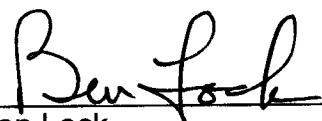


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I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on May 8-9, 2008.


Ben Lock
Secretary

Seal

ASU Executive Summary of Changes to the Student Organization Section of the Student Handbook

Student Organizations

The entire section relating to the student organization program has been rewritten to provide a more cohesive presentation of the policies and procedures relating to student organizations at Angelo State University. Additional elements that had been in a section of policies from the Texas State University System and which were printed in another section of the handbook have been brought into this section and the layout has been changed to provide a more descriptive presentation of the various aspects of the student organization program. The major substantive change relates to the role of advisors to student organizations and their new responsibility to have shared signature authority over organizational finances. The change is consistent with the policy from Texas Tech University and it reflects our desire to have a closer working relationship between the sponsors and the organizations.

Student Organizations

As part of the educational mission of the University, students are encouraged to participate in the student organization program at Angelo State University. By participating in these organizations, students will have the opportunity to learn and practice skills which will last throughout their lifetime. Moreover, lifelong bonds of friendship may be formed and students will have the opportunity to have a more meaningful, productive, and enjoyable college experience.

1. Categories and Definitions

a. Registered Student Organizations

A registered student organization is a group (president, treasurer and a minimum of eight members, excluding officers) comprised of at least ten students enrolled at Angelo State University who voluntarily come together under a common purpose. The purposes and activities of the organization shall be lawful and not in conflict with the policies, rules, regulations and standards of the university and/or federal, state and/or local statutes. Generally, student groups fall under one of the following categories: Academic/Professional, Boards and Councils, Honorary, Performing Organizations, Social Fraternities/Sororities, Sports Clubs, and Special Interest.

b. Sports Clubs

The Angelo State University Sports Club program is administered by the Department of University Recreation and Intramurals and is designed to provide opportunities for students to participate in a variety of sports activities. This program exists to promote and develop interest in sports. Sports clubs members learn new skills, engage in competition and enjoy the recreational and social fellowship of sport. A group seeking sports club status must first be a registered student organization, subject to the rules and regulations of Angelo State University. Following the organization registration process, a group should meet

with the Director of the Department of University Recreation and Intramurals to initiate the application process for sports club affiliation. After obtaining sports club status, groups must also comply with any guidelines of the Club Sports program.

c. Social Fraternities/Sororities

The Angelo State University Greek life program is by the Center for Student Involvement. A group seeking social fraternity or sorority status should first contact the Center for Student Involvement to discuss their interest and the specific (if any) national organization with which they wish to affiliate. Students should understand that the decision to bring a new sorority or fraternity to the campus is a joint decision made by the students, the University, and the national organization. All sides must work in concert in order for the relationship to be a successful one.

2. Conditions for Registration

- a. Membership in the organization shall be open only to students enrolled at Angelo State University without regard to race, religion, sex, disability or national origin, except in cases of designated fraternal organizations which are exempt by federal law from Title IX regulations concerning discrimination on the basis of sex. All organizations registering as a social fraternity or social sorority must show proof of their Title IX exemption by attaching to their registration application a letter from their national affiliate with their IRS 501(C) number.
- b. Faculty and staff may hold associate memberships in student organizations to extent allowed by the organization's constitution.
- c. The organization shall not duplicate the purposes and functions of a currently register organization unless the need for duplication is substantiated with the Center for Student Involvement.
- d. Monies raised by the organization through the payment of dues or through fund-raising activities should be deposited in an organizational account at a financial institution.
- e. The organization shall show initiative in effectively meeting its stated goals and be lawful and peaceful in its activities. The Center for Student Involvement is available to assist in organizational guidance and leadership development.
- f. The organization shall be free from control by any other non-student individual or organization. Alumni and affiliate/associate members should not be granted voting privileges nor can they hold executive officer positions. To preserve the governing integrity of a student organization, these privileges can only be vested in currently enrolled students at Angelo State University.
- g. Only organizations which are an official part of the University and receive direct funding by the University or organizations which are an extension of an academic department may use the name, logo or symbols of the university as part of its

name or in its publications. Registered student organizations may use the complete statement "a registered student organization at Angelo State University."

Approval for the use of logos, symbols, and names protected by Angelo State University is handled through the oversight of the Office of Communication and Marketing. In addition, the organization shall not advertise or promote events or activities in a manner that suggests sponsorship by the university, unless specifically authorized to do so.

- h. Solicitation is prohibited on campus by registered student organizations that may abridge any contractual agreements of Angelo State University. To avoid violations, registered student organizations should seek clarification on any solicitation initiatives or materials in the Center for Student Involvement. Any organization wishing to solicit on campus must follow the policies and procedures listed in the current Student Handbook.
- i. All registered student organization resources must be used to advance and support the organization's purpose, identified goals, and/or mission.
- j. All registered student organizations must comply with University policies and procedures and adhere to the standards expected of all students.
- k. Organization registration does not imply university approval of either the organization or its activities.

3. Registration of New and Reforming Groups

- a. New and/or reforming student groups that desire to become a registered student organization should contact the Center for Student Involvement to discuss the process of forming or reforming an organization.
- b. A student group seeking to form a new organization may file the "Declaration of Intent Form" with the Center for Student Involvement staff administering student organizations. This is a temporary status, which lasts for eight (8) weeks or until the end of the semester, whichever comes first, and allows the group the privileges of using university facilities and posting notices and flyers in accordance with established University posting regulations. The eight-week period should be used to recruit new members, develop a constitution and by-laws, and obtain a permanent full-time faculty/staff advisor.
- c. As part of the registration process, a new group must submit: (1) Declaration of Intent Form, (2) organizational constitution, (3) name of an advisor who is a full-time member of the faculty or unclassified staff, and an initial member list of at least ten (10) full-time students who are in good standing with the University (not on academic or disciplinary probation). Extensions of the eight-week time limit are possible under extenuating circumstances and such requests should be addressed to the Center for Student Involvement. A proposed group may apply for registration only once per semester.

- d. Following the receipt of the required information, the materials will be sent to the ASU Student Senate for their review and recommendation. After receiving the recommendation of the Student Senate, the Dean of Student Life will make the final decision on registering the new organization.
- e. Groups which have been registered student organizations in the past and which become inactive may reform their organization by completing a Renewal of Registration form, provided that the purpose of the reformed organization is the same as the previous organization. Reforming groups should carefully review the prior constitution and make any updates necessary to comply with any current University policies and regulations or changes brought by the organization itself.

4. Annual Registration Process

- a. A complete Renewal of Registration form must be received by the Center for Student Involvement by the annual deadline (date to be announced annually). This is typically during each April. The Renewal of Registration form will include the names and contact information for the organization officers and the president of the organization must certify that the organization still has at least ten full-time students who are in good standing with the University.
- b. The organization must also submit updated copy of the local constitution and by-laws and constitution (or certify that the constitution and by-laws on file in the Center for Student Involvement is still current) and the by-laws of any other local, state or national affiliate organization, if applicable.
- c. The organization shall also furnish the signature, title, campus address, telephone number and email address of a full-time Angelo State faculty or staff member indicating their willingness to serve as the organization's advisor.
- d. The organization must also agree to comply with all university standards, rules and/or policies as well as all federal, state, and/or local laws.

5. Benefits of Registration

- a. Registered Student Organizations
Benefits include: space reservations in the University Center, free mailbox Center for Student Involvement, organization information published online, posting on campus, leadership training, ready references and access to training materials and resources in the Center for Student Involvement, opportunity for free cubicle space through the Center for Student Involvement, and free web page through the Department of Information Technology. Registered student organizations may apply for funding through the Student Organization Leadership Fund administered through the Center for Student Involvement.
- b. Sports Clubs
Sports clubs are entitled to all of the benefits of a registered student organization.

In addition, each club may receive administrative and financial support from the Department of University Recreation and Intramurals.

6. Faculty or Staff Advisor

- a. Each registered student organization shall have a full-time university faculty or unclassified staff advisor available to the officers and members for consultation regarding the affairs of the organization. Attendance at organizational meetings and functions is encouraged to facilitate incorporating the advisor into the organization's program planning and decision-making. The advisor should certify the organization's expenditures by co-signing all checks or vouchers. Most importantly, the advisor must oversee adherence to university standards, rules and/or policies as well as the organization's constitution and by-laws.
- b. Registered student organizations have ten (10) university business days to notify the Center for Student Involvement with the name, address, telephone number and email of any new or replacement full-time university faculty or staff member appointed as their advisor. Failure to do so may result in suspended privileges.
- c. Established full-time university faculty or staff members, who reduce employment hours below full-time status and maintain an office on-campus, may continue to serve the advisor of a student organization with the approval of the Center for Student Involvement.

7. Prerequisites for Maintaining Registration

To maintain its active status throughout the academic year, a registered student organization must meet or submit the following criteria to the Center for Student Involvement.

- a. File a list of its current officers within ten (10) university business days from the day of elections and file notification of the subsequent changes when such occur.
- b. File a list of its current advisor(s) within ten (10) university business days of the acceptance of the full-time faculty or staff advisor to the position. Notification of advisor changes should also be made with ten (10) university days.
- c. Submit all changes in documents on file relating to the organization (i.e., revisions to constitution, changes in statement of purpose, procedures for handling organization funds or membership requirements). Registered student organizations shall be responsible for updating and revisions to their local and affiliate constitutions with the Center for Student Involvement within ten (10) business days of any changes. Should an organizational dispute occur that involves university intervention, registered student organizations are bound by the constitution and by-laws on file with the Center for Student Involvement.
- d. Conduct its affairs in a lawful manner as a collaborative entity in accordance with the constitution and by-laws it has on file, and applicable policies, rules,

regulations and standards of the university and/or federal, state and/or local statutes.

- e. Registered Student Organizations are required to meet all financial obligations incurred by the organization.
- f. Ensure off-campus individuals or organizations whose appearance on campus is sponsored by the organization observe all applicable policies, rules, regulations and standards of the university.
- g. The Center for Student Involvement and/or the Dean of Student Life may suspend the registration of an organization for noncompliance with the regulations and/or standards as set forth in the current Student Handbook.

8. Denial of Registration, Temporary Suspension, and Revocation

- a. A student organization will not be officially registered with the University if it is determined that the organization's actions or activities are detrimental to the educational purposes of the university or not in accordance with University policies. The president and advisor of the proposed student organization shall be notified of a decision to deny registration in writing by the Dean of Student Life. The president of the applying organization may schedule a meeting with the Dean of Student Life to discuss the denial. If, following the meeting, the group wishes to file an appeal, the president of the proposed organization must do so in writing to the Vice President for Academic and Student Affairs within ten (10) university business days from the date of the "denial" notification letter or meeting with the Dean of Student Life. The decision of the Vice President for Academic and Student Affairs will be final.
- b. The registration of a student organization may be temporarily suspended by the Associate Dean for student Involvement while an investigation is pending involving an alleged violation of registered student organization policies and procedures as outlined in the current Student Handbook. The registered student organization will be afforded all due process guidelines as described in the current Student Handbook. The president of the organization may file an appeal in writing to the Dean of Student Life within five university business days from the date of the "temporary suspension" notification letter. If the Dean upholds the decision, the president of the organization may appeal in writing within five university business days to the Vice President for Academic and Student Affairs. The decision of the Vice President for Academic and Student Affairs will be final.
- c. A student organization may be subject to disciplinary action by the University if it is determined that the organization engages in activity in violation of the policies of the University and/or local, state, and federal laws. Such disciplinary action could include a probationary status for a period of time during which the organization would be restricted from certain activities and/or privileges afforded other student organizations. The action could also result in the revocation of the organization's registration status and the dissolution of the group. The officers of the organization may also be individually subject to University disciplinary action

for any role they may have played in the alleged violation. All parties to such action, whether the individual officers or the organization itself, will be afforded all of the due process rights specified in the current Student Handbook.

STUDENT ORGANIZATIONS

SPONSORS

—— Each group seeking or having University registration is to be founded and operated with the counsel and guidance of a sponsor who is a full-time member of the faculty or unclassified staff. The sponsor is responsible for the guidance of the organization and not for its operation. Since effective communication is necessary for effective advising, it is essential that the students inform their sponsor of their needs. The sponsor, in turn, should inform the students as to their responsibilities with the expectation that they will be carried out. A sponsor is normally expected to attend enough meetings and organizational activities to maintain close contact with the group, its leaders, and its functions. Attendance at all meetings and activities is not implied. The sponsor need not serve as a chaperon of all activities of the organization.

—— The sponsor should know the financial status of the organization and insist that an adequate system of accounting for organizational funds be established. Information concerning the essentials of acceptable accounting procedures for such organizations is available through the Student Life Office.

—— In case the sponsor requires information or help in carrying out his/her responsibilities, the channels of communication will normally be first to the Associate Dean of Student Life and then to the Office of the Dean of Student Life, as the particular problem may require. It is recognized that in the case of departmental related organizations the department head will be consulted. The sponsor should acquaint himself/herself with the services and requirements of the Student Life Office and should utilize them in carrying out his/her advising responsibilities.

REQUIREMENTS FOR REGISTRATION

—— The Dean of Student Life has the responsibility for the approval and subsequent registration of qualified student organizations. Information concerning the procedures necessary for becoming a registered student organization may be obtained from the Student Life Office. Groups seeking registration must file a Statement of Purpose and organizational constitution plus other materials specified by the Associate Dean of Student Life. An organization will not be registered unless there are ten qualified charter members who are full-time students.

—— Membership in all registered student organizations must be open to all students without regard to race, color, religion, sex, or national origin. In addition, proposed organizations must indicate any present or intended relationship with any other group or organization—University, local, state, national or international.

—— Proposed organizations may initiate the registration process once per semester and will be given eight weeks or until the close of the semester, whichever comes first,

~~to submit the completed registration materials. During this time groups may reserve specified space in the University Center for the purpose of recruiting members, developing a Statement of Purpose, writing a constitution, and securing a sponsor who is a full-time member of the faculty or unclassified staff. The eligibility to use other University facilities is restricted to organizations which have completed the registration process and have been registered by the Dean of Student Life.~~

~~At no time will groups seeking registration be permitted to use the name of Angelo State University or abbreviation thereof in connection with the proposed organization. Organizations which are an official part of the University and are funded by the University or registered organizations which are an extension of an academic department may use the name of Angelo State University, or abbreviations thereof, in the name of the organization. Registered organizations not meeting this criteria may state that they are the "(name of organization) at Angelo State University."~~

PROCEDURES FOR REGISTRATION

~~Official registration of a qualified student group requires approval by the Dean of Student Life. The following steps must be followed in securing registration:~~

- ~~1. The student group will indicate its desire to organize to the Associate Dean for Student Involvement who will:~~
 - ~~a. Acknowledge the intent of the group to seek registration.~~
 - ~~b. Notify the Student Senate of this action.~~
 - ~~c. Secure, in triplicate, the organizational Statement of Purpose, Constitution, and other specified materials.~~
 - ~~d. Verify that the charter membership of the proposed organization includes ten full-time students who are in good standing (not on academic or disciplinary probation).~~
 - ~~e. Verify the eligibility of the sponsor.~~
 - ~~f. Forward a copy of the Statement of Purpose and Constitution to the Student Senate.~~
- ~~2. The Student Senate will present its recommendations regarding registration of the group to the Associate Dean for Student Involvement.~~
- ~~3. The Associate Dean for Student Involvement will review the request and present the organizational Statement of Purpose and Constitution with his/her recommendations and those of the Student Senate to the Dean of Student Life.~~
- ~~4. The Dean of Student Life will notify the Associate Dean for Student Involvement of the decision. If the group is registered, the organization and the Student Senate will be notified. A notice of registration will also be published in the ASU RAM PAGE.~~

~~Any amendments to the Statement of Purpose are subject to review and approval in the manner outlined above. Registration is provisional for the first twelve months of an organization's existence. During this time the stability, level of functioning, and general soundness of the organization in relation to the approved Statement of Purpose and the Constitution must be established by the organization in order for the registration to be continued beyond this period.~~

USE OF UNIVERSITY FACILITIES

~~—— The University has established a policy covering the use of University buildings and facilities. Registered student organizations may use University facilities for meetings, fund raising, recruiting participants, and posting and distributing literature. Registered student religious organizations shall be extended the same privileges as other registered organizations, except as limited by federal and state laws.~~

~~—— The University Center Program Council is the only registered student organization authorized to use campus facilities to present entertainment open to the general public where admission is charged.~~

RESPONSIBILITIES

~~—— A student group shall not conduct meetings on campus to which persons other than members of the University community are invited without advance approval of the Dean of Student Life. All activities of the group must be conducted in a lawful manner and in accordance with the constitution and bylaws of the group and established University policies.~~

~~—— Student organizations shall not use the name of the University, or abbreviations thereof, in off-campus activities without advance approval of the Dean of Student Life except through participation in national or regional meetings of an organization with which the organization is affiliated. A registered student organization may state that its membership is composed of students (or students, faculty, and staff) of the University, but shall not indicate or imply that it is acting on behalf of the University or with its approval or sponsorship.~~

WITHDRAWAL OF REGISTRATION

~~—— Official approval of a student organization will be continued only so long as the objectives of the organization and the above general provisions are fulfilled. Registration of a student organization may be suspended for noncompliance with University policies, rules, or regulations pending proceedings for withdrawal of recognition. Motion for suspension and withdrawal of registration may be made by the Dean of Student Life, the Student Senate, the Committee on Student Life, or on petition of 25 percent or more of the members of the group.~~

NONDISCRIMINATION REQUIREMENT

~~—— All registered student organizations shall affirm to the University that their membership selection policies and procedures are in compliance with this policy. In the case of regional, national, or internationally affiliated groups, Angelo State University chapters must affirm to the University that membership selection policies and procedures of the parent organization do not require the chapter to exclude any student from membership on the basis of race, color, religion, sex or national origin except as authorized by federal statutes or guidelines.~~

~~COMMITTEE ON STUDENT LIFE~~

~~_____ The Committee on Student Life shall recommend to the President, through the Provost and Vice President for Academic and Student Affairs, basic or general University objectives and policies related to student life. It shall serve as a forum for discussion of issues in areas of student life.~~

~~_____ **Responsibility.** The Committee on Student Life shall be responsible to the President of the University. The Committee shall be available to the Provost and Vice President for Academic and Student Affairs and the faculty for consultation on matters related to student life.~~

~~_____ **Composition.** The Committee on Student Life shall consist of twelve voting members as follows:~~

- ~~_____ 1. Five faculty members will be selected by the President from nominations made by the Provost and Vice President for Academic and Student Affairs with the advice of the Faculty Senate. A term of office shall be for five years with a new member selected each year.~~
- ~~_____ 2. Five students will be selected annually by the President from nominations made by the Dean of Student Life with the advice of the Student Senate and other student organizations.~~
- ~~_____ 3. The President of the Student Body shall be a standing member.~~
- ~~_____ 4. The Dean of Student Life and the Assistant Dean of Student Development will serve as standing members.~~
- ~~_____ 5. The Director of Special Events Facilities/Services will be a non-voting member and will serve as secretary of the Committee.~~

~~_____ **Chairman.** The Dean of Student Life shall serve as chairman of the Committee.~~

~~_____ **Procedures for Nominations.** Procedures for the submission of faculty and student nominations for the Committee are established by the President of the University.~~

~~An updated list of student organizations and their purpose statements may be found on the Student Life homepage at:~~

~~www.angelo.edu/services/student_life.htm.~~

~~6. STUDENT ORGANIZATIONS.~~

~~6.1 **Definition of Composition and Authority.** An organization in which active membership is limited to students (recognizing that faculty and staff may also be members) of a University may become a registered student organization at that University by complying with the registration procedures established by the University. Neither the organization nor its representatives may suggest that either is acting with authority or as an agent of the University.~~

~~6.2 **Faculty and Staff Advisors.** Each registered student organization shall have a faculty or staff advisor, whose name shall be provided to the University administration as a part of the student organization registration procedures. The organization shall immediately report in writing any change in its advisor. Advisors to registered student organizations that do not have their accounts and financial records kept by the University shall not have control of the funds and financial records of the student organization. Such control includes, but is not~~

~~limited to, receipting of funds, check signing authority, authorization of expenditures, and preparing bank reconciliations. Advisors may not expend their personal funds on behalf of a student organization and request reimbursement.~~

~~6.3 **Disciplinary Action.** Any student organization is subject to disciplinary action or revocation of registration as a student organization for violation of a System and/or of the University rule or regulation or for failing to comply with the direction of a University official acting in the performance of his or her duties.~~

~~6.4 **Requirements for Organizations.** The president of each University of the System may issue regulations governing the eligibility of students to participate in organized activities. He or she shall require and enforce the following:~~

~~6.41 As a condition to being a registered student organization or group during an academic year, every registered student organization or group shall furnish, to the appropriate University officer at the beginning of, or prior to each such academic year, a complete list of officers or other members of the organization or group who are authorized to speak for, or represent, the organization or group in its relations with the University and who are authorized to receive for the organization or group official notices, directives, or information from the University. Each such list shall be current and accurately updated throughout the semester by the organization or group, and it shall be conclusively presumed that the officers or members whose names are on the list most recently filed by the organization or group are authorized to speak for and represent the organization or group in its relations with the University and are authorized to receive for the organization or group official notices, directives, or information from the University.~~

~~6.42 Except for national honor societies which require outside members, no registered student organization or group may have any person as an active member who is not either a student or a member of the faculty or staff of the University. Except pursuant to the provisions of *Chapter VII, Subsection 3.4*, no organization or group, whether registered or not, may use any facility of any University of the System if it has as an active member any person who is not either a student or a member of the faculty or staff of the University.~~

~~6.43 Any University funds that are expended on behalf of student organizations will be maintained by the University. Both the advisor of the organization and the designated officer of the organization must authorize any expenditures from the organization's account. All university funds (such as those generated by student service fees) expended for the benefit of student organizations must be expended from budgeted University accounts.~~

~~6.44 All University provided funds of registered student organizations are subject to audit by the University. Failure to maintain adequate records~~

~~may be considered in determining whether a student organization may maintain its registered status.~~

~~6.45— Student organizations, their officers, and sponsors are responsible for assuring that they comply with all applicable TSUS, state, and federal rules and regulations, including tax code compliance.~~

~~6.46— Each University may develop applicable policies and procedures to promote fiscal integrity and accountability for student organizations.~~

TTU Executive Summary of Revisions for the Student Handbook

The *Code of Student Conduct* and the Student Handbook are intended to inform the Texas Tech University community of the regulations and/or standards by which members abide and procedures which guide functions. Revisions were coordinated through the Dean of Students Office and the Vice President for Student Affairs.

Proposed revisions are editorial in nature and include the following changes to more accurately reflect current practices:

Part III: Housing Requirements

Specifically, *Section A. Freshman Residency Policy* and *Section B. Residency Exemption* were changed to outline the requirement for students with fewer than 30 hours of academic credit to live in the university residence halls and the exemption policies.

Part IV: Student Records

Specifically, *Section G. Release of Student Directory Information* provides a more accurate definition of student directory information.

Part VI: Registration of Student Organizations

Specifically, requirements related to the new State of Texas (Senate Bill 1138 and House Bill No. 2639) *Risk Management* program for registered student organizations were added as a prerequisite for registration.

Part VIII: Solicitations, Advertisements and Printed Materials

Specifically, *Section B. Definitions* and *Section C. Solicitations* were changed to better reflect the practices utilized in approval of on campus solicitations and to limit the scope of the policy to students and registered student organizations.

Appendix

Specifically, the *Texas Tech University Statement of Ethical Principles*, submitted by the Steering Committee of the Texas Tech University Ethics Initiative and adopted by the Board of Regents on March 6, 2008, was added.

TEXAS TECH UNIVERSITY

STUDENT HANDBOOK 2007-20082008-2009

Assumptions and Beliefs

Student affairs professionals share some assumptions and beliefs that shape their work. These assumptions and beliefs guide their responses to new issues, changing times, circumstances, and recurring events. The following list is not exhaustive, nor will all student affairs staff agree that each guides their work to the same degree; the higher education community is too diverse for that to be the case. Yet, these ideas have remained remarkably unchanged over time and have successfully applied to different collegiate settings.

No one of these assumptions and beliefs is unique to student affairs. Indeed, they are held by many others in higher education. It is the combination of these assumptions and beliefs that is distinctive. Together, they define the special contributions made by student affairs.

The Academic Mission of the Institution is Preeminent

Colleges and universities organize their primary activities around the academic experience: the curriculum, the library, the classroom, and the laboratory. The work of student affairs should not compete with, and cannot substitute for that academic experience. As a partner in the educational enterprise, student affairs enhances and supports the academic mission.

Each Student is Unique

Students are individuals. No two come to college with the same expectations, abilities, life experiences, or motives. Therefore, students will not approach college with equal skill and sophistication, nor will they make equally good choices about the opportunities encountered there.

Each Person Has Worth and Dignity

It is imperative that students learn to recognize, understand and celebrate human differences. Colleges can, and indeed must, help their students become open to the differences that surround them: race, religion, age, gender, culture, physical ability, language, nationality, sexual preference, and life style. These matters are learned best in collegiate settings that are rich with diversity, and they must be learned if the ideals of human worth and dignity are to be advanced.

Bigotry Cannot Be Tolerated

Any expression of hatred or prejudice is inconsistent with the purposes of higher education in a free society. So long as bigotry in any form exists in the larger society, it will be an issue on the college campus. There must be a commitment by the institution to create conditions where bigotry is forthrightly confronted.

Feelings Affect Thinking and Learning

Although students are in college to acquire knowledge through the use of their intellect, they feel as well as think. Students are whole persons. How they feel affects how well they think. While students are maturing intellectually, they are also developing physically, psychologically, socially, aesthetically, ethically, sexually, and spiritually. This is true regardless of age. Helping students understand and attend to these aspects of their lives can enhance their academic experiences.

Student Involvement Enhances Learning

Learning is not a passive process. Students learn most effectively when they are actively engaged with their work in the classroom and in student life.

Personal Circumstances Affect Learning

Physical disability, financial hardship, family circumstances, medical and psychological problems, and inadequate academic skills are examples of situations which often affect learning. Whenever possible, colleges and universities should assist students when such circumstances interfere with learning.

Out-of-Class Environments Affect Learning

Out-of-class social and physical environments are rarely neutral; they help or detract from students' social and intellectual development. Interactions between students and their environments shape attitudes, readiness to learn, and the quality of the college experience.

A Supportive and Friendly Community Life Helps Students Learn

A campus is usually a collection of small communities such as schools, departments, residences, teams, clubs, and service, religious, social, and peer groups. Healthy communities are settings where students learn to work together, make and keep friends, care about the welfares of others, balance freedom and responsibility, and appreciate human differences. Communities are of high quality when they encourage friendships, intimacy, and intelligent risk taking, and allow values to be freely shared and examined.

The Freedom to Doubt and Question Must be Guaranteed

Students need to be encouraged and free to explore ideas, test values and assumptions in experience, face dilemmas of doubt and perplexity, question their society, criticize and be criticized. Hence the doctrines of academic freedom and of free speech that are central to the classroom must extend to other areas of campus life. Colleges and universities must protect and encourage ideological exploration and avoid policies or practices that bind the inquiring minds and spirits of students, faculty, and staff.

Effective Citizenship Should be Taught

A democracy requires the informed involvement of citizens. Citizenship is complex; thus, students benefit from a practical as well as, an academic understanding of civic responsibilities. Active participation in institutional governance, community service, and collective management of their own affairs contributes significantly to students' understanding and appreciation of civic responsibilities.

Students are Responsible for Their Own Lives

Students learn responsibility when they bear the consequences of their actions and inactions in an environment marked by caring and support. (pp. 11-14)

Points of View: A Perspective on Student Affairs, 1987

Published by the National Association of Student Personnel Administrators, Inc.
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PART I: Forward

A. General Policy

A university, like any community, must have regulations and/or standards by which its members abide and procedures by which its organization functions. The standards should provide order and an atmosphere conducive to intellectual and personal development. This Student Handbook and the *Code of Student Conduct* contained within are intended to serve these purposes in the interest of all segments of Texas Tech University.

The university has a responsibility to maintain order within the university community and to discipline those who violate its standards, rules, and/or policies. Enrollment requires students to share this responsibility. Students agree to abide by the standards, rules and/or policies set forth in this Student Handbook, the Undergraduate Catalog and other official university publications, as well as the Texas Education Code. Student organizations also agree to follow all these standards, rules, and/or policies. The university or its representative may amend this document at anytime without notice. (See updates at www.studentaffairs.ttu.edu) www.deanofstudents.ttu.edu)

B. Disciplinary Authority

The authority to enact and enforce regulations of the university is vested in the Board of Regents. The responsibility for enforcing the regulations and imposing penalties is delegated to the Chancellor and/or President of the university and any university officials the President designates. The Office of the Vice President for Student Affairs is the principal agency for the administration of student discipline and the Student Judicial Programs office shall implement the student discipline procedures. All references to the Chancellor and/or President of the university, the Vice President for Student Affairs, Dean of Students or the Director of the Student Judicial Programs shall be interpreted to include persons designated to act on behalf of these officials.

C. Policy on Nondiscrimination

1. The university brings together, in common pursuit of its educational goals, persons of many backgrounds and experiences. The university is committed to the principle that in no aspect of its programs shall there be differences in the treatment of persons because of race, creed, national origin, age, sex, or disability and the equal opportunity and access to facilities shall be available to all.
2. Nondiscrimination is observed in the admission, housing and education of students and in policies governing discipline, extracurricular life and activities.

D. University Name, Document and Records

The use by any person or organization of the university's name in connection with any program or activity, without the prior written permission of the Office of the Vice Chancellor for Institutional Advancement, or any unauthorized use of university documents, records or seal is prohibited.

E. Schools of Law, Medicine, Nursing and Allied Health

1. Students enrolled in, and student organizations registered with, the Texas Tech University School of Law are subject to the university *Code of*

Student Conduct, in all matters not covered in the Honor Code of the School of Law. Questions concerning the respective jurisdiction of the *Code of Student Conduct* and the Honor Code of the School of Law will be resolved by the Vice President for Student Affairs and the Dean of the School of Law.

2. Students enrolled in the Schools of Medicine, Allied Health Sciences, Nursing, Pharmacy, Graduate School of Biomedical Sciences, and organizations registered with the Texas Tech University Health Sciences Center are subject to the TTUHSC Student Handbook/Code of Professional and Academic Conduct, www.ttuhsc.edu/student-services/doc/handbook.pdf.

F. Definitions

1. The term “university” means Texas Tech University and Texas Tech University Health Sciences Center.
2. The term “student” includes all persons taking courses at the university, Either full time or part time, pursuing undergraduate, graduate or professional studies and/or those who attend postsecondary educational institutions other than Texas Tech University and who reside in university residence halls. Persons who are not officially enrolled for a particular term but who have a continuing relationship with the university are considered “students”.
3. The term “university official” includes any person employed by Texas Tech University or Texas Tech University Health Sciences Center while performing assigned administrative or professional responsibilities.
4. The term “member of the university community” includes any person who is a student, faculty or staff member, university official or any person employed by the university or campus visitors.
5. The term “university premises” includes all land, buildings, facilities and other property in the possession of or owned, used or controlled by the university (including adjacent streets and sidewalks).
6. The term “student organization” means any number of students who have complied with the formal requirements for university registration.
7. The term “representative” means a university official authorized on a case-by-case basis by the Director of the Student Judicial Programs and/or the Director of ~~Housing and Residence Life~~ University Student Housing to investigate and resolve alleged violations of the *Code of Student Conduct* and the Residence Halls Standards of Student Behavior.
8. The term “discipline body” means any university official or group of Officials authorized by the Director of the Student Judicial Programs to determine whether a student has violated the *Code of Student Conduct* and to recommend imposition of sanctions, conditions and/or restrictions.
9. The terms “shall” and “will” are used in the imperative sense and the term “may” is used in the permissive sense.
10. The term “policy” is defined as the written regulations, standards and/or rules of the university as found in, but not limited to, the Student Handbook, F.Y.R.E. Calendar and Handbook, Honor Code of the School of Law, School of Nursing Student Handbook, School of Medicine Student

Handbook, School of Allied Health Handbooks and the Graduate/Undergraduate Catalogs.

11. The term “administrative hold” refers to the indicator placed on a student’s official record preventing registration and/or the issuance of a transcript until the student meets the requirements of the university office placing the indicator as described in the Undergraduate/Graduate Catalogs.
12. The term “religious holy day” means a holy day observed by a religion whose places of worship are exempt from property taxation under Section 11.20, Vernon’s Texas Codes Annotated, Tax Code.
13. The term “disciplinary good standing” is defined as a student not currently on disciplinary probation or any level of disciplinary suspension/expulsion who has fulfilled in a timely manner, if any, sanctions, conditions, and/or restrictions imposed.
14. The term “sponsorship and/or co-sponsorship” is defined as minimally including, but not limited to, direct participation in planning, coordination and implementation by members of the sponsoring organizations.

PART II: Rights and Responsibilities of Students in the Academic Community

A. Citizenship

As members of the academic community, university students enjoy the privileges and share the obligations of the larger community of which the university is a part. Students are entitled to the privileges which accrue to them by virtue of this membership. These privileges carry with them the obligations of responsible citizenship. Students shall conform to university regulations. Students should recognize that citizenship also includes contributing deliberately to strengthening the educational community, improving learning for themselves and their classmates and promoting excellence within the above context. Freedom of discussion, inquiry and expression is protected and nurtured in the classroom as the safeguard of the freedom to learn. Students are expected to exercise their freedom to learn in a manner consistent with the maintenance of good order. Responsibility for good order in the classroom is vested in the instructor and responsibility for maintaining order elsewhere is set forth in the *Code of Student Conduct* and is vested with members of the University community.

B. Academic Integrity

It is the aim of the faculty of Texas Tech University to foster a spirit of complete honesty and high standard of integrity. The attempt of students to present as their own any work not honestly performed is regarded by the faculty and administration as a most serious offense and renders the offenders liable to serious consequences, possibly suspension.

1. *Instructor Responsibilities*

The instructor in a course is responsible for initiating action in each case of dishonesty or plagiarism that occurs in that class. In cases of convincing evidence of or admitted academic dishonesty or plagiarism, an instructor should take appropriate action as described below. Before taking such action, however, the instructor should attempt to discuss the matter with the student. If cheating is suspected on a final exam, the instructor should submit

a grade **X** until a reasonable attempt can be made to contact the student, preferably within one month after the end of the semester.

2. *Instructor Sanctions*

When a faculty member determines according to the *Student Handbook* that academic dishonesty has occurred and assigns a grade of **F** for a course, the grade of **F** will stand as a final grade, not withstanding a subsequent withdrawal from the course by the student. A faculty member shall notify the registrar of the intention to assign a grade of **F** for the course, in addition to notifications of the department chairperson and the student's academic dean. The student will have the right to appeal the receipt of a failing grade in a course through the established grade appeal procedure, as outlined in OP 34.03. Student Grade Appeals. The student may not appeal a failing grade given for a class assignment.

3. *Repeated Academic Misconduct*

In cases of repeated violations, either the instructor (through his or her department chair and/or academic dean) or the academic dean may refer the case to the Director of Judicial Programs for further disciplinary proceeding.

4. *Referrals to the Student Judicial Programs*

A student referred to the Director of Student Judicial Programs for alleged violations of academic misconduct is entitled to all substantive and procedural guarantees provided in the *Code of Student Conduct*. Law students are subject to discipline procedures as described in the Honor Code of the School of Law

5. *Disposition by the Director of Student Judicial Programs*

A written report of any disciplinary action taken by the Director of the Student Judicial Programs will be sent to the appropriate academic dean and to the student.

Note: Student Judicial Programs services-serves as a clearinghouse of Academic Integrity violations. Please direct all referrals for Academic Integrity violations to Student Judicial Programs, through the respective collegesdepartment chairperson and academic dean.

C. Disruption, Obstruction and Personal Safety at University Activities or Functions

University officials have the responsibility for maintaining law and order on university-owned or university-controlled property and at university-sponsored events. Examples of actions for which disciplinary action may be taken under the provisions of the *Code of Student Conduct* include, but are not limited to:

1. Disruption or obstruction of teaching, research, administration, meetings or any activity on university premises (reference Part VII and Part IX of the *Student Handbook 2007-2008*).
2. The obstruction of access to, or egress from, any university-owned or university-controlled facility.
3. Conduct that threatens the safety of any individual or group.

D. Affiliation

The Student Government Association is the official organization representing students. Students may identify with off-campus programs and activities as individuals, but not as representatives of the university.

E. Student Publications

The Daily Toreador student newspaper and *La Ventana* yearbook, and ~~*Amigos!*~~ ~~New Student Directory~~ are free of arbitrary and capricious censorship and advance copy approval. They are expected to be operated and published within the canons of responsible journalism and policies as established by the university Student Publications Committee.

F. Student Identification

1. The student identification card is the property of the university.
Furthermore:
 - a. Students shall not allow their student identification to be used by other persons.
 - b. Students shall not alter their student identification in any way.
2. On request, students must present their student identification to any member of the university faculty, staff, administration or police.
3. A student must pay a replacement charge for lost, stolen or damaged student identification cards.

G. Solicitation and Advertisement

~~Solicitation and sales on university premises or in university-owned or University-controlled buildings including, but not limited to, residence halls, the bookstore or Student Union are prohibited without prior written approval from the Managing Director of Student Union & Activities.~~ Outdoor Events Coordinating Committee. The distribution of advertising leaflets or handbills or the use of sound trucks and equipment to promote sales on university premises is also prohibited without prior written approval from the ~~Managing Director of Student Union & Activities.~~ Outdoor Events Coordinating Committee. The solicitation and sales policy is set forth in Part VIII of the Student Handbook.

H. Financial Responsibility

Students must meet all financial responsibilities due to the university. The writing of checks on accounts with insufficient funds, the nonpayment or delinquent payment of outstanding loans and failure to meet any other financial obligations to the university are considered a lack of financial responsibility. Financial irresponsibility can subject the student to additional fees, fines, suspension of check writing and cashing privileges, denial of registration, withholding of grades and transcripts and possible adjudication under the *Code of Student Conduct*. Generally, failure to meet financial obligations to the university may result in:

1. Cancellation of the student's registration if tuition and registration fees are not paid by the dates provided by Student Business Services or if a returned check given in payment of tuition and fees is not redeemed by that time.
2. Possible criminal prosecution for writing insufficient fund checks and for failure to pick up a returned check.

3. A hold preventing future registration placed on a student's academic records.
4. A hold on the sending of official university transcripts until the obligation is paid.

Students should understand that consequences may result from not resolving one's financial obligations to the university. The university may report individual student financial problems to a credit agency or a collection agent. Before registering or requesting a transcript, students may check on the presence of holds by accessing their records on the TechSIS Web site for students at <http://techsis.admin.ttu.edu/student>.

I. Religious Holy Day Absences

~~In accordance with University Operating Policy 34.19, a student shall be excused from attending classes or other required activities, including examinations, for the observance of a religious holy day, including travel for that purpose. A student whose absence is excused under this policy may not be penalized for that absence and shall be allowed to take an exam or complete an assignment from which the student is excused within a reasonable time after the absence.~~ According to the 2008-2009 University Catalog, regarding notification to faculty, a student may be excused from attending classes or other required activities, including examinations, for the observance of a religious holy day, including travel for that purpose. A student whose absence is excused for this purpose may not be penalized for that absence and shall be allowed to take an examination or complete an assignment from which the student is excused. According to OP 34.19, a student who intends to observe a religious holy day should make that intention known in writing to the instructor prior to the absence.

J. Illness and Emergency Notification

The Center for Campus Life can assist in notifying the campus community of student illnesses, immediate family deaths and/or student death. Generally, in cases of student illness or immediate family deaths, the notification to the appropriate campus community members occur when a student is absent from class for four (4) consecutive days with appropriate verification. It is always the Student's responsibility for missed class assignments and/or course work during their absence. The student is encouraged to contact the faculty member immediately regarding the absences and to provide verification afterwards.

In addition, the Center for Campus Life will only provide notification to the appropriate campus community members if the student makes the request and provides appropriate documentation within two (2) weeks of the absence. In regards to absences during the week of final exams, the Center for Campus Life will assist with notification; however, due to the nature of final exams, the student should be aware that communication by the Center for Campus Life to the faculty members regarding absences may be limited. All notification is provided as a courtesy. The notification from the Center for Campus Life does not excuse a student from class, assignments, and/or any other course requirements. See also 2008-2009 University Catalog for more information regarding class attendance and reporting illness.

K. Student Absence due to Sponsorship of Student Activities and Off-Campus Trips

According to the 2008-2009 University Catalog, faculty, department chairpersons, directors, or others responsible for a student representing the university on officially approved trips should notify the student's instructors of the departure and return schedules in advance of the trip. The instructor so notified must not penalize the student, although the student is responsible for material missed. Students absent because of university business must be given the same privileges as other students (e.g., if other students are given the choice of dropping one of four tests, then students with excused absences must be given the same privilege).

According to OP 34.06, students will be responsible for making their own individual arrangements with instructors for class work missed while participating in an off-campus trip.

PART III: Housing Requirements

The Texas Tech residence hall system includes a variety of living options and provides convenient and affordable housing for approximately 6,000 students. Special interest housing (Honors, Intensive Study, Substance-Free, Freshman Interest Groups, and Learning Communities) ~~as well as upperclass graduate areas~~ provides students with the opportunity to live with others of similar interests. The Carpenter/Wells Complex, which is arranged in three-bedroom townhouses or four-bedroom flats, offers private bedrooms in an apartment setting. Murray Hall offers suite-style accommodations ~~to men and women~~. Most suites include four private bedrooms, a common living area, and shared bathrooms. Priority for assignment to Carpenter/Wells Complex and Murray Hall will be given to students of sophomore or above classification. Gordon Hall, a suite-style residence, is designated as the Honors College residence hall.

Ethernet computer connections are provided in each room. Other services include basic cable television service, coin-operated laundry and vending machines, and desk services.

An experienced and trained staff of Residence Life Coordinators and Community Advisors manages each residence hall. ~~Each~~ Residence hall offices provides assistance to residents with concerns, including maintenance requests, room and roommate assignments, and resource information.

The interests of students living on ~~campus~~ are promoted through the Residence Halls Association and individual hall governments. Each hall government sponsors social, cultural, educational, and recreational activities.

Residency Requirement

~~Students have greater opportunities for a well-rounded educational experience when they live in a supervised residence hall designed for student living. Statistical data indicates that students who reside on~~

campus perform better academically and are more likely to earn a college degree than those who live outside the University environment during their first years. Therefore, the University requires that all freshmen students live in the University residence halls. The residency requirement applies to all students for six or more credit hours (three or more credit hours during a summer session). However, those students registered for a full-time academic schedule (12 or more hours) will be given assignment priority. Registration for classes may be delayed pending verification of housing.

Residency Exemption

Requests for exemptions from the freshman residency requirement must be submitted to the Department of Housing and Residence Life no later than May 1 for the fall or summer enrollment; or November 1 for spring enrollment. Because of unforeseen changes in a student's circumstances because of illness or other personal reasons, some petitions are considered after the above dates. Unless it is clearly established that illness or personal reasons were not known prior to the above dates and necessitate a student's living off campus, students should not expect to be relieved of their residence hall contract. Students are encouraged to discuss such developments with the Department of Housing and Residence Life in Doak Hall. Subject to verification and authorization by the Department of Housing and Residence Life, students who meet one or more of the following criteria may be given permission to live off campus: The student is residing and continues to reside in the established primary Residence of her/his parents (legal guardian) if it is within a 60-mile radius of the University. The parents must have established their primary Lubbock residency at least six months prior to the request for an exemption. Legal guardianship must have been established by a court of law at least one year prior to a request for an exemption, in order to be considered. The student presents sufficient evidence of an extreme financial hardship condition based on similar guidelines as for Financial Aid.

The student is married or has dependent children.

The student is 21 years of age or over on or before the first day of classes of the initial semester of enrollment.

The student has successfully completed 30 or more semester hours of academic credit before the beginning of the initial semester of enrollment. Advanced Placement, CLEP credit and hours received from concurrent high school hours are not considered.

A student is awarded a university scholarship by a department or college, which minimally includes the equivalence of the current academic school year's room, board, tuition, fees, and textbooks (as estimated by the Student Financial Center). Upon prior approval from the awarding department or college, the student may request to be exempt from living on campus. The department or college awarding the university scholarship (current academic school year's room, board, tuition, fees, and textbooks) should provide verification in writing to the Department of Housing and Residence Life prior to the student's enrollment and/or re-enrollment to the university.

~~The student has served in the active military service as verified by a discharge certificate (DD214).~~

~~The student presents sufficient evidence of an extreme medical condition, as documented by her/his treating physician for which on campus accommodations cannot be made.~~

~~The student presents evidence of an extreme or unusual hardship that will be intensified by living in the residence halls.~~

A. Freshman Residency Policy

In support of the Strategic Plan of Texas Tech University, the university requires students to live in the university residence halls if there are vacancies. Institutional research suggests that students who live on campus are significantly more inclined to remain in college and achieve higher GPAs in comparison to students living off campus. Therefore, the university requires all students having fewer than 30 hours of academic credit (not including credit by exam or dual credit) prior to the semester of enrollment, live in the university residence halls. The residency requirements apply to all students registered for six or more credit hours (three or more credit hours during a summer session). Compliance with the university housing policy is a condition of enrollment, as set forth in the *Student Handbook* and the academic catalog and approved by the Board of Regents. Registration for classes may be delayed pending verification of compliance with the university housing policy. All newly admitted students must either apply for on-campus housing or complete a request for exemption to the residence hall policy.

B. Residency Exemption

Requests for exemptions from the freshman residency requirement must be submitted to the office of University Student Housing no later than May 1 for fall or summer enrollment and November 1 for spring enrollment. Because of unforeseen changes in a student's circumstances such as illness or other personal reasons, some petitions are considered after the above dates. Unless it is clearly established that illness or personal reasons were not known prior to the above dates and necessitate a student's living off campus, students should not expect to be relieved of their residence hall contract. Students are encouraged to discuss such developments with the office of University Student Housing in Doak Hall. Subject to verification and authorization by University Student Housing, students who meet one or more of the following criteria may be given permission to live off campus:

1. A student is residing and continues to reside in the established primary residence of her/his parents (or legal guardian) if it is within a 60-mile radius of Texas Tech University. The parents must have established their primary Lubbock-area residency at least six months prior to the request for an exemption. In order for the exemption request to be considered, legal guardianship must have been established by a court of law at least one year prior to the request.

2. A student presents sufficient evidence of an extreme financial hardship condition based on guidelines similar to those required for financial aid.
3. A student is married or has dependent children living with the student.
4. A student is 21 years of age or over on or before the first day of classes of the initial semester of enrollment.
5. A student has successfully completed 30 or more semester hours of academic credit prior to the student's enrollment or re-enrollment. Credit earned by exam (Advanced Placement, CLEP, ACT, and SAT) and hours received from concurrent high school credit are not considered.
6. A student is awarded a university scholarship/sponsorship that is managed by a university department or college and includes the equivalence of the current academic school year's room, board, tuition, fees, and textbooks (as estimated by the Student Financial Aid Office). Upon prior approval from the managing department or college, the student may request to be exempted from living on campus. The managing department or college must provide verification in writing to University Student Housing prior to the student's enrollment and/or re-enrollment to the university.
7. A student is enrolled in the Graduate School or Law School.
8. A student has served in active military service, as verified by a discharge certificate (DD214).
9. A student presents sufficient evidence of an extreme medical condition, as documented by his/her treating physician for which on-campus accommodations cannot be made until a student presents sufficient and satisfactory evidence of extreme or unusual hardship that will be intensified by living in the residence halls.

In conjunction with the university's support of academic integrity, evidence of deliberate falsification of information, data, or any materials submitted, or providing false or erroneous information in connection with an application for exemption from the freshman residency requirement will be grounds for disciplinary action. Such action may include, but is not limited to, revocation of a previously approved exemption, restitution of up to a semester's room and board fees, or probation, as determined by the Department of Student Judicial Programs and in accordance with the *Code of Student Conduct* at Texas Tech University.

C. Residence Hall Contract Releases

Students sign a Residence Hall Contract for the summer session, the academic year (fall and spring semesters), or 12 months (fall, spring and both summer sessions). Any student wishing to move from the residence halls should consult the Residence Hall Contract for the provisions applicable to cancellation of the contract. Authorization for exemption from the freshman residency requirement does not relieve the student of contractual obligations that may have been assumed with the university for housing in the residence halls.

PART IV: Student Records

A. General Policy

Policies and procedures concerning student records are based on respect for the privacy of the individual. To minimize the risk of improper disclosure, academic records are maintained separately from disciplinary records. (During the time of disciplinary suspension or expulsion, the notice is placed in the student's permanent file.) The conditions for access to each are set forth in the Student Handbook and complies with federal and state statutes and with registered student organization guidelines. The procedures set forth below apply to all persons formerly or currently enrolled at Texas Tech University.

B. Address of Record

Students must maintain an accurate permanent address with the Office of the Registrar. The address is used for official notifications including billing and notification of official university requirements. Students should maintain a current local address and telephone number that is used by university officials, and/or student organizations and the campus community.

C. Student Access to Educational Records

All current and former students of the university have the right to access their "educational records" as provided by law.

1. Students may obtain copies of records relating to themselves at their expense. The reproduction charge shall not exceed the actual cost to the university.
2. The university will respond to all requests for explanations and interpretations of records or information, if the response does not violate the Family Educational Rights and Privacy Act of 1974, as amended.
3. A student may waive the right of access to confidential letters of recommendation in the areas of admissions, job placement and receipt of awards. A student seeking employment through the Career Center University Career Services may sign a waiver.
4. Personally identifiable information such as rank in class, personal conduct, grade point average, academic progress, etc., shall not be released to non-authorized personnel without the ~~written~~ consent of the student.

D. Records Not Accessible to Students

The following are records not accessible to students:

1. Instructional, supervisory and administrative personnel records and the student's educational personnel records in the sole possession of the author and not revealed to any person other than a substitute (i.e. grade books, notes of observation and notes for recollection purposes).
2. Employment records of a university employee who is not a student.
3. Medical records are maintained for students visiting Student Health Services. Information contained in the medical record is completely confidential and will not be released to another person or institution without written permission of the student, unless otherwise authorized by law. Medical records are kept on file indefinitely at the Student Wellness Center at the corner of Main and Flint, Lubbock, Texas 79430. Students

needing to request a copy of their medical records may contact Student Health Services at (806) 743-2860. While not considered "education" records under the Family Educational Rights and Privacy Act of 1974, as amended, the mentioned statute still allows the patient, in most instances, access to his/her records. The general rule of confidentiality contains an exception when the patient or someone authorized to act on his/her behalf submits a written consent. Consent must be in writing and signed by the patient (or a parent or legal guardian if the patient is a minor). A physician shall furnish copies of medical records requested in accordance with the consent provided, except if the physician determines that access to the information would be harmful to the physical, mental or emotional health of the patient.

4. Medical and/or psychological information submitted to Student Disability Services for the purpose of determining eligibility and services are not releasable. Students may obtain the original information from the source.

E. Authorized Non-student Access to Student Records

Educational records (or personally identifiable information within a record) may be released without the written consent of the students to:

1. Officials, faculty and staff employed by the university if they have a "legitimate educational interest."
2. Officials of other educational institutions in which the student intends "or seeks" to enroll or seeks to enroll if the student is notified of what is being released and given a copy if desired.
3. Authorized representatives of the Comptroller General of the United States, the Secretary of Education and administrative heads of educational agencies or state educational authorities.
4. Individuals needing this information in connection with a student application for, or receipt of, financial aid.
5. Federal, state and local officials to whom laws (in effect on or before Nov. 19, 1984) require information to be reported.
6. Organizations such as Educational Testing Service administering predictive tests, student aid programs and improving instruction. The organizations must not show the personally identifiable information to outsiders and the information must be destroyed when no longer needed for audit, evaluation or compliance with federal requirements.
7. Accrediting organizations.
8. Parents who certify a student is carried as a dependent for federal income tax purposes. This certification must be ascertained by the university office concerned.
9. Appropriate persons, if necessary, to protect the health or safety of the student or other persons.
10. Individuals requiring such information by means of a judicial order or any lawfully issued subpoena, on condition that the student may be notified by the university of all such orders and subpoenas in advance of compliance.

F. Students' Rights to Challenge Records

Students have the right to challenge records and information directly relating to them. This section does not include procedures for students challenging

individual grades. Grade appeal procedures are described in the Student Handbook, Part V, Section A. The challenge is limited to inaccurate, misleading or otherwise inappropriate records and information. The procedures set forth below shall be followed for an appropriate challenge.

1. Any student wishing to challenge records or information directly relating to him or her must notify the individual responsible for maintaining the records. The notice must be in writing and specifically identify the item challenged and the basis for the custodian of the challenged records.
2. All initial ~~meetings~~hearings will be informal and participants will include: the custodian of the challenged records or information, the student and the author (if appropriate) of the material.
3. If any of the participants (record custodian, student or author) are not satisfied with the results of the informal ~~meeting~~hearing, a formal hearing will be conducted under the following procedures:
 - a. The hearing will be conducted within seven university working days following the request for the hearing.
 - b. The hearing will be conducted by an institutional official or other party who does not have direct interest in the outcome of the hearing appointed by the Vice President for Student Affairs.
 - c. The student may present evidence relevant to the content of the educational records to ~~insure~~to demonstrate how that they are not inaccurate, misleading or otherwise inappropriate data contained in the records and for insertion into the records a written explanation by the student requesting the content of the challenged records.
 - d. A written decision must be delivered in writing to all interested parties within seven university working days after the conclusion of the hearing.

G. Release of Student Directory Information

~~The publication known as the Texas Tech Campus Directory is one type of periodical containing data classified as "student directory information." This publication may contain the student's name, address, telephone listing, major field of study and classification unless the student indicates on the appropriate form at the time of fall registration that part or all of the data be withheld from the publication. Information on students, such as date and place of birth, participation in officially recognized activities and sports, weight and height of members of athletic teams, dates of attendance, degrees and awards received and the most recent previous education agency or institution attended, is defined by law as "Student Directory Information" (this is a category of information and does not refer necessarily to a publication known as a "directory"). This information will be released by various campus offices periodically, or on request, unless the student stipulates, in writing, that specific information be withheld.~~

The following student information is considered Texas Tech University Directory Information:

- a. Student Name
- b. Local Address
- c. Permanent Address
- d. All Telephone Numbers

- e. Date and Place of Birth
- f. Major Field of Study
- g. Dates of Attendance
- h. Degrees, Awards, and Honors Received
- i. Specific Enrollment Status
 - 1. Full-time, Part-time, Half-time
 - 2. Undergraduate, Graduate, Law
- j. Participation in Officially Recognized Sports and Activities
- k. Previous Institution Attended

This information will be released by various campus offices periodically, or on request, unless the student stipulates that specific information be withheld in writing or restricts the information on Web for Students at <http://www.techsis.admin.ttu.edu/student/>.

The publication known as the Texas Tech Campus Directory is one type of printed periodical containing data classified as "student directory information." To restrict directory information from appearing in the printed directory, students must go to Web for Students and restrict directory information **prior** to the 12th class day in the fall term. Restricted directory information will remain restricted until the student unrestricts the information.

H. Destruction of Records

The university constantly reviews the "educational records" it maintains and periodically destroys certain records. The university will not destroy records if prohibited by state or federal law. The student's basic scholastic record is kept and maintained permanently in the Office of the Registrar. Disciplinary records are maintained for at least seven years in the Student Judicial Programs office. Student Disability Services records are maintained for three years after the last date of enrollment.

I. Letters of Recommendation

- 1. Students may review recommendations used in application for employment or for admission to any educational agency or institution, or information concerning honors awarded, except when the student waives, in writing, the privilege of examination.
- 2. Appropriate forms are available in the University Career Services Center for students using this service for future employment purposes. These forms are designed to provide the student with several options concerning the use and confidentiality of future letters of reference and recommendation.
- 3. Under the Family Educational Rights and Privacy Act 1974, as amended, the student does not have access to confidential letters and statements of recommendation which were placed in the educational records before January. 1, 1975, if the letters or statements are used for purposes for which they were specifically intended.

J. Medical Records

Medical records are maintained for students seen by a Student Health Services provider~~students visiting Student Health Services~~. Information contained in the medical record is completely confidential and will not be released to another person or institution without written permission of the student unless otherwise authorized by law. Students needing to request a copy of their medical records may contact Student Health Services at (806) 743-2860.

PART V: Student Grievance Procedures

A. General Grievance Policy

Prior to filing a formal grievance, students are encouraged to attempt to resolve the concern directly with the individuals involved or informally with the assistance of the Ombudsman for students. Students will find that most situations can be effectively addressed in this manner. In general, students wishing to review the action of an individual or department should direct their questions to the persons responsible for the individual or department. Procedures for handling specific concerns are outlined below.

1. Personal Records

Guidelines governing student access to personal records and the procedures for challenging information in these records are contained in the student records policy that is detailed in the Student Handbook Part IV.

2. Disciplinary Action

The university disciplinary appeals process is outlined in the Student Handbook Part IX, Section E. Procedures relating to the School of Law are contained in the Honor Code of the School of Law. Procedures relating to the School of Medicine, School of Nursing and the School of Allied Health are contained in the School of Medicine Student Handbooks, School of Nursing Student Handbook, and the School of Allied Health Student Handbooks.

3. Employment

A student wishing to pursue a grievance concerning employment with the university and who has not found satisfaction or resolution with his or her immediate supervisor or the person in charge of that department may contact the Office of Equal Employment Opportunity in accordance with the grievance procedures outlined in the university Operating Policy 70.10. The procedures manual may be reviewed in the Office of Equal Employment Opportunity.

4. Grades

The instructor assigned to a course has the responsibility for determining a grade and for judging the quality of academic performance. A grade can be formally appealed only when there is demonstrable evidence that prejudice, arbitrary or capricious action on the part of the instructor has influenced the grade. The burden of proof that such an unfair influence has affected a grade rests with the student who appeals the grade. The complete student grade appeal policy and procedure is listed in Texas Tech University Operating Policy 34.03. A copy of the grade appeal procedures may be obtained from any academic college dean's office or

from the Office of the Provost. Also, refer to the Student Handbook Part II, Section B, Academic Integrity.

5. *Students with Disabilities*

Students with grievances related to discrimination on the basis of a disability may contact the Dean of Students. Students with concerns which arise out of their employment with the university should refer to No. 3 on Employment. Any student seeking remedy on the basis of disability must register as a disabled student with Student Disability Services and must provide all required documentation of disability.

6. *Race, Religion, National Origin, Age Discrimination*

Grievances related to discrimination on the basis of race, religion, national origin, age or sexual orientation should be pursued through regular administrative channels. Academic problems are to be handled in the academic administrative structure culminating in review by the pProvost. Nonacademic student matters should be directed to the Dean of Students.

7. *Sex Discrimination*

Discrimination on the basis of sex in student programs and activities or employment, ~~respectively,~~ are prohibited under Title IX of the Higher Education Amendment of 1972, Title VII of the Civil Rights Act and the Texas Human Rights Act respectively. Complaints concerning the violation of these acts should be directed to the Dean of Students-office.

8. *Sexual Harassment*

Student concerns about sexual harassment which include faculty, staff or students should be directed to the Dean of Students-office, in accordance with university Operating Policy 10.09 regarding sexual harassment. Also, refer to the Student Handbook Part IX, Section B, 7d.

9. *Traffic and Parking Citations*

Students may appeal a campus parking citation in writing either online at www.parking.ttu.edu, by email at parking@ttu.edu or by US Mail to 2903 - 4th Street, Room 145; Lubbock TX 79410. University Parking Services regulations and a description of the three-tiered appeals process as described in the Traffic and Parking Rules and Regulations available online or in person from the Texas Tech Police Department or at University Parking Services. ~~to the Director of University Parking Services. University Parking Services regulations and a description of the appeals process are described in the Traffic and Parking Regulations available from the Texas Tech Police Department and the University Parking Services Office.~~

10. *Graduate School Requirements*

Graduate students may appeal the results of comprehensive or final examinations for a degree, allegedly excessive requirements by an advisor or committee or other activities relating strictly to graduate education under procedures established by, and available in, the Graduate School Dean's Office.

B. General Grievance Procedures

A general procedure for a grievance filed in the Dean of Students-office shall be as noted below. The general procedures set forth do not apply to applicants for employment or applicants for admission to the university.

1. Any student wishing to file a grievance based on discrimination shall notify the Dean of Students ~~office~~ of that complaint in writing.
 - i. The written notice should state specifically in what way the student was discriminated against, by whom and on what date or dates, giving the name address and telephone number of the student and, if possible, of any other persons allegedly involved either as witnesses or participants. The student should also state specifically what remedy the student seeks.
 - ii. The written grievance must be signed by the student, hereinafter referred to as the complainant, and filed within 30 university working days of the alleged discrimination. Determination as to whether complaints filed after this deadline will be considered is done on a case-by-case basis.
2. The appointed staff member in the Dean of Students ~~office~~ follows the requirements of due process consistent with these procedures. In any complaint proceeding, all parties shall have the right to:
 - a. Be notified of the alleged discrimination, specific acts involved and remedy sought.
 - b. Know the source of the complaint.
 - c. Be accompanied by an advisor for advisory purposes only at any proceeding.
3. An investigation, as may be appropriate, shall follow the filing of a grievance. In most instances, the investigation shall be conducted by the staff member in the Dean of Students ~~office~~. These rules contemplate informal but thorough investigations, affording all involved parties an opportunity to submit evidence relevant to the grievance. The investigation process generally is completed within 30 university working days from when the written allegation is filed.
4. A written determination as to the validity of the grievance and a description of the resolution, if any, shall be issued by the investigator and a copy forwarded to the complainant.
5. The investigator shall maintain all files and records relating to the grievances filed for one calendar year.
6. The complainant may, in writing, request a reconsideration of the case in instances where he or she is dissatisfied with the resolution. The request for reconsideration should be made within 5 university working days to the Vice President for Student Affairs.
7. The Vice President for Student Affairs will review the grievance resolution and notify the complainant of the resulting determination in writing within 15 university working days.
8. Final determination rests with the Vice President for Student Affairs unless specifically noted elsewhere.
9. The right of a student to a prompt and equitable resolution of the complaint so filed shall not be impaired by the student's pursuit of other remedies, such as the filing of a complaint with the responsible federal department or agency.

PART VI: Registration of Student Organizations

A. Categories and Definitions

1. *Registered Student Organizations*

A registered student organization is a group (president, treasurer and a minimum of three members, excluding officers) comprised of at least five students enrolled at Texas Tech University who voluntarily come together under a common purpose. The purposes and activities of the organization shall be lawful and not in conflict with the policies, rules, regulations and standards of the university and/or federal, state and/or local statutes.

Generally, student groups broadly fall under one of the following categories: Academic/Professional, Honorary, International, Social Fraternities/Sororities, Graduate, Multicultural, Religious, Residence Hall, Service, Spirit, Recreational, Law School and Special Interest. All student organization registration is administered by Student Union & Activities.

2. *Sports Clubs*

~~Recreational Sports is responsible for the oversight of the Texas Tech Sports Club program. is administered by the Department of Recreational Sports and is designed to provide opportunities for students to participate in a variety of sports activities. This program exists to promote and develop interest in sports. Sports club members learn new skills, engage in competition and enjoy the recreational and social fellowship of sport. A group seeking sports club status must first be a registered student organization, subject to the rules and regulations of the university. Following the organization registration process, a group should request a meeting with the Sports Club program~~Recreational Sports to initiate the application process for sports club affiliation. After obtaining Sports eClub status, groups must also comply with the guidelines of the ~~Sports Club program~~Recreational Sports.

3. *Social Fraternities/Sororities*

~~The Center for Campus Life is responsible for the oversight of Texas Tech Social Fraternities and Sororities are administered by the Center for Campus Life. A group seeking social fraternity or sorority status must first be recognized by one of the four governing councils for social fraternities and sororities: Interfraternity Council, InterGreek Council, National Pan-Hellenic Council, and Panhellenic Council. The organization must also be in good standing in the Center for Campus Life. If the Center for Campus Life deems that the student organization's actions or activities are detrimental to the educational purposes of the university or not in accordance to the current Student Handbook, the registration of the student organization may be temporarily suspended in accordance with Part VI: Registration of Student Organizations, Section I.~~

B. Conditions for Registration of New and Reforming Student Organizations

1. Membership in ~~the a student organization~~ shall be open only to students enrolled at Texas Tech University without regard to race, religion, sex, disability or national origin; except in cases of designated fraternal organizations which are exempt by federal law from Title IX regulations concerning discrimination on the basis of sex. All student organizations

- registering as a social fraternity or social sorority must show proof of their Title IX exemption by attaching to their registration application a letter from their national affiliate with their IRS 501 C number. Student ~~Organizations~~ filing for registration as a social fraternity or social sorority are ~~also encouraged~~ should also to obtain concurrent membership from the umbrella governing organization and/or board (Interfraternity Council, Inter-Greek Council, National Pan-Hellenic Council, Panhellenic Council).
2. Faculty and staff may hold associate memberships to the extent allowed by the student organization's constitution.
 3. The organization shall not duplicate the purposes and functions of a previously or currently registered student organization unless the need for duplication is substantiated with ~~the Student Union & Activities Office~~.
 4. All funds allocated to a registered student organization from university-controlled sources must be maintained in a university account. Additional resources acquired by fundraising may be kept in an off-campus organizational account.
 5. The student organization shall show initiative in effectively meeting its stated goals and be lawful and peaceful in its activities. ~~The Student Union & Activities Office~~ is available to assist in organizational development.
 6. The student organization shall be free from control by any other non-student individual or organization. Alumni and affiliate/associate members should not be granted voting privileges nor can they hold executive officer positions. To preserve the governing integrity of a student organization, these privileges can only be vested in currently enrolled students at Texas Tech University.
 7. Registered student organizations shall not use the name, logotype or symbols of the university as part of its name or in its publications. In addition, the organization shall not advertise or promote events ~~functions~~ or activities in a manner that suggest sponsorship by the university. Registered student organizations are permitted to use the word "Tech" as a part of their names or to use the complete statement "a registered student organization at Texas Tech University." Approval of the use of logos or symbols protected by Texas Tech University is under the discretion of the Athletic Department External Operations, Texas Tech University.
 8. Solicitation is prohibited on campus by registered student organizations that may abridge any contractual agreements of Texas Tech University. To avoid violations, registered student organizations should seek clarification on any solicitation initiatives or materials in the Student Union & Activities. Any student organization wishing to solicit on campus must follow the policies and procedures listed in the current Student Handbook, Part VIII.
 9. All registered student organization resources must be used to advance and support the organization's purpose, identified goals, and/or mission.
 10. ~~Must comply with University Operating Policies and Procedures~~ university rules, standards, and policies.
 11. ~~Student Organization~~ registration does not imply university approval of either the organization or its functions or activities.

C. Registration of New and Reforming Groups Student Organization

1. New and/or reforming student ~~groups~~ organizations that desire the benefits of a registered student organization must attend a Student Organization Registration Seminar (the seminars are scheduled weekly throughout the spring re-registration period) and/or make an individual appointment with the Student Union & Activities staff to discuss the policy regulating the registration of student organizations.
2. After attending the seminar or individual appointment and reviewing the policy regulating student organization registration, students who are still interested in reorganizing or forming an organization and are in a position to meet the requirements of registered student organizations, should complete an online registration packet at this time.

Please go to Student Organization page on the Student Union & Activities website at www.studentorgs.ttu.edu and you will be guided through the process of completing the registration process online.

- a. Student organizations will need to assign a representative from their organization to use their eRaider user account to access the database. Also, when those responsibilities change, organizations need to be sure to change the user access from the existing to the newly designated representative. If, for any reason, this transition becomes difficult, please discuss it with the Student Union & Activities staff.
- b. To validate the online process, student organizations must:
 - i. List of Officers (must have president and treasurer).
 - ii. List of membership, must have a minimum of three members (signatures required) in addition to a president and treasurer (total minimum organization size of five).
 - iii. List a member of the organization as the Student Organization Advisory Congress (SOAC) representative for Undergraduate Organizations or Graduate Student Organization Advisory Congress (GSOAC) representative for Graduate Organizations (Either an officer or a general member may be listed.).
 - iv. Submit updated copy of local constitution and by-laws and constitution and by-laws of any other local, state or national affiliate organization. (If applicable) Please sign and date all constitutions.
 - v. New student organizations registering as a single-sex, social fraternity or social sorority must show proof of their Title IX exemption. Upon filing their registration application, groups must attach a letter from their national affiliate with their IRS 501C (Internal Revenue Code) tax exemption number from the Internal Revenue Service. This is the mechanism the government uses to verify single-sex exemption.
 - vi. Provide a signature, title, campus address, telephone number and e-mail address of a full-time Texas Tech University faculty or staff member indicating their willingness to serve as the organization's advisor.
 - vii. Sign an agreement to comply with all university standards, rules and/or policies as well as all federal, state, and/or local laws.

- viii. Submit the signed signature page(s) to complete the registration process. These forms can also be faxed, mailed, or delivered to Student Union & Activities.
3. A student ~~group~~ organization may file the "intent to form" a registered student organization" application with the Student Union & Activities staff administering student organizations. This is a temporary status, which lasts for 90 days and allows the non-registered group the privileges of university facilities and publicity venues common to registered student organizations. The 90-day time period should be used to recruit new members, develop a constitution and by-laws and obtain a permanent full-time faculty/staff advisor.
4. Before the "intent to form" expiration deadline, students still interested in permanent status should complete a registration packet, including a copy of all required constitutions, and schedule a meeting with the Student Union & Activities staff to discuss finalizing their status as a registered student organization. Extensions of the "intent" status are possible under extenuating circumstances and requests should be addressed to the Student Union & Activities. Although re-registration of current groups occurs during the spring, this does not mean that new or reforming groups have to wait until the spring to complete the process.
5. The completed registration application must be received by Student Union & Activities annually by mid-April (date to be announced annually).

3. Annual Registration Process

- ~~4. The completed registration application must be received by the Student Union Activities annually by mid-April (date to be announced annually), and contain the following:~~
- ~~5. List of Officers (must have president and treasurer).~~
- ~~6. List of membership, must have a minimum of three members (signatures required) in addition to a president and treasurer (total minimum organization size of five).~~
- ~~7. List a member of the organization as the Student Organization Advisory Congress (SOAC) representative for Undergraduate Organizations or Graduate Student Organization Advisory Congress (GSOAC) representative for Graduate Organizations (Either an officer or a general member may be listed).~~
- ~~8. Submit updated copy of local constitution and by laws and constitution and by laws of any other local, state or national affiliate organization. (If applicable) Please sign and date all constitutions.~~
- ~~9. Provide a signature, title, campus address, telephone number and e-mail address of a full time Texas Tech University faculty or staff member indicating their willingness to serve as the organization's advisor.~~
- ~~10. Sign an agreement to comply with all university standards, rules and/or policies as well as all federal, state, and/or local laws.~~
3. New organizations registering as a single sex, social fraternity or social sorority must show proof of their Title IX exemption. Upon filing their registration application, groups must attach a letter from their national affiliate with their IRS 501C (Internal Revenue Code) tax exemption

number from the Internal Revenue Service. This is the mechanism the government uses to verify single-sex exemption.

Electronic Registration

Please go to Student Organization page on the Student Union & Activities website at www.sub.ttu.edu and you will be guided through the process of completing the registration process online.

Student groups will need to assign a representative from their organization to use their eRaider user account to access the database. Also, when those responsibilities change, organizations need to be sure to change the user access from the existing to the newly designated representative. If, for any reason, this transition becomes difficult, please discuss it with the Student Union & Activities staff.

To validate the online process, student groups must:

Select a full time faculty/staff advisor.

Select a president, treasurer and have a minimum of five members.

Select a member as Student Organization Advisory Congress (SOAC) representative or Graduate Student Organization Advisory Congress (GSOAC).

Submit registration application and (if applicable) affiliate constitutions and/or by laws. "Intent to Form" organizations must submit a registration application and current local constitution and/or by laws prior to the expiration of "intent" to form a registered student group or by the end of re-registration period.

Submit one updated (if applicable) hard copy of affiliate constitutions annually.

Submit a Signed University Policy Agreement.

Submit the signed signature page(s) to complete the registration process. These forms can also be faxed, mailed, or delivered to Student Union & Activities.

Organizational updates and changes can also be made electronically at the Student Union & Activities webpage.

D. Benefits

1. Registered Student Organizations

Benefits include: free space reservations in the Student Union, free mailbox in the Student Union, organization information published online, posting on campus, use of university logo (with permission of Athletics Department External Operations), leadership training, ready references and access to Involvement Center, opportunity for free cubicle space through the Student Union & Activities, and free webpage through Academic Computing Services. Registered student organizations may apply for funding through the Student Government Association (SGA) each year provided they are registered as a student organization with the Student Union & Activities prior to the application deadline for reviewing registered student organization funding.

2. Sports Clubs

Sports clubs are entitled to all of the benefits of a registered student organization. In addition, each club receives administrative and financial

support from the Recreational Sports Department. In addition, each club receives administrative and financial support from Recreational Sports Department. However, since the Sports Club program receives its funding from the Student Recreation Fee, organizations that affiliate with the Recreational Sports Department are not eligible for SGA funding. ~~Club Sports Sports Clubs~~ not affiliating with the Recreational Sports Department are not eligible for SGA funding.

E. Faculty or Staff Advisor

1. Each registered student organization shall have a full-time university faculty or staff advisor available to the officers and members for consultation regarding the affairs of the organization. Attendance of organizational meetings and functions is encouraged to facilitate incorporating the advisor into the organization's program planning and decision-making. The advisor should certify the organization's expenditures by co-signing all checks or vouchers. Most importantly, the advisor must oversee adherence to university standards, rules and/or policies as well as the organization's constitution and by-laws.
2. Registered student organizations may have additional advisors, i.e. coaches (typical of sports clubs) or alumni advisors, to the extent permitted by their constitution and/or by-laws; however, one advisor must be a full-time Texas Tech University faculty or staff member as required and identified in the registration packet.
3. Any individuals who is a secondary advisor or coach who is not affiliated With the university or is not a full-time Texas Tech employee should also be included when filling out the registration application, complete with names, addresses, telephone numbers and emails.
4. Registered student organizations have 10 university business days to notify the Student Union & Activities with the name, address, telephone number and email of any new or replacement full-time university faculty or staff member appointed as their advisor. Failure to do so may result in suspended privileges.
5. Certain student organizations do not choose their advisor(s); rather, they are assigned a full-time faculty or staff person by the department to oversee the administration of those areas, groups and resources.
6. Established full-time university faculty or staff members, who reduce employment hours below full-time status and maintain an office on-campus, may continue to function as the "Primary" advisor of a student organization with the approval of the Student Union & Activities.

F. Prerequisites for Maintaining Registration

To maintain its active status throughout the academic year, a registered student organization must meet or submit the following criteria to the Student Union & Activities.

1. File a list of its current officers and SOAC/GSOAC representative within 10 university business days from the day of elections and file notification of subsequent changes when such occur.
2. File a list of its current advisors within 10 university business days of the acceptance of the full-time faculty or staff advisor to the position.

Notification of advisor changes should also be made within 10 university business days.

3. Submit all changes in documents on file relating to the organization (i.e., revisions to constitution, changes in statement of purpose, procedures for handling organization funds or membership requirements). Registered student organizations shall be responsible for updating any revisions to their local and affiliate constitutions with the Student Union & Activities within 10 business days of any changes. Should an organizational dispute occur that involves university intervention, registered student organizations are bound by the constitution and by-laws on file with the Student Union & Activities.
4. Conduct its affairs in a lawful manner as a collaborative entity, in accordance with the constitution and by-laws it has on file, and applicable policies, rules, regulations and standards of the university and/or federal, state, and/or local statutes.
5. Registered Student Organizations are required to function (on and off campus) within established guidelines including, but not limited to, University Operating Policies and Procedures.
6. Solicitation on campus by registered student organizations may not abridge any contractual agreements of Texas Tech University. To avoid violations, registered student organizations should seek clarification on any solicitation initiatives or materials with the Student Union & Activities staff.
7. Ensure off-campus individuals or organizations whose appearance on campus is sponsored by the organization observe all applicable policies, rules, regulations and standards of the university.
8. In accordance with State of Texas Bill 2639, all registered student organizations are required to attend training sessions on the topic of risk management as it relates to individuals, organization functions, and/or activities. Social fraternities and sororities and Sports Clubs are required to attend the Clay R. Warren Memorial Risk Management Retreat annually. Student Union & Activities and/or other designated departments may require other student organizations to attend the Clay R. Warren Memorial Risk Management Retreat in order to fulfill this requirement. Student organizations not required to attend will participate in an alternative risk management training opportunity identified by Student Union & Activities.
9. Student Union & Activities and/or the Student Judicial Programs may suspend the registration of an organization for noncompliance with the regulations and/or standards as set forth in the ~~current~~ Student Handbook.

G. Temporary Suspension and Denial of Registration

1. ~~A student organization will not be officially registered with the Student Union & Activities if it is determined that the organization's actions or activities are detrimental to the educational purposes of the university or not in accordance to the current Student Handbook.~~ The registration of a student organization may be temporarily suspended while an investigation is pending involving an alleged violation of registered student organization policies and procedures as outlined in the ~~current~~ Student Handbook. The

registered student organization will be afforded all due process guidelines as described in the ~~current~~ Student Handbook. The president of the student organization may file an appeal in writing to the Managing Director of the Student Union & Activities within five university business days from the date of the "temporary suspension" notification letter ~~or meeting with the Associate/Assistant director~~. If the director upholds the decision, the president of the student organization may appeal in writing within five university business days to the Dean of Students. The decision of the Dean of Students shall be final.

2. The president and advisor of the student organization shall be notified of a decision to deny registration in writing by the Student Union & Activities. The president of the applying organization may wish to schedule a meeting with the Associate/Assistant Director of the Student Union & Activities to discuss the denial. If, following the meeting, the group wishes to file an appeal, the president must do so in writing to the Student Union & Activities within five university business days from the date of the "denial" notification letter ~~or meeting with the Associate/Assistant Director~~. If the ~~D~~director upholds the decision to deny registration, the president of the applying organization may appeal in writing within five university business days to the Dean of Students. The decision of the Dean of Students shall be final.

PART VII: Use of University Space, Facilities and Amplification Equipment

A. General Policy

With the exception of free expression activities outlined below, the space and facilities of the university are intended primarily for the support of the instructional programs of the institution. Second priority is given to programs sponsored and conducted by university academic and administrative departments or organizations affiliated with those departments. Beyond these two priorities, use of campus space and facilities is encouraged for activities that have as their purpose service or benefit to the Texas Tech University community, and that are sponsored by registered student organizations. University buildings, grounds or property may not be used by individuals or organizations not connected with the university, with the exception of the use of Forum Areas for free expression as set forth in Section F below or as otherwise permitted under Texas Tech University or Texas Tech University System policies. An individual who is not a student, faculty, or staff member may attend functions or activities held on university property, but to be eligible for the use of campus facilities, the function or activity must be sponsored by and affiliated with a university department or registered student organization. Sponsorship and/or co-sponsorship minimally include, but not limited to, direct participation in planning, coordination and implementation by members of the sponsoring organizations. A department, student or registered student organization may not reserve space or facilities on campus and permit it to be used by a non-registered organization or off-campus group or person. Except as otherwise permitted under Texas Tech University or Texas Tech University System policies, non-registered organizations or off-campus groups or persons not sponsored by a department or registered organization will not be permitted to reserve space on campus. State law

requires that university facilities and property be used only for state purposes and not for private gain.

B. Reservation Requirements

Reservations must be made for the use of buildings and grounds under the control of the university. Requests for reservations will be granted according to the priorities of the designated area. Requests must be made to the appropriate office. University departments, faculty, and staff should apply to the Academic Support and Facilities Resources Office. Registered student organizations or individuals should apply to the Student Union & Activities. Requests from registered student organizations must be signed by the organization's president and full-time faculty/staff advisor. Requests from individuals must be signed by the person applying for the use of the space or facilities.

C. Use of Facilities by Student Organizations

1. Student organizations must be registered to use university facilities or grounds.
2. A student organization that has petitioned the Student Union & Activities for registration status may hold up to three meetings in the Student Union pending action on the petition. These meetings must be held within a 25 calendar-day time period from the date the petitioning organization filed its intent to register. Other campus facilities or space may not be reserved by "petitioning" student organizations.

D. Procedure and Priorities for Designated Facilities

1. *Student Union*

Priority for use of space in the Student Union is given to programs and activities which are conducted by the various departments within the Division of Student Affairs. Secondary priority is given to registered student organizations and university departments. Reservations must be made in the Student Union Office Room 203.

2. *Academic Buildings*

- a. Any registered student organization affiliated with, or sponsored by, an academic department may request the use of space in academic buildings for specific purposes. These purposes may include, but are not limited to, regular meetings of honorary or professional organizations, lectures, seminars or workshops and special programs and functions. The space must be reserved through the Academic Support and Facilities Resources Office. Recurring space assignments may be made for one semester only. All space assignments are made on the basis of use consistent with the purposes of the university and of available space. Space assignments will not be made during final examination periods. Academic use by departments and colleges has priority over other uses and organizational assignments may be changed or canceled if conflicts with regular academic programs develop.
- b. Registered student organizations not affiliated with, or sponsored by, an academic department may request the use of space in

academic buildings. This space will be assigned on a "limited" basis if:

- 1) Suitable space is not available in the Student Union.
- 2) The intended use is in keeping with the educational purposes of the university.
- 3) The intended use does not conflict with the use by academic programs or academic organizations.
- 4) The intended use does not conflict with normal security and maintenance schedules.

3. *Residence Halls*

Regularly enrolled students who live in the residence halls and participate in the residence hall governments have first priority for all residence hall facilities. Facilities may also be provided for individuals or groups whose activities are sponsored by, or affiliated with, University Student Housing. University departments or registered student organizations may use residence hall facilities during the summer, or at times when space is available, for workshops, institutes, short courses and conferences. However, space availability is limited, and requests for the use of residence hall space must be made to the Director of ~~Housing and Residence Life~~University Student Housing.

4. *Intercollegiate Athletic Facilities*

The Jones/AT&T Stadium Athletic Complex, R.P. Fuller Track Stadium, Dan Law Field, varsity tennis courts and athletic practice fields are owned and maintained by the university for the primary use and benefit of the intercollegiate and intramural athletic programs of the university, of allied non-university athletic activities consistent with such programs and of official academic events of the university. The use of these facilities shall be limited to these purposes unless otherwise authorized by the Intercollegiate Athletics Office. Requests for use of all intercollegiate athletic facilities must be made to the Intercollegiate Athletics Office.

5. *Recreational Facilities*

~~The Robert H. Ewalt Recreational Center, Aquatic Center, recreation fields, tennis courts, handball courts and basketball courts are intended primarily for student recreational and instructional use on an organized group and individual basis. The Department of Recreational Sports is responsible for scheduling the use of these facilities.~~

6. *United Spirit Arena*

The United Spirit Arena is a 15,000-seat multi-purpose facility and is host to a variety of entertainment and athletic events, including Texas Tech University basketball and volleyball, commencement ceremonies, concerts and numerous meeting room events. Facilities available for lease within the United Spirit Arena include the four meeting rooms in the City Bank Conference Center, the Arena Food Court, the arena concourse, the arena floor and the arena bowl area. Registered student organizations receive rental discount for the City Bank Conference Center meeting rooms. Texas Tech University Commencement, Health Sciences Center Commencement, Texas Tech basketball and volleyball games and major arena events, such as concerts, have priority in booking the United Spirit

Arena. Space in the United Spirit Arena is reserved through the Arena Management Office.

E. Use of Campus Grounds

1. Selected grounds area (other than those described above) are available for activities that are sponsored and approved by university departments, registered student organizations or individual faculty, students and employees. Academic use by departments and colleges has priority and assignments may be changed or canceled if conflicts with regular academic programs develop.
2. Students or registered student organizations desiring to use campus grounds must register for grounds use in the Student Union & Activities (Student Union Room 203). Faculty, staff, or departments of the university desiring to use campus grounds must register for grounds use in the Academic Support and Facilities Resources Office (Room 246 Administration Building). In accordance with the university's Operating Policy 61.02 regarding Use of University Grounds, Facilities and Amplification, each use must be approved in writing by the Outdoor Events Coordinating Committee (OECC). Requests must be submitted at least six university working days before the intended use. Recurring use assignments shall not be permitted.
3. The Student Union & Activities and the Academic Support and Facility Research will coordinate all grounds use applications and shall grant only grounds use requests that are consistent with applicable university regulations and local, state and federal law.
4. A permit granting grounds use shall specify the boundaries of the area to be used, the date for which the use is approved, the time at which the proposed activity may begin, the time at which the reservation for the use expires and any special provisions concerning the use of the space. No request will be approved for activities occurring during individual study days and/or final examination period.
5. Students or registered organizations using a designated area are subject to the following requirements:
 - a. Use of amplification equipment must comply with Section H of these regulations.
 - b. A structure may not be erected on campus grounds without prior written approval that will include arrangements for cleaning up after the event.
 - c. If any expenses will be incurred in the course of an event, the sponsor or co-sponsor will be required to supply a university account number before the activity can be approved by the Outdoor Events Coordinating Committee.
6. Violations of these campus grounds use regulations are subject to the disciplinary sanctions, conditions and/or restrictions and procedures outlined in the *Code of Student Conduct*.
7. Students or registered student organizations desiring grounds use may be required to provide evidence of appropriate liability insurance in accordance with recommendations from the General Counsel's Office, Risk Management Office, other university departments or others as

necessary prior to approval from the Outdoor Events Coordinating Committee.

8. Participants in, and/or sponsors for, events may be required to sign a "Hold Harmless" release.
9. The sponsor should contact the Environmental Health and Safety Department to make necessary arrangements for any event that includes concessions or a mobile concession stand. In order for any person, vendor, organization to operate a temporary food service or mobile unit on Texas Tech property, the Environmental Health and Safety Department must issue a valid Temporary Food Service Permit.
10. The sponsor should contact the University Parking Services Department to make necessary parking arrangements for the event.

F. Freedom of Expression Activities and Forum Areas

The open exchange of information, opinions, and ideas between students is an essential element of the campus experience. These policies are intended to protect the interests of all students as well as other members of the university community. These policies presume that students are generally free to engage in freedom of expression activities in those outdoor areas of campus that are common and accessible to all students (such as park-like areas and sidewalks) without the need of prior approval of the university.

Although the Texas Tech University campus is generally an open campus for purposes of student freedom of expression activities, students are encouraged, and persons and groups not affiliated with the university are required, to use the Forum Areas of the campus for freedom of expression activities.

1. The following are the Forum Areas as currently defined at Texas Tech University. Each location is marked with a plaque that reads, "Forum Area," and may be used on a first-come, first-serve basis.
 - a. Southwest Collections – the outdoor gazebo and concrete octagon surrounding it located immediately east of the Southwest Collections building close to the corner of 15th Street and Boston Avenue.
 - b. Engineering Key – the northern 1/3 of the grassy area of the Engineering Key from the diagonal sidewalk going north to the flowerbed and bound by the street curbs on the east and west sides.
 - c. Student Union – northeast corner (15th Street and Akron Avenue).
 - d. Student Union/Library Plaza – the southern 1/3 of the plaza between the Student Union and Library described as follows: From the southwest raised flowerbed in front of the Library on the west to the black brick border of the flowerbeds on the east; and from the black brick border that stretches from the Library steps to the flowerbed outside the Student Union west entrance on the north to the bollards on the south end.
 - e. Jerry S. Rawls College of Business Administration – the western half of the courtyard between the College of business Administration building and the Architecture building as described by the midway sidewalk on the east to the inside of the Flint

Avenue sidewalk on the west and the sidewalks on the north and south sides.

- f. Urbanovsky Amphitheater – the Urbanovsky Amphitheater bound on the west by the second semicircular sidewalk, on the east by the inside of the sidewalk bordering Flint Avenue, and by the north and south sidewalks.
2. Students engaged in freedom of expression activities on campus may be required to relocate under the following circumstances:
 - a. The location selected for the activity is inadequate for the purpose for which it will be used (either too close to buildings, not big enough for the event, etc.);
 - b. The activity substantially interferes with either vehicular or pedestrian traffic;
 - c. The activity blocks the ingress or egress to buildings;
 - d. The space is not available due to prior reservation;
 - e. The activity conflicts with a previously planned university activity;
 - f. The activity creates a sustained or repeated noise disturbance that substantially interferes with the normal activities of the university;
 - g. The activity presents an unreasonable danger to the health or safety of the applicant or other individuals;
 - h. The activity is prohibited by local, state, or federal law; or
 - i. The activity prevents fire protection, law enforcement, or emergency medical service providers from access to areas on campus.
3. Students engaged in freedom of expression activities may be subject to discipline under the Code of Student Conduct for the following actions:
 - a. Activities that are illegal.
 - b. Activities that deny the rights of other students, faculty and staff of the university.
 - c. Activities that substantially obstruct or restrict the free movement of persons on any part of the university campus, including the free entry or exit from university facilities.
 - d. Activities that deny the use of office or other facilities to the students, faculty, staff or guests of the university.
 - e. Activities that threaten or endanger the health or safety of any person on the university campus.
 - f. Activities that include the use of obscenities, libelous statements, or “fighting words,” as defined by law.
 - g. Activities that result in damage to or destruction of university property;
 - h. Activities that attempt to prevent a university event or other lawful assembly by the threat or use of force or violence.
4. Signs, banners, posters, and other displays used for freedom of expression activities must be handheld and must remain in the hands of individuals engaged in the expressive activities at all times.

G. Appeals of Ground Use Request Denials

Students of registered student organizations whose requests for the use of campus grounds or non-academic space are denied, may appeal to the Managing Director of Student Union and ~~&~~ Activities as follows:

1. A written appeal describing the objections to the denial presented to the Managing Director of Student Union and ~~&~~ Activities must be filed no later than five university working days after the receipt of notice of the denial from the Outdoor Events Coordinating Committee.
2. The Managing Director of Student Union and ~~&~~ Activities will convey the appeal decision, in writing, to the student or registered student organization and to the Outdoor Events Coordinating Committee within a reasonable time from the receipt of the written appeal.

H. Use of Amplification Equipment

1. *Use of Amplification Equipment for Freedom of Expression Activities*
 - a. Use of Amplification Equipment in Forum Areas. Students and registered student organizations may use amplification equipment for freedom of expression activities within the designated Forum Areas from 8:00am to 5:00pm Monday through Friday.
 - b. Use of Amplification Equipment in All Other Outdoor Areas. Students and registered student organizations may use amplification equipment for freedom of expression activities in all other outdoor areas of the campus from 2:00pm to 5:00pm Monday through Friday.
 - c. Use of amplification equipment is subject to all rules concerning the time, place, and manner of freedom of expression activities and Forum Areas as set forth in Section F of this policy.
 - d. Only handheld amplification devices are permitted.
 - e. No amplification of sound is permitted during the week prior to or the week of final exams.
 - f. The volume and direction of amplification equipment shall be controlled so as not to interfere with classes in session, examinations, or other campus community activities.
 - g. Use of amplification equipment shall not create a sustained or repeated noise disturbance that substantially interferes with the normal activities of the university community.

2. Other Use of Amplification Equipment

The use of loudspeakers, any other type of amplification equipment (e.g. Portable stereo devices, portable studios, etc.), or amplified musical instruments on university grounds by students and/or registered student organizations for any purpose other than expressive activities as set forth in Section H(1) above is by permission only.

- a. Applications from individuals, departments, and organizations for permission to use amplification equipment must be made on the Grounds Use and Solicitation Request form provided by the Student Union & Activities and Academic Support and Facilities Resources.
- b. Applications must be submitted at least six university working days before the intended use.

- c. The Outdoor Events Coordinating Committee and the Director of Academic Support & Facilities Resources may prescribe rules concerning scheduling, maximum sound levels, location and direction of speakers, and other rules to facilitate the use of amplified sound to mediate any conflict with university functions, classes in session, examinations, other nearby activities, and the campus environment.
 - d. The use of amplification equipment for solicitation purposes must conform to all campus grounds use provisions, as well as policies governing solicitation and commercial activities.
 - e. The use of such equipment or loudspeakers is not permitted in the vicinity of classrooms during regularly scheduled class hours without written permission from Academic Support and Facilities Resources.
 - f. Sound equipment must not be disruptive, and the volume and direction of amplification equipment shall be controlled so as not to interfere with classes in session, examinations, or other campus community activities.
 - g. Special events such as dances, pep rallies, ceremonies, or recreational activities that include the use of bands or amplification equipment may be held in approved locations only with prior approval of the Student Union & Activities and/or academic Support and Facilities resources.
 - h. Outdoor dances utilizing sound amplification devices may be held only on Friday and Saturday nights, and must terminate by 1:00am. Bands may use their own equipment as such dates.
4. *Academic Use*
- a. The appropriate use of loudspeakers for official university activities Inside academic buildings, or on the campus as a part of the academic instructional program, is determined and approved by the Office of the Provost.
 - b. Permission for use of the victory bells or carillon bells in the towers of the Administration Building must be requested through the Office of the Provost at least one university working day before time of intended use. Use of the bells must not interfere with the normal functions and programs of the university. See OP 30.21.

PART VIII: Solicitations, Advertisements and Printed Materials

A. General Policy

The primary mission of the university is education. The university is responsible for promoting and protecting the intellectual and cultural growth and development of the institution and the members of its community. Therefore, solicitations or advertisements and sales, displays or distribution of publications on the campus are not permitted, except as provided below or as provided by law.

B. Definitions

1. Solicitation includes, but is not limited to is requesting money, seeking agreement to pay, taking subscriptions, selling merchandise or tickets or offering other comparable materials and privileges in person or by handbills, posters or similar materials to promote sales.
2. Advertisements are the displays of any items that have, as an integral part of their design, the identification of a consumer product or service.
3. Printed materials are publications, handbills, posters, leaflets and other written matter intended for public distribution, sale or display on campus.

C. Solicitations

1. *Jurisdiction:*
 - a. All solicitation requests should be directed to the Outdoor Events Coordinating Committee for review. Complete the Grounds Use/Solicitation Request form at www.sub.ttu.edu and return to Student Union & Activities. Requests must be submitted at least six university working days before intended use.
 - b. All regulations pertaining to on-campus solicitations by students and registered organizations shall be administered by the Director of Student Union & Activities.
 - c. All regulations pertaining to on-campus solicitations by university departments and staff shall be administered by the Senior Vice President of the Vice President for Administration and Fiscal Affairs ~~Finance~~.
 - d. All regulations pertaining to on-campus solicitations by academic departments and faculty shall be administered by the Provost and Senior Vice President of Academic Affairs.
 - e. All regulations pertaining to the off-campus solicitations of ex-students and friends of the university shall be administered by the Office of the Vice President for Institutional Advancement in conjunction with the Texas Tech Alumni Association. Solicitation of all gifts, donations, and non-contractual grants from private philanthropic sources (e.g., individuals, foundations, and corporations) are administered by the Vice Chancellor of Institutional Advancement and in accordance with OP 02.02.
2. Solicitations by registered student organizations and students are prohibited on Texas Tech University grounds and facilities ~~the campus~~ except for:
 - a. Activities supporting the educational mission of the institution;
 - b. Promotion of organizational activities consistent with organization mission;

- c. Recruitment of members or membership drives;
 - d. Accepting donations on behalf of altruistic or charitable projects;
 - e. Scholarship and/or fundraising projects in support of organization mission.
- ~~3. Altruistic or charitable projects;~~
 - ~~4. Scholarship fund drives;~~
 - ~~5. Service projects;~~
 - ~~6. Educational or cultural projects having community-wide benefits;~~
 - ~~7. Membership drives, fund raising projects and canvassing by registered student organizations.~~
 - ~~8. Governmental agencies (U.S. Postal Service, Armed Services);~~
 - ~~9. University departments;~~
 - ~~10. Texas Tech alumni Association;~~
 - ~~11. Texas Tech Association of Parents;~~
 - ~~12. Texas Tech Museum;~~
 - ~~13. Contract vending machine companies;~~
 - ~~14. Local newspapers and periodicals;~~
 - ~~15. Demonstrations of educational, institutional or office equipment.~~
 - ~~16. Consignment solicitations by students or registered organizations will be approved only by departmental authorized educational projects.~~
- 3. The regulating offices may grant special permission for solicitation purposes or places not listed above in exceptional circumstances. Permission will not be granted for any registered student organization's activity which promotes the use of alcoholic beverages, promotes infers sponsorship by Texas Tech University or violates any federal, state and/or local laws and/or University standards, rules and/or policies.
 - 4. If authorized, solicitations are prohibited in university buildings except: In order to solicit in University buildings, authorization is required via the Outdoor Events Coordinating Committee and appropriate building manager.
 - ~~a. The Student Union and the Barnes and Noble bookstore (Texas Tech location) where merchandise is sold under the regulations established by the management of those facilities.~~
 - ~~b. The residence halls if the solicitation is either conducted entirely within a student's room with the consent of the roommates or in assigned public areas. Sales parties and group demonstrations advertising products are not allowed in lounges, meeting rooms or other public areas without prior approval by the Director of Housing and Residence Life.~~
 - e.5. Registered student organizations may use the university's registered marks when used in connection with a student organization activity, provided items are acquired from a licensed vendor. A *Royalty Waiver Form for Registered Student Organizations*, must be completed, signed by an authorized representative and advisor of the organization, and returned to the Office of Intercollegiate Athletics External Operations. Along with the royalty waiver form, a sample or drawing needs to be provided showing how the university's registered marks are to be used before production of the merchandise can proceed. For additional information on licensing and use of Texas Tech University registered

names, logos and trademarks, refer to OP 54.03 or contact the Office of Intercollegiate Athletics External Operations.

6. Requests for permission to solicit are granted for a specified period. To be eligible to solicit, an individual must present current student, faculty, staff or vendor identification and complete-submit the request form provided by the authorizing officeGrounds Use/Solicitation request form to Student Union & Activities. A permit to solicit may be revoked if the solicitation violates any of the regulations pertaining to solicitations and advertising or sale, display, or distribution of printed materials.
7. Decisions by the Associate and/or Assistant Director of the Student Union & ActivitiesOutdoor Events Coordinating Committee rejecting or revoking permission of students or registered student organizations to solicit may be appealed to the Managing Director of the Student Union & Activities.
 - a. ~~A student or registered student organization desiring to appeal must file a written appeal to the Student Union & Activities stating the objections to the decision of the Associate and/or Assistant Director of the Student Union & Activities.~~
 - b. ~~After receiving the appeal, the Student Union & Activities shall notify the student or registered student organization and the Associate and/or Assistant Director of the Student Union & Activities of the appeal decision within five university working days.~~
 - a. The student or registered student organization may not appeal beyond the Director's level. A written appeal describing the objections to the denial to the Managing Director of Student Union & Activities must be filed no later than five university working days after receipt of notice of denial from the Outdoor Events Coordinating Committee.
 - b. The Managing Director of Student Union & Activities will convey the appeal decision, in writing, to the student or registered student organization and to the Outdoor Events Coordinating Committee within five university working days from the receipt of the written appeal.
 - c. The student or registered student organization may not appeal beyond the Managing Director of Student Union & Activities.

B. Advertisements

1. Advertisements by commercial organizations, either as groups or through student representatives, are not allowed on the campus unless they advertise specific registered student organization functions. This implies sponsorship and/or co-sponsorship, which minimally includes, but is not limited to, direct participation in planning, coordination and implementation by members of the sponsoring organizations. ~~Other exceptions include: established advertising policies of the athletic department, The Daily Foreador and La Ventana or official university organizations in approved commercial publications, programs or brochures.~~
2. Individuals and commercial organizations attempting to display or distribute unauthorized materials on campus, or use campus facilities for

such activity, will be removed from the campus by the Texas Tech Police and will be subject to appropriate legal action.

3. Advertisement is not permitted on the exterior side of residence hall room doors or within public areas of the residence halls.
4. Amplification equipment may not be used to advertise or promote sales in conjunction with any approved solicitation activity unless authorized in advance by the regulating office Outdoor Events Coordinating Committee.

C. Printed Materials

1. General Policies

The following policies apply to the display and distribution of printed materials in all areas of the university campus:

- a. ~~Only individuals affiliated with the university (i.e. students, faculty or staff or student organizations)~~ may distribute handbills, leaflets or any other type of printed materials, except as provided by law. ~~Individuals not associated with the university may only distribute printed material as set forth in TTU Operating Policies;~~
- b. ~~Signs, banners, posters, and other displays used for freedom of expression activities must be handheld and must remain in the hands of individuals engaged in the expressive activities at all times. The use of signs, banners, posters, and other displays for all other activities must be approved in advance by the appropriate authority~~
- b. Students and registered student organizations do not need prior approval concerning the content or distribution of materials such as leaflets and handbills; however, students may be required to provide student identification upon request;
- c. Solicitation and Advertising materials must conform with the provisions state in Section B and C above;
- d. Student election campaign literature must conform to the procedures established ~~by outlined in the Student Election Commission Code of the Student Government Association;~~
- e. Use of the Texas Tech campus that results in the need to utilize University personnel for litter collection, crowd control, repair/replacement of university property, etc., may necessitate repayment to the university by the responsible party;
- f. Printed materials may not be placed on vehicles parked in University parking lots or on vehicles in motion without permission of the vehicle owners;
- g. Printed materials such as handbills and leaflets may not be distributed within University buildings;
- h. Printed materials shall not violate any local, state, or federal law; Printed materials shall not include the use of obscenities, libelous statements, or "fighting word" as defined by law.
- i. Printed materials shall not include the use of obscenities, libelous statements, or "fighting words," as defined by law;

2. Use of Bulletin Boards

Posters, signs, and announcements may be displayed only on university announcement bulletin boards designated specifically for use by students and registered student organizations. The university announcement

bulletin boards may be used only by students, registered student organizations, and university departments. Bulletin boards will be cleared periodically. A list of designated university announcement bulletin boards is maintained in the Student Union & Activities.

- a. Posters, signs and announcements shall not exceed a maximum size of 18" x 24";
- b. Posters, signs, and announcements shall not promote the use of alcoholic beverages, tobacco, or illegal drugs, or promote unauthorized sponsorship by Texas Tech University;
- c. Posters, signs, and announcements shall not violate any local, state or federal law;
- d. Bulletin boards belonging to academic and administrative Departments are for official university use only. Posters, signs, and announcements may not be displayed without consent of the appropriate department; and
- e. Posters, announcements, banners, cards or other campaign material for any individuals seeking student government office may be posted in accordance with the rules and regulations of the Student Government Association.
- f. Registered student organizations and university departments are allowed to hang banners within the Student Union at the discretion of the Managing Director of Student Union & Activities. A list of requirements regarding the banner is available in Student Union & Activities."

D. Violations

A student or registered student organization violating regulations governing solicitations, advertising, and printed materials is subject to the disciplinary sanctions, conditions, and/or restrictions outlined in the *Code of Student Conduct*.

PART IX: Code of Student Conduct

The *Code of Student Conduct* at Texas Tech University is administered through Student Judicial Programs and is based on promoting education and a tradition of excellence regarding student behavior. The goal of the *Code of Student Conduct* is that acceptable standards of behavior are communicated to, understood, and upheld by the students of Texas Tech University.

Student Judicial Programs encourages and facilitates a university environment where students and registered student organizations take responsibility for their actions. Through a well-defined student judicial process and the *Code of Student Conduct*, Student Judicial Programs educates students about their rights and responsibilities as members of the Texas Tech University community. In addition, Student Judicial Programs promotes the importance of holistic development, self-worth, mutual respect, and how we, as members of the university community, interact with each other on a daily basis. Questions of interpretation regarding the *Code of Student Conduct* should be referred to Student Judicial Programs. The *Code of Student Conduct* is reviewed every year by the *Code of Student Conduct* Review Committee in conjunction with

Student Judicial Programs, the Dean of Students, and the Vice President for Student Affairs. Definitions for terms used throughout the *Code of Student Conduct* are outlined in Part I of the Student Handbook.

A. General Policy

Freedom of discussion, inquiry and expression is fostered by an environment in which the privileges of citizenship are protected and the obligations of citizenship are understood. Accordingly, the university community has developed standards of behavior pertaining to students and to registered student organizations. Students and registered student organizations (hereafter referred to only as students) are subject to judicial action according to the provisions of the *Code of Student Conduct* and/or the Student Handbook. Student conduct on or off university premises is subject to university judicial jurisdiction. The university may enforce its own judicial policies and procedures when a student's conduct directly, seriously or adversely impairs, interferes with or disrupts the overall mission, programs or other functions of the university. University judicial proceedings may be instituted against a student alleged to have violated the *Code of Student Conduct* and/or the Student Handbook. Proceedings under the *Code of Student Conduct* may be carried out prior to, independent of, concurrent with or following civil or criminal proceedings. The proceedings are conducted in a manner which ensures that substantial justice is done and is not restricted by the rules of evidence governing criminal and civil proceedings. The Office of the Vice President for Student Affairs, in conjunction with the Dean of Students, Student Judicial Programs and the ~~Department of Housing and Residence Life~~ University Student Housing respects the rights and responsibilities of students and shall consider each violation of university policy and each violation of federal, state and/or local law on a "case-by-case" basis and shall further attempt to initially use educational options and subsequent intervention and/or prevention options to assist students.

B. Misconduct

Any student(s) found to have committed the following misconduct while classified as a student is subject to disciplinary sanction(s), condition(s) and/or restriction(s). For definitions, please refer to the Student Handbook, Part IX, Section D, Disciplinary Sanctions, Conditions and/or Restrictions. Misconduct or prohibited behavior includes, but is not limited to:

1. Alcoholic Beverages

- a. Use, possession, sale, delivery, manufacture or distribution of alcoholic beverages, except as expressly permitted by university policy and federal, state, and/or local law.
- b. Being under the influence of alcohol and/or intoxication as defined by federal, state, and/or local law.

2. Narcotics or Drugs

- a. Use, possession, sale, delivery, manufacture or distribution of any narcotic, drug, medicine prescribed to someone else, chemical compound or other controlled substance, except as expressly permitted by federal, state, and/or local law.
- b. Possession of drug-related paraphernalia, except as expressly permitted by federal, state, and/or local law.

- c. Being under the influence of narcotics, drugs, medicine prescribed to someone else, chemical compound or other controlled substance, except as permitted by federal, state, and/or local law.

3. *Academic Dishonesty*

"Academic dishonesty" includes, but is not limited to, cheating, plagiarism, collusion, falsifying academic records, misrepresenting facts and any act designed to give unfair academic advantage to the student (such as, but not limited to, submission of essentially the same written assignment for two courses without the prior permission of the instructor(s) or the attempt to commit such an act.

- a. "Cheating" includes, but is not limited to;

1. Copying from another student's test paper or devices.
2. Using unauthorized materials or devices during a test or other assignment.
3. Failing to comply with instructions given by the person administering the test.
4. Possession of materials during a test which are not authorized by the person administering the test, such as class notes or other unauthorized aids. The presence of textbooks constitutes a violation only if they have been specifically prohibited by the person administering the test.
5. Possessing, Using, buying, stealing, transporting, selling or soliciting in whole or part items including, but not limited to, the contents of an unadministered test, test key, homework solution, or computer program.
6. Collaborating with, seeking aid, or receiving assistance from another student or individual during a test or in conjunction with other assignments without authority.
7. Discussing the contents of an examination with another student who has taken or will take the examination without authority.
8. Substituting for another person, or permitting another person to substitute for oneself in order to take a course, take a test, or complete any course-related assignment; including but not limited to, signing in/registering attendance for another student without permission from the instructor.
9. Paying or offering to pay money or other valuables or coercing another person to obtain items including, but not limited to, an unadministered test, test key, homework solution or computer program, or information about an unadministered test, test key, homework solution or computer program.
10. Falsifying research data, laboratory reports, and/or other academic work offered for credit.
11. Taking, keeping, misplacing, ~~or~~ damaging or altering the property of the university, or of another, if the student knows or reasonably should know that an unfair academic advantage would be gained by such conduct.
12. Possession at any time of current or previous test materials without the instructor's permission.

- b. "Plagiarism" includes, but is not limited to;

The appropriation of, buying, receiving as a gift or obtaining by any means, material that is attributable in whole, or in part, to another source, including words, ideas, illustrations, structure, computer code, other expression and media, and presenting that material as one's own academic work being offered for credit.

- c. "Collusion" includes, but is not limited to;
 - 1. The unauthorized collaboration with another person in preparing academic assignments offered for credit.
 - 2. Collaboration with another person to commit a violation of any section of the rules on academic dishonesty.
- d. "Falsifying academic records" includes, but is not limited to;
 - 1. Altering or assisting in the altering of any official record of the university and/or submitting false information.
 - 2. Omitting requested information that is required for, or related to, any academic record of the university. Academic records include, but are not limited to; applications for admission, the awarding of a degree, grade reports, test papers, registration materials, grade change forms, and reporting forms used by the Office of the Registrar. *A former student who engages in such conduct is subject to a bar against readmission, revocation of a degree and withdrawal of a diploma.*
- e. "Misrepresenting facts" to the university or an agent of the university includes, but is not limited to;
 - 1. Providing false grades or resumes or other academic information.
 - 2. Providing false or misleading information in an effort to receive a postponement or an extension on a test, quiz, or other assignment to obtain an academic or financial benefit for oneself or another individual.
 - 3. Providing false or misleading information in an effort to injure another student academically or financially.

NOTE: See Academic Integrity information at
<http://www.depts.ttu.edu/studentjudicialprograms/IntegrityMatters>.

4. Firearms, Weapons and Explosives

- a. Use or possession of any items used as weapons, including, but not limited to, handguns, firearms, ammunition, fireworks, pellet guns, paintball guns, bb guns, knives, or explosive or noxious materials on university premises except as expressly permitted by federal, state, and/or local law.

NOTE: See Residence Hall University Student Housing Policies and Procedures for specific approved devices allowed in the residence halls.

5. Flammable Materials/Arson

- a. Use or possession of flammable materials, including incendiary devices or other dangerous materials, or substances used to ignite, spread, or intensify flames for fire.
- b. Attempting to ignite and/or the action of igniting university and/or personal property on fire either by intent or through reckless behavior which results, or foreseeably results, in damage of university premises.

6. *Theft, Damage or Unauthorized Use*
 - a. Attempted or actual theft of property or services of the university, other university students, other members of the university community, or campus visitors.
 - b. Possession of property known to be stolen or belonging to another person without the owner's permission.
 - c. Attempted or actual damage to property owned or leased by the university, by university students, members of the university community, or campus visitors.
 - d. Attempted or actual unauthorized use of a credit card, debit card, student identification card, cell phone, personal identification number, test number, eRaider account information and/or personal check, or other unauthorized use of personal property or information of another.
 - e. Alteration, forgery or misrepresentation of any form of identification.
 - f. Possession or use of any form of false identification.
7. *Actions Against Members of the University Community*
 - a. Conduct which threatens or endangers the health or safety of self or others, including, but not limited to, acts such as physical assault, physical abuse, verbal abuse, threats, intimidation, harassment, and/or coercion.
 - b. Intentional or reckless conduct which endangers the health or safety of self or others.
 - c. Behavior that disrupts the normal operation of the university, including its students, faculty and/or staff.
 - d. Sexual misconduct that involves:
 1. Deliberate touching of another's sexual parts without consent.
 2. Deliberate sexual invasion of another without consent.
 3. Deliberate constraint or incapacitation of another, without that person's knowledge or consent, so as to put another at substantially increased risk of sexual injury.
 4. Unwelcomed sexual advances, requests for sexual favors, or other verbal or physical conduct of a sexual nature that expressly or implicitly imposes conditions upon, threatens, interferes with, or creates an intimidating, hostile, or demeaning environment for an individual's (I) academic pursuits, (II) university employment, (III) participation in activities sponsored by the university or organizations or groups related to the university, or (IV) opportunities to benefit from other aspects of university life.
 5. Excessive pressure, threats or any form of conduct, coercive tactics or unwanted mental coercion techniques used to retain or recruit a student for membership in an organization.
 6. Actions involving free expression activities are covered in Part VII, Section F.
8. *Gambling, Wagering, Gaming and/or Bookmaking*
 - a. Gambling, wagering, gaming or bookmaking as defined by federal, state, and/or local laws is prohibited on university premises or by using university equipment or services.
9. *Hazing*

Hazing is any intentional, knowing or reckless act, directed against a student by one person acting alone or by more than one person occurring on or off university premises that endangers the mental or physical health or safety of a student for the purpose of pledging or associating with, being initiated into, affiliating with, holding office in, seeking and/or maintaining membership in any organization whose membership consists of students. Consent and/or acquiescence by a student or students subjected to hazing is not a reasonable defense in a disciplinary proceeding. Hazing includes, but is not limited to:

- a. Any type of physical brutality, such as whipping, beating, using a harmful substance on the body or similar activity.
 - b. Any type of physical activity, such as sleep deprivation, exposure to the elements, confinement in a small space, or calisthenics that subjects the student to an unreasonable risk of harm or that adversely affects the mental or physical health or safety of a student.
 - c. Any activity involving consumption of a food, liquid, alcoholic beverage, liquor, drug or other substance which subjects a student to an unreasonable risk of harm or which adversely affects the mental or physical health or safety of a student.
 - d. Any activity that intimidates or threatens a student with ostracism, that subjects a student to extreme mental stress, shame or humiliation or that adversely affects the mental health or dignity of a student or that discourages a student from entering or remaining enrolled at this educational institution or that may reasonably be expected to cause a student to leave the organization or the institution rather than submit to acts described above.
 - e. Any activity in which a person engages in hazing; solicits, encourages, directs, aids or attempts to aid another in engaging in hazing; intentionally, knowingly or recklessly permits hazing to occur; has firsthand knowledge of the planning of a specific hazing incident which has occurred and knowingly fails to report the incident in writing to Student Judicial Programs.
 - f. Any activity in which hazing is either condoned or encouraged or any action by an officer or combination of members, pledges, associates or alumni of the organization of committing or assisting in the commission of hazing.
 - g. NOTE: See Texas Education Code, Sections 37.151-37.155 and Section 51.936 at <http://tlo2.tlc.state.tx.us/statutes/edtoc.html>.
- 10. False Alarms or Terroristic Threats**
- a. Intentional sounding of a false fire alarm, falsely reporting an emergency or terroristic threat in any form, issuing a bomb threat, constructing mock explosive devices, destruction or activation of fire sprinklers, filing false police reports, improperly possessing, tampering with or destroying fire equipment or emergency signs on university premises.

11. Financial Irresponsibility

- a. Failure to meet financial obligations owed to the university, or components owned or operated by the university, including, but not limited to, the writing of checks from accounts with insufficient funds.

12. Unauthorized Entry, Possession or Use

- a. Unauthorized entry into or use of university premises or equipment including another student's room.
- b. Unauthorized possession, use, duplication, production or manufacture of any key or unlocking device, University Identification Card or access code for use in university premises or equipment.
- c. Unauthorized use of the university name, logotype, registered marks or symbols of the university; however, registered student organizations are permitted to use the word "Tech" as a part of their organizational names or to use the complete statement "a registered student organization at Texas Tech University."
- d. Unauthorized use of the university's name to advertise or promote events or activities in a manner which suggests sponsorship and/or recognition by the university.

13. University Parking Services

- a. Violation of university ~~t~~Traffic and ~~p~~Parking regulations.
- b. Obstruction of the free flow of vehicular and/or pedestrian traffic on university premises.

NOTE: See University Parking Services at

<http://www.depts.ttu.edu/studentjudicialprograms/KnowtheCode.htm>.

14. ~~Department of Housing and Residence Life~~ University Student Housing Regulations

- a. Violation of rules that govern behavior in the campus residence and/or dining halls as stated in the F.Y.R.E. Handbook and/or other notifications or publications provided by the ~~Department of Housing and Residence Life~~University Student Housing.

NOTE: See ~~University Student Housing~~Housing and Residence Life Policies at

<http://www.depts.ttu.edu/studentjudicialprograms/KnowtheCode.htm>.

15. Student Recreation and Aquatic Center Regulations

- a. Violation of rules that govern behavior in the Student Recreation Center and Aquatic Center.

NOTE: See Student Recreation and Aquatic Center Regulations at

<http://www.depts.ttu.edu/studentjudicialprograms/KnowtheCode.htm>.

16. Failure to Comply

- a. Failure to comply with the reasonable directives or requests of a university official acting in the performance of his/her duties.
- b. Failure to present student identification on request or identify oneself to any university official acting in the performance of his/her duties.

17. Abuse, Misuse or Theft of University Computer Data, Programs, Time, Computer or Network Equipment

- a. Unauthorized use of computing and/or networking resources including, but not limited to, private information and passwords.

- b. Use of computing and/or networking resources for unauthorized or nonacademic purposes including, but not limited to, illegal access and/or illegal activity.
- c. Unauthorized attempted or actual accessing, copying, transporting or installing programs, records, data, ~~material~~ or software belonging to the university, another user, or another entity.
- d. Attempted or actual breach of the security of another user's account and/or computing system, depriving another user of access to university computing and/or networking resources, compromising the privacy of another user or disrupting the intended use of computing or network resources.
- e. Attempted or actual use of the university's computing and/or networking resources for personal, political, or financial gain.
- f. Access, creation, storage, or transmission of material deemed offensive, indecent, or obscene other than for official academic purposes
- g. Attempted or actual destruction, disruption or modification of programs, records or data belonging to the university or another user or destruction of the integrity of computer based information.
- h. Attempted or actual use of the computing and/or networking facilities to interfere with the normal operation of the university's computing and/or networking systems; or through such actions, causing a waste of such resources (i.e. people, capacity, computer).
- i. Intentional "spamming" of students, faculty or staff (defined as the sending of unsolicited and unwanted e-mails to parties with whom you have no existing business, professional or personal acquaintance).
- j. Use of computing and/or network resources to engage in activity that may harass, threaten, or abuse others.
- k. Allowing another person, either through one's personal computer account, or by other means, to accomplish any of the above.
- l. All other prohibited activities detailed in the Information Technology Security Policies.

NOTE: See Information Technology Security Policies at <http://www.infotech.ttu.edu/security>. ~~<http://www.depts.ttu.edu/studentjudicialprograms/KnowtheCode>~~.

- 18. *Providing False Information or Misuse of Records*
 - a. Knowingly furnishing false information to the university, or to a university official in the performance of his/her duties, either verbally or through forgery, alteration or misuse of any document, record or instrument of identification.
- 19. *Skateboards, Rollerblades, Scooters, Bicycles or Similar Devices*
 - a. Use of skateboards, rollerblades, scooters, bicycles or other similar devices in university buildings or on university premises in such a manner as to constitute a safety hazard or cause damage to university or personal property.

NOTE: Refer to University Parking Services at <http://www.depts.ttu.edu/studentjudicialprograms/KnowtheCode.htm>.

- 20. *Violation of Published University Policies, Rules or Regulations*
 - a. Violation of any published university policies, rules or regulations that govern student or student organization behavior, including, but not limited to, violations of University Operating Policies and Procedures and Texas Tech Regents Rules.

21. *Violation of Federal, State and/or Local Law*

- a. Misconduct which constitutes a violation of any provisions of federal, state and/or local laws.

22. *Abuse of the Discipline System*

- a. Failure of a student to respond to a notification to appear before a Judicial Officer during any stage of a disciplinary proceeding. Failure to comply with or respond to a notice issued as part of a disciplinary procedure and/or failure to appear will not prevent a Judicial Officer from proceeding with disciplinary action.
- b. Falsification, distortion or misrepresentation of information in disciplinary proceedings.
- c. Disruption or interference with the orderly conduct of a disciplinary proceeding.
- d. Filing an allegation known to be without merit or cause.
- e. Discouraging or attempting to discourage an individual's proper participation in or use of the disciplinary system.
- f. Influencing or attempting to influence the impartiality of a member of a disciplinary body prior to and/or during the disciplinary proceeding.
- g. Harm, threat of harm or intimidation either verbally, physically or written, of a member of a disciplinary body prior to, during and/or after disciplinary proceedings.
- h. Influencing or attempting to influence another person to commit an abuse of the discipline system.
- i. Retaliation against any person or group who files grievances or provides evidence, testimony or allegations in accordance with the Student Handbook.
- j. Failure to comply with the sanction(s), condition(s) and/or restriction(s) imposed under the *Code of Student Conduct* or the Student Handbook by a Judicial Officer.

C. Disciplinary Procedures

To file an alleged *Code of Student Conduct* violation, including an incident report from the Department of Housing and Residence Life University Student Housing, the Texas Tech Police Department, any other law enforcement agency or member of the university community, against a student, individuals may meet with a university official and shall deliver a written allegation describing the action or behavior to Student Judicial Programs. The written allegation should be received by Student Judicial Programs within twenty university working days of the alleged incident to initiate disciplinary procedures. Filings that are submitted after more than twenty university working days to Student Judicial Programs should be accompanied with a justification for the delay and will be accepted on a "case by case" basis.

1. General Procedure

A Judicial Officer will inquire, gather and review information about the reported student misconduct and will evaluate the accuracy, credibility and sufficiency of this information. If it is determined that this information is insufficient to warrant an allegation, a policy warning letter may be issued to clarify the policy that was in question. A Judicial Officer will ensure that the requirements of due process are fulfilled in accordance with the following procedures:

- a. When a written allegation is filed, the student named in the allegation will be notified in writing and asked to appear before a Judicial Officer. Through this written notification, a Judicial Officer will assign a specified date and time (outside the student's academic schedule) for the student to meet with the Judicial Officer, unless another date and time is otherwise requested by the student. Written notification will be delivered to the student's last known official, local address as provided by the student to the Registrar's Office or by a registered student organization to the Center for Campus Life and/or Student Union and Activities. Failure of a student to receive First Class US Mail or Campus Mail does not prevent the disciplinary process from proceeding. If mailed, notification is to be mailed in First Class US Mail or Campus Mail.

NOTE: Students are advised to keep their most current local address, permanent address, and email address and local telephone number updated in the student records system at <http://www.techsis.admin.ttu.edu/student/>. TechSIS as well as a local contact telephone number.

- b. In any disciplinary proceeding, the student has the right to:
1. Notification of the alleged misconduct.
 2. Know the source of the allegation(s).
 3. Know the specific alleged violation(s).
 4. Know the sanctions, conditions and/or restrictions that may be imposed because of the alleged misconduct.
 5. Be accompanied by an advisor at any student judicial proceeding (for advisory purposes only, not for representation).
 1. Advisors. All students involved in student judicial proceedings may be assisted by advisors they choose, at their own expense. The advisor must be a member of the university community. However, if a student accused of alleged misconduct is also the subject of a pending criminal investigation, indictment or charge arising out of the same circumstances, he or she may be allowed to have an attorney serve as his or her advisor, at his or her own expense, to participate in the same manner as any other advisor. If an advisor for the accused student is an attorney, an attorney from the Office of General Counsel may attend the hearing on behalf of the university. The complainant and/or the student accused of alleged misconduct is responsible for presenting his or her own information, and therefore, advisors are not permitted to speak or to participate directly in any hearing unless authorized by a hearing officer. Students should select an advisor whose schedule allows attendance at the scheduled date and time for the hearing, as delays will not be allowed due to the scheduling conflicts of an advisor, except at the discretion of a hearing officer upon written request five (5) university working days in advance of the scheduled hearing date.
 6. Refrain from making any statement relevant to the allegation(s).
 7. Know that any statements made by the student can be used during the proceeding.

- c. After the student has been advised of the allegation(s), the student shall indicate whether an administrative or a discipline committee hearing is preferred. However, a Judicial Officer has the authority in all cases to designate whether an administrative or discipline committee hearing will be held notwithstanding the student's request.
 - d. After notice has been given to the student, the university may proceed to conduct either an administrative or a discipline committee hearing and deliver a decision or recommendation respectively. The administrative or discipline committee hearing may be held and a decision or recommendation made, regardless of whether the student responds, fails to respond, attends the hearing or fails to attend the hearing. Should an absence of the student occur, a Judicial Officer or the University Discipline Committee may consider the information and render an administrative decision or discipline committee recommendation. Notice is deemed to have been given when written notification is placed in US Mail or campus mail no less than eight university working days prior to the hearing or personally delivered at any time prior to the hearing. The written notification is given when the notice is addressed to the student's last known official, local address as provided by the student to the Registrar's Office or registered student organization to the Center for Campus Life and/or Student Union and & Activities.
2. Administrative Hearing
- a. The administrative hearing process will be completed in a timely manner based upon the specific circumstances of each case.
 - b. After making a determination, a Judicial Officer will provide written notification to the student of the decision and, if any, the sanction(s), condition(s) and/or restriction(s) to be imposed.
 - c. The student may utilize the disciplinary appeal procedures in Section E, Disciplinary Appeals Procedure.
3. University Discipline Committee Hearing
- a. A "Notification of Violation and Pending Disciplinary Hearing" will be delivered in person or sent to the student's last known official, local address as provided by the student or registered student organization to the Registrar's Office, the Center for Campus Life, and/or Student Union and Activities. If mailed, notification is to be mailed by US Mail or campus mail. Failure of a student to receive this mail does not prevent the University Discipline Committee from proceeding.
 - b. A Judicial Officer and the student will inform each other of documents to be introduced, witnesses to be examined and the anticipated testimony of the witnesses. Information and/or supporting materials may not be considered unless the student and the university's representative have been advised of the source and content at least five university working days in advance of the committee hearing.
 - c. The University Discipline Committee, Judicial Officers and the student will have a reasonable opportunity to question witnesses. Hearing proceedings, excluding the deliberations of the University Discipline Committee, will be tape recorded by the university. The confidential hearing will be closed unless the student requests to a Judicial Officer, in writing, within five university working days from the date of the "Notification of Violation and Pending Disciplinary

Hearing" that the hearing be open to the public. The University Discipline Committee chair is responsible for conducting an orderly hearing. Prospective witnesses will be excluded from the hearing room until they are given the opportunity to present their information, knowledge and/or perception of the alleged incident. The chair may deny admission into, or remove from, the hearing anyone due to space limitations or disruptive behavior.

- d. Following the hearing, the University Discipline Committee, ~~by consensus,~~ will recommend to the Director for Student Judicial Programs in writing whether the student is responsible for the alleged violation(s).
 1. If the recommendation is that the student is not responsible for the alleged violation(s), the Director for Student Judicial Programs will be notified in writing of the recommendation by the University Discipline Committee chair. The Director for Student Judicial Programs will review the recommendation and either accept the recommendation or decide that the accused student is responsible and assign sanctions, conditions and/or restrictions. The Director of Student Judicial Programs will notify the student in writing of the disciplinary decision within five university working days.
 2. If the University Discipline Committee recommends that the student is responsible for the alleged violation(s), the Director of Student Judicial Programs will be notified in writing of the recommendation by the University Discipline Committee chair. In addition, the University Discipline Committee will recommend disciplinary sanction(s), condition(s) and/or restriction(s) and the University Discipline Committee chair will notify the Director for Student Judicial Programs in writing. The Director for Student Judicial Programs will review the recommendation and, if necessary, amend the sanction(s), condition(s) and/or restriction(s), if any, to be imposed and notify the student in writing via US Mail or campus mail of the final disciplinary decision within five university working days.
 - e. The student may utilize the disciplinary appeal procedures in Section E, Disciplinary Appeals Procedure.
 - f. In addition to other possible sanctions, conditions and/or restrictions, and in the event that a student fails to respond to written notification, an administrative flag may be placed on the student records to prevent further registration and transcript receipt. The administrative flag will remain until such time as the Judicial Officer receives an appropriate response.
 - g. All records concerning a student related to the disciplinary process will remain on file in Student Judicial Programs for seven years from the date the case is completed through an administrative or discipline committee hearing and/or disciplinary appeal procedures. Records pertaining to registered student organizations will remain on file for a minimum of seven years.
4. Immediate Temporary Suspension
- If in the judgment of the Vice President for Student Affairs, the Dean of Students, or on recommendation of a Judicial Officer ~~or other university officials,~~ the physical or emotional well-being of a student or other students or members of the university community could be endangered or if the presence of the student would seriously disrupt the normal operations of the university, a student may be temporarily suspended pending completion of disciplinary procedures. The Vice

President for Student Affairs, the Dean of Students, or designee will notify the Director of Student Judicial Programs to initiate appropriate disciplinary procedures within five university working days from the date of temporary suspension. During the invocation of immediate temporary suspension, the student may no longer attend classes, use university services and/or resources, and may not be on campus until the disciplinary proceedings have been resolved. Any instances whereby the student should need to return to campus should be coordinated through the Student Judicial Programs office and the Texas Tech Police Department.

D. Disciplinary Sanctions, Conditions and/or Restrictions

A Judicial Officer may impose sanctions, conditions and/or restrictions as a result of an administrative hearing. Additionally, the University Discipline Committee may recommend sanctions, conditions and/or restrictions to the Director of Student Judicial Programs as a result of a University Discipline Committee hearing. Through the administrative hearing or University Discipline Committee hearing, the following educational sanctions, conditions and/or restrictions may be assessed when a student is found responsible for misconduct. Potential sanctions, conditions and/or restrictions are not limited to those listed below; items below serve to demonstrate typical student outcomes. Implementation of the disciplinary sanction(s), condition(s) and/or restriction(s) will not begin until either the time for a disciplinary appeal has expired or until the disciplinary appeal process is exhausted. Exceptions are made only in cases for which, in the judgment of the Vice President for Student Affairs or the Dean of Students, the physical or emotional well-being of the student, other students or other members of the university community may be endangered.

1. Sanctions are defined as the primary outcome of the alleged violation. If found responsible, the range of sanctions includes the following outcomes:

- a. Disciplinary Reprimand:

The disciplinary reprimand is an official written notification via US Mail or campus mail to the student that the action in question was misconduct. In the case of a student organization, a copy of the notification may be sent to the organization's advisor(s) and international or national organization headquarters.

- b. Disciplinary Probation:

Disciplinary probation is a period of time during which a student's conduct will be observed and reviewed. The student must demonstrate the ability to comply with university policies, rules and/or standards and any other requirement stipulated for the probationary period. Further instances of misconduct under the *Code of Student Conduct* and/or Student Handbook during this period may result in additional sanctions, conditions and/or restrictions.

- c. Deferred Disciplinary Suspension:

Deferred disciplinary suspension is a period of time where a disciplinary suspension may be deferred for a period of observation and review, but in no case will the deferred suspension be less than the remainder of the semester. Additional misconduct or failure to comply with university policies, rules and/or standards and any other requirement stipulated for the probationary period may result in additional sanctions, conditions, and/or restrictions.

d. Time-Limited Disciplinary Suspension:

Time-limited disciplinary suspension is a period of time in which a student may not attend class or participate in university related activities as the student is withdrawn and separated from the university for a specified time period. The status of disciplinary suspension will be shown on the student's academic record, including the transcript or student organization's registration, during the period of disciplinary suspension. During disciplinary suspension of a registered student organization, the registration and privileges of the organization are suspended. Upon written request by the student to the University Registrar or by the registered student organization's representative to the Center for Campus Life and/or Student Union and Activities, the notation of disciplinary suspension will be removed from the transcript or registration upon readmission or the completion of the disciplinary suspension period. Notification of disciplinary suspension of a student will indicate the date on which the disciplinary suspension begins, the earliest date, if any, upon which the application for student readmission or student organization re-registration will be considered. The Judicial Officer may deny a student's or student organization's request for readmission or registration, respectively, if the student's or student organization's misconduct during a period of disciplinary suspension would have warranted additional disciplinary action. Similarly, if the student has failed to satisfy any sanctions, conditions and/or restrictions that have been imposed prior to application for readmission or registration, the Judicial Officer may deny readmission to a student or deny registration to a student organization. On denial of a student's readmission or of a student organization's re-registration, the Dean of Students will set a date when another application for readmission or registration may again be made. A student may appeal denial of readmission or re-registration in accordance with the disciplinary appeals process. (See Section E, Disciplinary Appeals Procedure.)

e. Disciplinary Expulsion:

Disciplinary expulsion occurs when the student is permanently withdrawn and separated from the university. The status of expulsion will be shown on the student's academic record, including the transcript or student organization's registration. These sanctions may be accompanied by conditions and/or restrictions.

2. A condition is defined as a secondary component of a disciplinary sanction. A condition is usually an educational or personal element that is to occur in conjunction with the assigned sanction. Some examples of conditions include, but are not limited to:
 - a. Personal and/or academic counseling.
 - b. Discretionary educational conditions and/or programs of educational service to the university and/or community.
 - c. Residence hall relocation and/or contract review/cancellation of residence hall contract and/or use of dining facilities.
 - d. Restitution or compensation for loss, damage or injury, which may take the form of appropriate service and/or monetary or material replacement.

- e. Monetary assessment owed to the university.
 - f. Completion of an alcohol or drug education program.
 - g. Referral to the Raider Assistance Program for assessment.
3. A restriction is defined as a secondary component of a disciplinary sanction. A restriction is usually an educational component that is to occur in conjunction with the sanction and will usually be time specific. Some examples of restrictions include, but are not limited to:
- a. Revocation of parking privileges.
 - b. Denial of eligibility for holding office in registered student organizations.
 - c. Denial of participation in extracurricular activities.
 - d. Prohibited access to university facilities and/or prohibited direct or indirect contact with members of the university community.
 - e. Loss of privileges on a temporary or permanent basis.
 - f. Withdrawal of university funding (Student Government Association, departmental, Student Services Fees, etc.)
4. Violations of the alcohol, narcotics or drugs policy (Sections B.1. or B.2.) may result in notification to the parents/guardians of dependent students under the age of 21.

NOTE: See Parent Notification Policy at
<http://www.depts.ttu.edu/studentjudicialprograms>.

E. Disciplinary Appeal Procedures

1. Appeal Process

Any student who has received sanctions, conditions, and/or restrictions may appeal the disciplinary decision made by a Judicial Officer. Students may also appeal a decision denying readmission to the university or re-registration of a student organization. Failure to file a written request for an appeal within eight university working days from the date of the decision letter will render the original decision final and conclusive.

2. Grounds for Appeal

a. Appeals must be based on:

- 1. Issues of substantive or procedural errors which were prejudicial and which were committed during the disciplinary process.
- 2. Newly discovered relevant information that was not available at the administrative or committee hearing.

b. The specific questions to be addressed on appeal are:

- 1. Were the procedures of the *Code of Student Conduct* followed?
- 2. If a procedural error was committed, were the rights of the student or student organization materially violated so as to effectively deny the student or student organization a fair hearing?
- 3. Was the hearing conducted in a way that permitted the student or student organization's student representative adequate notice and the opportunity to present information?
- 4. Would the newly discovered information presented at the hearing be sufficient to change the decision?

3. Notification of Appeal

The appeal must be made in writing in sufficient detail to inform the Judicial Officer or the University Discipline Appeals Committee of the grounds for appeal. The appeal is not intended to afford a rehearing of the case. This process serves

to review the written content and validity of the appeal submitted by the student, the record of the case and decision making procedures. The student may choose to appeal a hearing outcome to either a Judicial Appeals Officer or the University Discipline Appeals Committee.

4. **Administrative or University Discipline Committee Hearing Appeal Procedures**
The student desiring to appeal an administrative or committee hearing decision has eight university working days from date of the decision letter to prepare and submit a written appeal to the Judicial Appeals Officer indicating whether an Administrative or University Discipline Committee hearing is desired. The Judicial Appeals Officer or the University Discipline Appeals Committee will review materials relevant to the case in the written appeal. The Judicial Appeals Officer or University Discipline Appeals Committee may:
 - a. Find that the written appeal submitted is not sufficient to establish grounds for appeal and affirm the decision.
 - b. Find that no substantive and/or procedural error has occurred and affirm the decision.
 - c. Find that the relevant new materials and written appeal submitted were sufficient to establish that based on the preponderance of evidence, the alleged misconduct had not occurred. The decision may be amended by the Judicial Appeals Officer or the University Discipline Appeals Committee who may refer the matter for a new hearing.
 - d. Find substantive and/or procedural errors that effectively denied the student or student organization due process. In this event, the decision may be amended by the Judicial Appeals Officer or the University Discipline Appeals Committee who will refer the matter for a new hearing.
 - e. In cases where a student is seeking readmittance or a student organization is seeking re-registration, the Judicial Appeals Officer or the University Discipline Appeals Committee may affirm the decision or recommend that the student be readmitted or the organization be re-registered.
5. **Final Decision**
The student will be notified of the final disciplinary decision. If referred to a Judicial Appeals Officer, the decision of a Judicial Appeals Officer is final. If referred to the University Discipline Appeals Committee, the chair will communicate in writing the committee's recommendation to the Dean of Students. After reviewing the recommendation, the Director of Student Judicial Programs and/or the Dean of Students will determine the final disciplinary decision. In both instances, the Director of Student Judicial Programs and/or the Dean of Students will provide written notice of the final disciplinary decision to the student within five university working days via U.S. Mail, ~~or campus mail.~~

F. Withdrawal of Consent

1. **Grounds for Removal**
 - a. The Judicial Officer or another university agent acting in accordance with his or her duties may recommend to the Dean of Students or the Vice President for Student Affairs that in accordance with the Texas Education Code the student have his/her consent to be in attendance at the university withdrawn

if in the judgment of the Judicial Officer, the Dean of Students or the Vice President for Student Affairs it is determined that:

1. The student has willfully disrupted the orderly operation of the premises, or
 2. The student's presence on the campus or facility will constitute a substantial and material threat to the orderly operation of the premises.
- b. If the Dean of Students or Vice President for Student Affairs concurs with the Judicial Officer's recommendation, permission for the student to be on university premises will be withdrawn. This Withdrawal of Consent will not be longer than fourteen (14) days and a hearing must be held within these fourteen days to determine the student's status at the university. Permission to be on university premises must be coordinated through the Dean of Students and the Texas Tech Police Department. The Director of Student Judicial Programs will notify all parties of the final decision in writing via U.S. Mail within five university working days of the hearing.
2. **Registration Flag Following Withdrawal of Consent**
When a student is withdrawn under this section, an administrative hold will be placed on the student's readmission to the university. This administrative hold will remain on the student's records until the student is readmitted.

NOTE: See Texas Education Code, Sections 51.233-51.244 at <http://www.capitol.state.tx.us/statutes/ed.toc.htm>.

G. Readmission Procedures and Appeals

1. A student who has had an administrative hold placed on his or her records under this section must request readmission from the Director of Student Judicial Programs at least three weeks prior to any Texas Tech University Office of Admissions application deadlines for the semester or summer session in which the student wishes to re-enroll. The student may be required by the Director for Student Judicial Programs to submit evidence in writing supportive of his or her present ability to function properly and effectively in the university community. The university will evaluate the student's request and supporting documentation with primary consideration given to satisfying all conditions specified at the time of suspension or withdrawal. If approval is granted by the Director for Student Judicial Programs for the removal of the administrative hold, the student must then complete the regular university readmission procedures.
2. If approval for readmission is denied by the Director for Student Judicial Programs, the student may appeal that decision to the Dean of Students. The appeal to the Dean of Students must be made, in writing, within five university working days from the date the student is notified in writing by the Judicial Officer that the student's request has been denied.
3. The Dean of Students, upon receiving an appeal request, shall review the denial decision made by the Director for Student Judicial Programs. The review meeting should be held within five university working days from the date that the Dean of Students receives the appeal request. The meeting will be conducted by the Dean of Students or the Dean of Student's designee. Following the meeting, the Dean of Students will either sustain the decision

made by the Director for Student Judicial Programs or reverse the decision and allow the student to seek~~attempt~~ reenrollment at the university. The Dean of Students will notify all parties of this decision in writing via U.S. Mail within five university working days of the meeting.

H. Code of Student Conduct University Committees

1. University Discipline Committee

a. Committee Composition

The University Discipline Committee will conduct disciplinary hearings in referred cases. The Committee will be composed of five full-time faculty members, five full-time students and five full-time staff members and will be recognized as a University Committee. Each member will be appointed for a single one-year term by the Director for Student Judicial Programs. Committee members may be re-appointed for consecutive one-year terms.

b. Committee Appointments

University Discipline Committee appointments will be made as follows:

1. The chair will be appointed by the Director for Student Judicial Programs and will be a member of the faculty or staff. Five full-time student members will be appointed by the Director for Student Judicial Programs who will invite recommendations by the President of the Student Government Association.
2. Five full-time faculty members will be appointed by the Director for Student Judicial Programs who will invite a recommendation by the President of the Faculty Senate.
3. Five full-time staff members will be appointed by the Director for Student Judicial Programs who will invite a recommendation by the President of the Staff Senate.

c. Committee Recorder

A Judicial Officer, or designee, will serve as a non-voting resource person for the committee.

d. Committee Meetings

Student Judicial Programs will establish meeting dates and times during which cases will be heard and will also provide for scheduling special meetings as needed. Committee hearings will be conducted by a subgroup of the fifteen (15) committee members.

e. Committee Quorum

A quorum for the committee consists of four members, provided that at least one member is present from each of the three representative categories.

f. Committee Deliberation

When deliberating a case, the committee will meet in closed session with only voting members and the resource person present.

g. Committee Removals

The Director for Student Judicial Programs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of this committee.

h. Additional Committee Sections and/or Members

The Director for Student Judicial Programs or designee may appoint additional sections and/or members of the University Discipline Committee to expedite the orderly disposition of cases and/or to aid in the administration of disciplinary action within the university. The additional sections and/or members of the University Discipline Committee will have the same composition of membership, the same duties and the same authority as the original University Discipline Committee, and additional sections will be alphabetically designated. Each section will function as a separate and independent unit in helping dispose of the caseload in the university disciplinary process. Cases will be assigned by the Director of Student Judicial Programs.

i. Committee Orientation

Members of the University Discipline Committee will be required to participate in an orientation facilitated by Student Judicial Programs prior to serving as a member of any committee hearing.

2. University Discipline Appeals Committee

a. Committee Composition

The University Discipline Appeals Committee will conduct disciplinary ~~appeal~~ appellate hearings in requested cases. The Committee will be composed of three full-time faculty members, three full-time students and three full-time staff members and will be recognized as a University Committee. Each member will be appointed for a single one-year term by the Director for Student Judicial Programs. Committee members may be re-appointed for consecutive one-year terms.

b. Committee Appointments

University Discipline Appeals Committee appointments will be made as follows:

1. The chair will be appointed by the Director for Student Judicial Programs and will be a member of the faculty or staff.
2. Three full-time student members will be appointed by the Director for Student Judicial Programs who will invite recommendations by the President of the Student Government Association.
3. Three full-time faculty members will be appointed by the Director for Student Judicial Programs who will invite recommendations by the President of the Faculty Senate.
4. Three full-time staff members will be appointed by the Director for Student Judicial Programs who will invite recommendations by the President of the Staff Senate.

c. Committee Recorder

A Judicial Officer, or designee, will serve as a non-voting resource person for the committee.

d. Committee Deliberation

When deliberating a case, the committee will meet in closed session with only voting members and the resource person present.

e. Committee Removals

The Director for Student Judicial Programs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of this committee.

f. Committee Meetings

Student Judicial Programs will establish meeting dates and times during which cases will be reviewed and will provide for scheduling special meetings as needed. Appeals hearings will be conducted by a subgroup of the nine members from the committee.

g. Committee Quorum

A quorum for the committee is four members, provided that at least one member is present from each of the three representative categories.

h. Additional Committee Sections and/or Members

The Director for Student Judicial Programs may appoint additional sections and/or members of the University Discipline Appeals Committee to expedite the orderly disposition of cases and/or to aid in the administration of disciplinary action within the university. The additional sections and/or members of the University Discipline Appeals Committee will have the same composition of membership, the same duties and the same authority as the original University Discipline Appeals Committee, and the additional sections will be alphabetically designated. Each section will function as a separate and independent unit in helping dispose of the appeal caseload in the university disciplinary process. Cases will be assigned by the Director of Student Judicial Programs.

i. Committee Orientation

Members of the University Discipline Appeals Committee will be required to participate in an orientation facilitated by Student Judicial Programs prior to serving as a member of any disciplinary appeal committee hearing.

3. *Code of Student Conduct* Review Committee

a. Committee Composition

The *Code of Student Conduct* Review Committee will conduct an annual review of the *Code of Student Conduct* and make recommendations to the Vice President for Student Affairs regarding omissions, clarifications, constructive changes and other matters relevant to the proper interpretation and operation of the *Code of Student Conduct*. ~~The *Code of Student Conduct* Review Committee is composed of three full-time faculty members, two full-time staff members, two full-time undergraduate students and one full-time graduate student. Faculty and staff will be appointed for one three-year term, and undergraduate/graduate student(s) will be appointed for a single one-year term.~~

b. Committee Appointment

1. The chair will be appointed by the Director for Student Judicial Programs and will be a full-time member of the faculty or staff.
2. The *Code of Student Conduct* Review Committee will consist of three full-time faculty members, two full-time staff members, two full-time undergraduate students and one full-time graduate student.
3. Two full-time undergraduate student members and one full-time graduate student member will be appointed by the Director for

- Student Judicial Programs who will invite recommendations by the President of the Student Government Association.
4. Three full-time faculty members will be appointed by the Director for Student Judicial Programs who will invite a recommendation by the President of the Faculty Senate.
 5. Two full-time staff members will be appointed by the Director for Student Judicial Programs who will invite a recommendation by the President of the Staff Senate.
- c. Committee Recorder
A Judicial Officer, or designee, will serve as a resource person for the committee.
 - d. Committee Removals
The Director for Student Judicial Programs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of this committee.
 - e. Committee Meetings
Student Judicial Programs will establish meeting dates and times during which the *Code of Student Conduct* will be reviewed and will provide for scheduling special meetings as needed.
 - f. Committee Quorum
A quorum for the committee is four members.
 - g. Additional Committee Sections and/or Members
The Director for Student Judicial Programs may appoint additional sections and/or members of the *Code of Student Conduct* Review Committee to expedite the review process of the code. The additional sections and/or members of the *Code of Student Conduct* Review Committee will have the same composition of membership, the same duties and the same authority as the original *Code of Student Conduct* Review Committee, and the additional sections will be alphabetically designated.
 - h. *Code of Student Conduct* Committee Orientation
Members of the *Code of Student Conduct* Committee will be required to participate in an orientation facilitated by Student Judicial Programs prior to review of the *Code of Student Conduct*.

Student Handbook and Part IX *Code of Student Conduct*

| Approved by the Board of Regents on TBD

Effective Monday, May 12, 2008

PART IX: Campus Resources

ACADEMIC CONCERNS

Academic Deans' Offices

College of Agricultural Sciences & Natural Resources

108 Goddard

Range & Wildlife & Fisheries Mgmt.

Building

(806) 742-2808

College of Architecture

1005 Architecture Building

(806) 742-3136

College of Arts & Sciences

102 Holden Hall

(806) 742-3831

Jerry S. Rawls College of Business Administration

201 Business Administration Building

(806) 742-3171

College of Education

110-D Education Building

(806) 742-1998

College of Engineering

Engineering Center Suite 100

(806) 742-3451

Graduate School

02 Holden Hall

(806) 742-2781

Honors College

103 McClellan Hall

(806) 742-1828

College of Human Sciences

142 Human Sciences Building

(806) 742-3031

Law School

122-C Law Building

(806) 742-3793

College of Mass Communications

102 Mass Communications

(806) 742-3385

College of Visual & Performing Arts

218 Administration Building

(806) 742-0700

Office of the Provost

104 Administration Building

(806) 742-2184

ACADEMIC OPERATIONS & SUPPORT

Advising Center at Texas Tech

79 Holden Hall

742-2189

IS 1100 Freshman Seminar

742-6500 ext246

PASS/Learning Center

205 West Hall

(806) 742-3664

Registrar

103 West Hall

(806) 742-3661

ACTIVITIES

Student ActivitiesTech Activities Board

Student Union Building

203 Student Union

(806) 742-47023636

Student Organizations

Student Union Building

203 Student Union

(806) 742-3636

ADMISSIONS

Undergraduate Admissions

129 West Hall

(806) 742-1480

Graduate Admissions

03 Holden Hall

(806) 742-2787

ALCOHOL EDUCATION

Student Health Services Reception

103 Student Wellness Center

(806) 743-28602848

Raider Assistance Program

Student Wellness Center

(806) 743-2866 ext 286

ALUMNI

Texas Tech Alumni Association

Merket Alumni Center

(17th Street & University Avenue)

(806) 742-3641

BILLING (tuition and fees)

Student Financial Center

301 West Hall

(806) 742-3272

BOARD OF REGENTS

202 Administration Building

(806) 742-2161

BOOKSTORE

Barnes & Noble Bookstore

Student Union (West side)

(806) 742-3816

BUS INFORMATION

Student Government Association

302 Student Union

(806) 742-3631

CAMPUS LIFE

Center for Campus Life

201 Student Union

(806) 742-5433

STUDENT UNION & ACTIVITIES

203 Student Union

(806) 742-3636

CAMPUS SAFETY

Texas Tech Police Department

(Campus Crimes Officer)

(806) 742-3931

CHANCELLOR'S OFFICE

124 Administration Building

(806) 742-0012

COMPUTER ACCESS

Advanced Technology Learning Center—ATLC

Library Basement and Student Union Basement

(806) 742-1650

COMPUTERS AND SUPPLIES

High Tech Services

108 Student Union

(806) 742-2565

Texas Technology Store

105 Student Union

(806) 744-2130

COPY FACILITIES

Copy Tech

145 West Hall

(806) 742-2321

Post TECH

103 Student Union

(806) 742-3666

COUNSELING

Career Center

Wiggins Complex (southeast side)

(806) 742-2210

Degree Requirements

(see Academic Concerns)

Financial

Student Financial Center

310 West Hall

(806) 742-3681

Red To Black

244 Human Sciences

(806) 742-9781

Legal/Mediation

Student Legal Services

307 Student Union

(806) 742-3289

Mediation

Office of the Ombudsman

237 Student Union

(806) 742-4791

Student Counseling Center Reception

Personal and Academic/Career

201 Student Wellness Center

(806) 742-3674

Student Disability Services

335 West Hall

(806) 742-2405

CULTURAL STUDENT PROGRAMS

Center for Campus Life

201 Student Union

(806) 742-5433

International Affairs

601 N. Indiana Ave.

(806) 742-2974

Student Diversity Relations

024 Student Union

(806) 742-5777

DEAN OF STUDENTS

201AA Student Union

(806) 742-2984

DROPPING A COURSE

Office of the Registrar

103 West Hall

(806) 742-3661

DROPPING ALL COURSES

Academic Withdrawal

Office of the Registrar

103 West Hall

(806) 742-3661

EMERGENCY

On-Campus 9-911

Off-Campus 911

EMPLOYMENT

After Graduation

Career Center

Wiggins Complex (southeast side)

(806) 742-2210

College Work Study

Financial Aid Office

310 West Hall

(806) 742-3721

Part-time on/off campus

Financial Aid Office

310 West Hall

(806) 742-3690x225

Summer Employment

Career Center

Wiggins Complex (southeast side)

150 Wiggins

(806) 742-2210

ENROLLMENT MANAGEMENT SERVICES

Admissions and School Relations
Recruiting & Admissions

129 West Hall

(806) 742-1480

Financial Aid Office

310 West Hall

(806) 742-3681

PASS/Learning Center

205 West Hall

(806) 742-3664

Registrar

103 West Hall

(806) 742-3664

Associate Vice President for Enrollment Management

162 Administration Building

(806) 742-7025

FACULTY SENATE

301 Administration Building

(806) 742-3656

FAMILY WEEKEND

Texas Tech Association of Parents

Parent & Family Relations
Relations

244 West Hall

(806) 742-3630

1-888-888-7409

www.parent.ttu.edu

parent@ttu.edu

FINANCIAL AID

Financial Aid Office

310 West Hall

(806) 742-3681

FRATERNITIES

Interfraternity Council

Student Union, Second Floor, Cubicle #1

(806) 742-2205

Inter-Greek Council

Student Union, Second Floor, Cubicle #2

(806) 742-5433

National Pan-Hellenic Council

Student Union, Second Floor, Cubicle #3

(806) 742-2403

GROUND'S USE REQUESTS

Student Activities

203 Student Union

(806) 742-3636

HANDICAP PARKING

University Parking Services

Administrative Support Center

2903 4th Street

(806) 742-3811

HARASSMENT – STUDENTS

Dean of Students

201AA Student Union

742-2984

Ombudsman

237 Student Union

(806) 742-4791

HARASSMENT – FACULTY/STAFF

Equal Employment Opportunity Office

323 Administration Building

(806) 742-3627

Ombudsman

237 Student Union

742-4722

HEALTH CONCERNS

Student Health Services Reception

103 Student Wellness Center

(806) 743-2848 (appointments)

(806) 743-2860 (other issues)

HOMEcoming

Student Activities Tech Activities Board

203 Student Union

(806) 742-~~4708~~3636

HONORS COURSES

Honors College

103 McClellan Hall

(806) 742-1828

HOUSING (ON CAMPUS)

Residence Life University Student Housing

~~10835~~ Doak Hall

(806) 742-2661

HOUSING (OFF CAMPUS)

Student Government Association

302 Student Union

(806) 742-3631

INFORMATION

Student Union Information Center

Student Union

Outside Barnes & Noble

(806) 742-1344

ID INFORMATION

Student ID Office

104 Student Union

(806) 742-1457

INFORMATION (Directory)

TTU Campus Operator

Off-Campus (806) 742-2011

On-Campus 0

INSURANCE (Health)

Office of the Ombudsman

237 Student Union

(806) 742-4791

Student Health Services Reception

103 Student Wellness Center

(806) 743-2848 (appointments)

(806) 743-2860 (other issues)

INTERNATIONAL STUDENTS

International Cultural Center

601 Indiana Ave.

(806) 742-2974

LANDMARK ARTS

105 Art Building

(806) 742-1947

LIBRARY

Texas Tech Library Hours

(806) 742-2551

www.library.ttu.edu/hours

Information

(806) 742-2265

www.library.ttu.edu

Southwest Collection

(Next to Texas Tech Library (north side))

(806) 742-3749

www.swco.ttu.edu

LOST AND FOUND

Texas Tech Police Department

2901 4th Street

(806) 742-3931

MATH PLACEMENT

Mathematics Department

201 Math Building

(806) 742-2566

MOTORIST ASSISTANCE

University Parking Services

Administrative Support Center

2903 4th Street

(806) 742-3811 ext. 257

MUSEUM

TTU Museum Information

102 Museum (4th Street and Indiana Avenue)
(806) 742-2490

NEWSPAPER

The Daily Toreador

211-F Student Media Building

(806) 742-3393

OFFICE OF THE OMBUDSMAN

237 Student Union

(806) 742-4791

ORIENTATION

Admissions and School Relations

129 West Hall

(806) 742-1480

PARENTS

Parent Relations

244 West Hall

(806) 742-3630

1-888-888-7409

PARKING

University Parking Services

Administrative Support Center

2903 4th Street

(806) 742-3811

PAYING

Student Financial Services

301 West Hall

(806) 742-3272

POLICE

Texas Tech Police Department

2901 4th Street

(806) 742-3931

POST OFFICE (U.S.)

Post TECH

103 Student Union

(806) 742-3666

PRESIDENT'S OFFICE

150 Administration Building

(806) 742-2121

RADIO STATION

KTXT-FM Radio

(806) 742-3914

KOHM-FM Radio

(806) 742-3100

WQFT AM 1610 Radio

(806) 742-3811

RECREATION

Recreational Sports

202 Student Rec Center

(806) 742-3351

REGISTRATION

Office of the Registrar

103 West Hall
(806) 742-3661

ROAD HELP

Parent Relations

244 West Hall
(806) 742-3630
1-888-888-7409

ROTC

Air Force

303 Business Administration Bldg.
(806) 742-2141

Army

303 Business Administration Bldg.
(806) 742-2141

SCHOLARSHIPS

Scholarship Office

305 West Hall
(806) 742-3144

SHUTTLE VAN

Student Government Association

302 Student Union
(806) 742-3631

SOLICITATION REQUESTS

Student Activities

203 Student Union
(806) 742-3636

SORORITIES

Panhellenic Council

Student Union, Second Floor, Cubicle #4
(806) 742-2403

Inter-Greek Council

Student Union, Second Floor, Cubicle #2
(806) 742-5433

National Pan-Hellenic Council

Student Union, Second Floor, Cubicle #3
(806) 742-5433

STAFF SENATE

(806) 742-7555

STUDENT GOVERNMENT ASSOCIATION

302 Student Union
(806) 742-3631

STUDENT ORGANIZATIONS

Student ActivitiesStudent Union & Activities

203 Student Union
(806) 742-3636

STUDENT AFFAIRS

Associate Vice President for Student Affairs

1003 Flint Avenue

~~211 Student Wellness Center~~

(806) 742-2691

~~Associate Vice President for Student Affairs~~

~~122 160 Doak Hall~~

~~(806) 742-1452~~

Athletic Academic Services

18th Street and Hartford

William J. Davis Dining Hall

(806) 742-0150

Barnes & Noble Bookstore

005 & 112 Student Union

(806) 742-3816

Career Center

Wiggins Complex (southeast side)

(806) 742-2210

Center for Campus Life

201 Student Union

(806) 742-5433

Dean of Students

201AA Student Union

(806) 742-2984

~~High Tech Services~~

~~108 Student Union~~

~~(806) 742-2565~~

Hospitality Services

114 Doak Hall

(806) 742-2661

~~Housing & Residence Life~~

~~108 Doak Hall~~

~~(806) 742-2661~~

Marketing and Promotions

~~160 Doak Hall~~

~~(806) 742-4658~~

Ombudsman

237 Student Union

(806) 742-4791

Parent Relations

244 West Hall

(806) 742-3630

PostTECH

103 Student Union

(806) 742-3666

Recreational Sports

202 Student Recreation Center

(806) 742-3351

Senior Associate Vice President for Student Affairs

160 Doak Hall

(806) 742-1452

Sodexho

United Spirit Arena – Basement

(806) 742-736284

Student Counseling Center Reception

201 Student Wellness Center

(806) 742-3674

Student Disability Services

335 West Hall

(806) 742-2405

Student Diversity Relations

024 Student Union

(806) 742-5777

Student Government Association

302 Student Union

(806) 742-3631

Student Health Services Reception

103 Student Wellness Center

(806) 743-2848

Student ID Office – Raider Card Tech Express

104 Student Union

(806) 742-1457

Student Judicial Programs

020 Student Union (basement)

(806) 742-1714

Student Legal Services

307 Student Union

(806) 742-3289

Student Media

103 Student Media Building

(806) 742-3388

Student Union & Activities

203 Student Union

(806) 742-3636

Student Union

~~203 Student Union (Main Office)~~

~~(806) 742-3636~~

TECHniques Center

250 West Hall

(806) 742-1822

United Spirit Arena

19th & Indiana

(806) 742-7362

University Student Housing

108 Doak Hall

(806) 742-2661

Upward Bound

313 Administration Building

(806) 742-3616

Vice President for Student Affairs

167 Administration Building
(806) 742-4360

STUDY SKILLS

P.A.S.S./Learning Center

205 West Hall
(806) 742-3664

TECHniques CENTER

250 West Hall
(806) 742-1822

TSI (Texas Success Initiative – formerly TASP)

116 West Hall
742-1183x248

THEATER

University Theater
18th & Boston, (southwest of Library)
(806) 742-36013

TICKETS -ATHLETIC

Jones AT&T Stadium (North End)
(806) 742-Tech (8324)

TICKETS –ENTERTAINMENT

Student Union Ticket Booth

Basement/Escondido Theater

Student Union
(806) 742-3610

University Theatre

18th & Boston (southwest of Library)
(806) 742-36013

School of Music

101 Music Building
(806) 742-2270, Ext. 295

TRANSCRIPTS

Office of the Registrar

103 West Hall
(806) 742-3661 1499

TUTORS

P.A.S.S./Learning Center

205 West Hall
(806) 742-3664

TV STATION

KTXT-TV Station

(806) 742-2209

VETERAN SERVICES

Veteran Services

115 West Hall
(806) 742-3661x237 1495x238

WITHDRAWING

Office of the Registrar

103 West Hall
(806) 742-3661

XL

Strategies for Learning

56 Holden Hall

(806) 742-3928

YEARBOOK

La Ventana

117 Student Media Building

(806) 742-3383

TEXAS TECH UNIVERSITY
STATEMENT OF ETHICAL PRINCIPLES
"DO THE RIGHT THING"

Texas Tech University is committed to the values of mutual respect; cooperation and communication; creativity and innovation, community service and leadership; pursuit of excellence; public accountability; and diversity. 2005 Texas Tech University Strategic Plan

Texas Tech University is committed to being an ethical institution. In recognition of the rights and inherent dignity of all members of the Texas Tech University community, the university is committed to supporting the following principles and to protecting those rights guaranteed by the Constitution, the laws of the United States and the State of Texas, and the policies adopted by the Board of Regents. As members of the Texas Tech community, faculty, students, staff, administration, and all stakeholders accept responsibility for abiding by and promoting the ethical principles of the university described below. Although legal behavior and ethical behavior overlap in many areas, they are quite distinct from each other. While we follow legal requirements, an ethical institution goes beyond them to achieve the following values.

MUTUAL RESPECT

Texas Tech University is committed to an open and diverse society. Each member of the Texas Tech community has the right to be treated with **respect** and dignity. This right imposes a duty not to infringe upon the rights or personal values of others. Professional relationships among all members of the Texas Tech community deserve attention so that they are not exploited for base motives or personal gain.

COOPERATION AND COMMUNICATION

Texas Tech University is committed to the promotion of professional relationships and open channels of **communication** among all individuals. The university will publish and disseminate in a timely manner its values, policies, procedures, and regulations, as well as any other information that is necessary to protect and educate all members of our community. We encourage and provide opportunities for the free and open exchange of ideas both inside and outside the classroom. While the free expression of views in orderly ways is encouraged, personal vilification of individuals has no place in the university environment.

CREATIVITY AND INNOVATION

Texas Tech University is committed to ethical institutional programs that meet the teaching, research, and service objectives of each discipline and department, to policies that are consistent with those objectives, and to a working and learning environment that encourages active participation. Such exemplary environments often challenge existing worldviews, requiring trust in the process of discovery and the acceptance of uncertainty and ambiguity within ethical parameters. The university supports all its members in life-long learning—a process that is both challenging and rewarding—and encourages **creative** and **innovative** means to achieve this goal through both opportunities and incentives.

COMMUNITY SERVICE AND LEADERSHIP

Texas Tech University is committed to ethical **leadership** practices at all levels and to our tradition of **community service**, both within the university community and in our relationships with the greater community. We strive for exemplary professional and **community service** through research, creative works, and service programs that extend beyond the university environment. We strive to provide excellent service in a caring and friendly environment, and encourage such involvement in the community by all faculty, students, staff, and administration.

PURSUIT OF EXCELLENCE

Texas Tech University is committed to achieving **excellence** in all aspects of our community. We expect this in the expertise and performance of our faculty, staff, and administration, as well as the continuing education of our students. A high standard of professionalism, including opportunities for professional contact and continuous growth, is expected of our faculty, students, staff, and administrators. The university is committed to academic integrity and to the effective and just implementation of a system designed to preserve and protect it. The university intends to be a model of **excellence**, following best practices in its professional work, displaying the highest standards in its scholarly work, and offering venues to showcase national and international examples of achievement.

PUBLIC ACCOUNTABILITY

Texas Tech University is committed to transparency in governance, personal responsibility, and both individual and organizational integrity. Being responsible requires us to be thoughtful stewards of our resources—**accountable** and respectful to ourselves, to each other, and to the publics we serve. A sense of institutional and public responsibility requires careful reflection on one's ethical obligations and the duty to respect commitments and expectations by acknowledging the context and considering the consequences, both intended and unintended, of any course of action. We promptly and openly identify and disclose conflicts of interest on the part of faculty, staff, students, administration, and the institution as a whole, and we take appropriate steps to either eliminate such conflicts or ensure that they do not compromise our procedures and values. When we make promises, we must keep those promises. We strive to do what is honest and ethical even if no one is watching us or compelling us to "do the right thing."

DIVERSITY

Texas Tech University is committed to the inherent dignity of all individuals and the celebration of **diversity**. We foster an environment of mutual respect, appreciation, and tolerance for differing values, beliefs, and backgrounds. We encourage the application of ethical practices and policies that ensure that all are welcome on the campus and are extended all of the privileges of academic life. We value the cultural and intellectual **diversity** of our university because it enriches our lives and the community as a whole, promoting access, equity, and excellence.

Submitted by the Steering Committee of Texas Tech University Ethics Initiative and
Adopted by the Board of Regents March 6, 2008

ANGELO STATE UNIVERSITY

Global Fee Document Effective Beginning Fall 2008 Semester Summary of Tuition, Fees, and Other Charges

All tuition, fees, rentals, rates, and charges of Angelo State University are charged and collected under specific authorization of the laws of the State of Texas, including, but not limited to, the authorization in *Texas Education Code* Section 54.504, Section 54.0513, Section 55.16, and other applicable sections.

The Board of Regents has authorized the President of Angelo State University to establish waiver criteria and waiver approval procedures for the fees, rentals, rates, and charges in accordance with state laws, including but not limited to *Texas Education Code*, Section 54.218, Section 54.5035, and Section 54.0513.

Tuition and fees for FY 2008-2009 are recommended based on enrollment of 165,000 credit hours. Enrollments exceeding 165,000 credit hours will result in additional funds that will be directed to strategic initiatives including but not limited to 1) first year experience to reverse retention issues, 2) marketing and branding program to increase enrollments, and 3) expansion of academic programs.

(A) TUITION

The following tuition rates will be in effect for the academic year beginning with the fall 2008 semester:

1. State Tuition

A. Undergraduate

Residents of Texas: \$50.00 per semester credit hour

Non-Resident Students: \$331.00* per semester credit hour

* Determined by the Coordinating Board

Bordering Counties to Texas and residents of New Mexico or Oklahoma: \$50.00 per semester credit hour

B. Graduate

1. Residents of Texas: \$50.00 per semester credit hour

2. Non-Resident Students: \$331.00* per semester credit hour

* Determined by the Coordinating Board

3. Bordering Counties to Texas and residents of New Mexico or Oklahoma:
\$50.00 per semester credit hour

2. Designated Tuition

A. Undergraduate

1. \$82.25 per semester credit hour for all university students.

B. Graduate

1. \$82.25 per semester credit hour for all university students

3. Board Authorized Tuition

A. Graduate

1. \$30.00 per semester credit hour, in addition to state and designated tuition, for all graduate courses.
4. The President of Angelo State University is authorized, in accordance with state statutes, to require graduate students exceeding the cap on maximum doctoral hours to pay non-resident tuition regardless of residence status.

(B) FEES

Mandatory—Statutory

1. **Laboratory Fee:** (Per Laboratory Section) In accordance with *Texas Education Code*, Section 54.501, this fee may not be less than \$2 per Section, if charged, nor more than \$30.00, except that the fee shall not exceed, in general, the cost of the laboratory materials and supplies.

| | |
|---|---------|
| All courses requiring laboratory facilities, equipment or special materials | \$15.00 |
| Applied Music Individual Instruction | |
| One-semester credit hour course | \$25.00 |
| Two-semester credit hour course | \$50.00 |
| Physical Activity Courses requiring equipment, locker, and shower | \$10.00 |
| Student Teaching | \$25.00 |

2. **International Education Fee (Study Abroad):** In accordance with Texas Education Code (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 54.5132, an International Education Fee may be charged and collected from students not less than \$1.00 and not more than \$4.00 for each fall/spring semester or each summer session. It is recommended to continue the International Education Fee (Study Abroad) of \$4.00 flat fee during the fall and spring semesters and \$2.00 flat fee for each summer term for all enrolled students
3. **Medical Services Fee:** In accordance with Texas Education Code (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 54.508, a \$42.35 flat fee may be charged during fall and spring semesters and \$21.15 every summer term for all enrolled students
4. **Recreation Sports Fee:** In accordance with Texas Education Code (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 54.509, an increase from \$29.00 to \$32.00 flat fee may be charged during the fall and spring semesters and \$14.50 to \$18.00 flat fee for each summer term for all enrolled students.
5. **Student Services Fee:** In accordance with Texas Education Code (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 54, a \$21.75 per semester credit hour with a cap of \$225.00 may be charged during the fall and spring semesters and a cap of \$112.50 may be charged for each summer term for all enrolled students.
6. **University Center Fee:** In accordance with Texas Education Code (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 54.5241, the University Center Fee may be increased from \$50.00 to \$55.00 flat fee during the fall and spring semesters and for each summer term for all enrolled students

Mandatory--Incidental

In accordance with *Texas Education Code* (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 54.504, the following fees are recommended by the President.

1. **Technology Service Fee:** (Per Semester) Angelo State University recommends an increase from \$240.00 to \$270.75 for students taking nine or more hours and from \$100.00 to \$115.00 for fall and spring semesters for students taking from one to eight hours. The fees for each summer

semester will be \$135.40 for students taking six or more hours and \$57.50 for students taking from one to five hours.

2. **Library Fee:** (Per Semester) Angelo State University is recommending increasing the Library Fee from \$3.00 per semester credit hour to \$3.50 during the fall, spring, and summer semesters/sessions to cover the costs of extended hours and debt service for the development and construction of an IT Commons area within the Library.
3. **Advising Center Fee:** (Per Semester) The Academic Advising Center uses the fee fund programs for first-time, full-time undeclared students. The fee of \$25 per semester is charged to students for the fall and spring semesters and \$12.50 flat fee for each summer term for all enrolled students.
4. **Athletic Fee:** (Flat Fee for Fall and Spring semesters) The Student Athletic Fee allows students for all home sporting events on a first come basis. The fee of \$15.00 per semester is charged to students during the fall and spring semesters. No fee will be charged for the summer semesters.
5. **Distance Learning Fee:** (Per Semester Credit Hour) The Distance Learning Fee of \$50.00 per semester credit hour is charged to partially cover the additional costs incurred by offering distance learning courses. For students that take only distance learning courses and no on campus courses, the University Center Fee, Medical Services Fee, and Recreational Sports Fee are waived.
6. **Course Fees:** Course fees may be charged for individual courses for expenditures directly related to the cost of the course. Course fees vary and range from \$10 to \$1,500 per course (international programs).

(C) MISCELLANEOUS FEES

1. **Application Fee:** will be charged for the following applications from prospective students:
 - Undergraduate (United States Citizens) \$25.00
 - Graduate (United States Citizens) \$40.00
 - International Student \$50.00
 - Physical Therapy \$25.00
(provide discretionary funds for student orientation)
2. **Off-campus Travel Fee:** This fee will be a flat fee and will reflect the cost of faculty members traveling off campus to deliver a course. This fee will not exceed the actual cost of traveling off-campus.

3. **Parking Fees -**

| | Current | Proposed |
|---|---------|----------|
| Automobiles | | |
| Fall Registration (August-August) | \$55.00 | \$72.00 |
| Spring Registration (January-August) | \$45.00 | \$55.00 |
| Summer I (May-August) | \$25.00 | \$35.00 |
| Summer II (July-August) | \$15.00 | \$25.00 |
| Automobile & Motorcycle | | |
| Fall (August-August) | \$75.00 | \$96.00 |
| Spring (January-August) | \$55.00 | \$65.00 |
| Summer I (May-August) | \$30.00 | \$40.00 |
| Summer II (July-August) | \$20.00 | \$30.00 |
| Student Second Vehicle (additional fee) | \$60.00 | \$70.00 |
| Faculty/Staff Second Vehicle (additional fee) | \$40.00 | \$50.00 |
| Motorcycle Only | | |
| Fall (August-August) | \$30.00 | \$48.00 |

- | | | |
|-------------------------|---------|---------|
| Spring (January-August) | \$20.00 | \$30.00 |
| Summer I (May-August) | \$15.00 | \$25.00 |
| Summer II (July-August) | \$10.00 | \$20.00 |
4. **Parking Fines**
The fines for illegally operating a vehicle on campus are:
- | | |
|---|----------|
| Fraudulent Use of Parking Permit | \$150.00 |
| Parking in or blocking DISABLED space | \$100.00 |
| Parking/Standing in a Fire lane | \$ 35.00 |
| Blocking a Dumpster | \$ 25.00 |
| Parking with No permit/Failed to display permit | \$ 20.00 |
| Parking/Standing in No Parking Zone | \$ 20.00 |
| Parking/Standing in Driveway | \$ 20.00 |
| Parking/Standing in Maintenance/Loading Zone | \$ 20.00 |
| Parking/Standing in a Reserved Area | \$ 15.00 |
| Parking/Standing on Sidewalk | \$ 15.00 |
| Parking/Standing on the Grass/Lawn Area | \$ 15.00 |
| Not in a Designated Space/Using 2 spaces | \$ 15.00 |
| Parking/Standing in a Barricaded/Prohibited Area | \$ 15.00 |
| Backing or Pulling through Parking Space | \$ 10.00 |
| Bicycles secured to Railing, Trees, Posts | \$ 10.00 |
| Parking with Improperly Displayed/Obscured Permit | \$ 10.00 |
| Wheel Booting Fee | \$ 20.00 |
| Providing Inaccurate Vehicle Registration Information | \$ 25.00 |
| All other violations | \$ 15.00 |
5. **Installment Payment of Tuition/Fees Option Fee** – Assessed at the time of signing emergency loan promissory note or on the second installment of tuition and fees each semester. \$30.00
6. **Late Charges on Installment Payment** \$15.00
7. **Reinstatement Fee** – assessed the first working day after the billing due date.\$100.00
8. **Return Check Fee or e-payment fee** \$20.00
9. **Late Registration Fee** \$50.00
10. **Testing Fees**
- | | |
|--|---------|
| Correspondence examinations | \$12/ea |
| On-line examinations | \$12/ea |
| Basic Peace officer examinations | \$20/ea |
| Jailer's TCLEOSE examinations | \$20/ea |
| Residual ACT examinations | \$50/ea |
| ACCUPLACER examinations | \$20/ea |
| CLEP examinations | \$15/ea |
| Psychological Services bureau examinations | \$30/ea |
11. **Library**
- | | |
|--|-----------------------------------|
| Overdue Fines – range | \$.30/day to \$1.00 day per item |
| Fees: | |
| Processing Fee (for replacement items) | \$10.00 |
| Replacement Fee – PBK Collection | \$10.00 |
| Replacement Fee – all other | cost of item |
| Damage Fee | \$30.00 |
| Interlibrary Loan Fee | no charge |
| Transparencies | \$.25/ea |
| Microform Copiers | \$.10/page |
| Microform Printouts | \$.20/ea |
| Laminations | |

| | |
|--|-------------------|
| ½ sheet | \$.35/ea |
| Full sheet | \$.70/ea |
| Community borrower's card | \$25.00 |
| West Texas Collection's Fees: | |
| Photos | \$12.00 |
| \$50.00 publication fee to "for profits" | |
| Obituaries | no charge |
| Microform Copiers | \$.10/page |
| Custom scan & print | \$.25/ea |
| Thesis Binding | \$9.00 |
| Microfilming Fee | \$50.00 |
| Copyright Registration Fee | \$45.00-\$55.00 |
| 12. <u>Continuing Studies Course Fees</u> | \$6.00 - \$400.00 |
| 13. <u>Post Office Box Rental</u> | |
| Fall and Spring | \$15.00 |
| Spring only | \$ 7.50 |
| Summer | \$ 7.50 |
| 14. <u>Ram Card Replacement Fee</u> | \$20.00 |

(D) RESIDENCE LIFE ROOM RATES

In accordance with *Texas Education Code* (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 51.002, Angelo State University recommends the following room rates effective fall, 2008.

| Room Rates (10 Month) | Current 2007-2008 | Proposed 2008-2009 |
|--|----------------------|-----------------------|
| Vanderventer Apartments | \$3,360 | \$4,450 |
| Harvard House (1 person, 1bd, 1 bath) | \$4,850 | \$5,092 |
| Harvard House (2 persons, 2bd, 1 bath or 3 persons, 3 bd, 2 bath) | \$5,145 | \$5,300 |
| Harvard House (4 persons, 2 bd, 1090 sq ft) | \$4,515 | \$4,741 |
| Harvard House (4 persons, 2 bd, 1312 sq ft) | \$4,620 | \$4,851 |
| Concho Hall | \$3,182 | \$3,659 |
| Massie Hall | \$3,637 | \$4,112 |
| Carr Hall | \$3,220 | \$3,703 |
| Texan Hall | \$4,704 | \$5,490 |
| Centennial Village (2 persons, 2 bd, 1 bath) | | \$5,350 |
| Centennial Village (4 persons, 4 bd, 2 bath) | | \$5,200 |

(E) BOARD RATES

In accordance with *Texas Education Code* (Vernon's Texas Codes Annotated, Higher Education, Title 3, April 2006), Section 51.002, Angelo State University recommends the following Board rates effective fall, 2008.

| <u>Board Plans</u> | | <u>Fall 2008 – Spring 2009</u> | |
|-----------------------|------------------------|--------------------------------|-------------------------|
| | Current 2007 – 2008 | | Proposed 2008 – 2009 |
| 7-day (20 meals/week) | \$1,936 | | N/A |
| 7-day (21 meals/week) | New | | \$2,500 |
| 7-day (15 meals/week) | \$1,892 | | \$2,450 |
| 5-day (15 meals/week) | \$1,848 | | \$2,400 |

| <u>Board Plan</u> | | <u>Summer 2009</u> | |
|-----------------------|------------------------|--------------------|-------------------------|
| | Current Summer 2008 | | Proposed Summer 2009 |
| 7-day (15 meals/week) | \$350 | | \$500 |

ANGELO STATE UNIVERSITY TUITION AND FEES FIVE-YEAR HISTORY

@15/sch

| | Fall, 2003 | Fall, 2004 | Fall, 2005 | Fall, 2006 | Fall, 2007 | Fall, 2008 |
|-------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| Tuition A | 690.00 | 720.00 | 750.00 | 750.00 | 750.00 | 750.00 |
| Designated | 600.00 | 660.00 | 840.00 | 900.00 | 1125.00 | 1,233.75 |
| Student Service | 162.00 | 162.00 | 162.00 | 225.00 | 225.00 | 225.00 |
| Library Fee | 15.00 | 30.00 | 30.00 | 45.00 | 45.00 | 52.50 |
| Recreation Sports | 20.00 | 22.00 | 24.00 | 26.40 | 29.00 | 32.00 |
| University Center | 35.00 | 35.00 | 35.00 | 35.00 | 50.00 | 55.00 |
| Technology Services Fee | 180.00 | 195.00 | 225.00 | 225.00 | 240.00 | 270.75 |
| Medical Services | 35.00 | 35.00 | 35.00 | 38.50 | 42.35 | 42.35 |
| Publication | 5.00 | 5.00 | 5.00 | 5.00 | 5.00 | 0 |
| International Education | 1.00 | 1.00 | 4.00 | 4.00 | 4.00 | 4.00 |
| Record Maintenance | 10.00 | 10.00 | 10.00 | 10.00 | 15.00 | 0 |
| Advising Center | 0 | 0 | 25.00 | 25.00 | 25.00 | 25.00 |
| Athletic Fee | 0 | 0 | 0 | 0 | 0 | 15.00 |
| Total | 1,768.00 | 1,890.00 | 2,145.00 | 2,288.90 | 2,555.35 | 2,705.35 |

TEXAS TECH UNIVERSITY

Global Fee Document Effective Beginning Fall 2008 Semester Summary of Tuition, Fees, and Other Charges

All tuition, fees, rentals, rates, and charges of Texas Tech University are charged and collected under specific authorization of the laws of the State of Texas, including, but not limited to, the authorization in *Texas Education Code* Section 54.504, Section 54.0513, Section 55.16, and other applicable sections.

The Board of Regents has delegated to the President of Texas Tech University the authority to establish waiver and exemption criteria and waiver and exemption approval procedures for the fees, rentals, rates, and charges in accordance with state laws, including but not limited to Texas Education Code, Section 54.218, Section 54.5035, and Section 54.0513.

(A) TUITION

The following tuition rates are in effect for the academic year beginning with the Fall 2008 semester:

1. State Tuition

A. Undergraduate

Residents of Texas: \$50.00 per semester credit hour

Non-Resident Students: \$331.00 per semester credit hour. The President of Texas Tech University is authorized, in accordance with state statutes, to establish non-resident tuition at the rate determined by the Texas Higher Education Coordinating Board.

Bordering Counties to Texas and residents of New Mexico or Oklahoma: \$50.00 per semester credit hour

Bordering States to Texas and residents of New Mexico or Oklahoma and not eligible for the Bordering Counties:
\$80.00 per semester credit hour

B. Graduate

1. Residents of Texas: \$50.00 per semester credit hour
2. Non-Resident Students: \$331.00 per semester credit hour. The President of Texas Tech University is authorized, in accordance with state statutes, to establish non-resident tuition at the rate determined by the Texas Higher Education Coordinating Board.
3. Bordering Counties to Texas and residents of New Mexico or Oklahoma: \$50.00 per semester credit hour
4. The President of Texas Tech University is authorized, in accordance with state statutes, to require graduate students exceeding the cap on maximum doctoral hours to pay non-resident tuition regardless of residence status.

C. Law

1. Residents of Texas: \$80.00 per semester credit hour
2. Non-Resident Students: \$331.00 per semester credit hour. The President of Texas Tech University is authorized, in accordance with state statutes, to establish non-

resident tuition at the rate determined by the Texas Higher Education Coordinating Board.

2. Designated Tuition

A. Undergraduate

1. \$93.67 per semester credit hour for all University students

For undergraduates, tuition rate alternatives reflect the University's emphasis to graduate on time by completing 30 SCH during the fall and spring semester; therefore, financial incentives are offered to stimulate participation at a minimum of 15 SCH each fall and spring semesters.

B. Graduate

1. \$93.67 per semester credit hour for all University students

C. Law

1. \$93.67 per semester credit hour for all University students
2. plus \$55.00 per semester credit hour for all Law students

3. Board Authorized Tuition

A. Graduate

1. \$50.00 per semester credit hour, in addition to state and designated tuition, Graduate courses

B. Law

1. \$160.00 per semester credit hour, in addition to state and designated tuition, Law courses

(B) ACADEMIC FEES

Mandatory – Statutory

1. **Laboratory Fee:** (Per Laboratory Section) In accordance with Texas Education Code, Section 54.501, this fee may not be less than \$2 per Section, if charged, nor more than \$30.00, except that the fee shall not exceed, in general, the cost of the laboratory materials and supplies. The fee established for individual laboratory sections shall be determined and approved under a policy established by the administration.

Mandatory – Incidental

1. **Information Technology Fee:** (Per Semester Credit Hour). This fee funds the information technology infrastructure within the University. \$20.50
2. **Library Fee:** (Per Semester Credit Hour). This fee funds continued support for the acquisition and access of materials used for teaching and research. \$14.00
3. **Advising, Retention, and Placement Fee:** (Per Semester Credit Hour). These fees are college specific and funds enhanced student advising, retention programs, and recruitment of potential employers for students graduating from programs within these colleges.

- College of Agriculture and Natural Resources \$1.75
 - Rawls College of Business \$5.75
 - College of Engineering \$3.00
4. **Academic Support Fee for Law School Students:** (Per Semester Credit Hour for all Law School students). This fee funds implementation of a formal academic support system to enhance student placement and career services at the School of Law. \$7.00
 5. **Student Advocacy & Competition Fee:** (Per Semester Credit Hour for all Law School students). This fee funds the Law School's advocacy programs, which are essential components of the School's skills program and an important element of the curriculum required by the School's accrediting body. \$2.50
 6. **Cultural Activities Fee:** (Per Semester Credit Hour). This fee funds all aspects of the presentational elements for the College of Visual & Performing Arts so that all students are able to fully participate in the widely diverse presentations without an additional charge. \$1.00
 7. **Course Fee:** (Per Semester Credit Hour). This fee shall not be less than \$3.00 but not more than \$45.00 per semester credit hour, except that the fee shall not exceed, in general, the cost of materials or services directly associated with the course – not including faculty salaries. The fee established for individual courses shall be determined and approved under a policy established by the administration.

Discretionary – Incidental

1. **Special Instruction Fee:** This fee is a one-time cost associated with a particular or special section of a course and will roll from fall to fall, spring to spring, summer to summer.
2. **Field-Trip Fee:** This fee will be assessed to students for courses that require travel by students for field trips associated with the course. This fee will not exceed the actual cost of the related field trip.
3. **Off-campus Travel Fee:** This fee will be a set fee and will reflect the cost of faculty members traveling off campus to deliver a course. This fee will not exceed the actual cost of traveling off-campus.
4. **Auditing Fee:** This fee is charged to students auditing courses for non-credit that are enrolled in 11 semester credit hours or less. \$10.00
5. **Law School Deposit:** Deposits are charged to each accepted applicant is required to pay a deposit soon after being accepted to hold a place in the entering class.

| | |
|--|------------|
| Applicants accepted in the Early Decision Program | \$750.00 |
| Applicants accepted in the Regular Decision Admission | \$300.00 |
| The School of Law requires an additional deposit in June to continue holding a place in the entering class | \$1,000.00 |
6. **Probation/Post Suspension Assistance Fee:** This fee allows the XL – Strategies for Learning Program to offer sections of the non-credit study strategy assistance course required of freshmen on probation and first-return suspension students. The course is also available to any student who wishes to solidify or improve cognitive-based study techniques. \$200.00
7. **Library Fines:** Fines from \$1.00 to \$225.00 per occurrence to cover the costs from late return fees, and lost, stolen, or damaged interlibrary loan books.

(C) STUDENT-RELATED FEES

Mandatory – Statutory

1. **International Education Fee (Study Abroad):** (Flat Fee). This fee is charged in accordance with Texas Education Code Section 54.5132; an International Education Fee may be charged and collected from students not less than \$1.00 and not more than \$4.00 for each fall/spring semester or each summer session. This fee is charged at \$4.00 flat fee for each fall and spring semester, and each summer session within the summer trimester for all enrolled students.
2. **Medical Services Fee:** (Flat Fee). This fee is charged in accordance with Texas Education Code Section 54.508 and the recommendations of the Medical Services Fee Advisory Committee and reviewed by the TTU President's Budget Advisory Council, and the Vice President for Student Affairs. This fee including debt service is charged at \$75.00 flat fee for each fall and spring semester for all enrolled students in four or more semester credit hours. Students enrolled in less than four semester credit hours will be charged \$37.50 flat fee for each fall and spring semester. The Medical Services Fee will be prorated for the summer trimester. Continuing students may be charged an optional fee for summer usage.
3. **Student Recreation Fee:** (Flat Fee). This fee is charged in accordance with Texas Education Code Section 54.509 and the recommendations of the Student Recreation Fee Advisory Committee and reviewed by the TTU President's Budget Advisory Council, and the Vice President for Student Affairs. This fee including debt service is charged at \$65.00 flat fee for each fall and spring semester for all enrolled students in four or more semester credit hours. Students enrolled in less than four semester credit hours will be charged a \$40.00 flat fee for each fall and spring semester. The Student Recreation Fee will be prorated for the summer trimester. Continuing students be charged an optional fee for summer usage.
4. **Student Services Fee:** (Per Semester Credit Hour). This fee is charged in accordance with Texas Education Code Section 54.503 and the recommendations of the Student Services Fee Advisory Committee and reviewed by the TTU President's Budget Advisory Council, and the Vice President for Student Affairs. This fee including debt service is charged at \$11.50 per semester credit hour and cap of 12 semester credit hours (maximum \$138.00) for each fall and spring semester and summer trimester for all enrolled students. Study Abroad students are assessed at 50% of the Student Services Fee for each fall and spring semester and summer trimester or \$5.75 per semester credit hour and cap of 12 semester credit hours (maximum \$69.00).
5. **Student Union Fee:** (Flat Fee). This fee is charged in accordance with Texas Education Code Section 54.5241 and the recommendations of the Student Union Fee Advisory Committee and reviewed by the TTU President's Budget Advisory Council, and the Vice President for Student Affairs. This fee including debt service is charged at \$98.00 flat fee for each fall and spring semester all enrolled students in four or more semester credit hours. Students enrolled in less than four semester credit hours will be charged a \$49.00 flat fee for each fall and spring semester. The Student Union Fee will be prorated for the summer trimester.

Mandatory – Incidental

1. **University ID Fee:** (Flat Fee). This fee is charged in accordance with Texas Education Code Sections 54.504 and 55.16, and recommendations of the University ID Fee Advisory Committee and reviewed by the TTU President's Budget Advisory Council and the Vice President for Student Affairs. This fee is charged at \$5.00 flat fee for each fall and spring semester, and each summer session within the summer trimester for all enrolled students.
2. **Student Transportation Fee:** (Per Semester Credit Hour). This fee is charged in accordance with Texas Education Code Sections 54.504 and 55.16 and the recommendations of the Student Transportation Fee Advisory Committee and reviewed by the TTU President's Budget Advisory Council and the Vice President for Student Affairs. This fee is charged at \$3.50 per semester credit hour with a cap of 12 semester credit hours (maximum \$42.00) for each fall and spring

semester and summer trimester for all enrolled students for bicycle, bus, parking, shuttle and taxi services.

(D) OTHER FEES

Mandatory – Incidental

1. **Student Athletic Fee:** (Flat Fee). This fee allows students to access the student seating for all home sporting events on a first come basis. Pursuant to an agreement between the Student Government Association and the Athletic Department, this fee is charged to students enrolled in four (4) or more semester credit hours during the fall and spring semesters.
\$52.00
2. **Student Business Services Fee:** (Per Semester Credit Hour). This fee provides funding support to student support areas of the University, including Admissions, Registrar, Student Business Services, and New Student Relations.
\$9.00
3. **Application Fee:** This fee is charged for the following applications from prospective students:

| | |
|--|---------|
| • Undergraduate (United States Citizens) | \$50.00 |
| • Graduate (United States Citizens) | \$50.00 |
| • Law School | \$50.00 |
| • Foreign (Undergraduate and Graduate) | \$75.00 |
| • Honors College | \$25.00 |
4. **Energy Fee:** (Flat Fee). This fee is charged to address the escalating utility costs. This fee is \$30.00 for students taking 1-6 SCH; \$60.00 for students taking 7-11 SCH; and \$90.00 for students taking 12 SCH or more for each fall and spring semester and summer trimester.

Discretionary – Incidental

1. **Diploma Replacement Fee:** \$16.00
2. **Diploma Insert Fee:** (re-application for graduation) \$2.00
3. **Duplicate Copy of Registration Fee Receipt:** \$0.50
4. **Binding Theses and Dissertations:**

| | |
|--|----------|
| • Theses – 3 official copies | \$55.00 |
| • Dissertations – 3 official copies and microfilming | \$110.00 |
| • Personal Copies | \$17.00 |
| • Packets for Enclosure | \$5.00 |
| • Mailing Charges | |
| a. Domestic | \$5.00 |
| b. International | \$8.00 |
5. **Sponsored International Student Administrative Fee:** This fee (charged to sponsored international students) supports customized services to sponsoring agencies and sponsored students by the Office of International Affairs.

| | |
|---|----------|
| • Each Fall and Spring Semester | \$250.00 |
| • Each Summer Session within the Summer Trimester | \$125.00 |
6. **Education Abroad Fee:** This fee may be set in an amount not to exceed the cost of offering the program but not less than \$125.00 and not more than \$500.00.
7. **International Student Fee:** This fee is charged to each non-immigrant international student.

| | |
|---|---------|
| • Each Fall and Spring Semester | \$50.00 |
| • Each Summer Session within the Summer Trimester | \$25.00 |

8. **Installment Payment Fee:** This fee is assessed at the time of signing the installment payment plan or emergency loan promissory note \$25.00
9. **Late Payment Fee:** This fee is assessed the first working day after the billing due date. \$50.00
10. **Late Registration Fee:** This fee is assessed beginning the first class day. \$50.00
11. **Reinstatement Fee:** This fee is assessed to reinstate a cancelled schedule. \$200.00
12. **Returned Check Charge:** This fee is assessed for all returned checks. \$30.00
13. **Student Orientation Fee:** This fee is assessed to all students attending new student orientation. \$45.00
14. **Facilities Fee:** (Per Semester Credit Hour). This fee is for the cost of facilities maintenance and renewal at off-campus educational sites. This fee applies only to those students enrolled in courses at any of the following sites: Abilene, Amarillo, Fredericksburg, Highland Lakes, and Junction. \$8.00
15. **Medical Services Fee:** (Per Semester Credit Hour). This fee is for student medical insurance for students enrolled at the TTU Center at Junction. \$3.00

(E) OUTREACH AND EXTENDED STUDIES

Unless otherwise noted, the following fee rates are currently in effect. Fees are not to exceed amounts shown below.

1. **K-12 Correspondence and On-Line Courses:** \$100.00
2. **Credit by Examination:** (will vary depending on quantity ordered) \$30.00
3. **Transfer Fee:** \$30.00
4. **Extension Fee:** (will vary by type of course) \$100.00
5. **Shipping Charges:** (will vary depending on Textbook cost and shipping priority) \$75.00
6. **Administrative Processing Fee:** \$30.00
7. **Materials Replacement Charges:** (will vary by material replaced) \$15.00
8. **TAKS Proctor Fee:** \$175.00
9. **TTUUSD Evaluation Fee:** \$75.00

(F) UNIVERSITY STUDENT HOUSING ROOM RATES

In accordance with *Texas Education Code* Section 51.002 and the recommendations of the Residence Halls Association and reviewed by the TTU President's Budget Advisory Council and the Vice President for Student Affairs.

| Room Rates (9 Month) | 2008-2009 |
|----------------------|-----------|
| Air Conditioned | \$3,980 |
| Single Room Fee | \$1,000 |
| Gordon Efficiency | \$4,075 |
| Gordon 2 Bedroom | \$4,246 |

| | |
|---------------------------|---------|
| Gordon 1 Bedroom | \$4,522 |
| Carpenter Wells 4 Bedroom | \$4,783 |
| Carpenter Wells 3 Bedroom | \$5,089 |
| Carpenter Wells 2 Bedroom | \$5,698 |
| Carpenter Wells 1 Bedroom | \$6,225 |
| Murray Suites | \$5,161 |

| | |
|---------------------------|---------|
| Room Rates (12 Month) | |
| Carpenter Wells 4 Bedroom | \$5,386 |
| Carpenter Wells 3 Bedroom | \$5,773 |
| Carpenter Wells 2 Bedroom | \$6,417 |
| Carpenter Wells 1 Bedroom | \$7,011 |
| Murray Suites | \$5,813 |

| | |
|--------------------------------------|-------|
| Room Rates (Summer per part of term) | |
| Carpenter Wells 4 Bedroom | \$608 |
| Carpenter Wells 3 Bedroom | \$633 |
| Carpenter Wells 2 Bedroom | \$680 |
| Carpenter Wells 1 Bedroom | \$723 |
| Murray Suites | \$704 |

(G) HOSPITALITY SERVICES BOARD RATES

In accordance with *Texas Education Code* Section 51.002 and the recommendations of the Residence Halls Association and reviewed by the TTU President's Budget Advisory Council and the Vice President for Student Affairs.

Board Plans Fall 2008 and Spring 2009

| | |
|-------------|-----------------------------|
| Red & Black | \$3,600 (1785 Dining Bucks) |
| Diamond | \$3,330 (1525 Dining Bucks) |
| Platinum | \$3,155 (1340 Dining Bucks) |
| Gold | \$2,930 (1115 Dining Bucks) |
| Silver | \$2,715 (900 Dining Bucks) |

Summer Part of Term 2009

| | |
|-------------|--------------------------|
| Red & Black | \$500 (295 Dining Bucks) |
| Diamond | \$460 (255 Dining Bucks) |
| Platinum | \$430 (225 Dining Bucks) |
| Gold | \$390 (185 Dining Bucks) |
| Silver | \$370 (165 Dining Bucks) |

Fall/Spring/Summer 2008-2009 (12-Month Dining Plan Contract)

| | |
|-------------|-----------------------------|
| Red & Black | \$4,490 (2475 Dining Bucks) |
| Diamond | \$4,215 (2200 Dining Bucks) |
| Platinum | \$3,965 (1950 Dining Bucks) |
| Gold | \$3,700 (1685 Dining Bucks) |
| Silver | \$3,430 (1425 Dining Bucks) |

(H) THE INCENTIVE-BASED (MODIFIED FLAT RATE) TUITION MODEL

This model bases the rate on a percentage of the base tuition rate (\$143.67 per SCH) times the number of SCH. For example: a student taking 12 SCH would pay $\$143.67 \times 12 \times 112\% = \$1,930.92$ or \$160.91 per SCH; a student taking 17 SCH would pay $\$143.67 \times 17 \times 92\% = \$2,247.00$ or \$132.18 per SCH. The primary intent is to reflect the University's emphasis to graduate on time by completing 30 SCH during the fall and spring semester; therefore, financial incentives are offered to stimulate participation at a minimum of 15 SCH each fall and spring semesters.

Undergraduate Total Tuition: Fall 2008

Modified Flat Rates – 12 or More SCH

| #SCH | Base Rate \$143.67 (1) | Applicable Percentages (2) | Total Tuition Rate (3) | Tuition Increase (Decrease) | Modified Tuition Rate |
|------|------------------------------|----------------------------------|---------------------------------|-----------------------------------|-----------------------------|
| 1 | \$143.67 | 100.00% | \$143.67 | 0.0% | \$143.67 |
| 2 | \$287.34 | 100.00% | \$287.34 | 0.0% | \$143.67 |
| 3 | \$431.01 | 100.00% | \$431.01 | 0.0% | \$143.67 |
| 4 | \$574.68 | 100.00% | \$574.68 | 0.0% | \$143.67 |
| 5 | \$718.35 | 100.00% | \$718.35 | 0.0% | \$143.67 |
| 6 | \$862.02 | 100.00% | \$862.02 | 0.0% | \$143.67 |
| 7 | \$1,005.69 | 100.00% | \$1,005.69 | 0.0% | \$143.67 |
| 8 | \$1,149.36 | 100.00% | \$1,149.36 | 0.0% | \$143.67 |
| 9 | \$1,293.03 | 100.00% | \$1,293.03 | 0.0% | \$143.67 |
| 10 | \$1,436.70 | 100.00% | \$1,436.70 | 0.0% | \$143.67 |
| 11 | \$1,580.37 | 100.00% | \$1,580.37 | 0.0% | \$143.67 |
| 12 | \$1,724.04 | 112.00% | \$1,930.92 | 0.0% | \$160.91 |
| 13 | \$1,867.71 | 109.00% | \$2,035.80 | 0.0% | \$156.60 |
| 14 | \$2,011.38 | 105.00% | \$2,111.95 | 0.0% | \$150.85 |
| 15 | \$2,155.05 | 100.00% | \$2,155.05 | 0.0% | \$143.67 |
| 16 | \$2,298.72 | 96.00% | \$2,206.77 | 0.0% | \$137.92 |
| 17 | \$2,442.39 | 92.00% | \$2,247.00 | 0.0% | \$132.18 |
| 18 | \$2,586.06 | 88.00% | \$2,275.73 | 0.0% | \$126.43 |
| 19 | \$2,729.73 | 86.00% | \$2,347.57 | 0.0% | \$123.56 |

Conditions that are seen as important in the success of the model include:

Support for Access to Higher Education – Red Raider Guarantee

In an effort to increase access to higher education, Texas Tech has implemented a plan to guarantee free tuition and mandatory fees up to 15 credit hours per semester to new entering freshmen who are Texas residents, enrolled full-time with family adjusted gross incomes that do not exceed \$40,000.

Eligible students must apply, be accepted for admission and have a complete financial aid file before May 1, 2008 to receive the guaranteed funds. Any applications received after May 1, 2008 will be awarded based on available funding. Students may qualify for the program for up to eight (8) semesters of full-time enrollment. Under this program tuition and mandatory fees will be paid by a combination of federal, state, and institutional funds. Examples of funds include, but are not limited to, PELL Grant, SEOG, Texas Grant, Texas Public Education Grant, Texas Tech Grant, scholarships, etc.

| TEXAS TECH UNIVERSITY Estimated Cost of Tuition and Fees Four Year Comparison - Fall Semester Estimates Undergraduate Resident Student Basis - 15-hour Enrollment | | | | | | | | |
|--|-----------------------------|----------------------------------|-----------------------------|----------------------------------|-----------------------------|----------------------------------|-----------------------------|----------------------------------|
| | 2005-06 Academic Year | Percent Increase/ Decrease | 2006-07 Academic Year | Percent Increase/ Decrease | 2007-08 Academic Year | Percent Increase/ Decrease | 2008-09 Academic Year | Percent Increase/ Decrease |
| Tuition (SCH) | \$1,935.00 | 4.0% \$75.00 | \$2,025.00 | 4.7% \$90.00 | \$2,155.05 | 6.4% \$130.05 | \$2,155.05 | No Change* |
| Student Services Fee (SCH) | \$126.00 | 5.0% \$6.00 | \$126.00 | No Change | \$138.00 | 9.5% \$12.00* | \$138.00 | No Change* |
| Student Union Fee (Flat) | \$98.00 | No Change | \$98.00 | No Change | \$98.00 | No Change* | \$98.00 | No Change* |
| Medical Services Fee (Flat) | \$72.50 | 9.0% \$6.00 | \$75.00 | 3.4% \$2.50 | \$75.00 | No Change* | \$75.00 | No Change* |
| Student Recreation Fee (Flat) | \$60.00 | No Change | \$60.00 | No Change | \$65.00 | 8.3% \$5.00* | \$65.00 | No Change* |
| Student Athletics Fee (Flat) | \$51.00 | 2.0% \$1.00 | \$52.00 | 2.0% \$1.00 | \$52.00 | No Change* | \$52.00 | No Change* |
| Student Transportation Fee (SCH) | \$48.75 | 8.3% \$3.75 | \$42.00 | (13.8%) (\$6.75) | \$42.00 | No Change* | \$42.00 | No Change* |
| Cultural Activities Fee (SCH) | \$15.00 | No Change | \$15.00 | No Change | \$15.00 | No Change | \$15.00 | No Change |
| International Education Fee (Flat) | \$4.00 | No Change | \$4.00 | No Change | \$4.00 | No Change | \$4.00 | No Change |
| ID Card (Flat) | \$5.50 | No Change | \$5.00 | (9.1%) (\$.50) | \$5.00 | No Change* | \$5.00 | No Change* |
| Information Technology Fee (SCH) | \$300.00 | 11.1% \$30.00 | \$307.50 | 2.5% \$7.50 | \$307.50 | No Change* | \$307.50 | No Change* |
| Library Fee (SCH) | \$240.00 | 6.7% \$15.00 | \$240.00 | No Change | \$240.00 | No Change | \$210.00 | (8.75%) (\$30.00) |
| Student Business Services Fee (SCH) | \$120.00 | 14.3% \$15.00 | \$120.00 | No Change | \$135.00 | 12.5% \$15.00* | \$135.00 | No Change* |
| Energy Fee | N/A | N/A | \$60.00 | New \$60.00 | \$60.00 | No Change | \$90.00 | 50% \$30.00 |
| Course Fees (Estimated) | \$150.00 | No Change | \$150.00 | No Change | \$150.00 | No Change | \$150.00 | No Change |
| Total Estimate | \$3,225.75 | 4.9% \$151.75 | \$3,379.50 | 4.8% \$153.75 | \$3,541.55 | 4.8% \$162.05 | \$3,541.55 | No Change |

*Student Advisory Committee recommendations are noted with an asterisk.

TEXAS TECH UNIVERSITY
Global Fee Document – Recommended Changes
Effective Beginning Fall 2008 Semester

(A) TUITION

The following changes in tuition rates are requested for the academic year beginning with the Fall 2008 semester:

State Tuition – Undergraduate

Pursuant to the Texas Higher Education Coordinating Board established Non-Resident Student tuition rate at \$331.00 per semester credit hour

Pursuant to the Texas Higher Education Coordinating Board reinstated Bordering States to Texas as follows: Bordering States to Texas and residents of New Mexico or Oklahoma and not eligible for the Bordering Counties: \$80.00 per semester credit hour

State Tuition – Graduate

Pursuant to the Texas Higher Education Coordinating Board established Non-Resident Student tuition rate at \$331.00 per semester credit hour

State Tuition – Law

Pursuant to the Texas Higher Education Coordinating Board established Non-Resident Student tuition rate at \$331.00 per semester credit hour

Designated Tuition – Undergraduate

It is recommended that the summer and graduating senior rebates be eliminated.

Designated Tuition – Law

The Law school recommends a \$15.00 per semester credit hour increase from \$40.00 to \$55.00 per semester credit hour to be charged for Law School designated tuition. This requested increase is stated in the Law School's approved "top-tier" proposal and supported in the strategic plan. The funds generated by this requested increase will be used to fund merit and opportunity scholarships, enhance student programs, and increase funding for Admissions and Recruitment office/effort and fund an additional position in Career Services to further graduate's employment opportunities.

(B) ACADEMIC FEES

Mandatory – Incidental

Library Fee: (Per Semester Credit Hour). This fee funds continued support for the acquisition and access of materials used for teaching and research. It is recommended that this fee is decreased from \$16.00 per semester credit hour to \$14.00 per semester credit hour.

Student Advocacy & Competition Fee: (Per Semester Credit Hour for all Law School students). This fee funds the Law School's advocacy programs, which are essential components of the School's skills program and an important element of the curriculum required by the School's accrediting body. The Law School recommends an increase of \$0.50 per SCH from \$2.00 per semester credit hour to \$2.50 per semester credit hour. This increase is in support of the Law School's approved "top-tier" proposal and part of the strategic plan.

(C) STUDENT-RELATED FEES

Mandatory – Statutory

Medical Services Fee: The Medical Services Fee will be prorated for the summer trimester. Continuing students may be charged an optional fee for summer usage.

Student Recreation Fee: The Student Recreation Fee will be prorated for the summer trimester. Continuing students may be charged an optional fee for summer usage.

Student Union Fee: The Student Union Fee will be prorated for the summer trimester.

(D) OTHER FEES

Application Fee – Foreign: This fee is charged for applications processing from prospective students. An increase from \$60 to \$75 is requested to fund a dedicated international admissions officer.

Energy Fee: (Flat Fee). This fee is charged to address the escalating utility costs. It is recommended that this fee is increased from \$20.00 to \$30.00 for students taking 1-6 SCH; from \$40.00 to \$60.00 for students taking 7-11 SCH; and from \$60.00 to \$90.00 for students taking 12 SCH or more for each fall and spring semester and summer trimester.

Late Charges on Emergency Loans (Deleted Fee):
Redundancy as fee is covered with Late Payment Fee

Security Sensitive Background Checks (Deleted Fee):
Removed fee since the fee is not charged to students

(E) OUTREACH AND EXTENDED STUDIES

K-12 Correspondence and On-Line Courses:

The Division of Outreach & Distance Education would like to request a \$1.00 increase from not to exceed \$99.00 to not to exceed \$100.00

TAKS Proctor Fee (New Fee):

Texas Tech University Independent School District (TTUISD) performs this task for TTUISD students as well as any other student that did not pass the TAKS test while attending a public school. This second group of students is included in this process by agreement with the Texas Education Agency. This will cover the cost of bonding the proctor, administrative costs including long distance phone costs and travel costs, as well as overnight documents. TTUISD students will be charged \$125.00. Non-TTUISD students will be charged a \$50.00 surcharge for this service for a total of \$175.00

Kindergarten Acceleration Screening Measure (Deleted Fee):
Service no longer provided

Kindergarten Acceleration Screening Guide (Deleted Fee):
Service no longer provided

(F) UNIVERSITY STUDENT HOUSING ROOM RATES

No Changes, but included 12 Month and Summer per part of term rates

(G) HOSPITALITY SERVICES BOARD RATES

No Changes

(H) THE INCENTIVE-BASED (MODIFIED FLAT RATE) TUITION MODEL

It is recommended that the summer and graduating senior rebates be eliminated.

TEXAS TECH UNIVERSITY
Estimated Cost of Tuition and Fees
Four Year Comparison - Fall Semester Estimates
Undergraduate Resident Student Basis - 15-hour Enrollment

| | 2005-06 Academic Year | Percent Increase/ Decrease | 2006-07 Academic Year | Percent Increase/ Decrease | 2007-08 Academic Year | Percent Increase/ Decrease | 2008-09 Academic Year | Percent Increase/ Decrease |
|--|-----------------------------|----------------------------------|-----------------------------|----------------------------------|-----------------------------|----------------------------------|-----------------------------|----------------------------------|
| Tuition (SCH) | \$1,935.00 | 4.0% \$75.00 | \$2,025.00 | 4.7% \$90.00 | \$2,155.05 | 6.4% \$130.05 | \$2,155.05 | No Change |
| Student Services Fee (SCH) | \$126.00 | 5.0% \$6.00 | \$126.00 | No Change | \$138.00 | 9.5% \$12.00* | \$138.00 | No Change* |
| Student Union Fee (Flat) | \$98.00 | No Change | \$98.00 | No Change | \$98.00 | No Change* | \$98.00 | No Change* |
| Medical Services Fee (Flat) | \$72.50 | 9.0% \$6.00 | \$75.00 | 3.4% \$2.50 | \$75.00 | No Change* | \$75.00 | No Change* |
| Student Recreation Fee (Flat) | \$60.00 | No Change | \$60.00 | No Change | \$65.00 | 8.3% \$5.00* | \$65.00 | No Change* |
| Student Athletics Fee (Flat) | \$51.00 | 2.0% \$1.00 | \$52.00 | 2.0% \$1.00 | \$52.00 | No Change* | \$52.00 | No Change* |
| Student Transportation Fee (SCH) | \$48.75 | 8.3% \$3.75 | \$42.00 | (13.8%) (\$6.75) | \$42.00 | No Change* | \$42.00 | No Change* |
| Cultural Activities Fee (SCH) | \$15.00 | No Change | \$15.00 | No Change | \$15.00 | No Change | \$15.00 | No Change |
| International Education Fee (Flat) | \$4.00 | No Change | \$4.00 | No Change | \$4.00 | No Change | \$4.00 | No Change |
| ID Card (Flat) | \$5.50 | No Change | \$5.00 | (9.1%) (\$0.50) | \$5.00 | No Change* | \$5.00 | No Change* |
| Information Technology Fee (SCH) | \$300.00 | 11.1% \$30.00 | \$307.50 | 2.5% \$7.50 | \$307.50 | No Change* | \$307.50 | No Change |
| Library Fee (SCH) | \$240.00 | 6.7% \$15.00 | \$240.00 | No Change | \$240.00 | No Change* | \$210.00 | (8.75%) (\$30.00) |
| Student Business Services Fee (SCH) | \$120.00 | 14.3% \$15.00 | \$120.00 | No Change | \$135.00 | 12.5% \$15.00* | \$135.00 | No Change* |
| Energy Fee | N/A | N/A | \$60.00 | New \$60.00 | \$60.00 | No Change | \$90.00 | 50% \$30.00 |
| Course Fees (Estimated) | \$150.00 | No Change | \$150.00 | No Change | \$150.00 | No Change | \$150.00 | No Change |
| Total Estimate | \$3,225.75 | 4.9% \$151.75 | \$3,379.50 | 4.8% \$153.75 | \$3,541.55 | 4.8% \$162.05 | \$3,541.55 | No Change |

*Student Advisory Committee recommendations are noted with an asterisk.

| Annual tuition and fees at based on 30hrs per year, resident in state | | | | | | | | | | | | |
|--|---------|-------------------|---------|-------------------|---------|----------------|---------|-----------------|---------|-------------------|---------|-----------------|
| | 2003-04 | Increase | 2004-05 | Increase | 2005-06 | Increase | 2006-07 | Increase | 2007-08 | Increase | 2008-09 | Increase |
| University of Texas at Dallas | \$5,536 | 13.40% \$654 | \$6,592 | 19.08% \$1,056 | \$6,838 | 3.73% \$246 | \$7,690 | 12.46% \$852 | \$8,721 | 13.41% \$1,031 | \$9,410 | 7.90% \$689 |
| University of Texas at Austin | \$5,800 | 28.12% \$1,273 | \$7,024 | 21.10% \$1,224 | \$7,288 | 3.76% \$264 | \$8,020 | 10.04% \$732 | \$8,130 | 1.37% \$110 | \$8,532 | 4.95% \$402 |
| Texas A&M University | \$4,902 | 6.52% \$300 | \$6,424 | 31.05% \$1,522 | \$6,771 | 5.40% \$347 | \$7,008 | 3.50% \$237 | \$7,790 | 11.16% \$782 | \$8,180 | 5.01% \$390 |
| University of Houston | \$5,022 | 28.14% \$1,103 | \$6,244 | 24.33% \$1,222 | \$6,555 | 4.98% \$311 | \$7,204 | 9.90% \$649 | \$7,706 | 6.97% \$502 | \$8,168 | 6.00% \$462 |
| University of Texas at San Antonio | \$4,673 | 26.23% \$971 | \$5,703 | 22.04% \$1,030 | \$6,016 | 5.49% \$313 | \$6,194 | 2.98% \$178 | \$7,242 | 16.92% \$1,048 | \$7,664 | 5.83% \$422 |
| Texas Tech University | \$5,202 | 30.02% \$1,201 | \$6,176 | 18.72% \$974 | \$6,465 | 4.68% \$289 | \$6,759 | 4.56% \$294 | \$7,083 | 4.80% \$324 | \$7,083 | 0.00% \$0 |
| Texas State University-San Marcos | \$4,165 | 6.88% \$268 | \$5,084 | 22.30% \$929 | \$5,449 | 6.97% \$355 | \$5,922 | 8.68% \$473 | \$6,516 | 10.03% \$594 | \$7,004 | 7.49% \$488 |
| University of North Texas | \$4,942 | 27.96% \$1,080 | \$5,930 | 19.99% \$988 | \$6,181 | 4.23% \$251 | \$6,112 | -1.11% -\$69 | \$6,112 | 0.00% \$0 | \$6,767 | 10.71% \$655 |
| University of Texas at El Paso | \$3,921 | 15.09% \$514 | \$4,714 | 20.22% \$793 | \$4,984 | 5.73% \$270 | \$5,400 | 8.35% \$416 | \$5,760 | 6.67% \$360 | \$6,068 | 5.35% \$308 |
| Note: Based on tuition, average mandatory fees and average fees for students taking 15 credit hours per semester. A student's actual charges can vary depending on the college attended within the university and other factors. | | | | | | | | | | | | |

CERTIFICATE FOR RESOLUTION

THE STATE OF TEXAS §
TEXAS TECH UNIVERSITY SYSTEM §

I, the undersigned, Chief Financial Officer of the Texas Tech University System, hereby certify as follows:

1. The Board of Regents of Texas Tech University System convened in REGULAR MEETING ON THE 9TH DAY OF MAY, 2008, at the designated meeting place in Lubbock, Texas, and the roll was called of the duly constituted officers and members of said Board, to-wit:

| | | |
|-------------------------------|---|-------------------------|
| F. Scott Duesner | : | Chair |
| Larry K. Anders | : | Vice Chair |
| L. Frederick ("Rick") Francis | : | |
| Mark Griffin, | : | |
| John F. Scovell, | : | Board |
| Daniel T. Serna, | : | |
| Windy Sitton, | : | |
| Bob L. Stafford, | : | |
| Jerry E. Turner | : | |
| Jim Brunjes | : | Chief Financial Officer |
| Ben W. Lock | : | Secretary |

and all of said persons were present, except for the following absentees: _____, thus constituting a quorum. Whereupon, among other business, the following was transacted at said Meeting: a written

TWELFTH SUPPLEMENTAL RESOLUTION TO THE MASTER RESOLUTION AUTHORIZING THE ISSUANCE, SALE, AND DELIVERY OF BOARD OF REGENTS OF TEXAS TECH UNIVERSITY SYSTEM REVENUE FINANCING SYSTEM REFUNDING NOTE, TWELFTH SERIES (2008) AND APPROVING AND AUTHORIZING INSTRUMENTS AND PROCEDURES RELATING THERETO

was duly introduced for the consideration of said Board and duly read. It was then duly moved and seconded that said Resolution be adopted; and, after due discussion, said motion, carrying with it the adoption of said Resolution, prevailed and carried by the following vote:

AYES: ___

NOES: ___

2. That a true, full, and correct copy of the aforesaid Resolution adopted at the Meeting described in the above and foregoing paragraph is attached to and follows this Certificate; that said Resolution has been duly recorded in said Board's minutes of said Meeting; that the above and foregoing paragraph is a true, full and correct excerpt from said Board's minutes of said Meeting pertaining to the adoption of said Resolution; that the persons named in the above and foregoing paragraph are the duly chosen, qualified, and acting officers and members of said Board as indicated therein; that each of the officers and members of said Board was duly and sufficiently notified officially and personally, in advance, of the time, place, and purpose of the aforesaid Meeting, and that said Resolution would be introduced and considered for adoption at said Meeting; that said Meeting was open to the public, and public notice of the time, place, and purpose of said Meeting was given, all as required by Chapter 551, Texas Government Code.

3. That the Resolution has not been modified, amended or repealed and is in full force and effect on and as of the date hereof.

SIGNED AND SEALED this _____ day of May, 2008

Chief Financial Officer

(SEAL)

**HAMMOND
ASSOCIATES**
Partnership Evaluation

Denham Capital Management LP

Denham Commodity Partners V, LP

Global Energy, Power, Natural Resources & Commodities Fund

This evaluation has been prepared solely for, and is being delivered on a confidential basis to, suitable Hammond Associates' clients that are considering an investment in the Fund. Some of the information contained in this evaluation may be subject to a confidentiality agreement, entered into between Hammond Associates and the investment manager. Any reproduction or distribution of this material is strictly prohibited, and all recipients agree they will keep confidential all information contained herein.

Hammond Associates does not provide tax or legal advice, and nothing in this document should be construed or interpreted as tax or legal advice. Investment in the fund or product described in this document may have tax and other legal consequences. Please consult with your tax and/or legal advisor regarding your circumstances and applicable obligations. This evaluation is provided as a summary of terms and investment strategy to assist prospective investors. An investment in the Fund must be made pursuant to the Fund's confidential private placement memorandum. We strongly recommend review of the Fund's documents by legal and tax counsel. All information contained herein is accurate to the best of our knowledge. However, its accuracy or completeness cannot be guaranteed.

By accepting this evaluation, all prospective investors agree to the foregoing. All investments may experience gain or loss. No representation is made that this fund will or is likely to achieve its objective, or that any investor will or is likely to achieve results comparable to any shown, or will make any profit or will not sustain losses. Past performance is not necessarily indicative of future results.

Fund Basics

| Type | Global Energy, Power, Natural Resources, and Commodities Fund |
|----------------------------|---|
| Target Capitalization | \$1,750,000,000 |
| Stated Minimum Commitment | \$10,000,000 |
| General Partner Commitment | 1% |
| Investment Period | 5 Years from Final Closing |
| Partnership Term | 10 Years from Final Closing with Two 1-Year Extensions |

Overview

Denham Commodity Partners V, LP ("Denham V" or the "Fund") is the second vehicle raised by Denham Capital Management ("Denham" or the "Firm") with outside investor capital. Denham became an independent firm mid-year 2007, but the Firm's founder has pursued its global energy, power, natural resources, and commodities strategy since 2000 on behalf of Harvard Management Company ("HMC"). The Fund will pursue investments in (i) industries, companies and assets involving energy, power, natural resources and commodities, (ii) businesses involved in the provision of goods or services to or the manufacturing, marketing, or trading of equipment or other supplies for, or to, such industries, companies, or assets, (iii) products, services, technology, and infrastructure related to any of the foregoing, and (iv) other companies, businesses or assets related or complementary to any of the foregoing. In a globally opportunistic fashion, Denham will seek to generate attractive equity returns (2.0x invested capital in less than five years) through sourcing attractive investment opportunities, the

structuring thereof, and providing operational and management expertise to underlying investments.

Firm History

Denham's roots lie at HMC, where the Firm's founder and Managing Partner, Stuart Porter, initiated the investment strategy in May of 2000. Accordingly, the first fund in Denham's track record represents the team's investment activity as an HMC captive entity. The group then spun out of HMC in 2004 as part of Sowood Capital Management LP, but continued to manage HMC capital only for its next two funds raised in 2004 and 2005. Denham began accepting third party capital in 2005 under the Sowood name for its fourth fund. Denham announced a plan to leave Sowood in late 2006 and completed the departure on June 30, 2007. While a number of changes in organizational/ownership structure have occurred since the 2000 inception of the strategy, HMC remains an anchor investor for the Fund.

Investment Process & Strategy

Denham opportunistically pursues investments in natural resources, energy infrastructure, as well as power & carbon on a global scale. The following provides a little more color on the opportunities lying within these broad vertical groups:

- **Natural resources:** oil & gas, coal, oil sands, wood products, metals & mining, and minerals;
- **Energy Infrastructure:** pipelines, oil field services, gas storage, gas gathering & processing, liquefied natural gas, refining, biofuels, coal to fuels, terminals, and transportation;

- **Power & Carbon:** traditional power generation, renewables & energy from waste, combined heat & power, carbon-related investments, energy efficiency, and fuels integration.

Denham has 25 investment professional spread across the three main strategy groups plus capital markets, risk management, and legal. There are also a couple of generalists who specialize by region. Power and carbon has eight dedicated people, natural resources has five, global energy infrastructure has five, and then there are specialists for Latin America and Emerging Markets, plus Stu Porter. The firm has a lot of investment professionals, but they are covering the globe. In any one sector, they would appear to be understaffed relative to some specialist peers. We feel that they have adequate staffing, because they are not pursuing as many deals in any one area relative to narrowly focused funds.

Denham is targeting investments whereby commodity prices are not the primary driver of returns. The Firm is largely seeking to invest alongside management teams with clear value propositions – such as the ability to increase production or reduce costs; resolve regulatory, operating or customer issues; manage development risk; or execute add-on acquisitions.

As for Denham's value proposition for investors, it lies largely in three domains: sourcing, operations, and risk management. Regarding sourcing, the Firm employs 25 investment professionals with an average of 16 years experience in the target investment sectors across four offices (Boston, Short Hills, NJ; Houston, and London) with the intent of opening an office in Brazil shortly. Such allows for a broad net to be cast in pursuit of investment opportunities. In the operational realm, Denham is a resource for its portfolio company management teams in executing their strategic plans. As for risk management, Denham will seek to mitigate risk both through the structuring of investments in underlying portfolio companies as well as the implementation of hedging programs at underlying portfolio companies.

Given a global mandate, potential investors should be aware that investments will be made in foreign countries and that emerging markets exposure is almost a certainty. While Denham will seek to hedge sovereign risk associated with investments in emerging markets, political risks undoubtedly remain.

Tax-exempt investors should also be aware that the Fund is expected to make investments in partnerships or other flow-through entities that will generate UBTI. A blocked version of the fund is available, and UBTI averse investors should commit to that fund.

Track Record as of September 30, 2007

Returns by Fund

| Fund | Vintage | Capital Invested | Realized Proceeds | Gross Multiple/Net IRR |
|--------------|-------------|--------------------|-------------------|------------------------|
| Fund I | 2000 – 2004 | \$439,939 | \$716,182 | 2.3x/50.2% |
| Fund II | 2004 – 2005 | \$325,313 | \$116,522 | 2.5x/36.6% |
| Fund III | 2005 | \$315,417 | \$35,466 | 1.7x/26.1% |
| Fund IV | 2005 | \$404,063 | \$11,400 | 1.3x/17.3% |
| Total | | \$1,484,732 | \$879,570 | 1.9x/38.7% |

Returns by Industry Segment

| Industry Segment | Capital Invested | Realized Proceeds | Gross Multiple/IRR |
|-----------------------|--------------------|-------------------|--------------------|
| Energy Infrastructure | \$289,931 | \$342,641 | 2.9x/187.1% |
| Natural Resources | \$884,553 | \$509,351 | 1.7x/35.2% |
| Power & Carbon | \$310,248 | \$27,578 | 1.6x/23.4% |
| Total | \$1,484,732 | \$879,570 | 1.9x/47.1% |

*All returns are as reported by Denham. \$ in millions.

Denham has managed to generate attractive net returns for investors across both funds and industry segments. (One should note, however, that the performance of the energy infrastructure segment is largely impacted by the phenomenal returns of one mid-stream asset being taken public as a master limited partnership (MLP) – Energy Transfer Equity with a gross return on invested capital of 28.1x and a gross IRR of 172.3%. We have seen comparable success at other energy-related private equity firms in relation to MLP offerings in the 2005 – 2006 timeframe, but we believe such returns are highly unlikely to reoccur in the foreseeable future.)

Fees

The management fee will amount to 2.0% of committed capital during the investment period and 1.5% of invested capital thereafter.

The general partner will also be entitled to a carried interest of 20% (with a 100% catch-up) after investors have received a return of invested capital and an 8% compound return thereon.

The terms are approximately the norm for energy-related private equity offerings.

Key Personnel

Stuart Porter, Managing Partner. Mr. Porter brings over 21 years of senior investment experience to Denham. Prior to founding the Firm, Mr. Porter was a founding partner at Sowood Capital Management LP after being employed at Harvard Management Company as a Vice President and Portfolio Manager focusing on public and private investments in the energy and commodities sectors. Education: B.A. from the University of Michigan, M.B.A. from the University of Chicago.

Riaz Siddiqi, Senior Managing Director. Mr. Siddiqi, responsible for Denham's Power and Carbon group, brings 29 years of experience to the group. Prior to joining Denham in 2004, Mr. Siddiqi was President and CEO of Capstone Global Energy, and before that held Managing Director and other executive roles at Cinergy Capital and Trade and other Cinergy companies. Education: B.S. from Pakistan University of Energy & Technology, M.B.A. from Mississippi State University.

Carl Tricoli, Senior Managing Director. Mr. Tricoli, responsible for Denham's Natural Resources group, brings 27 years of experience to the Firm. Prior to joining Denham in 2004, Mr. Tricoli served as President of GeosCapital after serving as Vice President for Enron North America. Education: B.A. from the University of Texas at Austin, M.B.A. from Cass Business School.

William Zartler, Senior Managing Director. Mr. Zartler, responsible for Denham's Energy Infrastructure group, brings 20 years of experience to the group. Prior to joining Denham, Mr. Zartler founded Solaris Energy Services after holding the role of Senior Vice President and General Manager at Dynegy, Inc. Education: B.S. from the University of Texas at Austin, M.B.A. from Texas A&M University.

Investment Merits

Track Record

The returns of prior funds, while bolstered recently by relatively favorable market conditions, have met or exceeded target returns by good margins. Denham has demonstrated ability to source, manage, and exit energy infrastructure, natural resources, as well as power & carbon investments with attractive returns for investors.

Diversified Portfolio

For those seeking investments in natural resources, energy infrastructure, power generation, renewable energy and related fields, the Fund allows for exposure to all the aforementioned segments to be addressed in an opportunistic fashion with one commitment.

Concerns

Asset Growth

Denham has deployed just under \$1.5 billion since the inception of its strategy at HMC in May of 2000. Deploying the Fund (which is capped at \$2 billion) within the investment period will require either an escalation in transaction activity or increasing investment sizes.

Political Risk

Denham has historically invested in emerging markets and will undoubtedly continue to do so. While the Firm will seek to hedge sovereign risks associated with such investments, political risks undoubtedly remain.

Renewable Energy Deals

We have our doubts about whether wind and solar represent viable large scale sources of power that can compete with coal or gas without being subsidized. Investments in this area would seem to entail extra risk.

Recommendation

Denham is an experienced manager with a competitive track record in the energy infrastructure, natural resources, and power segments. Accordingly, we believe the Fund represents an opportunity to gain broad based exposure to such investments in a global format. The Fund fits either as an energy-related option in a private equity portfolio or as a diversified natural resource & "other" option within a real assets program.

Michael Forestner, CFA
Director – Private Markets
March 2008

**Texas Tech University
System**

Board of Regents

May 9, 2008

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Executive Summary

Executive Summary *(as of March 2008)*

Performance

- For the year ending March 31, 2008, the Texas Tech portfolio was valued at \$648 million and returned 1.9%, beating the policy index which was flat.
- Total equity was down -4.5% over the trailing 12 months:
 - Domestic equity returned -11.3% versus a -5.8% return for the Wilshire 5000;
 - International equity also underperformed its benchmark with a return of 1.1% while the ACWI ex-US returned 2.6%;
 - The global allocation has done very well returning 7.2% against the S&P 500's -5.1%.
- The hedge fund composite is up 3.2% for the trailing 12 months.
- Fixed income excluding cash returned an attractive 7.6% for the same period.

Carr Foundation

- Texas Tech University System will be receiving approximately \$70 million in funds from the Carr Foundation. (Proposed in quarterly contributions of \$15 million.)

Asset Allocation

- There are currently no changes necessary to the asset allocation policy and all broad asset classes are within their respective ranges.

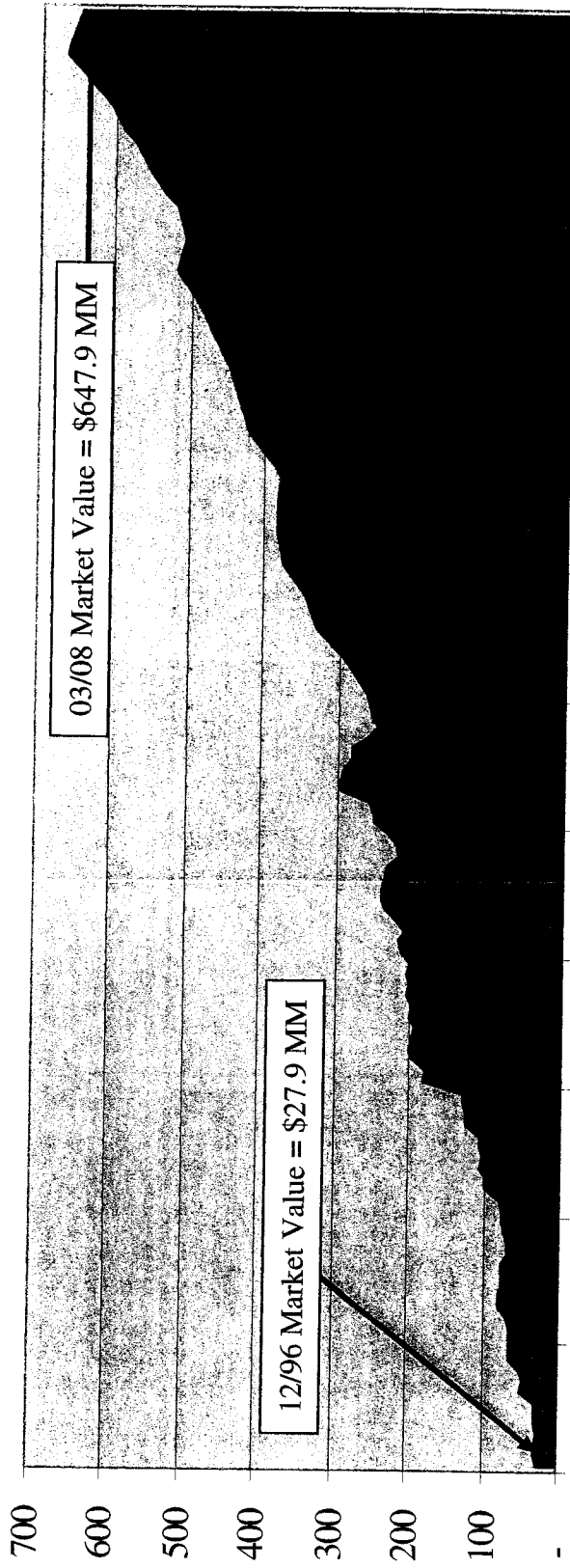
Executive Summary *(as of March 2008)*

Portfolio Changes

- At the last Investment Advisory Committee meeting the following changes were approved:
 - Terminate Julius Baer International and place the proceeds into an EAFE Index until a replacement is found;
 - Invest \$10.0 million, taken from cash, into the Vanguard REIT Index fund;
 - Approved a \$10.0 million commitment to Denham Commodity Partner Fund.

Performance Review

Long Term Investment Fund Growth Over Time *(as of March 2008)*



Dec-96 Dec-97 Dec-98 Dec-99 Dec-00 Dec-01 Dec-02 Dec-03 Dec-04 Dec-05 Dec-06 Dec-07

11 Years 3 Months \$617.6 MM Increase¹ 10.3% Annualized Return²

¹ Includes net additions/withdrawals.

² Excludes net additions/withdrawals. Net investment performance only.

| Market Value (\$'s, MM's) | |
|------------------------------|-------|
| Mar-08 | 647.9 |
| Mar-07 | 575.5 |
| Mar-06 | 518.3 |

Composite(s) Performance Review

| Market Value (\$'s, MM) | | YTD | FYTD (Since Sept. 30) | Last 1 Year | Last 3 Years | Last 5 Years | Since Inception | Inception Date |
|--|----------------|----------------------------|-----------------------------|----------------|-----------------|-----------------|--------------------|-------------------|
| | | % Returns as of March 2008 | | | | | | |
| Texas Tech University System | \$647.9 | (5.0) | (2.2) | 1.9 | 10.9 | 15.6 | 10.2 | Aug-96 |
| Actual Allocation Index | | (6.7) | (4.0) | (0.1) | 10.1 | 14.8 | 9.6 | |
| 70% S&P 500/30% Lehman Agg. | | (6.0) | (4.7) | (1.2) | 5.8 | 9.4 | 7.9 | |
| Marketable Securities | \$518.0 | (6.1) | (3.6) | (0.3) | 9.7 | 14.5 | 9.7 | Aug-96 |
| Policy Index | | (7.2) | (4.5) | (0.9) | 10.0 | 14.7 | 9.5 | |
| Domestic Equity | \$117.4 | (11.0) | (11.8) | (11.3) | 3.6 | 11.4 | 4.7 | Jul-01 |
| Wilshire 5000 | | (9.5) | (9.3) | (5.8) | 6.4 | 12.5 | 4.3 | |
| International Equity | \$128.5 | (9.6) | (5.6) | 1.1 | 18.1 | 26.6 | 14.7 | Jul-01 |
| MSCI ACWI x-US | | (9.1) | (3.6) | 2.6 | 16.5 | 24.1 | 12.6 | |
| Fixed Income Composite (ex Cash) | \$38.5 | 2.2 | 6.0 | 7.6 | 5.4 | 4.5 | 5.4 | Apr-02 |
| Lehman Aggregate Bond | | 2.2 | 6.0 | 7.7 | 5.5 | 4.6 | 5.5 | |
| Hedge Fund Composite | \$156.5 | (2.8) | (0.1) | 3.2 | 9.2 | 9.3 | 8.1 | May-02 |
| HFR Fund of Funds | | (3.8) | 0.2 | 2.8 | 7.7 | 8.2 | 7.0 | |
| t-bills +4% | | 1.5 | 4.0 | 7.8 | 8.3 | 7.1 | 6.9 | |
| Private Equity Composite(IRR) | \$80.5 | | | | | | | |
| Illiquid Real Assets Composite(IRR) | \$49.3 | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

Returns are net of fees. Returns greater than one year are annualized.

Performance is compared to the most appropriate index. Equity outperformance by greater than 100 bps is indicated in green; underperformance by greater than 100 bps is indicated in red. Fixed income outperformance by greater than 50 bps is indicated in green; underperformance by greater than 50 bps is indicated in red.

Domestic Manager Performance

| | Market Value (\$'s, MMs) | YTD | FYTD (Since Sept. 30) | Last 1 Year | Last 3 Years | Last 5 Years | Since Inception | Inception Date |
|-----------------------------------|-----------------------------|--------|-----------------------------|----------------|-----------------|-----------------|--------------------|-------------------|
| | | | | | | | | |
| | | | | | | | | |
| % Return as of March 2008 | | | | | | | | |
| <i>US Equity Composite</i> | 117.4 | (11.0) | (11.8) | (11.3) | 3.6 | 11.4 | 4.7 | Jul-01 |
| Dow Jones Wilshire 5000 | | (9.5) | (9.3) | (5.8) | 6.4 | 12.5 | 4.3 | |
| <i>Jensen</i> | 23.2 | (7.0) | | | | | (7.9) | Nov-07 |
| Standard & Poors 500 | | (9.4) | | | | | (10.1) | |
| <i>Atalanta Sosnoff</i> | 29.2 | (15.6) | | | | | (16.2) | Nov-07 |
| Russell 1000 | | (9.5) | | | | | (10.1) | |
| <i>SSgA S&P 500 Index CTF</i> | 46.3 | (9.5) | (9.2) | (5.1) | | | 3.5 | Jan-06 |
| Standard & Poors 500 | | (9.4) | (9.2) | (5.1) | | | 3.5 | |
| <i>Intech Large Growth Fund</i> | 18.7 | (10.9) | (5.5) | (3.8) | | | 2.6 | Sep-05 |
| S&P 500/Citigroup Growth Index | | (9.9) | (7.2) | (1.6) | | | 4.4 | |

Returns are net of fees. Returns greater than one year are annualized. Performance is compared to the most appropriate style index. Outperformance by greater than 100 bps is indicated in green; underperformance by greater than 100 bps is indicated in red.

International Manager Performance

| | Market Value (\$'s, MMs) | YTD | FYTD (Since Sept.30) | Last 1 Year | Last 3 Years | Last 5 Years | Since Inception | Inception Date |
|--|-----------------------------|------------------|----------------------------|-----------------|-----------------|-----------------|--------------------|-------------------|
| | | | | | | | | |
| | | | | | | | | |
| % Return as of March 2008 | | | | | | | | |
| <i>International Equity Composite</i> MSCI ACWI ex US (Gross) | 128.5 | (9.6) (9.1) | (5.6) (3.6) | 1.1 2.6 | 18.1 16.5 | 26.6 24.1 | 14.7 12.6 | Jul-01 |
| <i>GMO Foreign Markets Fund</i> MSCI EAFE (Net) | 42.1 | (9.3) (8.9) | (6.9) (5.7) | (3.7) (2.7) | 13.3 13.3 | | 14.0 13.9 | Jan-04 |
| <i>Julius Baer Inst. Int'l Strategy Fund</i> MSCI EAFE (Net) | 40.6 | (9.5) (8.9) | (3.4) (5.7) | 1.4 (2.7) | 17.0 13.3 | | 17.0 14.0 | Dec-03 |
| <i>GMO International Small Companies</i> S&P/Citigroup EMI-EPAC | 8.3 | (6.4) (6.8) | (8.1) (8.7) | (6.3) (7.2) | 15.9 14.9 | | 17.3 17.4 | Jan-04 |
| <i>Acadian International SmallCap Fund</i> S&P/Citigroup EMI-EPAC | 9.6 | (9.5) (6.8) | (16.7) (8.7) | (13.7) (7.2) | 16.5 14.9 | | 20.5 17.4 | Jan-04 |
| <i>GMO Emerging Markets Fund III</i> MSCI Emerging Markets Free Index | 28.0 | (11.4) (11.0) | (2.1) 2.4 | 17.9 21.3 | 28.3 29.2 | | 33.8 31.8 | Jun-03 |

Returns are net of fees. Returns greater than one year are annualized.

Performance is compared to the most appropriate style index. Outperformance by greater than 100 bps is indicated in green; underperformance by greater than 100 bps is indicated in red.

Fixed Income and Cash, Global Performance

| | Market Value (\$'s, MM's) | YTD | FYTD (Since Sept. 30) | Last 1 Year | Last 3 Years | Last 5 Years | Since Inception | Inception Date |
|---|---------------------------|-------|-----------------------|-------------|--------------|--------------|-----------------|----------------|
| | | | | | | | | |
| | | | | | | | | |
| % Return as of March 2008 | | | | | | | | |
| Fixed Income Composite (ex Cash) Lehman Aggregate Bond | 38.5 | 2.2 | 6.0 | 7.6 | 5.4 | 4.5 | 5.4 | Jul-01 |
| | | 2.2 | 6.0 | 7.7 | 5.5 | 4.6 | 5.5 | |
| State Street Passive Bond Market CTF LB US Aggregate | 38.5 | 2.2 | 6.0 | 7.6 | 5.4 | 4.5 | 5.4 | Apr-02 |
| | | 2.2 | 6.0 | 7.7 | 5.5 | 4.6 | 5.5 | |
| Cash 91-Day Treasury Bill | 54.5 | 0.9 | 2.4 | 4.6 | 4.3 | 2.9 | 2.6 | Mar-02 |
| | | 0.5 | 1.7 | 3.7 | 4.2 | 3.0 | 2.8 | |
| GMO Global Allocation Absolute Return Standard & Poors 500 | 22.6 | (1.4) | 1.9 | 7.2 | 10.8 | | 10.9 | Mar-04 |
| | | (9.4) | (9.2) | (5.1) | 5.9 | | 6.1 | |

Returns are net of fees. Returns greater than one year are annualized. Performance is compared to the most appropriate style index. Cash & fixed income outperformance by greater than 50 bps is indicated in green; underperformance by greater than 50 bps is indicated in red.

Hedge Fund Manager Performance

| | Market Values (\$'s, MM's) | YTD | FYTD (Since Sept. 30) | Last 1 Year | Last 3 Years | Last 5 Years | Since Inception | Inception Date |
|---|-------------------------------|---------------|-----------------------------|----------------|-----------------|-----------------|--------------------|-------------------|
| | | | | | | | | |
| | | | | | | | | |
| % Return as of March 2008 | | | | | | | | |
| Hedge Fund Composite | 156.5 | (2.8) | (0.1) | 3.2 | 9.2 | 9.3 | 8.1 | May-02 |
| Hedge Fd Resh, Inc. Fund of Fund | | (3.8) | 0.2 | 2.8 | 7.7 | 8.2 | 7.0 | |
| 91-Day Treasury Bill +4% | | 1.5 | 4.0 | 7.8 | 8.3 | 7.1 | 6.9 | |
| Ariel Fund Ltd. | 18.3 | (2.4) | (1.6) | 2.4 | 11.3 | 12.6 | 12.2 | Dec-02 |
| King Street Capital, Ltd. | 19.7 | 3.6 | 10.4 | 14.1 | 14.2 | | 14.1 | May-03 |
| New Castle Market Neutral LP | 16.2 | (2.7) | 5.3 | 8.9 | 12.7 | 9.8 | 9.8 | Dec-02 |
| Taconic Offshore Fund Ltd. | 12.0 | 0.3 | 0.6 | 3.0 | 9.2 | | 10.2 | May-03 |
| Shepherd Investments Int'l Ltd. | 14.3 | (3.5) | (5.1) | (1.8) | | | 7.3 | Feb-06 |
| Alson Signature Fund Offshore Ltd Cl C | 9.9 | (12.2) | (6.5) | (3.5) | | | (0.4) | Dec-06 |
| Davidson Kempner LP | 13.2 | (3.0) | (1.7) | (0.3) | | | 2.6 | Dec-06 |
| Wexford Offshore Spectrum Fund Cl A | 13.6 | (4.2) | (2.7) | 4.0 | | | 6.6 | Dec-06 |
| Och Ziff Asia Overseas | 14.9 | | | | | | (0.8) | Jan-08 |
| Fir Tree Int'l Value | 14.3 | | | | | | (4.6) | Jan-08 |

Note: Returns are annualized if greater than one year. Returns are reported net of all fees.

Private Equity/Real Assets Update – Performance (as of March 2008)

| Fund | Inception | Commitment | Capital Called | Remaining Commitment | Distributions | Capital Account Balance | Last Capital Account Statement | Capital Account Plus Distributions | Multiple of Invested Capital | Net IRR |
|---|-----------|--------------------|--------------------|----------------------|-------------------|-------------------------|--------------------------------|------------------------------------|------------------------------|--------------|
| OCM Opportunities Fund IV-B | 2002 | 5,000,000 | 6,000,000 | - | 9,759,460 | 20,866 | 12/31/2007 | 9,780,326 | 1.6 | 44.6% |
| Sterling Group Partners I | 2003 | 6,000,000 | 4,395,116 | - | 9,298,139 | 3,019,671 | 12/31/2007 | 12,317,810 | 2.8 | 52.8% |
| OCM Principal Opportunities III | 2004 | 10,000,000 | 10,000,000 | 289,960 | 4,894,344 | 11,215,715 | 12/31/2007 | 16,110,059 | 1.6 | 23.2% |
| Stone Point Capital Trident III | 2004 | 15,000,000 | 15,002,433 | 1,246,757 | 5,149,581 | 17,992,055 | 12/31/2007 | 23,141,636 | 1.5 | 26.4% |
| Sterling Group Partners II | 2005 | 6,000,000 | 3,785,271 | 2,446,278 | 378,930 | 3,377,931 | 9/30/2007 | 3,756,861 | 1.0 | -0.5% |
| Reservoir Capital Overseas Partners II | 2005 | 15,000,000 | 15,000,000 | - | - | 14,868,113 | 2/29/2008 | 14,868,113 | 1.0 | -0.8% |
| OCM European Principal Opportunities Fund | 2006 | 5,000,000 | 5,400,000 | 350,000 | 814,439 | 6,203,021 | 12/31/2007 | 7,017,460 | 1.3 | 21.0% |
| Bear Stearns Merchant Banking III | 2006 | 12,000,000 | 4,251,259 | 7,748,741 | - | 4,067,687 | 12/31/2007 | 4,067,687 | 1.0 | -4.6% |
| Main Street Capital II | 2006 | 5,000,000 | 2,500,000 | 2,500,000 | 257,366 | 2,242,634 | NA | 2,500,000 | 1.0 | 0.0% |
| Goldman Sachs Vintage Fund IV | 2006 | 10,000,000 | 5,517,822 | 4,482,178 | 261,099 | 5,671,513 | 12/31/2007 | 5,932,612 | 1.1 | 16.3% |
| OCM Principal Opportunities IV | 2006 | 12,000,000 | 6,600,000 | 5,400,000 | 633,824 | 6,186,683 | 12/31/2007 | 6,820,507 | 1.0 | 5.3% |
| Stone Point Capital Trident IV | 2007 | 15,000,000 | 2,917,079 | 12,082,921 | - | 2,772,800 | 12/31/2007 | 2,772,800 | 1.0 | -11.3% |
| OCM Opportunities Fund VII | 2007 | 5,000,000 | 3,000,000 | 2,000,000 | 15,397 | 2,902,017 | 12/31/2007 | 2,917,414 | 1.0 | -7.4% |
| OCM Opportunities Fund VIIb | 2007 | 20,000,000 | - | 20,000,000 | - | - | NA | - | NA | NA |
| Texas Tech University Private Equity | | 141,000,000 | 84,368,980 | 58,546,835 | 31,462,579 | 80,540,706 | | 112,003,285 | 1.3 | 25.1% |
| EnCap Investment Fund IV-B | 2002 | 15,000,000 | 16,413,443 | - | 26,887,794 | 2,279,414 | 9/30/2007 | 29,167,208 | 1.8 | 51.1% |
| EnCap Investment Fund V-B | 2004 | 20,000,000 | 19,901,695 | 98,305 | 5,097,917 | 21,015,755 | 12/31/2007 | 26,113,672 | 1.3 | 18.3% |
| CDK Realty | 2004 | 15,000,000 | 10,907,500 | 5,000,000 | 1,101,800 | 10,546,580 | 12/31/2007 | 11,648,380 | 1.1 | 2.9% |
| E2M Partners Value Added Fund | 2006 | 10,000,000 | 5,766,098 | 4,233,902 | 846,226 | 4,708,390 | 12/31/2007 | 5,554,616 | 1.0 | -3.6% |
| Alicon Real Estate Partners Parallel Fund | 2006 | 10,000,000 | 1,514,852 | 8,485,148 | - | 967,139 | 12/31/2007 | 967,139 | 0.6 | -39.5% |
| EnCap Investment Fund VI-B | 2006 | 20,000,000 | 7,622,703 | 12,377,297 | 1,072,156 | 7,400,895 | 12/31/2007 | 8,473,051 | 1.1 | 14.8% |
| Savanna Real Estate Fund I | 2007 | 10,000,000 | 1,123,323 | 8,876,677 | 22,312 | 751,354 | 12/31/2007 | 773,666 | 0.7 | -66.7% |
| EnCap Investment Fund VII-B | 2007 | 15,000,000 | 908,616 | 14,091,384 | - | 817,112 | 12/31/2007 | 817,112 | 0.9 | -30.4% |
| Natural Gas Partners IX | 2007 | 12,000,000 | 818,782 | 11,181,218 | - | 818,782 | NA | 818,782 | 1.0 | NA |
| Texas Tech University Real Assets | | 127,000,000 | 64,977,012 | 64,343,931 | 35,028,205 | 49,305,421 | | 84,333,626 | 1.3 | 22.9% |
| Total | | 268,000,000 | 149,345,992 | 122,890,766 | 66,490,784 | 129,846,127 | | 196,336,911 | 1.3 | 24.1% |

Private Equity/Real Assets Update – Performance (as of March 2008)

| Fund | Inception | Multiple of | | IRR | Too Early | Below Goal | Meeting Goal | Exceeding Goal |
|---|-----------|----------------|---|------|-----------|------------|--------------|----------------|
| | | Money Invested | | | | | | |
| <u>Private Equity</u> | | | | | | | | |
| OCM Opportunities Fund IVb | 2002 | 1.6 | | 45% | | | | ✓ |
| Sterling Group Partners I | 2003 | 2.8 | | 53% | | | | ✓ |
| Stone Point Trident III | 2004 | 1.5 | ✓ | 26% | | | | ✓ |
| OCM Principal Opportunities III | 2004 | 1.6 | ✓ | 23% | | | | ✓ |
| Sterling Group Partners II | 2005 | 1.0 | ✓ | -1% | | | | |
| Reservoir Capital Overseas Partners II | 2005 | 1.0 | ✓ | -1% | | | | |
| OCM Principal Opportunities Europe Fund | 2006 | 1.3 | ✓ | 21% | | | | ✓ |
| Bear Stearns Merchant Banking III | 2006 | 1.0 | ✓ | -5% | | | | |
| Goldman Sachs Vintage IV | 2006 | 1.1 | ✓ | 16% | | | | ✓ |
| Main Street Capital II | 2006 | 1.0 | ✓ | 0% | | | | |
| OCM Principal Opportunities IV | 2006 | 1.0 | ✓ | 5% | | | | |
| Stone Point Trident IV | 2007 | 1.0 | ✓ | -11% | | | | |
| OCM Opportunities VII | 2007 | 1.0 | ✓ | -7% | | | | |
| OCM Opportunities VIIB | 2007 | NA | ✓ | NA | | | | |
| <u>Real Assets</u> | | | | | | | | |
| CDK Realty | 2004 | 1.1 | ✓ | 3% | | ✓ | | |
| Alcion Ventures Real Estate Opps Fund | 2006 | 1.0 | ✓ | -40% | | | | |
| E2M Partners | 2006 | 1.0 | ✓ | -4% | | | | |
| Savanna Real Estate Fund I | 2007 | 1.0 | ✓ | -66% | | | | |
| EnCap Energy Capital Fund IV-B | 2002 | 1.8 | | 51% | | | | ✓ |
| EnCap Energy Capital Fund V-B | 2004 | 1.3 | ✓ | 18% | | | ✓ | |
| EnCap Energy Capital Fund VI-B | 2006 | 1.1 | ✓ | 15% | | | ✓ | |
| EnCap Energy Capital Fund VII | 2007 | 0.9 | ✓ | -30% | | | | |
| Natural Gas Partners IX | 2008 | NA | ✓ | NA | | | | |
| Denham Commodities | 2008 | NA | ✓ | NA | | | | |

Asset Allocation

Texas Tech LTIF Portfolio Objectives

Investment Objectives - Long Term Investment Fund (LTIF):

| Return Objectives ¹ (%) | |
|---------------------------------------|------------|
| Spending Rate | 4.5 |
| Inflation (CPI) | 2.5 |
| Investment Management Fee | 0.5 |
| Real Growth | 0.5 |
| Net Compound Return Needed | 8.0 |

Distribution²:

4.5% spending policy and 0.5% investment management fee based on previous 12 quarters' rolling average, distributed quarterly.

¹ Source: Texas Tech University Performance Evaluation Report For Periods Ending May 31, 2001.

² Source: Investment Policy Statement for Long Term Investment Fund and Certain Long-Term Institutional Funds.

Asset Allocation

| | Approved Changes | | | Policy | Current Ranges |
|--|------------------|--------|--------|--------|---------------------------------------|
| | March-08 | A | B | C | D |
| Growth Assets | | | | | |
| US Large Stocks | 18% | 18% | 18% | 17% | |
| US Mid Stocks | 0% | 0% | 0% | 4% | |
| US Small Stocks | 0% | 0% | 0% | 2% | |
| <i>US Stocks</i> | 18% | 18% | 18% | 23% | 15-30% |
| Intl Large Stocks | 13% | 13% | 13% | 17% | |
| Intl Small Stocks | 3% | 3% | 3% | 2% | |
| Intl Emerging Market Stocks | 4% | 4% | 4% | 4% | |
| <i>Intl Stocks</i> | 20% | 20% | 20% | 23% | 10-30% |
| GMO Global Allocation | 4% | 4% | 4% | | |
| Private Equity / Special Situations | 12% | 12% | 12% | 15% | 15-30% |
| Total Growth Assets | 54% | 54% | 54% | 61% | 40-90% |
| Risk Reduction Assets | | | | | |
| Cash | 7% | 7% | 6% | | } 5-15% |
| Fixed Income | 6% | 6% | 6% | 4% | |
| Hedge Funds | 25% | 25% | 25% | 20% | |
| Total Risk Reduction Assets | 38% | 38% | 37% | 24% | 15-30% |
| Inflation Protection Assets | | | | | |
| Real Assets | 8% | 8% | 9% | 15% | |
| Total Inflation Protection Assets | 8% | 8% | 9% | 15% | 5-15% |
| Total | 100% | 100% | 100% | 100% | |
| Nominal Return | | | | | |
| L/T Compound Expected Return | 8.7% | 8.7% | 8.7% | 9.2% | * Numbers may not add due to rounding |
| 10 Yr. Horizon Expected Return | 7.6% | 7.6% | 7.7% | 8.0% | |
| Risk (10-Yr Horizon Expectations) | | | | | |
| Standard Deviation (1 Yr.) | ±10.8% | ±10.8% | ±10.8% | ±12.4% | |
| Standard Deviation (10 Yr.) | ±3.4% | ±3.4% | ±3.4% | ±3.9% | |
| Probability of Loss Year | 22.4% | 22.4% | 22.4% | 24.2% | |
| Probability of 10% or Worse Loss | 4.6% | 4.6% | 4.6% | 6.6% | |
| Lowest Likely Return (1 Yr.) | -16.9% | -17.0% | -17.0% | -20.2% | |
| Lowest Likely Return (10 Yr.) | -2.5% | -2.5% | -2.5% | -3.2% | |
| Sharpe Ratio | 0.33 | 0.33 | 0.33 | 0.31 | |

Approved Changes

- Terminate Julius Baer and place funds into an EAFE index.
- Added \$10 MM to Vanguard REIT index.
- Approved a \$10 MM commitment to Denham.

Detailed Manager Allocations

| Large | Mar-08 | Current Allocation | With Possible Allocation Changes |
|--------------------------------|--------|--------------------|----------------------------------|
| Jensen | | \$23.2 | 3.6% |
| SSgA S&P 500 Index CTF | | \$46.3 | 7.2% |
| Atlanta Sosnoff | | \$29.2 | 4.5% |
| Intech Large Growth Fund | | \$18.7 | 2.9% |
| | | \$0.0 | 0.0% |
| Mid | | \$117.4 | 18.1% |
| | | \$0.0 | 0.0% |
| Small | | \$0.0 | 0.0% |
| | | \$0.0 | 0.0% |
| Total Domestic Equity | | \$117.4 | 18.1% |
| International Equity | | | |
| Large/Developed | | | |
| CMO Foreign Markets | | \$42.1 | 6.5% |
| Julius Baer Inst. Int'l Strate | | \$40.3 | 6.2% |
| EAFE Index (Temporary) | | \$0.0 | 0.0% |
| | | \$82.4 | 12.7% |
| Small | | | |
| CMO International Small | | \$8.3 | 1.3% |
| Acadian International | | \$9.6 | 1.5% |
| | | \$17.9 | 2.8% |
| Emerging | | | |
| CMO Emerging III | | \$28.0 | 4.3% |
| | | \$28.0 | 4.3% |
| Total International Equity | | \$128.3 | 19.8% |
| Global Allocation | | | |
| CMO Global Allocation | | \$22.6 | 3.5% |
| | | \$22.6 | 3.5% |
| Fixed Income | | | |
| State Street Passive Bond | | \$38.5 | 5.9% |
| Cash Account ¹ | | \$49.5 | 7.6% |
| | | \$88.0 | 13.6% |
| Total Fixed Income | | \$88.0 | 13.6% |
| Total Traditional | | \$356.3 | 55.0% |

Hammond ASSOCIATES
INSTITUTIONAL FUND CONSULTANTS, INC.

| Hedge Funds | Current Allocation | With Possible Allocation Changes |
|---------------------------|--------------------|----------------------------------|
| Ariel Fund Ltd. | \$18.3 | 2.8% |
| King Street Capital, Ltd. | \$19.7 | 3.0% |
| New Castle Market Neutra | \$16.2 | 2.5% |
| Taconic Offshore Fund Lt. | \$16.9 | 2.6% |
| Shepherd Investments Int | \$14.3 | 2.2% |
| Alson Signature Fund Off | \$9.9 | 1.5% |
| Davidson Kempner LP | \$13.2 | 2.0% |
| Wexford Offshore Spectru | \$13.6 | 2.1% |
| Silver Point | \$10.0 | 1.5% |
| Fir Tree | \$14.3 | 2.2% |
| Och Ziff Asia | \$14.9 | 2.3% |
| New Castle Replacement | \$0.0 | 0.0% |
| Total Hedge Fund | \$161.3 | 24.9% |
| | \$0.0 | 0.0% |
| | \$161.3 | 24.9% |

Note: Red is a reduction in assets, blue is an increase in assets.
¹ \$5.0 MM was taken from cash and added to Taconic.

Detailed Manager Allocations

| Non-Traditional (cont'd) | | Current Allocation | With Possible Allocation Changes |
|----------------------------|---------------|--------------------|----------------------------------|
| Private Equity | | | |
| Special Situations | | | |
| Oaktree Capital IV b | \$0.0 | 0.0% | \$0.0 0.0% |
| Oaktree Capital IV | \$6.2 | 1.0% | \$6.2 1.0% |
| OCM Principal Ops Europ | \$6.2 | 1.0% | \$6.2 1.0% |
| Oaktree Capital Prin III | \$11.2 | 1.7% | \$11.2 1.7% |
| OCM Opportunities VII | \$2.9 | 0.4% | \$2.9 0.4% |
| Reservoir Capital Oversea | \$14.9 | 2.3% | \$14.9 2.3% |
| OCM Opp VII B (\$20M) | \$0.0 | 0.0% | \$0.0 0.0% |
| | \$41.4 | 6.4% | \$41.4 6.4% |
| Secondaries | | | |
| Goldman Sachs Vintage IV | \$5.7 | 0.9% | \$5.7 0.9% |
| | \$5.7 | 0.9% | \$5.7 0.9% |
| Buyouts | | | |
| Main Street Capital II | \$2.2 | 0.3% | \$2.2 0.3% |
| Bear Stearns Merchant III | \$4.1 | 0.6% | \$4.1 0.6% |
| Sterling Group Partners I | \$3.0 | 0.5% | \$3.0 0.5% |
| MMC Capital Trident III | \$18.0 | 2.8% | \$18.0 2.8% |
| Sterling Group Partners II | \$3.4 | 0.5% | \$3.4 0.5% |
| Stone Point Capital Triden | \$2.8 | 0.4% | \$2.8 0.4% |
| | \$33.5 | 5.2% | \$33.5 5.2% |
| Private Equity | \$80.6 | 12.4% | \$80.6 12.4% |
| Real Assets | | | |
| Energy | | | |
| EnCap Fund IV | \$2.3 | 0.4% | \$2.3 0.4% |
| EnCap Fund V-B | \$21.0 | 3.2% | \$21.0 3.2% |
| EnCap Fund VI-B | \$7.4 | 1.1% | \$7.4 1.1% |
| EnCap VII | \$0.8 | 0.1% | \$0.8 0.1% |
| NGP (\$12M) | \$0.8 | 0.1% | \$0.8 0.1% |
| Denham Capital (\$10M) | \$0.0 | 0.0% | \$0.0 0.0% |
| | \$32.3 | 5.0% | \$32.3 5.0% |
| Real Estate | | | |
| CDK Realty | \$10.5 | 1.6% | \$10.5 1.6% |
| E2M Partners | \$4.7 | 0.7% | \$4.7 0.7% |
| Halcyon Ventures | \$1.0 | 0.2% | \$1.0 0.2% |
| Savanna Real Estate I | \$0.8 | 0.1% | \$0.8 0.1% |
| REIT | | | |
| | 10.0 | | \$10.0 1.5% |
| | 10.0 | | \$27.0 4.2% |
| Real Assets | \$49.3 | 7.6% | \$59.3 9.2% |

Illiquid Funding: Private Equity

Private Equity Commitment Schedule

12/31/2007

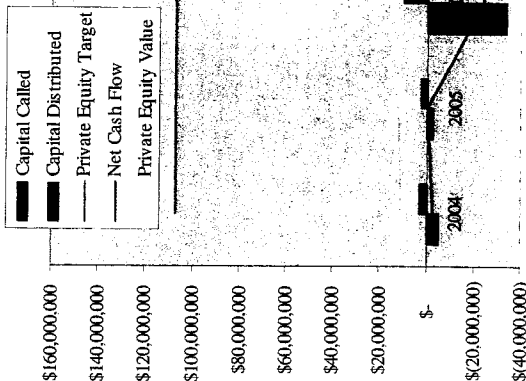
| Target Allocation | | 15% | |
|---------------------------------|--------------|------------------------------------|--------------------------------------|
| Total Portfolio Market Value | | \$ 717,900,000 (includes AS funds) | |
| Target Private Equity NAV | | \$ 107,685,000 | |
| Estimated Portfolio Growth Rate | | 2.5% | |
| Year | Commitment | Cumulative Commitments | Est. % of Target Allocation Invested |
| 2004 | \$25,000,000 | \$36,000,000 | 10.6% |
| 2005 | \$21,000,000 | \$57,000,000 | 12.0% |
| 2006 | \$44,000,000 | \$101,000,000 | 40.1% |
| 2007 | \$40,000,000 | \$141,000,000 | 69.0% |
| 2008 | \$24,000,000 | \$165,000,000 | 75.5% |
| 2009 | \$25,000,000 | \$190,000,000 | 88.7% |
| 2010 | \$27,000,000 | \$217,000,000 | 96.4% |
| 2011 | \$29,500,000 | \$246,500,000 | 103.5% |
| 2012 | \$31,000,000 | \$277,500,000 | 100.7% |
| 2013 | \$33,500,000 | \$311,000,000 | 100.7% |

*Realized data is incorporated up to the date of the report.

2007 Actual Commitments

- Stone Point Capital Trident IV \$15MM
- OCM Opportunities Fund VII \$5 MM
- OCM Opportunities Fund VIIB \$20 MM

Private Equity Implementation Plan



- This model accounts for \$44 MM committed in 2006, and \$40 MM committed in 2007.
- Commitments slow to \$24 MM, then begin to steadily rise.

Illiquid Funding: Real Assets

Real Assets Commitment Schedule 12/31/2007

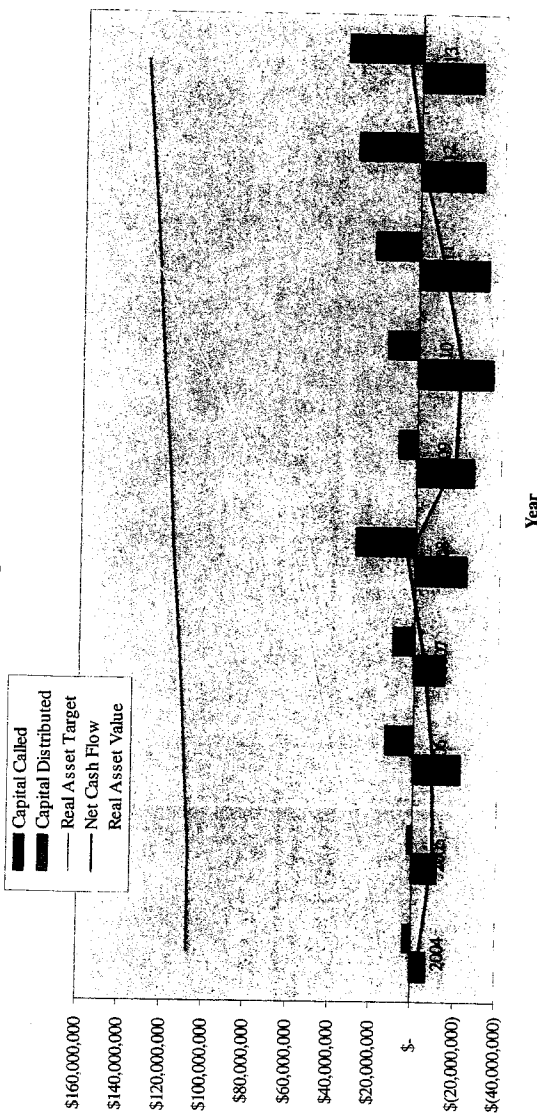
| Target Allocation | | | | | | |
|---------------------------------|--------------|------------------------|---------------------------|-----------------------|------------------------|--------------------------------------|
| | | 15% | | | | |
| Total Portfolio Market Value | | \$ | 717,900,000 | (includes AS funds) | | |
| Target Real Assets NAV | | \$ | 107,685,000 | | | |
| Estimated Portfolio Growth Rate | | 2.5% | | | | |
| Year | Commitment | Cumulative Commitments | Estimated Real Assets NAV | Est. % in Real Estate | Target Real Assets NAV | Est. % of Target Allocation Invested |
| 2004 | \$35,000,000 | \$50,000,000 | \$14,530,136 | 15% | \$107,685,000 | 13.5% |
| 2005 | \$0 | \$50,000,000 | \$23,827,773 | 35% | \$107,685,000 | 22.1% |
| 2006 | \$40,000,000 | \$90,000,000 | \$39,336,201 | 36% | \$107,685,000 | 36.5% |
| 2007 | \$25,000,000 | \$115,000,000 | \$49,068,780 | 37% | \$110,377,125 | 44.5% |
| 2008 | \$25,000,000 | \$140,000,000 | \$58,120,000 | 50% | \$113,136,553 | 51.4% |
| 2009 | \$25,000,000 | \$165,000,000 | \$78,600,000 | 55% | \$115,964,967 | 67.8% |
| 2010 | \$26,000,000 | \$191,000,000 | \$103,930,000 | 52% | \$118,864,091 | 87.4% |
| 2011 | \$26,000,000 | \$217,000,000 | \$126,825,000 | 55% | \$121,835,693 | 104.1% |
| 2012 | \$27,000,000 | \$244,000,000 | \$140,637,000 | 52% | \$124,881,586 | 112.6% |
| 2013 | \$27,500,000 | \$271,500,000 | \$141,863,200 | 52% | \$128,003,625 | 110.8% |

*Realized data is incorporated up to the date of the report.

2007 Actual Commitments

- Savanna Real Estate Fund I \$10 MM
 - EnCap Investment Fund VII \$15 MM
- ## 2008 Actual Commitments
- Natural Gas Partners \$12 MM
 - Denham Commodities \$10 MM

Real Assets Implementation Plan



- Commitments for 2007 were \$25 MM, in 2006 they were \$40 MM.
- Commitments remain the same in 2008 then steadily rise thereafter.
- Currently about 37% of real assets are in real estate but with current commitments we expect it to reach 50% within a year.

Appendix I: Manager Data

Manager Universe Comparison Summary *(as of March 2008)*

*"Each equity investment manager will be evaluated versus an equity investment manager universe and is expected to rank above the median over a moving three year period of investment managers with a similar investment style (e.g. large cap value, small cap growth, etc.)."*¹

¹ Source: Texas Tech University's Investment Policy Statement for Long Term Investment Fund and Certain Long-Term Institutional Funds.

| Peer Return Rankings Distribution | | |
|-----------------------------------|---------|---------|
| | 3 Years | 5 Years |
| 1st Quartile Managers | 3 | 5 |
| 2nd Quartile Managers | 4 | 3 |
| 3rd Quartile Managers | 1 | 1 |
| 4th Quartile Managers | 2 | 0 |

- Managers are ranked according to their 3- and 5-year returns against a peer universe of managers in the same asset class. The peer universe of managers is then divided into four quartiles.
- Individual manager rankings may be found on the next slide.

Manager Universe Comparisons (as of March 2008)

| Asset Class | Manager | Market Value (MM's, November) | Incept. Date | Peer Universe Rankings (%tiles) | | |
|-------------------------|---------------------------|-------------------------------------|--------------|---------------------------------|-----------|--|
| | | | | 3 Years | 5 Years | |
| US Large Quality Stocks | Jensen | \$25.2 | Nov-07 | Return 76 | Return 94 | |
| US Large Growth Stocks | Atalanta Sosnoff | \$34.8 | Nov-07 | Return 18 | Return 24 | |
| US Large Stocks | SSgA S&P500 Index | \$51.6 | Jan-06 | Return 44 | Return 44 | |
| US Large Growth Stocks | Intech | \$20.8 | Sep-05 | Return 84 | Return 49 | |
| Int'l Large Cap Stocks | Julius Baer International | \$45.0 | Dec-03 | Return 11 | Return 14 | |
| Int'l Large Cap Stocks | GMO Foreign Markets | \$47.3 | Jan-04 | Return 56 | Return 42 | |
| Int'l Small Cap Stocks | Acadian | \$11.3 | Jan-04 | Return 33 | Return 9 | |
| Int'l Small Cap Stocks | GMO Int'l Small Companies | \$9.1 | Jan-04 | Return 34 | Return 21 | |
| Emerging Markets Stocks | GMO Emerging Markets | \$31.7 | Jun-03 | Return 44 | Return 18 | |
| Global Allocation | GMO Global Allocation | \$22.8 | Mar-04 | Return 10 | Return NA | |

○ = Below median

In the chart above, each fund is shown with its respective three and five year return rankings. The best return rankings are the numbers closest to "1" or the 1st percentile.

Fee Analysis

| Manager | Market Values (\$'s, MM) | Expense Ratio (%) |
|-----------------------------|---------------------------------------|-------------------|
| Domestic | Jensen | 1.00 |
| | Atlanta Sosnoff | 0.67 |
| | Intech | 0.55 |
| | SSgA S&P 500 | 0.08 |
| | SSgA Passive Bond Market CTF | 0.06 |
| International | Julius Baer | 0.90 |
| | GMO Foreign Equity | 0.80 |
| | Acadian | 1.0 |
| | GMO Int'l Small Companies | 0.75 |
| | GMO Emerging Markets | 1.16 |
| Global Allocation | GMO Global Allocation | 0.65 |
| | Ariel ¹ | 1.0 |
| Absolute Return | King Street ¹ | 1.5 |
| | New Castle ¹ | 1.0 |
| | Taconic ¹ | 1.0 |
| | Shepherd ¹ | 1.25 |
| | Alson Signature ¹ | 1.5 |
| | Davidson Kempner ¹ | 1.0 |
| | Wexford Offshore ¹ | 2.0 |
| | Fir Tree ¹ | 1.5 |
| | Och Ziff ¹ | 2.0 |
| | Silver Point ¹ | 2.0 |
| | All Private Partnerships ² | 1.5 |
| | Total Estimated Management Fees | 0.94 |
| Estimated Custodial Fees | | 0.01 |
| Consulting Fees | | 0.07 |
| Total Estimated Fees | | 1.02 |

¹ Incentive fee applies

² Assumed fee of 1.5% for the Endowment's total private equity program.

Note: Hammond Associates does not receive remuneration from any investment managers.

Dennis Hammond
Chief Executive Officer

Russ LaMore, CFA
President

Anthony Brown, CFA
Chief Investment Officer

Higher Education
Dick Anderson, Ph.D.
Practice Director

Foundations
Keith Mote, CFA
Practice Director

Healthcare
Jonathan Evans, CFA
Practice Director

Corporate Retirement Plans
Rich Marra
Practice Director

Public Retirement Plans
Jerry Woodham
Practice Director

Private Wealth
Michael Pompian, CFA
Practice Director



Hammond
INSTITUTIONAL FUND CONSULTANTS, INC.

Research Report

Spring 2008

Firm Overview:

Founded in 1985
National Practice
41 Employee / Shareholders
Proprietary Research
\$56B in Assets Under Advisement

Clientele:

Higher Education Institutions
Foundations
Public Retirement Plans
Corporate Retirement Plans
Healthcare Institutions
Private Wealth
CIO Outsourcing

Staff:

120 Staff Members
79 Investment Professionals
44 Advanced Degrees
25 CFAs; 6 CAIA; 2 CPAs
6 Former Chief or Senior Investment Officers
16 Current and former Non-profit Investment Committee and Board Memberships

Hammond Articles:

Alternative Investments Update

International Publicly-Traded Real Estate
Inflation and the Implications for Asset Allocation
Private Equity Investing
Not-For-Profit Healthcare Institutions:
Diversifying the Investment Portfolio

Tax Reform Act, Part II

The Interest Rate Conundrum
Liabilities and Endowment Management
Timberland
Assessing Investment Risk for
Not-For-Profit Healthcare Institutions

Impact of an Aging Population

Covered Call Strategies
Master Limited Partnerships
Hedge Funds Revisited

Teleconference Schedule:

July 22, 2008

October 21, 2008

January 27, 2009

April 21, 2009

Look for the next electronic invitation at the beginning of June via Swiftpage emailing services.

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- It was a difficult quarter for global equity markets. Domestically, the S&P 500 declined 9.4% and the Russell 2000 fell 9.9%. While a weak dollar helped, international markets still struggled as the MSCI EAFE index lost 8.9% and emerging markets dropped 11.0%.
- The US economy probably slipped into a recession either late last year or early this year. The economy lost more than 200,000 jobs during the first three months of this year. The poor state of household finances could prolong this recession. Consumer spending might remain weak as households move to increase their savings rate.
- Outside the US, global economic growth is likely to slow in 2008. Japan and Europe are not expected to enter into a recession, but are not in a position to pick up much demand slack from the US. Emerging markets are likely to feel some impact from a developed market slowdown.
- The Fed's rate cuts combined with a continued flight to quality pushed Treasury yields sharply lower. The yield on the 2-year Treasury plunged from 3.05% to 1.62%. The yield on the 10-year Treasury dropped from 4.04% to 3.45%.
- Credit spreads rose substantially during the first quarter. Investment-grade corporate bond credit spreads surged to a historic high of nearly 3%. The option-adjusted spread on GSE-backed MBS securities stands at 1.2%, which is well above the historic average. With intermediate-term Treasuries yielding less than inflation, we believe it is an opportune time to increase exposure to high quality credit.
- In order to ease credit conditions, the Fed cut the Fed Funds target rate by 200 basis points during the quarter, moving it to 2.25%. It also essentially opened its discount window to primary dealers, lending more than \$37 billion to them during the quarter. While the Fed actions have helped ease tension in the credit markets, they have also placed further pressure on the dollar. The trade-weighted dollar declined 4% during the quarter. The weak dollar coupled with rising commodity prices has pushed inflation rates to around 4%.
- Profit growth from domestic equities turned negative during the fourth quarter of last year. While profit growth from overseas operations has been strong, it could come under pressure as the global economy slows.
- Developed international equities were crushed during the quarter. Investors became less optimistic about the world's ability to maintain strong growth rates as the US economic situation worsens. Concerns about the impact of a strong yen and euro on Japanese and European exporters likely hurt local currency performance as well.
- In emerging markets, China and India were hammered, declining 24% and 27%, respectively. Emerging market valuations remain at elevated levels and could fall the hardest if global growth slows more than expected.
- Hedge funds that have increased directional exposure during the past few years suffered during the first quarter. The ongoing credit crunch should create opportunities for established managers with strong balance sheets.
- A slowing economy and a tighter credit environment could create short-term problems for commercial real estate.

While it was a very difficult quarter for markets, investors with a quality bias in their equity portfolios were able to limit losses. S&P gives stocks a letter grade to stocks based on the reliability of their earnings and dividends. Stocks rated A declined about 6% during the quarter. Excluding financials, A-rated companies declined just 4%, less than half of the broad market's decline. Conversely, low quality stocks, those rated below B, plunged 16%.

While a weak dollar helped, international equity markets fell sharply on concerns that the slowdown in the US would have spillover effects. In US\$ terms, both Europe and Japan experienced peak-to-trough declines of more than 20%. In local currency returns, overseas markets, particularly Japan, fared even worse. Japan fell by 35% in yen terms between July 9, 2007 and March 17, 2008, and Europe fell 23% in local currency terms peak-to-trough.

Driven by strong momentum and the belief that they would be able to successfully decouple from a developed world slowdown, emerging markets were the only major equity asset class to finish the fourth quarter in positive terms. However, emerging markets sharply reversed course during the first quarter, dropping 11%. Somewhat surprisingly, the peak-to-trough decline for emerging markets was in-line with the losses experienced by other major indexes. We urge caution as emerging markets remain a high beta play on the global economy and could drop the most during a prolonged downturn. We are still optimistic for their long-term prospects, but investors should be prepared for a volatile ride.

Commodities continued to surge during the first quarter, with oil rising 6% and gold jumping 9%. Agricultural commodities gained 8%. Even with expectations of slowing global growth, commodities have continued to boom. Some of this can be explained by the dollar, which sunk to a record low on a trade-weighted basis during the quarter. Since the start of 2005, oil has risen by 66% in dollar terms, but just 24% in euro terms. Still, it is surprising that oil has continued to surge, and it suggests speculators may be helping to drive prices. An economic slowdown combined with higher prices is likely to crimp demand, putting downward pressure on prices.

Have Financials Reached Bottom?

The 30% plunge in financial stocks since the beginning of last year has presented a tempting opportunity for bottom fishers. Most analysts think that the worst is past for sub-prime mortgage write-offs. However, there is probably much more room for write-offs in leveraged loans and in other risky assets. A recession could cause credit problems in other areas, such as business loans, consumer credit, and commercial

Market Commentary

April 2008

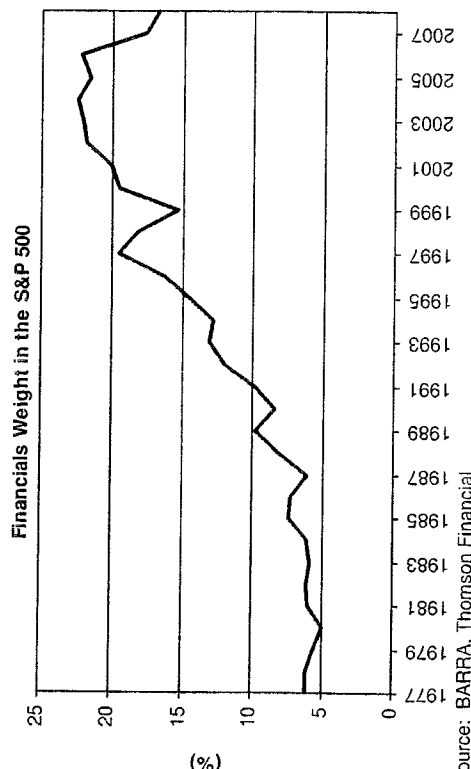
The global equity market downturn that began in October of last year turned ferocious in the first quarter, with many global markets slipping into bear market territory (20% decline from the high) before rebounding by quarter-end. When credit first started to be re-priced last June, stock prices continued to rise on the assumption that losses would be contained. The S&P 500 was up as much as 12% in 2007 before beginning its decline on October 9. As credit markets continued to worsen and the economy looked increasingly vulnerable to the housing slump, investors lost enthusiasm for stocks, causing a significant sell-off during the first two weeks of the quarter.

| Performance (%) | | | | |
|-------------------------|-------------|----------------|------------------|-----------|
| | 1st Quarter | Peak to Trough | Peak to Qtr. End | Peak Date |
| S&P 500 | (9.4) | (17.9) | (14.6) | 10/9 |
| Russell 2000 | (9.9) | (24.1) | (18.8) | 7/13 |
| MSCI Europe | (8.6) | (20.5) | (13.1) | 10/31 |
| MSCI Japan | (7.8) | (21.4) | (16.1) | 6/6 |
| MSCI Emerging Markets | (11.0) | (22.0) | (17.0) | 10/29 |
| In Local Currency Terms | | | | |
| MSCI Europe | (13.9) | (23.0) | (18.5) | 7/16 |
| MSCI Japan | (17.9) | (35.1) | (31.0) | 7/9 |
| MSCI Emerging Markets | (11.0) | (20.8) | (16.3) | 10/29 |

The S&P 500 declined by 18% between October 9, 2007 (a record high) and March 10, 2008. (The S&P 500 briefly descended into bear market territory on an intra-day basis.) After rebounding over the final three weeks of the quarter, the index finished the quarter 15% off its high. Not surprisingly, small-caps suffered more than large-caps during the downturn. The Russell 2000, which peaked in July, declined 24% from its high (the Russell 2000 index declined by 23% from 10/9 thru 3/10). Small-caps managed to finish the quarter down only slightly more than large-caps, but they remain 19% off their high. We continue to recommend underweighting small-caps. Small-caps are trading at higher valuations than large-caps, and large-caps are probably better positioned to protect profit margins due to international sales.

mortgage lending. Bank loss reserves have fallen significantly over the last decade, which means that banks have not set aside much for loan losses. As financial institutions realize more losses, both from asset write-offs and loan portfolios, they will probably be forced to raise capital. We have already seen many banks receive capital injections that have significantly diluted existing shareholders. Shareholders will get further diluted as more capital is raised, meaning that a future profit rebound will be shared among more shareholders. Not only are there more losses likely to come on existing assets, but future profits may also be impaired. Financials benefited immensely from the pre-2007 environment. Securitization, leveraged loan warehousing, buyout advisory fees, and mortgage originations generated substantial profits for the industry. Some of these activities may be curtailed for years.

It's possible that the worst is over for the financials, but we suspect there will be more pain to come. Looking back to the bursting of the technology bubble in the early part of this decade, the stocks took almost three years to reach the bottom and lagged through most of the recovery for the S&P 500. We're not suggesting that financials were as overvalued as technology stocks in 2000, but it does show that the deflating of a bubble sector can be a protracted, painful process. At this time, we are very content with an underweight position to financials that results from our overweight to large-cap growth/quality stocks.



The Credit Crunch and Fed Actions

The Federal Reserve took unprecedented actions to counteract the ongoing credit crunch, but the strains of excessive risk taking took a toll on overly levered institutions. Previous financial crises have usually resulted in the collapse of a major financial institution. This crisis proved to be no different. The collapse of Bear Stearns highlighted the degree to which systemic risks were imbedded in the global financial system. With over \$30 of assets per dollar of capital (not unlike other investment banks), Bear's losses on subprime bonds and other risky securities quickly eroded its capital. The firm's death was hastened by a classic bank run—hedge funds and other players moved assets away from the firm as its solvency came into question. The Fed facilitated the sale of Bear to JP Morgan, providing \$30 billion in financing backed by Bear's least liquid assets. JP Morgan eventually agreed to accept the first \$1 billion in losses on the holdings, but the Fed (read: US taxpayers) is on the hook for the rest.

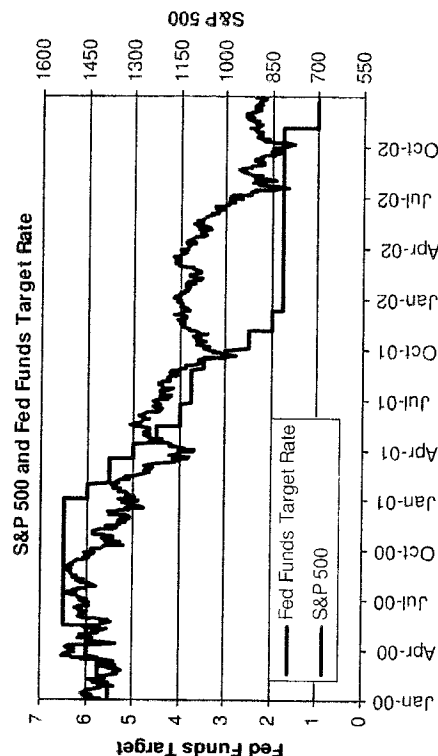
Winners in this fiasco were holders of Bear Stearns bonds, who helped enable Bear's risk taking. Bear's bonds were trading at distressed prices before the Fed's actions. Assuming the merger is completed, bondholders get to exchange what were distressed bonds for comparatively pristine JP Morgan obligations, without missing a single interest payment. One must wonder why US taxpayers are on the hook for \$29 billion, while Bear bondholders escape unscathed. Bondholders of other financial institutions deemed "too big to fail" must be breathing a sigh of relief. Heads, they collect attractive coupon payments; tails, they could be rescued by the Fed.

All in all, it is difficult to criticize the Fed for preventing the failure of Bear Stearns (although some of the details were disturbing). The prospect of the failure of a major derivative counterparty is frightening. It's difficult to fathom the chaos it might have brought upon the financial system. The bailout has probably lessened the odds of similar runs on other banks. Nevertheless, it is hard to feel good about the need for the Federal government to back-up a private institution in such a way. Furthermore, it will probably have unintended negative consequences, as it heightens moral hazard. It could encourage more imprudent risk taking in the next boom. Regulators will try to clamp down, but we wouldn't bet on their success.

In addition, the Fed took several other steps (highlighted on page 16 of this report) to ease credit market upheavals. In just seven months, the Fed has slashed the Fed Funds rate from 5.25% to 2.25%. The market has rallied several times on the announcement of a Fed rate cut or a new lending program. Going back to August 17, 2007, the market rallied 2.5% when the Fed made a largely symbolic cut in the

discount rate. On January 18, the market jumped 2.1% on the Fed's surprise cut in the Fed Funds rate. The market surged 3.7% when the Fed extended the length of the discount window borrowing from overnight to 28 days on March 11, and the market rose 4.2% on March 18 after the Fed cut rates by 75 basis points. While each of these gains probably gave some investors comfort that the crisis had finally passed, most proved temporary. Indeed, the S&P 500 has dropped 9.4% since the Fed first cut the overnight lending rate on August 22.

Investors tend to overreact to short term Fed moves and probably ascribe to the institution more power than it really has. The ability of the Fed to support asset prices is limited. To be sure, if the Fed makes money cheap enough, other assets become relatively attractive (especially if they have some capability to rise with inflation). However, there probably is not much the Fed can do to stem the upcoming contraction in profit margins. We are coming off a housing bubble and an unprecedented period of debt growth. The hangover from these has drastically reduced the willingness of investors to take credit risk and is likely to have economic ramifications for years to come. It's doubtful that low interest rates can return financial conditions to the headier pre-2007 environment. Below, we re-print a chart from our Fall 2007 report. It shows the Fed rate cuts and the S&P 500 from 2000 through 2002. The Fed aggressively cut rates over that period and the market enjoyed several short-term rebounds. Of course, the market did not hold on to these gains. The S&P lost 12% in 2001 and 22% in 2002 even as the Fed slashed the overnight lending rate to 1%.



Granted, equity markets are nowhere near as expensive as they were in 2000/01. Still, we caution investors to not blindly accept the "don't fight the Fed" mantra.

Is the Worst Over?

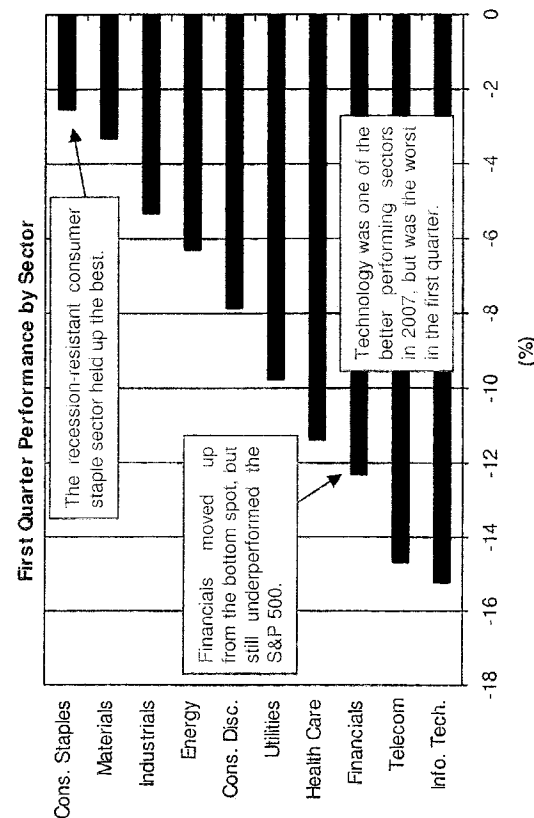
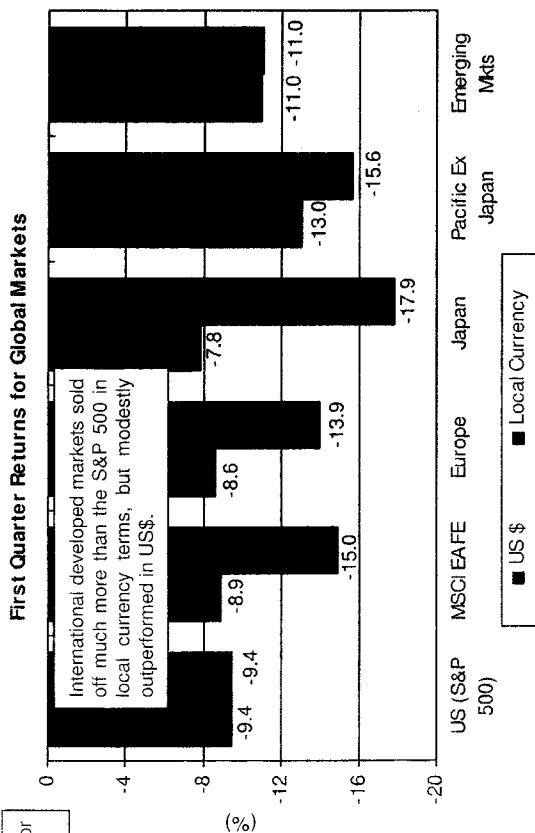
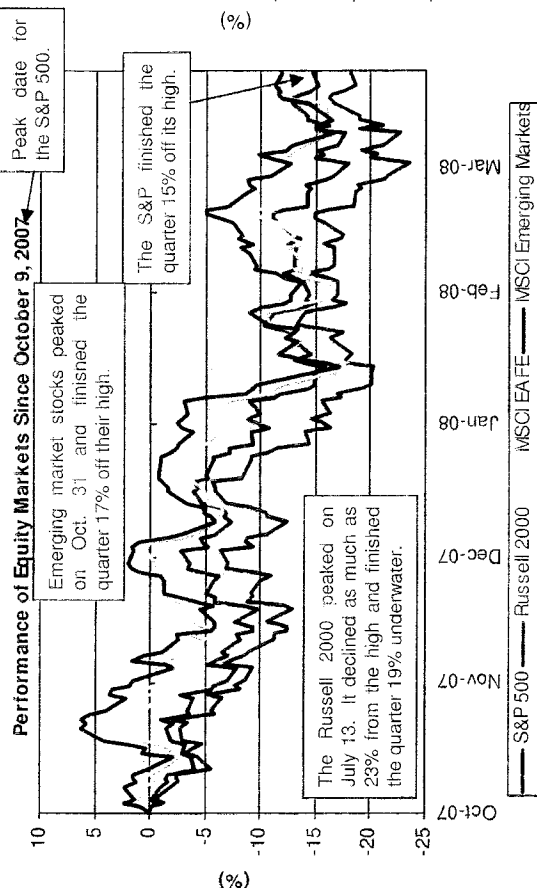
As we have suggested in this publication for some time now, liquidity has, for several years, grown to excessive levels, lifting asset prices, pushing risk premiums down, and driving the dollar lower. We have warned that these excesses could end badly, especially in housing and credit markets, causing the economy to slump and record high corporate profit margins to suffer. As a result, these recent market actions, while always surprising in their exact detail, are not unexpected in their impact. Looking out over the next couple of years, there is much to worry about. We are in the midst of a massive deleveraging of financial markets and the economy. Debt growth has been a significant contributor to economic growth so far this decade and has helped to inflate asset prices, and it is difficult to predict how a potential contraction in debt will play out. On a positive note, equity prices, while far from cheap, have returned to more reasonable levels. Still, volatility is likely to remain high over the coming months, and investors with short time horizons should be cautious. Global stock markets could fall well below fair value, especially if a recession winds up being more severe, or more global, than the consensus expectation or if financial conditions worsen further.

The silver lining to economic downturns and market sell-offs is that they tend to create new opportunities to profit. With the liquidity crunch in the credit markets, high quality corporate and mortgage-backed securities seem to offer attractive risk-adjusted returns, and the beginning of the distressed debt cycle is upon us. Further declines in stock markets could warrant increased equity exposure. In the meantime, we recommend investors exercise patience and wait for the opportunities to arise. As always, we will continue to be vigilant for opportunities, without attempting to catch the proverbial falling knife.

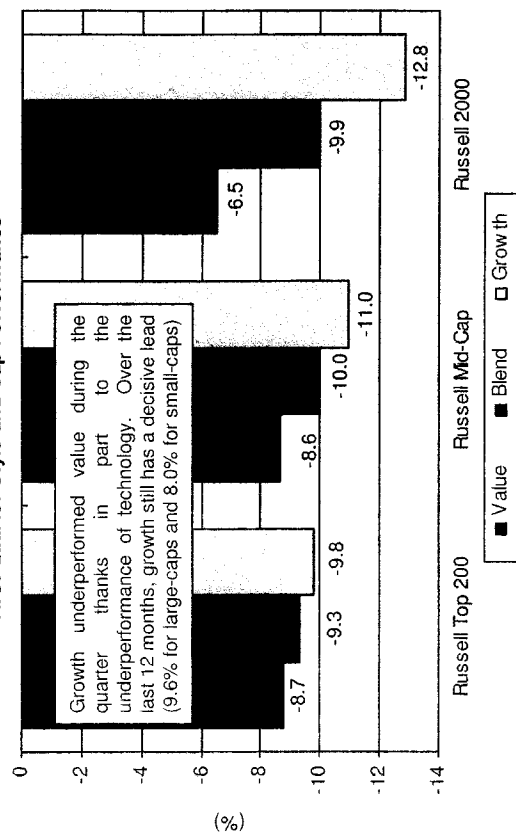
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Equity Markets Finally Succumbed to the Credit Crunch

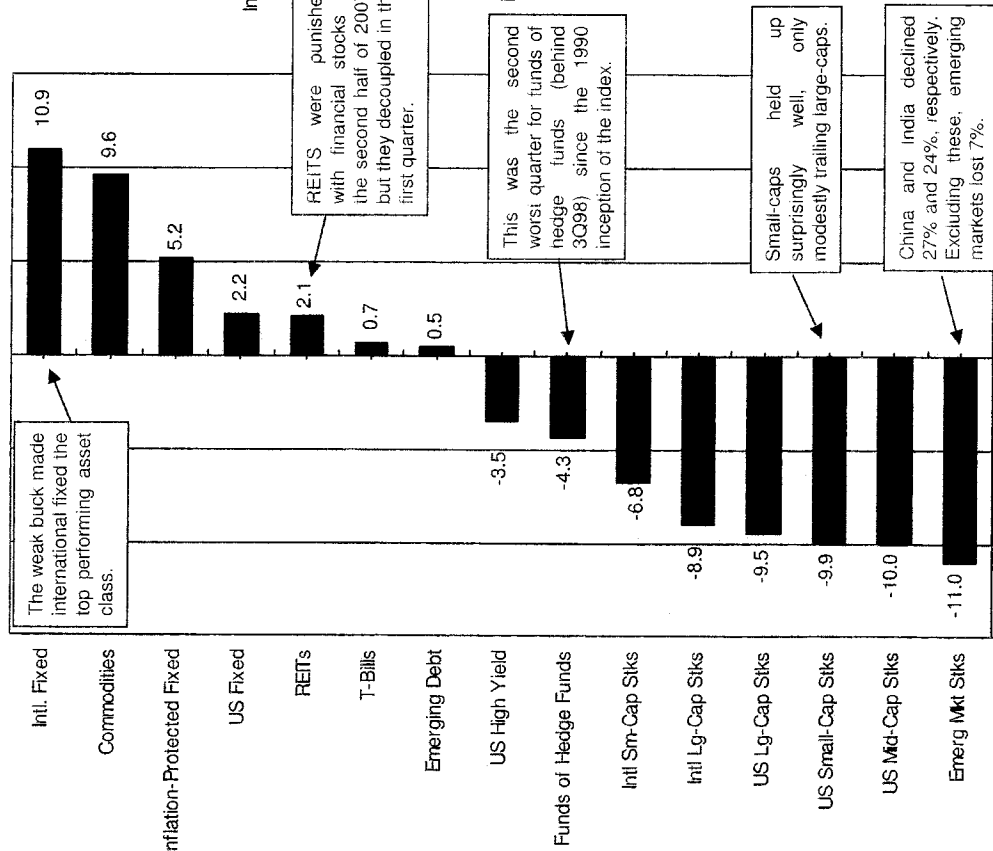


First Quarter Style and Cap Performance

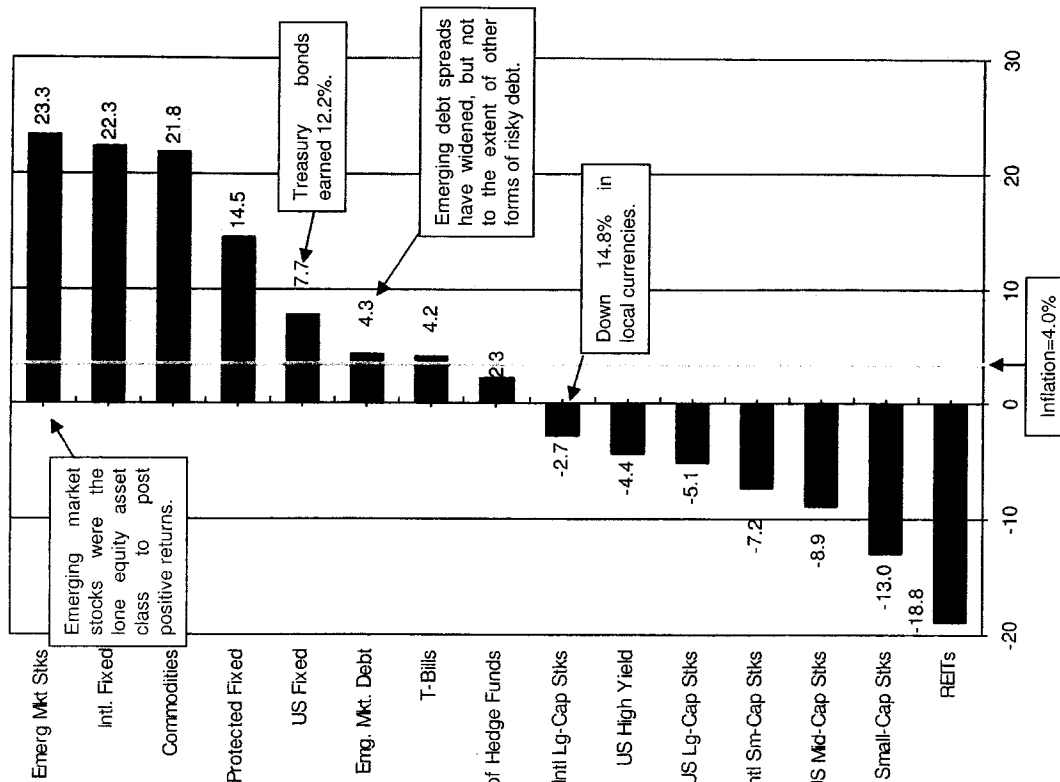


Equity Markets Finally Succumbed to the Credit Crunch (cont.)

First Quarter 2008 Performance

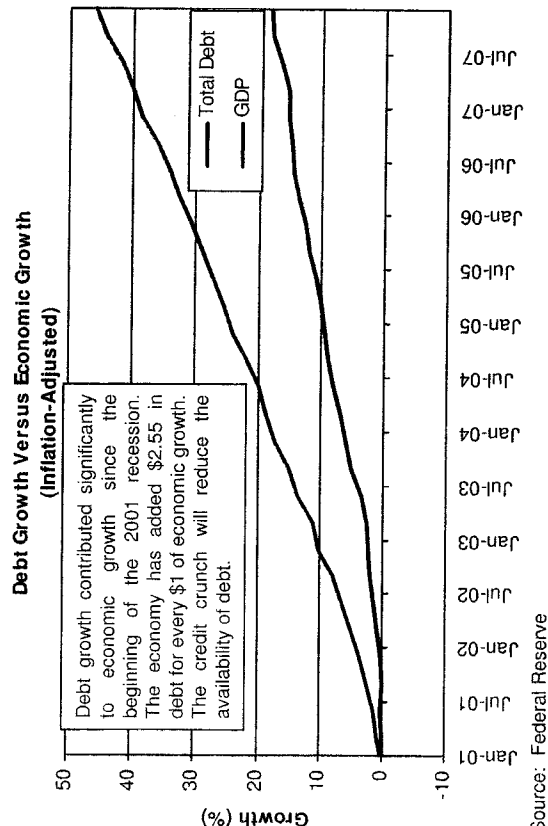
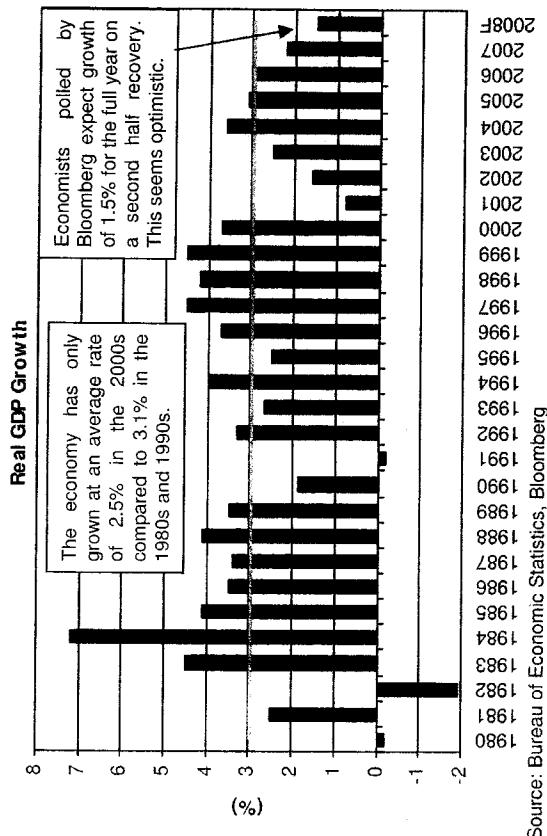


Last 12 Months Performance



The Economy is Probably in a Recession

- The economy grew at a tepid pace of 0.6% in the fourth quarter of 2007. For the entire year, GDP grew 2.2%, which was below the long-term trend of 3%. Personal consumption expenditure growth for the year was solid at 2.9% and business investment expanded 4.7%. For the first time in more than a decade, the trade balance contributed to economic growth as the balance improved. Residential investment was the largest drag, dropping 17% and subtracting a full percentage point from growth.
- As 2007 drew to a close, the fallout from the housing market and the credit crunch were taking a more pronounced toll on the economy. Personal consumption, which makes up about 70% of the economy, has weakened in recent months as the problems in the housing market and tightening credit have begun to impact spending. For the three months ended February, growth was only 1.1%. The March release will probably push this down to about 0.5%.
- Employment growth slowed markedly in the second half of 2007 and it turned negative in the first quarter of 2008, adding to the woes of households. The economy lost an average of 77,000 jobs per month during the quarter, and the unemployment rate rose to 5.1%. Average hourly earnings increased by a respectable 3.6% over the last year, but trailed inflation.
- Business activity is also slowing. The ISM manufacturing index suggests that activity is modestly declining, and the non-manufacturing index suggests little growth. One of the strongest areas of business investment has been commercial construction, which is likely to be curtailed this year (see page 26). The decline in employment during the quarter further suggests businesses are pulling back. The good news is that business investment is unlikely to suffer as much as it did in the last recession because businesses have not overinvested in this cycle.
- The unfolding financial crisis is a wildcard. Debt growth has been the fuel of economic growth this decade. With banks having written-off hundreds of billions of capital, lending could be curtailed. Already, loan officer surveys are suggesting a material tightening in lending standards to both consumers and businesses. Goldman Sachs estimated that bank losses will result in a \$2 trillion (14% of GDP) reduction in aggregate lending.
- It appears likely that the economy has entered a recession. Economists polled by Bloomberg expect that growth will be virtually zero in the first half of the year. The National Bureau of Economic Research, which is the official arbiter of recessions, is not likely to make an official determination until later this year. If they do, the beginning of the recession will probably be dated to December or January. Post-WWII recessions have lasted an average of 10.5 months. The 1991 and 2000 recessions lasted eight and nine months, respectively. Can we look forward to another short, mild recession?

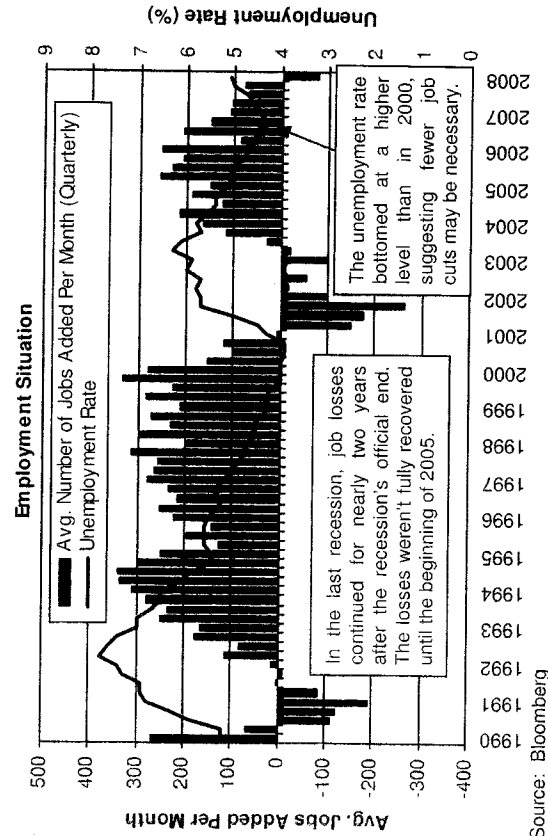
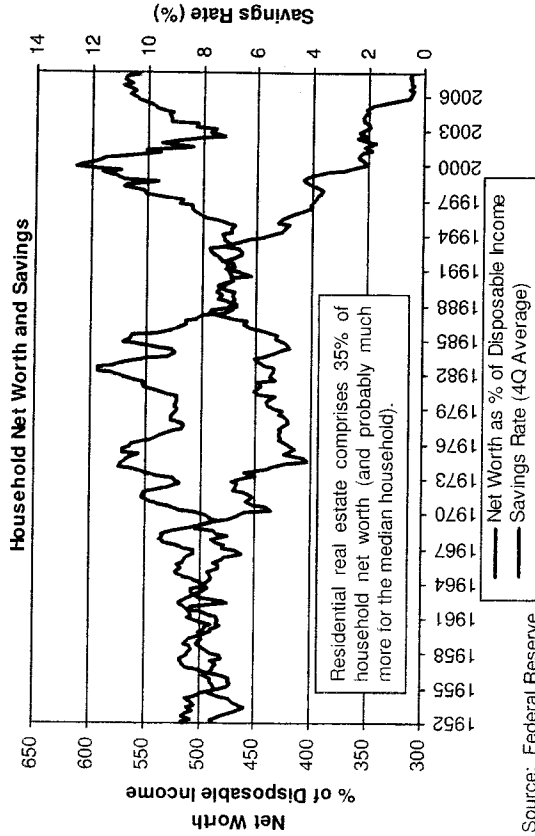


State of Household Finances Risks Prolonged Recession

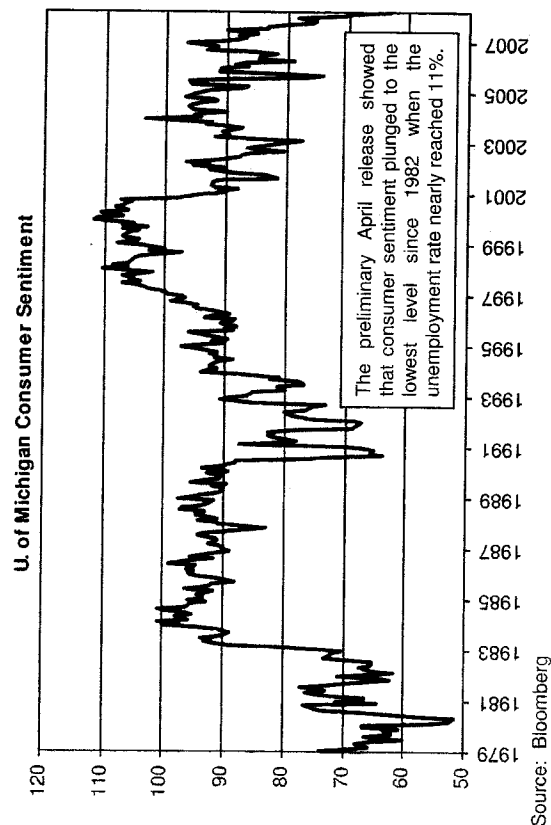
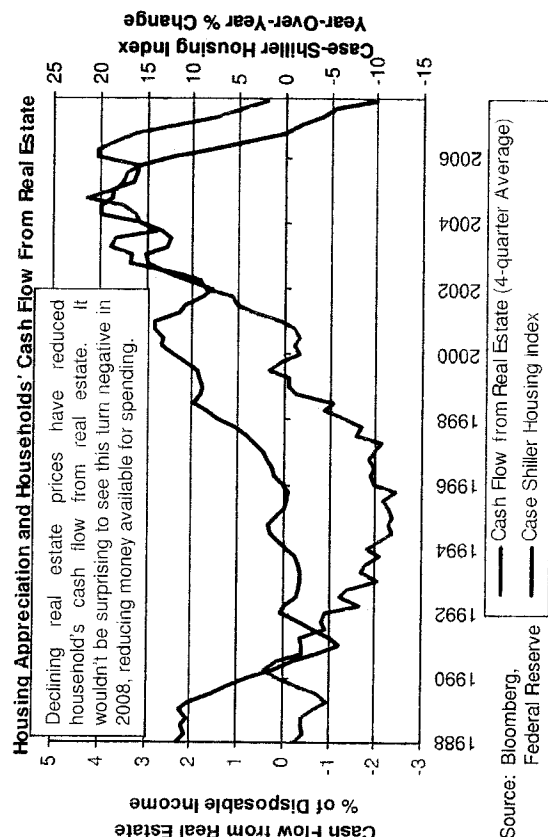
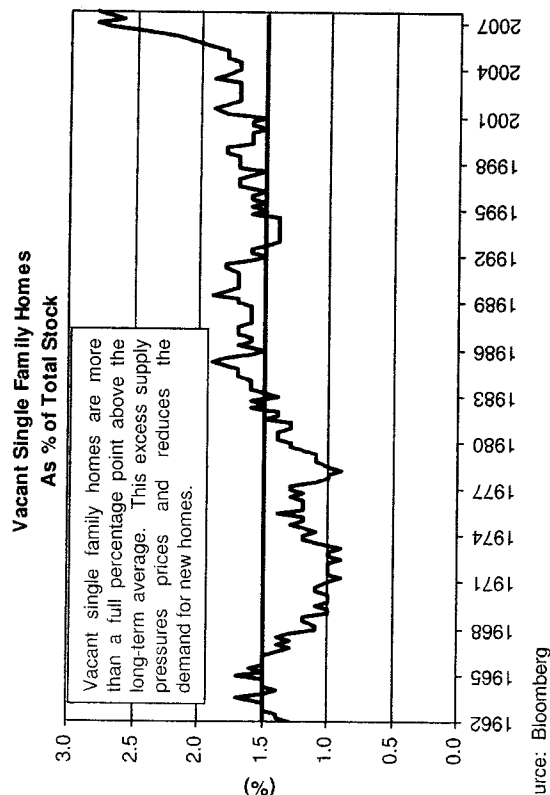
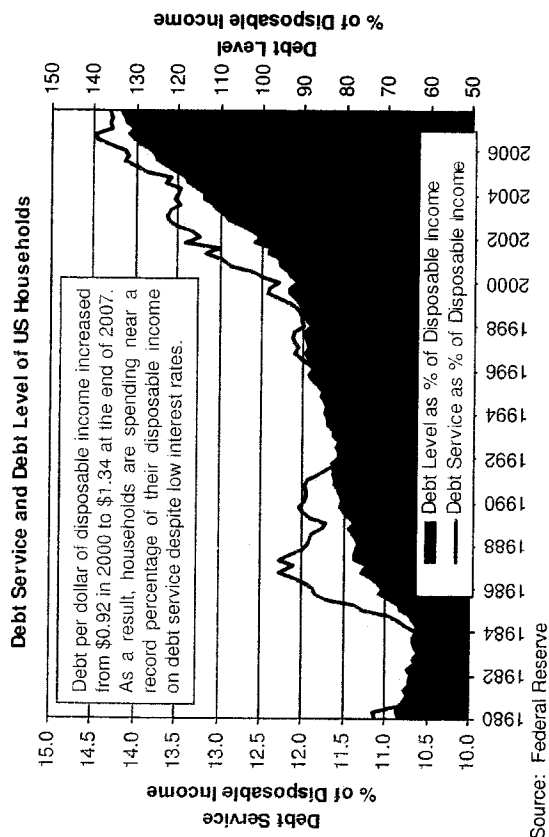
- Strong consumer spending helped make the business-led 2001 recession short and mild. While households suffered negative wealth effects from the collapse of the equity market and total employment fell by more than 2%, tax cuts and booming housing prices supported spending through the recession. With this downturn being led by consumers due to the housing market hangover, there is a risk that it will prove to be more painful than the last.
- The \$168 billion tax cut package (1.2% of GDP) may give demand a temporary boost in the second half of the year. However, the scope for additional tax cuts is probably limited given the poor fiscal picture. In 2001, the Federal government was coming off a 2.3% budget surplus to GDP. In 2008, the budget deficit is likely to reach 3% and could worsen next year because of declining tax receipts.
- The fate of housing will continue to weigh heavily on economic prospects. The Case-Shiller Housing Index shows that prices have already fallen 13% from their peak. There is little hope that the market will stabilize soon. A glut of excess housing remains, and prices are still high both in terms of rent and income. While improved, home affordability is well below average. Futures on the Case-Shiller index predict a further 13% decline in the next year.
- Households have allowed asset appreciation to substitute for savings for the better part of two decades as the savings rate has fallen from 7% in 1990 to 0.3% in 2007. Simultaneous weakness in housing and equity markets puts pressure on household balance sheets. Net worth for households declined 1% in the fourth quarter. This was the first quarterly decline since the third quarter of 2002. Net worth probably fell an additional 3% to 4% in the first quarter.
- The relentless rise in energy and food prices adds to the problems. Energy expenditures totaled 6.1% of disposable income in the final quarter of 2007, which was up from 4% in 2002 and the highest since 1985. With energy prices continuing to rise in 2008, energy expenditure's share of income will probably approach 6.5% of income.

While the recent employment figures have been discouraging, there is cause for optimism that job losses will be milder than in the last two recessions. This could prevent this recession from becoming deep. The non-financial business sector was more cautious in both investment and hiring over the last few years than in the late 1990s. Overseas economic growth coupled with the weak dollar could further support employment. It may not take as many job cuts to adjust to a recession as in the 2001 recession, and new hiring might begin sooner.

For too long, US households have allowed spending to grow more than income, which eroded the savings rate to virtually zero. Now that it is becoming clear that rising asset prices cannot indefinitely substitute for real savings, households need to tighten their belts. This could mean a longer recession than average or an anemic recovery. This process will ultimately make the economy stronger, as imbalances created by the lack of savings are corrected.



State of Household Finances Risks Prolonged Recession (cont.)

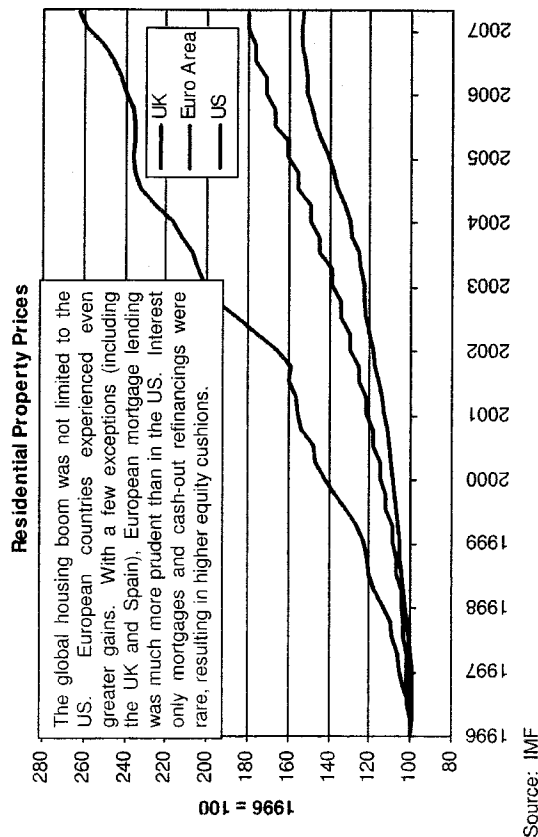
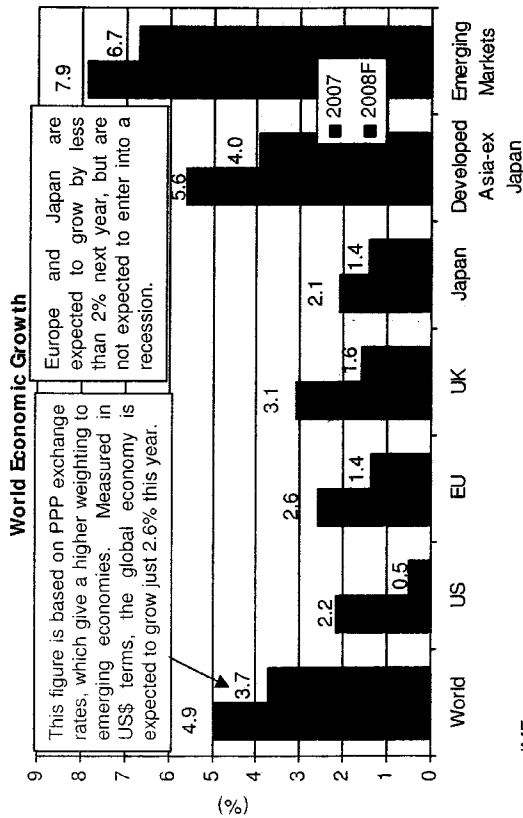


Global Growth Likely to Slow in 2008

- Global economic growth is expected to slow substantially in 2008 as the effects of a recession in the US spread. The IMF revised down its 2008 global growth forecast from 4.3% in October to 3.7%, which would be the lowest since 2002.
- In the Euro area, GDP grew by 2.6% in 2007. However, growth slowed to just 1.5% in the fourth quarter and is expected to be just 1.4% in 2008. European banks have also taken substantial losses related to the US mortgage market, causing them to tighten lending standards. Inflation concerns have prevented the ECB from cutting rates, and the strong euro could weigh on export growth (non-Euro area exports amount for slightly more than 22% of the Euro area's GDP). On a brighter note, outside of the UK, European households are in better shape than their US counterparts and should be able to maintain spending levels. Europe is likely to avoid a recession, but it is not in a position to pick up much of the demand slack from the US.
- Japan was a bright spot for the global economy in the fourth quarter, growing at 3.5%. While domestic demand was robust in the fourth quarter, exports have been the predominant driver of growth. Exports to developing Asia and the US account for roughly 75% of Japanese exports, so domestic demand could come under pressure during a global slowdown. (Japanese exports to the US have declined by 6% over the past year.) The March Tankan report highlighted these risks and indicated that companies are wary about business prospects and that employment indicators have turned slightly downward. Some economists have predicted concurrent recessions in the US and Japan. On the other hand, if demand growth in emerging Asia holds up, Japan could fare better than expected.

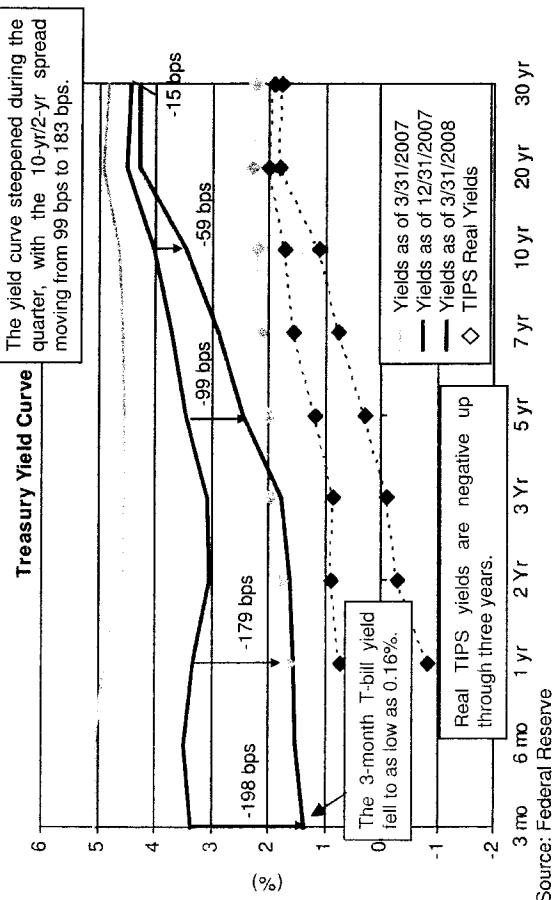
- Emerging markets are likely to feel the effects of a slowdown in the developed world. According to the IMF, developing countries have accounted for two-thirds of global economic growth (in PPP terms) over the past few years. Encouragingly, domestic demand growth has played a major role in generating global growth. However, a sizable portion of this consumer demand growth may be sensitive to export income. About 60% of Asian exports are purchased by the US, Japan, and Europe. One major positive is that savings rates are very high in Asia, leaving consumers with room to maintain or grow spending levels. Latin America is enjoying strong growth due in large part to commodity exports, which account for 12% of GDP. A pullback in commodity prices is a possibility if growth slows; however, Latin American countries have improved their balance sheets and are less reliant on US demand than in the past.

- The IMF estimates global credit losses to total \$1 trillion. Global central bankers have acted aggressively to ease credit conditions and a possible economic slowdown. The IMF reckons that a global recession (global growth less than 3%) is unlikely, but inflationary pressures and the spillover effects from a likely US recession increase downside risks. A significant question for 2008 is how the global economy will be able to weather the incipient US recession. The answer is likely to rest on how deep it is and whether emerging markets can continue to experience high domestic demand growth rates as the developed world slows.

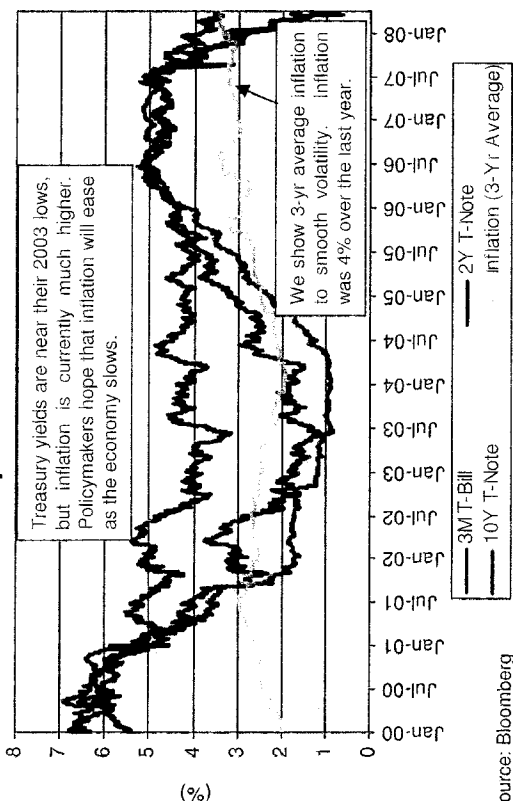


Treasury Yields Plummeted on a Flight to Quality

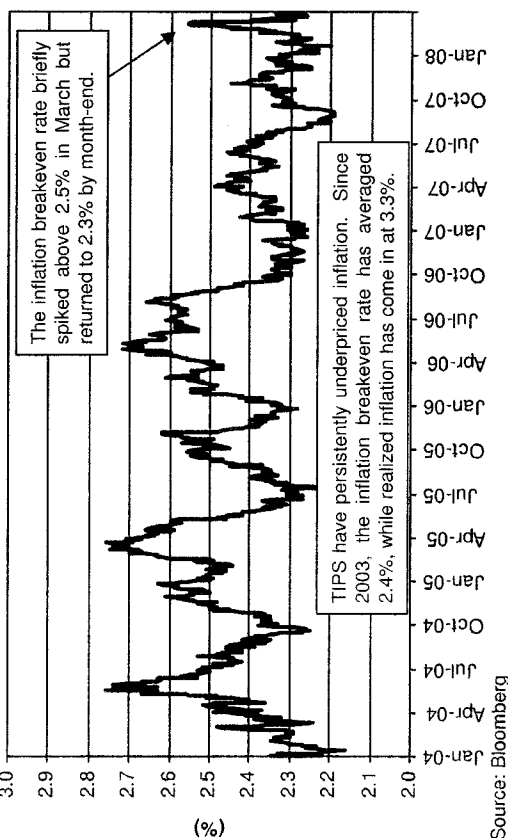
- The Fed's rate cuts combined with a continued flight to quality pushed Treasury yields sharply lower, especially on the front-end of the yield curve. Foreign central banks have also continued to be a reliable source of inflows. The yield on the 2-year Treasury plunged from 3.05% to 1.62%. The yield on the 10-year Treasury dropped from 4.04% to 3.45%.
- While Treasuries act as a good hedge against further tumult in financial markets and a deeper than expected recession, an investment in Treasuries could lose purchasing power in the coming years if recent inflation trends persist. Their short-term hedging capabilities notwithstanding, Treasuries offer a particularly poor reward-to-risk for long-term investors.
- One environment where Treasuries could continue to shine is a period of Japan-styled deflation. We are plagued by some of the same ills of Japan in the early 1990s (credit, real estate, and equity bubbles). Still, we find this scenario very unlikely. Fundamentally, the US is in far better shape than Japan in the 1990s. Furthermore, if deflation threatens, the Fed will probably run the printing press.
- The inflation breakeven rate on 10-year TIPS finished the quarter at 2.3%. TIPS offer cheap insurance against inflation. Yet, real yields on 5-yr and 10-yr TIPS stand at only 0.3% and 0.9%, respectively. While TIPS are preferable to nominal Treasuries, their opportunity cost versus investment-grade credit is rising.



Treasury Yields Versus Inflation



Inflation Breakeven Rate on 10-Year TIPS



Credit Markets Continued to Convulse

- Deleveraging and solvency concerns continued to roil credit markets during the quarter, worsening the situation for areas already battered and claiming new victims. Forced selling by highly levered players spreads to unprecedented levels in many markets. In some cases, spreads have apparently widened due to a lack of liquidity rather than solvency concerns. Long-term investors willing to provide liquidity could be well rewarded.

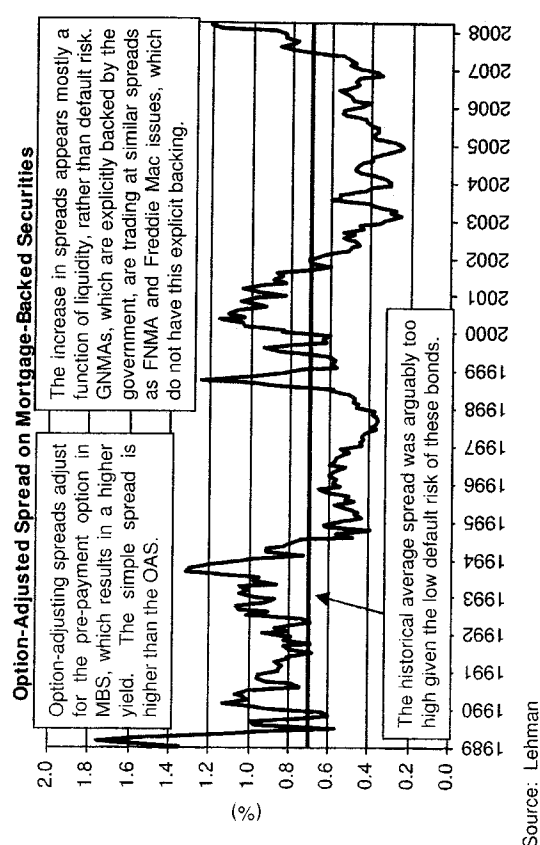
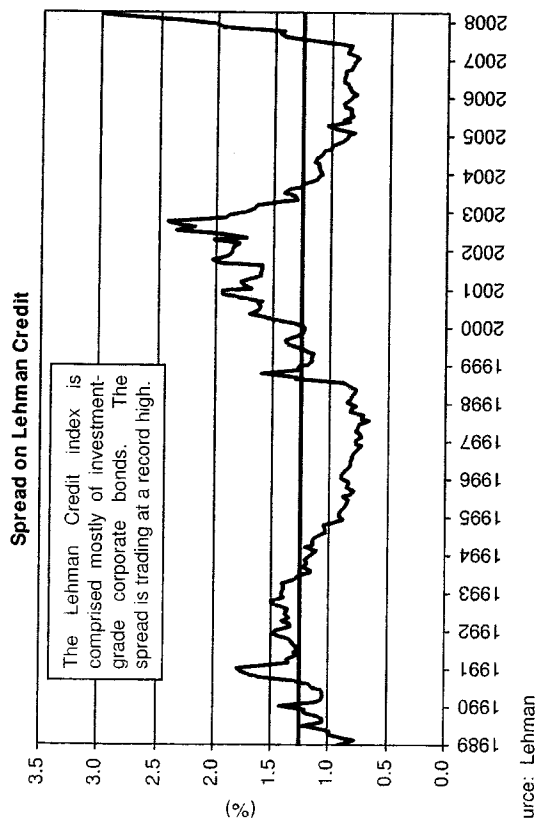
- Investment-grade corporate bond credit spreads, as measured by the Lehman Credit Index, surged to nearly 3%, compared to the 2007 low of 0.8%. While there are issues in the index that may not deserve to be rated investment-grade, the aggregate spread offers a compelling reward-to-risk versus Treasuries. Downgrades of investment-grade bonds to junk status or a rise in defaults could reduce prices, but the 3% spread provides a comfortable cushion. We wouldn't be surprised to see a duration-hedged corporate bond portfolio outperform stocks over the next few years.

- GSE-backed (Fannie Mae, Freddie Mac) mortgage-backed securities (MBS) are trading at an attractive option-adjusted spread of 1.2%. These bonds are backed by prime mortgages. While delinquencies are rising for prime mortgages, the issuers must bear the losses. It's conceivable that one of the GSEs fails because of mortgage losses, but the government would likely back the bonds. In fact, Ginnie Mae bonds have the explicit backing of the US government. Freddie Mac and Fannie Mae bonds do not have explicit backing, but it's almost inconceivable that the government would allow GSE MBS to default (especially in light of the Bear Stearns actions), as it would be catastrophic for the housing market and the financial system.

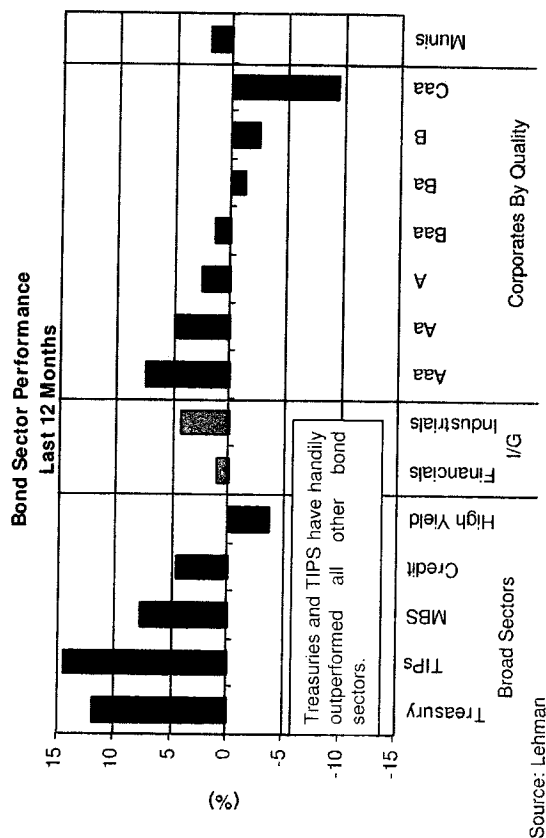
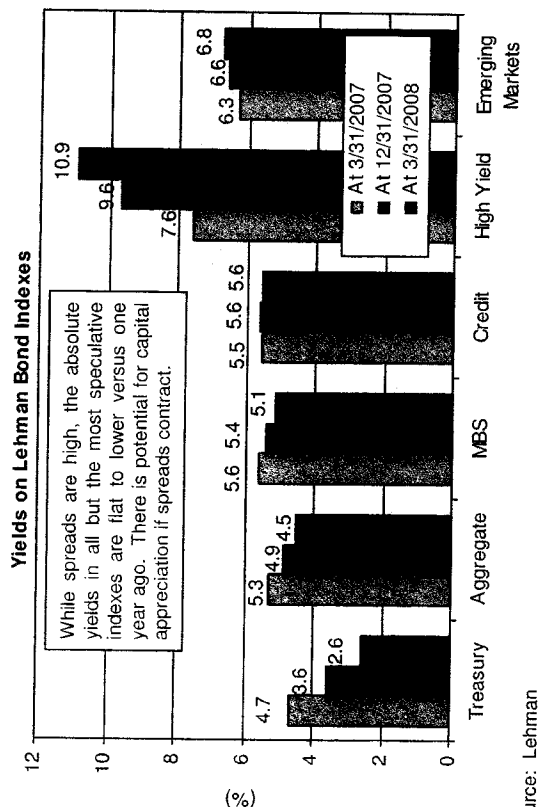
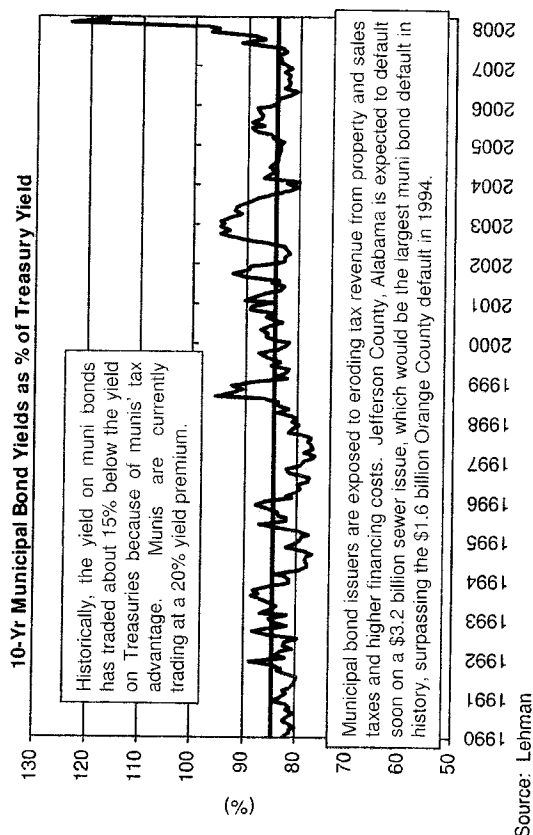
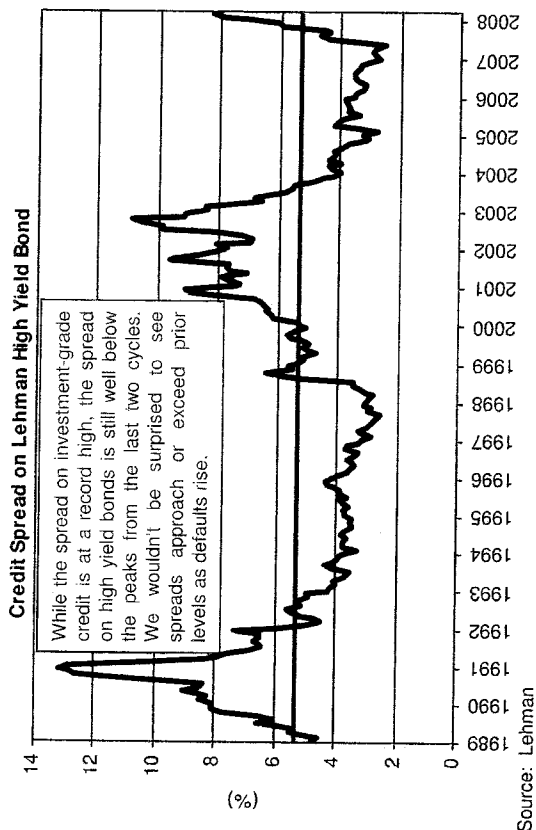
- Municipal bond yields also spiked as problems with municipal bond insurers, the freeze-up of the auction rate securities market, and the blow-up of some highly levered hedge funds plagued markets. For taxable investors, municipal bonds offer the most attractive taxable equivalent spread on record. There are reasons to worry about state and municipal finances because of likely declines in property and sales tax revenue. Nevertheless, they appear attractive in aggregate with tax-favored yields higher than Treasuries.

- We suspect high yield spreads have further to rise. While high yield bond spreads have risen from less than 3% to 8% over the last ten months, current spreads remain well off the highs from the last two recessions, and it wouldn't be surprising to see defaults in this cycle exceed the prior two. Leveraged loans (used to finance buyouts) are more interesting for investors willing to accept significant credit risk. These loans, while made to junk-rated companies, typically have better asset backing. They are trading at 85 to 90 cents on the dollar as investment banks struggle to unload them.

- The credit problems are creating opportunities for long-term investors. With intermediate-term Treasuries yielding less than inflation, we believe it is an opportune time to increase exposure to high quality credit.

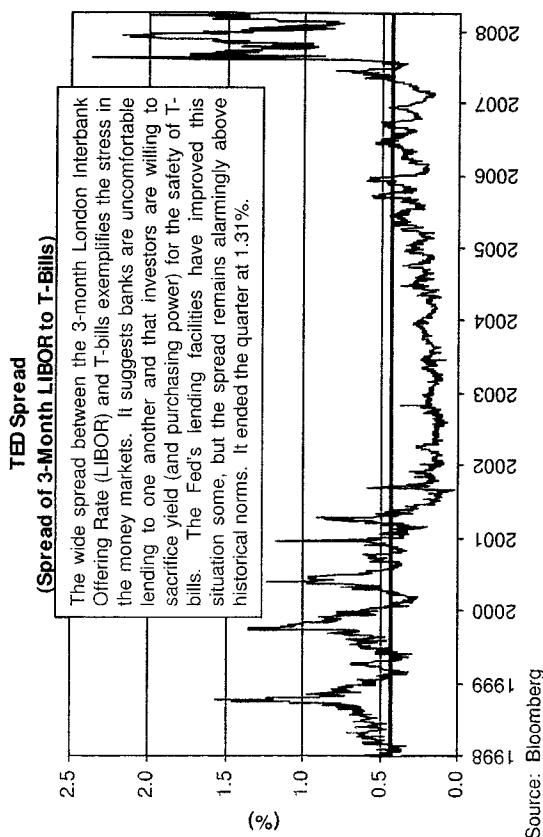
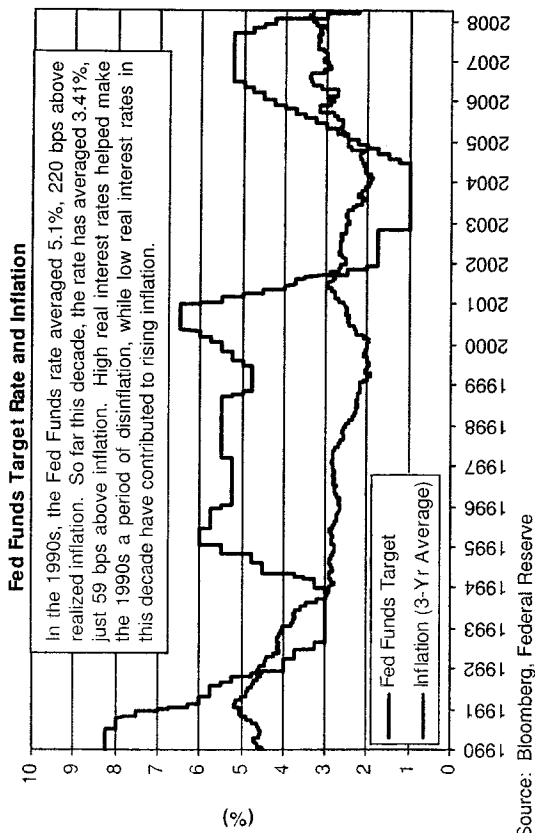


Credit Markets Continued to Convulse (cont.)



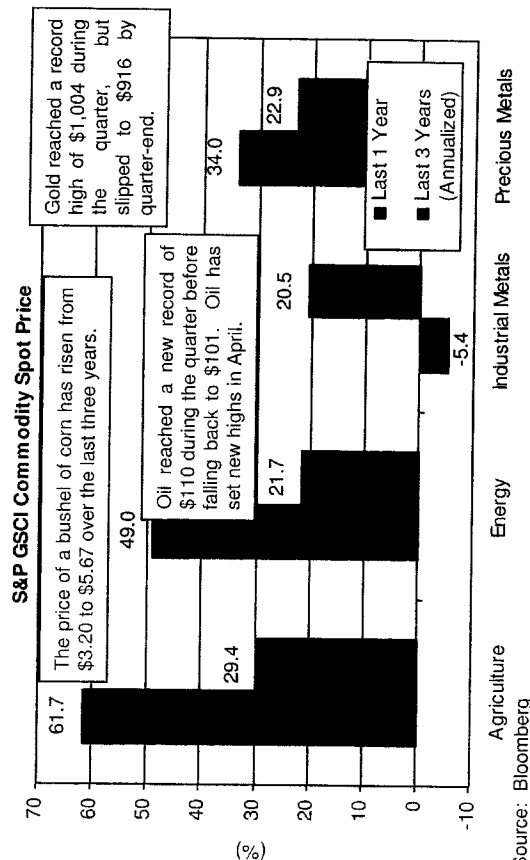
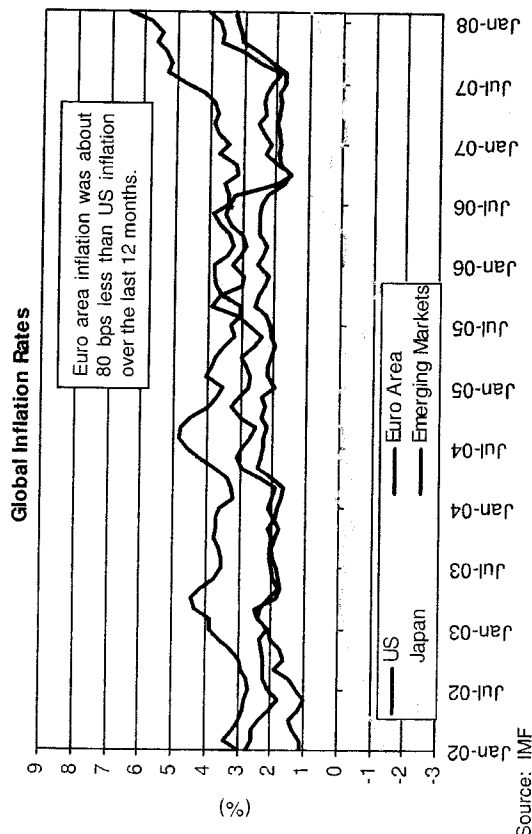
The Federal Reserve Scrambled to Ease Credit Upheavals

- The Federal Reserve took extraordinary steps to deal with the ongoing credit crunch through aggressive rate cuts and an expansion of its role as the "lender of last resort." Through new lending facilities, the Fed has lent out about a quarter of its assets and that percentage could rise to nearly half of assets if the programs become fully utilized.
 - The FOMC cut the Fed Funds rate by 2 percentage points during the quarter, including one emergency inter-meeting cut. The cuts took the overnight lending rate down to 2.25%, nearly two percentage points less than trailing 12-month inflation (4%). Fed Funds futures predict that the Fed will cut by another 25 bps at the April meeting.
 - The Fed prevented the failure of a major counterparty when it orchestrated the buyout of Bear Stearns by JP Morgan. The Fed accepted the risk of \$29 billion in illiquid Bear assets, while allowing Bear's bondholders to escape unscathed.
 - The Fed attempted (not entirely successfully) to ease stress in money markets, as exhibited by the wide difference between 3-month LIBOR and T-Bills, by expanding the size of its Term Auction Facility (from \$20 billion to \$50 billion). Depository banks can use this facility to borrow money from the Fed for collateral including GSE and private residential MBS.
 - In addition, in the wake of the Bear Stearns collapse, the Fed created two new lending facilities for primary dealers (a group that includes large investment banks). The Fed created an equivalent of the discount window for use by primary dealers. In addition, the Term Securities Lending Facility allows primary dealers to borrow up to \$200 billion in Treasuries from the Fed and accepts a wide range of collateral.
 - The Fed's aggressive actions have lessened the systemic risks, provided support to the financial industry, and somewhat eased conditions in money markets, but the actions are not without cost.
 - The Fed's interest rate cuts have probably been the primary cause of the free-falling dollar, which is increasing the cost of imports and adding to mounting inflationary pressures both here and in emerging market countries with dollar pegs. The substantial rise in commodity prices can also be partially blamed on the Fed's actions. Furthermore, the Fed's cuts are in essence rewarding many of the perpetrators of the current crisis by transferring income from risk-averse savers (through lower rates on cash and Treasuries) to subsidize the financial industry and debtors.
 - The various lending facilities and the acceptance of the Bear Stearns holdings expose the Fed (and taxpayers) to credit risk. If one or more of the borrowers defaults, the Fed could take losses on the collateral.
 - More uncertain is the potential impact on future risk taking through "moral hazard" (institutions are incentivized to take imprudent risk because they receive all the upside, while the taxpayers share the losses).



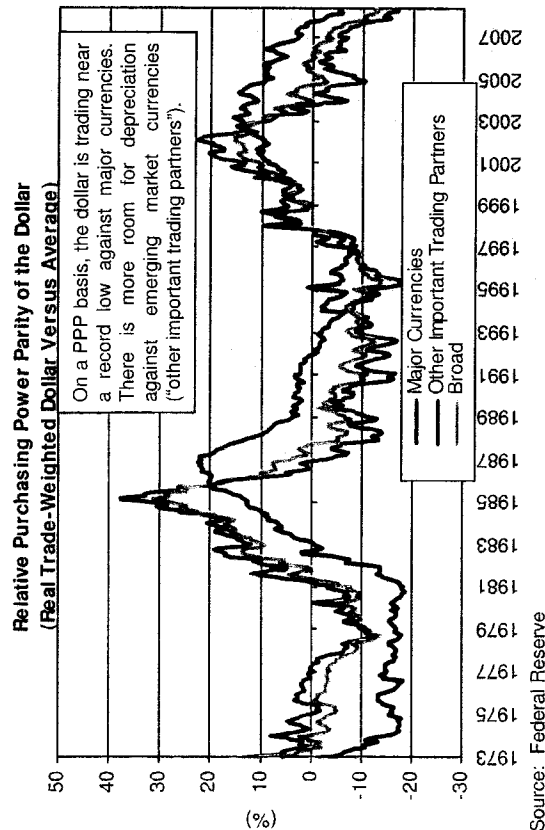
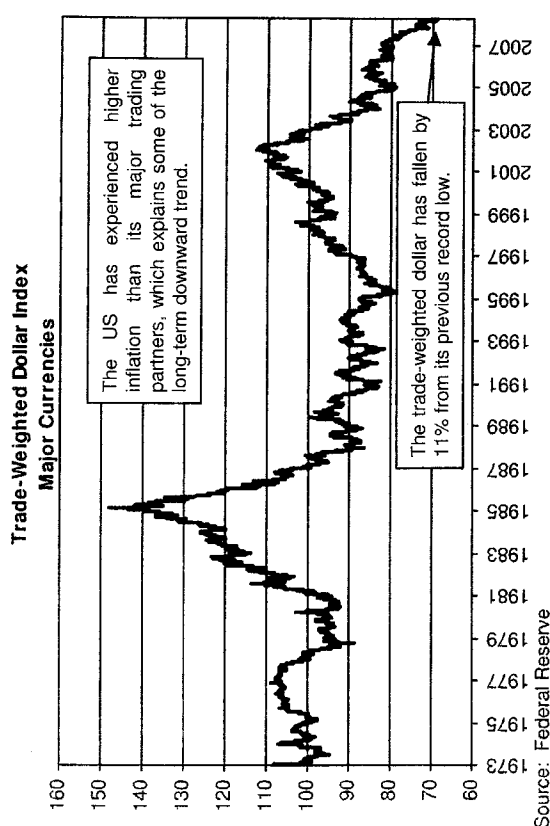
Commodity Prices and the Weak Dollar Fuel Global Inflation

- The world has enjoyed an unprecedented period of near synchronous growth over the last five years. This has lifted the income of households in emerging market countries and many out of poverty. The breakneck pace of growth, however, has contributed to higher commodity prices. Agriculture, energy, and industrial metals have seen rapid price increases as production growth has struggled to keep pace with demand growth. Price speculation and the movement of institutions into commodities has probably made the situation worse. Consequently, inflation is on the rise globally.
 - In the US, high commodity prices have driven inflation to 4%. Even with the strong euro, Euro area inflation has risen above 3%.
 - Inflation in emerging market countries has fallen markedly since the early 1990s, but it is moving upwards. After staying between 3% and 5% for most of the decade, inflation increased to 6.5% in 2007, and the IMF expects inflation to exceed 7% in 2008.
 - The weak dollar has compounded the problem for the US and for emerging markets with a peg or managed float to the dollar. Those countries that have pegged to the dollar have ceded their monetary policy to the Federal Reserve, which is inappropriate for their more rapidly growing economies.
- Food prices have caused particular unrest recently. As some emerging market consumers have enjoyed higher income, one of the first things they look to do is upgrade their diets and increase their intake of meats. (It takes several calories of feed to yield one calorie of beef or pork.) The mandated use of ethanol in the US (and in other countries) has worsened the situation, lifting corn prices and spilling over into other markets.
 - The rise in food prices hits emerging economies especially hard because food is the largest expenditure. By contrast, food expenditures consume less than 14% of disposable income in the US, and a significant portion of that includes non-food content (marketing, packaging, etc.) that is not sensitive to underlying prices.
 - Food inflation disproportionately impacts countries that have not enjoyed the income gains of the more rapid growers. Urban Chinese have seen income gains sufficient to cover higher food costs, while farm laborers in Argentina probably have not. There have been recent reports of food riots. Some countries have resorted to imposing price caps and establishing steep duties on agricultural exports to keep more products at home.
- Some of the recent gains in commodities will be self-correcting. Higher food prices will lead to increased food production (which has a shorter lead time than energy and metals investment). At some point, higher energy prices will act as a brake on growth, which will reduce demand. In the meantime, some emerging market central banks may need to relax their pegs to the dollar.

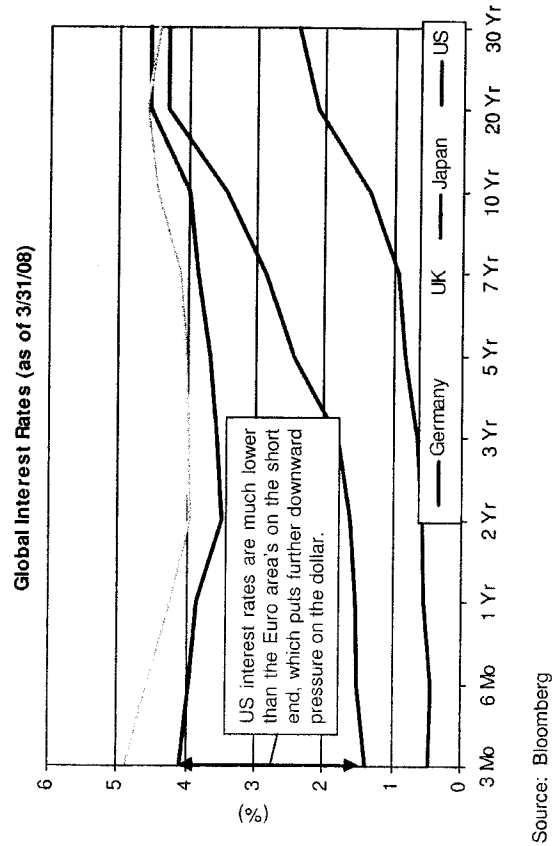
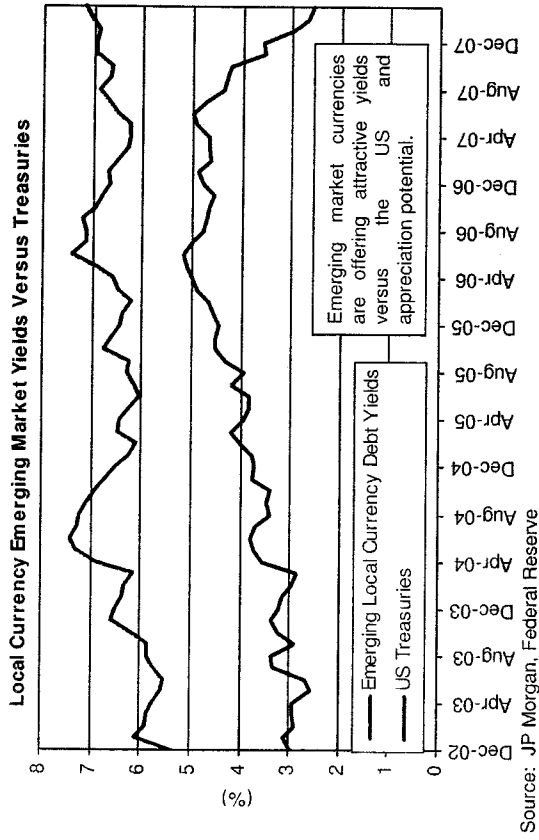
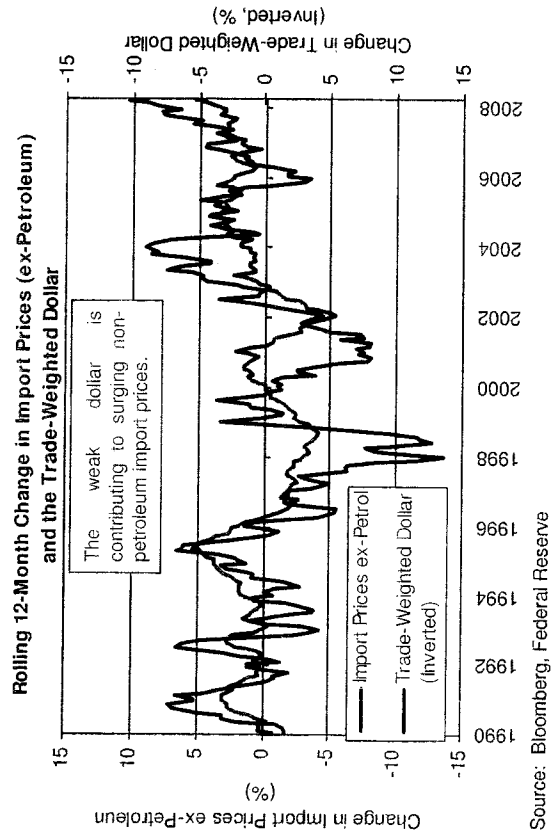
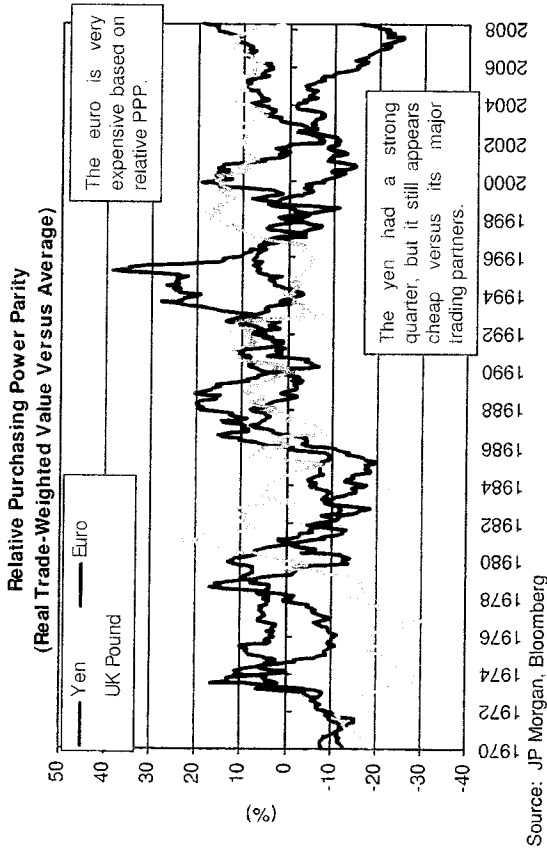


The Fed Rate Cuts Weighed on the Dollar

- The dollar continued its freefall in the quarter. It fell 8% against the euro and 11% against the yen. The trade-weighted dollar (major currencies) declined 4%. Much of the dollar's recent losses can be attributed to the Fed. It has been far more aggressive in easing interest rates than other central banks. Since the Fed began the current easing cycle, the trade-weighted dollar has fallen 10%.
- The European Central Bank (ECB) has maintained short-term interest rates at 4%, and officials insist that inflation is the more pressing concern. The futures market suggests that the ECB will relent later in 2008. Still, short-term rates are likely to remain at a wide spread to dollar rates, which will provide support to the euro. Based on relative purchasing power parity; however, the euro is very expensive, trading about 20% above its average level. The direction of the euro is a tough call from here.
 - The ECB has better inflation fighting credentials than the Fed. Its sole mandate is price stability, and its actions (or lack thereof) in the current crisis shows steadfastness in pursuing this mandate. The Fed, on the other hand, has the dual, conflicting mandate of price stability and maintaining full employment, and it is obviously favoring the latter. One possibility is that the euro's relative overvaluation is corrected not through depreciation, but through lower inflation.
- Our best guess is that the euro is close to a top. Significant upward moves from this point may spark ECB intervention (either through interest rate cuts or directly into currency markets). Over the intermediate-term, it is likely to depreciate. However, betting against the euro is costly, as the euro yields 2% more than the dollar in nominal terms. The euro must depreciate by the same amount against the dollar just for short positions to break even. Since Euro area inflation is lower than in the US, the euro's yield advantage is even greater in real terms.
- The UK pound was essentially flat against the buck during the quarter. The UK economy is suffering from many of the same problems as the US, and markets have priced in further interest rate cuts. Despite high current interest rates, the pound may fall against the dollar, as it is trading higher than the dollar on a PPP basis.
- Emerging market currencies have become more attractive. Many of these countries are generating current account surpluses, while providing yields higher than the US in both nominal and real terms. In addition, countries with currencies pegged to the dollar are under increasing pressure to relax their pegs as rising food and energy prices cause inflation.
- The dollar's six years of near-continuous decline against most global currencies is helping to correct the current account deficit. Nevertheless, the dollar probably must stay weak for an extended period to complete the correction of the deficit. Future depreciation will probably come from emerging markets, as rising inflation in emerging markets may force central banks to further relax their pegs. This may relieve some of the upward pressure on the euro.



The Fed Rate Cuts Weighed on the Dollar (cont.)



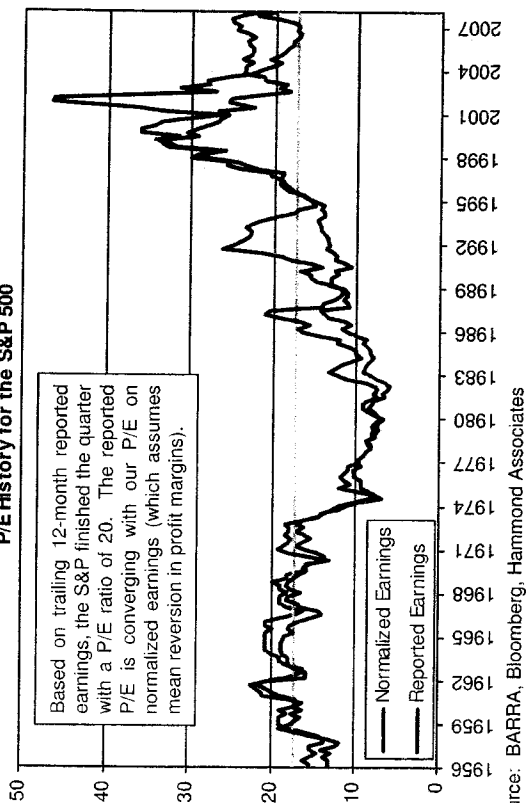
Domestic Equities: Profit Margins are Contracting

- Domestic corporations have enjoyed abnormally high profit margins over the last few years, generating enthusiasm for equities. This came to an abrupt end in 2007, as reported earnings for the S&P 500 declined 19%. Operating earnings, which exclude some write-offs, fell 6%. The decline in earnings in 2007 was mostly driven by financials. (Consumer discretionary was the only other sector to suffer declines.) Excluding financials, operating earnings increased by 7%.
- Analysts expect operating profits to rebound 11% in 2008, which seems wildly optimistic given the economic environment. What is more likely is the profit declines will be felt across a broader swath of sectors since the economy probably entered a recession. As shown in our Winter 2008 report, earnings tend to fall sharply when economic growth falls below trend and more so when growth is less than 1%. The first quarter earnings season has started on a sour note, as high profile companies such as GE, Alcoa, and UPS have surprised on the downside. Furthermore, billions of additional write-downs will probably be coming from financials. While 2008 and perhaps even 2009 will see falling earnings, this cycle may not be quite as painful as the 2001 earnings collapse.
 - Outside of the financial and construction industries, businesses invested more prudently in the recent expansion. Business investment's share of GDP has remained at or below the long-term average since the beginning of the last recession. In contrast, the late 1990s saw excessive business investment, which prompted large write-offs in the downturn.
 - Profits from international operations remain very robust. The Bureau of Economic Analysis reports that profits from foreign subsidiaries of domestic corporations surged by 33% in 2007. However, global growth is likely to slow in 2008. The profit growth experienced over the last year probably is not sustainable, but it should remain positive.

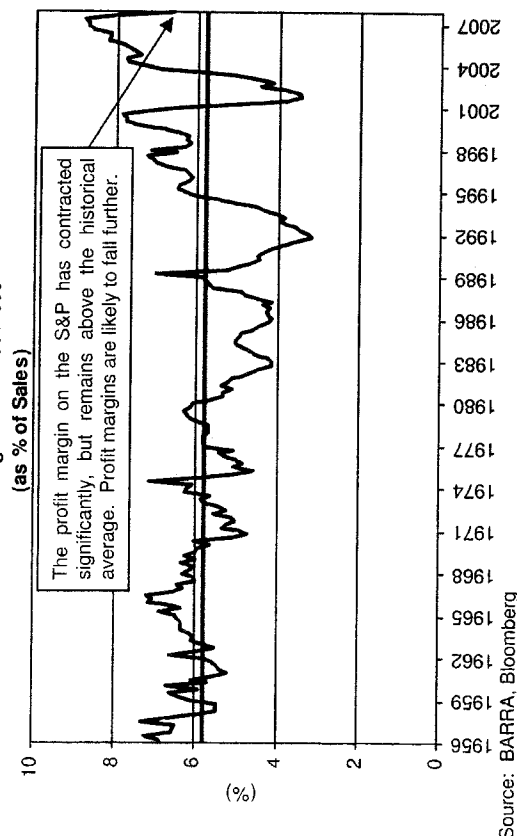
It's unclear to what extent stocks have priced the upcoming weakness in earnings. The correction suffered during the quarter has returned valuations to more reasonable levels. Based on our measure of normalized earnings, which builds in a further contraction in profit margins, the S&P's P/E ratio fell from 25 to 22 over the last two quarters. This suggests a long-term expected real return of 4.6%. While low by historical standards, it is not unreasonable considering the real return on Treasuries could be negative in the coming years. (Investment-grade corporate bonds are arguably even more attractive than stocks on a risk-adjusted basis.) Still, the market is only 15% off its high, which is mild by standards of past recessions. Furthermore, given the strained state of household finances, this recession could last longer than average.

We would not be surprised to see additional downside for the equity market. The 2000/02 bear market took the normalized P/E ratio down to 18 (15% below current levels) and prior bear markets took it far lower. However, for long-term investors, stocks offer a much better value than they did two quarters ago. A material decline from here would yield even more attractive buying opportunities.

P/E History for the S&P 500

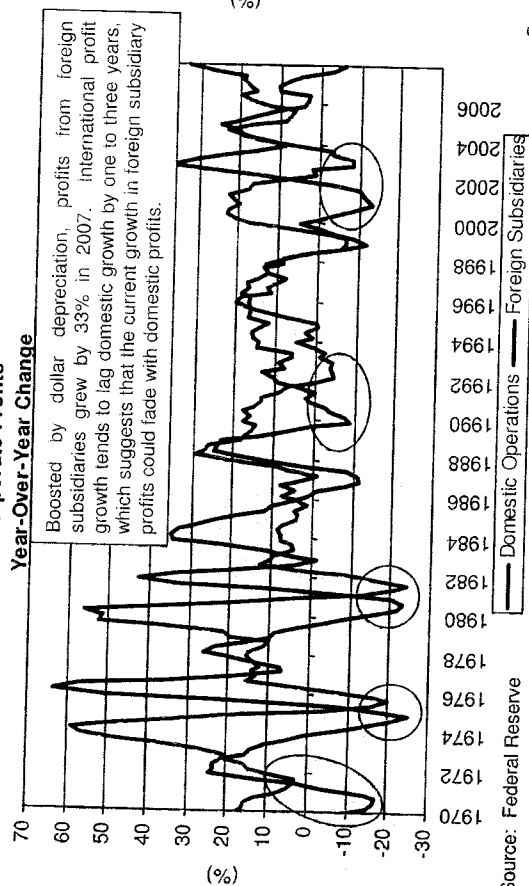


Profit Margin on the S&P 500
(as % of Sales)

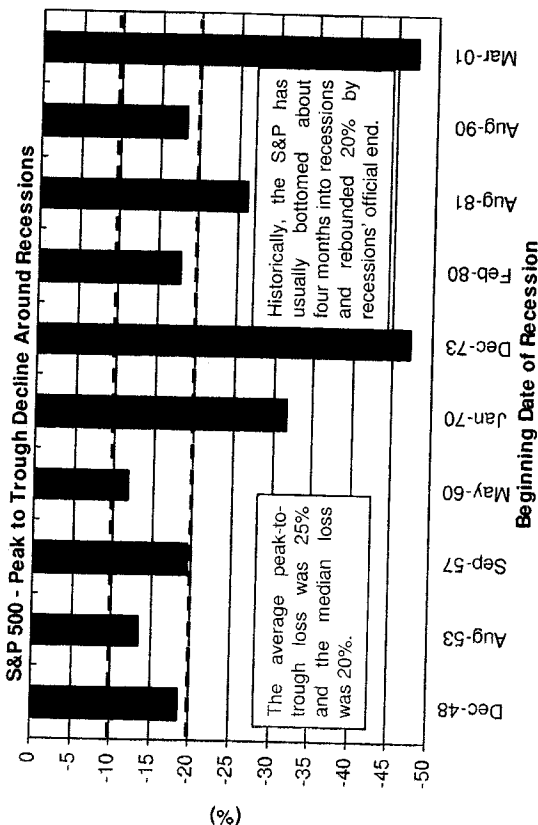
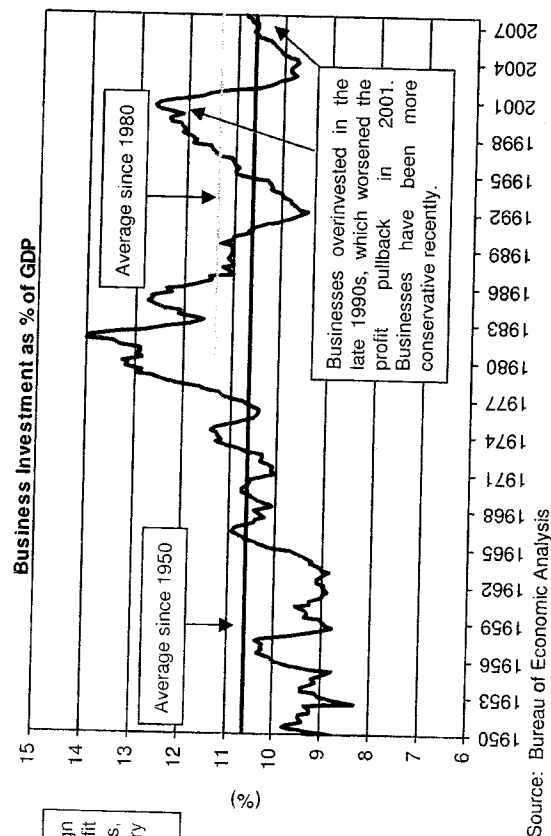
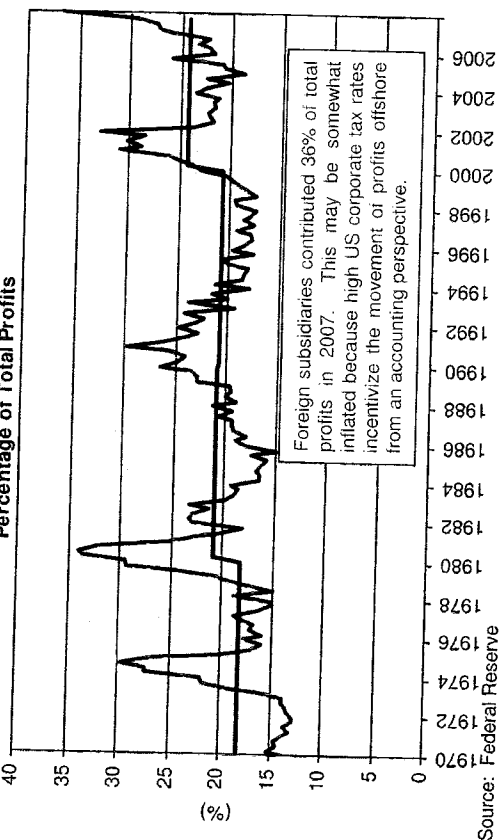


Domestic Equities: Profit Margins are Contracting (cont.)

Corporate Profits



US Corporate Profits from Foreign Subsidiaries



Many International Developed Markets in Bear Market Territory

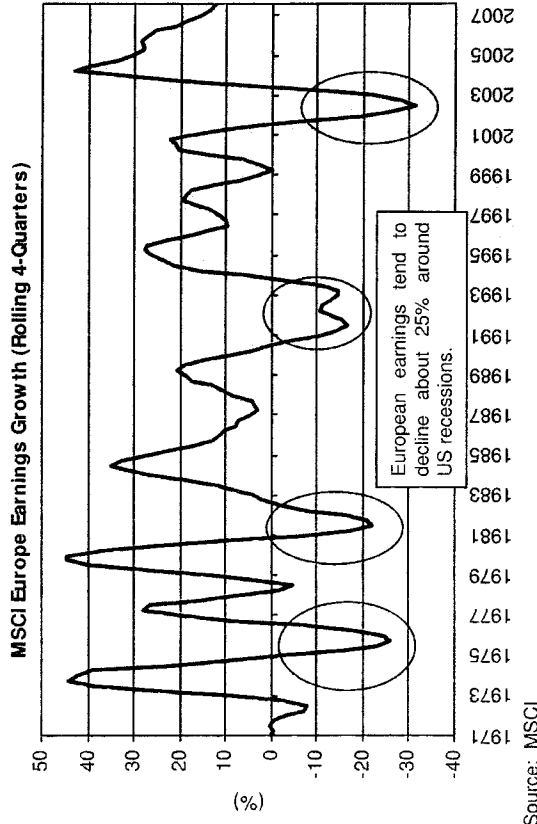
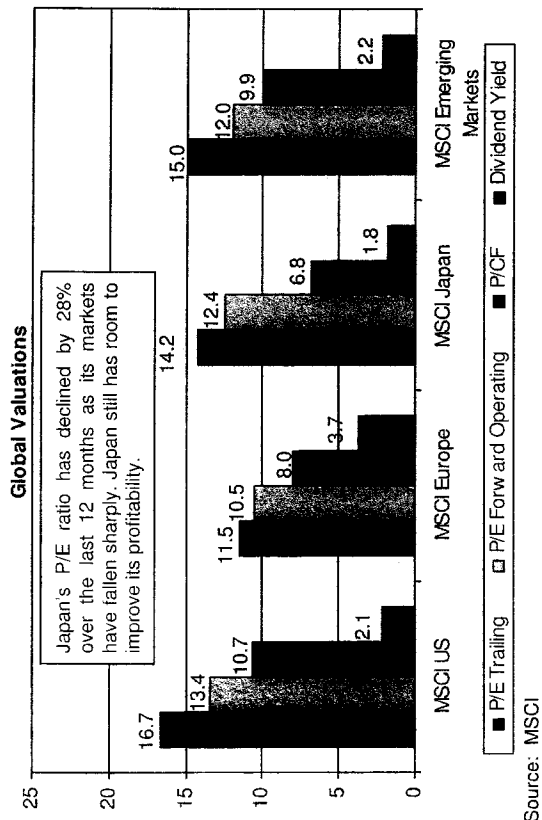
- International developed markets fell less than domestic equities during the first quarter as the MSCI EAFE index shed 8.9% compared to a 9.5% decline for the S&P 500. Similar to last year, the outperformance was entirely the result of the falling dollar. In local currency terms, the MSCI EAFE index plummeted 15% and finished the quarter 21% below its high.
- The decline in global growth expectations during the quarter may explain part of the performance. Going into the quarter, the consensus expectation was for growth outside the US to remain strong in the event of a US slowdown. Now that the US has probably entered a recession, there is growing concern that the rest of the world will be unable to maintain growth rates.
- Another explanation for local currency underperformance is the strong euro and yen. While a weak dollar has helped cushion profits for US multinational firms, it could harm Japanese and European multinationals. A strong euro and yen, coupled with a slowdown in the US, could hurt companies exporting to the US and put further pressure on profit margins.

- Based on MSCI data, earnings for European firms rose by 8% in local currency terms over the last 12 months, while US earnings fell 4%. However, European companies tend to have more operating leverage (higher fixed costs relative to variable) and financial leverage than US companies, which increases the potential earnings downside.

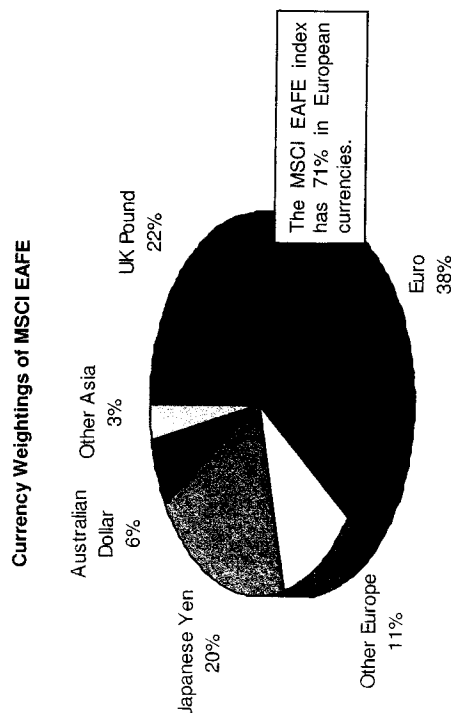
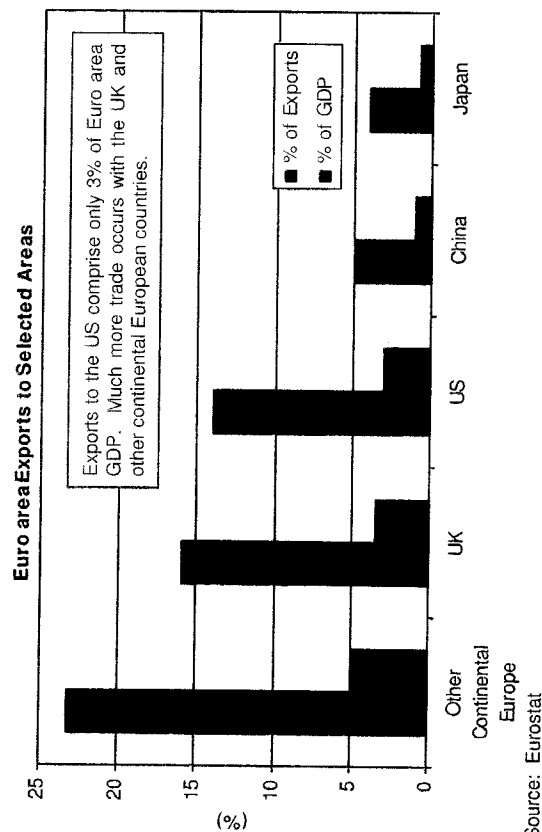
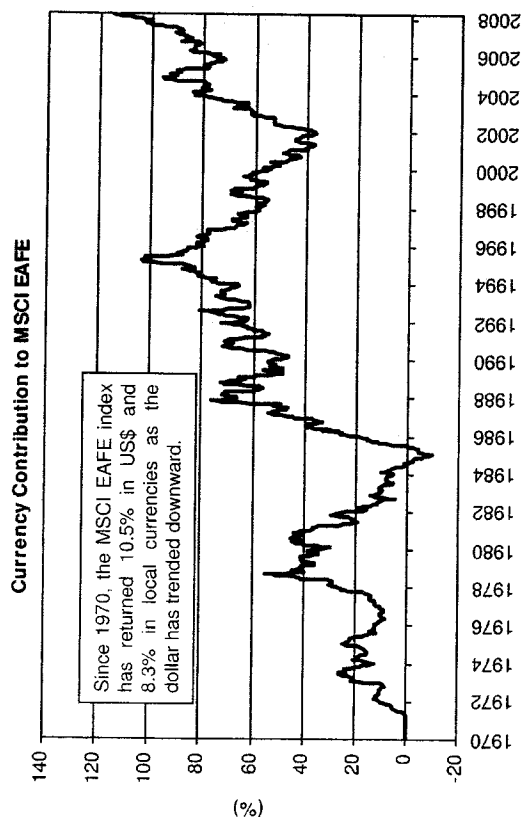
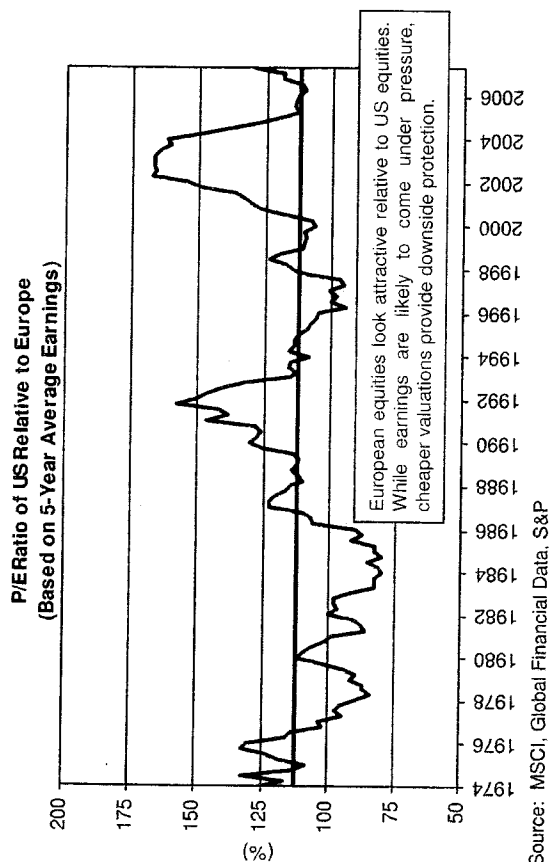
- While a weak dollar might hurt companies exporting to the US, the upside is that a strong euro has helped to moderate the effect of commodity price increases and other inflationary pressures in European countries, which helps support local consumer spending and reduces supply cost pressures for companies.

- As a result of the correction in international developed markets, they are trading at very attractive valuations relative to the US. Looking to 2008, international equity earnings will face intense pressure as global economic growth slows. However, valuations are generally cheaper internationally, which should provide a cushion. Europe's P/E ratio is 31% less than that of the US and its P/CF is 25% lower. In addition, European equities are paying a dividend yield of 3.7%. Japan is trading at a slight discount to the US, but we are still optimistic that current profit margins can be sustained. We continue to favor international equities relative to domestic equities.

- Dollar reversal, mainly against European currencies, is a risk associated with EAFE. Hedging part of the currency exposure can protect against this, but it is costly because of higher European interest rates.

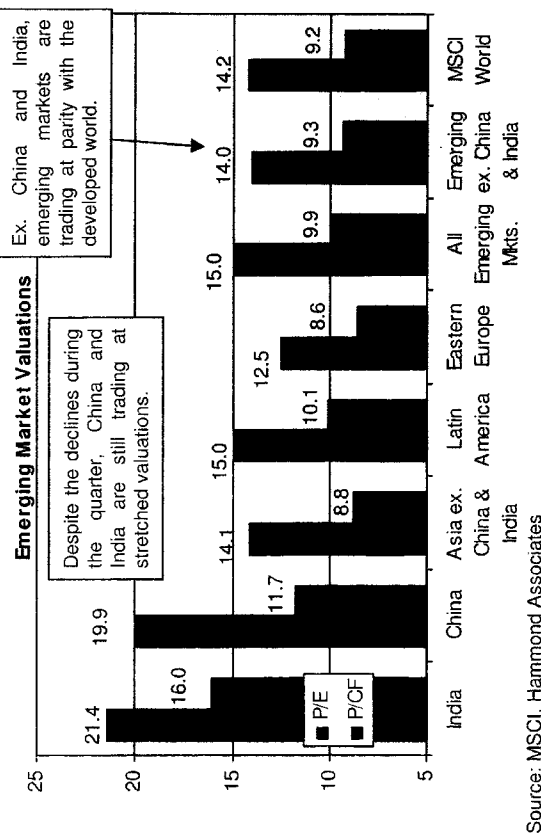
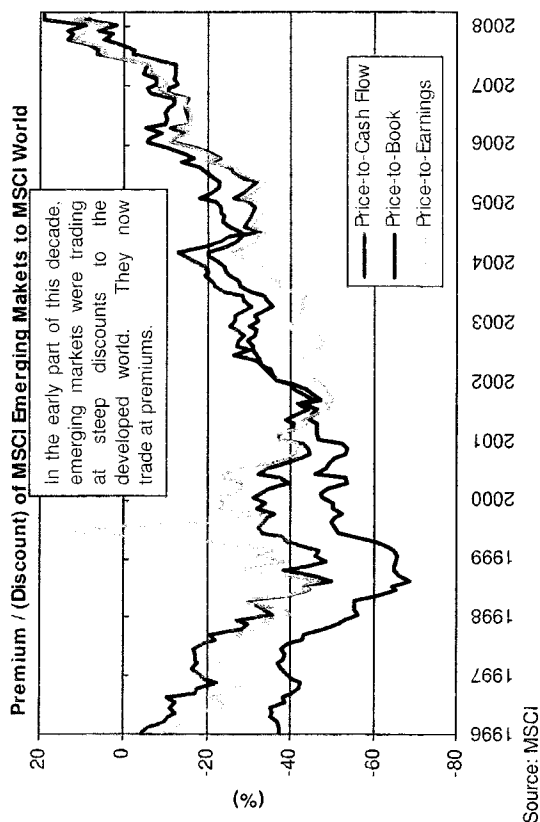
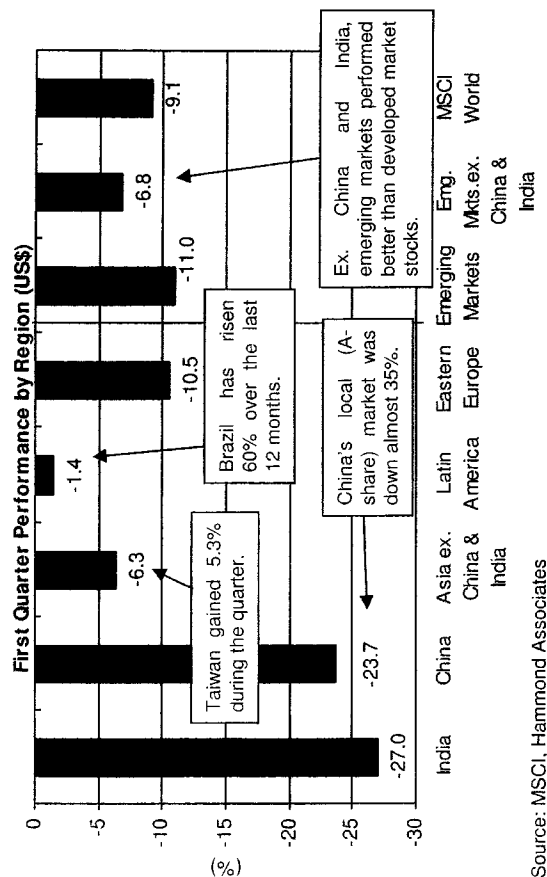


Many International Developed Markets in Bear Market Territory (cont.)



Emerging Markets: China and India Battered

- Emerging markets struggled during the first quarter, losing 11%. They have declined 17% since hitting their record high in October. (At one point this quarter, they were 22% off their October high.)
- In 2007, investors were betting that emerging markets would be able to successfully decouple from a slowdown in the US. That view came under pressure during the first quarter as the US appeared to slip into a recession. The worsening outlook for the global economy coupled with a continued shift in risk tolerance caused emerging market equities to selloff.
- However, the selloff was concentrated to the most expensive markets: China and India, fell the most. Excluding China and India, emerging markets held up fairly well, falling less than developed markets. Commodity producing countries in particular enjoyed strong relative returns.
- Emerging market equities are still a risky play in this environment, and valuations leave little room for error. Given their reliance on developed market consumers to purchase their exports, a slowdown in Europe and the US is likely to lower economic growth rates in emerging market countries and take a toll on corporate earnings and equity prices. Valuations remain at elevated levels and emerging market equities could fall the hardest if global growth slows more than expected. This is particularly the case if commodity prices finally decline.



Hedge Funds Suffered Losses on Equity Exposure and Deleveraging

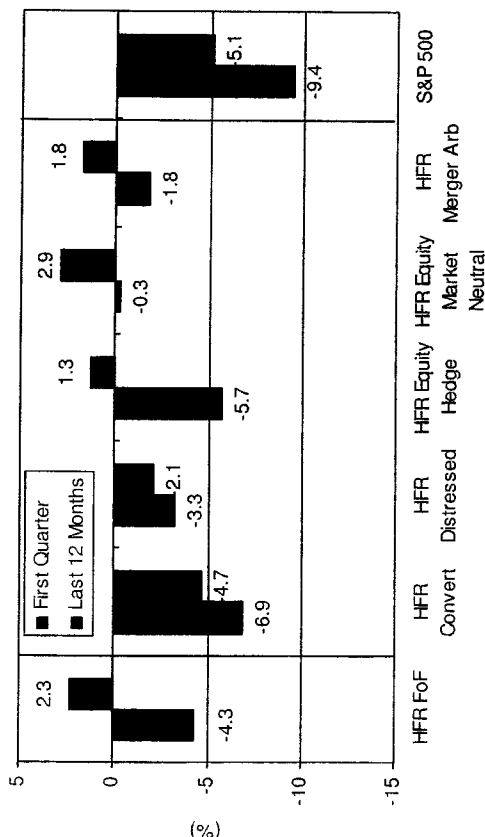
- In past editions of this report, we have observed that hedge funds have been accepting more exposure to equity and credit risk. The consequences of this were seen in the first quarter. The HFR Fund of Funds index declined 4.3%, which was the second worst quarter for the index in recorded history. While hedge funds declined less than equities, the return was disappointing for the industry, but it was not surprising given the environment. Continued liquidations weighed on returns as many funds collapsed, and the industry as a whole deleveraged. Unprecedented Fed actions resulted in short covering rallies that whipsawed many hedge funds.

- Not surprisingly, convertible arbitrage and relative value arbitrage were the strategies hardest hit as they are the areas most susceptible to deleveraging.
- Long/short equity was punished as the increased directionality taken by the industry over the recent past came home to roost. Much of this damage occurred in January as the initial equity sell-off caught many funds in a more aggressive posture, and underlying equity dispersion seemed to evaporate.
- Global macro strategies were the lone bright spot for hedge funds during the quarter. Much of this was driven by managed futures programs that were able to capitalize on their long volatility characteristics.
- Dispersion of returns among individual hedge funds was quite high. Defensive positioning does not necessarily provide protection in an environment of deleveraging, as forced selling occurs in the higher quality securities and short covering supports lower quality securities.

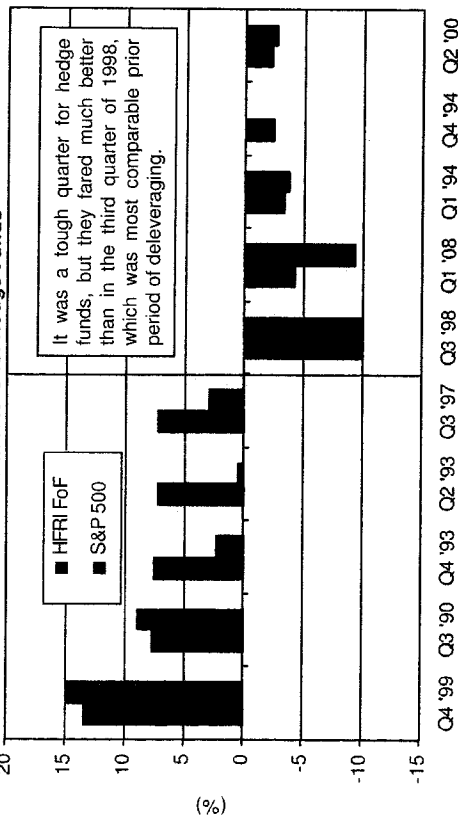
- Industry experts have long purported the theme of needing to be invested with smaller, more nimble hedge funds. If the credit crunch persists, this theory will really be put to the test for three key reasons.

- Counterparties are starting the process of completely reassessing the credit lines they have provided to hedge funds. As the credit crunch drags on, credit lines may be pulled and previously favorable terms could disappear. It will be the larger funds with stronger balance sheets that will be able to continue to demand favorable terms and maintain their credit lines.
- The sheer size of the investment bank leveraged loan inventory that needs to be unloaded means that the opportunities will be presented to the larger players who are able to act decisively and quickly. Smaller hedge funds will likely have to pay a premium to gain access to the opportunities.
- Larger funds, in general, are better positioned as it relates to their terms (they were able to demand greater lock-ups, tighter gates, etc.) and the ability to tap existing investors for additional capital.
- The credit crunch has provided and is likely to continue to provide a vast array of opportunities for hedge funds with strong balance sheets and an ability to provide liquidity with a longer duration. Established hedge funds with patience and experience navigating past market crises are likely to be best positioned to profit from these opportunities.

Hedge Fund Returns



Best and Worst Quarters for Hedge Funds

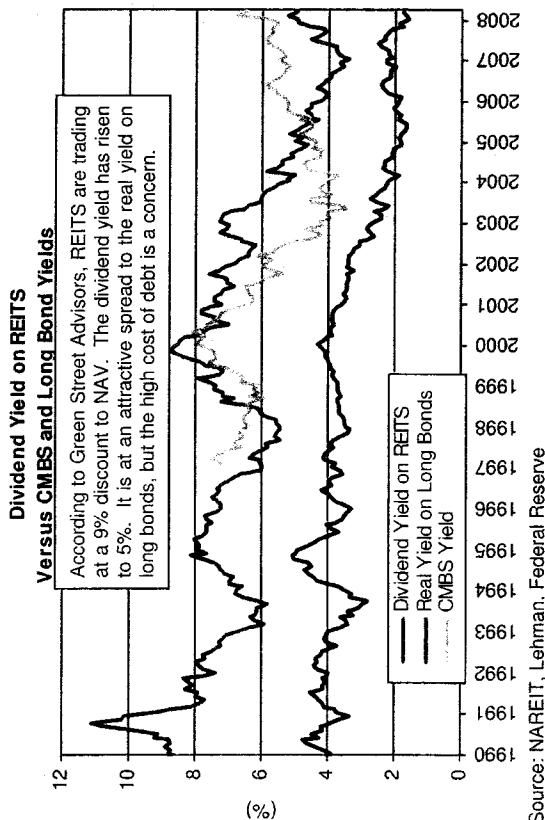
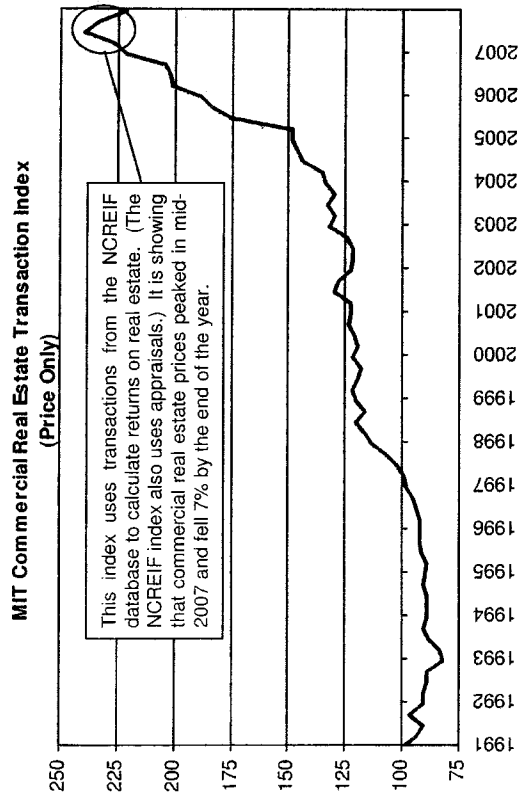


It was a tough quarter for hedge funds, but they fared much better than in the third quarter of 1998, which was most comparable prior period of deleveraging.

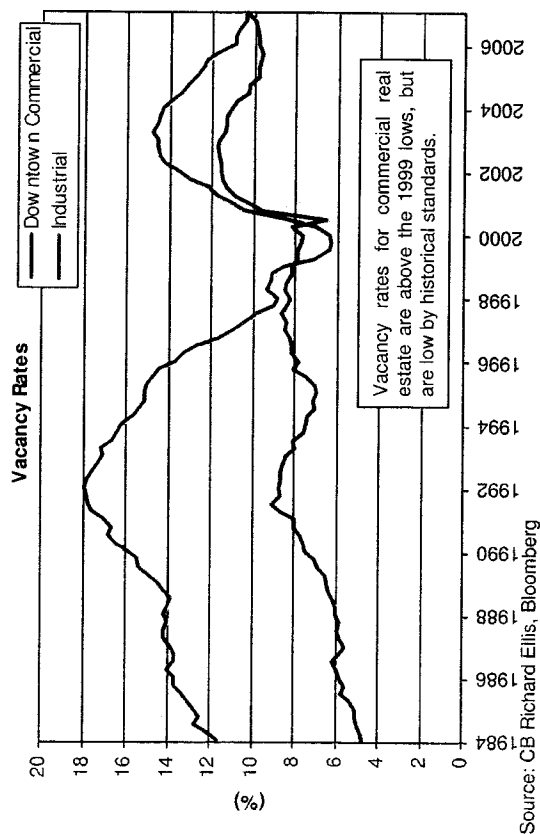
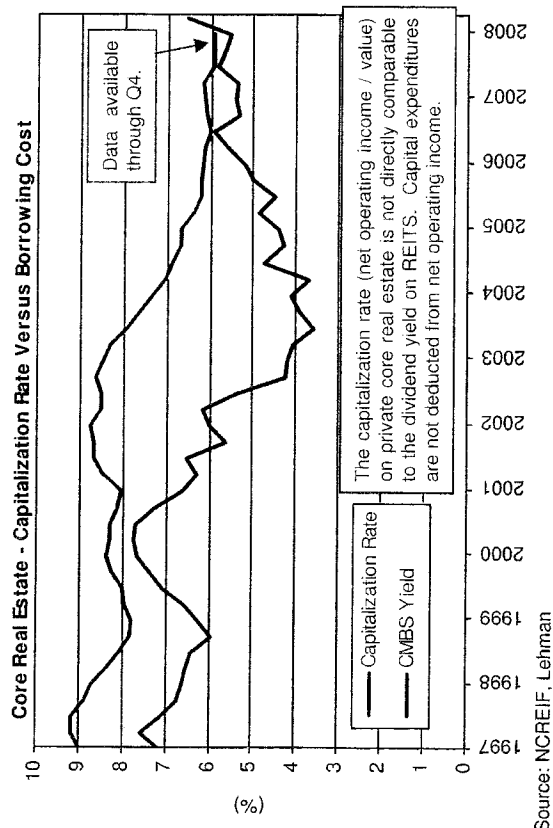
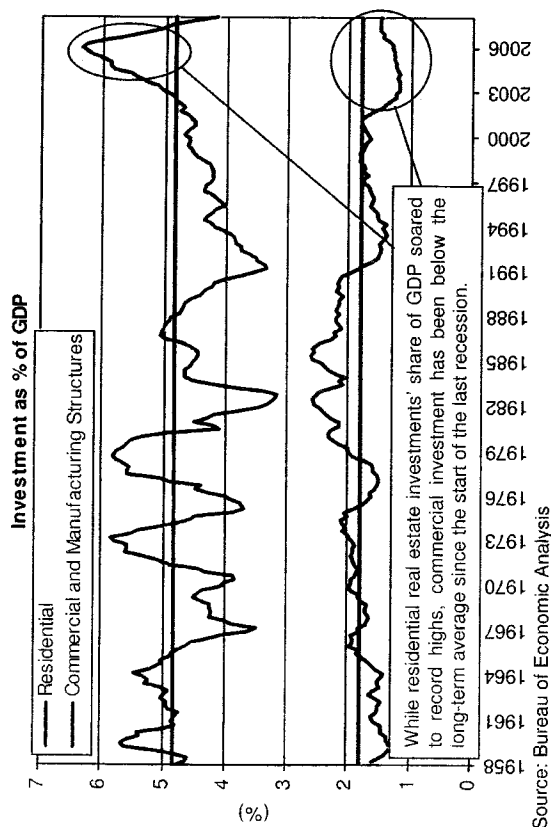
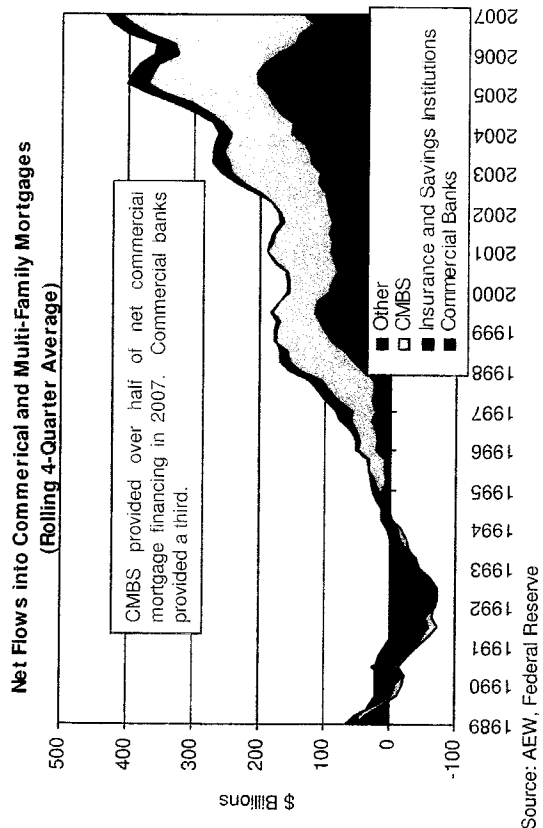
HFR FoF
S&P 500

Credit Markets and Economy Darken Horizon for Commercial Real Estate

- REITs had a decent quarter, as they managed to post a 2% return, while nearly all other risky asset classes sold-off. This was probably because REITs were punished too severely last year. (See our Winter 2008 report.) While REITs performed well, the prospects for the commercial real estate market darkened because of the credit turmoil and the economic environment.
- Credit conditions are becoming a more significant drag on commercial real estate. Spreads on high-quality (AAA/AA1) commercial mortgage-backed securities (CMBS) surged to an eye-popping 4% over Treasuries. At the beginning of 2007 this spread was only 0.6%. CMBS was a substantial source of capital for commercial real estate borrowing, and the market is now virtually closed. Commercial banks, the second largest source of lending, are also pulling back. The Federal Reserve's January survey of commercial real estate lending showed that 80% of banks have tightened lending standards. Money is still available from insurance companies, but at higher spreads and tighter standards (lower leverage) than available at the end of last year.
- The impact of tighter credit conditions will be seen in rising capitalization rates (net operating income / value) and a decline in transaction volume. Cap rates were probably too low on core properties over the last couple of years, and they are likely to move higher. An expansion in cap rates from 6% to 6.5% would result in about an 8% drop in value that would be offset by an income return of less than 5%.
- The economic environment adds further uncertainty. Net operating income will come under pressure through rising vacancies and limited rent growth. Employment is a key factor in the utilization of commercial property, and the recent employment release is not encouraging. Vacancies on commercial properties rose from 6% to 14% in the last recession. On a positive note, as discussed earlier in this report, employment could hold up better in this recession than the last.
- Commercial real estate is in far better shape than residential real estate. Commercial was not plagued by the overbuilding that occurred in residential. National vacancy rates are fairly low. The silver lining to the credit crunch is that it is likely to slow new construction.
- Commercial real estate is probably in for a short-term correction, but we believe it is still a solid long-term investment. The bulk of the short-term impact will be felt by highly levered players. Investors using lower leverage, are positioned to weather the storm. The impending problems in real estate will present opportunities for astute, experienced managers.



Credit Markets and Economy Darken Horizon for Commercial Real Estate (cont.)



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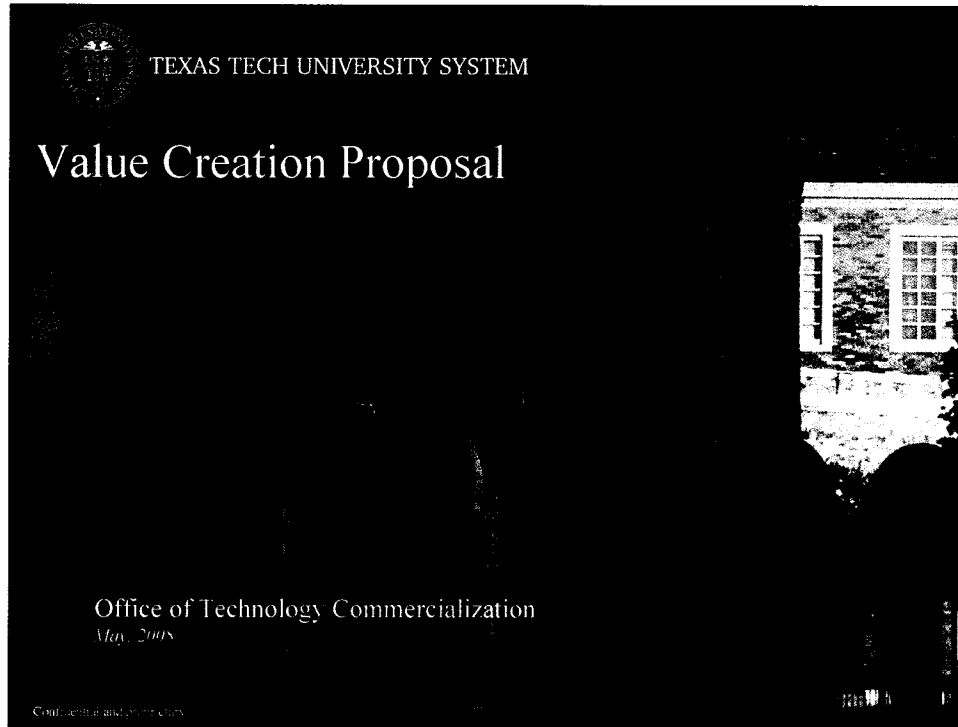
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Executive Overview



Executive Summary

The purpose of this presentation is to outline the opportunity for long term value creation that can accrue from an investment in our System's technology commercialization efforts

Presentation Outline

- Current state of technology commercialization efforts
- Overview of value chain optimization gaps and solutions
- Proposed strategy for a proof of concept funding mechanism
- Overview of structure for Newco Fund
- Benefits of proposal and next steps
- Appendix: Proposed technology funnel, model of expected returns, Newco Fund #1 leadership bio and examples of TTUS technologies

Current State of Technology Commercialization



The Texas Tech University System has significant room for improvement from a technology commercialization perspective as demonstrated by the following metrics

Taking annual licensing/ fee revenue from commercialization efforts divided by annual research expenditures provides a metric we will call commercialization efficiency.

For FY 05, the Texas Tech System received 14% of its research funds back in the form of licensing/fee revenue

- The average of 145 university programs for the same year was 3.93%
- The average of 142 programs excluding 3 possible one year anomalies was 2.02%
- The average of the 19 programs that started commercialization efforts in 1998 (The same year as Tech) or after, excluding one possible anomaly, was .86%

Invention Disclosures-FY'06

| | |
|-----------------------------|----|
| Texas Tech | 15 |
| Texas Tech HSC | 19 |
| Average of 34 Texas Schools | 26 |

(Data does not account for program start dates or research funding. TT and HSC should not be added together for comparison purposes. They are separate schools in this analysis.)

Patents-FY 06

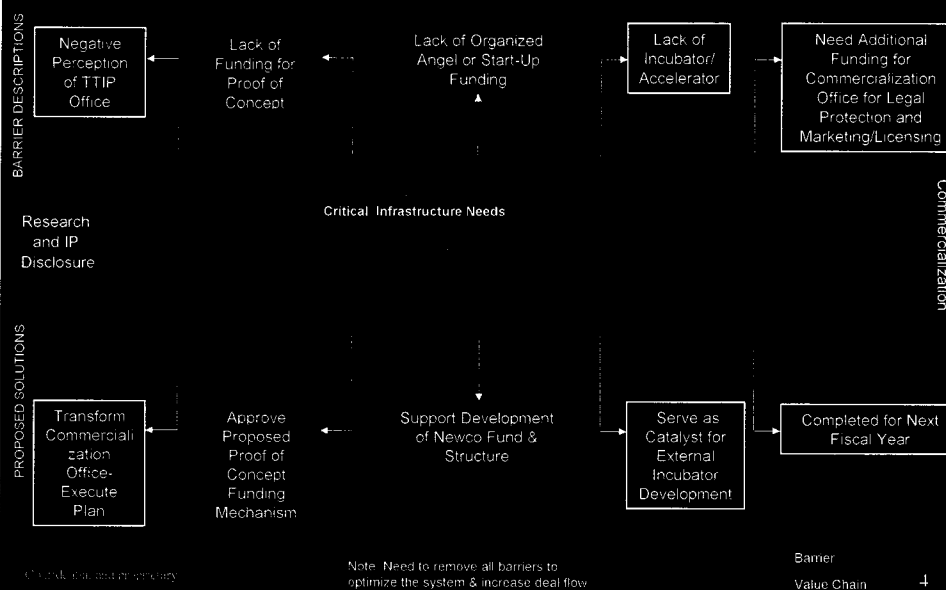
| | Filed | Issued |
|-----------------------------|-------|--------|
| Texas Tech | 6 | 3 |
| Texas Tech HSC | 5 | 1 |
| Average of 34 Texas Schools | 21 | 8 |

(Data does not account for program start dates or research funding. TTU and HSC should not be added together for comparison purposes. They are separate schools in this analysis.)

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Value Chain Optimization Barriers



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Barrier

Value Chain

4

The diagram illustrates the business cycle and processes managed by the Office of Technology Commercialization (OTC). The central hub is the **Office of Technology Commercialization (OTC)**.

Key Interactions and Processes:

- TTUS FOUNDATION:** Provides **\$3--\$5m Per Year** to the OTC and receives **Net Proceeds Above \$5m Working Capital** from the OTC.
- Technology Imports:** Represented by a dashed box, indicating external technology acquisition.
- Research & IP Disclosure:** A box on the left side of the OTC.
- Independent & External Value Assessment Board:** A box on the left side of the OTC.
- Viable Candidates for Commercialization:** A box on the left side of the OTC.
- IP Protection Initiatives:** A box on the left side of the OTC.
- Develop Embryo Into Viable Product (Proof of Concept):** A box on the left side of the OTC.
- Commercial Demonstration:** A box on the left side of the OTC.
- NEWCO FUND:** Provides **Services Agreement** and **Majority Owner** to the **Start-Up Entity**.
- Start-Up Entity:** Receives **License Agreement** and **Equity & Royalties** from the OTC. It provides a **Services Agreement** to the OTC.
- Incubator (Accelerator):** Receives **Technology Transfer** from the OTC and provides a **Services Agreement** to the **Start-Up Entity**.

Business Cycle and Processes Managed by Office of Technology Commercialization

- Requesting \$22M to fund proof of concept (Applied research) initiatives over a five (5) year period. (\$3m year 1, \$4m year 2 and \$5m in years 3-5. Quarterly or semi-annual disbursements to maximize capital efficiency. If the number of quality technologies does not justify investment in a given period, the funds are not utilized in that period.)
- Proof of concept funding decisions managed by a team of successful entrepreneurs who are loyal to Tech (May also include fund managers from VC's & umbrella funds)
- Proof of concept funding provided through a review process & only when an external funding partner expresses interest after due diligence process is complete
- Proof of concept funding for each technology will be based on a detailed budget and milestones with reviews by the Commercialization Fund Managers. Staged funding will be terminated if benchmarks are not achieved
- Additional equity and royalty consideration will be negotiated in license agreements to provide incremental returns in exchange for the proof of concept funding

Proof of Concept Funding Mechanism Structure- Continued



- Return of funds or investment can be structured based on risk preference

Examples

- Could elect all equity and hold for long term
- Could elect a mix of royalties and equity consideration with a defined method to achieve liquidity
- Could structure returns based on a fixed percentage which is adjusted for risk and time (Investment return plus XX% once stage one or two funding is completed by the Newco Fund and other licensees)
- We propose all net proceeds be applied as returns after a \$5m working capital reserve is built within the OTC. Once pre-determined returns are achieved, subsequent net proceeds would be utilized in manner to best benefit the System
- OTC will have a Board seat or observer rights on each start up entity
- The expected annual returns will vary based on risk preference outlined above (Please see model on Appendix slides 2-4)

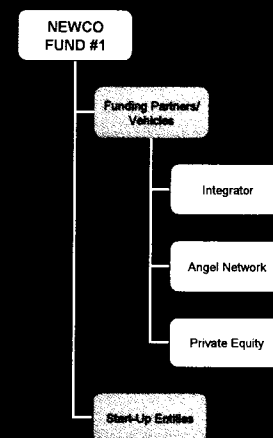
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Newco Fund #1 Structure



- Initial Fund designed to take platform technologies to market and maximize technology development, relationship with inventors and profits for the Fund and University System
- Initial Fund leadership comprised of experienced entrepreneurs with a track record of success in raising capital and maximizing value
- Integration partner has significant capital and lab capability. If integrator funding is terminated or not continued, Newco has first right to fund through another vehicle
- Start-up entities may be funded through one or multiple vehicles
- Initial Fund would have first right of refusal on available technologies where this preference is not previously granted to another party or governed by a sponsored research agreement
- Total initial capital projection of \$50M



Each entity will have a dedicated management team with common back-office support from Newco

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8

High Level Benefits & Next Steps



Strategic Benefits of Proposal

- Differentiates the Tech System to become a world-wide **leader** in Commercialization
- Creates vehicle for wealth creation for all stakeholders
- Provides a mechanism to increase applied research funding through internal and external sources and improve recruitment and retention of world class researchers
- Aligns with Governor's commercialization "hot button" and could lead to additional funding opportunities & consolidation of smaller Universities

Next Steps

- Receive buy-in from Chancellor and Chair/Vice Chair of BOR
- Obtain buy-in from leadership teams
- Finalize internal infrastructure and external fund
- Execute the plan

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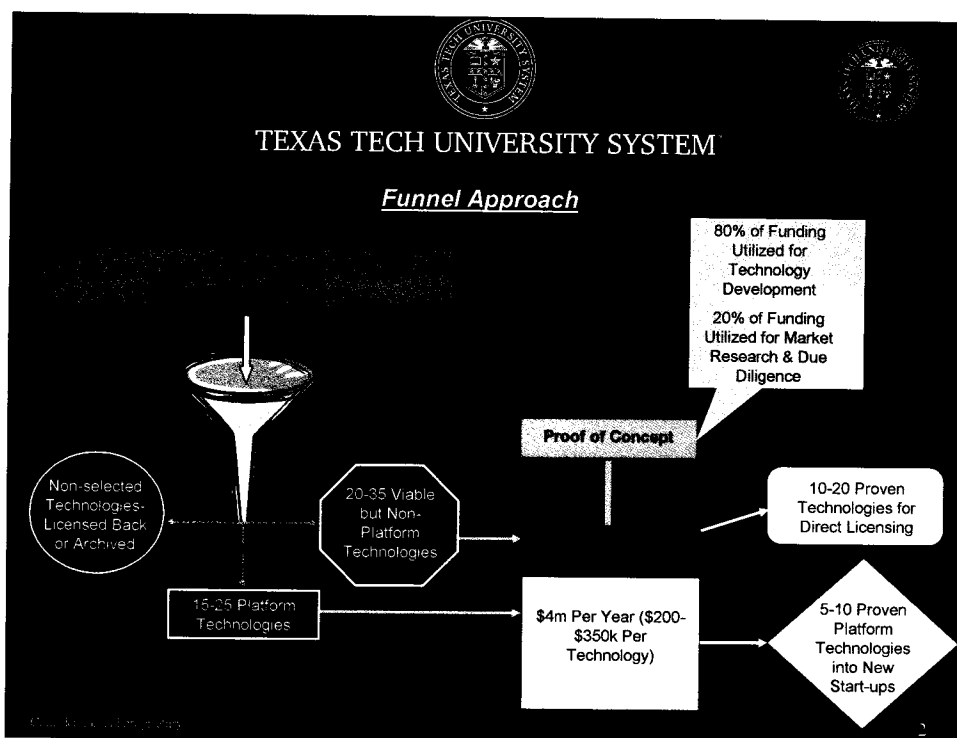
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TEXAS TECH UNIVERSITY SYSTEM

Appendix

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TEXAS TECH UNIVERSITY SYSTEM

Summary High Level Models
Assumptions: 10 Deals, \$40m Investment Capital

Generic Version

| Deals | Level of Success | Multiple of Return | Average Investment | Return |
|----------|-----------------------|--------------------|--------------------|--------------|
| 1 | 10% High Return | 10 | \$4,000,000 | \$40,000,000 |
| 3 | 30% Low, Mid Return | 2.5 | \$4,000,000 | \$30,000,000 |
| 4 | 40% Return of Capital | 1 | \$1,000,000 | \$16,000,000 |
| 2 | 20% Write-Off | 0 | \$4,000,000 | \$0 |
| Total | | | | \$86,000,000 |
| Multiple | | | | 2.2 |


Each Deal is Treated the Same (10% of the Fund in each deal)

Optimized Model (More money in good deals)

| Deals | Level of Success | Multiple of Return | Average Investment | Return |
|----------|-----------------------|--------------------|--------------------|---------------|
| 1 | 10% High Return | 10 | \$9,600,000 | \$96,000,000 |
| 3 | 30% Low, Mid Return | 2.5 | \$4,800,000 | \$36,000,000 |
| 4 | 40% Return of Capital | 1 | \$2,680,000 | \$11,520,000 |
| 2 | 20% Write-Off | 0 | \$2,240,000 | \$0 |
| Total | | | | \$143,520,000 |
| Multiple | | | | 3.6 |

Staged Funding Process Employed. Write Off Deals 5-6% of Fund. Low to Mid 6-10% of Fund. Return of Capital 10-15%. High Return 15% to Max. of 25% of Fund. (Cap Can be Placed at 15, 20 or 25%)

3



TEXAS TECH UNIVERSITY SYSTEM

Top Quartile+ Model (Optimized with one additional high return deal)


| Deals | Level of Success | Multiple of Return | Average Investment | Return |
|----------|-----------------------|--------------------|--------------------|---------------|
| 2 | 20% High Return | 10 | \$8,400,000 | \$168,000,000 |
| 2 | 20% Low/Mid Return | 2.5 | \$4,200,000 | \$71,000,000 |
| 4 | 10% Return of Capital | 1 | \$2,520,000 | \$10,080,000 |
| 2 | 20% Write Off | 0 | \$2,360,000 | \$0 |
| Total | | | | \$199,080,000 |
| Multiple | | | | 5.0 |

Same Strategy as Optimized Model but One Additional High Return Deal is Factored In

Key Success Factors:


- Develop a more open system
- Ability to optimize portfolio investments and pick winning technologies
 - ETI model allows for "early failure" by parsing technologies with industry partners and focused due diligence
 - Investment in platform technologies to have multiple shots at success
 - Centralized management team (Manage costs and time-to-market window)

Model developed by



Confidential and proprietary

4



TEXAS TECH UNIVERSITY SYSTEM

Initial Fund Leadership Summary Bio

David Sharbutt

Mr. Sharbutt served as the Chief Executive Officer and Chairman of Alamosa Holdings, Inc prior to its sale to Sprint in February of 2006. David was involved in the development of the business plan and was the initial founding investor in Alamosa during its formation in 1998. He was elected as the Chairman of Alamosa in 1998 and was named its CEO in 1999. David built the management team that led the company through its initial public offering in 2000 and its subsequent growth. Alamosa successfully acquired and integrated four (4) companies and grew to 1.5 million customers and over \$1 billion in annual revenue before being acquired by Sprint. Prior to Alamosa, David was CEO of a telecommunications consulting firm serving rural (domestic and foreign) telecom companies. David was educated as an Electrical Engineer at Texas Tech University where he serves on Advisory Council's for the Colleges of Engineering and Business and on the Chancellor's Council. David presently serves as a Director at American Tower and on the Board of several private companies.

Confidential and proprietary

5

TTUS Technology Start-Up Examples



Emergent Technologies, Inc. Turning Knowledge into Opportunity

Founded in 1989 by Thomas A. Harlan, Emergent Technologies Inc. (ETI) is a unique life sciences venture capital firm that forms and manages companies and funds that license and commercialize groundbreaking institutional and university-based technologies. ETI specializes in converting new discoveries into high return ventures, creating new technologies for biotech industry leaders and new opportunities for regional economies. The following are start-up entities developed by Emergent with TTUS technologies:

Auxano Biomedical

Auxano Biomedical, LLC is a biopharmaceutical company with a unique focus on wound healing - the reestablishment of tissues such as skin and the cornea. www.auxanobio.com

© 2004 Emergent Technologies, Inc.

RECEPTOR LOGIC

Receptor Logic, Ltd. has developed an integrated diagnostic and therapeutic approach to be used in the design of customized anti-cancer and infectious disease treatments for individual patients. www.receptorlogic.com

Selenium, Ltd.

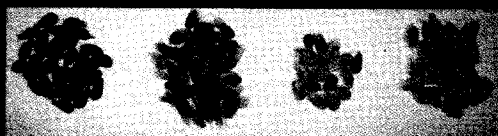
Selenium, Ltd. is focused on the application of Selenium as an anti-bacterial agent and an anti-cellular, free radical chemistry technology. www.seleniumltd.com

6

Example Technologies



TTU has developed a "naked seed mutant" which essentially eliminates the formation of "linters" on the surface of the cottonseed. This mutant has been shown to significantly enhance oil content in cottonseed and has a profound impact on lint quality. This mutation could significantly reduce both the time and energy required for ginning, oil extraction, and delinting of cottonseed.



Naked

Partially
Fuzzy

Partially
Naked

Fuzzy

Dr. Dick
Auld
Principal
Investigator



© 2004 Emergent Technologies, Inc.

7

Example Technologies



Device for Improving Semen Collection (DISC)



Dr. Sam Prien
Principal
Investigator

The DISC is a unique device that is insulated container that helps researchers and physicians to control the PH shift, osmotic shift and the temperature of the specimen collected. The DISC will have a tremendous impact on the reproductive industry.

Confidential and proprietary

8

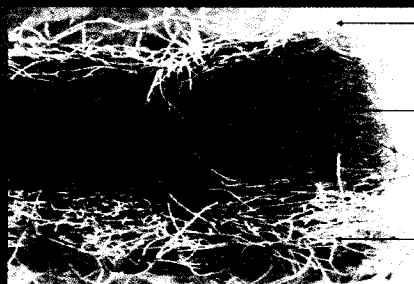
Example Technologies



Dr. Sheshadri
Ramkumar
Principal
Investigator



Image courtesy of Environmental & Human Health, Texas Tech University



Top Viscose
Needlepunched Fabric

Activated Carbon
Nonwoven Fabric

Interlocking is only
at the interface

Bottom Polyester
Needlepunched
Fabric

SEM Image of the Three Layered FIBERTECT Composite with Unshredded Activated Carbon Nonwoven Fabric in the Middle

(Interlocking takes place only at the interface-Unique Patent Pending Technology)

There is a need for a low-cost, dry, decontamination wipe for use in military, homeland security and industrial applications. The Institute for Environmental and Human Health at Texas Tech University (TIEHH-TTU) realized the need for non-particulate decontamination wipe in 2000 to replace the existing particulate M291 technology. The development of dry wipe is documented as a priority by US DOD in its 2004 and 2005 Annual Reports to Congress. Basic work at TIEHH-TTU resulted in dry non-woven wipe for which two US Patent Applications have been filed.

Confidential and proprietary

9

Example Technologies



First Generation
Microwave Technology

Texas Tech University researchers have developed a new pasteurization device that is proven to eliminate biological and/or chemical substances found in food. This technology uses directional microwave technology similar to advanced electron beam imaging used in medical diagnostics and cancer treatments; the technology produces multiple magnetrons and, through complex algorithms, delivers the microwaves at varying doses and intensities in order to target with great precision the disease-causing microorganisms.

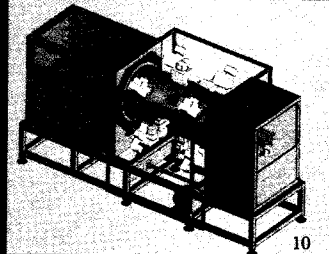


Dr. Mindy
Brashears,
Principal
Investigator

Microwave pasteurization

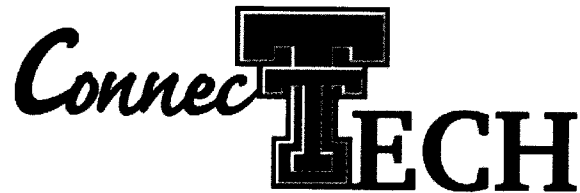
Next
Generation
Microwave
Technology

Proposed
Prototype



ConnecTech Project at Texas Tech

Connecting Our Universities with an Integrated Information Environment



Kay Rhodes
Associate Vice Chancellor & System CIO
ConnecTech Project Manager

May 8, 2008



ConnecTech

- Connecting our Universities with an Integrated Information Environment
- Joint endeavor by TTU and TTUHSC from 2005 - 2009
- Large project to replace and upgrade our legacy administrative computer applications used by Students, Faculty, and Staff
 - TechSIS (Student and Financial Aid Systems)
 - TechFIM (Financial Accounting System) Purchased the "Banner" suite of products from SunGard Higher Education
 - TechHRIS (Human Resource System)
 - TechPAY (Payroll System)
 - Budget Systems
- Purchased the "Banner" suite of products from SunGard Higher Education



ConnecTech Primary Participation

Texas Tech University System

Vice Chancellor and Chief Financial Officer
Office of Audit Services

Texas Tech University

President
Provost and Senior Vice President for Academic Affairs
Senior Vice President for Administration and Finance
Vice President, Student Affairs
Vice President, Research

Texas Tech University Health Sciences Center

President
Executive Vice President for Finance and Administration
Executive Vice President for Academic Affairs
Vice President for Information Technology



ConnecTech Budget: 2005 - 2009

| | |
|-------------------------------|---------------------|
| Student/Financial Aid Project | \$ 4,365,462 |
| Finance/HR Project | <u>\$ 9,680,433</u> |
| Total ConnecTech Budget | \$14,045,895 |



ConnecTech Additional Expenditures Outside Project Budget

| | |
|--|---------------------|
| Reporting Software: Cognos Licenses and Training | \$ 696,736 |
| Procurement: SciQuest Additional Modules/Services | \$ 284,794 |
| System Performance and Tuning Software | \$ 202,156 |
| 3 rd Party Automation: Software and Conversion Services | \$ 75,650 |
| Training Facility: Wiggins Remodeling | \$ 318,848 |
| Total Additional Expenditures to Date | \$ 1,578,184 |



Go-Live Timelines

| System | Module | Date |
|-------------------------------------|--|----------------|
| Imaging (Xtender) | Completion of all Folder Conversions from FileControl | May 2008 |
| Procurement (SciQuest) | Phase II - Purchase orders for FY2009 | May 2008 |
| Expanded Admissions HSC All Schools | | June 2008 |
| Student | Admissions for Spring 2009 and Beyond | June 2008 |
| ODS EDW Cognos | Reporting and Data Warehouse | June 2008 |
| Finance | Full Module | September 2008 |
| Student | Registration for Spring 2009 | November 2008 |
| Human Resources | Full Module | January 2009 |
| Financial Aid | Awarding and Processing for Award Year 2009 - 2010 | January 2009 |
| Budget | Budget Development/Salary Planner | April 2009 |
| Student | Final Grading - end of term processing for Spring 2009 | May 2009 |



Current Status

- Implementation Dates on Schedule
- Project within Budget
- Continued Testing
- Go-Live Readiness Assessments
- Reporting Efforts
- Campus Wide Communication Efforts
- Training
- Proof of Concept



Questions?

FIVE-YEAR CAPITAL PROJECTS PLAN **Angelo State University** **May 2008**

| GENERAL PROJECT INFORMATION | | | | | | | | | | FINANCIAL INFORMATION | | | | |
|-----------------------------|--|---|---|---|---|---|---|---|----|---------------------------|------------------|----|---------|----------|
| Project Type | | | | | | | | | | Project Budget | | | | |
| Square Footage | | | | | | | | | | Funding Source (Millions) | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 |
| 104 | Hardeman Student Services Center Renovation | | | | | | | | | 1030406 | \$ 12,000,000.00 | | | \$ 4.00 |
| 625/626 | Massie Residence Halls Renovation | | | | | | | | | 733000 | \$ 1,400,000.00 | | \$ 1.40 | |
| 301 | Houston Harfe University Center Snack Bar Renovation | | | | | | | | | 731000 | \$ 2,500,000.00 | | \$ 2.50 | |
| LA02 | Property Acquisition | | | | | | | | | 818500 | \$ 12,000,000.00 | | | |
| 0 | College of Nursing and Allied Health | | | | | | | | | 260100 | \$ 45,000,000.00 | | | \$ 12.00 |
| 625 | Massie Residence Halls Connection | | | | | | | | | 733000 | \$ 6,771,000.00 | | | \$ 45.00 |
| 0110 | Addition to the Center for Human Performance | | | | | | | | | 512308 | \$ 7,000,000.00 | | | \$ 7.00 |
| 109 | Porter Henderson Library IT Commons | | | | | | | | | 130100 | \$ 4,380,000.00 | | | \$ 4.40 |
| 0000 | Performing Arts Center | | | | | | | | | 500101 | \$ 50,000,000.00 | | | \$ 50.00 |
| 0 | Fine Arts Living/Learning Center | | | | | | | | | 500702 | \$ 15,000,000.00 | | | \$ 15.00 |
| IN04 | Central Plaza Renovation | | | | | | | | | 839100 | \$ 7,800,000.00 | | | \$ 7.80 |

TOTALS

| GENERAL PROJECT INFORMATION | | | | | | | | | | FINANCIAL INFORMATION | | | | | | | | | | |
|-----------------------------|-----|--|---|---|---|--|--|---------|---------|---------------------------|------------------|---------|---------|--|--|---------|--|---------|---------|----------|
| Project Type | | | | | | | | | | Funding Source (Millions) | | | | | | | | | | |
| Project Type | | | | | | | | | | Project Budget | | | | | | | | | | |
| Square Footage | | | | | | | | | | Funding Source (Millions) | | | | | | | | | | |
| 1 | NEW | Rawls College of Business Administration | X | | | | | 137,000 | 82,200 | 520101 | \$ 70,000,000.00 | \$ 5.00 | | | | | | | \$25.00 | |
| 2 | 206 | College of Engineering Expansion/Renovation | | X | | | | 155,178 | 100,866 | 140101 | \$ 60,000,000.00 | \$10.00 | | | | \$10.00 | | | | \$ 40.00 |
| 3 | 246 | College of Business Administration Building Renovation | | | X | | | 204,495 | 121,669 | 520101 | \$ 25,000,000.00 | | | | | | | \$25.00 | | |
| 4 | 401 | Experimental Science Lab Build Out | | X | | | | 21,534 | 12,920 | 818900 | \$ 6,000,000.00 | \$ 6.00 | | | | | | | | |
| 5 | 40 | Jones AT&T Stadium Phase IV | X | | | | | 111,000 | 0 | 720000 | \$ 62,000,000.00 | | \$25.00 | | | | | | | \$ 36.00 |
| 6 | 390 | Stadium Video Screen | | X | | | | 0 | 0 | 720000 | \$ 7,500,000.00 | | \$ 7.50 | | | | | | | |
| 7 | 273 | Utility Infrastructure Upgrade Phase II | | | X | | | 0 | 0 | 831000 | \$ 13,000,000.00 | | \$13.00 | | | | | | | |
| 8 | 272 | Architecture Building Life Safety Upgrade | | X | | | | 175,562 | 88,913 | 140201 | \$ 2,637,052.00 | \$ 2.60 | | | | | | | | |
| 9 | 271 | Biology Building Life Safety Upgrade | | X | | | | 156,219 | 93,442 | 260101 | \$ 2,324,083.00 | \$ 2.30 | | | | | | | | |
| 10 | NEW | Warehouse Building | X | | | | | 30,000 | 18,000 | 834000 | \$ 1,500,000.00 | \$ 1.50 | | | | | | | | \$ 16.00 |
| 11 | NEW | Parking Facility II | X | | | | | 420,000 | 0 | 818900 | \$ 16,000,000.00 | | | | | | | | | \$ 90.00 |
| 12 | New | Performing Arts Center | X | | | | | 360,000 | 138,000 | 500101 | \$ 90,000,000.00 | | | | | | | | | \$ 21.00 |
| 13 | New | Plant & Soil Sciences Building | X | | | | | 64,000 | 38,400 | 20501 | \$ 21,000,000.00 | | | | | | | | | |
| 14 | N/A | Upgrade Athletics Facilities | X | X | | | | 20,000 | 0 | 720000 | \$ 8,000,000.00 | | \$ 6.00 | | | \$ 2.00 | | | | |
| 15 | NEW | Rawls Golf Course Club House | X | | | | | 20,000 | 0 | 720000 | \$ 5,000,000.00 | | | | | | | | | \$ 5.00 |
| 16 | 2 | Admin Bldg Abatement and ADA Compliance | | X | | | | 113,975 | 48,915 | | \$ 3,850,000.00 | \$ 3.85 | | | | | | | | |
| 17 | 1 | College of Human Sciences Life Safety Upgrade | | X | | | | 175,428 | 107,677 | 190901 | \$ 2,000,000.00 | \$ 2.00 | | | | | | | | |
| 18 | 5 | Chemistry Building Life Safety Phase III | | X | | | | 192,980 | 104,075 | 400501 | \$ 1,500,000.00 | \$ 1.50 | | | | | | | | |

TOTALS

FIVE-YEAR CAPITAL PROJECTS PLAN Texas Tech University Health Sciences Center May 2008

| GENERAL PROJECT INFORMATION | | | | | | | | | | FINANCIAL INFORMATION | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Project Type | | | Square Footage | | | | Project Budget | | Funding Source (\$ Millions) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | Major Repairs & Renovations | Infrastructure | Leased Space | Gross | | | Redevelop & General | Active | Gifts, Donations | HEAF - Bond | HEAF - Cash | Other Revenue Bonds | Auxiliary Enterprise Funds | Other Local Funds | Legislative Appropriations | Private Development | Tulson Revenue Bonds | Other | Unfunded | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Priority | Bldg. No. | Project Description | Additions | | | | | | 511201 | \$ | 35,000,000.00 | \$ | 35.00 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | </ |



TEXAS TECH UNIVERSITY SYSTEM™

Facilities Committee

TTUHSC

Authorize a Ground Lease of Texas Tech University Health Sciences Center Land



TTUHSC Ground Lease





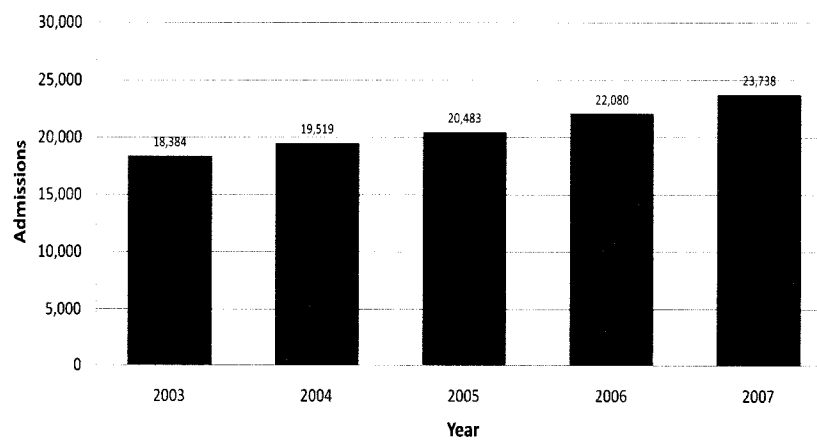
UMC HEALTH SYSTEM

Land Request

65

Admissions

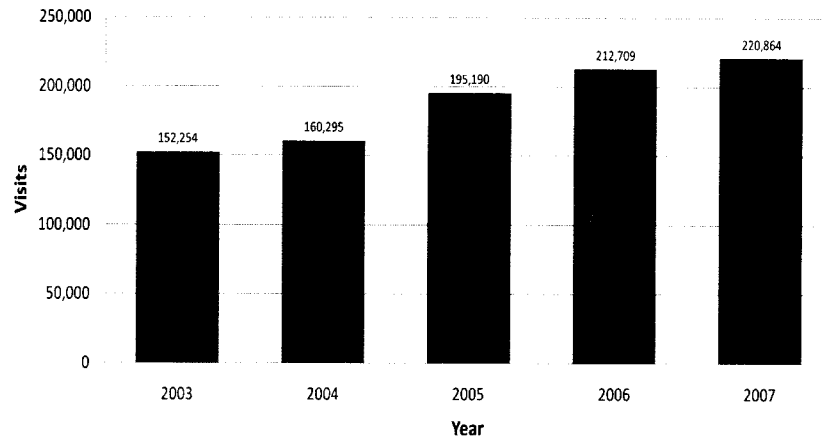
2003 through 2007



66

Outpatient Visits *

2003 through 2007

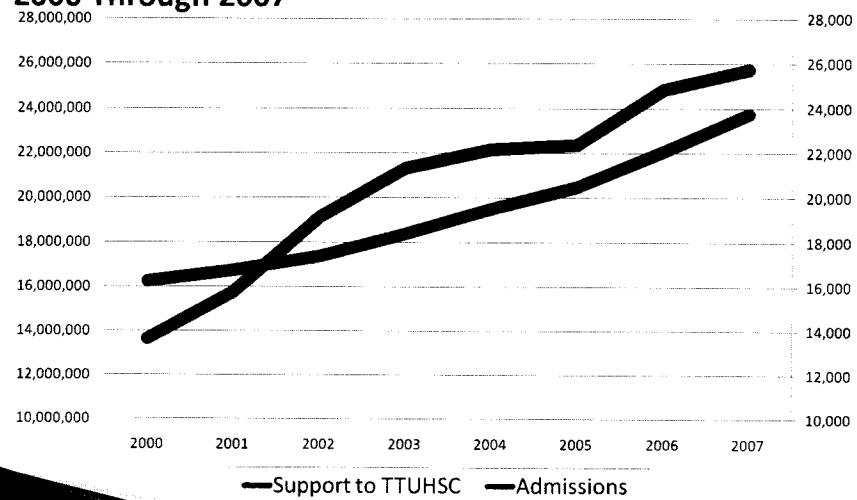


* Excluding PNS Clinic Visits

67

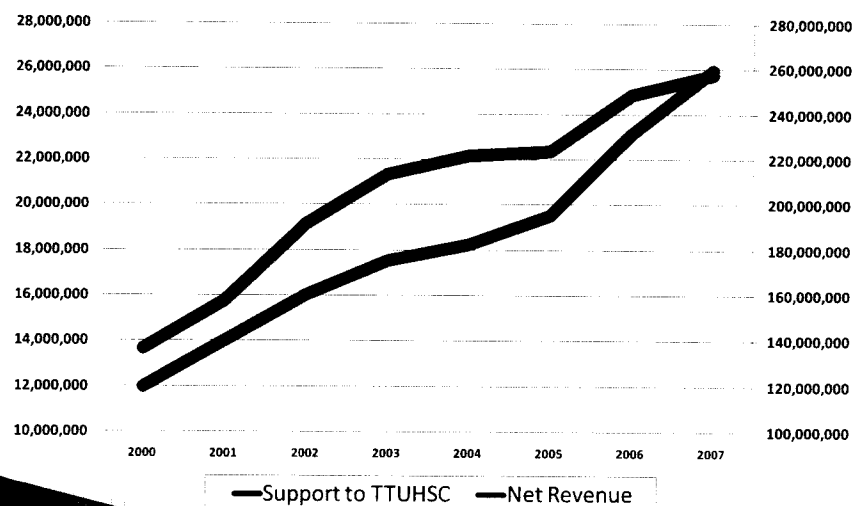
Support to TTUHSC Compared to Admissions

2000 Through 2007

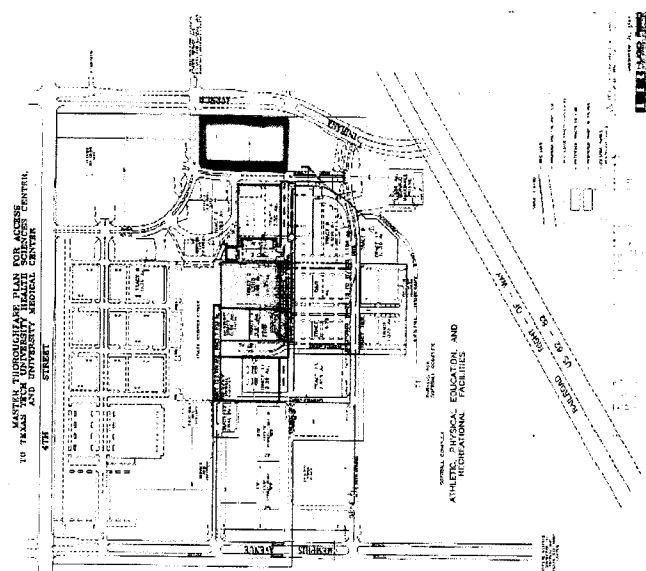


68

Support to TTUHSC Compared to Net Revenues 2000 Through 2007



69



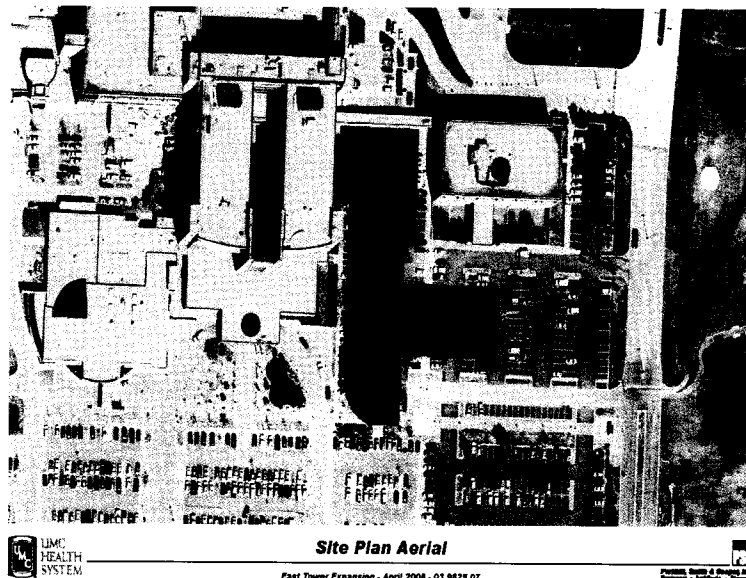
70

Summary of UMC Land

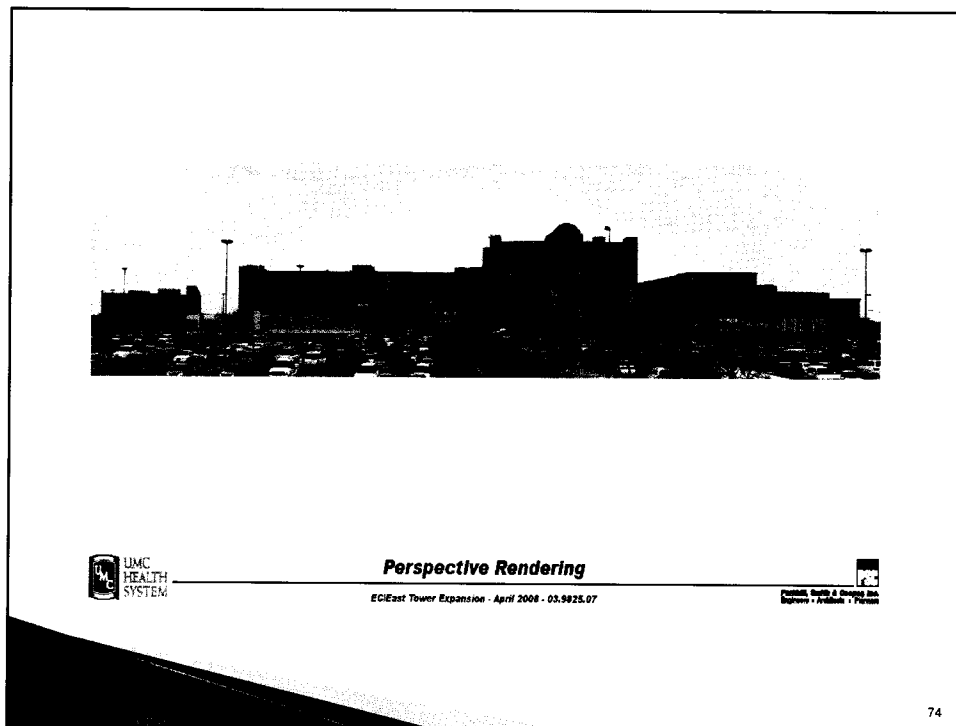
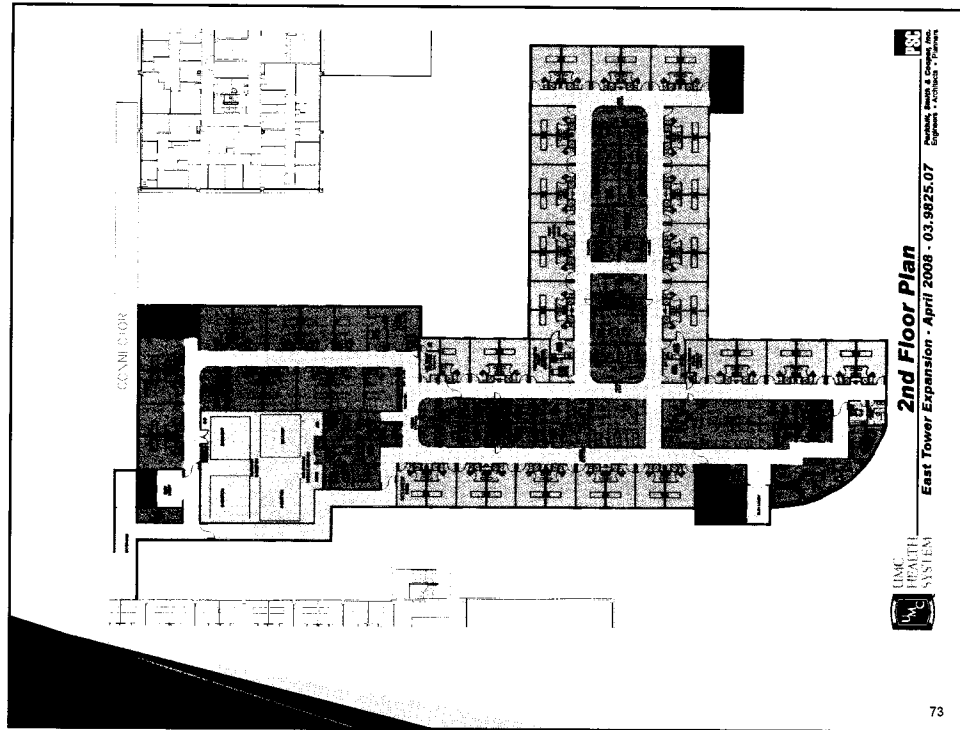
| Tract Number | Area (acres) | Description | Conveyance | Regent Number | Term |
|--------------|--------------|---|---|----------------------------|----------|
| Tract 2 | 2.490 | Hospital | Deed - 1973 | MS-54, MS-27 (1) | |
| Tract 3 | 0.840 | McInturff Conf. Ctr. | Deed - 1987 | H-118 (5) | |
| Tract 4 | 1.070 | Parking/Drive | Deed - 1987 | H-118 (5) | |
| Tract 5 | 1.227 | Cancer Center | Deed - 1985 | H-23 (7) | |
| Tract 6 | 2.5 | MOP | Deed - 1987 | H-118 (5) | |
| Tract 7 | 0.330 | MRI | Deed - 1987 | H-118 (5) | |
| Tract 8 | 0.360 | Helipad | Leased - 1988 Terminated 3/98 | H-75 | 10 years |
| Tract D&B | 9.290 | Parking | Leased - 1977 | MS-97 | 45 years |
| Tract E | 0.740 | Parking | Leased - 1977 Increased - 1983 Reduced - 1987 | MS-97 H-14 H-118 (3) | 45 years |
| Tract F | 0.062 | Emergency Parking | Leased - 1977 Terminated - 6/98 | MS-97 | 45 years |
| Tract 9 | 4.456 | Parking | Leased - 1989 | H-125 (4) | 45 years |
| Tract 11 | 2.350 | Parking | Deed - 1992 | H-95 | |
| Tract 12 | 0.845 | Parking | Leased - 1993 | H-16 | 45 years |
| Tract 13 | 0.274 | Parking | Leased - 1993 | H-16 | 45 years |
| Tract 14 | 2.402 | Pedestrian Entry (9 th Street) | Leased - 1994 | H-10 (7) | 45 years |
| Tract 15 | 1.164 | Construct 10 th Street | Leased - 1977 Revised - 1994 | MS-97 H-10 (6) | 45 years |
| Tract 16 | 2.609 | Parking | Leased - 1994 | H-10 | 45 years |
| Tract 17 | 1.136 | Parking | Leased - 1998 | BOR 4/13/98 MS-88 | 45 years |
| Tract 18 | 0.061 | Emergency Power Generator | Deeded - 2007 | BOR 5/2006 | |

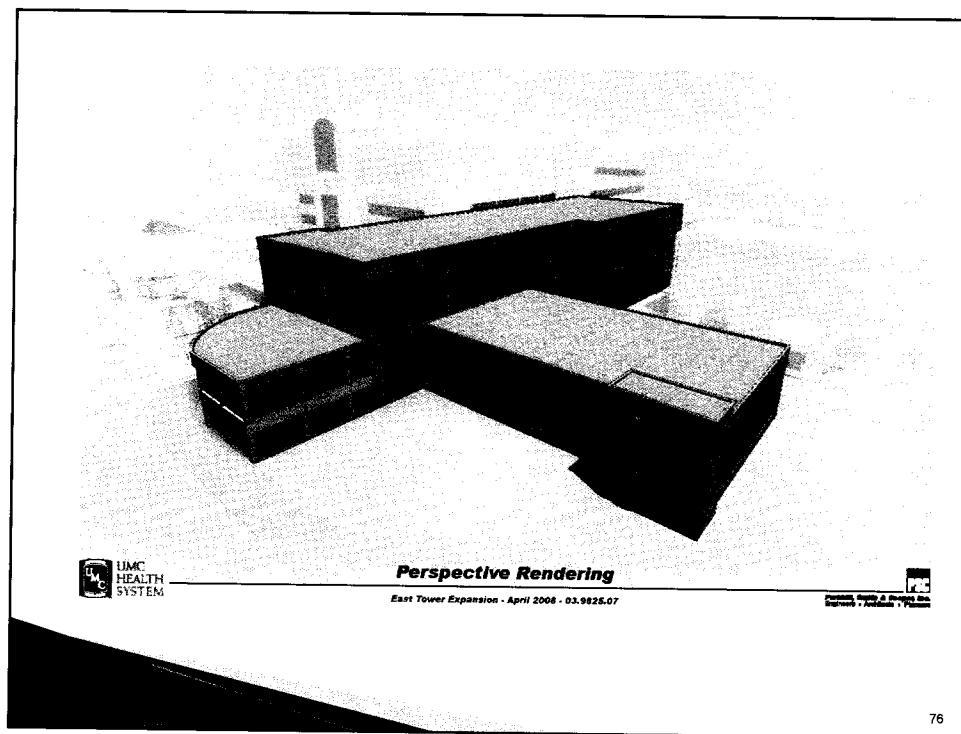
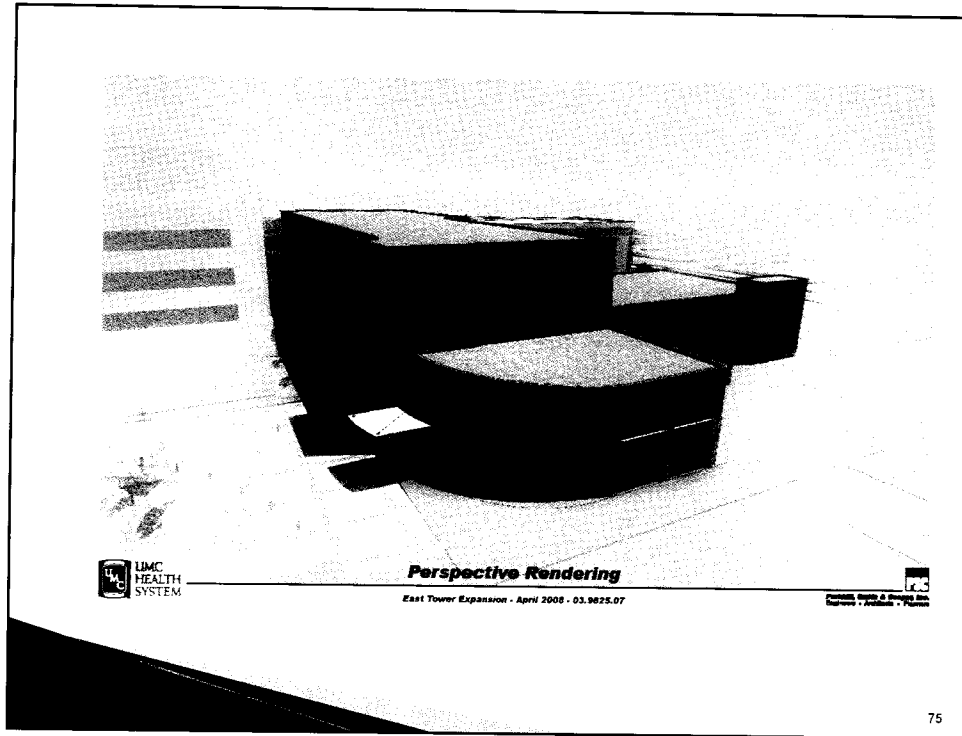
21.478 Acres leased (Tract 15 excluded)
11.142 Acres deeded

71



72





UMC Land Request

- ▶ **Land Request**
 - Deed physician east parking lot - .75 acres
 - Lease land southwest of the Emergency Center – 2.2 acres, 231 parking spaces (approved at the March 2008 Board of Regents Meeting)
 - Lease land east of the UMC Medical Office Plaza – 4.4 acres, 414 parking spaces
- ▶ **Current Land Used/Occupied by UMC**
 - Deed land – 12 acres
 - Leased land – 22 acres
- ▶ **Construction Projects**
 - Emergency Center Expansion – 38 beds to 65 beds, 15,000 additional square feet at a cost of \$7M. Construction begins July 2008.
- ▶ **Construct East Tower**
 - 4 floors, finish out the second floor for Women's and Children's Services. Shell in the first, third and fourth floors for future expansion. The second floor expansion will add 40 beds, giving UMC a total of 450 beds. The cost of the East Tower is \$50M - \$55M.

77

Cost Comparison Surface Parking vs. Parking Garage

| | Parking Garage | Surface Parking | Difference |
|------------------|----------------|-----------------|-------------|
| Cost Per Space | \$18,000 | \$3,000 | \$15,000 |
| Number of Spaces | 414 | 414 | 414 |
| Total Cost | \$7,452,000 | \$1,242,000 | \$6,210,000 |

78



UMC HEALTH SYSTEM

Service • Teamwork • Leadership

79



Lease Terms

- TTUHSC to Lease a 4.425 Acre Tract of Land to University Medical Center (UMC) to Construct an Additional Surface Parking Lot
- Lease Term from May 1, 2008 Through February 28, 2015
- Either Party May Terminate Lease After the First Five Years with Two Years' Written Notice
- UMC will Pay all Costs Associated with Planning, Construction, and Maintenance
- Total Land Leased to UMC will be 28.791 Acres





Recommendation

- Authorize the President to Negotiate a Ground Lease for a 4.425 Acre Tract of Texas Tech University Health Sciences Center Land to University Medical Center for a Surface Parking Lot with a Lease Term from May 1, 2008 Through February 28, 2015



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Page 1 of 1

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TEXAS TECH UNIVERSITY SYSTEM™

Facilities Committee

TTUS Report on Underutilized Buildings



Underutilized Buildings

| Institution | Building | Previous Status | Current/Proposed Use |
|-------------|-------------------------------|--|---|
| TTU | Wiggins Dinning Hall | System Offices | ConnecTech |
| | Weeks Hall | Demolish / Academic Bldg. Site | Vacant/Demolish |
| | Doak Hall | Hospitality Services + Marketing Promo | Unchanged |
| | Thompson/Gaston Halls | Demolish when Vacated | Vacant/Demolish |
| | Dairy Barn | Historic Building | Historic Building |
| | Tech Plaza | University Offices, Career Center | Temp Space for the Admin. Bldg. Abatement Project |
| | International Cultural Center | Outreach & Distance Ed Joint Use | Unchanged |
| | Livermore Lab | College of Engineering Expansion | Under Construction |
| | Math Building (Old Library) | Vietnam Center Archives | Unchanged |
| | East Lubbock Research Bldg. | Research | Research and Storage |
| TTUHSC | Old Amarillo Clinic | Vacant/Research | Demolished |
| | Old International Pain Center | International Pain Center | HSC Administrative Offices |
| ASU | University Hall | Vacant | Demolish |



TEXAS TECH UNIVERSITY SYSTEM™

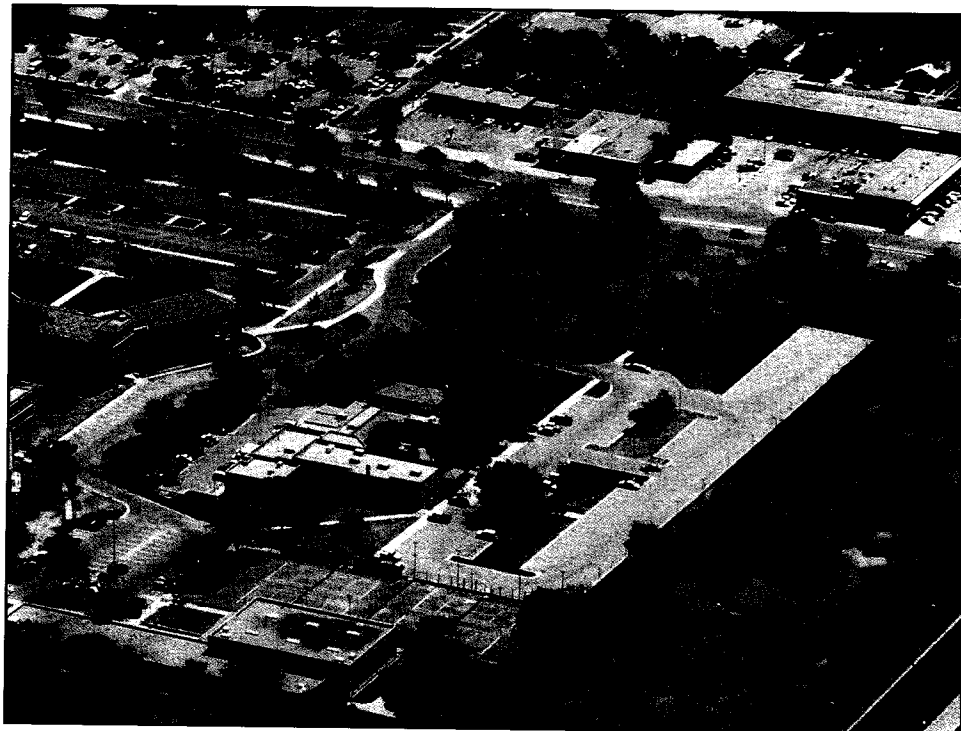
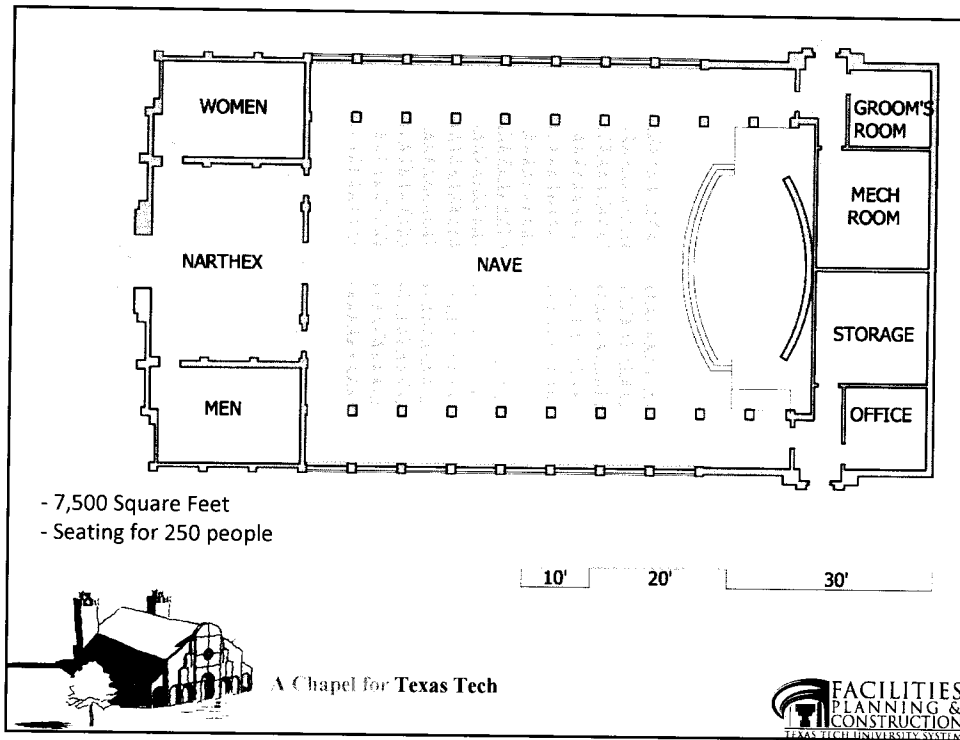
Facilities Committee

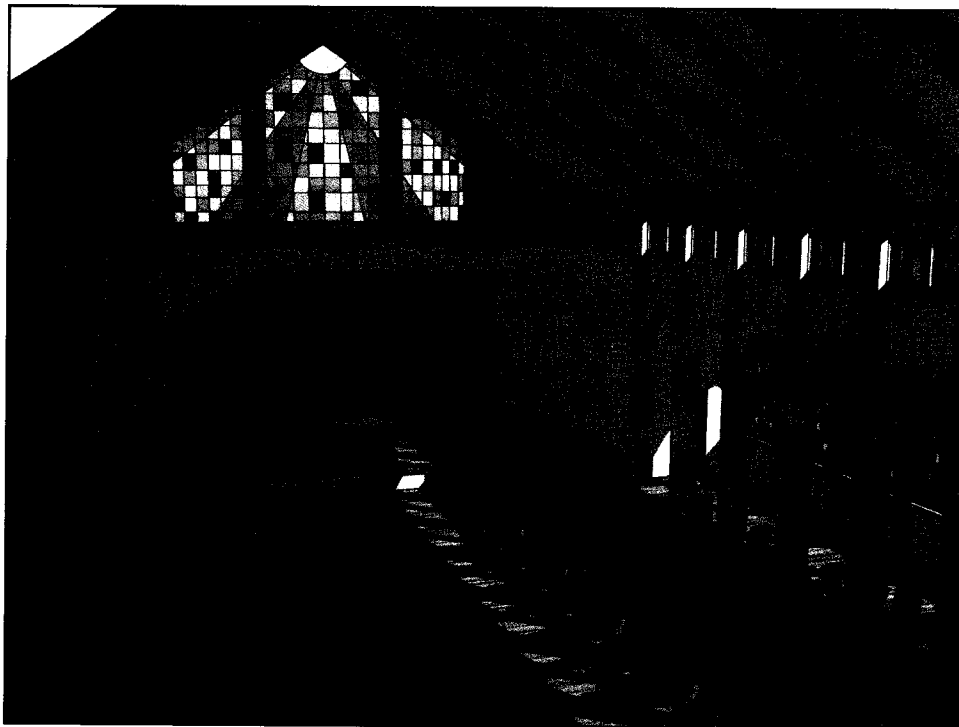
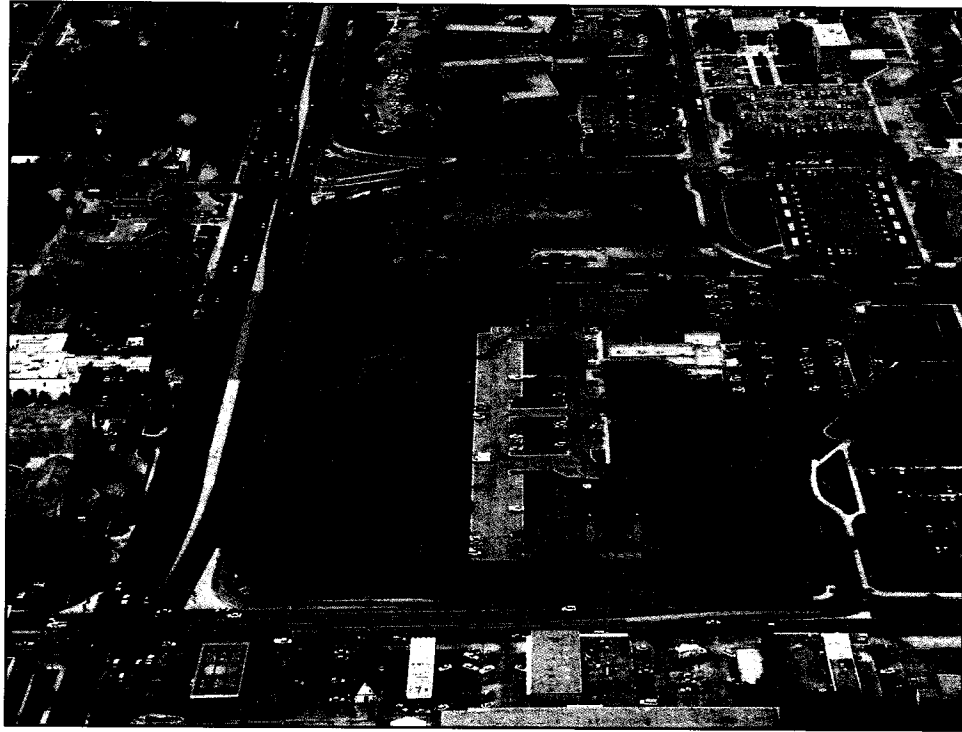
TTUS Report on Campus Chapel



A Chapel for Texas Tech









Mission

- The Spiritual Development and Health of Our Students is Crucial to the Vision of Fostering *More Than a Great Education* at Texas Tech University
- The Proposed Chapel will be Dedicated to Enhancing the Wellbeing for all Students of All Denominations and Faiths



Utilization

- Ceremonies (alumni, graduations, initiations, etc.)
- Devotionals
- Mediation & Prayer
- Meetings (alumni, departments, students, student organizations, etc.)
- Memorial Services
- Recitals & Musicals
- Weddings
- Workshop Services





Management/Operations

- Managed/Operated by University Catering Services, Department of Hospitality Services, Division of Student Affairs, Texas Tech University
- Operate as an Auxiliary Enterprise
- Self-Supporting Facility Based on User Fees
- Endowment to Support Annual Operations



Policies

- Priority of Use
 - *Students/student organizations, faculty, staff, alumni, general public, etc.*
- Guidelines for Use
 - *Reservations only, weekdays/weekends, rental fees, deposits, cleaning fees, scheduling (hourly), decorations, no smoking, no food/drink, etc.*
- Charges for Use
 - *Student meetings, memorials, weddings/wedding rehearsals, recitals, etc.*

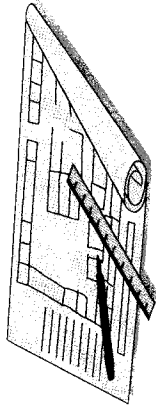




Facilities in the Big 12

- *Stacy Riddle Forum's McCall Chapel* at Baylor University
- *All Faiths/Danforth Chapel* at Kansas State University
- *Old Main Chapel* at the University of Colorado
- *Danforth Chapel* at the University of Kansas
- *A.P. Green Chapel* at the University of Missouri
- *Bennett Memorial Chapel* at Oklahoma State University
- *All-Faiths Chapel* at Texas A&M University





| Project | Cost | Status | Completion Date |
|---------|------|--------|-----------------|
|---------|------|--------|-----------------|

TTU

| | | | |
|--|----------------------|--------------------------------------|----------------|
| High Performance Research Computer Facility | \$ 1,800,000 | Under Construction | August 2008 |
| Art 3D Annex Ceramics/Kiln Yard | \$ 1,100,000 | Contracted | October 2008 |
| Student Leisure Pool | \$ 7,000,000 | Under Construction | September 2009 |
| Engineering Expansion/Renovation Phase I | \$ 10,000,000 | Under Construction | January 2009 |
| Sneed/Bledsoe HVAC Upgrade | \$ 6,000,000 | Sneed Complete / Bledsoe May 2008 | August 2008 |
| Bledsoe Window Replacement | \$ 1,000,000 | Contracted | August 2008 |
| Mark & Becky Lanier Prof. Development Center | \$ 13,665,000 | Substantially Complete | April 2008 |
| Marsha Sharp Freeway [TxDOT Project] | TBD | Under Construction | 2010+ |
| TOTAL | \$ 40,565,000 | | |

| Project | Cost | Status | Completion Date |
|---------|------|--------|-----------------|
|---------|------|--------|-----------------|

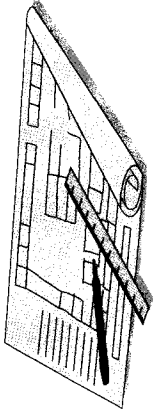
ASU

| | | | |
|-----------------------------------|----------------------|--------------------|--------------------------|
| Centennial Village Residence Hall | \$ 28,215,000 | Under Construction | August 2008/October 2008 |
| TOTAL | \$ 28,215,000 | | |

| Project | Cost | Status | Completion Date |
|---------|------|--------|-----------------|
|---------|------|--------|-----------------|

HSC

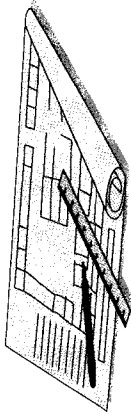
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|--|----------------------|--------------------|----------------|
| International Pain Center | \$ 7,000,000 | Under Construction | August 2008 |
| El Paso - Archer Building Renovations | \$ 1,700,000 | Complete | March 2008 |
| Amarillo HSC - Coulter Research Building | \$ 18,000,000 | Under Construction | September 2008 |
| TOTAL | \$ 26,700,000 | | |
| GRAND TOTAL | \$ 95,480,000 | | |



| Project | Cost | Status | Completion Date |
|--|-----------------------|--|-----------------|
| TTU | | | |
| Rawls College of Business Administration | \$ 70,000,000 | Master Planning Complete | TBD |
| CoBA Building Renovations | \$ 25,000,000 | DP Selected | TBD |
| Architecture Building LifeSafety Upgrade | \$ 2,716,164 | DP Selected | TBD |
| Biology Building LifeSafety Upgrade | \$ 3,021,321 | DP Selected | TBD |
| Utility Infrastructure Upgrade Phase I | \$ 5,000,000 | Redesigning Project | TBD |
| Experimental Science Lab Build Out | \$ 6,000,000 | Reviewing Alternatives | TBD |
| Jones AT&T Stadium Phase IV | \$ 35,000,000 | Reviewing Alternatives | TBD |
| Soccer Team Facility | \$ 4,000,000 | Design In Progress | TBD |
| Softball Team Facility | \$ 3,000,000 | Design In Progress | TBD |
| Softball Field Improvements | \$ 1,000,000 | Dugouts Under Construction /Design In Progress | TBD |
| Admin Bldg Abatement and ADA Compliance | \$ 4,000,000 | Study In Progress | TBD |
| Pulse Power Lab | \$ 1,500,000 | Design In Progress | TBD |
| TOTAL | \$ 160,237,485 | | |

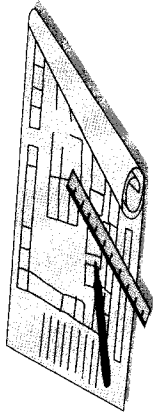
| Project | Cost | Status | Completion Date |
|--------------------------|----------------------|--------------------|-----------------|
| ASU | | | |
| Hardeman Hall Renovation | \$ 12,000,000 | Design In Progress | TBD |
| TOTAL | \$ 12,000,000 | | |

| Project | Cost | Status | Completion Date |
|---------------------------------------|-----------------------|--------------------------|-----------------|
| HSC | | | |
| El Paso Strategic Space Study | \$ TBD | Study In Progress | TBD |
| HSC Strategic Space Study | \$ TBD | Study Complete | TBD |
| Amarillo School of Pharmacy Expansion | \$ 8,010,000 | Design In Progress | September 2009 |
| Amarillo Family Medicine Relocation | \$ 7,000,000 | Out for Bids / Proposals | April 2009 |
| TOTAL | \$ 15,010,000 | | |
| GRAND TOTAL | \$ 187,247,485 | | |



| Project | Cost | Status | Completion Date |
|---|-----------------------|------------------|-----------------|
| TTU | | | |
| Engineering Expansion/Renovation Phase II | \$ 60,000,000 | Program Complete | TBD |
| Honors College | \$ 10,000,000 | Proposed | TBD |
| The Rawls Course Clubhouse | \$ 2,500,000 | Proposed | TBD |
| Dan Law Field Renovations | \$ TBD | Proposed | TBD |
| Dairy Barn Renovation | \$ TBD | Proposed | TBD |
| Track Relocation | \$ 5,000,000 | On Hold | TBD |
| Vietnam Center | \$ 100,000,000 | Proposed | TBD |
| TOTAL | \$ 177,500,000 | | |

| Project | Cost | Status | Completion Date |
|--|-----------------------|----------|-----------------|
| HSC | | | |
| HSC Lubbock Campus Expansion | \$ 30,000,000 | Proposed | TBD |
| El Paso Clinical Sciences Building | \$ 35,000,000 | Proposed | TBD |
| El Paso Medical Science and Education Building | \$ 95,000,000 | Proposed | TBD |
| TOTAL | \$ 160,000,000 | | |
| GRAND TOTAL | \$ 337,500,000 | | |



| Project | Cost | Status | Completion Date |
|--|---------------|----------|----------------------------|
| TTU | | | |
| NCAA Soccer Complex | \$ 2,078,000 | Complete | August 2007 |
| Art 3-D Annex | \$ 9,000,000 | Complete | September 2007 |
| Outreach & Extended Studies Building | \$ 8,000,000 | Complete | October 2007 |
| Softball Field Repairs | \$ 545,000 | Complete | September 2007 |
| Discovery Mall | \$ 1,210,000 | Complete | July 2007 |
| Student Wellness Center | \$ 9,350,000 | Complete | March 2007 |
| CDRC / CSAR | \$ 8,126,506 | Complete | October 2006 |
| Scholarship Donor Recognition Walk | \$ 225,000 | Complete | November 2006 |
| Sneed/Gordon/Bledsoe Lifesafety Upgrades | \$ 5,792,000 | Complete | September 2006 |
| Jones AT&T Stadium Field Improvements | \$ 2,860,000 | Complete | August 2006 |
| Student Union Building Phase II B | \$ 6,096,000 | Complete | November 2006 |
| Student Union Building Phase III | \$ 1,530,078 | Complete | July 2006 |
| NRHC - Christine DeVitt Wing | \$ 3,700,000 | Complete | June 2006 |
| Experimental Sciences Building | \$ 37,330,087 | Complete | March 2006 |
| Texas Tech Parkway | \$ 9,237,000 | Complete | February 2006 |
| Grover E. Murray Residence Hall | \$ 24,924,971 | Complete | January 2006 |
| Animal and Food Sciences Building | \$ 16,800,000 | Complete | February 2006 |
| Wall/Gates Life Safety Upgrade | \$ 3,094,012 | Complete | January 2006 |
| Student Parking Expansion | \$ 660,000 | Complete | October 2005 |
| Student Union Bldg. Expansion/Renovation | \$ 37,745,556 | Complete | October 2003/February 2005 |
| Museum NSRL Addition | \$ 3,518,594 | Complete | August 2005 |
| Admin Building Stone Repair | \$ 2,332,099 | Complete | January 2005 |
| Jones SBC Stadium Stage IIA /IIB | \$ 53,740,000 | Complete | May 2004/Sept 2004 |
| Hulen Clement Fire Protection | \$ 3,234,692 | Complete | August 2004 |
| Football Training Facility | \$ 11,000,000 | Complete | May 2004 |
| Marsha Sharp Center for Student Athletes | \$ 3,789,332 | Complete | January 2004 |

| | | | | |
|--|----|-------------|----------|----------------|
| The Rawls Course Support Facilities | \$ | 1,692,000 | Complete | November 2003 |
| Admin Building Roof Repairs | \$ | 827,901 | Complete | November 2003 |
| The Rawls Course | \$ | 9,013,000 | Complete | August 2003 |
| Horn/Knapp Fire Suppression | \$ | 3,026,015 | Complete | December 2002 |
| Campus Conference Bonfire Circle | \$ | 400,000 | Complete | September 2002 |
| English-Philosophy & Education Complex | \$ | 46,199,000 | Complete | August 2002 |
| Flint Avenue Parking Facility | \$ | 10,900,000 | Complete | August 2002 |
| Dan Law Field | \$ | 1,612,000 | Complete | June 2002 |
| Fuller Track Field House | \$ | 480,000 | Complete | June 2002 |
| Pfluger Fountain | \$ | 826,000 | Complete | April 2002 |
| Recreation Center Expansion/Renovation | \$ | 12,070,277 | Complete | November 2001 |
| Jones SBC Stadium Stage I | \$ | 22,000,000 | Complete | September 2001 |
| Frazier Plaza & Masked Rider Statue | \$ | 515,000 | Complete | September 2001 |
| Tennis-Softball Complex | \$ | 4,059,784 | Complete | September 2001 |
| Campus Fiber Optic Connection | \$ | 1,667,000 | Complete | September 2001 |
| West Hall/Visitors Center | \$ | 5,703,441 | Complete | August 2001 |
| Broadway Gatehouses | \$ | 816,000 | Complete | August 2001 |
| Marquee | \$ | 352,000 | Complete | August 2001 |
| Stangel/Murdough Fire Suppression | \$ | 1,616,293 | Complete | August 2001 |
| Chitwood/Weymouth Fire Suppression | \$ | 2,779,706 | Complete | August 2000 |
| TOTAL | \$ | 392,474,344 | | |

| Project | Cost | Status | Completion Date |
|--|-------------------------|----------|--------------------|
| <u>HSC</u> | | | |
| Texas Tech Physicians Medical Pavilion | \$ 36,462,388 | Complete | June 2006/Dec 2007 |
| El Paso Medical Education Bldg. | \$ 45,000,000 | Complete | November 2007 |
| Abilene School of Pharmacy | \$ 9,087,743 | Complete | July 2007 |
| El Paso Medical Science Bldg. I Build Out | \$ 4,200,000 | Complete | July 2006 |
| Amarillo Campus Improvements | \$ 1,502,390 | Complete | September 2006 |
| HSC Roof Replacement | \$ 1,754,116 | Complete | April 2006 |
| The Larry Combest Health & Wellness Center | \$ 1,605,210 | Complete | January 2006 |
| El Paso Medical Science Bldg. I | \$ 39,055,979 | Complete | February 2006 |
| HSC Campus Infrastructure Improvement | \$ 5,028,277 | Complete | January 2006 |
| HSC El Paso Clinic Expansion/Renov | \$ 9,780,000 | Complete | February 2005 |
| HSC El Paso Hydronic Pipe Replacement | \$ 1,700,000 | Complete | February 2005 |
| HSC Academic Classroom Bldg. | \$ 14,963,993 | Complete | October 2003 |
| HSC Synergistic Center | \$ 1,995,105 | Complete | March 2003 |
| Amarillo Academic/Clinic Facility | \$ 23,636,894 | Complete | April 2002 |
| Midland Physicians Assistant Building | \$ 6,000,000 | Complete | August 2001 |
| HSC Admin Relocation | \$ 1,862,000 | Complete | March 2001 |
| Odessa Clinic Renovation | \$ 1,200,000 | Complete | September 2000 |
| Communications Disorders Renovation | \$ 2,161,000 | Complete | May 2000 |
| TOTAL | \$ 206,995,095 | | |
| GRAND TOTAL COMPLETED | \$ 599,469,439 | | |
| PROGRAM TOTAL | \$ 1,219,696,924 | | |

TTUHSC Executive Summary: Explanation of Substantive Changes to TTUHSC Student Handbook

Along with some editorial and clarification changes there were two major substantive changes.

First, the removal of the section noted as reconsideration to appellate board was replaced with a new appeals process to include the president of the institution. Previously, the appeals process included a hearing, reconsideration, and if the student chose to be reconsidered then it went to the appellate board. The findings of the appellate board were forwarded to the dean to review for due process violations and his/her decision was final. Note: The president was not directly involved in any of the process and we felt he needed to be involved.

The new proposed process is designed to streamline the process. A Student Conduct Board Administrator (SCBA) was identified for each school. The SCBA's primary function is to convene/establish committee members for the hearing. A student conduct board chair is appointed who oversees the process. The findings go to the dean for review. Should the student not be satisfied with the findings they can appeal to the president for procedural due process violations or if new information is found which was not presented at the student conduct board hearing originally. The president will forward his decision to all parties and his decision is final. The appeal to the president must be submitted by the student in writing within 10 business days.

Secondly, under the Withdrawal of Consent we added the establishment of an ad hoc committee convened by the President and comprised of members from the respective schools other than the accused. A list of evidence was also inserted to include all pertinent records, exhibits, and written statements including impact or position statements; a list of witnesses, if any, who will be speaking on behalf of the accused student or complainant, including a brief summary of the information to be given by each; and the name of the advisor, if any, who may be present in an advisory capacity at the hearing.

Proposed revisions to the *Code of Professional and Academic Conduct* of the Student Handbook 2008-2009 are noted in the attached document.

Part II. Code of Professional and Academic Conduct

Section A: General Policy No changes

Section B: Disciplinary Jurisdiction No changes

Section C: Violation of Law and TTUHSC Discipline No changes

Section D: Substantive changes to "Misconduct" include: 3. Firearms, Weapons, and Explosives

Remove entire text under “a.” and “b.” and Replace with “Firearms, ammunition, explosive weapons, illegal knives and other deadly weapons are prohibited on university property except as specifically authorized by federal, state, or local laws.”

19. Academic Misconduct

Add “g. For additional information relating to the School of Medicine Academic Misconduct procedures, please refer to E.1.d.”

Section E: Other Professional and Ethical School Standards

1. School of Medicine

Add “d. In matters of Academic Misconduct, the student shall refer to the School of Medicine Student Affairs Handbook, Code of Professional and Academic Conduct and Promotions Policy.”

Section F: Substantive changes to “Disciplinary Procedures” include:

Subsection: F.3.a. Filing A Complaint

1. Remove “this” and Replace with “the” line 2.
2. Remove “Prior to filing a Complaint” from line 3.
3. Remove “formally” and “of” and “there is” from line 4.
4. Add “exists,” to line 5.
5. Remove “the Complaint should be prepared in writing using” from line 5.
6. Remove “and” from line 6.
7. Add “completed and delivered by the Complainant” to line 6.
8. Remove “be directed” from line 6.
9. Remove “Any” and Replace with “A”, line 7.
10. Remove “after the event takes place,” from line 8.
11. Remove “relevant” from line 9.
12. Remove “Findings that are submitted after more than...university working” from lines 10.
13. Add “Complaints filed more than twenty” from line 10.
14. Remove “working” and “should” and “be accompanied with a” from line 11.
15. Add “business days after the event shall include a” from line 11.
16. Add “justification for the delay and will be accepted on a “case by case” basis as determined by the Student Conduct Administrator” to lines 13-14.
17. Add “The Student Conduct Administrator will send a written notification of the resolution to all parties involved.” to F.3.c.
18. Remove “within five (5) business days from meeting with the Student Conduct Administrator, or fails to respond to the Student Conduct Administrator within five (5) days from the Student Conduct Administrator’s written request to meet with the student,” from F.3.d.
19. Remove “twenty (20) and Replace with “thirty (30)” F.3.e., line1

20. Add "met with the Student Conduct Administrator under Part II.F.3.d. above" to F.3.e., line 2 and 3.
21. Remove "been notified under Part II.F.4 below" from F.3.d., line 3.

Subsection: F.4. Student Conduct Board Hearings

1. Add "in writing" to F.4.a., line 2.
2. Add "final" to F.4.a., line 3.
3. Remove "ten(10) and Replace with fifteen (15) to F.4.b. line 1.
4. Add "in writing" to F.4.c., line 1.
5. Add "business" to F.4.c., line 3.
6. Add "their" to F.4.c., line 3.
7. Remove "in writing" from F.4.c., line 3.
8. Remove "chair" and Replace with "Administrator" F.4.c., paragraph 2, line 2.
9. Remove "he" and Replace with "the member" F.4.c., paragraph 2, line 3.
10. Add "satisfaction of the" and "Administrator" to F.4.c., paragraph 2, line 4-5.
11. Remove "chair, the chair will ask the member to recuse himself or herself and" F.4.c., paragraph 2, line 5.
12. Add "the member in question shall be removed and" to F.4.c., paragraph 2, line 6.
13. Remove "five (5)" and Replace with "seven (7) business" F.4.d., line 1 and change reference to "4", F.4.d.iii., line 2.
14. Remove "three (3)" and Replace with "five (5) business" F.4.e., line 1.
15. Remove "in A" and "the Student Conduct Administrator, in his or her sole discretion,...permit the Student Conduct Board Hearings concerning each student respectively, to be conducted either" from F.4.f. lines 1-4.
16. Add "be conducted" to F.4.f. line 3.
17. Add "as determined by the Student Conduct Administrator. An accused student may request in writing to the Student Conduct Administrator a separate hearing up to three (3) business days after receiving the notice of hearing. The Student Conduct Board Administrator shall notify the student within three (3) business days the determination of the request." to F.4.f., lines 5-8.
18. Remove ", or" and Replace with "or otherwise as deemed appropriate" to F.4.g., lines 1-2.
19. Add "its" to F.4.g., line 4.
20. Add "respective" to F.4.h., line 1.
21. Remove "SCB" and Replace with "Student Conduct Board" to F.4.i., lines 9.
22. Remove "things" and Replace with "issues" to F.4.j., line 4.
23. Remove "the educational tone and Replace with "the civility" to F.4.j., line 4.

24. Remove "when they are providing information" and Replace with "during the time they are providing testimony, they are being questioned by the complainant, the accused or the committee" to F.4.j., lines 7-8.
25. Add "Chair" to F.4.j., line 9.
26. Add "his/her sole discretion determines" to F.4.j., lines 9-11.
27. Remove "its sole discretion, allows" from F.4.j., line 11.
28. Add "in writing" to F.4.n., line 2.
29. Remove "Request for Reconsideration" and Replace with "Dean's Review" to F.4.o., line 1.
30. Remove "If the Accused Student does not file a Request for Reconsideration in accordance with Part II.H below, t" from F.4.o., lines 1-2.
31. Capitalize the "t" in the word "the", F.4.o., line 2.
32. Add "of" and Remove "and the Request for Reconsideration, and at his or her sole discretion" to F.4.o., lines 3-4.
33. Add "within seven (7) business days" to F.4.o., line 6.
34. Add "and" to F.4.o., line 7.
35. Remove "and if applicable, the Chair of the Appellate Board." From F.4.o., line 8.
36. Add to F.4., "p. *Appeal*. Within ten (10) business days of receipt of the decision of the Dean, if the either party believes that the *due process* procedures have been violated, an appeal may be made, in writing, to the President of the Health Sciences Center. The President will review the case and notify all parties of his decision within ten (10) business days. If a written appeal is not submitted within ten (10) business days following receipt of the Dean's letter, the right to appeal is thereby waived and the Dean's decision is final.

The Accused Student or Complainant may only raise, and the President shall only consider, the following:

- a. Whether a procedural deviation occurred that substantially affected the outcome of the case;
- b. Whether there is new information sufficient to alter the Findings or other relevant facts not available or mentioned in the original hearing, because such information and/or facts were not known to the person appealing at the time of the original Student Conduct Board Hearing.

The President will review the Findings and Recommendations and, at his or her sole discretion, the record from the Student Conduct Hearing and supporting documents, and transmit his or her decision in writing to the Accused Student, the Complainant, the Student Conduct Administrator, the Chair of the Student Conduct Board, and the Dean.

The President's decision shall be final."

Section G: Sanctions

No changes

Section: H. Substantive changes to "Request for Reconsideration to Appellate Board"

1. Remove entire section, pages 32-34.

Section: I. Substantive changes to "Interpretation and Revision"

1. Remove "Executive Vice President for Academic Affairs" and Replace with "President" to I.2., line 2-3.
2. Remove "and two full-time TTUHSC students. The two full-time TTUHSC students will be appointed by", I.2., lines 6-7.
3. Remove "Executive Vice President for Academic Affairs who will" and Replace with "The President may" from I.2., lines 7-8.
4. Remove "so long as at least one student member is present. The Executive Vice President for Academic Affairs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of the Review Committee" from I.2., lines 8-11.

Section Part III. Substantive changes to "Withdrawal of Consent" include:

Subsection: B. Concurrence by Dean

1. Remove "Executive Vice President for Academic Affairs and the" from B.3., line 2.

Subsection: C. Confirmation by President

1. Add "or his/her designee" to C.2., line 1.

Subsection: D. Hearing

1. Add "fourteen (14) day" to D.1., lines 3.
2. Add "A hearing will be conducted by an ad hoc Student Conduct Board three (3) business days from the date that the President receives the request for hearing. The Student Conduct Board will be appointed by the President and will be comprised of members from the respective schools other than the accused" to D.2.a., lines 3-8.
3. Add "(1) day" to D.2.b., line 2.
4. Add "At least one (1) business day prior the date scheduled for the Student Conduct Board Hearing the parties must submit to the Chair of the Student Board the following information, if applicable."
 - i. All pertinent records, exhibits and written statements (including Impact or Position Statements);
 - ii. A list of witnesses, if any, who will be speaking on behalf of the Accused Student or Complainant, including a brief summary of the information to be given by each; and,

- iii. The name of the advisor, if any, who may be present in an advisory capacity at the hearing. See Part II.F.4.i." to D.2.d.
5. Add "or otherwise as deemed appropriate" ..."Hearings until such time that the Student Conduct Board" to D.2.f.
6. Remove "the Executive Vice President for Academic Affairs" from D.2.g., line 3-4.
7. Add "The decision of the President is final." to D.2.g., line 6.

Section Part IX. Substantive changes to "Attachments"

Subsection: Attachments

1. Remove "Attachment subtitles as footer" and Replace with "Attachment subtitles as headers"
2. Remove "Affairs" throughout Attachment A-E.
3. Renumber Attachment C.A., Delete alpha and Replace with numeric.
3. Remove "tape recording. (The reader should be tested prior to the beginning of the hearing so that the recorder will capture the voices of all present.) and Replace with "recording." to Attachment C.A.1.
4. Remove "tape" and Add "ing" to Attachment C.A.3.
5. Add "may be questioned" and Remove "will initially be questioned" from Attachment C.C.1., line 3.
6. Add "to" to Attachment C.C.1., line 4.
7. Remove "Accused Student" and Replace with "Complainant" to Attachment C.C.1., paragraph 2, line 2-3.
8. Add "regarding the allegations" to Attachment C.D.1., line 2.
9. Remove "initially will" and Replace with "may" to Attachment C.D.1., line 2.
10. Add "the" to Attachment C.D.3., line 1.
11. Remove "The Findings and Recommendations may be appealed only on the following grounds: 1. Whether the Student Conduct Board Hearing was conducted fairly in light of the charges and the information presented, and in conformity with procedures herein, giving the complaining party a reasonable opportunity to prepare and to present information that the Student Code was violated, and giving the Accused Student a reasonable opportunity to prepare and to present a response to the allegations. Deviations from the procedures herein will not be a basis for reconsideration unless significant prejudice results. 2. Whether the Findings regarding the Accused Student were based on substantial information, that is, whether there were facts in the case that, if believed by the Student Conduct Board, were sufficient to establish that a violation of the Student Code occurred. 3. Whether the sanction(s) imposed were appropriate for the violation of the Student Code which the student was found to have committed. 4. Whether there is new information sufficient to alter the Findings or other relevant facts not available or mentioned in the original hearing, because such information and/or facts were not known to the person appealing at the time of the original Student Conduct Board Hearing. 5. Refer the parties to the Code of Professional and Academic Conduct in the TTUHSC Student Affairs Handbook if they have further

- questions. Any further questions regarding the Student Code or the Findings and Recommendations should be directed to the Student Conduct Administrator. Questions should not be directed to any member of the Student Conduct Board.” from C.G., Items 1 – 5.
12. Add “Refer the parties to the Code of Professional and Academic Conduct in the TTUHSC Student Handbook if they have further questions regarding this proceeding or the appeal process. Questions should not be directed to any member of the Student Conduct Board.” To C.G., Item 2.
 13. Add “Cease all recording of the proceeding.” to C.G., Item 5.
 14. Remove “5” and Add “7” to Attachment D.
 15. Remove “In accordance with the Student Code, you may request reconsideration of the Findings and Recommendations of the Student Conduct Board within five (5) business days from the date of this decision.” from Attachment D, paragraph 10.
 16. Add “the” to Attachment E, paragraph 3.
 17. Remove “taped” and Replace with “recorded” to Attachment E., paragraph 3.
 18. Remove “tapes were” and Replace with “recording was” from Attachment E., paragraph 3.
 19. Remove “In accordance with the Code of Professional and Academic Conduct in the TTUHSC Student Affairs Handbook, you may request reconsideration of the Findings and Recommendations of the Student Conduct Board within five (5) business days from the date of this decision. Any request for reconsideration must be in writing and delivered to the Student Conduct Administrator or his or her designee. A copy of the request must also be sent to the other affected party, along with the Dean, the Student Conduct Administrator and the Chair of the Student Conduct Board.” from Attachment E, paragraph 11.
 20. Add “Chair of the Student Promotions and Professional Conduct Board” to Attachment E.

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

PART II. CODE OF PROFESSIONAL AND ACADEMIC CONDUCT ("STUDENT CODE")

A. General Policy

1. An environment in which the privileges of citizenship are protected and the obligations of citizenship are understood fosters freedom of discussion, inquiry, and expression. Accordingly, the University community has developed standards of behavior pertaining to students and to student organizations.
2. Students and student organizations are subject to disciplinary action according to the provisions of the Student Code and/or any other applicable University rules or regulations.
3. Each student is responsible to become familiar with the various regulations of the University and meet the various requirements outlined below. Written policies are described in University publications such as this Handbook and the Schools' individual catalogs. Each student, in accepting admission, indicates a willingness to subscribe to and be governed by the rules and regulations of University officials to take such disciplinary action, including dismissal or expulsion, as may be deemed appropriate for failure to abide by such rules and regulations.
4. Each student is responsible for his/her own integrity, and is likewise responsible for reporting possible violations of this Student Code by other students. Faculty and staff shall take all reasonable steps to prevent violations, and each faculty/staff member likewise is responsible for reporting violations.

B. Disciplinary Jurisdiction

1. The Student Code shall apply to conduct that occurs on University premises, at University sponsored activities, and to off-campus conduct that adversely affects the University and/or pursuit of its objectives. On a case-by-case basis, the Dean of each respective School, in his or her sole discretion, shall determine whether the Student Code should be applied to conduct occurring off premises.
2. All students are expected to subscribe to an honor system which is implicit in accepting admission to the University. Each student shall be responsible for his/her conduct from the time of the application for admission through the actual awarding of a degree, even though conduct may occur before classes begin or after classes end, as well as during the academic year and during periods between terms of actual enrollment. The Student Code shall apply to persons who withdraw after alleged violation of the Code, who are not officially enrolled for a particular term but who have a continuing relationship with the University, or who have been notified of their acceptance.

C. Violation of Law and TTUHSC Discipline

1. A disciplinary proceeding may be instituted against a student charged with conduct that allegedly violates both the criminal and/or civil law and this Student Code (that is, if both possible violations result from the same factual situation) without regard to the pendency of civil or criminal litigation in court or criminal arrest or prosecution.

Proceedings under this Student Code may be carried out prior to, simultaneously with, or following civil and/or criminal proceedings off campus at the discretion of the Dean of each School. Determinations made or sanctions imposed under the Student Code shall not be subject to change because criminal charges arising out of the same facts giving rise to violation of University rules were dismissed, reduced, or resolved in favor of or against the criminal and/or civil law defendant.

2. When a student is charged by federal, state or local authorities with a violation of law, TTUHSC will not request or agree to special consideration for that individual because of his or her status as a student. If an alleged offense is also being processed under this Student Code, TTUHSC may advise off-campus authorities of the existence of this Student Code and how such matters are typically handled at TTUHSC. To the extent allowed by law, TTUHSC will cooperate with law enforcement and other agencies in the enforcement of criminal law on campus and in the conditions imposed by criminal courts for the rehabilitation of student violators, provided that the conditions do not conflict with TTUHSC rules or sanctions.

D. Misconduct

Any student or student organization found to have committed the following misconduct is subject to disciplinary sanction(s), condition(s) and/or restriction(s). Misconduct or prohibited behavior includes, but is not limited to:

1. Alcoholic Beverages

a. Use, possession, sale, delivery or distribution of alcoholic beverages, except as expressly permitted by University policy; or,

b. Being under the influence of alcohol and/or intoxication.

NOTE: State law will be strictly enforced at all times on all property controlled by the University and is inclusive of all regional sites and its components.

2. Narcotics or Drugs

a. Use, possession, sale, delivery or distribution of any narcotic, drug or medicine prescribed to someone else, chemical compound or other controlled substance or drug-related paraphernalia, except as expressly permitted by law; or,

b. Being under the influence of narcotics or drugs, except as permitted by law.

NOTE: The University respects the rights and responsibilities of students and shall consider each violation of the University's substance abuse policy and each violation of federal, state, and/or local law on a "case-by-case" situation and, if appropriate, may attempt to initially use educational options and subsequent intervention and/or prevention options to assist students. Violations of this substance abuse policy shall result in appropriate disciplinary action by the respective School, which may include, but is not limited to, required attendance and active participation in substance abuse education and/or intervention programs, and may result in notification to the parents/guardians of dependent students under twenty-one (21) years of age.

3. Firearms, Weapons, and Explosives

~~a. Use or possession of weapons, including handguns, firearms, ammunition, fireworks, explosives, noxious materials, incendiary devices or other dangerous substances on University premises; or,~~

~~b. Attempting to ignite and/or the action of igniting University and/or personal property on fire either by intent, or as a result of reckless behavior, which results in damage on University premises.~~

Firearms, ammunition, explosive weapons, illegal knives and other deadly weapons are prohibited on university property except as specifically authorized by federal, state, or local laws.

NOTE: Possession of weapons by licensed holders of concealed handguns is prohibited on University premises. The Texas Tech Police Department provides storage for weapons and firearms.

4. Theft, Damage, or Unauthorized Use

a. Attempted or actual theft of property of the University, of other University students, of other members of the University community or off campus visitors;

b. Possession of property known to be stolen or belonging to another person without the owner's permission;

c. Attempted or actual damage to property of the University, University students, members of the University community or off campus visitors; or,

d. Attempted or actual unauthorized use of a credit card, debit card, automated teller machine card, telephone card and/or personal check; alteration, forgery or misrepresentation of any form of identification.

5. Actions Against Members of the University Community

a. Physical harm or threat of harm to any person;

- b. Intentional or reckless conduct which endangers the health or safety of any person;
- c. Behavior that disrupts the normal operation of the University, including its students, faculty and staff;
- d. Sexual conduct that involves:
 - i. Deliberate touching of another's sexual parts without consent;
 - ii. Deliberate sexual invasion of another without consent; or,
 - iii. Deliberate constraint or incapacitation of another, without that person's knowledge or consent, so as to put another at substantially increased risk of sexual injury;
- e. Unwelcomed sexual advances, requests for sexual favors, or other verbal or physical conduct of sexual nature that expressly or implicitly imposes conditions upon, threatens, interferes with, or creates an intimidating, hostile or demeaning environment for an individual's:
 - i. Academic pursuits;
 - ii. University employment;
 - iii. Participation in activities sponsored by the University or organizations or groups related to the University; or,
 - iv. Opportunities to benefit from other aspects of University life;
- f. Excessive pressure, threats, or any form of conduct, coercive tactics or mind control techniques used to retain or recruit a student for membership in an organization; or,
- g. Actions involving free expression activities are covered in Parts VII and VIII of this Handbook.

6. Gambling, Wagering, or Bookmaking

Gambling, wagering, or bookmaking on University premises is prohibited.

7. Hazing

Hazing means any intentional, knowing or reckless act directed against a student, occurring on or off the campus, by one person alone or acting with others, directed against a student, that endangers the mental or physical health or safety of a student for the purpose of pledging or associating, being initiated into, affiliating with, holding office in, seeking and/or maintaining membership in any organization whose members are, or include, students. Consent or acquiescence by a student or students subjected to hazing is not a reasonable defense in a disciplinary proceeding. Hazing includes, but is not limited to:

- a. Any type of physical brutality, such as whipping, beating, striking, branding, electronic shocking, placing of a harmful substance on the body or similar activity;
- b. Any type of physical activity, such as sleep deprivation, exposure to the elements, confinement in a small space, calisthenics or other activity that subjects the student to an unreasonable risk of harm or that adversely affects the mental or physical health or safety of a student;
- c. Any activity involving consumption of a food, liquid, alcoholic beverage, liquor, drug or other substance which subjects a student to an unreasonable risk or harm, or which adversely affects the mental, physical health or safety of a student;
- d. Any activity that intimidates or threatens a student with ostracism that subjects a student to extreme mental stress, shame or humiliation, or that adversely affects the mental health or dignity of a student, or that discourages a student from entering or remaining registered at this educational institution, or that may reasonably be expected to cause a student to leave the organization or the institution rather than submit to acts described above;
- e. Any activity in which a person engages in hazing; solicits, encourages, directs, aids or attempts to aid another in engaging in hazing; intentionally, knowingly or recklessly permits hazing to occur; has firsthand knowledge of the planning of a specific hazing incident which has occurred; and, knowingly fails to report the incident in writing to the specific School's student affairs office;
- f. Any activity in which hazing is either condoned or encouraged or actions of any officer or combination of members, pledges, associates or alumni of the organization in committing or assisting in the commission of hazing; or,
- g. Any act that is unlawful as designated by local, state, or federal government.

NOTE: *Texas Education Code, Sections 37.151-37.157 and Section 51.936*

8. False Alarms or Terrorist Threats

Intentional sounding of a false fire alarm; making a false emergency call or terrorist threat; issuing a bomb threat; constructing mock explosive devices; improperly possessing, tampering with or destroying fire equipment, Automatic External Defibrillators (AED) or emergency signs on University premises.

9. Financial Irresponsibility

Failure to meet financial obligations owed to the University, including, but not limited to, the writing of checks on accounts with insufficient funds.

10. Unauthorized Entry, Possession or Use

- a. Unauthorized entry into or use of University facilities;
- b. Unauthorized possession or duplication, processing, production, or manufacture of any key or unlocking device or access code for use in any University facility;
- c. Unauthorized use of the University name, logotype, registered marks or symbols of the University; or,
- d. Use of the University's name to advertise or promote events or activities in a manner which suggests sponsorship by the University.

11. Traffic and Parking

- a. Violation of University Traffic and Parking regulations; or,
- b. Obstruction of the free flow of pedestrian traffic on University premises.

12. Housing and Dining Services Regulations

Violation of rules, which govern behavior in the campus residence and/or dining halls as stated in the Residence Hall Handbook and/or other notifications or publication provided by the University Department of Housing and Dining Services.

13. Student Recreation and Aquatic Center Regulations

Violation of rules, which govern behavior in the University Student Recreation Center and Aquatic Center.

14. Failure to Comply with Reasonable Directions or Requests of University Officials

Failure to comply with the reasonable directions or requests of a University official acting in the performance of his or her duties.

15. Failure to Present Student Identification

Failure to present student identification on request to any University official and identify himself/herself to any University official acting in the performance of his/her duties.

16. Abuse, Misuse or Theft of University Computer Data, Programs, Time, Computer or Network Equipment

- a. Unauthorized use of computing and/or networking resources;
- b. Use of computing and/or networking resources for unauthorized or non-academic purposes;

- c. Unauthorized accessing or copying of programs, records or data belonging to the University or another user or copyrighted software, without permission;
- d. Attempted or actual breach of the security of another user's account and/or computing system, depriving another user of access to University computing and/or networking resources, compromising the privacy of another user or disrupting the intended use of computing or network resources;
- e. Attempted or actual use of the University's computing and/or networking resources for personal or financial gain;
- f. Attempted or actual transport of copies of University's programs, records or data to another person or computer without written authorization;
- g. Attempted or actual destruction or modification of programs, records or data belonging to the University or another user or destruction of the integrity of computer-based information;
- h. Attempted or actual use of the computing and/or networking facilities to interfere with the normal operation of the University's computing and/or networking systems; or through such actions, causing a waste of such resources (people, capacity, computer); or,
- i. Allowing another person, either through one's personal computer account, or by other means, to accomplish any of the above.

17. Providing False Information or Misuse of Records

Knowingly furnishing false information to the University, or to a University official in the performance of his/her duties, either verbally, or through forgery, alteration or misuse of any University document, record or instrument of identification.

18. Skateboard, Roller Blades, or Similar Devices

Use of skateboards, roller blades or other similar devices in University buildings or on University premises in such a manner as to constitute a safety hazard or cause damage to University or personal property.

NOTE: Also refer to TTUHSC OP 76.32, Traffic and Parking Regulations, Attachment A, Section V (K).

19. Academic Misconduct

- a. A student who witnesses academic misconduct or who is approached with an offer to gain unfair advantage or commit academic misconduct is obligated to report that violation to the appropriate authority (See Part II.F). Failure to do so may result in disciplinary action. Faculty and staff are likewise responsible to report academic misconduct in accordance with Part II.F.

b. "Academic misconduct" involves any activity that tends to compromise the academic integrity of the University, or subvert the educational process, including, but not limited to, cheating, plagiarism, falsifying academic records, misrepresenting facts and any act designed to give unfair academic advantage to the student or the attempt to commit such an act.

c. "Cheating" includes, but is not limited to:

- i. Using of any aid, sources and/or assistance beyond those authorized by the instructor in taking a course, laboratory, field work, quiz, test or examination; writing papers; preparing reports; solving problems; or carrying out assignments;
- ii. Failing to comply with instructions given by the person administering the test;
- iii. Using, buying, stealing, transporting or soliciting in whole or part the contents of an examination, test key, homework solution or computer program;
- iv. Seeking aid, receiving assistance from, or collaborating (collusion) with another student or individual during a course, quiz, test, examination or in conjunction with other assignment (including, but not limited to writing papers, preparing reports, solving problems or making presentations) unless specifically authorized by the instructor;
- v. Discussing the contents of an examination with another student who will take the examination;
- vi. Divulging the contents of an examination, for the purpose of preserving questions for use by another, when the instructor has designated that the examination is not to be removed from the examination room, be returned to or kept by the student;
- vii. Substituting for another person, or permitting another person to substitute for oneself to take a course, test or any course-related assignment;
- viii. Paying or offering money or other valuable thing to, or coercing another person to obtain an examination, test key, homework solution or computer program, or information about an examination, test key, homework solution or computer program;
- ix. Falsifying research data, laboratory reports and/or other academic work offered for credit;
- x. Taking, keeping, misplacing or damaging the property of the University, or of another, if the student knows or reasonably should know that an unfair academic advantage would be gained by such conduct;
- xi. Possession at any time of current or previous test materials without the instructor's permission;
- xii. Acquisition or dissemination by any means, without permission, of tests or other academic material belonging to a member of the University community;
- xiii. Alteration of grade records;
- xiv. Bribing, or attempting to bribe, a member of the University community or any other individual to alter a grade;

- xv. Falsification, fabrication, or dishonesty in reporting laboratory and/or research results;
- xvi. Submitting substantially the same work to satisfy requirements for one course that has been submitted in satisfaction of requirements for another course, without specific permission of the instructor of the course for which the work is being submitted.
- xvii. Possession during an exam of prohibited materials, including but not limited to study/review materials, class notes, review questions, etc.

d. "Plagiarism" includes, but is not limited to, the appropriation, buying, receiving as a gift, or obtaining by any other means another's work (such as words, ideas, expressions, illustrations, or product of another), in whole or in part, and the submission of it as one's own work offered for an academic credit or requirement. When a student presents the works of another (published or unpublished) in his/her academic work, the student shall fully acknowledge the sources according to methods prescribed by his/her instructor.

e. "Falsifying academic records" includes, but is not limited to, altering or assisting in the altering, of any official record of the University and/or submitting false information or omitting requested information that is required for, or related to, any academic record of the University. Academic records include, but are not limited to, applications for admission, the awarding of a degree, grade reports, test papers, registration materials, grade change forms and reporting forms used by the Office of the Registrar.

f. "Misrepresenting facts" to the University or an agent of the University includes, but is not limited to, providing false grades or resumes, providing false or misleading information in an effort to receive a postponement or an extension on a test, quiz, or other assignment for the purpose of obtaining an academic or financial benefit for oneself or another individual, or providing false or misleading information in an effort to injure another student academically or financially.

g. For additional information relating to the School of Medicine Academic Misconduct procedures, please refer to E.1.d.

20. Violation of Published University Policies, Rules, or Regulations

Violation of any published University policies, rules, or regulations that govern student or student organization behavior, including, but not limited to, applicable publications for each TTUHSC School, such as student handbooks, catalogs, professional and ethical standards and course syllabus.

21. Violation of Federal, State, and/or Local Law

Misconduct which constitutes a violation of any provisions of federal, state and/or local laws.

22. Abuse of the Discipline System

- a. Failure by an Accused Student to comply with or respond to a notification to appear before the Dean of his or her School, Dean's representative and/or an official of the University, including, but not limited to, the Student Conduct Administrator, during any stage of a disciplinary proceeding. Failure to comply with or respond to a notice issued as part of a disciplinary procedure and/or failure to appear will not prevent the Dean or Dean's representative and/or an official of the University from proceeding with disciplinary action;
- b. Falsification, distortion or misrepresentation of information in disciplinary proceedings;
- c. Disruption or interference with the orderly conduct of a disciplinary proceeding;
- d. Filing an allegation known to be without merit or cause;
- e. Discouraging or attempting to discourage an individual's proper participation in, or use of, the discipline system;
- f. Influencing or attempting to influence the impartiality of a member of a disciplinary body prior to and/or during the disciplinary proceeding;
- g. Harm, threat of harm, or intimidation either verbally, physically or written of a member of a disciplinary body prior to, during and/or after disciplinary proceeding;
- h. Failure to comply with the sanction(s), condition(s) and/or restriction(s) imposed under this Student Code;
- i. Influencing or attempting to influence another person to commit an abuse of the discipline system; or,
- j. Retaliation against any person or group who files a Complaint of Misconduct in accordance with the Student Code or files a grievance under the applicable School grievance policy.

E. Other Professional and Ethical School Standards

In addition to the Misconduct identified in Part II.D, the following constitute professional and ethical standards for individual TTUHSC Schools.

1. School of Medicine

- a. All students entering the TTUHSC School of Medicine are required to subscribe to the Medical Student Honor Code, as well as the Student Code. Adherence to the Medical Student Honor Code and the Student Code is considered a requirement for admission to the School of Medicine.

b. TTUHSC School of Medicine students, as well as medical professionals in general, are expected, not only by patients, but also by society as a whole, to possess certain attributes, which include, but are not limited to:

- i. Altruism, whereby they subordinate their own interests to take care of their patients;
- ii. High ethical and moral standards;
- iii. Honesty, integrity, trustworthiness, caring, compassion and respect in their interactions with patients, colleagues and others;
- iv. Accountability, not only for their own actions, but also for those of their colleagues, which is the basis for the autonomy of the profession; and,
- v. Maintaining confidentiality concerning the patient and the patient's records.

c. *Medical Student Honor Code*

"In my capacity as a Texas Tech University Health Sciences Center School of Medicine medical student, I will uphold the dignity of the medical profession. I will, to the best of my ability, avoid actions which might result in harm to my patients. I will protect the dignity of my patients and the deceased, and will protect their confidential information in accordance with the prevailing standards of medical practice. I will not lie, cheat, or steal. I will enter into professional relationships with my colleagues, teachers, and other health care professionals in a manner that is respectful and reflective of the high standards and expectations of my profession. I will not tolerate violations of this Code by others and will report such violations to the appropriate authorities."

d. In matters of Academic Misconduct, the student shall refer to the School of Medicine Student Affairs Handbook, Code of Professional and Academic Conduct and Promotions Policy.

2. School of Nursing

a. All students entering into the TTUHSC SON are required to subscribe to the standards and codes of the profession.

b. TTUHSC SON students as nursing professionals, are expected, not only by patients, but also by society as a whole to adhere to:

- i. American Nurses Association (ANA) Code of Ethics for Nurses, and the;
- ii. Texas Board of Nurse Practice/Unprofessional Conduct Rules.

c. Students who fail to uphold and/or comply with the above codes and standards for safe and professional nursing practice will be considered in violation of the law and/or professional nursing standards.

3. School of Pharmacy

a. The following principles of professional conduct are established to guide pharmacists in relationships with patients, fellow practitioners, other health professionals, and the public. A Pharmacist should hold the health and safety of

patients to be of first consideration and should tender to each patient the full measure of professional ability as an essential health practitioner. All candidates of the TTUHSC School of Pharmacy shall subscribe to the Student Code. Each candidate implicitly and personally subscribes to the following, as well as the Student Code, in accepting admission to the School of Pharmacy.

- i. A Pharmacist should never knowingly condone the dispensing, promoting or distributing of drugs or medical devices, or assist therein, that are not of good quality, that do not meet standards required by law, or that lack therapeutic-value for the patient.
- ii. A Pharmacist should always strive to perfect and enlarge professional knowledge. A pharmacist should utilize and make available this knowledge as may be required in accordance with the best professional judgment.
- iii. A Pharmacist has the duty to observe the law, to uphold the dignity and honor of the profession, and to meet and maintain ethical principles. A pharmacist should not engage in any activity that will bring discredit to the profession and should expose, without fear or favor, illegal or unethical conduct in the profession.
- iv. A Pharmacist should seek at all times only fair and reasonable remuneration for professional services. A pharmacist should never agree to, or participate in, transactions with practitioners of other health professions or any other person under which fees are divided or that may cause financial or other exploitation in connection with the rendering of professional services.
- v. A Pharmacist should respect the confidential and personal nature of professional records; except where the best interest of the patient requires or the law demands, a pharmacist should not disclose such information to anyone without proper patient authorization.
- vi. A Pharmacist should not agree to practice under terms or conditions that interfere with or impair the proper exercise of professional judgment and skill, that cause a deterioration of the quality of professional services, or that require consent to unethical conduct. A Pharmacist should strive to provide information to patients regarding professional services truthfully, accurately and fully and should avoid misleading patients regarding the nature, cost or value of those professional services.
- vii. A Pharmacist should associate with organizations having as their object the betterment of the profession of pharmacy and should contribute time and funds to carry on the work of these organizations.
- viii. Acts of plagiarism or any other acts of academic dishonesty (as defined in Part II.D above) by students on any assignment, quiz or examination shall result in a course grade of zero (0) and may also result in the additional sanctions identified in Part II.G below.

4. School of Allied Health Sciences

Students in the School of Allied Health Sciences will not lie, cheat, or steal or tolerate those who do.

F. Disciplinary Procedures *Academic issues, such as grading and promotion issues, should be addressed by each school's policies and procedures.*

1. Nature of Proceedings. These proceedings are part of an educational process whereby the University applies its values to establishing the best possible learning environment for its students. These proceedings are not specifically intended to follow, or be restricted by, courtroom or judicial procedures, including the rules of evidence. In addition, these proceedings are not intended for grading and promotions issues, which should be addressed under individual School policies for that purpose.

2. Procedural Deviations. If the Student Conduct Board has not yet been appointed, or in the absence of the Chair of the Student Conduct Board, the parties and the Student Conduct Administrator may agree in advance and in writing to minor deviations from procedure. If a Student Conduct Board has been appointed, the parties and the Chair of the Student Conduct Board may mutually agree to procedural deviations, such as deadlines for submission of evidence and hearing dates and times. If an Appellate Board has been appointed, the parties and the Chair of the Appellate Board may mutually agree to procedural deviations. Such deviations are not then subject to appeal by the parties. Other minor deviations are acceptable as long as such deviations are not found upon appeal to be unreasonably harmful to the student.

NOTE: Any notices that are sent by mail will be considered to have been received on the third calendar day after the date of mailing, excluding any intervening Saturday, Sunday or holiday.

3. Filing Complaint

a. Any member of the University community may file a Complaint(s) against a student(s) or a student organization(s) for violation(s) of ~~this the~~ Student Code. ~~Prior to filing a Complaint, A~~ preliminary investigation/discussion with a supervisor (program director, chair, etc.) must be done prior to ~~formally filing of a~~ Complaint. ~~If there is a basis for the Complaint exists, the Complaint should be prepared in writing using Attachment A and shall~~ completed and delivered by the Complainant be directed to the Student Conduct Administrator. Any Complaint shall be submitted as soon as possible ~~after the event takes place, but no later than twenty (20) business days from the date of the relevant event or when the Complainant becomes aware of the relevant event.~~ Complaints f~~ilingsed that are submitted after more than~~ more than twenty university workingbusiness days after the event should~~hall include a~~ be accompanied with a justification for the delay and will be accepted on a "case by case" basis as determined by the Student Conduct Administrator.

b. When a Complaint is filed, the Student Conduct Administrator will provide the Accused Student with the Complaint of Misconduct filed by the Complainant and will request in writing that the Accused Student may appear before the Student Conduct Administrator to discuss the Complaint. (Attachment B). The Accused Student must meet with the Student Conduct Administrator.

c. The Student Conduct Administrator may conduct an investigation to determine if the Complaint can be disposed of administratively by mutual, written consent of the parties involved on a basis acceptable to the Student Conduct Administrator and the applicable Dean. Such disposition shall be final and there shall be no subsequent proceedings. The Student Conduct Administrator will send a written notification of the resolution to all parties involved.

d. If the Complaint is not disposed of administratively under Part II.F.4.c above, the Student Conduct Administrator will meet with the Accused Student to determine if the Accused Student admits or denies violating institutional rules.

i. If the Accused Student admits violating institutional rules, but sanctions are not agreed to, a Student Conduct Board Hearing shall be conducted in accordance with Part II.F.4 but shall be limited to recommending the appropriate sanction(s) Part II.G.

ii. If the Accused Student denies violating University rules, ~~within five (5) business days from meeting with the Student Conduct Administrator, or fails to respond to the Student Conduct Administrator within five (5) days from the Student Conduct Administrator's written request to meet with the student,~~ the allegations shall be referred by the Student Conduct Administrator for a Hearing before the Student Conduct Board under Part II.F.4 below.

e. A Student Conduct Board Hearing shall be scheduled within ~~twenty (20)~~thirty (30) business days after the Accused Student has met with the Student Conduct Administrator under Part II.F.3.d. above.~~been notified under Part II.F.4 below.~~ In cases in which an examination period intervenes between the time of the notice to the Accused Student and the Student Conduct Board Hearing date, such Hearing will be held during the first week in which classes are again in session. In the case of inclement weather, the chair of the Student Conduct Board will notify all parties of any cancellations or schedule changes.

4. Student Conduct Board Hearings

a. *Closed Hearing.* A Student Conduct Board Hearing will be conducted in closed session. Any request for an exception must be submitted in writing to the Student Conduct Administrator, who shall render a final written decision.

b. *Hearing Notice.* At least ~~ten (10)~~fifteen (15) business days prior to the Student Conduct Board Hearing, the Chair of the Student Conduct Board will provide written notice to the parties (e.g., Sample Hearing Notice from Chair, Attachment D) of the following:

- i. Date, time and place for the hearing,
- ii. Name of the members of the Student Conduct Board,
- iii. Summary statement of the charge(s), and
- iv. Request in writing that at least five (5) business days prior to the Student Conduct Board Hearing, the Accused Student and the Complainant submit the information outlined herein below.

c. *Challenge.* An Accused Student and/or Complainant may challenge in writing the impartiality of any member of the Student Conduct Board up to three (3) business days after receiving the Hearing Notice by submitting their reasons for the challenge ~~in writing~~ to the Student Conduct Administrator.

Any member of the Student Conduct Board whose participation is challenged shall be required to establish to the Student Conduct Board ~~chair~~ Administrator that the member can serve with fairness and objectivity. If ~~he~~ the member cannot establish his or her fairness and objectivity to the satisfaction of the Student Conduct Board Administrator, ~~chair~~, ~~the chair will ask the member to recuse himself or herself and~~ the member in question shall be removed and a substitute will be appointed by the Student Conduct Administrator.

d. *Evidence Submission.* At least ~~five (5)~~ seven (7) business days prior to the date scheduled for the Student Conduct Board Hearing, the Complainant and the Accused Student must submit to the Chair of the Student Conduct Board the following information, if applicable. Requests for extensions to file information with the Student Conduct Board shall be submitted to the Chair.

- i. All pertinent records, exhibits and written statements (including Impact or Position Statements);
- ii. A list of all witnesses, if any, who will be speaking on behalf of the Accused Student or Complainant, including a brief summary of the information to be given by each; and,
- iii. The name of the advisor, if any, who may be present in an advisory capacity at the hearing. See Part II.F.5-4.i below.

e. *Evidence Exchange.* At least ~~three (3)~~ five (5) business days prior to the hearing, the Chair will provide each party with the information, if any, submitted by the other party.

f. *Separate or Joint Hearings.* ~~In A Student Conduct Board Hearings involving two or more Accused Students, the Student Conduct Administrator, in his or her sole discretion, may be conducted permit the Student Conduct Board Hearings concerning each student, respectively, to be conducted either separately or jointly as determined by the Student Conduct Administrator. An accused student may request in writing to the Student Conduct Administrator a separate hearing u-p to three (3) business days after receiving the notice of hearing. The Student Conduct Board Administrator shall notify the student within three (3) business days the determination of the request.~~

g. *Recordings.* TTUHSC shall record, either digitally, ~~or through~~ audiotape, or otherwise as deemed appropriate all Student Conduct Board Hearings until such time that the Student Conduct Board begins discussion and deliberation and prepares its Findings and Recommendations. Deliberations shall not be recorded. The record is University property. Pursuant to the Family Educational Rights and Privacy Act of 1974 (FERPA), as amended, the student will be allowed to review, but not to copy, the hearing record. 34 C.F.R. § 99.10 (2003).

Neither the Complainant, the Accused Student nor any witnesses are permitted to make any independent record of the proceedings.

h. *Hearing Attendance.* The Complainant, Accused Student and their respective advisors, if any, shall be allowed to attend the entire portion of the Student Conduct Board Hearing at which information is received, excluding deliberations.

i. *Advisors.* The advisor must be a member of the University community and may not be an attorney. However, if an Accused Student is also the subject of a pending criminal investigation, indictment or charge arising out of the same circumstances, he or she may be allowed to have an attorney serve as his or her advisor, at his or her own expense, to participate in the same manner as any other advisor. If an advisor for the Accused Student is an attorney, an attorney from the Office of General Counsel shall attend the Student Conduct Board Hearing on behalf of the University. The University will provide legal counsel for the Student Conduct Board if the Student Conduct BoardSCB Chair deems it necessary.

The Complainant and/or the Accused Student is responsible for presenting his or her own information, and therefore, advisors are not permitted to speak or to participate directly in any Student Conduct Board Hearing before a Student Conduct Board. A student should select as an advisor a person whose schedule allows attendance at the scheduled date and time for the Student Conduct Board Hearing, as delays will not be allowed due to the scheduling conflicts of an advisor, except at the discretion of the Chair upon written request seven (7) business days in advance of the date scheduled for the Student Conduct Board Hearing.

j. *Witnesses.* Members of the Student Conduct Board may question all witnesses, followed by the parties. Questioning by the Complainant and the Accused Student may be limited in the sole discretion of the Chair of the Student Conduct Board for such ~~things-issues~~ as preserving the civility~~the educational tone~~ of the hearing, avoiding redundant and irrelevant questioning, and/or providing for the efficient administration of the Hearing. Witnesses are permitted to attend the Student Conduct Board Hearing only ~~when they are providing information, during the time they are providing testimony, they are being questioned by the complainant, the accused or the committee unless the Student Conduct Board~~ Student Conduct Board Chair, in his/her sole discretion determines ~~its sole discretion, allows otherwise.~~

i. *Parties Witnesses.* The Complainant and the Accused Student may arrange for witnesses to present pertinent information to the Student Conduct Board. The Complainant and the Accused Student are responsible for arranging for the voluntary attendance of his or her own witnesses.

ii. *Board Witnesses.* In its sole discretion, the Student Conduct Board may call other witnesses not identified by the Accused Student or the Complainant. If prior to the hearing the Student Conduct Board

anticipates calling additional witnesses, the Board shall notify the Student Conduct Administrator. The Student Conduct Administrator will then arrange for the voluntary attendance of the witnesses identified by the Student Conduct Board. The Student Conduct Administrator shall notify the Accused Student and the Complainant of the additional witnesses. If any witness called by the Student Conduct Board intends to present written information to the Board, the Student Conduct Administrator is responsible for forwarding such information to the Complainant, the Accused Student and the Student Conduct Board prior to the Hearing. No Board members shall have communication with any witnesses, except in the Hearing with the Accused Student and Complainant present.

k. *Procedural Questions.* All procedural questions are subject to the final decision of the Chair of the Student Conduct Board. If a Student Conduct Board has not been appointed, the Student Conduct Administrator will issue a final decision in response to procedural questions.

l. *Deliberations.* If the Student Conduct Board concludes that all pertinent information has been received, the Student Conduct Board shall adjourn the Hearing to discuss, deliberate and prepare Findings and Recommendations. The Student Conduct Board will determine by a simple majority (more than half of the votes cast) of members present at a duly called meeting vote whether the Accused Student has violated any section of the Student Code which the student is charged with violating. If the Student Conduct Board finds a violation(s) of the Student Code, the Student Conduct Board may also recommend all or any of the sanctions identified in Part II.G below.

m. *Failure to Appear.* The Accused Student is expected to attend and participate in the Student Conduct Board Hearing. If the Accused Student or the Complainant elects not to attend a hearing after appropriate written notice Section II.F.5.b above, the charges will be reviewed as scheduled on the basis of the information available, and a recommendation will be made by the Board. Although no inference may be drawn against an Accused Student for failing to attend a hearing or remaining silent, the hearing will proceed and the conclusion will be based on the evidence presented. No decision shall be based solely on the failure of the Accused Student to attend the hearing or answer the charges.

n. *Findings and Recommendations.* The Chair is responsible to prepare the Student Conduct Board's Findings and Recommendations in writing. (Sample Findings and Recommendations are attached as Attachment E). If the Findings and Recommendations are not unanimous, opinion(s) may be written by those who differ with the Majority's Findings and Recommendations. The Chair will forward the Findings and Recommendations, including differing opinion(s), to the Dean, the Student Conduct Administrator, the Accused Student and the Complainant.

o. *Request for Reconsideration*~~Dean's Review~~. If the Accused Student does not file a Request for Reconsideration in accordance with Part II.H below, ~~t~~The Dean will review the Findings and Recommendations of and the Request for

~~Reconsideration, and and, at his or her sole discretion, the record from the Student Conduct Hearing and supporting documents, and transmit his or her decision in writing within seven (7) business days to the Accused Student, the Complainant, the Student Conduct Administrator, and the Chair of the Student Conduct Board, and if applicable, the Chair of the Appellate Board. Actions of the Dean are not limited to sanctions recommended by members of the Student Conduct Board. The Dean's decision shall be final.~~

p. *Appeal.* Within ten (10) business days of receipt of the decision of the Dean, if the either party believes that the *due process* procedures have been violated, an appeal may be made, in writing, to the President of the Health Sciences Center. The President will review the case and notify all parties of his decision within ten (10) business days. If a written appeal is not submitted within ten (10) business days following receipt of the Dean's letter, the right to appeal is thereby waived and the Dean's decision is final.

The Accused Student or Complainant may only raise, and the President shall only consider, the following:

a. Whether a procedural deviation occurred that substantially affected the outcome of the case;

b. Whether there is new information sufficient to alter the Findings or other relevant facts not available or mentioned in the original hearing, because such information and/or facts were not known to the person appealing at the time of the original Student Conduct Board Hearing.

The President will review the Findings and Recommendations and, at his or her sole discretion, the record from the Student Conduct Hearing and supporting documents, and transmit his or her decision in writing to the Accused Student, the Complainant, the Student Conduct Administrator, the Chair of the Student Conduct Board, and the Dean. The President's decision shall be final.

G. Sanctions

1. The following sanctions may be recommended by the Student Conduct Board, and imposed by the Dean of the School, upon any student found to have violated this Student Code.

a. *Failing Grade or Cancellation of Credit.* Failing grade for an examination or assignment or for a course, and/or cancellation of all, or any portion, of a prior course credit.

b. *Censure.* A notice in writing to the student that the student is violating or has violated institutional regulations. At the Dean's discretion, the censure may remain permanently in the student's disciplinary file or be removed at graduation if certain conditions are met.

- c. *Probation.* A written reprimand for violation of specified regulations. Probation is for a designated period of time and includes the likelihood of more severe disciplinary sanctions if the student is found to violate any institutional regulation(s) during the probationary period.
 - d. *Loss of Privileges.* Denial of specified privileges for a designated period of time.
 - e. *Restitution.* Compensation for loss, damage or injury. This may take the form of appropriate service and/or monetary or material replacement.
 - f. *Discretionary Sanctions.* Work assignments, essays, training, service to TTUHSC or other related discretionary assignments.
 - g. *Suspension.* Separation of the student from TTUHSC for a defined (or specific) period of time, after which the student is eligible to return. Conditions for readmission must be specified.
 - h. *Dismissal With or Without Readmission.* Separation or dismissal of the student from their current program, with or without the option to apply for readmission to TTUHSC. The student's transcript will reflect the nature of the dismissal.
 - i. *Revocation of Admission and/or Degree.* Admission to or a degree awarded by the University may be revoked for fraud, misrepresentation or other violations of University standards in obtaining the degree, or for other serious violations committed by a student prior to graduation.
 - j. *Withholding Degree.* The University may withhold awarding a degree otherwise earned until the completion of the process set forth in the Student Disciplinary Procedures.
 - k. *Multiple Sanctions.* More than one of the sanctions listed above may be imposed for any single violation.
2. Other than dismissal from the University or revocation or withholding of a degree, disciplinary sanctions shall not be made part of the student's permanent education record, but shall become part of the student's disciplinary record which is maintained in the Office of the Dean for the applicable School.
3. In situations involving both an Accused Student(s) (or a registered student organization) and a student(s) claiming to be the victim of another student's conduct, the records of the process and of the sanctions imposed, if any, shall be considered to be the education records of both the Accused Student(s) and the student(s) claiming to be the victim.
4. The following sanctions may be imposed upon registered student organizations and/or members thereof:

- a. Those sanctions listed above in Part II.G.1 above.
- b. Loss of selected rights and privileges for a specified period of time.
- c. *Deactivation*. Loss of all privileges, including University recognition and/or registration, for a specified period of time.

~~H. Request for Reconsideration to Appellate Board~~

~~1. The Accused Student(s) or Complainant(s) may request reconsideration of the Findings and Recommendations of the Student Conduct Board within five (5) business days from the date of the decision. Such request shall be in writing and shall be delivered to the Student Conduct Administrator or his or her designee. A copy of the Request for Reconsideration must be sent to the other affected party along with the Dean, the Student Conduct Administrator and the Chair of the Student Conduct Board.~~

~~2. The Appellate Board may request that the Chair of the Student Conduct Board submit a written statement in response to the Accused Student's Request for Reconsideration. In such case, the Accused Student will be provided an opportunity to respond in writing to the Chair's statement within three (3) business days from the Accused Student's receipt of the Chair's statement.~~

~~3. The Accused Student or Complainant may only raise, and the Appellate Board shall only consider, the following:~~

~~a. Whether a procedural deviation occurred that substantially affected the outcome of the case;~~

~~b. Whether there is new information sufficient to alter the Findings or other relevant facts not available or mentioned in the original hearing, because such information and/or facts were not known to the person appealing at the time of the original Student Conduct Board Hearing. The written decision of the original board must go to the Dean for review.~~

~~4. The Appellate Board's review of the appeal shall be limited to the verbatim record of the Student Conduct Hearing and supporting documents, as well as any written submissions by the Accused Student, the Complainant and/or the Chair of the Student Conduct Board. The appellate procedure is not intended to provide a new hearing to the Accused Student or the Complainant. The Appellate Board must by majority vote either:~~

~~a. recommends to the Dean that the Student Conduct Board's Findings and Recommendations be upheld;~~

~~b. return the Complaint of Misconduct to the original Student Conduct Board and Student Conduct Administrator for re-opening of Student Conduct Board Hearing to allow reconsideration of the original Findings and Recommendations; or~~

~~c. recommends to the Dean that another Student Conduct Board, consisting of person not part of the original Student Conduct Board Hearing, be convened to rehear the Complainant of Misconduct, if the Appellate Board believes that a procedural deviation occurred that substantially affected the outcome.~~

~~5. If the Appellate Board recommends to the Dean that the Student Conduct Board's Findings and Recommendations be upheld (Part II.H.4.a.) the Dean's decision regarding the complaint shall be final and binding on all involved.~~

~~6. Complaint of Misconduct is returned to the original Student Conduct Board for reopening (Part II.H.4.b.), or the Dean convenes another Student Conduct Board to rehear the Complaint of Misconduct (Part II.H.4.c.), the Findings and Recommendations of the Student Conduct Board will be forwarded directly to the Dean, who will issue a final decision regarding the Complaint of Misconduct.~~

I. Interpretation and Revision

1. Any question of interpretation or application of this Student Code shall be referred to the Dean of the appropriate School or his or her designee for final determination.

2. The Student Code Review Committee (Review Committee) shall conduct an annual review of the Student Code and make recommendations to the ~~Executive Vice President for Academic Affairs~~President regarding omission, clarifications, constructive changes and other matters relevant to the interpretation and operation of the Student Code. The Review Committee is composed of the Student Affairs representatives from each School, ~~and two full-time TTUHSC students. The two full-time TTUHSC students will be appointed by t~~The Executive Vice President for Academic Affairs who will ~~The President may~~ invite recommendations by the President of the Student Government Association. A quorum for the Review Committee is four members, ~~so long as at least one student member is present. The Executive Vice President for Academic Affairs may remove a member from this committee when, in his or her judgment, the member has failed or refused to serve and perform the duties and functions of the Review Committee.~~

PART III. WITHDRAWAL OF CONSENT

A. Recommendation to Withdraw Consent During Periods of Disruption

1. The term "period of disruption" is any period in which it reasonably appears that there is any of the following (Texas Education Code § 51.231):

- a. Threat(s) of destruction to University premises;
- b. Physical or emotional injury to human life on University premises; or,
- c. Threat(s) of willful disruption of the orderly operation of the University.

2. During periods of disruption, the Department Chair within the applicable School may recommend to the Dean that prior to a Student Conduct Board Hearing, and in

accordance with Texas Education Code, Section 51.233, a student have his/her consent to be in attendance at the University or on University premises be withdrawn when there is reasonable cause to believe that the student has willfully disrupted the orderly operation of University premises and that his/her presence on University premises will constitute a substantial and material threat to the orderly operation of the University premises.

3. Withdrawal of Consent shall not be longer than fourteen (14) days from the date on which consent was initially withdrawn.

4. Withdrawal of Consent is specifically provided by state statute (Texas Education Code § 51.233, et seq.). The provisions of Part III do not affect the power of the University to suspend, dismiss, or expel any student or employee at the University in accordance with the procedures set forth in Part II of this Handbook. If a person is alleged to have violated the Student Code, and Withdrawal of Consent also occurs, the procedures set forth in Parts II and III may occur concurrently.

B. Concurrence by Dean

1. If the Dean concurs with the Department Chair's recommendation, the student will have his/her consent to be in attendance at the University or on University premises withdrawn in writing by the Dean. Texas Education Code § 51.233(a) & 51.234.

2. The written notice by the Dean shall contain all of the following:

a. That consent to remain on the campus has been withdrawn and the number of days for which consent has been withdrawn, not to exceed fourteen (14);

b. Name and job title of the person withdrawing consent, along with an address where the person withdrawing consent can be contacted during regular working hours;

c. Brief statement of the activity or activities resulting in the Withdrawal of Consent; and,

d. Notification that the student is entitled to a hearing on the withdrawal not later than three (3) days from the date of receipt by the Dean of a request for hearing from the person.

3. Whenever consent is withdrawn by the Dean, the Dean shall submit a written report to the ~~Executive Vice President for Academic Affairs and the President~~ within twenty-four (24) hours, unless the Dean has reinstated consent for the student. The report shall contain all of the following:

a. Description of the student, including, if available, the student's name, address, and phone number; and,

b. Statement of the facts giving rise to the Withdrawal of Consent.

C. Confirmation by President

1. If the President or his/her designee upon reviewing the written report described above finds that there was reasonable cause to believe that the student has willfully disrupted the orderly operation of University premises, and that his presence on University premises will constitute a substantial and material threat to the orderly operation of the campus or facility, he/she shall enter written confirmation upon the report of the action taken by the Dean. Texas Education Code § 51.236 (b).
2. If the President or his/her designee does not confirm the action taken by the Dean within 24 hours after the time that consent was withdrawn, the Withdrawal of Consent shall expire, except that any arrest made during the period shall not for this reason be deemed not to have been made for probable cause.

D. Hearing

1. The student from whom consent to remain on campus has been withdrawn may submit a written request for a hearing to the President, with a copy to the Dean, within the fourteen (14) day period of withdrawal. The written request must state the address to which notice of hearing is to be sent.
2. The student shall be entitled to the following procedures in accordance with Texas Education Code, Sections 51.234 and 51.243.
 - a. *Hearing Notice.* Upon receipt of the request for hearing, the President shall mail a written notice of the time, place, and date of the hearing, along with pertinent records, exhibits and written statements to the student. A hearing will be conducted by an ad hoc Student Conduct Board for the applicable School ~~within~~ three (3) business days from the date that the President receives the request for hearing. The Student Conduct Board will be appointed by the President and will be comprised of members from the respective schools other than the accused.
 - b. *Representation.* The student may be represented by counsel and should provide written notification to the President at least one (1) day prior to the hearing that the student will be represented by counsel. If the student is represented by counsel, the University will be represented by the Office of General Counsel.
 - c. *Witnesses.* The student, as well as the Department Chair who recommended consent be withdrawn, have the right to call and question witnesses and to cross-examine witnesses at the hearing. Members of the Student Conduct Board may also question the witnesses. Questioning may be limited in the sole discretion of the Chair of the Student Conduct Board for such things as preserving the educational tone of the hearing, avoiding redundant and irrelevant questioning and/or providing for efficient administration of the Hearing. Witnesses are permitted to attend the Student Conduct Board Hearing only when they are providing information, unless the Student Conduct Board, in its sole discretion,

allows otherwise. The student shall be advised of the content of the statements, and the names of the persons who made them, at the hearing.

d. *Evidence.* All matters upon which the decision to withdraw consent may be based shall be introduced into evidence at the hearing. The decision to withdraw consent shall be based solely on the evidence presented at the hearing. At least one (1) business day prior the date scheduled for the Student Conduct Board Hearing the parties must submit to the Chair of the Student Board the following information, if applicable.

i. All pertinent records, exhibits and written statements (including Impact or Position Statements);

ii. A list of witnesses, if any, who will be speaking on behalf of the Accused Student or Complainant, including a brief summary of the information to be given by each; and,

iii. The name of the advisor, if any, who may be present in an advisory capacity at the hearing. See Part II.F.4.i.

e. *Procedural Questions.* All procedural questions are subject to the final decision of the Chair of the Student Conduct Board.

f. *Recordings.* TTUHSC shall record, either digitally or through audiotape, or otherwise as deemed appropriate all Student Conduct Board Hearings until such time that the Student Conduct Board begins discussion and deliberation and prepares Findings and Recommendations. Deliberations of the Student Conduct Board shall not be recorded. The record is University property.

g. *Appeal to President.* The student may appeal the Student Conduct Board's decision within three (3) business days from the date of the decision by sending a written appeal to the President, with a copy to the ~~Executive Vice President for Academic Affairs,~~ the Dean and the Chair of the Student Conduct Board. If the student does not appeal the decision by the Student Conduct Board, the decision is final. The decision of the President is final.

h. *Appeal to the Board of Regents.* If the student is not satisfied with the decision by the President, the student may appeal to the Texas Tech Board of Regents by sending a written appeal to the Board of Regents, with a copy to the President, within three (3) days from the date of the President's decision. If the student does not appeal the President's decision, the President's decision is final. If the student appeals to the Texas Tech Board of Regents, the decision by the Board is final.

ATTACHMENT A

**TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER (TTUHSC)
Code of Professional and Academic Conduct**

Complaint of Misconduct

This form serves as an official charge against a TTUHSC student or student organization for alleged violations of the Code of Professional and Academic Conduct (referred to as the "Student Code") in the TTUHSC Student Affairs Handbook. This form is to be submitted to the Student Conduct Administrator for the applicable TTUHSC School. A separate Complaint of Misconduct must be completed for each student or student organization accused of violations of the Student Code.

Please complete the following:

Name of Accused Student/Registered Student Organization: _____
School, Department and Program of Accused Student (if known): _____
List the course name, number, and section (if applicable) in which the alleged misconduct occurred. _____

Please provide a clear and concise explanation of the circumstances of the alleged misconduct. Include all relevant information, including, but not limited to, the name of the person(s) who witnessed the incident(s) and where the incident(s) occurred. Use additional pages if necessary. Please attach relevant supporting documents, e.g., copy of assignment, source of plagiarism, etc.

Date of discovery of alleged violation (please report within 20 business days of discovery): _____

Date of alleged violation (if different from above): _____

Please cite the Student Code(s) and the provision(s) of such code(s) that the Accused Student allegedly violated. _____

What remedy are you seeking for your complaint (e.g., reduction of grade for particular work and/or class, warning, probation, suspension, dismissal, restitution, etc.)? _____

I certify that all information provided herein is accurate and complete.

Printed Name of Complainant Date

Complainant's Signature Contact Information _____ (Address/Email/Phone Number)

Refer to the TTUHSC Student Affairs Handbook for more information regarding the Student Code, which is available through the TTUHSC Office of Student Services or at http://www.ttuhsc.edu/student-services/doc/student_handbook.pdf.

The following notice is provided in accordance with Texas Government Code § 559.003(a) of the Texas Government Code: (1) with few exceptions, you are entitled on your request to be informed about the information TTUHSC collects about you; (2) under Sections 552.021 and 552.023 of the Texas Government Code, you are entitled to receive and review the information; and (3) under Section 559.004 of the Texas Government Code, you are entitled to have TTUHSC correct information that is incorrect in accordance with TTUHSC policies and procedures.

~~ATTACHMENT A~~

Form Approved by _____ [Insert proper authority] _____ [Insert date]

ATTACHMENT B

Sample Letter from Student Conduct Administrator

Date

Name of Accused Student
Address
Anywhere, Texas XXXXX

PRIVILEGED & CONFIDENTIAL

CERTIFIED MAIL# _____

RETURN RECEIPT REQUESTED &
REGULAR MAIL

[In the alternative, "HAND DELIVERY"]

Re: Complaint of Misconduct

Dear Accused Student:

The Texas Tech University Health Sciences Center (TTUHSC) School of _____ has initiated an investigation based upon allegations that you have violated the Code of Professional and Academic Conduct (Student Code) in the TTUHSC Student Affairs Handbook, as more fully described below. This investigation was initiated based on the Complaint of Misconduct, which is attached hereto.

On or about *[Provide date; detailed circumstances & conduct; time(s); location(s); individual(s) involved]*.

Under Part II.F of the Student Code, you are required to meet with me within five (5) business days from the date of this letter. It is your responsibility to call my office to schedule an appointment at your earliest convenience. If you fail to meet with me within this time period, you will be in violation of the Student Code and disciplinary action may be taken against you on that basis.

A copy of the Student Code, which is annually published in the TTUHSC Student Affairs Handbook, is attached and is available at _____ *[Insert website]* for your review. Until the Student Conduct Board has selected a Chair, I will be your contact for all matters concerning these proceedings. All information concerning this matter is regarded as confidential to the extent allowed by law.

I look forward to meeting with you about this matter.

Sincerely,

Student Conduct Administrator
School of _____

xc: Complainant
Department Chair
Enclosures:

ATTACHMENT C

ATTACHMENT B

GUIDELINES FOR STUDENT CONDUCT BOARD HEARING

The following should be used as guidelines for conducting Student Conduct Board Hearings under the Code of Professional and Academic Conduct (Student Code) in the TTUHSC Student Affairs Handbook. These are not mandatory procedures. The Chair may develop other procedures that fit the particular situation, as long as the procedures do not contradict the provisions of the Code of Professional and Academic Conduct.

A. INTRODUCTION BY CHAIR

The Chair should do the following.

1. Begin recording~~tape recording~~. ~~(The recorder should be tested prior to the beginning of the hearing so that the recorder will capture the voices of all present.)~~
2. Introduce himself/herself and identify his/her role (i.e., to oversee the Student Conduct Board Hearing).
3. Notify parties present that the Hearing is being tape recording~~ed~~ and that the recording is the property of TTUHSC.
4. Ask Board members, the Accused Student (and advisor, present), and the Complainant (and advisor, if present) to identify themselves.
5. If the Complainant or the Accused Student has an advisor, read the following statement:
 - a. The role of the advisor during this Student Conduct Board Hearing is limited. It reflects that this process is not a courtroom proceeding but is part of TTUHSC's program designed to provide a good learning environment for all members of our academic community.
 - b. An advisor may not question witnesses or make statements before the Student Conduct Board. The only appropriate role for the advisor is to provide advice to the student who has requested his/her presence in a manner which does not disturb the proceedings of the Student Conduct Board.
 - c. If an advisor fails to act in accordance with the procedures of the Student Conduct Board, he/she may be barred from these proceedings by the Chair.
6. Remind all persons participating in the Hearing that falsification, distortion, or misrepresentation before the Student Conduct Board is a violation of the Student Code and that any person who abuses the Student Code System in this way may face disciplinary charges for the violation.

7. Advise that witnesses, other than the Accused Student and the Complainant, are present in the Student Conduct Board Hearing only while offering their information. All witnesses, other than the Accused Student and the Complainant, shall be instructed to leave the Student Conduct Board Hearing room and wait outside. Witnesses may be asked to affirm that they are presenting the truth before information is provided.
8. Address whether the Accused Student or the Complainant challenged any member of the Student Conduct Board for reasons of bias at least 3 days prior to the hearing in accordance with Section F.2.d and whether any new member(s) has been appointed to replace the challenged member.
9. State the allegations that have been brought against the Accused Student and the provisions of the Student Code which have been violated.
10. Explain that the Board will receive information, interview the parties and any witnesses for the parties or the Board, and review documents. At the conclusion of the hearing, the Board will confer privately and issue Findings and Recommendations to the Dean.
11. Advise that the proceedings are not intended to follow courtroom or judicial procedures and are part of an educational process by which the University applies its values to establishing the best possible learning environment for its students. Rules of procedure and evidence applicable to trials will not apply in this hearing. Hearsay evidence may be admitted with consideration given to the source, its credibility, and the nature of the evidence.
12. Remind the parties that professional decorum will be maintained at all times by the participants. Any person may be requested to leave at the discretion of the Chair.

B. COMPLAINANT'S AND ACCUSED STUDENT'S OPENING REMARKS

The Chair should do the following:

1. Chair may allow questions before proceeding with introductory remarks.
2. Ask whether the Accused Student admits or denies the allegations.
3. Both Complainant and Accused Student shall have an opportunity to present opening remarks, not to exceed 5 minutes each. Further time may be allowed in the Chair's discretion. (Opening remarks are not required, and there shall not be a negative assumption should Accused Student and/or Complainant elect not to do so.) If either party has prepared a written Impact or Position Statement or wishes to make an oral statement, he/she may do so within the prescribed time frame.
4. Complainant makes opening remarks.

5. Accused Student makes opening remarks.

C. COMPLAINANT'S CASE PRESENTATION

The Chair should do the following:

1. Inform the Complainant of his/her responsibility to provide information regarding the allegations. Provide the Complainant an opportunity to present his/her case. The complainant may be questioned~~will initially be questioned~~ by the Student Conduct Board. The Accused Student will then be given the opportunity to question the Complainant. The Chair will then ascertain whether members of the Student Conduct Board and the Accused Student have any final questions for the Complainant.

The Complainant may call his/her witnesses during the case presentation to provide relevant information. For each witness, the ~~Accused Student~~ Complainant, and the Student Conduct Board will have opportunity to question the witness. Questioning by the Complainant and the Accused Student may be limited in the sole discretion of the Chair of the Student Conduct Board to such things as preserving the civility of the hearing, avoiding redundant and irrelevant questioning, and/or providing for efficient administration of the Hearing.

2. Before a witness is excused, the Chair will ascertain whether members of the Student Conduct Board, the Complainant and the Accused Student have any final questions of the witness.
3. Instruct the witness not to discuss with other potential witnesses the information the witness shared with the Student Conduct Board.

D. ACCUSED STUDENT'S WITNESSES

The Chair should do the following:

1. Provide the Accused Student an opportunity to present his/her case regarding the allegations. Inform the Accused Student of his/her responsibility to provide information regarding the allegations. He or she ~~may initially will~~ be questioned by the Student Conduct Board. The Complainant will then be given the opportunity question the Accused Student. The Chair will then ascertain whether members of the Student Conduct Board and the Complainant have any final questions for the Accused Student.

The Accused Student may call his/her witnesses during the case presentation to provide relevant information. For each witness, the Complainant and the Student Conduct Board will have an opportunity to question the witness. Questioning by the Accused Student and the Complainant may be limited in the sole discretion of the Chair of the Student Conduct Board to such things as preserving the civility of the hearing, avoiding redundant and irrelevant questioning, and/or providing for efficient administration of the Hearing.

2. Before a witness is excused, the Chair will ascertain whether members of the Student Conduct Board, the Complainant and the Accused Student have any final questions of the witness.
3. Instruct the witness not to discuss with other potential witnesses the information the witness shared with the Student Conduct Board.

E. STUDENT CONDUCT BOARD'S WITNESSES (IF ANY)

1. If the Student Conduct Board requires further witnesses, it may call them at this time. The witnesses initially will be questioned by the Student Conduct Board. The Complainant and the Accused Student will then be given the opportunity to question the witnesses. Questioning by the Complainant and the Accused Student may be limited in the sole discretion of the Chair of the Student Conduct Board for such things, as preserving the civility of the hearing, avoiding redundant and irrelevant questioning, and/or providing for efficient administration of the Hearing.
2. Before a witness is excused, the Chair will ascertain whether members of the Student Conduct Board and the Complainant and the Accused Student have any final questions of the witness.
3. Instruct the witness not to discuss with other potential witnesses the information the witness shared with the Student Conduct Board.
4. If the Board intends to visit a location where the alleged violation occurred, the Accused Student and Complainant shall accompany the Board to the location and be allowed to participate in discussion by the Board. All discussions regarding the matter made the subject of the Hearing will be "on hold" while traveling to and from a location. Members of the Board should not independently visit the location to investigate the allegations, without the Accused Student and Complainant present.

F. CONCLUDING REMARKS

The Chair should do the following:

1. Provide the Complainant an opportunity for concluding remarks.
2. Provide the Accused Student an opportunity for concluding remarks.
3. Concluding remarks are not required and there shall not be a negative assumption should the Accused Student and/or Complainant elect not to do so.

G. DELIBERATIONS

The Chair should do the following:

1. Inform the parties that the written Findings and Recommendations of the Student Conduct Board ("Findings") will be forwarded to the Dean, the Complainant, and the Accused Student.

The Findings and Recommendations may be appealed only on the following grounds:

~~Whether the Student Conduct Board Hearing was conducted fairly in light of the charges and the information presented, and in conformity with procedures herein, giving the complaining party a reasonable opportunity to prepare and to present information that the Student Code was violated, and giving the Accused Student a reasonable opportunity to prepare and to present a response to the allegations. Deviations from the procedures herein will not be a basis for reconsideration unless **significant** prejudice results.~~

2. Refer the parties to the Code of Professional and Academic Conduct in the TTUHSC Student Handbook if they have further questions regarding this proceeding or the appeal process. Questions should not be directed to any member of the Student Conduct Board.

~~Whether the Findings regarding the Accused Student were based on substantial information, that is, whether there were facts in the case that, if believed by the Student Conduct Board, were sufficient to establish that a violation of the Student Code occurred.~~

~~Whether the sanction(s) imposed were appropriate for the violation of the Student Code which the student was found to have committed.~~

~~Whether there is new information sufficient to alter the Findings or other relevant facts not available or mentioned in the original hearing, because such information and/or facts were not known to the person appealing at the time of the original Student Conduct Board Hearing.~~

~~Refer the parties to the Code of Professional and Academic Conduct in the TTUHSC Student Affairs Handbook if they have further questions. Any further questions regarding the Student Code or the Findings and Recommendations should be directed to the Student Conduct Administrator, _____. Questions should not be directed to any member of the Student Conduct Board.~~

3. Caution members of the Student Conduct Board not to discuss this matter with anyone in order to respect the privacy of all persons involved.

4. Excuse the Complainant, Accused Student, and their advisors (*if any*) from the Student Conduct Board Hearing room so that the members of the Student Conduct Board may determine if the Accused Student is responsible for any of the violations of the Student Code with which he/she has been charged.

5. Cease all recording of the proceeding.

Disengage the tape recorder.

6. After the parties have left the Hearing site, deliberate regarding appropriate sanctions, if any.

H. FINDINGS AND RECOMMENDATIONS

The Chair is responsible to prepare the Student Conduct Board's Findings and Recommendations. If the Findings and Recommendations are not unanimous, minority opinion(s) may be written by those who differ with the majority's Findings and Recommendations. The Chair will forward the written Findings and Recommendations, including minority opinion(s), to the Dean with copies to the Accused Student, the Complainant, and the Department Chair.

ATTACHMENT D

Sample Hearing Notice

Date

PRIVILEGED & CONFIDENTIAL

Name of Accused Student

CERTIFIED

MAIL# _____

Address

RETURN RECEIPT REQUESTED

Anywhere, Texas XXXXX

Name of Complainant

CERTIFIED

Address

MAIL# _____

Anywhere, Texas XXXXX

RETURN RECEIPT REQUESTED

TTUHSC School of _____
Notice for Student Conduct Board Hearing

A Student Conduct Board Hearing will begin on _____ *[Insert date]* at _____ *[Insert time]* in room _____. The purpose of this Hearing is to review the Complaint of Misconduct filed against you. The Complaint alleges that on or about *[provide date, time(s) and location(s); summarize circumstances & conduct]*.

In this connection, it is alleged that _____ *[Insert name of Accused Student]* violated *[Identify section of Student Code and quote section]* of the Code of Professional and Academic Conduct (Student Code) in the TTUHSC Student Handbook, a copy of which was made available to you by the Student Conduct Administrator.

If the Hearing is not concluded by _____, the session may be adjourned and continued until a later date to be determined by the members of the Student Conduct Board.

The following individuals will serve on the Student Conduct Board and will make a finding on whether a violation has occurred and recommend appropriate sanctions, if any:

- *[List members of the Board.]*

You may challenge the impartiality of any member of the Student Conduct Board up to three (3) days prior to the Hearing by providing in writing to the _____ *[Insert name]* Student Conduct Administrator, _____, those reasons for the challenge.

You may challenge the impartiality of any member of the Student Conduct Board up to three (3) days prior to the Hearing by providing in writing to the _____[Insert name] Student Conduct Administrator, _____, those reasons for the challenge.

At least (7) business days prior to the Student Conduct Board Hearing, you must submit the following information to me:

- All pertinent records, exhibits and written statements (including Impact or Position Statements);
- A list of all witnesses, if any, who will be speaking on your behalf, including a brief summary of the testimony to be given by each; and,
- The name of your advisor, if any, who may be present only in an advisory capacity at the Hearing. The advisor must be a member of the TTUHSC community and may not be an attorney, unless you are also the subject of a pending criminal investigation, indictment or charge arising out of the same circumstances.

After receiving the above information from each party, I will exchange the information with the respective parties prior to the Hearing. At the Student Conduct Board Hearing, you will be provided an opportunity to make opening remarks, call witnesses, question witnesses, be present when testimony/evidence is being presented, respond to questions of the committee and make closing remarks after all evidence is presented.

At the conclusion of the Student Conduct Board Hearing, I will notify you in writing regarding the Findings and Recommendations of the Student Conduct Board.

Please be advised that if you do not appear before the Student Conduct Board at the above-referenced date and time, the information in support of the Complaint shall be presented and considered even if you are not present.

Sincerely,

Chair, Student Conduct Board

xc: Members of the Student Conduct Board
Dean
Department Chair
Student Conduct Administrator

ATTACHMENT E

ATTACHMENT E

Sample Findings and Recommendations

Date

PRIVILEGED & CONFIDENTIAL

Dean of the School

Name of Accused Student
MAIL# _____
Address
Anywhere, Texas XXXXX

CERTIFIED

RETURN RECEIPT REQUESTED

Name of Complainant
Address
MAIL# _____
Anywhere, Texas XXXXX

CERTIFIED

RETURN RECEIPT REQUESTED

**TTUHSC School of _____
Findings and Recommendations**

The Student Conduct Board met on _____ [Insert date] at _____ [Insert time] in room _____ to review the Complaint of Misconduct filed against _____ [Insert name of student]. The Complaint alleges that on or about _____ [Provide date, time(s) and location(s); summarize circumstances & conduct]. It is further alleged that _____ [Insert name of Accused Student] violated [Identify section of Student Code and quote section] of the Code of Professional and Academic Conduct (Student Code) in the TTUHSC Student Handbook.

[Identify names and titles of the Student Conduct Board members present at the meeting and whether or not they were challenged by any of the parties. Identify all other individuals present at the meeting.]

[Identify whether the hearing was recorded.. If so, indicate that the recording was delivered to the Office of the Dean.]

[Identify whether the parties submitted written evidence prior to the hearing and on what date. Attach written evidence.]

[Identify whether Accused Student admitted or denied the allegations.]

[Identify any witnesses and the substance of their testimony.]

[Describe evidence that supported and/or refuted the Complaint.]

[Identify findings of the Committee and the vote numbers.]

[Identify recommended sanctions, if any.]

[Identify whether there are any dissenting opinions and attach the opinions.]

Please direct any further questions that you may have to the Student Conduct Administrator.

Sincerely,

Chair, Student Conduct Board

xc: Members of the Student Conduct Board
Chair of the Student Promotions and Professional Conduct Board
Student Conduct Administrator
Department Chair

Enclosures: Written evidence presented by the parties



TEXAS TECH UNIVERSITY
School of Law

&



TEXAS TECH UNIVERSITY
HEALTH SCIENCES CENTER
School of Medicine

Doctor of Jurisprudence
Doctor of Medicine

Joint Degree Program

Eligibility Requirements

School of Law

The School of Law does not require a specific major or undergraduate curriculum. Applicants should note, however, that course work providing significant opportunities for reading, writing, and public speaking as well as supplying a critical understanding of human values and institutions (political, economic, and social) and the ability to think creatively can help prepare students for the rigors of law school.

All applicants must have the following:

- A minimum cumulative undergraduate grade point average of 3.50 as calculated on the Law School Data Assembly Service (LSDAS) Report prepared by the Law School Admission Council (LSAC).
- A Law School Admission Test (LSAT) score placing the applicant in the top quarter nationwide.
- A minimum of 90 semester hours of undergraduate credit earned by the time of application and provide proof of completion of a bachelor's degree at the time of matriculation.

School of Medicine

The new curriculum at the School of Medicine emphasizes competency-based education, focusing on the skills and compassion that distinguish excellence in patient care in our profession. The faculty place strong emphasis on the academic achievements of applicants, including performance in the sciences relevant to medicine. Breadth of education and life experience are also deemed important in the selection process.

All applicants must have the following:

- Must have a minimum cumulative 3.5 gpa and a composite MCAT score of at least a "32"
- Must be a U.S. citizen or Permanent Resident
- Must have completed 90 U.S. semester credit hours/coursework. A bachelor's degree from a U.S. or Canadian college/university is highly recommended.

- College coursework must include the following premedical prerequisite courses for the medical school program

- 4 semesters Biology (including 2 labs) 14 credit hours
- 2 semesters General Chemistry (including 2 labs) 8 credit hours
- 2 semesters Organic Chemistry (including 2 labs) 8 credit hours
- 1 semester Statistics/Calculus 3 credit hours
- 2 semesters Physics (including 2 labs) 8 credit hours
- 2 semesters English 6 credit hours

Admissions Process

for Law School/Medical School

The Law School electronic application (e-app) is available on September 1st via the Law School website (www.law.ttu.edu). Applicants for the JD/MD joint degree program must submit their e-app no later than November 1st. Applicants should note that the Law School Admission Test (LSAT) is offered only four times a year (February, June, September/October, and December). Applicants must take the LSAT no later than the September/October test preceding the fall semester for which they seek admission. Applicants must register with the Law School Admission Council's (LSAC) Law School Data Assembly Service (LSDAS) and submit necessary documents - such as transcripts and letters of recommendations - no later than November 1st. An interview with representatives of the Law School will be conducted subsequent to the applicant's interview with the School of Medicine.

The application to the JD/MD joint degree program for the medical school is available on May 1st. The

Law School application is available on September 1st.

The application to the JD/MD joint degree program for the medical school is available on May 1st. Applications are submitted online through the Texas Medical Dental Schools Application Service Center (TMDSAS) (www.tmdsas.org) through October 1st. Applicants will need a recent MCAT score

(within four years of the date of application). Note that the MCAT exam is administered electronically 22 times a year. Consult the MCAT web site for test dates and locations. Invitations for interviews will be conducted from August-December. Consideration must include a satisfactory interview by representatives of the School of Medicine Admissions Committee. Prior to matriculation, a criminal background check (CBC) will be conducted and acceptance is contingent on the submittal of a satisfactory CBC report. The applicant must make arrangements to have the results of his or her criminal background check (CBC) released to the Law School.

Curriculum

Applicants to the JD/MD program will complete 78 hrs of the Law school curriculum during the first two (2) years of the program. The remaining four (4) years will consist of the medical school curriculum. For specific details regarding the curriculum please visit our website(s).

www.law.ttu.edu

www.ttuhs.edu/som/admissions

Financial Aid and Scholarships

The Cost of Education (COE) will vary between the School of Law and the School of Medicine. Therefore, successful applicants will need to consult a financial aid advisor at each institution prior to matriculation.

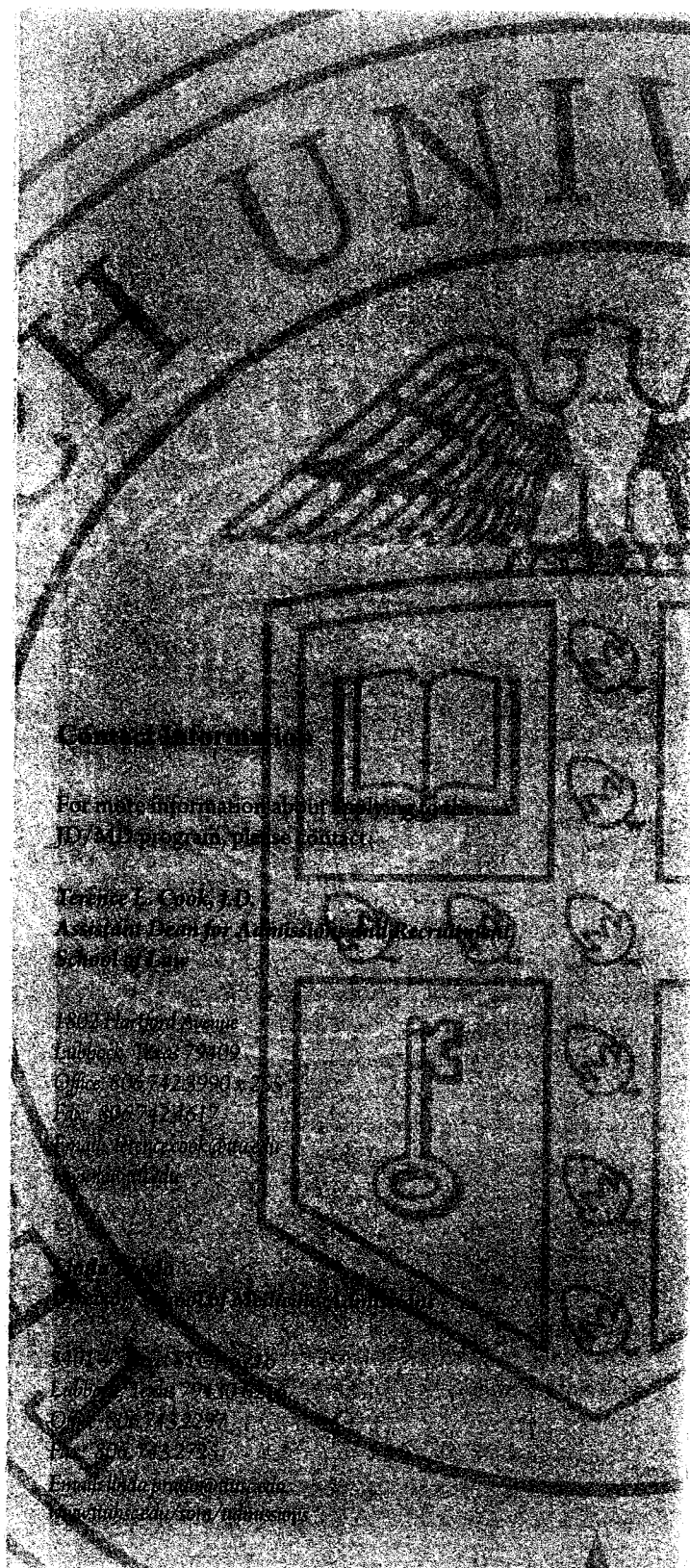
Applicants are encouraged to complete the Free Application for Federal Student Aid (FAFSA) as soon as possible to take full advantage of the various forms of financial aid available.

Successful applicants will be eligible for scholarships at both the School of Law and School of Medicine. Each institution will employ established guidelines in rendering scholarship awards. Scholarship recipients must remain in "Good Academic Standing" for continued scholarship eligibility.

The Doctor of Jurisprudence/ Doctor of Medicine (JD/MD) joint degree program is a six (6) year program of study administered by the Texas Tech University, School of Law and Texas Tech University Health Sciences Center, School of Medicine. The JD/MD program is designed specifically for individuals interested in the areas of health law, healthcare policy, bioterrorism, forensics, or biomedical compliance.

Applicants interested in this unique program are required to submit an admission application and supporting documents to both the School of Law and the School of Medicine in the same annual admissions cycle. It is important to note that entrance into both programs of study require significant advanced preparation and adherence to strict deadlines. It is imperative that interested applicants familiarize themselves with the processes of both programs of study. The successful applicant must meet the admission requirements for both programs of study.

Doctor of Jurisprudence / Doctor of Medicine (JD/MD) Joint Degree Program



TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2008

| PRIORITY | ENTITY | AUDIT AREA | | BUDGETED HOURS | BUDGET ADJUSTMENTS | STATUS AS OF APRIL 15 | ACTUAL HOURS | TIME STILL NEEDED | BUDGET vs ACTUAL |
|------------|--------|--|--------------------------|----------------|--------------------|-----------------------|--------------|-------------------|------------------|
| | | TOTAL ENGAGEMENT HOURS AVAILABLE | | 17,700 | | | | | |
| | | REQUIRED AUDITS | | | | | | | |
| Required | TTUS | Texas Tech University Foundation | Financial (assist) | 120 | | Complete | 183 | | (63) |
| Required | TTUS | Office of Audit Services Annual Report | Compliance | 40 | | Complete | 22 | | 19 |
| Required | TTUS | State Auditor's Office Miscellaneous Projects | Miscellaneous (assist) | 80 | (35) | In progress | | | 45 |
| | | ASU: 2008 Statewide Financial Audit | Financial (assist) | | 10 | In progress | | 10 | 0 |
| Required | TTU | NCAA Compliance | Compliance | 325 | | In progress | 481 | 50 | (206) |
| Required | TTU | KOHH-FM | Financial (assist) | 300 | | Complete | 263 | | 37 |
| Required | HSC | Correctional Managed Health Care Committee Contract | Compliance | 200 | | In progress | 65 | 135 | 0 |
| Required | ASU | Investments | Compliance | 150 | | Complete | 264 | | (114) |
| Required | ASU | Office of Audit Services Annual Report | Compliance | 35 | | Complete | 34 | | 1 |
| | | TOTALS FOR REQUIRED AUDITS | | 2,300 | 5 | | 1,792 | 296 | 218 |
| | | AUDITS IN PROGRESS AT AUGUST 1, 2007 | | | | | | | |
| Prior Year | TTUSA | IT Application Review of BSR Advance System | IT Controls | 288 | | Complete | 208 | | 80 |
| Prior Year | TTU | Contracting Office | Operational/Compliance | 50 | | Complete | 90 | | (40) |
| Prior Year | TTU | Centers and Institutes | Governance/Compliance | 250 | | Complete | 301 | | (51) |
| Prior Year | TTU | Research Funds | Financial/Compliance | 5 | | Complete | 11 | | (6) |
| Prior Year | HSC | El Paso Department of Internal Medicine | Financial/Controls | 60 | | Complete | 41 | | 19 |
| Prior Year | TTUS | Wrap-up on Audits Included in August BOR Report | | 15 | | Complete | 30 | | (15) |
| | | TOTALS FOR AUDITS IN PROGRESS | | 757 | - | | 1,090 | 20 | (353) |
| | | UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS | | | | | | | |
| | | Total hours budgeted for Special Projects & Investigations | | 4,425 | (1,343) | | | | 3,082 |
| | | IN PROGRESS AT AUGUST 1, 2007 | | | | | | | |
| Special | TTU | Parent Relations | Special | | 83 | Complete | 83 | | 0 |
| Special | HSC | Excluded Parties Special | Special | | 459 | Complete | 459 | | 0 |
| | | BEGUN AFTER AUGUST 1, 2007 | | | | | | | |
| Special | TTU | PostTech Cash Controls | Special | | 54 | Complete | 54 | | 0 |
| Special | TTU | Copy Tech Cash Controls | Special | | 30 | Complete | 30 | | 0 |
| Special | ASU | Ram Band | Special | | 50 | In progress | 49 | 1 | 0 |
| Special | ALL | Misc. Hotline Projects | Special | | 20 | In progress | 16 | 4 | 0 |
| | | SPECIAL PROJECTS AND INVESTIGATIONS TOTALS | | 4,425 | 1,343 | | 959 | 384 | 3,082 |
| | | HIGHEST PRIORITY | | | | | | | |
| 1 | TTUS | Health Sciences Center IT Security | IT Controls/Mgt Advisory | 400 | 100 | In progress | 30 | 470 | 0 |
| 1 | TTUSA | Office of Investments | Operational | | 200 | In progress | 55 | 145 | 0 |
| 1 | TTU | Credit Card Customer Information Security | IT Controls | 400 | 100 | Complete | 629 | | (129) |
| 1 | HSC | Office of Human Resources | Operational/Compliance | 450 | 350 | Complete | 871 | | (71) |
| 1 | ASU | Construction Management | Financial/Operational | 400 | 175 | In progress | 107 | 468 | 0 |
| | | HIGHEST PRIORITY TOTALS | | 3,600 | 725 | | 2,265 | 1,254 | 231 |

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2008

| PRIORITY | ENTITY | AUDIT AREA | | BUDGETED HOURS | BUDGET ADJUSTMTS | STATUS AS OF APRIL 15 | ACTUAL HOURS | TIME STILL NEEDED | BUDGET vs ACTUAL |
|------------|--|---|--------------------------|----------------|------------------|-----------------------|--------------|-------------------|------------------|
| | | MODERATE PRIORITY | | | | | | | |
| 2 | TTUS | IDEA Software Script Development | Risk Assessment | 200 | | In progress | 27 | 173 | (9) |
| 2 | TTU | Office of International Affairs | Operational/Compliance | 400 | 75 | Complete | 552 | | (77) |
| 2 | TTU | Rawls College of Business Administration | Financial/Controls | 350 | | In progress | 483 | 10 | (143) |
| 2 | HSC | IDX TES Implementation | IT Controls/Mgt Advisory | 400 | 150 | In progress | 116 | 434 | (9) |
| 2 | ASU | Student Safety | Controls | 250 | | Complete | 308 | | (58) |
| | | MODERATE PRIORITY TOTALS | | 3,350 | 525 | | 2,788 | 718 | 119 |
| | | LOWER PRIORITY | | | | | | | |
| 3 | TTUS | Information Technology Audits | IT Controls | 400 | (350) | On hold | 42 | 8 | (0) |
| 3 | TTUS | Fraud Risk Assessment | Risk Assessment | 200 | | In progress | 148 | 52 | (0) |
| 3 | TTU | Personnel Activity Reporting Process | Compliance | 225 | | In progress | 171 | 54 | (0) |
| 3 | HSC | El Paso State Funding | Financial/Compliance | 300 | | In progress | 148 | 152 | (0) |
| 3 | HSC | School of Medicine--Amarillo Campus | Operational/Financial | 350 | | In progress | 245 | 105 | (0) |
| 3 | ASU | Student Accounts Receivable | Financial | 200 | | In progress | 204 | 50 | (54) |
| | | LOWER PRIORITY TOTALS | | 2,750 | 100 | | 2,168 | 813 | (131) |
| | | OTHER VALUE-ADDED WORK | | | | | | | |
| | | Total hours budgeted for Other Value-Added Work | | 518 | (547) | | | (29) | |
| Other | TTUS | Cash Handling and Control Environment Workshops | | | 97 | Ongoing | 97 | | |
| Other | TTUS | Ethics Training | | | | Ongoing | | | |
| Other | TTUS | ConnectTech Security Committee (Banner project) | | | 23 | Ongoing | 23 | | |
| Other | TTUS | ConnectTech Workflow Process Analysis (Banner project) | | | 1 | Ongoing | 1 | | |
| Other | TTUS | Enterprise Risk Management | | | | Ongoing | | | |
| Other | TTU | TTU Ethical Institution Task Force | | | | Ongoing | | | |
| Other | ASU | Residence Life Software Implementation Committee | | | 3 | Ongoing | 3 | | |
| Other | ALL | Miscellaneous Conference Presentations | | | | Ongoing | | | |
| | | OTHER VALUE-ADDED WORK TOTALS | | 518 | 547 | | 547 | - | |
| | | TOTAL ENGAGEMENT HOURS | | 17,700 | 1,355 | | 11,608 | 3,485 | 3,137 |
| ***** | | | | | | | | | |
| | | KEY | | | | | | | |
| | TTUS | Texas Tech University System and/or inclusive of multiple Texas Tech institutions | | | | | | | |
| | TTUSA | Texas Tech University System Administration | | | | | | | |
| | TTU | Texas Tech University | | | | | | | |
| | HSC | Texas Tech University Health Sciences Center | | | | | | | |
| | TTU & HSC | Areas with parallel functions or shared responsibility | | | | | | | |
| | ASU | Angelo State University | | | | | | | |
| | N/A | Work that is not attributable to a particular institution or campus | | | | | | | |
| Required | Audits that are mandated by law, Operating Policies, standards, contracts, etc. Will be performed based on timing of external deadlines. | | | | | | | | |
| Prior Year | Engagements from prior year annual plan that were in progress at August 1. Goal is to complete them early in the year. | | | | | | | | |
| 1 | Engagements that were deemed most critical per the risk assessment at August 1. | | | | | | | | |
| 2 | Engagements that were deemed to be moderately critical per the risk assessment at August 1. | | | | | | | | |
| 3 | Engagements that were deemed least critical per the risk assessment at August 1. | | | | | | | | |
| Special | Unplanned Special Projects and Investigations | | | | | | | | |
| Other | Other projects, including committee service, class development and instruction, etc. | | | | | | | | |

Chancellor's Report
Texas Tech University System
Board of Regents Meeting
May 9, 2008

Chancellor Hance presented his report: On the matter of strategic planning, Dr. John Opperman is working on that with Corky Dragoo. We feel like we are moving forward on that. We will have more information to you. We will have a meeting that will give us at least half a day or a day, hopefully this fall or early next year.

Regarding undergraduate graduation rates, we are turning out 3,170 graduates. That is the most we have ever done at this time of year. At Angelo State, they will have 661. They are having two ceremonies at ASU and Texas Tech is having five ceremonies. We have great commencement speakers lined up: Dr. Dale Klein, chairman of the Nuclear Regulatory Commission; and James Baker, III, former Secretary of State. This is something I have become more involved with. To have people of their stature is a big plus for us. We want to continue to have people who are impressive and who can help us. Dr. Eibeck and Chairman Klein were able to converse about a nuclear engineering project that Texas Tech might start with the creation of a new department. They discussed the possibility of receiving federal funds for that endeavor.

Some changes have been made on technology commercialization. The Board has received a briefing of that. That is going very well. The faculty has been communicated with regarding our vision and the plans for enrollment growth and research. They were informed that the Board has never considered anything about research but increasing it. That has settled things down a bit and put the rumors to rest.

On April 22, we had the opening of the Mark and Becky Lanier Professional Development Center. The new part is very impressive. For the opening, we had the Texas Supreme Court here where they heard two cases. This was a great experience for our students to see the Supreme Court in action.

Also on April 2, we received several million dollars from the Emerging Technology Fund as well as AT&T and Tech's matching funds. We have a \$9 million package that will hopefully set us up in nanophotonics to be the best in this region and area of the country. We have brought in two new professors who are top notch in that area of nanophotonics. The Governor also attended that event. It was a great day for Texas Tech. It's common to hear negative feedback from difficult decisions or issues but positive feedback is not as common with non-controversial issues. However, there are a lot of positive things going on as well. That April meeting with the Governor is one example of a positive event.

This concludes my report.

President's Report
Texas Tech University
Board of Regents Meeting
May 9, 2008

President Whitmore presented his report to the Board: I have a single item to present to the Board. It involves Tech's graduation rates. Five years ago, we graduated 4,723 students. Today we will graduate 6,526 students. That is 1,800 more students that we are graduating this year than we did five years ago and that is with the same number of students at Tech. This is a very remarkable efficiency for a university to claim. This is a great success story that has a lot to do with the productivity of our faculty and of our Provost's Office and their development and execution of the four-year graduation plan. This has moved students through the institution more quickly and includes having more persistence of students staying at the University and graduating. We should all be very proud of this success story and not by just the number of people who are graduating but by the difference in what it was before and what it is today.

As normal, the list of accomplishments of our students, staff and faculty is included in the *President's Newsletter*. I encourage you all to read this at your leisure.

Thank you.

SGA President's Report
Texas Tech University
Board of Regents Meeting
May 9, 2007

Lee Bobbitt reported that the Student Government Association has been busy. The women in the office did rearrange the furniture and we all like it much better.

We would like for the Board to know that we are on the same page. Some issues to be discussed today will be cost effectiveness, accountability and growth. The Student Government Association has some issues it is tackling and wants to improve upon. The first is cost effectiveness. We have a Safe Ride program which is a taxi service that picks up students when they are out at night and ensures them a safe ride home. However, with the increase in gas and a student population, we are looking to endow the Safe Ride Fund in hopes that it can be a better service to our students and that we can also alleviate our transportation costs from our own budget, making it more effective. We are partnering with Institutional Advancement and Family and Parent Relations on this in hopes of fundraising. We will get this out to the student population as well as the parents of Texas Tech students.

The second issue is accountability. International students are an integral part of our institution. We are looking to have one to two members of each international student organization on our campus become a member of an advisory council in hopes that we will create an avenue of information to and from the international students as well as unifying the international student population. The problems and issues that international students face are not the same as an average American student. We need to better address those issues and problems and work to accommodate them at the University and within the community of Lubbock.

The third issue is growth. We have an exciting recruitment trip scheduled. We plan to bus 50 to 60 high school juniors and seniors from the Dallas/Fort Worth area to Lubbock, ideally for the SMU game. We would take them to a RaiderGate. We would have an organizational fair. We are planning a trip for them in hopes of achieving the "if you bring them they will come" philosophy. We hope that they will go back to their high schools and tell their families, friends and everyone what a great place that Texas Tech is. Hopefully through this, they will come in the fall.

In the future, we hope to discuss advising. We are hoping to improve that on our campus and make it more accessible for all students. Also retention issues, we hope to keep students here—engaging them on campus. We all know that is important and an issue that needs to be addressed.

The SGA will be visiting Austin for our state legislature trip in February. That will be exciting for us to be involved with and an update will follow.

Thank you all for your time and service to the students of Tech.

President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
May 9, 2008

President Baldwin presented his report to the Board: First, recognition is due to Student-Regent Islam for her diligence and distinguished service. She has been a terrific friend of the Health Sciences Center and we are very proud of her.

Our linked missions of clinical care, research and teaching are thriving at all of our six schools and eight campuses. A few of those highlights: We continue to increase the level of clinical care that we provide all over Texas but principally in West Texas. Our Texas Tech physicians are seeing more than a million patients a year. The Physician's Assistant School, according to Dean Berk, with this graduating class, will be seeing one million patients a year as well.

We are also making great progress in research under Dr. Doug Stocco's leadership as executive vice president for research. Our NIH funding, despite a very bleak environment nationally this year has increased for 46 percent. That is almost entirely due to recruitment from some of the faculty introduced to you. Overall research expenditures are roughly flat because of a significant decline in federal earmark funding, but our NIH funding, which is the gold standard, has dramatically improved. We have been enhancing our collaborations with TTU. In April, we signed a Memorandum of Understanding to form the Institute of Comparative and Experimental Medicine. Dr. Stocco is the driving force in this inter-institutional collaboration which will initially focus on infectious disease. There are other on-going collaborations and many recipient collaborations with Engineering, Architecture and other schools. HSC is doing more and more with TTU.

Many of our new recruits have been met. The chancellor hosted a dinner for Dr. Pat Reynolds who comes from USC with an oncology team. That is a very distinguished group who will also increase our funding even further in terms of peer-reviewed research. The Graduate School of Biomedical Sciences had done very well in recruiting. They recently recruited Dr. Lan Guan from Houston Berkley who will join a burgeoning membrane and protein research group. He is funded by the NIH and will be bringing his funding here.

El Paso continues to do well. We have two principle investigators coming from Harvard with an entourage of people. They are bringing over \$4 million of additional NIH funding.

The School of Nursing is expanding to Abilene in collaboration with Hendrick Hospital. After extensive discussions with Dr. Natalicio, the El Paso Community College will have significant presence for nursing at both Abilene and in El Paso.

Lastly, everyone is invited to attend the HSC commencement scheduled for Saturday, May 17, where we will have 987 graduates. Our commencement speaker will be the Honorable Bill Frist.

Thank you.

SGA President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
May 9, 2008

Rick Bliss presented his report to the board. I am very excited for the opportunity to be involved with the Board. Chancellor Hance had mentioned that there are a lot of positive things going on at Tech and that is one of things that will be discussed today.

At the Health Sciences Center, there is a palpable sense of enthusiasm among the student body and that starts with the student government. Erin Anderson, who asked that the Board be thanked for all of their hard work and assistance over the past year, strongly promoted volunteering—one of the goals for this year was to increase community outreach and community service. The HSC has done that at a level above and beyond at what Eric initially hoped to accomplish. We have been heavily involved with Habitat for Humanity and the South Plains Food Bank. In addition to the Student Government Association, the School of Medicine, led by Dr. Berk participated in the March for Babies just a few weekends ago. The medical students were heavily involved with that as well. Additionally, a lot of the students are associated with the Community Medical School which we are excited about.

Some of the goals for this coming year: we need to continue to encourage and increase community outreach and community involvement. We are very excited about doing that. One of the issues included in my induction address was that the HSC has a handful of goals which we are trying to accomplish this year. We hope to establish ways in which students can be directly involved in helping with some of these goals, which includes NIH funding. We had a very successful research week through the HSC's Graduate School this year. We had six nationally and internationally renowned speakers come and give presentations on fertility. That has helped in starting some collaboration with other universities and shines good light on the HSC. We'd like to continue to work with Dr. Baldwin and help to make the HSC and Tech a premier university.

Thank you for your time.

President's Report
Angelo State University
Board of Regents Meeting
May 9, 2008

Dr. Rallo presented his report: ASU has completed an eleven month strategic planning process to develop a initial vision and value statements for Angelo State as well as revising our current mission statement. A planning committee represented by community and campus members worked under the guidance of an external facilitator to craft the documents. Our new vice president for strategy, planning and policy, Dr. Jim Limbaugh, is coming to us from Frostburg State University on June 1. These statements will be taken back to our constituent groups prior to submitting them to the Board and the Coordinating Board for review and approval. These will guide our strategy and our financial planning over the coming year and years.

Secondly, as part of our recruitment and retention to increase enrollment, we are focusing our attention on honors. The fall 2008 will be the seventh year of its operation. We have a growth goal of five-plus percent of our student body in honors. This April we announced a three-fold increase in the amount of scholarships available to honors students. We will soon announce the creation of the new family honors scholarship program: Alvin, class of 1984, and Patricia, class of 1986, New who have made an initial donation of \$250,000 to be spent on enhancing our Honors Program. We continue our lectureship series with the 32nd Annual Moon Lectureship honoring longtime San Angelo obstetrician Dr. Roy Moon who died in 1976. This year the lecture was presented by Dr. Jeffrey Macklis, director of the Massachusetts General Hospital, Harvard Medical School Center for Nervous System Repair. He met with a small session group with many of our honors pre-med students. That is important to note because our honors pre-med students have a 100 percent acceptance rate to medical school and Dr. Macklis has certainly motivated them to continue that aspiration.

Finally, our women's softball team won the Lone Star Conference, one of the toughest Division II there is in the country. We are currently hosting the South-Central Division II as we speak. Our women have won the first two; they need two more to go to Houston next week for the Division II World Series. We expect to see them there.

That concludes my report.

SGA President's Report
Angelo State University
Board of Regents Meeting
May 9, 2008

Seth Chomout reported on the ASU Student Government Association's committee restructure organization. At present, some committees do a lot of work while others don't do as much. We will be re-writing some of the constitutions to get that better balanced. Currently, we are working on a student organization council.

Our student organizations presently do not have any means of communication between one another thus are not working efficiently. This organization council of about 80 organizations will try to fill a seat from every organization in an effort to work better together.

Our student senate is almost full for next year. The past couple of years it has not been full. We are really encouraging students to get involved.

Lastly, all Texas State items have been removed from the SGA offices.

Thank you.