanced financial flexibility during any unexpected market disruptions, when commingled funds can be susceptible to redemption risk. This segment will seek preservation of capital and incremental investment income typically above money market fund yields. These funds will be invested only in fixed income securities issued by the United States government, US agencies and instrumentalities, or US municipalities or the highest quality commercial paper. Only securities with maturities ranging between overnight and five years are eligible.

4.3 **Noncurrent Segment.** The assets remaining in the Pool that are not needed in the Cash and Contingency Segments may be invested in the Noncurrent Segment. Funds will be invested over a time horizon of five years or greater. This segment has an investment objective of income with growth and will be invested in a diversified asset mix of liquid or semi-liquid securities. This segment will be structured to generate a higher return over longer periods while retaining a profile that will be liquid enough to serve as a source of funds under extreme circumstances. Because of the very low likelihood that these funds would be needed to meet cash flow requirements, a greater degree of principal risk is acceptable in order to obtain a higher return.

Section 5 Asset Class Allocation, Target Ranges and Policy Benchmarks.

Asset class allocation, subject to the ranges specified herein, is the responsibility of the CFO, in consultation with the PAC. Changes to the asset class target ranges and limitations are the responsibility of the Board as communicated to the FAI committee and may be changed from time to time based on the economic and investment outlook.

5.1 **Target Ranges.** The Board will approve any changes to the target ranges for each asset class.

5.2 **Target Allocation.** The CFO is responsible for determining the appropriate asset allocation within each segment. Note, analyzing monthly net cash flows for the past three fiscal years will be the basis for the Cash Segment target allocation.

5.3 **Benchmarks.** A customized total portfolio benchmark will be designed to measure the overall performance of the CCP. This benchmark will blend the returns of the benchmarks specified below, weighted according to the target allocation for each respective tier.

Table 1 – Asset Allocation Target, Ranges & Policy Benchmarks

Asset Class	Benchmark	Target Allocation	Target Range
Cash Segment	Barclays Capital 1-3 Month U.S. Treasury Bill Index	10%	5% — 20%
Contingency Segment	ICE BofA Merrill Lynch 0-3 Year US Treasury & Agency Index	30%	20% — 40%

Noncurrent Segment	Blended based on Table 2	60%	45% — 75%

Table 2 – Noncurrent Segment - Asset Allocation Target, Ranges & Policy Benchmarks

Asset Class	Benchmark	Target Allocation	Target Range
Cash	N/A	0%	0% - 15%
Equity	MSCI AC World (gross, USD)	25%	20% - 30%
Debt	BC Global Aggregate (unhedged)	25%	20% - 30%
Diversifying Assets	HFRX Global	30%	25% - 35%
Private Assets	70% MSCI ACWI IMI; 15% Barclays US High Yield; 15% Barclays Global High Yield Trailing 5-year rolled quarterly 250 bps premium	20%	15% - 25%
Portfolio Hedge	N/A	0%	0% - 15%

5.4 **Investment Return objectives.**

a. The incremental return goal for each category of investments is expected to match or exceed the performance of the appropriate benchmark index over a rolling five-year period.

b. Each investment vehicle will be evaluated versus a benchmark and/or a peer universe. Investment vehicles should rank above the median over a rolling five-year period.

Section 6 Rebalancing.

6.1 **Rebalancing.**

a. It is the intent of the Board that the asset allocation for the CCP remains within the permissible ranges and that the portfolio shall be rebalanced when the allocation deviates significantly from these ranges. Contributions to the CCP should be applied to, and payments by the CCP withdrawn from, asset classes in such a way so as to bring the asset allocation back toward its target ranges.

b. The minimum and maximum allocations should not be exceeded, except in unusual circumstances. Rebalancing may occur before these limits.

c. Noncurrent Segment funds accounting for less than or equal to 5% of the Pool's asset value may be withdrawn upon the request of the CFO with 3 business

days' notice. Withdrawals in excess of the 5% threshold will require up to 30 days' notice and 90 days for distribution.

Section 7 Guidelines for Investments.

The following list is indicative of the investment classes which are appropriate for each segment based on return objectives and liquidity requirements. It should not be construed as an exhaustive list of "allowable" asset types. Security types and/or strategies not specifically enumerated, but which the CFO determine are appropriate, may also be held.

7.1 **Cash Segment.** The Cash Segment will be invested primarily in money market funds and other cash instruments:

a. Collateralized bank deposits with a state or national bank domiciled in the State of Texas, provided:

(1) Such deposits must be insured by the Federal Deposit Insurance Corporation or its successor, with the remainder fully collateralized as required in the *Texas Education Code*, except that surety bonds are not authorized as collateral. The pledged collateral shall be placed in a custodian bank or banks named by the TTU system. Deposits will be collateralized in an amount equal to or greater than 102% of the amount of funds on deposit at the bank. In no event will the custodian be affiliated with the depository bank.

(2) On any given day, no depository bank will have CCP funds on deposit in an amount that exceeds any one of the following limits:

(a) 25% of the total funds available for investment by the TTU system; or

(b) based upon the bank's latest regularly published statement of financial condition: 15% of its total deposits; or an amount equal to the sum of its capital, permanent surplus, retained earnings, and reserves.

b. Any money market fund or mutual fund, provided:

(1) Such funds is AAA rated, or its equivalent, by at least two nationally recognized rating services.
(2) Such funds must be offered at a constant $1.00 net asset value and comply with the diversification, quality, liquidity, and maturity requirements of SEC regulation 2a-7 under the Investment Company Act of 1940.

c. Local government investment pools, which are specialized money market funds designed to offer a convenient and cost-effective investment vehicle for public entities.

DRAFT

d. Repurchase agreements.

(1) For the purpose of this policy, a repurchase agreement is an investment transaction between an investor and a bank or securities dealer, in which the bank or dealer agrees to sell a particular instrument to the investor and simultaneously agrees to repurchase that investment at a certain date in the future at a market value of not less than the principal amount of the funds disbursed.

(2) A fully collateralized repurchase agreement is an authorized investment if the repurchase agreement is secured by U.S. Government Securities and require the securities being purchased to be pledged to the TTU system and deposited at the time the investment is made with a third party selected and approved by TTU system. The value of all collateral shall be maintained at 102% of the notional value of the repurchase agreement (valued daily). Repurchase agreements must be placed through a primary government securities dealer, as defined by the Federal Reserve, or a financial institution doing business in Texas.

(3) There shall be no limitation on the amount invested, provided the vehicle is collateralized by U.S. government securities.

(4) Reverse repurchase agreements are not permitted.

7.2 **Contingency Segment.** The Contingency Segment will be invested in the following types of securities with maturities of 60 months or less.

a. Obligations issued or guaranteed by the Unites States Federal Government, United States Federal Agencies, or United States government-sponsored corporations and agencies.

b. Obligations of states, agencies, counties, cities and other political subdivisions of any state and rated A or better.

c. Commercial paper with a maturity of one year or less, issued by domestic corporations (corporations organized and operating under the laws of the United States or any state thereof) provided that the issuing corporation, or its guarantor, has a short-term debt rating of no less than "A-2" or "P-2" (or its equivalent) by at least two of the national rating services.

d. The weighted average duration of this segment should be 30 months or less.

e. Bond mutual funds invested in securities defined in 6.2a-c are permitted.

7.3 **Noncurrent Segment.** The Noncurrent Segment will be structured as a total return portfolio. The investment strategy for this segment is that its time horizon, and

DRAFT

flexibility, is such as to permit investments in a diversified mix of assets that will collectively offer greater returns than short term fixed income securities. The goal is to diversify investments across multiple asset classes, including equities, which will enhance total return over the long term, while avoiding undue risk concentrations in any single asset class or investment category.

The assets of this segment will be invested through external managers and/or commingled funds. The Treasurer may develop investment management guidelines for each external investment manager.

Section 8 Reporting.

The Treasurer will prepare quarterly investment reports on the CCP, which will be submitted to the CFO, published on the Office of System CFO's website and provided to the FAI Committee. The reports will summarize asset allocation, liquidity, performance, and risk characteristics.

Section 9 Cash Segment - Cash Management and Relationships with Depositories.

9.1 The centralized Cash Segment will be maintained with the objective that all available cash and cash equivalents are invested and reported in accordance with applicable rules and regulations.

9.2 The CFO is responsible for the overall coordination and direction of banking relationships, to include investments, deposits, custody and other services with banking and similar financial institutions for the TTU system.

9.3 The system is authorized to maintain primary time and demand depository accounts with only those depositories recommended by the Chancellor and approved by the Board resulting in an executed a master depository agreement. Master depository agreements will be executed in accordance with Regents' Rules Chapter 7 Fiscal Management Section 07.12 Contracting policies and procedures. Primary depositories will be selected based on competitive bids, and the bids will be reviewed by the CFO. The Treasurer is authorized to select secondary depository accounts and imprest funds with approval from member institution CFO as requested with an explicit business need.

9.4 The Cash Segment will provide competitive and enhanced returns for each member institution. Any and all depository fees assessed monthly through depository account analysis statements will be charged directly to the respective member institutions.

Section 10 Selection of Broker/Dealers.

The Treasurer and staff shall engage with vetted Broker/Dealers for the Contingency Segment investments. The selection shall be recommended by the Treasurer and approved by the CFO.

Section 11 Selection of External Investment Managers.

11.1 **Manager Selection.** The manager selection process should incorporate review and analysis of the following factors:

a. Ability of the firm to achieve return and risk objectives of the investment pool.

b. Length and quality of experience of key investment professionals.

c. Consistency of investment strategy and results.

d. Historical growth of, and future plans for, assets under management.

e. Confidence that past performance can be sustained in the future.

f. Existence of a clear, concise and effective decision-making process.

g. Risk management tools and systems.

h. Sufficient organizational depth and continuity of personnel.

i. Adequate reporting, administration and back-office support.

Section 12 Responsibilities of Investment Managers.

12.1 Invest the assets of the TTU system with the care, skill, prudence, and diligence that a prudent professional investment manager, familiar with such matters and acting in like capacity, would use in the investment of such assets, consistent with the guidelines outlined herein.

12.2 Adhere to the investment policies and guidelines prescribed by the TTU system and act in the best interest of the TTU system.

12.3 Each investment manager shall have full investment discretion with regard to security selection, consistent with this policy and the manager's established guidelines.

12.4 Make no material departures from the strategy for which the manager was hired.

12.5 Inform the consultant and Treasurer about all significant matters pertaining to the investment of TTU system assets. These matters include the following:

a. Substantive changes in investment strategy or portfolio structure.

b. Significant changes in ownership, affiliations, organizational structure, financial condition and professional staffing of the investment management organization.

DRAFT

c. Any regulatory actions being pursued or taken against the firm or any of its employees.

12.6 All investment managers must report their performance on a monthly or quarterly basis, and the reporting methodology must be in compliance with the standards outlined by the CFA Institute.

12.7 Seek best price/execution when purchasing or selling securities at all times. Each investment manager must recognize that brokerage is an asset of the TTU system, not the investment manager. Also, investment managers must disclose any affiliated brokerage relationships.

12.8 Comply with CFA Institute Guidelines on Soft Dollar Standards.

12.9 Vote all proxies after careful assessment of the issues involved, with particular emphasis on items that might reduce the economic value of stockholders' rights of ownership and thereby adversely impact the performance of the TTU system's assets.

12.10 Meet with the Treasurer and other investment staff on a regular basis, either in person or by teleconference.

12.11 Provide the number of new clients and clients that have terminated on a quarterly basis.

12.12 Securities or positions in a single company or issuer must not exceed 10% of the investment manager's portfolio measured at market value. However, money market funds and obligations issued by the U.S. federal government are exempt from this restriction.

12.13 Securities or positions in any one industry should not exceed 25% of the investment manager's portfolio at market value.

12.14 The market value of any single investment manager account may not exceed 10% of the applicable pool.

12.15 Currency hedging decisions are at the discretion of the manager.

Section 13 Spending Policy.

13.1 The Board recognizes the need for distributions to institutional funds comprising the CCP. Distributions are to be made on a monthly basis.

13.2 For the Cash Segment and Contingency Segment, funds to be distributed will be current income earned on an accrual basis.

13.3 For the Noncurrent Segment, a spending withdrawal or distribution will be applied as it is managed on a total return basis. Distributions will be physically withdrawn out of

the dedicated investment custodial account. With expected greater returns, the Noncurrent Segment earnings are relied on more heavily than the other CCP segments as a financial resource applicable to unrestricted operating budgets. In turn, it is crucial to generate a stable distribution of earnings consistent to projections set in the budgeting process. The annual spending percentage applied to average market value shall not exceed 6% nor be less than 2%. The initial annual spending percentage is set at 3% on an average of a fiscal year rolling 12-quarter ending market values and will be applied monthly, during the current quarter based on an annualized spending rate (set percentage divided by 12). Note: The same calculated 12-quarter market value will be used for a current fiscal quarter's spending calculation. The Treasurer will conduct an annual review of the investment policy to determine that it is consistent with the distribution target set in the budgeting process, recognizing the potential for short-term fluctuations in investment returns. The annual spending percentage set each fiscal year ahead of the budgeting process by the CFO, in consultation with the PAC, based on inflation expectations and expected return.

Section 14 Management Fee.

14.1 The CCP will be assessed an investment management fee for expenses associated with the management of the pool.

14.2 The fee will be assessed on a quarterly basis (fiscal year quarters), and average market value will be based on the average of a fiscal year 12-quarter ending market values of the total net asset value of the CCP.

14.3 The management fee rate shall be reviewed annually in conjunction with the preparation of annual operating budgets in consultation with the PAC. Any recommendation will be communicated during the annual budget process as outlined in Chapter 07.04 of the TTUS Regents' Rules.

Section 15 Securities Lending.

The CCP may not participate in securities lending unless approved by the CFO and PAC. Any authorization for securities lending in separate accounts must be reported in advance to the FAI Committee. Also, any such authorization shall be reported as an Information Agenda item at the next Board meeting. Commingled funds are exempt from this restriction.

Dates Approved or Amended:

-- Initial adoption of policy statement..... TBD

DRAFT

Report on TTUS Endowments

As of August 31, 2020

Gary Barnes
Vice Chancellor and Chief Financial Officer

December 10, 2020

1

Endowment Funds

Definition:
- Gifts that are invested for long term growth to produce earnings that will last into perpetuity.

Purpose:
- Support strategic mission and goals of institution while also fulfilling philanthropic goals of individual donors.

Benefits:
- Support that will impact both current and future generations.
- Consistent and stable source of revenue that will last into perpetuity.

2

Endowment Funds
Financial Management/Oversight

TTUS Vice Chancellor and CFO (Treasurer of TTFI) oversees all financial functions related to Endowment Fund Management

IA Financial Services & Stewardship/Compliance
- Annual Compilation/Distribution of Endowment Reports to Donors
- Manage endowment pledge reminders/collections
- Review setup of all new endowment funds

TTUS Accounting & Reporting
- Calc/Process quarterly endowment distributions
- Manage all exceptions outside of LTIF Policy
- Year end profit/loss calculations/entry
- TTUS Consolidated Annual Financial Report schedules

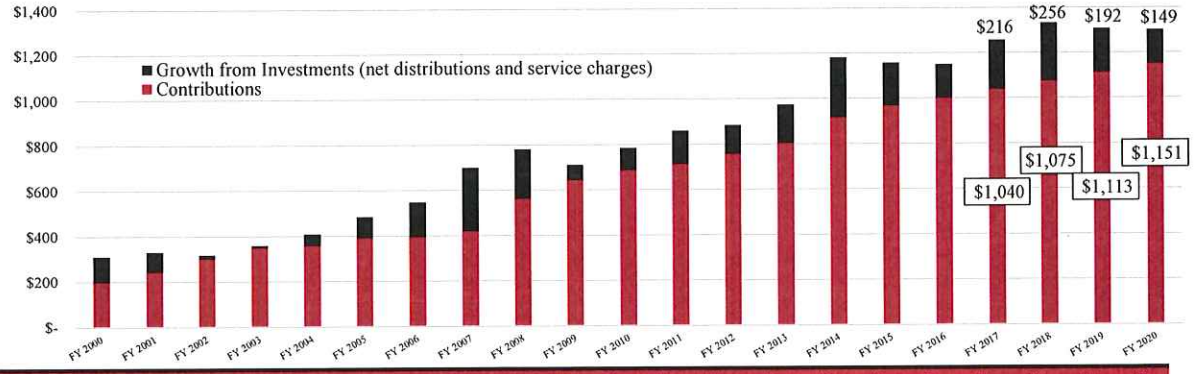
TTUS Investment Office
- All areas of endowment fund investments
- Performance Reports to BOR, IAC, TTFI

- Total Value in excess of $1.3B
- More than **4,000 separate endowment funds** across all TTUS Components
- **3,500+ Individual Endowment Reports** Prepared Each Year
- Annual audit by external audit firm (Foundation Endowments)

The Financial Management Structure for TTUS Endowments provides:
- Appropriate Separation of Duties
- Enhanced internal controls and financial compliance
- System level service/support to components on all matters related to endowments

3

TTU System Total Endowment
FY 2000 – FY 2020 *(in Millions)*



(in Millions)	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
Contributions	200	243	302	348	358	391	396	419	563	644	688	714	758	805	917	968	1,001	1,040	1,075	1,113	1,151
Growth from Investments	110	87	15	11	50	93	152	280	217	66	97	146	126	168	263	188	149	216	256	192	149
Total Endowment	310	330	317	359	408	484	548	699	780	710	785	860	884	973	1,180	1,156	1,150	1,256	1,331	1,305	1,300

4

TTUS Total Endowment Activity

As of August 31, 2020

Endowment Activity (in millions)	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
Beginning Fair Market Value	$973.1	$1,179.5	$1,155.7	$1,150.3	$1,256.3	$1,331.0	$1,304.9
Contributions Received	$112.3	$50.5	$33.8	$38.4	$35.4	$38.0	$38.1
Investment Returns *(realized and unrealized gains/losses)*	$141.0	($20.9)	$18.8	$126.8	$100.2	($0.7)	$23.7
Distributions/Payout	($42.7)	($47.4)	($51.1)	($52.2)	($53.7)	($55.9)	($58.4)
Management Fee	($4.2)	($6.0)	($6.9)	($7.0)	($7.2)	($7.5)	($7.9)
Ending Fair Market Value	**$1,179.5**	**$1,155.7**	**$1,150.3**	**$1,256.3**	**$1,331.0**	**$1,304.9**	**$1,300.4**

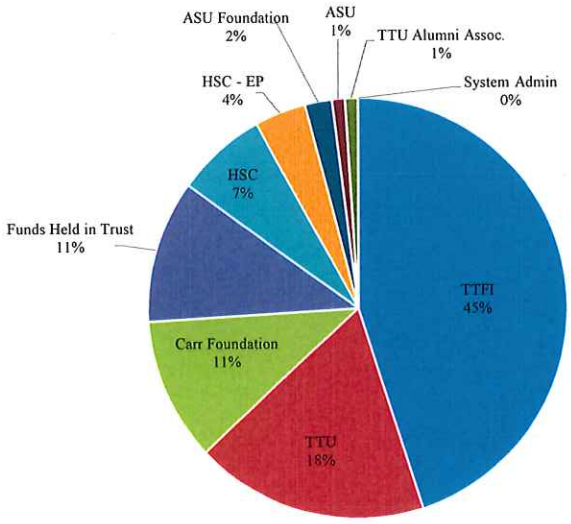
TTUS Long-Term Investment Fund Performance (%)

FY 20XX	1 – Year	3 – Year	5 – Year	10 - Year
FY 2020	1.15%	3.40%	5.24%	6.09%
FY 2019	0.18%	7.31%	4.87%	6.90%
FY 2018	9.06%	8.30%	7.69%	5.88%

5

TTUS Total Endowment by Institution

As of August 31, 2020 (Donor Directed)

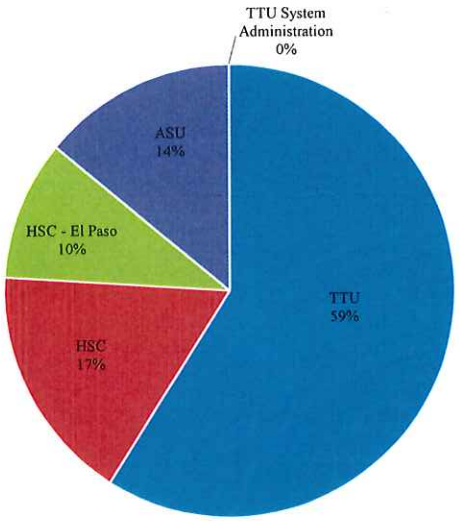


Institution	Total Endowment ($)	(%)
TTFI	587,147,352	45%
TTU	233,406,247	18%
Carr Foundation	140,702,979	11%
Funds Held in Trust	144,899,016	11%
HSC	89,375,475	7%
HSC EP	53,434,538	4%
ASU Foundation	23,135,568	2%
ASU	17,289,241	1%
TTU Alumni Assoc.	8,683,019	1%
System Admin	2,331,543	0.18%
TTUS Total Endowment	**$1,300,404,978**	**100%**

6

TTUS Total Endowment by Component

As of August 31, 2020 (Donor Directed)

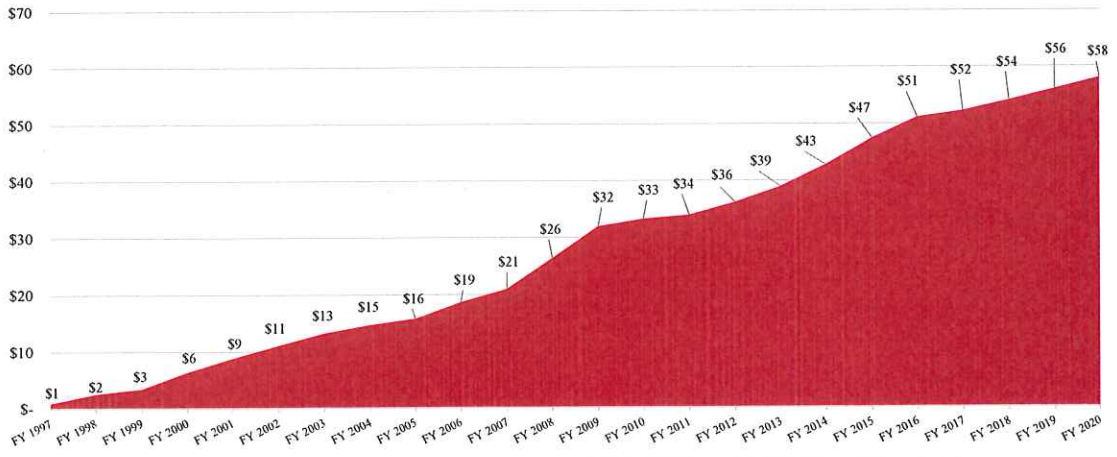


Component	Total Endowment ($)	(%)
TTU	764,090,631	59%
HSC	218,322,639	17%
HSC EP	134,221,718	10%
ASU	181,127,788	14%
TTU System Admin	2,642,202	0.2%
TTUS Total Endowment	**$1,300,404,978**	**100%**

7

Distributions to Component Institutions

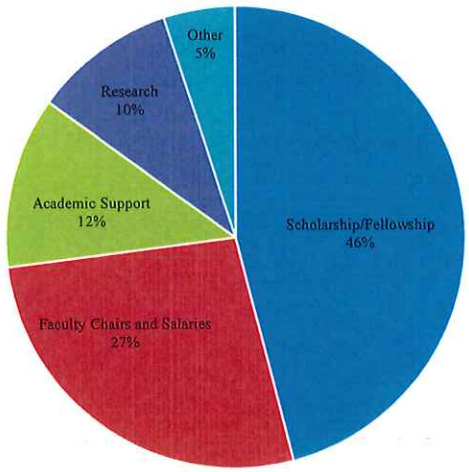
FY 1997 - FY 2020 (in millions)



- Through careful stewardship, the target distribution rate of **4.5%** has been realized **EVERY** year since inception
- Over **$678M in total earnings** paid since inception of TTUS Endowment in FY 1997
- Increases in total distributions realized **EVERY** year since inception

8

TTUS Total Endowment by Type

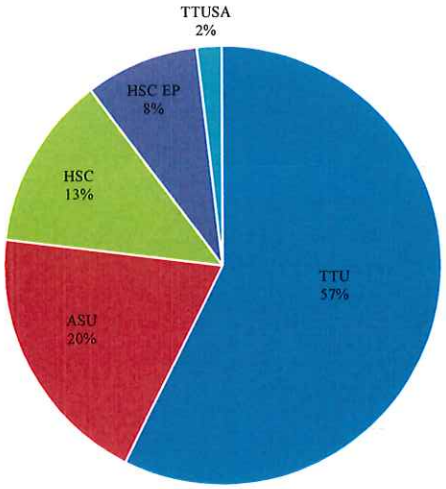


- Almost 50% of total TTUS Endowment dedicated to scholarship support

9

Endowment Distribution by Component

As of August 31, 2020



Component	Total Distributions ($)	(%)
TTU	33,522,229	57%
ASU	11,437,982	20%
HSC	7,482,819	13%
HSC EP	4,901,528	8%
TTU System Admin	1,079,898	2%
TTUS Total Endowment	**$58,424,456**	**100%**

10

Questions?

[Minor revision: approved by the Board of Regents, October 4, 2018]

Angelo State University
Operating Policy and Procedure

OP 10.01: Undergraduate Student Admissions

DATE: ~~October 8, 2018~~[SA1] Upon approval

PURPOSE: The purpose of this Operating Policy and/or Procedure (OP) is to outline policies concerning undergraduate student admissions.

REVIEW: This OP will be reviewed in August of every odd-numbered year by the director of admissions and the executive director of enrollment management with recommended revisions forwarded by September 1 through the vice president for student affairs and enrollment management to the president for approval and submission to the Board of Regents for approval. (*Regents' Rules*, Sections 05.01.2 and 05.02)

POLICY/PROCEDURE

Angelo State University commits itself to the equal consideration of all qualified applicants for admission without regard to race, color, religion, sex, age, or national origin, and without regard to disabilities as required by the Americans with Disabilities Act of 1990. Students who meet the stated requirements below may reasonably expect to be admitted. However, additional factors may be considered in determining the applicant's admission. The admission of some applicants may be deferred in order to ensure sufficient resources to serve all enrolled students effectively.

1. FRESHMAN ADMISSION

Individuals who have graduated from an accredited high school or homeschool may be eligible for admission to Angelo State University when they have submitted all of the following items to the Office of Admissions:

- Application for Admission.
- Scores on the American College Test (ACT) or the Scholastic Assessment Test (SAT) (scores cannot be more than five years old). *Unless applicant chooses Test Optional Admissions.*
- Current non-refundable application fee.
- Official transcripts of high school records.

Assured Admission of Freshmen Applicants

Assured admission is granted to applicants based on satisfaction of the following requirements: a) graduate from an accredited high school or home school with a Texas Recommended or Distinguished Achievement Program diploma or the Endorsements or Distinguished Level of Achievement on the Foundation High School Program or its equivalent; and b) present the combination rank in class and minimum test scores indicated below. If a high school transcript does not include a class rank, an equivalent rank will be

[Minor revision: approved by the Board of Regents, October 4, 2018]

calculated by Undergraduate Admissions. [SA2]

High School Class Rank	*Test-Optional* High School GPA	*Test Score Required* ACT	SAT***
Top 25% *	No Minimum GPA	No minimum	
Next 25%**	2.5 or higher high school GPA	17	920
3rd and 4th Quarter	File Review	File Review	

~~High School Class Rank~~	~~ACT Score~~	~~SAT Score***~~
~~Top 25%*~~	~~No minimum~~	
~~Next 25%**~~	~~17~~	~~SAT: 820 or Redesigned SAT of 900~~
~~3rd Quarter~~	~~File Review~~	
~~4th Quarter~~	~~File Review~~	

* Recommended program or the Distinguished Level of Achievement on the Foundation High School program.

** Recommended or Distinguished Achievement program or the Endorsements or Distinguished level of Achievement on the Foundation High School program or its equivalent.

*** ~~SAT scores include combined critical reading and math.~~ Redesigned SAT scores include evidence-based reading & writing and math. Both SAT tests will use the combined maximum score of 1600.

Assured admission is also granted to applicants who have: a) graduated from an accredited high school or home school with a Texas Recommended or Distinguished Achievement Program diploma or the Endorsements or Distinguished Level of Achievement on the Foundation High School Program or its equivalent; and b) completed at least six (6) semester credit hours of dual credit through Angelo State University with a 3.0 GPA or higher.

Assured admission may also be granted to applicants graduating with the Foundation High School Program, or equivalent, other programs in transition, those not on a Texas High School Diploma Program but who meet one of the requirements listed below.

a. Satisfy ACT's College Readiness Benchmarks on the ACT assessment.

b. Satisfy SAT College Readiness Benchmarks.

Private students must submit the Texas Private High School Certification Form published by and made available on the Texas Higher Education Coordinating Board website.

Admission File Review of Freshman Applicants

Students who do not meet assured admission will have their file reviewed to determine admissibility and potential for success at Angelo State University. Consideration factors may

include, but are not limited to, the applicant's: academic record, dual credit course completion, class rank, standardized test scorces, first-generation status, bilingual proficiency, extracurricular activities, community activities, region of residence, socioeconomic background, financial status of the school district, the school district's performance level on the TEA's accountability criteria, responsibilities such as employment or helping to rear children, resident of a rural or urban area or a resident of a central city or suburban area, attendance in a school under a court ordered desegregation plan, commitment to a particular field of study, personal interview, admission to a comparable accredited out-of-state institution, or any other consideration the university considers necessary to accomplish the university's stated mission.

Provisional Admission

Freshman applicants not admitted through the university's standard admission and review process may be considered for admission through the provisional admission program.

Students may satisfy their provisional admission requirement via the Direct Path Program:

a. Apply and be accepted to the Angelo State Direct Path Program, a partnership with Howard College. Upon earning 18 transferable credit hours with a cumulative 2.0 or ~~higher grade~~higher-grade point average a student will be fully admitted to Angelo State University.

b. Participate in the Summer Gateway Program by enrolling in and completing six hours of transferable coursework with a 2.0 or greater grade point average either at Angelo State University or at another college or university.

2. GENERAL EQUIVALENCY DIPLOMA (GED) ADMISSION

Individuals who are not high school graduates but who have submitted evidence of a high school equivalency diploma from the Texas Education Agency (or equivalent agency in other states) may be eligible for admission to Angelo State University when they have submitted all of the following items to the Office of Admissions:

- Application for Admission.
- Scores on the ACT or the SAT (scores cannot be more than five years old).
- Current non-refundable application fee.

These applicants must meet one of the following admission requirements:

a. Satisfy the College Readiness Benchmarks on the ACT assessment.

b. Satisfy SAT College Readiness Benchmarks.

3. DUAL CREDITADMISSION

Dual credit admission is granted to applicants who are high school or home school students and meet the requirements of either the Regents Scholars Dual Credit Program or the Presidential Scholars Dual Credit Program.

The Regents Scholars Dual Credit Program is offered on the Angelo State University campus and the Presidential Scholars Dual Credit Program is offered at a high school of a partnering school district.

Students granted enrollment to take academic courses under either the Regents Scholars Dual Credit Program or the Presidential Scholars Dual Credit Program will not be considered as having officially been admitted to, nor matriculated at, Angelo State University until they graduate from high school and enroll in the university as regular students.

[Minor revision: approved by the Board of Regents, October 4, 2018]

Regents Scholars Dual Credit Program

A high school student will be eligible for admission to the Regents Scholars Dual Credit Program when the applicant has met all admission requirements and has on file the following items:

- Dual Credit/Concurrent Enrollment Application for Admission.
- Official transcripts of high school records.
- If not test optional, o~~O~~fficial scores on the ACT or the SAT (scores cannot be more than five years old).
- Dual Credit/Concurrent Enrollment Agreement form.

Regents Scholars Admission Requirements

To be eligible for admission to Angelo State University under the Regents Scholars Dual Credit Program, high school students must meet the following admission requirements:

a. Enrolled currently in high school courses -Private and home school students must submit the Texas Private High School Certification Form published by and made available on the Texas Higher Education Coordinating Board website.

b. Present the combination rank in class and minimum test scores indicated below.

High School Class Rank	**ACT Score**	**SAT Score***	**Test Optional**
Top 10%	No minimum		
All other rankings or non-ranked	17	920	File Review**

~~b.~~c.

~~**High School Class Rank**~~	~~**ACT Score**~~	~~**SAT Score***~~
~~Top 10%~~	~~No minimum~~	
~~All other rankings or non-ranked~~	~~17~~	~~SAT: 820 or Redesigned SAT of 920 00~~

* ~~SAT scores include combined critical reading and math.~~ Redesigned SAT scores include evidence-based reading & writing and math. Both SAT tests will use the combined maximum score of 1600.

** File Review: Students who choose to apply as test optional and are not in the top10% of their high school class will have their file reviewed to determine admissibility and potential for success in the Regent Scholars Program. Consideration factors may include, but are not limited to, the applicant's: academic record, dual credit course completion, class rank, standardized test scores , first-generation status, bilingual proficiency, extracurricular activities, community activities, region of residence, socioeconomic background, financial status of the school district, the school district's performance level on the TEA's accountability criteria, responsibilities such as employment or helping to rear children, resident of a rural or urban area or a resident of a central city or suburban area, attendance in a school under a court ordered desegregation plan, commitment to a particular field of study, personal interview, admission to a comparable accredited out-of-state institution, or any other consideration the university considers necessary to accomplish the university's stated mission

Presidential Scholars Admission Program
A high school student will be eligible for admission to Angelo State University under the Presidential Scholars Dual Credit Program when the applicant has met all admission requirements and has on file the following items:

- Dual Credit/Concurrent Enrollment Application for Admission.
- Official Transcripts of high school records.
- Dual Credit Agreement form.

Presidential Scholars Admission Requirements
To be eligible for admission to Angelo State University under the Presidential Scholars Dual Credit Program, high school students must meet one of the following admission requirements:

a. Have a "B" (3.0 or 80) overall high school average.

b. Be in the top half of class.

c. Recommended by the high school principal or high school counselor.

4. TRANSFER STUDENT ADMISSION

Students transferring from an accredited college or university will be eligible for admission to Angelo State University when they have met all admission requirements and have on file in the Office of Admissions the following items:

- Application for Admission.
- Transcripts of all college or university work.
- Current non-refundable application fee.

Transfer Student Assured Admission
Transfer students from an accredited college or university who are eligible to return to the institution most recently attended may be admitted if their cumulative grade point average on all transferable college level work attempted meets the following criteria and the other designated requirements:

Total College Level Semester Credit Hours Attempted	Minimum Cumulative Grade Point Average and Other Requirements
1-11	2.00 and meet admission criteria for regular admission for high school graduates
12 or more	2.00

a. Students who are on academic suspension at any institution attended are ineligible for admission to Angelo State University until the period and terms of the suspension have been satisfied and the above criteria have been met.

b. When calculating a transfer grade point average, grades of *A, B, C, D,* and *F* are computed as recorded. Grades of *WF* are averaged as *F*. When a course has been

repeated, the last grade stands and is used for GPA calculations. Grades in non-transferable, developmental, and some technical/vocational courses are disregarded.

c. Students meeting all admission requirements who are currently enrolled in another college or university and are unable to provide current transcripts of all previous work may appeal their admission to the Office of Admissions. It is the responsibility of the students to provide the official transcript to the Office of Admissions or be subject to forced withdrawal. Transfer courses will only be entered after receiving official transcripts.

Admission File Review of Transfer Applicants

Transfer applicants who do not meet the admission GPA (grade point average) requirement will have their records reviewed to evaluate other factors that could predict success at Angelo State University. The transfer office will review applicants holistically and present candidates to the Director of Admissions for a final admissions decision. The review will consider academic information such as the types of courses taken and the pattern of progress, as well as course work taken leading toward the major. Consideration will be given to students who have earned an associate's degree.

5. FORMER STUDENT ADMISSIONS

All former undergraduate Angelo State University students who did not attend one long semester (fall or spring) must re-apply for undergraduate admission to re-enter ASU. They must submit:

- an application for undergraduate admission
- the current non-refundable application fee

Former ASU students who have attended another college or university after leaving ASU will be considered as transfer students and must meet the transfer student requirements above. Students must submit official transcripts of all college or university course work since their last enrollment at ASU. The cumulative grade point average of all official transcripts that were not previously received in the Office of Admissions must be a minimum 2.00.

Students who leave ASU on scholastic probation may be re-admitted on scholastic probation. If a student was suspended from ASU, he or she may return on probationary status after complying with the suspension requirements.

6. TRANSIENT ADMISSIONS

Applicants who have completed college work and are working toward a degree at another college or university are eligible to be considered for transient admission. Applicants who desire to register for any term may be considered for enrollment without regard to the transfer student requirements above, but must not be on academic suspension from another institution.

Transient students are required to submit:

- An application for undergraduate admission

- The current non-refundable undergraduate application fee
- Proof of good standing with their current institution

7. POST-BACCALAUREATE ADMISSIONS

Post-baccalaureate admission is granted to students who have been awarded a bachelor's degree and do not want to obtain another undergraduate degree.

Post-baccalaureate students are required to submit:

- An application for undergraduate admission
- The current non-refundable undergraduate application fee
- Proof of baccalaureate degree

ASU undergraduate students who are in good standing and seek post-baccalaureate admission for the term directly following their graduation need to submit a Continuing Education Verification Form for admission.

8. NON-DEGREE SEEKING STUDENTS

Admission is granted to students interested in enrolling in courses pertaining to their personal interest, or those interested in receiving licensure.

Non-Degree Seeking Students are required to submit:

- Application for undergraduate admission
- Current non-refundable application fee
- High school transcript or GED (for those who did not previously attend college)
- Most recent or current college transcript

In addition:

- Applicants who have been denied admission as a degree-seeking student or who missed the deadline for submitting a degree seeking application will not be considered for enrollment as a non-degree student.
- Students who are not in good academic standing from Angelo State University or any other institution are not eligible to enter as a non-degree student.
- Acceptance in this category does not constitute acceptance to a degree granting program.
- Non-degree seeking students must adhere to the same academic rules that govern degree seeking students (i.e., application deadlines, fees, drop/add, withdrawals, grading, retention policies, etc.).
- Non-degree seeking students are limited to 24 semester credit hours for undergraduate level courses.
- Upon completion of 24 semester credit hours the student must be admitted as a degree-seeking student to continue at Angelo State University.

- Students seeking reclassification from non-degree student status to degree-seeking status must submit an application via Apply Texas.

9. ADMISSION TO A COLLEGE MAJOR

An academic college or an academic department may have admission requirements in addition to those of the university.

10. OTHER PROVISIONS AND CONDITIONS OF ADMISSION

All other provisions and conditions of admission not covered by the above admission requirements shall be established by the president of the university.

11. ADMISSION DECISION APPEALS

The policies and procedures for considering admission decision appeals shall be established by the vice president for student affairs and enrollment management, subject to approval by the president of the university. Appeal consideration factors may include, but are not limited to, the applicant's: academic record, class rank, standardized test scores, or any other consideration the university considers necessary to accomplish the university's state mission. The university's decision in all such cases shall be final.

[Major revision–posted 9/13/18 (replaces 8/27/15 edition)]

TEXAS TECH UNIVERSITY

Operating Policy and Procedure

OP 34.01: Undergraduate Admissions

DATE: September 13, 2018

PURPOSE: The purpose of this Operating Policy/Procedure (OP) is to clarify policies concerning student admissions.

REVIEW: This OP will be reviewed in August of even-numbered years by the ~~Associate~~ Vice President for Enrollment Management (~~A~~VPEM) and the Senior Vice Provost (SVP) and approved by the President.

POLICY/PROCEDURE

1. Consideration, Eligibility, and Expectations

Applicants may be considered for admission to the undergraduate divisions of Texas Tech University by graduation from ~~an accredited~~ [HJ1] high school, by transfer from an accredited college, and/or by entrance examination. The completed application, test scores, prior conduct findings, and other applicable qualifying factors constitute the basis upon which eligibility is considered. Students who meet the stated requirements may reasonably expect to be admitted. However, additional factors may be considered in determining the applicant's admission. The admission of some applicants may be deferred in order to ensure sufficient resources to serve all enrolled students effectively. To be considered for admission, applicants must be eligible to return to all prior institutions.

2. Standards and Requirements

Admission of First Time ~~Freshman~~ in College [KH2] Students

As a state-supported institution, Texas Tech University recognizes its responsibility to provide excellent educational opportunities for its residents. Since experience indicates that prospective students with poor academic records have little chance of successfully completing degrees at Texas Tech University, class rank in high school and scores obtained from the SAT, and the American College Test (ACT) are used to help predict potential academic performance. Each undergraduate applicant is required to submit the Texas Common Application form or The Common App application available online ~~or furnished by Undergraduate Admissions~~ [HJ3][HJ4] and an official high school transcript that includes the applicant's rank in the high school graduating class. If a high school transcript does not include a class rank, an equivalent rank will be calculated by Undergraduate Admissions.

Students who have not attended any institution of higher education after high school graduation are considered First Time ~~Freshmen~~ in College. Degree-seeking students must have graduated with one of the following Texas high school diplomas: ~~Advanced, Recommended,~~ Distinguished, Foundation with an Endorsement, or Foundation, or their equivalent. Official scores on the SAT or ACT are also required for United States students.

In addition, applicants must provide information on the application form regarding high school course work, honors or advanced placement, extracurricular activities, leadership experiences, proposed field of study, civic or other service activities, and any other information they wish to provide such as socioeconomic background, family educational background, bilingual proficiency, diversity of experiences,* and other information that may be beneficial to the Admissions Committee. Applicants who do not meet the assured admission criteria will have their records reviewed in order to assess the impact of these other factors on their potential for success.

3. **Assured Admission**

Students who graduate from ~~an accredited~~ high school may ~~with the Advanced, Recommended, or Distinguished level of achievement or the Foundation High School Program will~~ be assured admission if they present the combination rank in class and minimum test scores indicated below.

High School Class Rank	Minimum Test Scores for Assured Admission	
	ACT	SAT
Top Ten Percent	No Minimum	
First Quarter (other than top 10%)	24	1180
Second Quarter	26	~~1260~~1240
Third Quarter	27	~~1290~~1280
Fourth Quarter	All applications will be reviewed.	

Students graduating in the top 10 percent of their high school class will be assured admission by completing:

- Distinguished level of achievement under the F~~f~~oundation Program; or
- ~~Recommended; or~~
- ~~Advanced; or~~
- Satisfied ACT's College Readiness Benchmarks; or
- Earned a score of 1500 out of the 2400 possible points on the legacy SAT assessment administered prior to March 2016, or earn a minimum of 480 points on the Evidence-Based Reading and Writing and a minimum score of 530 points on the Math portion of the SAT administered on or after March 5, 2016.

Students graduating in the remaining class ranks will be assured admission according to the minimum test score standards above and by completing:

- One of the following accredited Texas high school diplomas: ~~Advanced, Recommended,~~ Distinguished, Foundation with an Endorsement, or Foundation, or their equivalent; or
- Satisfied ACT's College Readiness Benchmarks; or
- Earned a score of 1500 out of the 2400 possible points on the legacy SAT assessment administered prior to March 2016; or earn a minimum of 480 points on the Evidence-Based Reading and Writing and a minimum score of 530 on the Math portion of the SAT administered on or after March 5, 2016.

* Diversity of experiences may include, but is not limited to, study abroad, knowledge of other cultures, proficiency in other languages, and experience with college preparatory programs.

Non-Texas resident students and students who present a transcript without a diploma type from the Foundation plan ~~or students not graduating from a high school in Texas~~ must successfully complete a curriculum from a high school ~~other than a Texas public school~~ that is equivalent in content and rigor to the ~~Advanced, Recommended,~~ Distinguished, Foundation with an Endorsement, or the Foundation High School Diploma. For these students, as well as ~~For~~ private and home-schooled students, an official from the high school must submit, on behalf of the student, the *Texas Private High School Certification* form (also referred to as the Diploma Verification Form) published by and made available on the Texas Higher Education Coordinating Board website and found on the Texas Tech website at http://www.depts.ttu.edu/admissions/DownloadableForms/DiplomaVerification.pdf. No form is required if student meets:

- ACT's College Readiness Benchmarks; or
- Earned a score of 1500 out of the 2400 possible points on the legacy SAT assessment administered prior to March 2016; or earn a minimum of 480 points on the Evidence-Based Reading and Writing and a minimum score of 530 on the Match portion of the SAT administered on or after March 5, 2016.

4. **Admission Review of ~~Freshman~~ ~~Freshmen~~[HJ5]First Time in College Applicants**

Applicants who do not meet the assured admission criteria will have their records reviewed in order to evaluate other factors that could predict success at Texas Tech University. A committee will review applicants individually in a holistic manner. Additional information must be included on the application, such as high school course work, honors or advanced placement, extracurricular activities, leadership experiences, proposed field of study, civic or other service activities, and any other information they wish to provide such as socioeconomic background, family educational background, bilingual proficiency, diversity of experiences, and other information that may be beneficial to the Admissions Committee.

In order to ensure that Texas Tech University may adequately serve the number of students admitted, some students considered for admission by review may be notified that they have been placed on a waitlist ~~provisionally admitted to the university~~ for the upcoming term.

5. **Admission to a College Major**

All new students will be admitted to the university and then to a college and major. Texas Tech University may assign a major if the applicant does not meet the qualifications for a chosen major. A college or major may have admission requirements in addition to the university requirements. These requirements must be approved by the Vice President for Enrollment Management (~~A~~VPEM). Any changes should be approved in time to comply with Texas Education Code, Section 51.805(d), which requires factors considered in admission policies to be published in the catalog and otherwise available to the public one year before any applications are reviewed under the new admission standards.

When a college or major requests a change in its admission requirements, the request will be evaluated by a committee consisting of the ~~A~~VPEM, the Executive Director of Undergraduate Admissions, and the Senior Vice Provost. The committee will make a recommendation to the Provost and ~~Senior Vice~~ Senior Vice [KH6]President.

The request should include, but not be limited to, justification for the request, impact on overall university enrollment, other colleges/departments, and diversity, and accreditation issues.

6. **Special Admission**

Admissions will consider applicants after the deadline and through the fourth class day of a long semester and through the second class day of a short semester, when it has been determined to be in the best interest of the university. Applicants admitted after these dates must have approval from the President or President's designee.

General Equivalency Diploma (GED) Admissions

Individuals who are not high school graduates but who have submitted evidence of a high school equivalency diploma from the Texas Education Agency (or equivalent agency in other states) may be eligible for admission to Texas Tech University when they have submitted all of the following items to the Office of Undergraduate Admissions:

- Application for Admission;
- Current Application Fee;
- General Equivalency test results;
- Partial High School transcript; and
- Scores on the ACT or SAT (scores cannot be more than five years old); and

These applicants must meet one of the following admission requirements:

- Satisfy ACT's College Readiness Benchmarks on the ACT assessment; or
- Earned a score of 1500 points out of the 2400 possible points on the legacy SAT assessment administered prior to March 2016; or earn a minimum score of 480 points on the Evidence-Based Reading and Writing portion and a minimum score of 530 points on the Math portion of the SAT administered on or after March 5, 2016.

7. **Admission of Transfer Students**

A t~~T~~ransfer student is considered to be someone who has attended an institution of higher education after high school graduation or has credit on a Joint Services Transcript. Each undergraduate applicant is required to submit the Texas Common Application form or The Common App application available online ~~or furnished by Undergraduate Admissions~~. We require official transcripts from every college you have attended. Students must submit high school transcripts and ACT/SAT scores for acceptance if they have completed less than 12 transferable hours after graduating from high school. Transfer applicants may be admitted to the university in one of the following three ways.

a. Transfer of 24 or more hours from an accredited institution with a minimum grade point average of 2.25 and eligibility to return to the institution most recently attended.

b. Transfer of 12 to 23 hours, including at least 12 hours of required basic courses, from an accredited institution with a minimum grade point average of 2.50 and eligibility to return to the institution most recently attended.

c. If transferring fewer than 12 hours, meet the same standards for admission as required of new freshmen entering from high school and have a minimum 2.00 cumulative grade point average in work completed and eligibility to return to the institution most recently attended.

d. Individuals transferring with military or workforce credit with no grade point average on the credit to be transferred will be reviewed for admission using criteria listed below.

8. **Admission Review of Transfer Applicants**

Transfer applicants who do not meet the admission GPA requirements but who have a minimum GPA of 2.0 on transferable coursework will have their records reviewed in order to evaluate other factors that could predict success at Texas Tech University. A committee will review applicants holistically. Academic information such as the types of courses taken and the pattern of progress, as well as course work taken leading toward major, the student-submitted essay explaining her/his decision to transfer to Texas Tech University, reasons for past academic performance and plans to ensure future academic success, and extracurricular activities or employment information will be used to evaluate the applicant.

Students who do not meet Assured Admission but have a minimum of 2.0 GPA with work in progress may be considered for conditional admission. The offer of admission may be rescinded if the GPA on the final transcript falls below 2.0.

9. Admission of individuals not holding US citizenship: Which application should be used
The following individuals should complete a domestic application to Texas Tech University:
- U. S. Citizens
- Permanent Residents
- Individuals who have a pending application for Permanent Residency
- Undocumented immigrants
- Individuals with DACA status
- Individuals meeting all of the following criteria:
  - Have graduated or will graduate from a public or accredited private high school/secondary school in Texas or received the equivalent of a high school/secondary school diploma in the state, AND
  - Lived in Texas for the 36 months immediately preceding the date of high school graduation, AND
  - Lived in Texas for the 12 months preceding the census date of the academic semester in which the student enrolls at Texas Tech University.

Individuals who do not meet any of the criteria above should complete an International application to Texas Tech University.

~~**Admission of International Students**~~[HJ7]

~~9. The admission of international undergraduate students mirrors that of the university's standards and requirements with the addition of the following:~~

~~a. Graduates of foreign secondary schools who have completed the equivalent of at least an American high school diploma may apply for admission to Texas Tech University.~~

~~A nonrefundable international student application fee is required and no fee waivers are accepted. Students with permanent resident status may use the United States freshman or transfer application and are required to pay the United States resident undergraduate application fee.~~

~~International students not living in the United States are encouraged to apply a year in advance to allow for immigration processing. International applicants must prove their ability to support themselves financially in order to complete this process.~~

- International students who attended secondary school outside of the United States are not required to submit SAT or ACT scores; however, students are highly encouraged to do so. Though not required for admission into all programs, these scores can be considered for proof of English proficiency and merit scholarships.

- International applicants with foreign academic credentials must provide attested/certified records in the original language with a literal word-for-word English translation. If official English translations are not supplied by the applicant's institution(s), the applicant must provide a translation done by an American Translators Association-certified translator. A list of ATA-certified translators is available online at www.atanet.org/onlinedirectories.

- All international applicants must provide proof of English proficiency before applications can be considered for admission. International students can demonstrate English proficiency through exams, secondary and post-secondary course work, certificates, and waivers. Official documentation of scores must be sent to TTU directly from the testing agency.

Texas Tech University will accept any of the following as proof of English proficiency:

Exams:

- TOEFL (Test of English as a Foreign Language; TOEFL)
  - The minimum TOEFL score required to show proof of English Proficiency is 550 (paper-based version) or 79 (internet-based version). The TTU institutional code is B100.
- IELTS (International English Language Testing Service; IELTS)
  - The minimum IELTS required score is an overall band score of 6.5 on the Academic version; IELTS General Training results are not acceptable.
- SAT (Scholastic Aptitude Test; SAT)
  - The minimum Evidence-based Reading and Writing score required to show proof of English Proficiency is 500. The TTU institutional code is 6859.
- ACT (American College Testing Program; ACT)
  - The minimum English score required to show proof of English Proficiency is 21. The TTU institutional code is 4220.
- PTE Academic (Pearson Test of English Academic; PTE Academic)
  - The minimum required PTE Academic score is 60. PTE General and PTE Young Learners results are not acceptable.
- Cambridge CPE (Cambridge Certificate of Proficiency in English; CPE)
  - The minimum required Cambridge CPE grade is C.
- Cambridge CAE (Cambridge Certificate of Advanced English; CAE)

- The minimum required Cambridge CAE grade is B.

- Duolingo English Test (Online examination)

  - The minimum required Duolingo score is 100.

High school/secondary school or College Course Work:

- Attend two consecutive years of high school/secondary school in the United States.
- Attend two consecutive years in a high school/secondary school with U.S. accreditation or attend high school/secondary school within an English proficiency exempt country. See a list of exempt countries below.
- Achieve a score of 4 (grade of C) or higher in English on the IB diploma.
- Achieve a grade C or better in English on the A-Level GCE. This cannot be English as a second language (ESL courses), English literature, etc.
- Completion of the equivalent of TTU's ENG 1301 + 1302 English courses with a grade of B or better at an institution with U.S. accreditation or an institution within English proficiency exempt country- where the language of instruction was primarily English. This can include a literature, composition, speech, or English class. These cannot be remedial or ESL courses. See a list of exempt countries below.
- Complete 30 transferrable credit hours at an institution with U.S. accreditation or an institution within an English proficiency exempt country- where the language of instruction was primarily English. Remedial or ESL courses do not count towards the total credit hours. See a list of exempt countries below.

Certificates and Other:

- An ELS Educational Services (https://www.els.edu/UniversityAdmissions) official transcript and certificate showing successful completion of ELS' English for Academic Purposes program level 112 as proof of English proficiency.
- Completion of any CEA accredited English program, or the equivalent thereof, as proof of English proficiency. International Undergraduate Admissions (IUA) requires the official transcript and certificate showing successful completion of the CEA accredited English program or equivalent. A list of CEA accredited programs can be found at https://cea-accredit.org/accredited-sites.

English Proficiency Waivers. The English proficiency requirement is waived only for the following reasons:

- Applicants who are citizens of an English proficiency-exempt country,
- Applicants who have attended two consecutive years in a high school/secondary school with U.S. accreditation or attended high school/secondary school within an English proficiency exempt country. See a list of exempt countries below,

- Applicants who have completed 30 transferrable credit hours at an institution with U.S. accreditation or an institution within an exempt country- where the language of instruction was primarily English.

Texas Tech University considers the following countries to have English as their official language:

- American Samoa
- Anguilla
- Antigua and Barbuda
- Australia
- Bahamas
- Barbados
- Belize
- Bermuda
- Canada (except the Province of Quebec)
- Cayman Islands
- Dominica
- Falkland Islands (Islas Malvinas)
- Ghana
- Gibraltar
- Grenada
- Guam
- Guyana
- Ireland, Republic of
- Jamaica
- Liberia
- Micronesia Islands, Federated States of
- Montserrat
- New Zealand
- Nigeria
- Saint Kitts and Nevis
- Saint Lucia
- Saint Helena
- South Africa
- St. Vincent and the Grenadines
- Trinidad and Tobago
- Turks and Caicos Islands
- United Kingdom (England, Scotland, Northern Ireland, and Wales)
- United States
- Virgin Islands
- Zimbabwe

b. Texas Tech University will accept copies of foreign academic credentials for evaluation purposes only. International applicants are required to provide official documentation prior to matriculation to the university. Official transcripts from all institutions attended, secondary/state exit exams, and English proficiency test scores must be sent to TTU directly from the institution and the testing agency, respectively. The admission decision could be rescinded if the applicant fails to submit official documentation prior to matriculation to the university.Applicants with foreign academic credentials must provide academic records in the original language with a literal word-for-word English translation. Applicants who have

~~attended school outside the United States must provide official results of secondary external examinations (e.g., GCE "Ordinary" level exams) on examination board letterhead, certificates of completion of a state secondary school examination, and official transcripts from any university level studies already completed in the United States or elsewhere. Failure to provide complete information regarding post secondary level study could result in cancellation of admission. Students who have completed secondary school in the United States also must take and submit scores from the SAT, or ACT. Texas Tech University will accept copies of foreign academic credentials for evaluation purposes only, and will require the student to submit official/original academic credentials for review upon matriculation at Texas Tech University.~~

~~c. Students whose native language is not English also must present a score of at least 550 (paper exam) or 79 (Internet based exam) on the *Test of English as a Foreign Language* (TOEFL); a 6.5 overall band score on the *International English Language Testing System* (IELTS); or, a recommendation from a recognized intensive English program official that indicates readiness for a four year university study. The TOEFL requirement may be waived if the student has attended a U.S. high school or college for at least two years or if the student is a citizen in a country where English is the native language. Countries considered by Texas Tech University to have English as the native language include: Australia, Canada (except the province of Quebec), Commonwealth Caribbean countries (Anguilla, Antigua, the Bahamas, Barbados, Belize, British Virgin Islands, Bermuda, Cayman Islands, Dominica, Grenada, Guyana, Jamaica, Montserrat, St. Kitts and Nevis, St. Lucia, St. Vincent, Trinidad and Tobago, and Turks and Caicos Islands), Ireland, Liberia, New Zealand, United Kingdom (England, Northern Ireland, Scotland, Wales), and the United States. Information concerning the TOEFL may be obtained from Educational Testing Service, P.O. Box 899, Princeton, New Jersey 08540, U.S.A.~~

~~d. Conditional admission for international students may be considered for undergraduate students sponsored by a known and responsible organization, institution, corporation, or government, who would be eligible for admission to Texas Tech University, except for a deficiency in English language skills as determined in section c. above. Applicants for conditional admissions must be reviewed and approved by the Office of International Affairs. While in this status, students will be enrolled in a recognized and accredited Intensive English Program. Upon successful completion of the Intensive English Program and meeting the language requirements for admission to the specific program for which they are applying, students may then transfer into the appropriate department and college at Texas Tech University.~~

~~e. International students not living in the United States are encouraged to apply a year in advance. International students are required to verify their ability to support themselves financially.~~

~~f. A nonrefundable international student application fee is required. Students with permanent resident status may use the United States freshman or transfer application and are required to pay the United States resident undergraduate application fee.~~

10. **Admission of Students with Competition Scholarships**

a. A competition scholarship is defined as an award granted by a college or department that is based upon non-academic performance criteria as determined by the awarding college or department. Examples include, but are not limited to, the following:

(1) Performing Arts
(2) Athletics
(3) Agricultural Competition

b. Undergraduate Admissions will ~~be provided with~~ receive ~~(receive)~~ ~~request~~ a list of students who have been awarded a competition scholarship from colleges and departments of the university, and enroll these students as special admissions in accordance with the official university catalog and schedule. This documentation must indicate the ~~annual~~ annual scholarship amount(s) awarded to each student.

~~c. Colleges and/or departments must send to Undergraduate Admissions documentation of students who receive competition scholarships by the priority processing date of May 15 for the fall semester and October 15 for the spring semester. This documentation must indicate the scholarship amount(s) awarded to each student.~~

~~d. Any competition scholarship awards made after the above dates must have dean (or equivalent) approval prior to Undergraduate Admissions beginning special admission procedures.~~

11. **Provisional Admission for Freshmen**

a. ~~Freshman~~ ~~Freshmen~~First Time in College applicants not admitted through the university's standard admission and[HJ8] review process may be considered for admission through the provisional admission program.

b. Students may satisfy their provisional admission requirement in one of ~~two~~ the following ways:

(1) Participate in the Summer Gateway Program by enrolling in and completing six hours of transferable coursework in a single summer term with a minimum 2.5 GPA at an accredited community college. Following successful completion of the requirements, a student will be admitted to Texas Tech University.

~~(1)~~(2) Apply and be accepted to the Tech Transfer Acceleration Program (TTAP), a partnership with South Plains College where students enroll for 12 credit hours plus a one credit hour transfer seminar at Texas Tech University. The South Plains College courses are taught on the Texas Tech University campus. At the conclusion of this semester-long program, students who have successfully completed the following requirements will be fully admitted to Texas Tech University.

A successful TTAP student must: ~~If TTAP coursework is completed during a long term (fall or spring), then the student must:~~

- compete all 13 credit hours;
- earn a 2.5 grade point average on courses completed while in TTAP; and
- have a cumulative 2.0 or higher grade point average that includes all previous transfer work (where applicable).

~~(2)~~(3) Apply and be accepted to the ~~Texas~~ [HJ9] Texan to Red Raider Program, a partnership with South Plains College where students enroll in a minimum of 12 credit hours each fall and spring semester, taking 3-5 credit hours at TTU, and the remainder at South Plains College. Students who successfully complete with a minimum of 51 hours in the program and have an overall GPA that meets the requirement for admission to

their chosen major and college at TTU will be accepted into the degree program.

~~If TTAP is completed during a short term (summer), then the student must:~~

- ~~complete all seven credit hours;~~
- ~~earn a 2.5 grade point average in courses completed while in TTAP; and~~
- ~~have a cumulative 2.0 or higher grade point average that includes all previous transfer work (where applicable).~~

~~(3) Participate in the Summer Gateway Program by enrolling in and completing six hours of transferable coursework in a single summer term with a minimum 2.5 GPA at an accredited community college. Following successful completion of the requirements, a student will be admitted to Texas Tech University.~~

12. **Exchange Students**

Reciprocal exchange students are admitted to Texas Tech University on the basis of a contract signed with the international partner university. The Office of International Affairs (OIA) oversees and implements all such agreements. Working with other offices on campus, OIA coordinates admission of the students and, working within the framework of the contract and with the partner institution, advises and enrolls these students. These non-degree seeking students will be admitted via OIA under the designation Office of the Provost – Non-Degree – University Exchange Reciprocal (UN-NDUG-UNXR).

OIA is also responsible to oversee issues of balance in its reciprocal exchange agreements. According to the contract, if the exchange is out of balance (TTU's partner institution has sent more students than have been sent abroad), Texas Tech will allow students from the partner institution to attend at Texas Tech as a specific type of exchange student. These students will come to Texas Tech under an international contract that allows them attend as full fee-paying, non-degree seeking students.
Accordingly, they will be admitted through the standard university admissions process into the designation Office of the Provost – Non-Degree – University Exchange Non-Reciprocal (UN-NDUG- UNXS). Their advising and enrollment will also be provided by OIA.

13. **Transient Students**

Transient students are students who are not seeking a degree from Texas Tech University but wish to take classes from Texas Tech University. Transient students may apply using the transient student application form of the ApplyTexas application and are required to submit an official transcript from and be eligible to return to their most recently attended institution. Official transcripts from other institutions attended are required if they show completion of courses needed as pre-requisite classes. Qualifying students will be admitted under the Office of the Provost to the curriculum code UN- NDUG-UNVS and will be advised by University Advising. Examples of students considered transient include:

~~a. High School students wishing to enroll for dual credit courses (students are subject to applicable TSI rules);~~

~~b.~~a. Students who have already been awarded a baccalaureate degree and who do not wish to pursue another undergraduate degree;

~~c.~~b. Students who attend another college or university as full-time students in good standing and

who intend to return to that college; and

~~d.~~c. Working professionals in the community who may not have a baccalaureate degree but who wish to improve their skills.

Transient students are not eligible for financial aid as funds are only available for degree-seeking students.

Unless student exchange agreements between Texas Tech University and other institutions contain different provisions regarding credit hours and reapplication, admission as a transient student is valid for one full academic year, ~~provided the student is attending continuously~~. Once a student completes a full academic year~~, or if a student sits out for a long semester or summer term~~, the student will be required to reapply and pay the application fee. Fee waivers are not accepted~~r~~ [HJ10] for transient students unless they qualify under faculty/staff/dependent status.

At the discretion of the assigned academic advisor, transient students may be allowed to take a full load of courses while enrolled at Texas Tech. Students taking courses at an international location of Texas Tech University will be allowed to enroll in a maximum of 18 hours per term.

Transient students who decide to pursue a degree from Texas Tech University must apply as a degree-seeking student and meet all application admission criteria, including the payment of an application fee and the provision of all official transcripts from all previously enrolled institutions. There is no guarantee that courses taken as a transient student will apply toward a degree.

14. **Students Applying to Attend While Still in High School** [HJ11]

Texas Tech offers a variety of opportunities for high school students who would like to earn college credit. Examples of these programs include:

- The Compass Program through the Honors College
- Estacado Early College High School (Lubbock, TX)
- OnRamps
- Dual credit offered through TTUISD
- ~~Dual credit offered through Estacado High School (Lubbock, TX)~~
- ~~Other high school students seeking college credit and not included in other specific programs*~~

~~There is a dedicated High School application found on the Texas Tech website for these individuals, and requirements for each specific program are found on the applications.~~
~~** Individuals not included in a specific population must provide the application, current application fee, official high school transcript, ACT or SAT test results, and registration form containing parent/counselor permission.*~~

15. **Readmission of Former Students**

Students who were previously enrolled at Texas Tech University will find application materials and deadlines at www.gototexastech.com. Official transcripts from all institutions attended subsequent to Texas Tech University enrollment must be submitted prior to the application deadline. A minimum 2.0 GPA on coursework taken since leaving Texas Tech University is required for consideration for readmission. Students seeking to return to Texas Tech University

should refer to the admissions criteria listed in the Undergraduate Academic Status section of the Texas Tech University
Undergraduate/Graduate Catalog.

16. **Admission of International Students**

The admission of international undergraduate students mirrors that of the university's standards and requirements with the addition of the following:

a. Graduates of foreign secondary schools who have completed the equivalent of at least an American high school diploma may apply for admission to Texas Tech University.

b. A nonrefundable international student application fee is required and no fee waivers are accepted. Students with permanent resident status may use the United States freshman or transfer application and are required to pay the United States resident undergraduate application fee.

c. International students not living in the United States are encouraged to apply a year in advance to allow for immigration processing. International applicants must prove their ability to support themselves financially in order to complete this process.

d. International students who attended secondary school outside of the United States are not required to submit SAT or ACT scores; however, students are highly encouraged to do so. Though not required for admission into all programs, these scores can be considered for proof of English proficiency and merit scholarships.

e. International applicants with foreign academic credentials must provide attested/certified records in the original language with a literal word-for-word English translation. If official English translations are not supplied by the applicant's institution(s), the applicant must provide a translation done by an American Translators Association-certified translator. A list of ATA-certified translators is available online at www.atanet.org/onlinedirectories.

f. All international applicants must provide proof of English proficiency before applications can be considered for admission. International students can demonstrate English proficiency through exams, secondary and post-secondary course work, certificates, and waivers. Official documentation of scores must be sent to TTU directly from the testing agency.

Texas Tech University will accept any of the following as proof of English proficiency:

Exams:

- TOEFL (Test of English as a Foreign Language; TOEFL)
    - The minimum TOEFL score required to show proof of English Proficiency is 550 (paper-based version) or 79 (internet-based version). The TTU institutional code is B100.
- IELTS (International English Language Testing Service; IELTS)
    - The minimum IELTS required score is an overall band score of 6.5 on the Academic version; IELTS General Training results are not acceptable.

- SAT (Scholastic Aptitude Test; SAT)
    - The minimum Evidence-based Reading and Writing score required to show proof of English Proficiency is 500. The TTU institutional code is 6859.
- ACT (American College Testing Program; ACT)
    - The minimum English score required to show proof of English Proficiency is 21. The TTU institutional code is 4220.
- PTE Academic (Pearson Test of English Academic; PTE Academic)
    - The minimum required PTE Academic score is 60. PTE General and PTE Young Learners results are not acceptable.
- Cambridge CPE (Cambridge Certificate of Proficiency in English; CPE)
    - The minimum required Cambridge CPE grade is C.
- Cambridge CAE (Cambridge Certificate of Advanced English; CAE)
    - The minimum required Cambridge CAE grade is B.
- Duolingo English Test (Online examination)
    - The minimum required Duolingo score is 100.

High school/secondary school or College Course Work:

- Attend two consecutive years of high school/secondary school in the United States.
- Attend two consecutive years in a high school/secondary school with U.S. accreditation or attend high school/secondary school within an English proficiency exempt country. See a list of exempt countries below.
- Achieve a score of 4 (grade of C) or higher in English on the IB diploma.
- Achieve a grade C or better in English on the A-Level GCE. This cannot be English as a second language (ESL courses), English literature, etc.
- Completion of the equivalent of TTU's ENG 1301 + 1302 English courses with a grade of B or better at an institution with U.S. accreditation or an institution within English proficiency exempt country- where the language of instruction was primarily English. This can include a literature, composition, speech, or English class. These cannot be remedial or ESL courses. See a list of exempt countries below.
- Complete 30 transferrable credit hours at an institution with U.S. accreditation or an institution within an English proficiency exempt country- where the language of instruction was primarily English. Remedial or ESL courses do not count towards the total credit hours. See a list of exempt countries below.

Certificates and Other:

- An ELS Educational Services (https://www.els.edu/UniversityAdmissions) official transcript and certificate showing successful completion of ELS' English for Academic Purposes program level 112 as proof of English proficiency.
- Completion of any CEA accredited English program, or the equivalent thereof, as proof of English proficiency. International Undergraduate Admissions (IUA) requires the official transcript and certificate showing successful completion of the CEA accredited English program or equivalent. A list of CEA accredited programs can be found at https://cea-accredit.org/accredited-sites.

English Proficiency Waivers. The English proficiency requirement is waived only for the following reasons:

- Applicants who are citizens of an English proficiency-exempt country,
- Applicants who have attended two consecutive years in a high school/secondary school with U.S. accreditation or attended high school/secondary school within an English proficiency exempt country. See a list of exempt countries below,
- Applicants who have completed 30 transferrable credit hours at an institution with U.S. accreditation or an institution within an exempt country- where the language of instruction was primarily English.

Texas Tech University considers the following countries to have English as their official language:

- American Samoa
- Anguilla
- Antigua and Barbuda
- Australia
- Bahamas
- Barbados
- Belize
- Bermuda
- Canada (except the Province of Quebec)
- Cayman Islands
- Dominica
- Falkland Islands (Islas Malvinas)
- Ghana
- Gibraltar
- Grenada
- Guam
- Guyana
- Ireland, Republic of
- Jamaica
- Liberia
- Micronesia Islands, Federated States of
- Montserrat
- New Zealand
- Nigeria

- Saint Kitts and Nevis
- Saint Lucia
- Saint Helena
- South Africa
- St. Vincent and the Grenadines
- Trinidad and Tobago
- Turks and Caicos Islands
- United Kingdom (England, Scotland, Northern Ireland, and Wales)
- United States
- Virgin Islands
- Zimbabwe

Texas Tech University will accept copies of foreign academic credentials for evaluation purposes only. International applicants are required to provide official documentation prior to matriculation to the university. Official transcripts from all institutions attended, secondary/state exit exams, and English proficiency test scores must be sent to TTU directly from the institution and the testing agency, respectively. The admission decision could be rescinded if the applicant fails to submit official documentation prior to matriculation to the university.

17. **Application process for former students who are International:**
    - If student wishes to return within the first two terms after leaving, they should complete the Former Tech application on the Undergraduate Admissions website.
    - If student wishes to return after sitting out for more than two long semesters, they should contact the Office of International Admissions for information on returning.

Chapter 05 -- Student Affairs

05.01 **General**

05.01.1 **Component institutions.** Each component institution shall publish and maintain as a part of its operating manuals or student handbooks such policies and procedures as are necessary to guide the activities of their students.

05.01.2 **Board policies and procedures approval.** Prior to being effective as a part of each component institution's operating manuals or student handbooks, policies, procedures, rules, and regulations relating to codes of student conduct, admission requirements, parking fees, student fees, rules related to suspension, and retention of students, and any other policy or procedure requiring board approval as required by law must be approved by the board.

05.01.3 **Other policies and procedures approval.** The chancellor shall delegate to the president of each component institution the responsibility to establish such other policies and procedures relating to student affairs as are necessary for the efficient operation of each institution. The president of each component institution , on the advice of the general counsel or component institution's senior student affairs officer, and as recommended by the Chancellor, may require any of these policies and procedures relating to student affairs to be approved by the board before becoming effective as a part of an institution's operating manuals or student handbooks.

05.02 **Admission.**

05.02.1 **Role of the board in admissions.** A role and responsibility assigned by law to the board is to set campus admission standards consistent with the role and mission of the institution. This responsibility of setting admission standards is delegated to the chancellor, or the chancellor's designee.

05.02.2 **Admission policies.** Component institutions shall include admissions policies in their operating manuals or student handbooks. To ensure system excellence, the board shall promote enhanced recruitment strategies and the resource allocation necessary to ensure admission of a quality student body. Each component institution shall periodically present an admissions report to the board.

05.03 **Degree requirements.** The board delegates approval of degree requirements and conferral of degrees to the president of each component institution.

05.04 **Financial aid programs.** An objective of the board is to ensure that each component institution's student financial aid programs provide assistance to students, who, without such assistance, may not be able to pursue higher education. Financial assistance at the component institutions may include, but is not limited to, loans, scholarships, grants, and employment. No student or prospective student shall be excluded from participation in or denied the benefits of any financial aid program at the component institution on the grounds of race, color, national origin, religion, or sex, and awards from financial aid programs funded by the federal and state government will be administered according to the current laws and guidelines governing these programs.

05.05 **Appeals related to student matters.** The board shall not serve as a hearing or appellate body for appeals of individual decisions relating to admission, academic progress, disciplinary measures, dismissal, or other such matters pertaining to prospective, current, or former students. Individual appeals of such decisions are to be addressed through the procedures applicable to the respective component institution, and a decision at the final level of review within the institution shall constitute final action on the appeal.

Angelo State University

Building Replacement Estimate Report - FY2020

November 25, 2020

Summary by Period and Category						
Period	Critical	Deferred	Planned	Adaption		Total
Budgeted - Current Year	$ -	$ -	$ 3,119,500	$ 2,914,420	$	6,033,920
Expenditures - Previous Year	$ -	$ 1,665,476	$ 1,018,081	$ 1,664,994	$	4,348,551
Projected - Years 2 through 5	$ -	$ -	$ 4,559,000	$ 8,280,000	$	12,839,000
Unbudgeted - Current Year	$ -	$ 40,000	$ -	$ -	$	40,000
Total	$ -	$ 1,705,476	$ 8,696,581	$ 12,859,414	$	23,261,471

Summary by Type and Category						
Maintenance Type						
Architectural	$ -	$ 1,558,326	$ 2,747,827	$ 7,124,554	$	11,430,707
HVAC	$ -	$ 97,150	$ 470,000	$ 265,873	$	833,023
Plumbing and Electrical	$ -	$ 50,000	$ 2,182,677	$ 853,987	$	3,086,664
Safety	$ -	$ -	$ 870,000	$ 240,000	$	1,110,000
Legal and Mandatory	$ -	$ -	$ -	$ 25,000	$	25,000
Other	$ -	$ -	$ 2,426,077	$ 4,350,000	$	6,776,077
Total	$ -	$ 1,705,476	$ 8,696,581	$ 12,859,414	$	23,261,471

Top Five Priority Projects					
Priority	Name	Period	Category	Type	Amount
1	PORTER HENDERSON LIBRARY Interior Renovation Ph. 2	Projected - Y2	Facility Adaption	Architectural	$ 750,000
2	PORTER HENDERSON LIBRARY Interior Renovation Ph. 1	Budgeted - CY	Facility Adaption	Architectural	$ 500,000
3	SOL MAYER ADMINISTRATION BUILDING Roof Replacement	Budgeted - CY	Preventative Maintenance	Architectural	$ 260,000
4	TEXAN HALL HVAC and Plumbing Refresh Ph. 2	Budgeted - CY	Preventative Maintenance	HVAC	$ 210,000
5	HOUSTON HARTE UNIVERSITY CENTER Roof Replacement Ph. 4	Budgeted - CY	Preventative Maintenance	Architectural	$ 250,000

E&G Deferred Maintenance	$	237,856
Non-E&G Deferred Maintenance	$	1,467,620
Total Deferred Maintenance	$	1,705,476

	Fall 2019 Amount	DM Index Value
Educational and General Building Replacement Estimate Value (EGBREV)	$ 384,128,555	0.0619%
Institution-Wide Building Replacement Estimate Value (IWBREV)	$ 1,189,086,169	0.1434%

Texas Tech University
Building Replacement Estimate Report - FY2020
November 19, 2020

Summary by Period and Category

Period	Critical	Deferred	Planned	Adaptation	Total
Budgeted - Current Year	$ -	$ 7,951,035	$ 15,727,803	$ 67,756,402	$ 91,435,240
Previous Expenditures	$ -	$ 2,945,158	$ 6,464,745	$ 9,994,157	$ 19,404,060
Projected - Years 2 Through 5	$ -	$ 1,750,000	$ 17,455,000	$ -	$ 19,205,000
Unbudgeted - Current Year	$ -	$ -	$ -	$ -	$ -
Total	$ -	$ 12,646,193	$ 39,647,548	$ 77,750,559	$ 130,044,300

Summary by Type and Category

Maintenance Type	Critical	Deferred	Planned	Adaptation	Total
Architectural	$ -	$ 6,650,118	$ 9,778,944	$ 60,423,655	$ 76,852,717
HVAC	$ -	$ 3,716,635	$ 15,273,517	$ 8,802,522	$ 27,792,674
Plumbing and Electrical	$ -	$ 1,842,040	$ 5,810,580	$ 3,294,489	$ 10,947,109
Safety	$ -	$ -	$ 2,498,172	$ 2,634,190	$ 5,132,362
Legal and Mandatory	$ -	$ 162,400	$ 2,678,665	$ 1,350,018	$ 4,191,083
Other	$ -	$ 275,000	$ 3,607,670	$ 1,245,685	$ 5,128,355
Total	$ -	$ 12,646,193	$ 39,647,548	$ 77,750,559	$ 130,044,300

Top Five Priority Projects

Priority	Project Name	Period	Category	Type	Amount
1	Museum - Replace 2 Cooling Towers	Budgeted - Current Year	Deferred Maintenance	HVAC	$ 600,000
2	Exterior Utilities - Replace Domestic Water Line from Holden to University Ave. Ph1	Budgeted - Current Year	Deferred Maintenance	Plumbing and Electrical	$ 100,000
3	Architecture - Replace Elevator Controls and Finishes - Elevator 1 (#422)	Budgeted - Current Year	Planned Maintenance	Other	$ 250,000
4	Engineering Center - REPLACE 3RD FLOOR ROOF	Budgeted - Current Year	Deferred Maintenance	Architectural	$ 350,000
5	Architecture - 2014 Audit:1129 - Paint Exterior Handrails & Make Structural Repairs	Budgeted - Current Year	Planned Maintenance	Architectural	$ 50,000

E&G Deferred Maintenance	$ 5,279,075
Non-E&G Deferred Maintenance	$ 4,421,960
Total Deferred Maintenance	**$ 9,701,035**

	Fall 2019 Amount	DM Index Value
Educational and General Building Replacement Estimate Value (EGBREV)	$ 2,161,614,066	0.2442%
Institution-Wide Building Replacement Estimate Value (IWBREV)	$ 5,618,095,790	0.1727%

Texas Tech University Health Sciences Center
Building Replacement Estimate Report - FY2020
November 22, 2020

Summary by Period and Category						
	Critical	Deferred	Planned	Adaptation		Total
Budgeted - Current Year	$ -	$ 1,500,000	$ 5,002,141	$ 1,016,800	$	7,518,941
Expenditures - Previous Year	$ -	$ 1,645,417	$ 6,646,482	$ 3,090,163	$	11,382,062
Projected - Years 2 through 5	$ -	$ -	$ 24,014,610	$ 1,275,000	$	25,289,610
Unbudgeted - Current Year	$ -	$ -	$ -	$ -	$	-
Total	$ -	$ 3,145,417	$ 35,663,232	$ 5,381,963	$	44,190,613

Summary by Type and Category						
Maintenance Type	Critical	Deferred	Planned	Adaptation		Total
Architectural	$ -	$ 792,293	$ 8,828,971	$ 4,706,534	$	14,327,798
HVAC	$ -	$ 2,321,494	$ 16,504,879	$ 24,135	$	18,850,508
Plumbing and Electrical	$ -	$ 12,630	$ 5,194,302	$ 613,998	$	5,820,930
Safety	$ -	$ -	$ 2,008,681	$ 24,930	$	2,033,611
Legal and Mandatory	$ -	$ 19,000	$ 323,728	$ 12,366	$	355,094
Other	$ -	$ -	$ 2,802,671	$ -	$	2,802,671
Total	$ -	$ 3,145,417	$ 35,663,232	$ 5,381,963	$	44,190,613

Top Five Priority Projects						
	Priority Name	Period	Category	Type		Amount
1	Elevator Modernization (4, 5 & 6) - Lubbock	Budgeted - Current Year	Planned Maintenance	OTH - Other	$	465,000
2	ARB Boiler Replacement - Amarillo	Budgeted - Current Year	Planned Maintenance	HVAC	$	800,000
3	School of Pharmacy Chiller Replacement - Abilene	Budgeted - Current Year	Planned Maintenance	HVAC	$	700,000
4	Refurbish AHU 5B03 - Lubbock	Budgeted - Current Year	Planned Maintenance	HVAC	$	300,000
5	Refurbish AHU 5B04 - Lubbock	Budgeted - Current Year	Planned Maintenance	HVAC	$	300,000

E&G Deferred Maintenance	$	802,018
Non-E&G Deferred Maintenance	$	697,982
Total Deferred Maintenance	**$**	**1,500,000**

	2019 Amount	DM Index Value
Educational and General Building Replacement Estimate Value (EGBREV)	$ 955,052,984	0.0840%
Institution-Wide Building Replacement Estimate Value (IWBREV)	$ 1,448,076,864	0.1036%

Texas Tech University Health Sciences Center - El Paso
Building Replacement Estimate Report- FY2020

November 5, 2020

Summary by Period and Category					
	Critical	Deferred	Planned	Adaptation	Total
Budgeted - Current Year	$ -	$ 1,712,500	$ 1,413,893	$ 3,132,947	$ 6,259,340
Expenditures - Previous Year	$ -	$ 35,105	$ 1,303,080	$ 979,348	$ 2,317,532
Projected - Years 2 through 5	$ -	$ 2,034,000	$ 11,861,000	$ 22,630,205	$ 36,525,205
Unbudgeted - Current Year	$ -	$ 591,500	$ 2,519,000	$ 3,845,000	$ 6,955,500
Total	$ -	$ 4,373,105	$ 17,096,972	$ 30,587,500	$ 52,057,577
Summary by Type and Category					
Architectural	$ -	$ 928,000	$ 6,784,126	$ 18,330,178	$ 26,042,304
HVAC	$ -	$ 2,267,866	$ 1,827,087	$ 1,008,569	$ 5,103,522
Plumbing and Electrical	$ -	$ 367,239	$ 2,678,209	$ 161,361	$ 3,206,809
Safety	$ -	$ 375,000	$ 388,620	$ 45,000	$ 808,620
Legal and Mandatory	$ -	$ 185,000	$ 858,569	$ 10,954,173	$ 11,997,742
Other	$ -	$ 250,000	$ 4,560,360	$ 88,220	$ 4,898,580
Total	$ -	$ 4,373,105	$ 17,096,972	$ 30,587,500	$ 52,057,577

Top Five Priority Projects					
Priority	Name	Period	Category	Type	Amount
1	MEB Facilities Improvements	Budgeted - Current Year	Planned Maintenance	ARCH	$ 750,000
2	TT Medical Center Clinical Area Relocations (Faculty Neighborhood)	Budgeted - Current Year	Planned Maintenance	ARCH	$ 500,000
3	MEB Controls Upgrades	Budgeted - Current Year	Planned Maintenance	HVAC	$ 150,000
4	Campus Re-keying Project	Budgeted - Current Year	Planned Maintenance	SFT	$ 100,000
5	Campus Wide Sprinkler Upgrades and Repairs	Budgeted - Current Year	Planned Maintenance	SFT	$ 100,000

E&G Deferred Maintenance	$ 1,469,793
Non-E&G Deferred Maintenance	$ 2,276,707
Total Deferred Maintenance	**$ 3,746,500**

	2019 Amount	DM Index Value
Educational and General Building Replacement Estimate Value (EGBREV)	$ 265,100,701	0.5544%
Institution-Wide Building Replacement Estimate Value (IWBREV)	$ 409,899,161	0.9140%