BRAND IDENTITY SYSTEM RECOMMENDATIONS TO THE TEXAS TECH UNIVERSITY SYSTEM BOARD OF REGENTS

The following are recommendations to the Texas Tech Board of Regents regarding the establishment of an integrated brand identity system for the Texas Tech University System and its component institutions: Texas Tech University and Texas Tech University Health Sciences Center. The objective of this memorandum is to provide the Board with a clear understanding of the brand identity system recommendations and general policies for managing their use. Detailed graphic standards and design guidelines will be developed subsequent to the Board's approval of these strategic recommendations. Some specific guidelines have been provided in areas where we understand a more precise definition will assist the Board in its review and decision-making. Upon approval of the fundamental strategies and tactics outlined within this document, detailed guidelines will be developed for marketing and communications professionals that will be consistent with these overall recommendations.

PRIMARY RECOMMENDATION

The primary recommendation recognizes long-established precedents in higher education to distinguish between official seals, academic identities and athletic/spirit identities, and to manage their appropriate use both inside and outside the institutions. This key recommendation is at the heart of the strategy to enhance the academic image of the Texas Tech brand. Included below are general overviews of both existing Texas Tech University System policies relating to each type of identity and any additional recommendations for each. A glossary of terms is provided at the end of this document for reference.

SPECIFIC IDENTITY RECOMMENDATIONS

Official Seals: Reserved for official use by the Texas Tech University Board of Regents, the Office of the Texas Tech University System and the Offices of the Presidents of the Texas Tech University and Texas Tech University Health Sciences Center. Applications are typically limited to those of the highest rank within the institution such as diplomas, certificates and business papers of the Board and the Office of the System and of the Presidents. These restrictions are consistent with existing Texas Tech University System policies established by the Publications Image Task Force in 1987 and the revised 2001 and 2004 Publications Guidelines & Editorial Style Manuals.

Recommendations:

- Update "original" official seals to enhance overall design and reproducibility in a digital format
- Create new B&W and color versions for all three seals
- Create configurations with the names of each institution
- Utilize new official typeface "Charter" for all typographic elements

General Guidelines:

- Reinforce existing guidelines regarding their special status
- Provide more detailed guidelines for their use and application in print and online

Athletic/Spirit Identities: These would include the 3D Double T, Red Raiders and Lady Raider lockups, Red Raiders with side view of masked rider on horse, Raiders Rojos with side view of masked rider on horse, Front view of masked rider on horse, Lil' Red Raider with masked rider on horse, and other legacy Raider Red mascot images, spirit marks and logotypes.

These identities are primarily used to identify Texas Tech University athletic teams and spirit organizations. However, "registered student organizations, student sports teams, resident hall organizations and university departments may use any University registered marks for "in-house" purposes (such as posters, signs, banners, brochures) that deal with on-campus activities. Such use must reflect positively on the University, and be reproduced accurately by a licensed vendor of Texas Tech. Approval from the Director of Licensing is required."* Management and licensing use of these trademarked identities resides within the Athletic Department and is in partnership with the Collegiate Licensing Company.

* *Policies and Guidelines for Texas Tech (in-house) Use* – from licensing guidelines published by the Texas Tech University Athletics Department available on their website under the athletic department link: http://texastech.collegesports.com/licensing/text-licensing.html

Recommendations:

- Texas Tech athletic and spirit identities such as the 3D Double T and others should continue to play a leading role in marketing and communications materials and merchandize promoting University athletic programs and the spirit of the Texas Tech experience

- The Double T has been and should continue to be the most recognized and beloved symbol for members of the Texas Tech family

- The use of Texas Tech athletic and spirit identities by retailers and spirit organizations should continue to be carefully managed and monitored

- In order to establish and maintain a clear distinction between the academic and athletic offerings of the universities, athletic and spirit identities should not be used as primary identifiers for either of the universities, their colleges, schools, departments or programs in an academic context. Examples would include academic paper systems, academic brochures and academic websites. *(See more specific guidelines below for clarification)*

- Texas Tech athletic and spirit identities help to promote the Texas Tech experience and should be used as such to reflect pride in our accomplishments and to celebrate our rich traditions wherever appropriate. Examples would include promotional merchandise and apparel, decals, signs and banners, etc. In addition, they can be used as an important supporting element in student recruitment materials and efforts.

- Athletic department policies allow "any University registered marks" to be used for "in-house" purposes is in conflict with established System policy regarding "official business" use of official seals, and therefore should be modified to reflect that exception

- Athletic department policies governing licensing and use of athletic/spirit marks should be updated to reflect final recommendations regarding academic use and applications

General Guidelines:

- Athletic/spirit marks should not be used as a primary means of identifying the academic offerings of either university or create confusion by competing with the primary academic identity.

    - Examples of inappropriate use would be replacing the Texas Tech coat of arms with the Double T in the academic lockup, placing the Double T at a comparable size and in close proximity to an academic identity and otherwise compromising clear distinctions between these two important symbols.
    - Examples of appropriate use would be promoting the 3D Double T in the context of athletics or spirit photographic images, use of the 3D Double T as a supporting graphic on the lower right-hand corner of brochures, accompanying collateral and merchandising materials, apparel or environmental graphics at events, etc.

Academic Identities: The existing Texas Tech "logotypes" have been primarily used in paper systems to represent the academic and administrative organizational entities across campuses. Individual colleges, schools, departments, programs, institutes and centers have developed their identities for marketing and communication efforts in print and online. A proposal has been submitted to eliminate the existing logotypes and scores of individual identities and to replace them with a common academic identity. That identity would be comprised of the Texas Tech coat of arms derived from the official seal along with uniform typographic configurations, or logotypes, that name each entity consistently. It is recommended that this system be implemented without exception in order to successfully reposition the academic image of the Texas Tech brand. Specific recommendations and guidelines follow:

Recommendations:

- Establish an integrated academic identity system for Texas Tech University and Texas Tech University Health Sciences Center

- Develop criteria for identifying academic organizations and subordinate entities

- Unify the diverse academic identities currently in use across colleges, schools, departments and programs under one common academic symbol (the Texas Tech coat of arms) along with consistent typographic identifiers (logotypes)

- Utilize the Texas Tech coat of arms as the basis for a new academic identity utilizing all of the existing symbols in the official seal with the exception of the cotton bolls in order to ensure relevance across all academic areas of study

- Develop graphic standards to ensure consistency among all academic identities and design guidelines to govern their use in print, broadcast, environmental and online applications

- Develop guidelines to maintain a clear distinction between the academic and non-academic offerings of either institution

- Develop criteria and a formal review processes for determining appropriate branding for institutes, centers and other collaborative academic entities, recognizing those organizations with significant equity in an existing identity, a consumer-facing market presence or co-branded entities where the partner brand should drive the identity due to greater brand value or funding dominance

General Guidelines:

- Use of the Texas Tech academic identity will be strictly limited to academic entities under the direct management of Texas Tech University or Texas Tech University Health Sciences Center

- Academic-branded entities will include Texas Tech University, Texas Tech Health Sciences Center and all academic colleges, schools, academic and administrative departments and academic programs

- All academic entities are required to adhere to published graphic standards and use the official academic identity represented by the Texas Tech coat of arms along with official logotypes

- Institutes and centers will be evaluated on a case-by-case basis to determine their academic branding requirements based on a variety of criteria and may include:
    - Established brand equity
    - Co-branding agreements
    - Funding criteria
    - Consistency with academic image and reputation of Texas Tech
    - Professional execution

- No graphic device other than the official Texas Tech coat of arms may be "locked up" or configured with the official logotype to represent an academic entity

- In recognition of existing awareness of the Double T as the most visible and recognizable symbol of Texas Tech, the Double T may be included as a secondary symbol on marketing and communications materials to leverage that recognition as the new academic identity is being introduced to current and historic audiences

- Other institutional symbols may be used in conjunction with academic identities but only in the proper context, such as in photography or as a decorative element

- Other institutional symbols not be combined with that identity, compete for attention or create confusion in the mind of the audience as to which university, college or department is being represented

SUMMARY

The above recommendations are intended to enhance and advance the brand image of Texas Tech in all of its endeavors, both athletic and academic, and to provide a framework for disciplined management of these equally valuable brand assets.

GLOSSARY OF TERMS

Identity:

Specific Reference: any logo, mark, symbol, seal, coat of arms, signature, or logotype that represents an organizational entity, its products or services
General Reference: the visual, verbal and experiential attributes of a branded entity

Coat of Arms:

The official symbols of a family, state, university, etc., typically arranged around a shield

Logotype:

A trademarked configuration of styled letterforms that consistently represent a branded entity, sometimes referred to as a word mark

Lockup:

The combination of the Texas Tech coat of arms and a logotype that names the academic entity

Graphic Standards:

A set of guidelines outlining a corporate or institutional identity system and its proper use

Identity System Recommendations *Texas Tech Integrated Identity System*

TTUS Executive Signature

TEXAS TECH UNIVERSITY SYSTEM™

TTU Executive Signature

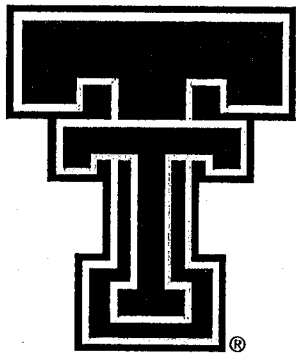
TEXAS TECH UNIVERSITY™

TTUHSC Executive Signature

TEXAS TECH UNIVERSITY
HEALTH SCIENCES CENTER™

TEXAS TECH UNIVERSITY™

Academic Signature

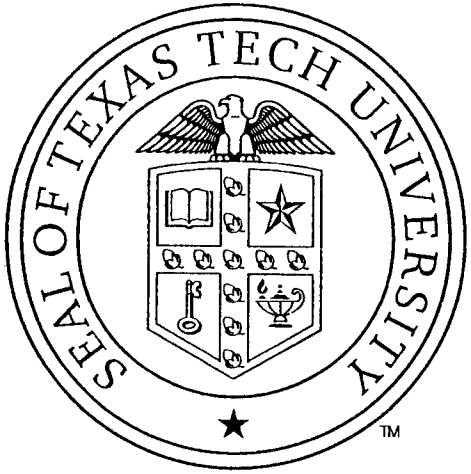


Spirit/Athletic Identity

TEXAS TECH UNIVERSITY
HEALTH SCIENCES CENTER™

Academic Signature

Identity System Recommendations *Updated Official Seal Designs*



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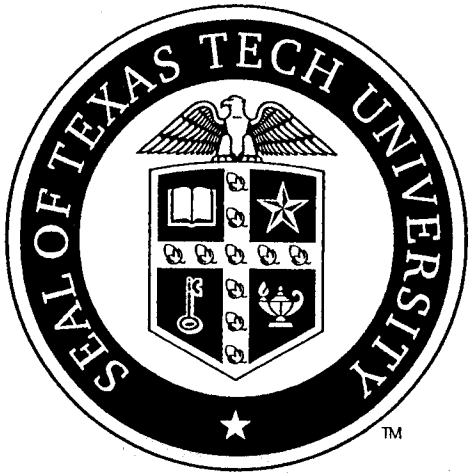
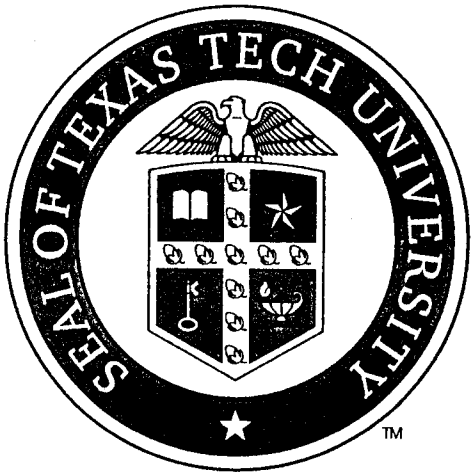
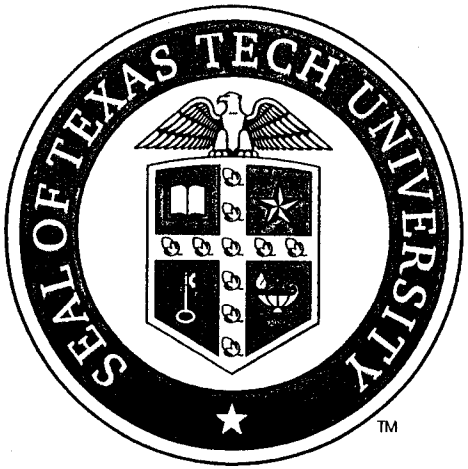


B&W



Color



Texas Tech University
Official Seal

Texas Tech University System
Official Seal

Texas Tech University Health Sciences Center
Official Seal

Identity System Recommendations *Updated Official Seal Designs*

TEXAS TECH UNIVERSITY SYSTEM™

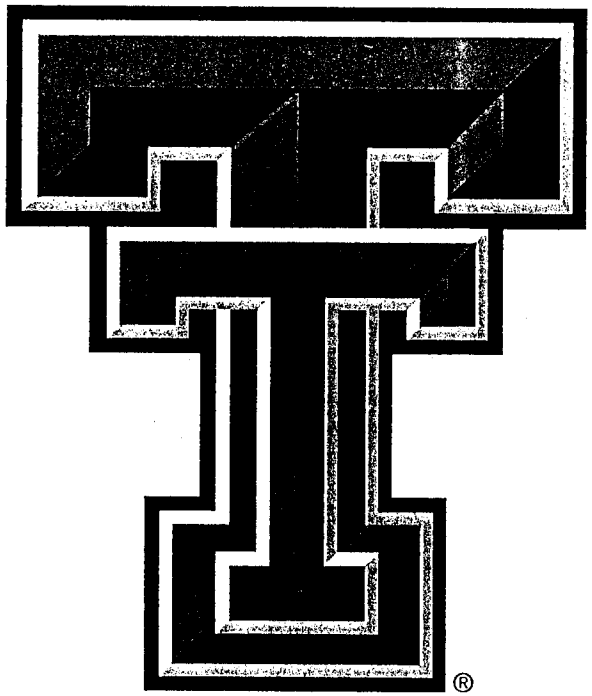
TTUS Executive Signature

TEXAS TECH UNIVERSITY™

TTU Executive Signature

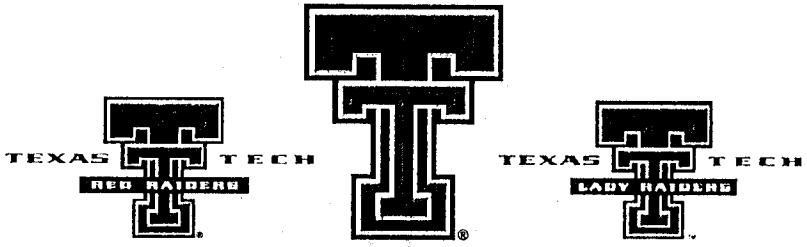
TEXAS TECH UNIVERSITY
HEALTH SCIENCES CENTER™

TTUHSC Executive Signature



Texas Tech Spirit/Athletic Identity

Identity System Recommendations *Integrated Spirit and Athletic Identities*



3-D Double T
Red Raiders Lockup

3-D Double T

3-D Double T
Lady Raiders Lockup

A B C D E F G H I J K L M N O P Q R S T U V W X Y & Z

Official Alphabet for Spirit and Athletic Identities

Sports Team Identities

Masked Rider Identity – Front View

Masked Rider Identity – Side View

Raider Rojos

Lil' Red Raider

Coat of Arms

TEXAS TECH UNIVERSITY™

Logotype

Texas Tech University
Academic Signature

Coat of Arms

TEXAS TECH UNIVERSITY

HEALTH SCIENCES CENTER™

Logotype

Texas Tech University Health Sciences Center
Academic Signature

Texas Tech University System

Office of Audit Services

ANNUAL PLAN
For the Year Ending August 31, 2006

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Transmittal Letter

August 12, 2005

Dr. Bob L. Stafford
Audit Committee Chair, Texas Tech Board of Regents

Dr. David R. Smith
Chancellor, Texas Tech University System

We are pleased to submit the annual plan of the Office of Audit Services of Texas Tech University System for the year ending August 31, 2006. The plan includes audits that are required by statute or administrative policy, assistance required by external auditors, audits that are currently in progress, and planned engagements based on our assessment of risk. We have scheduled approximately thirty percent of our time for assisting management with additional requests, special investigations, follow-up on implementation of prior audit recommendations, and other value-added work.

We appreciate the support you offer us in the performance of our responsibilities and formally request that you approve this plan.

Sincerely,

Kimberly F. Turner, CPA
Managing Director

Approved by : _____ August 12, 2005
Dr. Bob L. Stafford

Approved by: _____ August 12, 2005
Dr. David R. Smith

Mission Statement

The staff members of the Office of Audit Services agreed that we should have a mission statement that reflects Texas Tech's commitment to educational excellence, as well as our commitment to our professional standards. The result of our contemplation is a mission statement that emphasizes proactive quality service provided by our staff for the benefit of the Board of Regents and management throughout the Texas Tech University System.

Mission Statement

The mission of the Office of Audit Services is to assist the Board of Regents and other units of Texas Tech University System and its components in identifying, avoiding, and where necessary, mitigating risks.

Quality Assurance

We have instituted a continuous quality improvement control effort as required by internal auditing standards. We evaluate the quality of our services by:

- completing a self-assessment questionnaire at the end of each engagement;
- measuring our performance against predetermined benchmarks that we believe will encourage excellence;
- surveying our clients regarding their level of satisfaction with the services we have provided;
- completing a periodic self-evaluation of our office's operations to gauge compliance with internal auditing standards; and
- submitting to periodic assessment by peer review teams comprised of experienced higher education audit professionals.

Performance Measures

Audit Plan

- Successful coverage of identified risks
- Progress toward completion of current year audit plan
- Effective utilization of staff resources

Audit Process

- Achievement of internal time budgets and deadlines
- Limitation of fieldwork to planned audit scope
- Complete documentation of audit results
- Engagement objectives fully addressed in audit report

Client Satisfaction

- Management awareness of all issues prior to issuance of draft report
- Management agreement on audit observations
- Timely issuance of engagement reports
- Favorable ratings on client evaluations

Risk Assessment Process

The Office of Audit Services allocates its resources in a manner that is consistent with the mission and goals of Texas Tech University System and its components. In accordance with the Texas Internal Auditing Act (V.T.C.A., Government Code, §2102.005), we have prepared this audit plan based on the results of a formal risk assessment process.

The risk assessment process undertaken to prepare this annual plan was multi-layered. One year ago, the complete staff of our office, based on its collective institutional knowledge, input from upper management, information from past audit and consulting engagements, and knowledge of nationwide trends and occurrences in higher education and health care, developed risk assessments for all three components of Texas Tech. We identified and prioritized major processes as to their significance to the fulfillment of the missions of Texas Tech University System (TTUS), Texas Tech University (TTU), and Texas Tech University Health Sciences Center (TTUHSC), respectively. We analyzed each process to determine risks

Risk Assessment Process (cont.)

related to the achievement of entity objectives and classified each risk as to likely impact and probability of occurrence. The highest levels of institutional management at TTUS, TTU, and TTUHSC then executed a similar process to assess risks for their respective institutions.

During the past month, institutional management for TTUS, TTU, and TTUHSC updated the risk documents from the prior year for recent changes in environmental conditions and other factors. Our office reviewed the updated documents for additional considerations.

The result of these assessments was the identification of strategic, business, tactical, and environmental risks facing each institution. Any of the defined processes whose related risks were determined to present a high impact and at least a medium probability of occurrence were considered for inclusion in this annual plan.

Allocation of Time

Our staff contains 13 audit professionals. After consideration of estimated time for staff meetings, continuing professional education, holidays, and annual leave, we determined our allocable chargeable time to be approximately 16,100 hours.

Of this 16,100 hours, we estimate 2,300 hours are needed to perform required audits, assist external auditors, and complete other mandatory projects. Additionally, 1,100 audit hours are needed to complete engagements from the fiscal year 2005 annual audit plan that are in progress at year end. We have set aside approximately 30 percent of total chargeable time (4,750 hours) for unscheduled projects and other value-added work, including board and management requests, investigations, committee service, and special projects. The remaining 8,000 audit hours have been allocated to projects determined through the risk assessment process and listed on pages 10-12.

Planned Engagements

Texas Tech University System and Components

Endowment Spending	Compliance
Construction Management	Operational
Fraud Risk Assessment	Risk Assessment
Follow-up on 2004 Fraud Prevention and Elimination Reports	Compliance
Texas Tech University Foundation, Inc.	Financial
Regents, Chancellor, and Presidents Travel and Credit Cards	Compliance
Information Technology Data Center Services Inventory	Compliance
Office of Audit Services Self-Study	Compliance
Office of Audit Services Peer Review	Compliance
Office of Audit Services Annual Plan and Report	Compliance
State Auditor's Office Miscellaneous Projects	Miscellaneous

Planned Engagements (cont.)

Texas Tech University

Office of Student Financial Aid	Operational/Controls
Graduate-On-Time Contract	Controls
Credit Card Customer Information Security	IT Controls
Information Technology General Controls Review	IT Controls
Information Technology Risk Assessment	Risk Assessment
Financial Affairs	Operational/Controls
Library Financial Review	Financial/Controls
Student Recruiting and Admissions Process	Operational
Academic Advising Processes	Controls/Consulting
Information Technology Help Central	Operational
Student Course Fees	Compliance
President's Office Financial Review	Financial
Academic Advising Financial Review	Financial
NCAA Compliance	Compliance
Athletics Financial Review	Financial
KOHM-FM	Financial

Planned Engagements (cont.)

Texas Tech University Health Sciences Center

Odessa Operational and Financial Review	Operational/Financial
Credit Card Customer Information Security	IT Controls
Information Technology General Controls Review	IT Controls
Institutional Animal Use and Care Committee	Compliance/Controls
El Paso and Border Funding	Compliance/Controls
Medical Practice Income Plan (MPIP) Trust Fund	Controls
Health Care Systems	Operational
Information Technology Risk Assessment	Risk Assessment
Institutional Review Board	Compliance/Controls
School of Medicine	Compliance/Controls
Grant Expenditures	Compliance
Information Technology Help Desk	Operational
IDX and Related Controls	Controls
Texas Higher Education Coordinating Board Residency Grants	Compliance
Correctional Managed Health Care Committee Contract	Compliance
El Paso Family Medicine Contract	Compliance

Nature of Work

The Office of Audit Services evaluates and contributes to the improvement of risk management, control, and governance processes. The nature of the activities is determined by a risk assessment process undertaken annually with the input of senior management and the Board of Regents. Additionally, consulting engagements may be planned to improve the management of risks, to add value, and to improve Texas Tech's operations.

The Office of Audit Services' assessments and recommendations for improving Texas Tech's risk management, control, and governance processes are for the purpose of accomplishing the following objectives:

- promoting appropriate ethics and values within Texas Tech
- ensuring effective organizational performance management and accountability
- effectively communicating risk and control information to appropriate areas
- effectively coordinating the activities of and communicating information among our office, the Board of Regents, external auditors, and management

Nature of Work (cont.)

The Office of Audit Services evaluates risk exposures and the effectiveness of controls relating to Texas Tech's governance, operations, and information systems regarding the

- reliability and integrity of financial and operational information;
- effectiveness and efficiency of operations;
- safeguarding of assets; and
- compliance with laws, regulations, and contracts.

During the planning phase of each engagement, we determine the scope of work to be performed using the standards noted above. Our scope will be based on a risk assessment conducted during the planning phase of the audit. On all planned engagements, we will conduct an entrance conference and/or provide an engagement letter in order to communicate the scope and objectives of our audit to the management personnel involved.

The Institute of Internal Auditors, The Professional Practices Framework. (Altamonte Springs: The Institute of Internal Auditors, 2004). pp 14-17

Audit Process

Audits are performed in three general phases: planning, fieldwork, and reporting. As indicated earlier, the success of our efforts is monitored through the completion of self-evaluation questionnaires and the compilation of information to monitor our achievement of performance measures. We believe it is important that our process is understood. The illustration on the following page documents the procedures normally employed in the performance of an audit.

Audit Process (cont.)

Planning

- Select engagement team
- Perform preliminary risk assessment with input from the client, management, and audit team members
- Develop audit scope and objectives
- Document anticipated deliverables
- Prepare audit program
- Hold entrance conference

Fieldwork

- Develop and perform detailed testing
- Document and evaluate processes and controls
- Interview client staff members
- Perform other audit procedures to meet audit objectives
- Communicate with client on an ongoing basis

Reporting

- Document strengths and opportunities for improvement
- Communicate with client management regarding audit results
- Develop recommendations
- Prepare draft report
- Hold exit conference
- Obtain management response
- Prepare final report
- Evaluate audit performance
- Follow up on significant findings

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2005

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		REQUIRED AUDITS							
Required	TTUS	Texas Tech University Foundation (assist)	Financial	120		Complete	108		12
Required	TTUS	Chancellor and Regent Travel (assist)	Compliance	20		Complete	21		(1)
Required	TTU & HSC	SAO Statewide CAFR audit (assist)	Financial	40	(40)	Cancelled			0
Required	TTU & HSC	Texas Higher Education Coordinating Board ARP/ATP Grants	Compliance	250		Complete	336		(86)
Required	TTU	SACS Financial Review (assist)	Financial	400		Complete	305		95
Required	TTU	NCAA Compliance	Compliance	280		In progress	405	25	(150)
Required	TTU	NCAA Financial Statements (assist)	Financial	240		Complete	309		(69)
Required	TTU	KOHM-FM (assist)	Financial	250		Complete	279		(29)
Required	HSC	Texas Higher Education Coordinating Board Residency Grants	Compliance	240		Complete	172		68
Required	HSC	El Paso Family Medicine Contract	Compliance	90		Complete	85		5
		TOTALS FOR REQUIRED AUDITS		1,930	(40)		2,020	25	(155)
		AUDITS IN PROGRESS AT AUGUST 1, 2004							
Prior Year	TTU & HSC	SAO Procurement Card (assist)	Compliance	10		Complete	15		(5)
Prior Year	TTU	College of Agriculture	Operational	570		Complete	625		(55)
Prior Year	TTU	Travel Services	Operational	170	(40)	Complete	110		20
Prior Year	TTU	Travel--Approved Driver Compliance Review (open item)	Special		40	Complete	40		0
Prior Year	TTU	Financial Accounting & Reporting	Consulting	150		Complete	52		98
Prior Year	TTU	NCAA Compliance	Compliance	50	(38)	Complete	15		(3)
Prior Year	TTU	Student Union Building Risk Assessment	Risk Assessment	10		Complete	12		(2)
Prior Year	TTU	Research Compliance	Compliance	5		Complete	2		3
Prior Year	TTU	Post-Award Grant Administration	Controls/Compliance	2		Complete	3		(1)
Prior Year	HSC	MPIP Patient Financial Screening	Compliance/Financial	150		Complete	214		(64)
Prior Year	HSC	School of Pharmacy Cash & Inventory Controls	Controls	20		Complete	17		3
		TOTALS FOR AUDITS IN PROGRESS		1,137	(38)		1,105	-	(6)
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS							
		Total hours budgeted for Special Projects & Investigations		5,000	(3,969)			1,031	
		IN PROGRESS AT AUGUST 1, 2004							
Special	TTU	Athletic Department Fiscal Integrity	Special		59	Complete	59		0
Special	TTU	Student Financial Aid Investigation and Audit	Investigation/Controls		20	Complete	20		0
Special	TTU	Athletic Sports Nutrition Office investigation and Audit	Investigation/Controls		92	Complete	92		0
Special	HSC	Graduate Medical Education Loan Fund Reconciliation	Financial		26	Complete	26		0
Special	HSC	Amarillo Cell Phone Special	Investigation		11	Complete	11		0
Special	ALL	Annual Audit Plan				Complete	206		
		BEGUN AFTER AUGUST 1, 2004							0
Special	HSC	SAO Special--Amarillo Physical Plant	Investigation/Controls		897	Complete	897		0
Special	TTU	Chemistry Special	Controls		30	Complete	30		0
Required	TTU	Joint Admission Medical Program Grant	Required		51	Complete	51		0
Required	HSC	Joint Admission Medical Program Grant	Required		52	Complete	52		0
Special	TTU	Hospitality Services--Sam's III Convenience Store	Investigation/Controls		251	Complete	251		0
Special	ALL	Governor's Fraud Initiative	Special		166	Complete	166		0
Required	TTU	Football Attendance Certification	Required		29	Complete	29		0
Required	TTU	NCAA Compliance--Camps & Clinics	Compliance		125	Complete	125		0
Special	TTU	Early Head Start Center Theft	Special		12	Complete	12		0
Special	HSC	Center for Tobacco Prevention and Control Theft	Special		26	Complete	26		0
Special	TTU	Student Affairs Risk Assessments	Risk Assessment		65	Complete	65		0
Special	HSC	El Paso Ob/Gyn Cash Controls	Special		90	Complete	90		0
Special	HSC	Lubbock Ob/Gyn Cash Shortage	Special		28	Complete	28		0
Special	HSC	Lubbock Ophthalmology Cash Shortage	Investigation/Controls		308	In progress	308		0
Special	TTU	Health, Exercise & Sports Sciences (SCUBA)	Special		71	Complete	71		0
Special	ALL	SAO Hotline Reports	Special		22	Complete	22		0
Required	TTU	SAO Tuition Deregulation	Required		21	In progress	21		0
Required	TTUS	SAO A-133 Statewide Single Audit	Required		40	Planning	5	35	0
Special	N/A	University of Houston Peer Review	Special		42	Complete	42		0
Special	HSC	TTUHSC Traffic & Parking Cash Controls	Special		37	Complete	37		0
Special	ALL	Confidential Reporting System (Hotline) Implementation	Special		85	In progress	45	40	0
Special	HSC	El Paso Anesthesiology Special	Special		164	Complete	164		0
Special	TTU	AMA Techtel	Special		77	In progress	37	40	0

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2005

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
Special	HSC	El Paso Trust Fund Review	Special		143	In Progress	43	100	0
Special	HSC	Laser Vision Institute Cash Shortage	Special		51	In Progress	46	5	0
Special	ALL	Foundation Special	Special		53	Complete	53		0
Special	TTU	Human Sciences Special	Special		174	In progress	164	10	0
Special	ALL	UT Southwestern Peer Review	Special		78	In progress	3	75	0
Special	N/A	TeamMate Implementation	Special		282	In progress	182	100	0
Special	TTU	School of Art Procurement Card	Special		174	In Progress	74	100	0
Special	HSC	Amarillo Clinical Trials	Special		117	In Progress	17	100	0
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS		5,000			3,570	605	825
		HIGHEST PRIORITY							
1	TTUS	Endowment Administration	Operational	500		Complete	443	5	52
1	TTUS	Fraud Risk Assessment	Risk Assessment	300	(300)	2006 Plan			0
1	TTUS	Investments Risk Assessment (External Consulting Engagement)	Risk Assessment	120	(120)	Cancelled			0
1	ALL	Institutional Risk Assessments (PricewaterhouseCoopers)	Risk Assessment	160		In progress	75	4	81
1	TTU & HSC	The Institute for Environmental & Human Health	Operational/Controls	400		Complete	541		(141)
1	TTU	Academic Advising	Consulting	240	(240)	2006 Plan			0
1	TTU	Athletics	Operational/Controls	350	(350)	PwC--In progress			0
1	TTU	Athletic Ticket Office Follow-Up	Financial/Controls	85		Complete	75		10
1	TTU	Rawls Golf Course Follow-Up	Financial/Controls	200	70	Complete	288	5	(23)
1	HSC	Institutional Review Boards	Compliance	400	(400)	2006 Plan			0
1	HSC	Research Compliance (El Paso)	Compliance	400		In progress	257	95	48
1	HSC	Amarillo Control Environment	Management Review	300	300	In progress	444	145	11
1	HSC	Billing Compliance Follow-Up	Compliance	200		Complete	266		(66)
		HIGHEST PRIORITY TOTALS		3,655	(1,040)		2,389	254	(28)
		MODERATE PRIORITY							
2	ALL	Information Technology	Controls	550	(550)				
		Eraider System	Controls		300	Complete	280		20
		SAO Financial Systems IT Review (co-sourced)	Controls		170	Planning	30	140	0
2	TTU	Satellite Campus Operations	Operational/Controls	500	(500)				
		Satellite Campuses-Junction	Operational/Controls		259	Complete	254	4	1
2	TTU	Student Mediation Center	Operational	350		Complete	478		(128)
2	TTU	College of Mass Communications	Operational/Controls	180		Complete	264		(84)
2	TTU	College of Visual & Performing Arts	Operational/Controls	250		Complete	383		(133)
2	TTU	Office of Sr VP for Administration and Finance-Budget Office	Operational/Controls	300		Planning	12	288	0
2	HSC	Medical Practice Income Plan (MPIP)	Financial/Operational	1,000	(1,000)				
		MPIP Business Office Cash Handling Follow-Up	Controls		132	Complete	132		0
		Ophthalmology Business Processes	Controls/Operational		600	In progress	544	20	36
2	HSC	School of Nursing Billing Compliance	Compliance	200	(200)	Cancelled			0
2	HSC	El Paso Control Environment	Management Review	400	(400)	Cancelled			0
2	HSC	Texas Higher Education Coordinating Board Reporting Process	Compliance	300		Planning	11	277	12
		MODERATE PRIORITY TOTALS		4,030	(1,189)		2,388	729	(276)
		LOWER PRIORITY							
3	ALL	Continuous Monitoring of Procurement Card Usage	Compliance	250	(250)	Cancelled	-		0
3	ALL	Continuous Monitoring of Cellular Telephone Usage	Compliance	200	(200)	Cancelled			0
3	TTU	Human Resources (Personnel)	Operational	500		Complete	498	4	(2)
3	TTU	Cash Controls Follow-Up	Controls	120		Complete	254		(134)
3	TTU	Student Recruiting & Admissions Process	Operational	400	(400)	2006 Plan			0
3	TTU	Small Business Development Center Follow-Up	Controls/Compliance	120	(120)	Cancelled			0
3	TTU	Physical Plant Follow-Up	Controls/Compliance	120		Complete	170	4	(54)
3	HSC	Safety Services	Compliance	300		Complete	345	4	(49)
3	HSC	KPMG Reportable Condition Follow-Up	Controls	80		Complete	88		(8)
3	HSC	Compliance Review of HIPAA / GLBA / FERPA	Compliance	250	50	In progress	323	80	(103)
		LOWER PRIORITY TOTALS		2,340	(920)		1,678	92	(350)

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2005

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		OTHER RELATED WORK						
Other	ALL	Cash Handling and Control Environment Classes				75		
Other	ALL	Other Miscellaneous Projects				126		
Other	ALL	Status Report Preparation--Various Engagements				93		
Other	ALL	SSN Elimination Committee				10		
Other	TTU	Strategic Planning Council--Risk Assessment/Risk Management				31		
Other	TTU	Quality Service Award Committee				28		
Other	HSC	HIPAA Security Committee				66		
Other	N/A	ACUA Board and Track Coordinator				131		
Other	N/A	ACUA Conference Risk Assessment Presentation				18		
Other	N/A	Miscellaneous Conference Presentations				29		
Other	N/A	Texas Association of College/University Auditors (TACUA) Board				42		
Other	N/A	Texas Society of CPAs				39		
Other	N/A	Other Professional Organizations				14		
Other	N/A	Departmental Computer Support				490		
Other		State Agency Internal Audit Forum				23		
Other		IT Research				22		
		OTHER RELATED WORK TOTALS	0	1,237		1,237	-	0
		TOTAL ENGAGEMENT HOURS	18,092	(1,990)		14,387	1,705	10
		Unplanned other work, leave, and staff turnover	(3,705)					
		AVAILABLE AUDIT HOURS	14,387					
		ADDITIONAL PROJECTS NOT ON PLAN						
4	ALL	Information Systems--Technical Audits	1,000	FY '06 annual audit plan				
4	ALL	Fraud Risk Management	1,000	Walkthroughs & control work in areas identified through risk assessment				
4	TTUS	Construction audits (specific projects)	1,000	Co-sourcing possibility				
4	TTUS	Review of Financial Statement Controls	200	SAO's current project will address				
4	TTU	Hill Country Campuses: Operational Assessments	500	Lowered risk level				
4	TTU	Review of Financial Statement Controls	1,000	SAO's current project will address				
4	TTU	Student Financial Aid	500	FY '06 annual audit plan				
4	HSC-El Paso	Organizational Efficiencies (in structuring second medical school campus)	500	PwC Engagement				
4	HSC-El Paso	Research-related Infrastructure	500	Current engagement underway				
4	HSC	Review of Financial Statement Controls	1,000	Included in financial statement audit of 2003; no further work required				
4	HSC-Odessa	Control Environment	300	FY '06 annual audit plan				
		EXTRA AUDIT HOURS NEEDED	7,500					
		KEY						
	TTUS	Texas Tech University System						
	TTU	General Academic Campus						
	HSC	Health Sciences Center						
	TTU & HSC	Areas with parallel functions or shared responsibility						
	ALL	Areas that will affect all institutions or that will be performed concurrently						
	N/A	Work that is not attributable to a particular institution or campus						
Required	Audits that are mandated by law, OPs, standards, contracts, etc. Will be performed based on timing of external deadlines.							
Prior Year	Engagements from prior year annual plan that were in progress at August 1. Goal is to complete them early in the year.							
1	Engagements that were deemed most critical per the risk assessment at August 1.							
2	Engagements that were deemed to be moderately critical per the risk assessment at August 1.							
3	Engagements that were deemed least critical per the risk assessment at August 1.							
4	Areas of exposure that need attention, but have not been included on the official plan because of lack of resources.							
Special	Investigations and Special Projects							
Other	Other projects, including committee service, class development and instruction, etc.							

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2006

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		TOTAL ENGAGEMENT HOURS AVAILABLE		16,095					
		REQUIRED AUDITS							
Required	TTUS	Texas Tech University Foundation	Financial (assist)	120					120
Required	TTUS	Regents, Chancellor, & Presidents Travel and Credit Cards	Compliance (assist)	20					20
Required	TTUS	Information Technology Data Center Services Inventory Validation	Compliance	150					150
Required	TTUS	Office of Audit Services Self-Study	Compliance	250					250
Required	TTUS	Office of Audit Services Peer Review	Compliance	80					80
Required	TTUS	Office of Audit Services Annual Plan and Annual Report	Compliance	120					120
Required	TTU & HSC	State Auditor's Office Miscellaneous Projects	Miscellaneous (assist)	200	(35)				165
		TTU: SAO A-133 Statewide Single Audit	Compliance		35				35
Required	TTU	NCAA Compliance	Compliance	325					325
Required	TTU	Athletics Financial Review	Financial (assist)	240					240
Required	TTU	KOHM-FM	Financial (assist)	300					300
Required	HSC	Texas Higher Education Coordinating Board Residency Grants	Compliance	220					220
Required	HSC	Correctional Managed Health Care Committee Contract	Compliance	200					200
Required	HSC	Family Practice Center at El Paso Contract	Compliance	90					90
		TOTALS FOR REQUIRED AUDITS		2,315	0		-	-	2,315
		AUDITS IN PROGRESS AT AUGUST 1, 2005							
Prior Year	ALL	Institutional Risk Assessments (PricewaterhouseCoopers)	Risk Assessment	5		In progress			5
Prior Year	TTU	Senior VP For Administration and Finance--Budget Office	Operational	288		On hold			288
Prior Year	TTU	SAO Financial Systems IT Review	Controls (co-source)	140		In progress			140
Prior Year	TTU	NCAA Compliance	Compliance	25		In progress			25
Prior Year	HSC	Research Compliance (El Paso)	Compliance	95		In progress			95
Prior Year	HSC	Amarillo Control Environment	Management Review	145		In progress			145
Prior Year	HSC	Texas Higher Education Coordinating Board Reporting Process	Compliance	277		In progress			277
Prior Year	HSC	Compliance Review of HIPAA / GLBA / FERPA	Compliance	80		In progress			80
Prior Year	HSC	MPIP--Ophthalmology Business Processes	Controls/Operational	20		In progress			20
Prior Year	ALL	Wrap-up on Audits Included in August BOR Report		25		In progress			25
		TOTALS FOR AUDITS IN PROGRESS		1,100	0		-	-	1,100
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS							
		Total hours budgeted for Special Projects & Investigations		4,000	(610)				3,390
		IN PROGRESS AT AUGUST 1, 2005							
Special	ALL	Confidential Reporting System (Hotline) Implementation	Special		40	In progress			40
Special	ALL	TeamMate Audit Software Implemetation	Special		100	In progress			100
Special	N/A	UT Southwestern Peer Review	Special		75	In progress			75
Special	TTU	AMA Techtel Contract	Special		40	In progress			40
Special	TTU	Human Sciences Special	Special		10	In progress			10
Special	TTU	School of Art Procurement Card	Special		100	In progress			100
Special	HSC	El Paso Trust Fund Review	Special		100	In progress			100
Special	HSC	Amarillo Clinical Trials	Special		100	In progress			100
Special	HSC	Laser Vision Institute	Special		5	In progress			5
Special	TTU	Chemistry Procurement Card	Special		40	In progress			40
		BEGUN AFTER AUGUST 1, 2005							0
									0
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS		4,000	610		-	-	4,000

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2006

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		HIGHEST PRIORITY							
1	ALL	Endowment Spending	Compliance	200					200
1	TTU	Office of Student Financial Aid	Operational/Controls	500					500
1	TTU	Graduate-On-Time Contract	Controls	350					350
1	TTU	Credit Card Customer Information Security	IT Controls	250					250
1	TTU	Information Technology General Controls Review	IT Controls	250					250
1	HSC	Odessa Operational and Financial Review	Operational/Financial	350					350
1	HSC	Credit Card Customer Information Security	IT Controls	250					250
1	HSC	Information Technology General Controls Review	IT Controls	250					250
1	HSC	Institutional Animal Care & Use Committee (IACUC)	Compliance/Controls	350					350
1	HSC	Medical Practice Income Plan (MPIP) Trust Fund	Controls	500					500
		HIGHEST PRIORITY TOTALS		3,250			-	-	3,250
		MODERATE PRIORITY							
2	TTUS	Construction Management	Operational (assist)	40					40
2	TTU	Information Technology Risk Assessment	IT Risk Assessment	80					80
2	TTU	Financial Affairs	Operational/Controls	300					300
2	TTU	Library Financial Review	Financial/Controls	250					250
2	TTU	Student Recruiting and Admissions Process	Operational	350					350
2	TTU	Academic Advising Processes	Controls/Consulting	350					350
2	HSC	El Paso and Border Funding	Compliance/Controls	350					350
2	HSC	Health Care Systems	Operational	500					500
2	HSC	Information Technology Risk Assessment	IT Risk Assessment	80					80
2	HSC	Institutional Review Board	Compliance/Controls	300					300
2	HSC	School of Medicine	Compliance/Controls	400					400
		MODERATE PRIORITY TOTALS		3,000			-	-	3,000
		LOWER PRIORITY							
3	TTUS	Follow-up on 2004 Fraud Prevention & Elimination Rpts to Gov	Compliance	200					200
3	TTUS	Fraud Risk Assessment	Risk Assessment	250					250
3	TTU	Information Technology Help Central	Operational	200					200
3	TTU	Student Course Fees	Compliance	150					150
3	TTU	President's Office Financial Review	Financial	200					200
3	TTU	Academic Advising Financial Review	Financial	150					150
3	HSC	Grant Expenditures	Compliance	150					150
3	HSC	Information Technology Help Desk	Operational	200					200
3	HSC	IDX and Related Controls	Controls	180					180
		LOWER PRIORITY TOTALS		1,680			-	-	1,680

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2006

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		OTHER VALUE-ADDED WORK						
		Total hours budgeted for Other Value-Added Work	**750**	**0**				**750**
Other	ALL	Cash Handling and Control Environment Workshops						
Other	ALL	Ethics Workshop						
Other	ALL	Short / Intermediate Term Investment Advisory Committee						
Other	ALL	Social Security Number Elimination Committee						
Other	ALL	Banner Student Information System Committee						
Other	TTU	Quality Service Award Committee						
Other	HSC	HIPAA Committee						
Other	ALL	Enterprise Risk Management						
Other	N/A	Professional Organizations (ACUA, TACUA, TSCPA, SAIAF)						
Other	ALL	Status Report Preparation						
Other	N/A	Other Miscellaneous Projects						
		OTHER RELATED WORK TOTALS	**750**	**0**		-	-	**750**
		TOTAL ENGAGEMENT HOURS	16,095	0		0	0	16,095
		ADDITIONAL PROJECTS NOT ON PLAN						
4	ALL	Fraud Risk Management	1,000	Walkthroughs & control work in areas identified through risk assessment				
4	TTUS	Construction audits (specific projects)	500	Outsourcing possibility				
		EXTRA AUDIT HOURS NEEDED	1,500					
		KEY						
	TTUS	Texas Tech University System						
	TTU	General Academic Campus						
	HSC	Health Sciences Center						
	TTU & HSC	Areas with parallel functions or shared responsibility						
	ALL	Areas that will affect all institutions or that will be performed concurrently						
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3	Engagements that were deemed least critical per the risk assessment at August 1.							
4	Areas of exposure that need attention, but have not been included on the official plan because of lack of resources.							
Special	Investigations and Special Projects							
Follow-up	Unplanned Follow-up Work							
Other	Other projects, including committee service, class development and instruction, etc.							

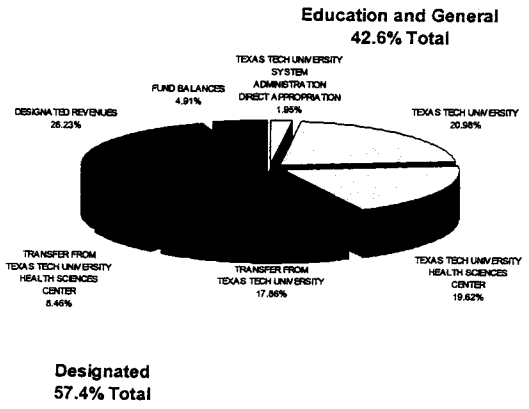
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System
Budget Overview

Jim Brunjes
Senior Vice Chancellor
and Chief Financial Officer

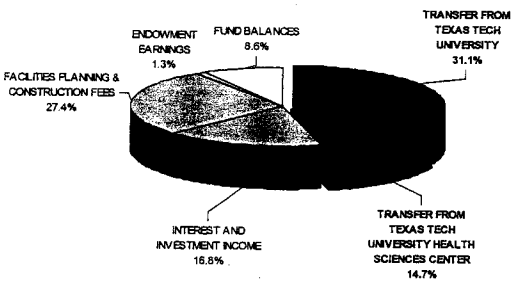
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION
SUMMARY OPERATING BUDGET
SOURCE OF FUNDS
FY 2006



TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION
DESIGNATED FUNDS BUDGET
SOURCE OF FUNDS
FY 2006



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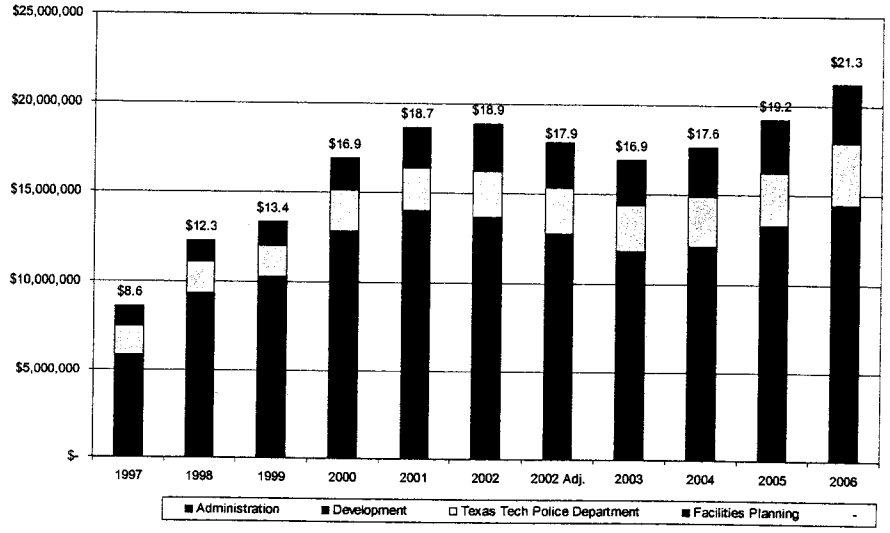
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration



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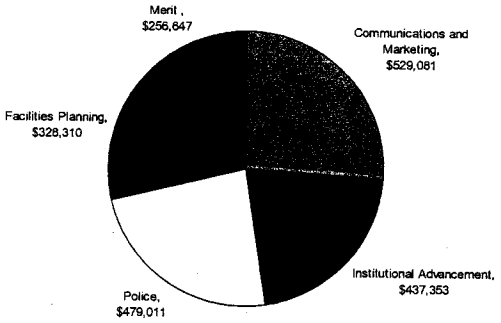
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2006
Major Increases



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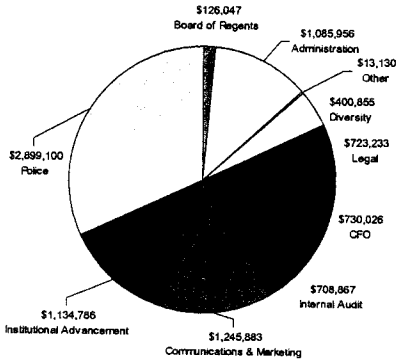
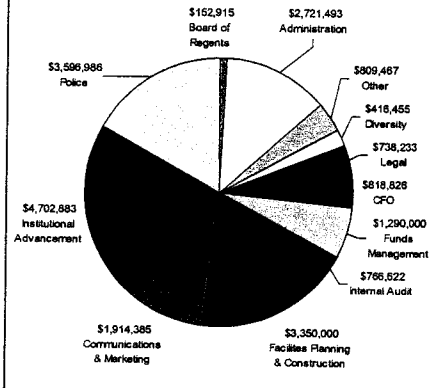
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2006 Budget

Educational and General
$9,067,883



TOTAL (E&G + Designated)
$21,278,265



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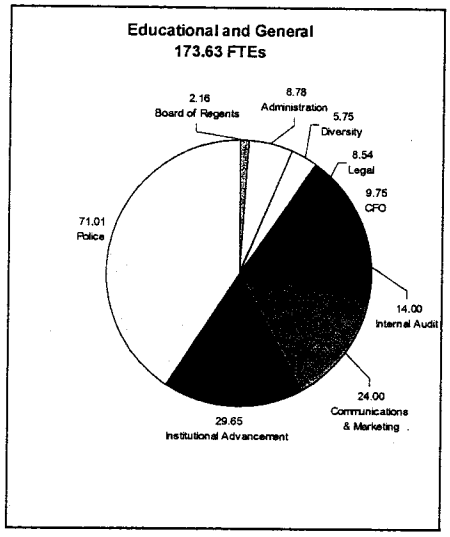
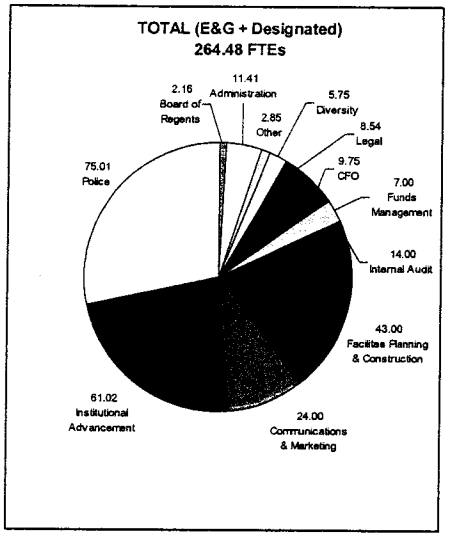
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2006 Budgeted FTEs





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TEXAS TECH UNIVERSITY SYSTEM

BUDGET OVERVIEW
ALL FUNDS
FISCAL YEAR 2006

	SOURCE OF FUNDS		
	ESTIMATED INCOME	OTHER SOURCES	ESTIMATED EXPENDITURES
TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION	$ 20,232,670	$ 1,045,595	$ 21,278,265
TEXAS TECH UNIVERSITY	$ 518,576,051	$ 5,172,815	$ 523,502,610
LESS SYSTEM FUNDING	$ (8,276,257)		$ (8,276,257)
TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER	$ 448,449,875	$ 12,987,090	$ 461,436,965
LESS SYSTEM FUNDING	$ (5,984,367)		$ (5,984,367)
TOTAL FY 2006	$ 972,997,972	$ 19,205,500	$ 991,957,216
TOTAL FY 2005			$ 939,437,945
Percentage Change from FY 2005 to 2006			5.70%

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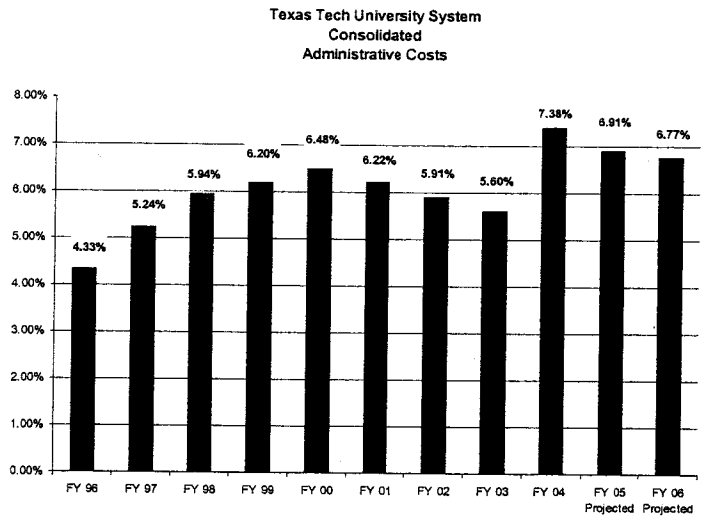
TEXAS TECH UNIVERSITY SYSTEM



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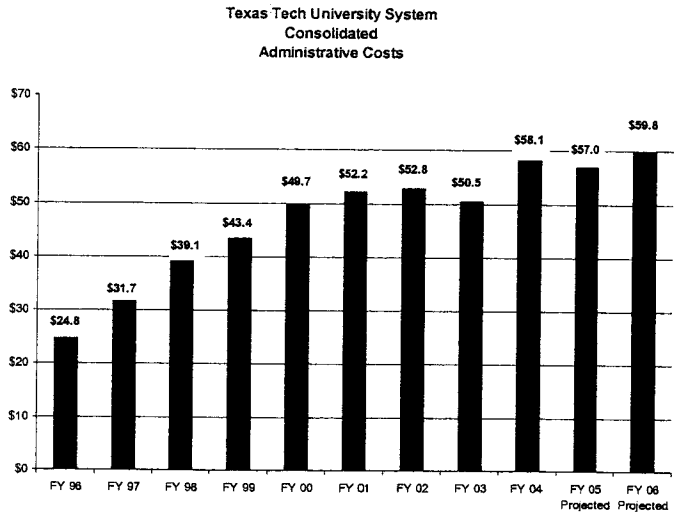
TEXAS TECH UNIVERSITY SYSTEM



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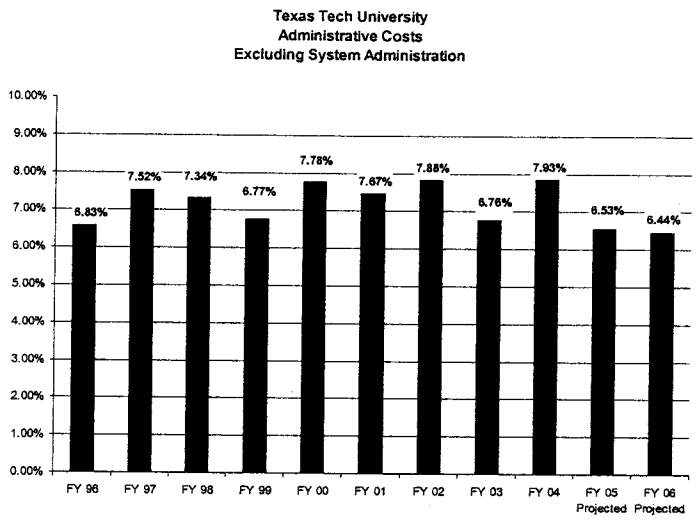
TEXAS TECH UNIVERSITY SYSTEM



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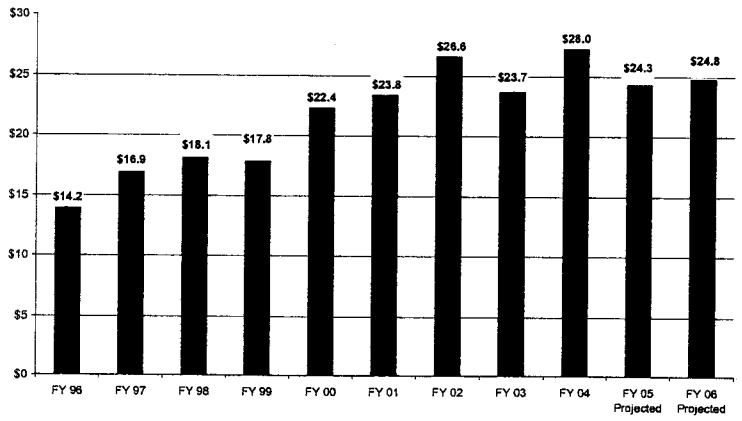
TEXAS TECH UNIVERSITY SYSTEM



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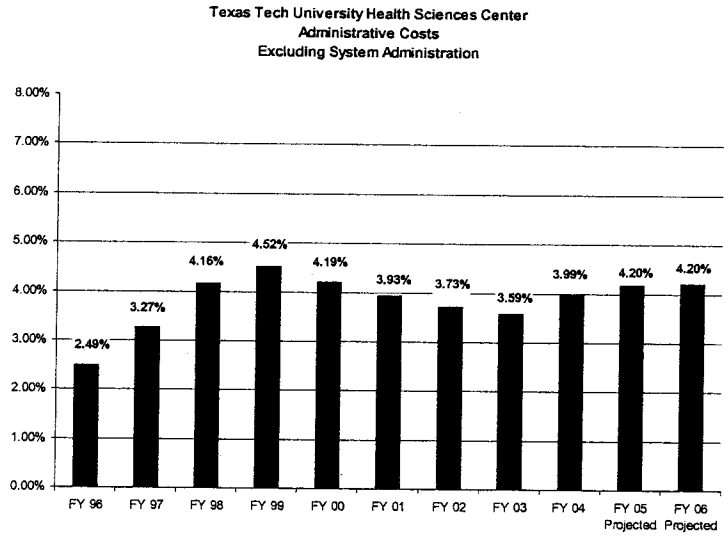
TEXAS TECH UNIVERSITY SYSTEM



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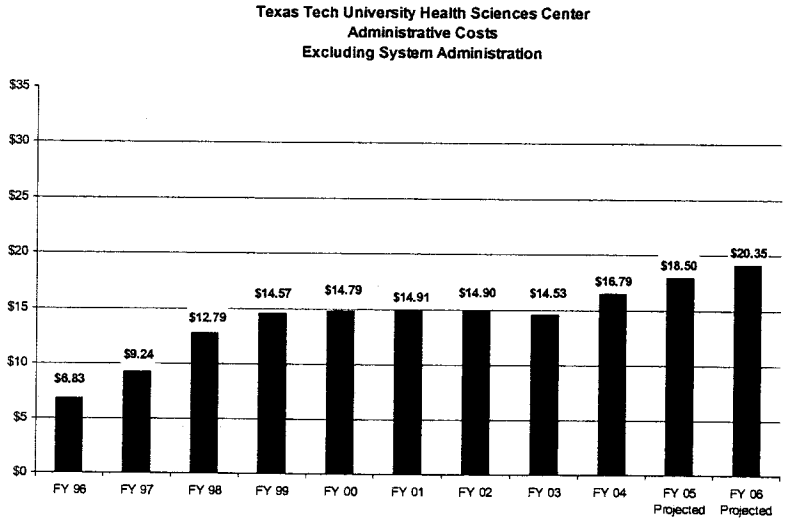
TEXAS TECH UNIVERSITY SYSTEM



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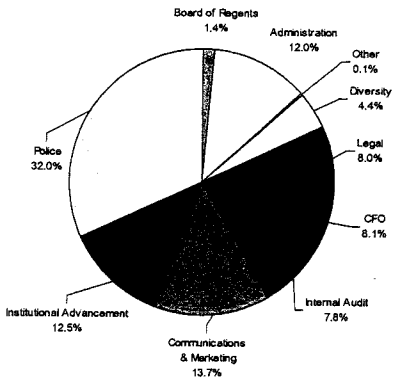
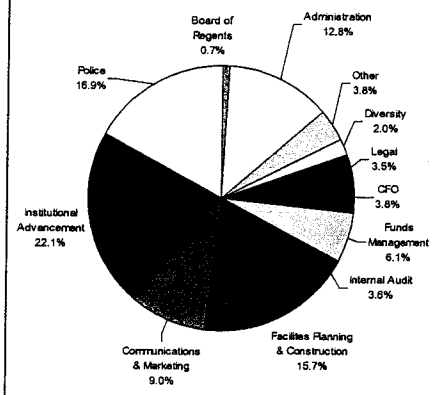
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2006 Budget

Educational and General
$9,067,883



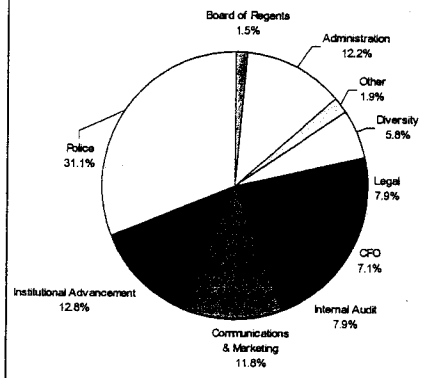
TOTAL (E&G + Designated)
$21,278,265



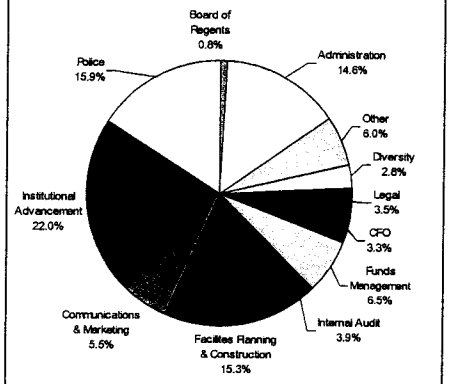
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2005 Budget

Educational and General
$8,547,472



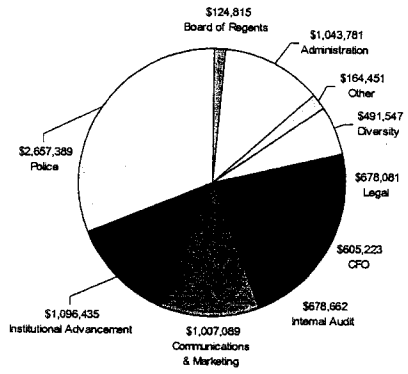
TOTAL (E&G + Designated)
$19,210,387



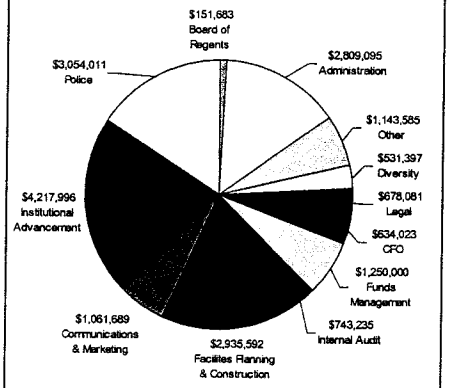
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2005 Budget

Educational and General
$8,547,473



TOTAL (E&G + Designated)
$19,210,387



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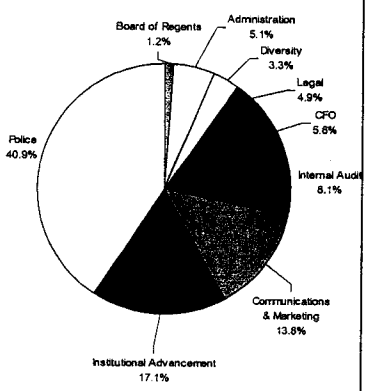
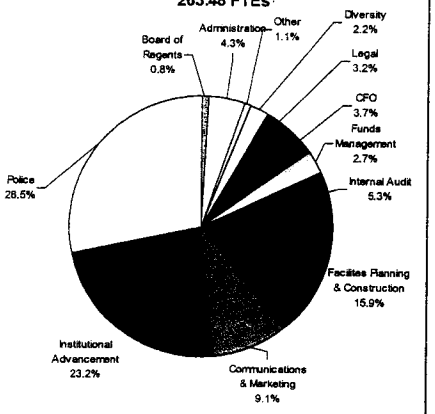
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2006 Budgeted FTEs

Educational and General
173.63 FTEs



TOTAL (E&G + Designated)
263.48 FTEs



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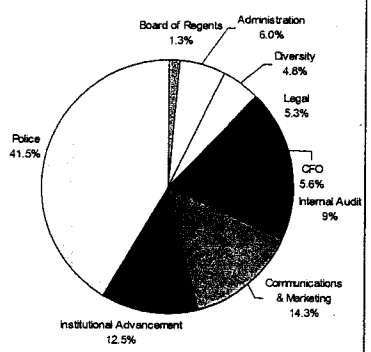
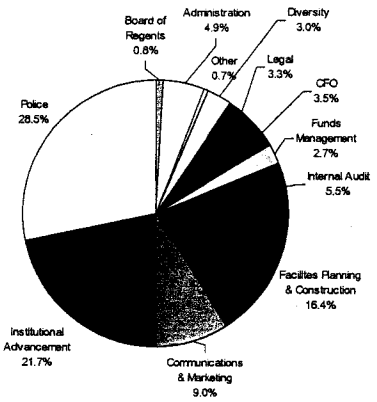
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2005 Budgeted FTEs

Educational and General
160.22 FTEs



TOTAL (E&G + Designated)
257.51 FTEs



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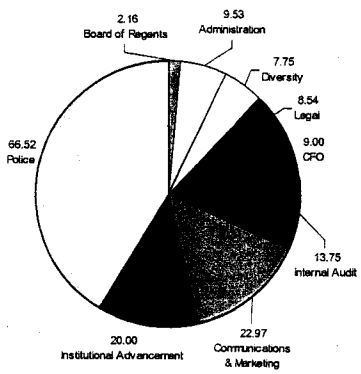
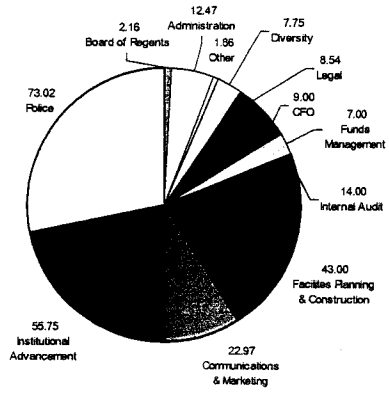
TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
FY 2005 Budgeted FTEs

Educational and General
160.22 FTEs



TOTAL (E&G + Designated)
257.51 FTEs



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TTUS Board of Regents

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY
Summary Operating Budget For Fiscal Year 2006
Overview

Observations (Assumptions – +/-)
Summary All Funds
E & G – Income/Expense
Tuition/Enrollment Assumptions
HEAF Allocations
Designated – Income/Expense
Deregulated Tuition – Expectations/Allocations
Auxiliaries – Expense
Current Funds Restricted – Expense
Final Thoughts

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY
Summary Operating Budget For Fiscal Year 2006
Observations

- The 2006 budget reflects funding for limited expansion and addresses unbudgeted commitments.
- The state appropriation supports the addition of new faculty, expanded research, special item expansion (SBDC and Hill Country), salary adjustments, and fringe benefits (unfunded and new).
- Reallocated and new tuition funds support utilities and operating expenses.
- In 2005, fund balances were used to meet unbudgeted requirements (utilities, fringe benefits, and other unfunded expenditures) reducing flexibility in 2006.
- Revenue projections are based on 2005 student credit hour (SCH) generation (no growth). If SCH generation is down, the University will have to implement a reduction plan (i.e. there is no significant reserve).

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Projected Expense

Source	FY2005	FY2006	%
Education and General [1]	$189,348,209	$202,141,954	6.8%
Designated [2]	142,760,888	151,658,754	6.2%
Auxiliary	103,879,696	109,402,802	5.3%
Current Funds Restricted	69,287,400	60,299,100	-13.0%
Total	$505,276,193	$523,502,610	3.6%

[1] Assumes use of $1,588,295 fund balance

[2] Assumes use of $3,584,520 fund balance (from savings for planned projects)

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
E&G Income

	FY2005	FY2006	%
Education and General	$187,954,650	$200,553,659	6.7%

Selected Changes (Millions)

Source	Inc/(Dec)
General Revenue	$12.2
HEAF (Capital/Library Support)	(3.1)
Indirect Cost	(1.0)
Tuition & Fees	4.5

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
E&G Expense

	FY2005	FY2006*	%
Education and General	$189,348,209	$202,141,954	6.8%

Selected Changes (Millions)

Expense	Inc/(Dec)
Salary Adjustments	**$3.3**
New Faculty	**2.5**
Research Development Fund	**3.0**
HEAF – Capital Projects	**(3.0)**
Fringe Benefits	**3.3**

*Includes $1,588,295 fund balance

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Tuition and Enrollment Assumptions

- Tuition revenue projections based on FY2005 semester credit hours production (flat) and FY2006 tuition rates.
- It has been assumed that enrollments may drop 300-400 FTE, but that SCH production per FTE would grow based on the GOT Program and savings to students.
- The status of enrollments will determine if adjustments are necessary.

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
HEAF Allocations

- Supports capital projects and equipment purchases
- Reduced allocation from $20.9 million in FY2005 to $17.9 million in FY2006
- Primary uses:

– Library Acquisitions/Equipment	$ 5.10
– Engineering Lab Renovations	1.25
– Chemistry Building Life Safety	1.23
– Maintenance/Renovations	3.00
– New/Replacement Equipment*	6.40
– Unallocated	0.92
	$17.90

*Primarily computing equipment

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Designated Income

	FY2005	FY2006	%
Designated	$140,871,025	$148,074,234	5.1%

Selected Changes (Millions)

Source	Inc/(Dec)
Deregulated Tuition*	**$1.3**
Library Fee	**0.8**
Other Designated Sources	**1.8**
Information Technology Fees	**2.0**

*The 78th legislature established the expectation that universities account for a subset of tuition when universities were allowed to set tuition rates locally (deregulated).

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Designated Expenses

	FY2005	FY2006*	%
Designated	$142,760,888	$151,658,754	6.2%

Selected Changes (Millions)

Expense	Inc/(Dec)
Utilities	**$3.0**
IT Infrastructure/Network	**1.4**
President's Opportunity Fund	**(1.0)**
Student Services Support	**1.9**
Departmental Operating Expenses	**0.6**
Transfer to System	**0.8**

*Includes $3,584,520 fund balance

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Deregulated Tuition
Expectations and Allocations

- $33 per SCH ($23.9 million)
- Increase of $1.3 million
- Set aside 15% - need-based financial aid
- Allocation for:
  - Set Aside – Financial Aid
  - Counseling Support
  - Faculty Salaries
  - Scholarships
  - Operating Expenses
  - Instructional Equipment

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Auxiliary Expenses

	FY2005	FY2006	%
Auxiliary	$103,879,696	$109,402,802	5.3%

Selected Changes (Millions)

Expense	Inc/(Dec)
Intercollegiate Athletics	$3.5
Hospitality Services	1.7

TEXAS TECH UNIVERSITY

TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Current Funds Restricted Expenses

	FY2005	FY2006	%
Current Funds Restricted	$69,287,400	$60,299,100	-13.0%

Selected Changes (Millions)

Expense	Inc/(Dec)
Engineering Grants	($1.8)
Business Administration Grants	(1.0)
Interdisciplinary Grants	(2.5)
Arts & Sciences Grants	(1.0)

TEXAS TECH UNIVERSITY

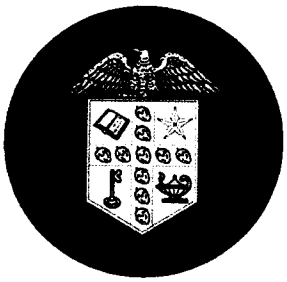
TEXAS TECH UNIVERSITY

Summary Operating Budget For Fiscal Year 2006
Final Thoughts

- Good budget – Positive direction
  - Fills some holes
  - Allows for limited expansion
- Use of E&G fund balance is necessary but should be limited in the future
- Status of enrollment and related revenue should be monitored closely
- Actions to mitigate shortfalls should be apparent by October/November
- Budget reflects reduced flexibility to address potential problems but has fewer known unbudgeted commitments

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER



OPERATING BUDGET SUMMARY FISCAL YEAR 2006

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

EDUCATIONAL AND GENERAL FUNDS
Sources

	2005	2006
GENERAL REVENUE		
General Appropriations Act	$ 94,050,762	$ 107,668,996
Higher Education Assistance Funds (HEAF)	7,735,000	11,899,627
TOTAL GENERAL REVENUE	101,785,762	119,568,623
LOCAL EDUCATIONAL AND GENERAL INCOME		
Tuition and Fees	7,173,512	8,522,764
Indirect Cost Recovery	2,400,000	2,600,000
Interest Earnings	500,000	375,000
Other Miscellaneous Income	30,000	30,000
TOTAL LOCAL E&G INCOME	10,103,512	11,527,764
OTHER SOURCES		
Advanced Technology Program	55,000	18,333
Salary Lapses	200,000	
Fund Balance - El Paso Four Year Medical School	453,000	
TOTAL OTHER SOURCES	708,000	18,333
TOTAL EDUCATIONAL AND GENERAL FUNDS	$ 112,597,274	$ 131,114,720

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

EDUCATIONAL AND GENERAL FUNDS
Use of New Funding

Salary Increases	
3% Salary Increase	$ 2,070,000
Longevity	375,000
Total Salary Increases	**2,445,000**
Other Increases	
Enrollment Growth	700,000
Board Authorized Tuition	843,972
Graduate Medical Education	1,160,611
Research	535,000
Tuition Revenue Bond Debt Service	5,812,648
Midland	1,500,000
Reinstate Special Items	450,919
Presidential Priorities	1,400,000
Higher Education Assistance Funds	4,164,627
Infrastructure Support - Utilities moved to Designated Funds	(608,214)
Other Changes	112,883
Total Use of New Funding	**$ 18,517,446**

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

DESIGNATED FUNDS

	2005	2006
ESTIMATED INCOME		
Medical Practice Income Plan	$ 126,538,270	$ 133,092,860
Nursing Income Plan	311,100	478,000
Allied Health Income Plan	209,830	220,774
Pharmacy Income Plan	3,027,450	2,517,851
General Designated Funds	19,778,109	21,664,117
Self Insurance Fund	1,033,933	1,110,286
TOTAL ESTIMATED INCOME	150,898,692	159,083,888
USE OF FUND BALANCE	7,032,204	12,968,757
TOTAL DESIGNATED FUNDS	**$ 157,930,896**	**$ 172,052,645**

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

CURRENT RESTRICTED FUNDS

	2005	2006
Federal	$ 10,700,000	$ 12,200,000
State	90,400,000	92,900,000
Local	34,500,000	36,200,000
Private	14,500,000	16,500,000
TOTAL CURRENT RESTRICTED FUNDS	$ 150,100,000	$ 157,800,000

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER

TOTAL OPERATING BUDGET

	2005	2006
Educational and General Funds	$ 112,597,274	$ 131,114,720
Designated Funds	157,930,896	172,052,645
Auxiliary Funds	476,600	469,600
Current Restricted Funds	150,100,000	157,800,000
TOTAL OPERATING BUDGET	$ 421,104,770	$ 461,436,965

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER
BUDGET CHANGES RELATED TO APPROPRIATED FUNDS
FY 2006

Educational and General Funds	
Total Budget Increase	**$18,517,446**
Salary Increases	
3% Salary Increase	2,070,000
Longevity - Change from $20/mo for each 3 years to $20/mo for each 2 years of service.	375,000
Total Salary Increases	2,445,000
Other Increases	
Enrollment Growth	700,000
Board Authorized Tuition	843,972
Graduate Medical Education	1,160,611
Research	535,000
Tuition Revenue Bond Debt Service	5,812,648
Midland	1,500,000
Reinstate Special Items	450,919
Presidential Priorities	1,400,000
Higher Education Assistance Funds	4,164,627
Infrastructure Support - Utilities moved to Designated Funds	(608,214)
Other Changes	112,883
Total Other Increases	16,072,446
Total Increase	**18,517,446**

TEXAS TECH UNIVERSITY SYSTEM

Revenue Financing System

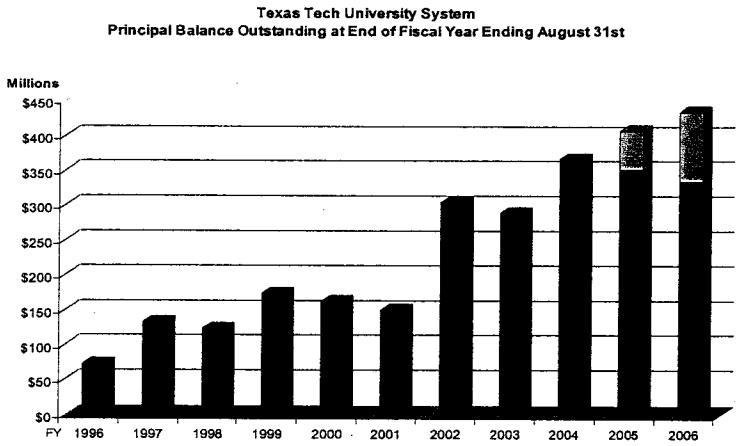
Office of the Senior Vice Chancellor and Chief Financial Officer

TEXAS TECH UNIVERSITY SYSTEM

Revenue Financing System

- The Revenue Financing System (RFS) is a cost-effective debt program secured by a System-wide pledge of all legally available revenues for debt issued on behalf of both institutions and System Administration.
- Rated Aa3 (or equivalent) by Moody's, Standard & Poor's, and Fitch.
- $367.6 million of RFS debt outstanding, including $153.1 million of Tuition Revenue Bond (TRB) debt.

TEXAS TECH UNIVERSITY SYSTEM



TEXAS TECH UNIVERSITY SYSTEM

Commercial Paper Outstanding

Project		Total Estimated Project Cost		Current Commercial Paper Outstanding
El Paso Medical Facility	$	45,000,000.00	$	-
Hulen/Clement Life Safety	$	4,012,000.00	$	3,000,000.00
Golf Course	$	3,000,000.00	$	3,000,000.00
New Residence Hall	$	24,000,000.00	$	13,500,000.00
Student Union IIB	$	6,000,000.00	$	500,000.00
Child Development Center	$	1,000,000.00	$	1,000,000.00
Student Wellness Center	$	8,000,000.00	$	1,000,000.00
Outreach and Extended Studies	$	5,500,000.00		
Wall / Gates Life Safety	$	3,700,000.00		
	$	100,212,000.00	$	22,000,000.00

TEXAS TECH UNIVERSITY SYSTEM

Debt Measures

- Rating
- Policy
- Monitoring
- Next Bond issuance – FY 2006

TEXAS TECH UNIVERSITY SYSTEM

Rating Medians: Moody's Public University Medians

Public Medians for 2004 – By Rating Level

	Aa2	Aa3	**TTUS**	A1
Total Direct Debt	620.9	310.3	**367.6**	131.2
Total Financial Resources	1518.9	670.1	**940.4**	301.6
Total Cash & Investments	1089.3	556.1	**677.6**	168.0
Total Revenues	1767.4	873.7	**963.6**	435.8
Total Expenses	1693.2	870.3	**828.8**	431.6
Total Enrollment FTE	44,077	26,835	**27,569**	17,977

TEXAS TECH UNIVERSITY SYSTEM

Moody's Public College and University Medians

2005-2006
Based on 2004 Financial and Enrollment Data

Aaa	Aa1	Aa2	Aa3	A1
Texas	Texas A&M	Missouri	**Texas Tech**	Oklahoma
		Nebraska	Colorado	Oklahoma State
		Kansas	Houston	North Texas
			Iowa State	
			Kansas State	

TEXAS TECH UNIVERSITY SYSTEM

Debt Measures

- Rating
- Policy
- Monitoring
- Next Bond issuance – FY 2006

TEXAS TECH UNIVERSITY SYSTEM

Four Proposed Debt Measures

CASH FLOW

- **Actual debt service coverage** (x)
  - Measures actual margin of protection for annual debt service payments from annual operations
  - The sum of annual operating surplus (deficit) plus depreciation expense plus interest expense divided by total of principal and interest payments
- **Actual debt service to operations** (%)
  - Measures burden of actual debt service payments relative to overall operating budget
  - Actual annual debt service divided by total operating expenses

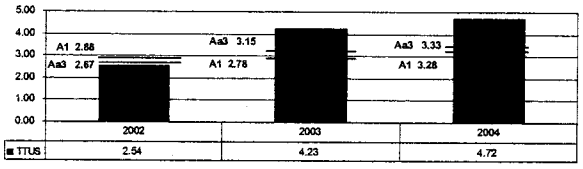
BALANCE SHEET

- **Expendable financial resources to comprehensive debt** (x)
  - Measures coverage of comprehensive debt by financial resources that are ultimately expendable
  - Expendable financial resources divided by comprehensive debt
- **Unrestricted financial resources to comprehensive debt** (x)
  - Measures coverage of comprehensive debt by the most liquid resources
  - Unrestricted net assets divided by comprehensive debt

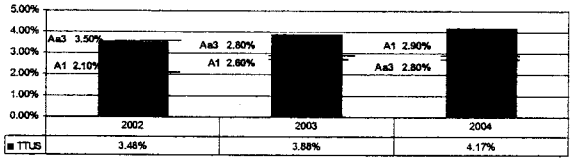
TEXAS TECH UNIVERSITY SYSTEM

CASH FLOW

Debt Service Coverage



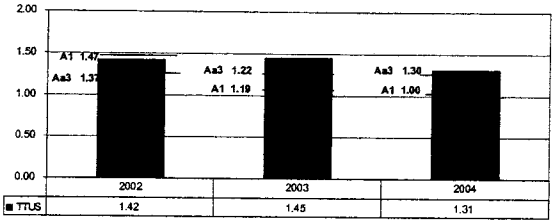
Debt Service to Operations
(lower percentage is better)



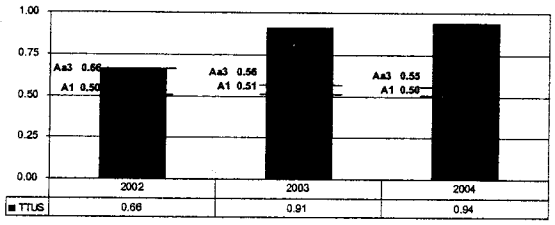
TEXAS TECH UNIVERSITY SYSTEM

BALANCE SHEET

Expendable Financial Resources to Debt



Unrestricted Financial Resources to Debt



Board of Regents
August 12, 2005

TTUS Office of the CFO
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TEXAS TECH UNIVERSITY SYSTEM

Rating Medians: Moody's Public University Medians

Public Medians for 2004 – By Rating Level

	Aa2	Aa3	**TTUS**	**TTUS Trend**	A1
CASH FLOW					
Actual Debt Service Coverage (x)	3.73	3.33	**4.72**	↑	3.28
Actual Debt Service to Operations (%)	2.2%	2. 8%	**4.17%**	↓	2.9%
BALANCE SHEET					
Expendable Financial Resources to Debt (x)	1.74	1.30	**1.31**	↓	1.00
Unrestricted Financial Resources to Debt (x)	0.77	0.55	**0.94**	↑	0.50

Board of Regents
August 12, 2005

TTUS Office of the CFO
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TEXAS TECH UNIVERSITY SYSTEM

Debt Measures

- Rating
- Policy
- Monitoring
- Next Bond issuance – FY 2006

TEXAS TECH UNIVERSITY SYSTEM

Four Proposed Debt Measures

CASH FLOW

- **Actual debt service coverage** (x)
  - Measures actual margin of protection for annual debt service payments from annual operations
  - The sum of annual operating surplus (deficit) plus depreciation expense plus interest expense divided by total of principal and interest payments
- **Actual debt service to operations** (%)
  - Measures burden of actual debt service payments relative to overall operating budget
  - Actual annual debt service divided by total operating expenses

BALANCE SHEET

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  - Expendable financial resources divided by comprehensive debt
- **Unrestricted financial resources to comprehensive debt** (x)
  - Measures coverage of comprehensive debt by the most liquid resources
  - Unrestricted net assets divided by comprehensive debt

TEXAS TECH UNIVERSITY SYSTEM

Debt Measures

- Rating
- Policy
- Monitoring
- Next Bond issuance – FY 2006

TEXAS TECH UNIVERSITY SYSTEM

Board of Regents – August 2005

- Financing Known
  New TRBs and HEAF Appropriation
- Standards for Issuance of New Debt
  - Minimums
  - Goals

Texas Tech University
Texas Tech University Health Sciences Center
Operating Policy and Procedure

OP XX.XX Land Development

DATE: *DRAFT August 11, 2005*

PURPOSE: The purpose of this Operating Policy/Procedure (OP) is to guide private and future development of Texas Tech University System (Texas Tech) lands.

REVIEW: This OP will be reviewed by September 1 of each even-numbered year by the Senior Vice Chancellor who will advise the Board of Regents of any changes to the policy.

POLICY/PROCEDURE

1. Board of Regents' Policy

   a. The Regents wish to ensure that the lands designated for development are reserved for uses that contribute to overall campus development, are consistent with Texas Tech academic goals and policies, and cannot be accommodated on Texas Tech property without special arrangement.

   b. Such development activities will normally be funded from non-State sources.

   c. At such time as lands are designated as available for development, the Texas Tech University System will seek to increase the value of the lands, generate revenue for Texas Tech, and provide direct support for Texas Tech programs.

   d. Texas Tech University System lands shall be developed following a competitive process (Request for Proposal or similar process) offering the lease of land for the specific use desired by Texas Tech. Texas Tech will not directly respond to unsolicited proposals for land development.

   e. Texas Tech may, if it deems appropriate, require that a market analysis be performed by an independent firm to determine the demand for the desired facility and/or service prior to Texas Tech soliciting proposals from interested providers.

2. Conformity with Texas Statutes

   Any Texas Tech-related development shall be consistent with all applicable Texas Statutes, including, but not limited to, Education Code, Title 2, Chapter 109, Texas Tech University System, and Chapter 110, Texas Tech University Health Sciences Center. Compliance with Texas Statutes may require the approval by act of the Texas Legislature.

3. Uses Permitted

   a. Texas Tech-related uses include, but are not limited, to the following:

      1. Public uses, such as streets, schools, recreation areas, police and fire stations, in partnership with relevant city, county, state or federal entities.

      2. Non-profit uses, such as organized student living groups, international centers, and cultural facilities serving Texas Tech.

      3. Non-profit education and health care uses.

      4. Office, research, development, prototype manufacturing, or income producing agricultural development related to the interests of Texas Tech faculty and on-campus researchers.

      5. Residential uses related to the Texas Tech community, including, but not limited to, housing for students, faculty, staff, alumni, and retirees.

      6. Hotels or conference centers serving Texas Tech.

      7. Community shopping or retail services which improve the Texas Tech community.

      8. Parking facilities.

      9. Other uses as designated and approved by the Texas Tech Board of Regents.

   b. Texas Tech-related purposes refer to real property and interests therein held and used by Texas Tech in furtherance of its mission, but excluding real property held for investment purposes.

4. Designated Lands

   Lands designated as available for development for Texas Tech-related uses shall be approved by the Board of Regents and shown on the Campus Master Plan. Lands identified for Texas Tech-related development uses shall be lands designated by The Regents as not needed in the foreseeable future to fulfill the teaching, research, public service, or patient care missions of Texas Tech.

5. Responsibilities

   c. The Chancellor shall have overall responsibility for managing Texas Tech lands, determining conditions for their use, and for recommending the proposed designations to The Regents. The Chancellor shall appoint a committee to review each proposed land development concept.

   d. The Senior Vice Chancellor shall be responsible for coordinating the issuance of all Texas Tech development solicitations and the review of all proposals received for the appropriateness of intended use. The Senior Vice Chancellor shall be responsible for the review of land development concepts with the System staff and the relevant component institutions and shall prepare the recommendations to The Regents.

e. The preparation of recommendations will be accomplished in consultation with the Senior Vice Chancellor and Chief Financial Officer for advice and review.

f. The Senior Vice Chancellor and the Chief Financial Officer shall have authority for the administration of the leases and the negotiation of all transactions regarding these lands.

g. Review of the recommendations will be accomplished in consultation with the Vice Chancellor for Facilities Planning and Construction for advice and review. This review will consider the proposal's compatibility with the approved campus ~~Long Range Development~~ Master Plan, including land use and conformance to campus architectural and design guidelines, building codes, and environmental requirements.

h. Review of the recommendation will be accomplished in consultation with the Vice Chancellor and General Counsel. This review will include consideration of any possible deed restrictions on the land, applicable statutes, Board of Regents Rules, and Texas Tech policies. The Vice Chancellor and General Counsel shall be the custodian of all contracts of purchase and sale, gift agreements, leases, licenses, easements and rights-of-way, ground leases, mortgages, deeds of trust, insurance policies and other documents relating to real property transactions for lands described herein, custody for which is not established elsewhere in the Regents' Rules and Texas Tech policy.

i. Leases shall be prepared by the Vice Chancellor and General Counsel, Senor Vice Chancellor, and Senior Vice Chancellor and Chief Financial Officer. All leases must protect Texas Tech's interests even if the original lessor defaults or ceases to exist as a viable business entity.

6. Lease Versus Sale

Texas Statutes prohibits sale of Texas Tech land *without Legislative approval*.

7. Pricing of Leases

a. The property value forming the basis for the lease shall be the fair market value of the land. The fair market value of the land shall be determined by two appraisals for the uses permitted by the lease. The appraisals shall be made by appraisers, selected by Texas Tech, with current state certification as well as meeting the current requirements of the Texas Higher Education Coordinating Board.

b. Recommendations concerning the lease value, the annual payment(s), and the annual escalation rate shall be included in the request to The Regents for approval of a lease. The annual payment(s) may be a cash payment and/or other in-kind payments that can be estimated in dollars.

8. Subordination

The Board of Regents of Texas Tech University System will not subordinate the fee interest that it owns in any real property.

9. Use of Proceeds

Proceeds from development shall go first to repay Texas Tech for its costs, which includes, but is not limited to, land acquisition, planning, utilities, and operation. With the concurrence of The Regents, the Chancellor shall be responsible for determining the appropriate use of the remaining development proceeds. Recommendations for use of the remaining proceeds shall be submitted to The Regents on a case-by-case basis.

10. Land Planning

Planning shall include both normal physical planning for the area and fiscal planning for financing necessary improvements. The Chancellor may, at his sole discretion, consult with appropriate and involved public and private agencies in the development of the plans.

11. Access

Lands described herein ordinarily shall have or shall have developed frontage on at least one public (i.e. city, county or State) street.

12. Zoning and Building Codes.

a. While Texas Tech is legally exempt from local zoning and building codes, Texas Tech, as a matter of policy, will require that the developer follow local development codes (including, but not limited to, building and construction permits, required inspections, and related forms of code compliance and enforcement).

b. As a default provision, the development code requirements which apply to Texas Tech, shall apply to the lessee. Texas Tech will establish the planning and building review process and procedures.

13. Conditions for Approval of Texas Tech-Related Land Uses

a. Lessee shall normally be selected following a competitive process (Request for Proposal or similar process) offering the lease of land for the specific use desired by Texas Tech, as identified in Paragraph 3.

b. The lessee shall demonstrate the financial resources to complete and sustain the project.

c. Texas Tech shall have design and site development review and approval over the land and any improvements.

d. Texas Tech shall approve all sub-leases pertaining to any subject project.

e. *The lessee shall pay for or reimburse Texas Tech for any and all fees and costs associated with studies, plans, appraisals, approvals, and construction, operation, and maintenance of improvements on the premises.*

f. The lessee shall pay any and all temporary and permanent utility and operating expenses.

g. The lessee shall pay any and all taxes, assessments and possessory interest payments levied with respect to the occupation of the premises regardless of whether incurred by the lessee or by Texas Tech. (A taxable possessory interest may exist whenever there is a private, beneficial use of publicly-owned, non-taxable real property. Such interests are found when private individuals, companies or corporations lease, rent or use state owned facilities and/or land for their own beneficial use.)

h. Texas Tech shall not be responsible for the physical security, public safety, fire suppression or emergency services of any improvements on the land for projects subject to this policy. Lessee shall indemnify and hold harmless Texas Tech for any loss in any manner arising from the use of the leased property.

i. Lessee shall be responsible for and assure Texas Tech that all necessary road and utility infrastructure and all public services required by the project, including, but not limited to physical security, public safety, fire suppression and emergency services, are available to the project.

j. The lessee shall not use the name Texas Tech or other names or descriptions which would imply or suggest endorsement by, or affiliation with, the Texas Tech University System, without prior express written permission from Texas Tech.

k. The lessee shall maintain all buildings, grounds, and improvements in a condition acceptable to Texas Tech. All leases of land subject to this policy shall contain language that the lessee shall set aside a negotiated annual percentage contribution to a reserve account for replacement of buildings, grounds and other improvements, said amount to be determined by Texas Tech. The reserve account shall be held by a fiduciary selected by Texas Tech. Texas Tech shall have the right to audit the reserve account records annually.

l. The lease shall provide for the reversion of the property to Texas Tech in the event the specific use is changed without the approval of Texas Tech.

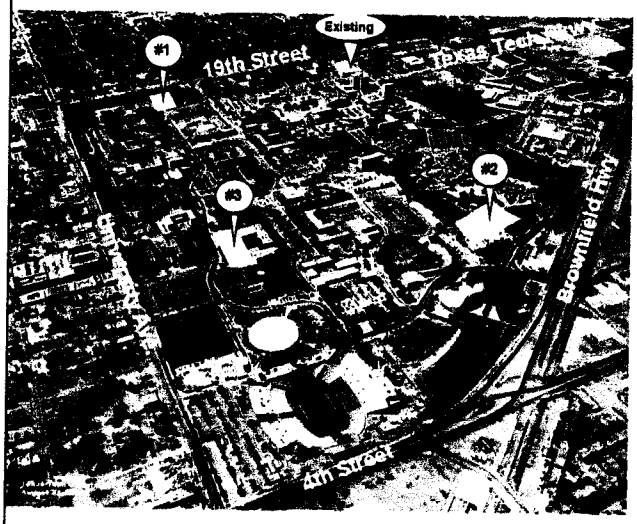
m. Upon termination of the lease or upon reversion of the premises to Texas Tech, the lessee must remove, at its own expense, such improvements as Texas Tech at that time may direct, and must transfer ownership, without cost, to Texas Tech of improvements remaining on the premises along with any remaining reserve accounts. With the exception of improvements which are transferred to Texas Tech ownership, the lessee or purchaser must restore the premises and land to the original condition without cost to Texas Tech.

n. All leases of land subject to this policy shall require the lessee to develop the land for the agreed uses within a time period specified in the lease or the land shall revert to Texas Tech and all payments to Texas Tech forfeited.

Discussion of Parking Fee Strategies

FY2007 and FY2008

Future Garage Alternatives



Existing: Flint Ave & 18th St (2002)

#1 Akron Ave & 18th St (2007)
•Serves residence hall students, employees and visitors in the southeast campus. Also serves future COBA needs.

#2 Flint Ave. & Drive of Champions
•Serves residence hall students and employees near the Engineering key.
•Available for athletic event parking

#3 Akron Ave. & Main St.
•Serves residence hall students and employees in Holden Hall and West Hall. May be a one level deck rather than a multi-level garage.
•This location was studied by Walker Parking Consultants. The best location may actually be across the street on top of the Z1 residence hall lot.
•Available for athletic event parking

Parking Fee Options – FY2007*

1. **Tiered** in the following order (Premium, Highest Demand, Highest Cost Outward):
   a. Garage
   b. Residence Hall/Area Reserved
   c. Commuter North/West
   d. Commuter Satellite

2. Cost should be connected to **equity**

3. Recommended option for FY2007:
   a. Equity for faculty, staff, and students
   b. Three tiers based on distance

4. Satellite fee is reduced and represents the baseline from which other fees are set:
   a. Commuters are reduced $10
   b. Residence hall and student parking in the garage are minimally increased
   c. Area reserved and faculty/staff garage are increased to be in parity with student parking
   d. Reserved parking rates reflect premium nature

***effective Fall 2006**

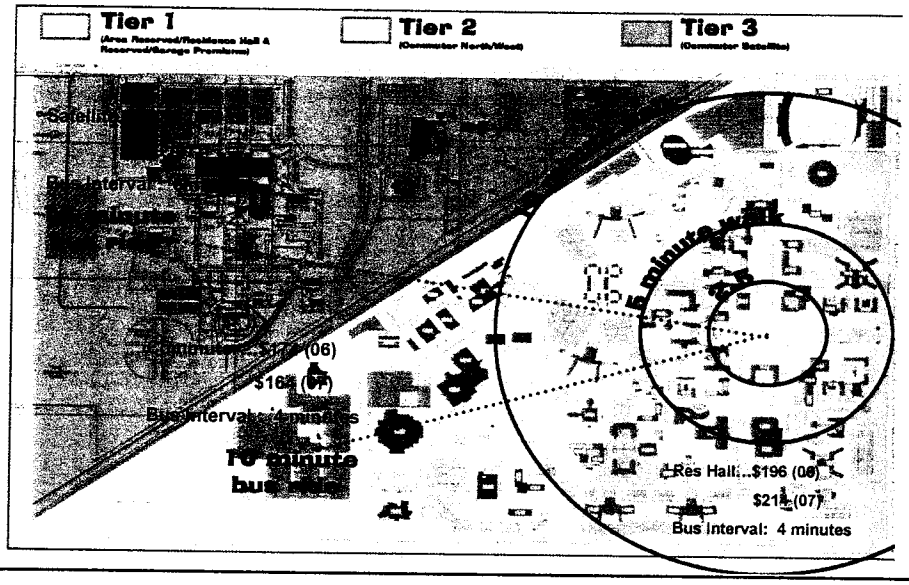
Parking Fees: FY2007

Adjustments Based on Location, Cost, and Equity

Fee Type	FY06	FY07	Increase/Decrease	Adjustment
Satellite	$129	$99	(30)	Baseline Adjustment
Commuter	$174	$164	(10)	150% of Satellite
Residence Hall	$196	$214	18	Cost Adjustment
Area Reserve	$146	$214	68	Equity Adjustment
Student Garage	$393	$427	34	Increased Share of Garage #1 Debt
Faculty/Staff Garage	$281	$427	146	
Faculty/Staff Reserved	$421	$714	$293	Premium for convenience
Faculty/Staff Garage Reserved	$449	$927	$478	

NOTE: nets $250,000 operating income
4% COL + recovery of C8&9

Connecting Cost, Location, and Equity - FY2007



Parking Fee Options – FY2008

(When COBA Area Garage is Online)

The University can pursue increases in two primary sources to support the added debt service for COBA area garage.

1. Increase existing faculty/staff and student fees to fully cover debt service

2. Collect other revenue to partially offset debt service

Payment of Garage Debt based solely upon permit increase

	FY06	FY07	FY08
Satellite	$129	$99	$142
Commuter	$174	$164	$235
Residence Hall	$196	**$214**	$306
Area Reserve	$146	**$214**	$306
Student Garage	$393	**$427**	$611
Faculty/Staff Garage	$281	**$427**	$611
Faculty/Staff Reserved	$421	$714	$806
Faculty/Staff Garage Reserved	$449	$927	$1,111

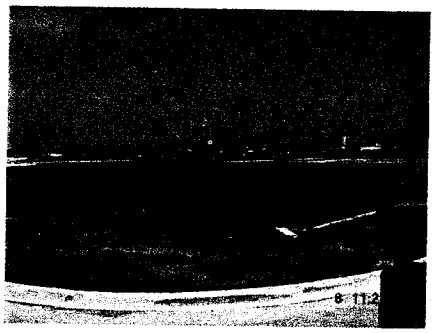
FY 08 COBA Area Garage Debt Service Requirements $1,550,000

Payment of Garage Debt Showing The Effect Of Additional Revenue On Permit Price

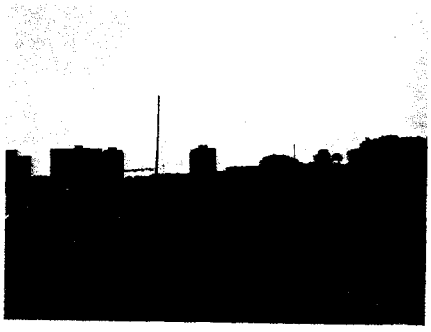
	FY06	FY07	FY08	+ $500K	+ $750K	+ $1M
Satellite	$129	$99	$142	$123	$117	$109
Commuter	$174	$164	$235	$203	$194	$180
Residence Hall	$196	$214	$306	$265	$253	$235
Area Reserve	$146	$214	$306	$265	$253	$235
Student Garage	$393	$427	$611	$529	$504	$470
Faculty/Staff Garage	$281	$427	$611	$529	$504	$470
Faculty/Staff Reserved	$421	$714	$806	$765	$753	$735
Faculty/Staff Garage Reserved	$449	$927	$1,111	$1,029	$1,004	$970

FY 08 COBA Area Garage Debt Service Requirements $1,550,000

300+ New Commuter Spaces

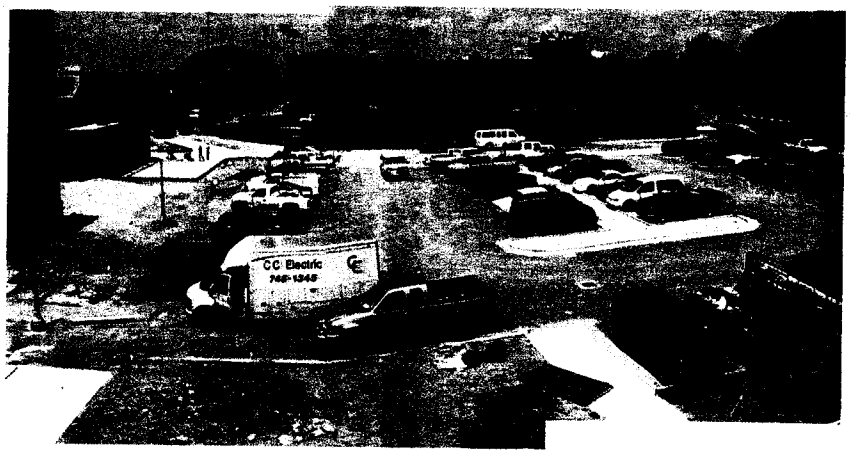


Planning To Reclaim 500+ Commuter Spaces



1,500 Satellite Spaces Serving Commuters and Rec Center Patrons

64 Replacement R2 Spaces



150 Additional Z-6 Spaces for Murray Hall

TEXAS TECH™

Facilities Committee

Board of Regents Meeting
August 11-12, 2005

1

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Agenda

- TTUHSC: Approval: Construction of the El Paso Medical Education Building
- TTUS: Report: Land Development Policy
- TTU: Report: Campus Parking
- TTUS: Report: Office of Facilities Planning and Construction Projects

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

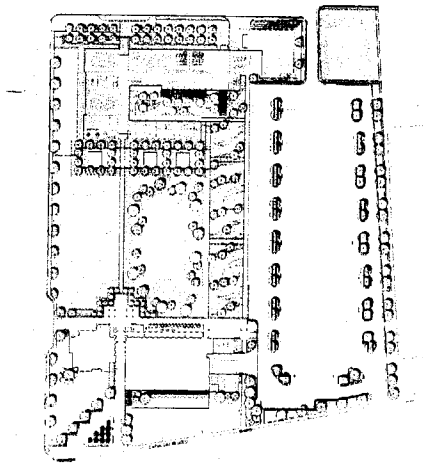
Approval
TTUHSC:
Construction of the
El Paso Medical Education Building

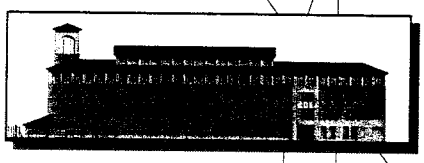
3

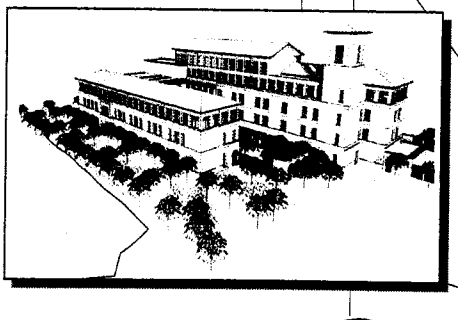
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

El Paso Medical Education Building

MEDICAL EDUCATION BUILDING & MSB I







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TTUHSC El Paso School of Medicine

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Scope

The 125,000 SF Academic Facility will include:

- Classrooms
- Lecture Halls
- Faculty Offices
- Basic Sciences Labs
- Gross Anatomy Lab
- Library
- Student Colleges
- Student Lounge
- Student Wellness Center
- Food Court

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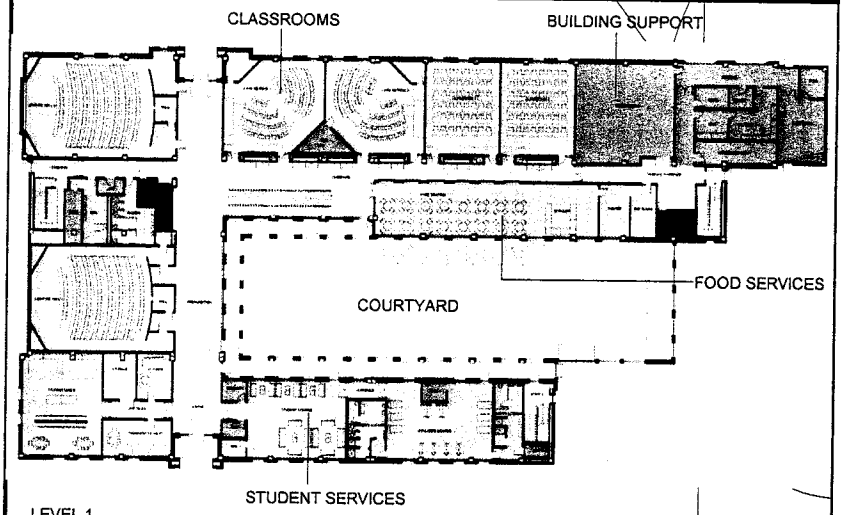
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

El Paso Medical Education Building



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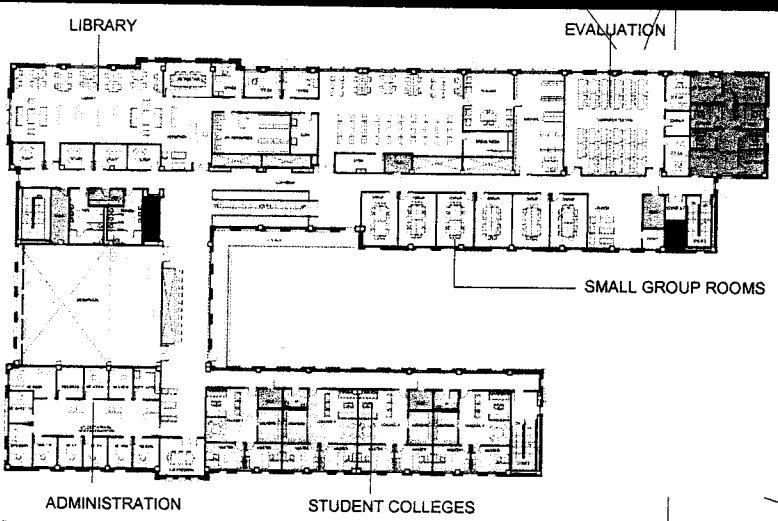
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

El Paso Medical Education Building



LEVEL 2

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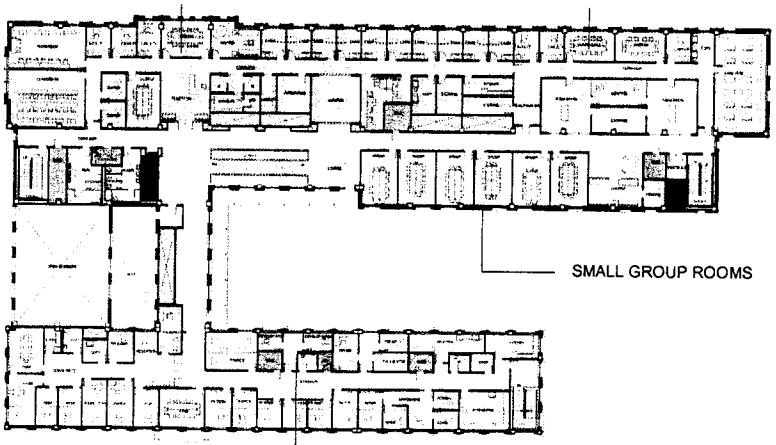
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

El Paso Medical Education Building

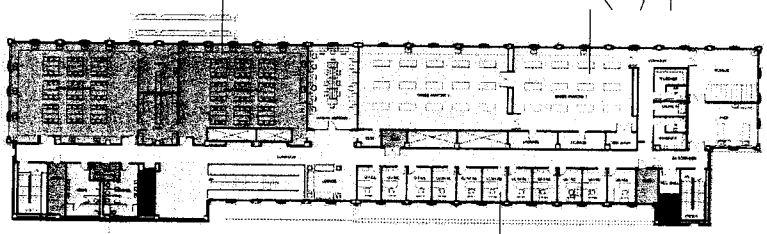


LEVEL 3

8

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

El Paso Medical Education Building



LEVEL 4

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

El Paso Medical Education Building

Budget – Tuition Revenue Bonds	**$45,000,000**
• Construction	$34,688,392
• Professional Fees	$4,991,783
• Admin Costs	$72,100
• FF&E	$2,297,000
• FP&C Fee	$1,500,725
• Public Art Fee	$450,000
• Landscape Enhancement Fee	$450,000
• Contingency	$550,000

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

Report
TTUS:
Land Development Policy

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

Report
TTU:
Campus Parking

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

Report
TTUS:
Office of Facilities Planning and Construction Projects

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks & Mortar Report
Projects Under Construction
August 2005

TTU

Project	Cost	Status
Animal and Food Sciences Building	$ 17,000,000	Substantially Complete
Student Union Bldg. Phase IIB & III	$ 7,500,000	Under Construction
NRHC - Christine DeVitt Wing	$ 3,700,000	Under Construction
Grover E. Murray Residence Hall	$ 24,924,971	Under Construction
CDRC/CSAR	$ 8,000,000	Abatement Complete
English-Philosophy Discovery Mall	$ 1,210,000	Under Construction
Outreach & Extended Studies Bldg	$ 8,500,000	Under Construction
Parking Expansion	$ 7,50,000	Under Construction
Marsha Sharp Freeway (TxDOT Proj)	TBD	Under Construction
Wall/Gates Life Safety Upgrade	$ 3,700,000	Under Construction
Student Wellness Center	$ 8,500,000	GMP Received
TOTAL	**$120,781,971**	

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks & Mortar Report
Projects Under Construction
August 2005

HSC

Project	Cost	Status
Combest Health & Wellness Center	$ 1,605,210	Under Construction
HSC Clinical Tower Research Center	$ 36,167,550	Under Construction
Messer-Racz International Pain Center	$ 4,250,000	Under Construction
HSC El Paso Medical Science Bldg. I	$ 38,890,868	Under Construction
Texas Tech Parkway	$ 9,237,000	Under Construction
HSC Campus Infrastructure Improve.	$ 5,000,000	Under Construction
HSC Roof Replacement	$ 1,950,000	Under Construction
TOTAL	**$ 97,100,628**	
GRAND TOTAL	**$217,882,599**	

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks & Mortar Report
Projects In Design
August 2005

	Project	Cost	Status
TTU	Rawls College of Business Admin	$ 60,000,000	Programming Complete
	Akron Avenue Parking Garage	$ 16,000,000	Planning in Progress
	Lanier Law Prof. Development Center	$ 12,000,000	Design in Progress
	Art 3-D Annex	$ 8,200,000	Design in Progress
	The Rawls Course Clubhouse	$ 3,000,000	Evaluating Options
	Engineering Expansion/Renovation	$ 10,000,000	Program. in Progress
	Sneed/Gordon/Bledsoe Improvements	$ 7,150,000	Design in Progress
	Utility Infrastructure Upgrade	TBD	Study in Progress
	TOTAL	**$116,350,000**	
HSC	El Paso Medical Education Bldg.	$ 45,000,000	Design in Progress
	Amarillo Campus Renovations	$ 2,050,000	Design in Progress
	TOTAL	**$ 47,050,000**	
	GRAND TOTAL	**$163,400,000**	

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks & Mortar Report
Future Projects
August 2005

	Project	Cost	Status
TTUS	System Office Relocation	$ 4,000,000	Proposed
TTU	Experimental Science Lab Build Out	$ 6,000,000	Proposed
	CoBA Building Renovations	$ 25,000,000	Study Complete
	Bush Library	TBD	Study On Going
	Jones SBC Stadium Stage IV	TBD	On Hold
	Retirement Village	TBD	On Hold
	Vietnam Center	$ 35,000,000	Proposed
	TOTAL	**$ 66,000,000**	
HSC	El Paso Medical Science Bldg I Build Out	$ 9,000,000	Proposed
	El Paso Medical Science Building II	$ 95,000,000	Programmed
	Midland Medical Education Expansion	$ 13,500,000	Proposed
	School of Pharmacy Expansion	$ 11,250,000	Proposed
	TOTAL	**$128,750,000**	
	GRAND TOTAL	**$194,750,000**	

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

Board of Regents Meeting

August 11-12, 2005

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

Approval
TTU:
Student Wellness Center
Budget Increase

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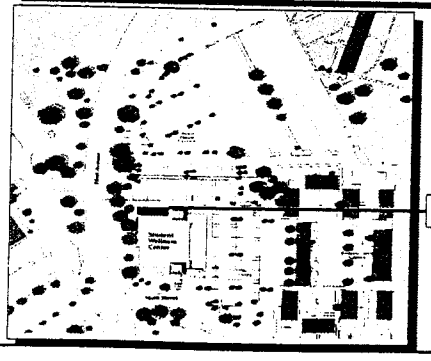
FACILITIES PLANNING & CONSTRUCTION

Student Wellness Center



3,800 SF TWO STORY ADDITION

20

FACILITIES PLANNING & CONSTRUCTION

Scope

This project will construct a:

- 39,900 SF state-of-the-art facility
- Consolidates the Student Health Center and the Student Counseling Center services
- Provide a one-stop service point for student health care facility
- Clinic
- Counseling Center
- Radiology Lab
- Pharmacy

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FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Student Wellness Center

Budget	$8,500,000	$9,350,000
Construction	$6,826,000	$7,510,555
Professional Fees	$1,000,747	$891,811
Admin Costs	$ 9,601	$32,100
FF&E	$ 90,000	$192,324
FP&C Fee	$ 342,610	$378,886
Public Art Fee	$ 85,000	$93,500
Landscape Enh. Fee	$ 85,000	$93,500
Contingency	$ 61,042	$152,127

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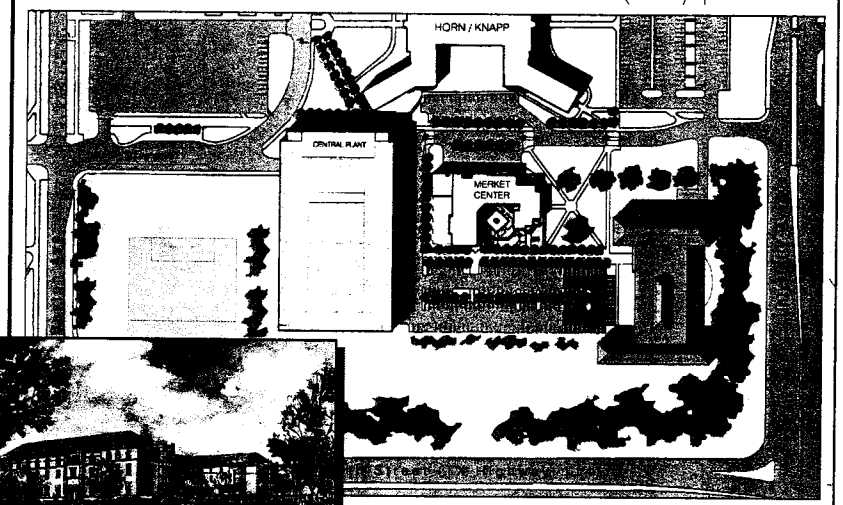
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Rawls College of Business Administration



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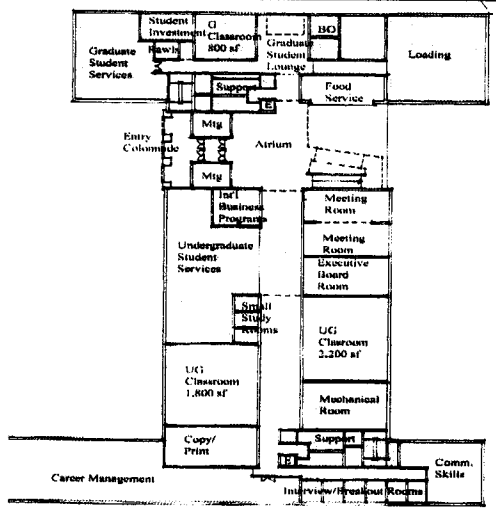
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Rawls College of Business Administration



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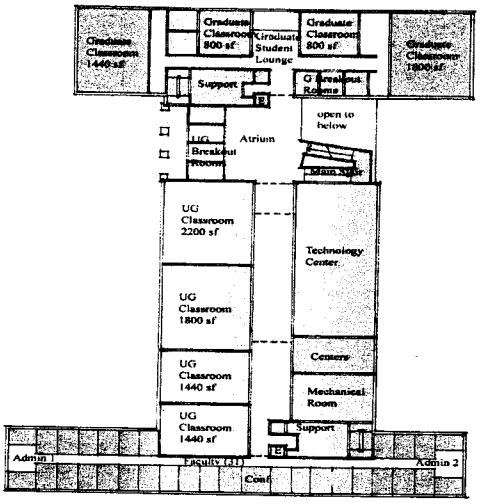
Ground Floor

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

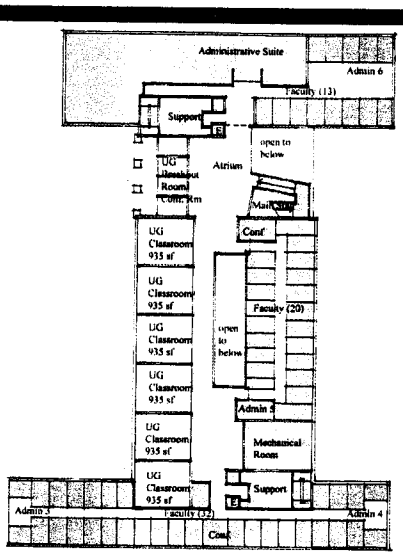
Rawls College of Business Administration



25 **Second Floor**

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Rawls College of Business Administration



26 **Third Floor**

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH™

Facilities Committee

Approval

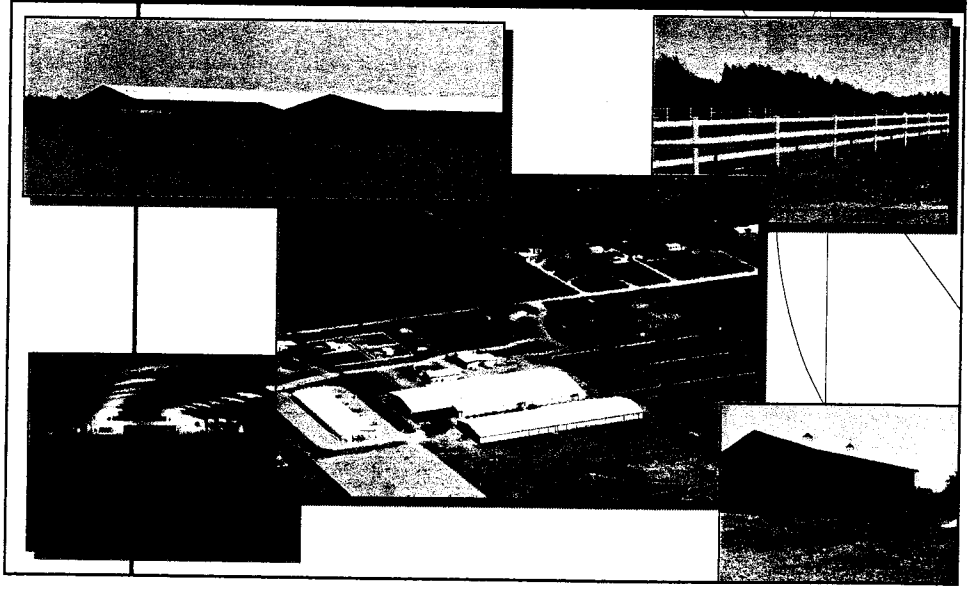
TTU:

Equestrian Center E&G Space

27

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Equestrian Center



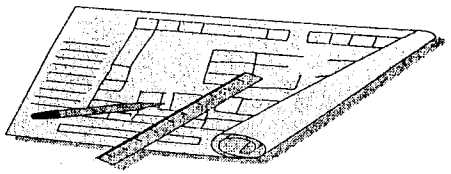
FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks and Mortar Report

Projects Under Construction

July 2005

www.fpc.ttu.edu



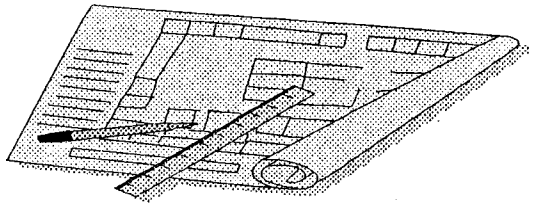
Project	Cost	Status	Completion Date
TTU			
Experimental Sciences Building	$36,997,000	Under Construction	September 2005
Animal and Food Sciences Facility	$17,000,000	Substantially Complete	August 2005
Student Union Building Phase II B & III	$7,500,000	Under Construction	September 2006
NRHC - Christine DeVitt Wing	$3,700,000	Under Construction	April 2006
Grover E. Murray Residence Hall	$24,924,971	Under Construction	August 2005
CDRC / CSAR	$8,000,000	Abatement Complete	August 2006
English-Philosophy Demo/Discovery Mall	$1,210,000	Under Construction	September 2005
Outreach & Extended Studies Building	$8,500,000	Under Construction	August 2006
Parking Expansion	$750,000	Under Construction	August 2005
Marsha Sharp Freeway [TxDOT Project]	TBD	Under Construction	2010+
Wall/Gates Life Safety Upgrade	$3,700,000	Under Construction	August 2005
TOTAL	**$112,281,971**		
HSC			
Combest Health & Wellness Center	$1,605,210	Under Construction	December 2005
HSC Clinical Tower Research Center	$36,167,550	Under Construction	December 2006
Messer-Racz International Pain Center	$4,250,000	Under Construction	June 2006
HSC El Paso Medical Science Bldg. I	$38,890,868	Under Construction	December 2005
Texas Tech Parkway	$9,237,000	Under Construction	September 2005
HSC Campus Infrastructure Improvement	$5,000,000	Under Construction	August 2005
TOTAL	**$95,150,628**		
GRAND TOTAL	**$207,432,599**		

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks and Mortar Report

Projects In Design

July 2005



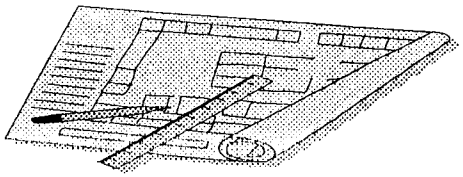
Project	Cost	Status	Completion Date
TTU			
Rawls College of Business Administration	$60,000,000	Planning in Progress	TBD
Akron Avenue Parking Garage	$16,000,000	Planning in Progress	TBD
Lanier Law Prof. Development Center	$12,000,000	Design in Progress	February 2008
Student Wellness Center	$8,500,000	Design in Progress	TBD
Art 3-D Annex	$8,200,000	Design in Progress	TBD
The Rawls Course Clubhouse	$3,000,000	Evaluating Options	TBD
Engineering Expansion/Renovation	$10,000,000	Programming in Progress	TBD
Sneed/Gordon/Bledsoe Improvements	$7,150,000	Design in Progress	August 2006
Utility Infrastructure Upgrade	TBD	Study in Progress	TBD
TOTAL	**$124,850,000**		
HSC			
El Paso Medical Education Bldg.	$45,000,000	Design in Progress	May 2008
Amarillo Campus Renovations	$2,050,000	Design in Progress	TBD
HSC Roof Replacement	$1,950,000	Contractor Selected	TBD
TOTAL	**$49,000,000**		
GRAND TOTAL	**$173,850,000**		

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks and Mortar Report

Future Projects

July2005

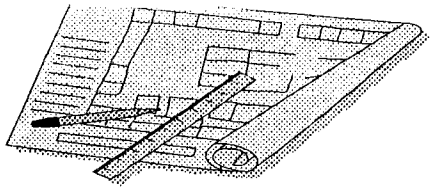


Project	Cost	Status	Completion Date
Texas Tech System			
System Office Relocation	$4,000,000	Proposed	TBD
TTU			
Experimental Science Lab Build Out	$6,000,000	Proposed	TBD
CoBA Building Renovations	$25,000,000	Study Complete	TBD
Jones SBC Stadium Stage IV	TBD	On Hold	TBD
Retirement Village	TBD	On Hold	TBD
Vietnam Center	$35,000,000	Proposed	TBD
TOTAL	**$66,000,000**		
HSC			
El Paso Medical Science Building I Build Out	$9,000,000	Proposed	TBD
El Paso Medical Science Building II	$95,000,000	Programmed	TBD
Midland Medical Education Expansion	$13,500,000	Proposed	TBD
School of Pharmacy Expansion	$11,250,000	Proposed	TBD
TOTAL	**$128,750,000**		
GRAND TOTAL	**$194,750,000**		

FACILITIES PLANNING & CONSTRUCTION
TEXAS TECH UNIVERSITY SYSTEM

Bricks and Mortar Report

Projects Completed
July 2005



TTU

Project	Cost	Status	Completion Date
Student Union Bldg. Expansion/Renov.	$38,085,814	Complete	October 2003/February 2005
Museum NSRL Addition	$4,100,000	Substantially Complete	August 2005
Admin Building Stone Repair	$2,332,099	Complete	January 2005
Jones SBC Stadium Stage IIA /IIB	$53,740,000	Complete	May 2004/Sept 2004
Hulen Clement Fire Protection	$3,623,110	Complete	August 2004
Football Training Facility	$11,000,000	Complete	May 2004
Marsha Sharp Center for Student Athletes	$3,850,266	Complete	January 2004
The Rawls Course Support Facilities	$1,692,000	Complete	November 2003
Admin Building Roof Repairs	$827,901	Complete	November 2003
The Rawls Course	$9,013,000	Complete	August 2003
Horn/Knapp Fire Suppression	$3,600,000	Complete	December 2002
Campus Conference Bonfire Circle	$400,000	Complete	September 2002
English-Philosophy & Education Complex	$46,199,000	Complete	August 2002
Flint Avenue Parking Facility	$10,900,000	Complete	August 2002
Dan Law Field	$1,612,000	Complete	June 2002
Fuller Track Field House	$480,000	Complete	June 2002
Pfluger Fountain	$826,000	Complete	April 2002
Recreation Center Expansion/Renovation	$12,000,000	Complete	November 2001
Jones SBC Stadium Stage I	$22,000,000	Complete	September 2001
Frazier Plaza & Masked Rider Statue	$515,000	Complete	September 2001
Tennis-Softball Complex	$4,059,784	Complete	September 2001
Campus Fiber Optic Connection	$1,667,000	Complete	September 2001
West Hall/Visitors Center	$6,000,000	Complete	August 2001

Broadway Gatehouses	$816,000	Complete	August 2001
Marquee	$352,000	Complete	August 2001
Stangel/Murdough Fire Suppression	$1,704,000	Complete	August 2001
Chitwood/Weymouth Fire Suppression	$2,769,000	Complete	August 2000
TOTAL	**$244,163,974**		

HSC

Project	Cost	Status	Completion Date
HSC El Paso Clinic Expansion/Renov	$9,780,000	Substantially Complete	February 2005
HSC El Paso Hydronic Pipe Replacement	$1,700,000	Substantially Complete	February 2005
HSC Academic Classroom Bldg.	$15,400,000	Complete	October 2003
HSC Synergistic Center	$2,300,000	Complete	March 2003
Amarillo Academic/Clinic Facility	$23,319,252	Complete	April 2002
Midland Physicians Assistant Building	$6,000,000	Complete	August 2001
HSC Admin Relocation	$1,862,000	Complete	March 2001
Odessa Clinic Renovation	$1,200,000	Complete	September 2000
Communications Disorders Renovation	$2,161,000	Complete	May 2000
TOTAL	**$63,722,252**		
GRAND TOTAL	**$307,886,226**		

Engineering our Nation's Future: CoE @Texas Tech

Presentation to the
TTU Board of Regents
August 12, 2005
Dean Pam Eibeck

Engineering the Future

From bridges to cell phones ...
From drilling oil to shaping molecules ...
From cleaning water to
navigating Mars ...

- Engineers solve problems facing society using scientific knowledge, mathematical tools and ingenuity.

Our nation's vulnerability

- The US does not educate enough citizens to meet our technical workforce needs, while
- China and India are producing 4-10 times our engineers, while
- Foreign engineers are unwilling or unable to immigrate, while
- Foreign nations are investing more than the US in technology.

See "Tapping America's Potential", Business Roundtable, 2005

Texas Tech will be part of our nation's solution.

College of Engineering Vision

To propel Texas Tech University to national prominence by addressing technical issues of critical importance to the region and the nation.

Missions of Engineering@Tech

1. Prepare tomorrows technical workforce
   - Enrollments increased 32% in the last 5 yrs.
   - Engineering enrollment is the 35th largest nationally.
   - Undergraduate program ranked in top 100 by US News & World Report .
   - FE pass rate 89%, with state avg of ~70%.
   - New curricular areas:
     - Bioengineering
     - engineering/business curricular programs

Missions of Engineering@Tech

2. Generate new technical solutions
   - Perchlorate remediation, anti-IEDs, biohazard sensors, medical image refinement, nano-energetics, ligament graft quality, enhanced oil recovery
   - $13.3M in research (avg past 3 years)
   - 4 Centers w/ $1.3 - $2.4 M funding each
   - 1st National Academy of Engineering member, Dr. Kishor Mehta

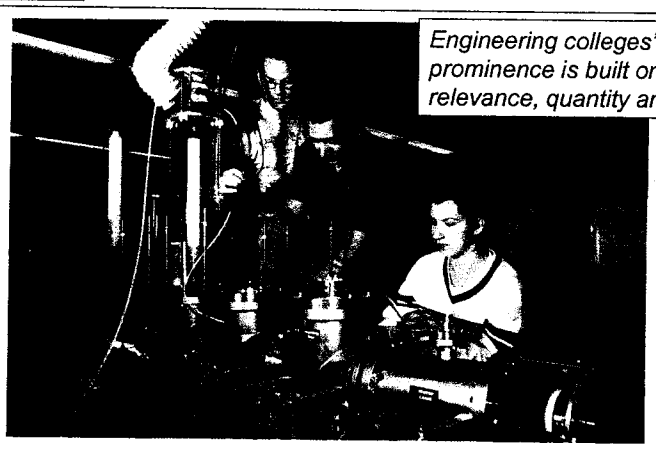
Missions of Engineering@Tech

3. Serve the public
   - Murdough Center/National Institute for Engineering Ethics
   - Center for Engineering Outreach

Goals for CoE

1. Enhance our research reputation.
2. Increase tomorrow's technical workforce.
3. Create facilities for the future.

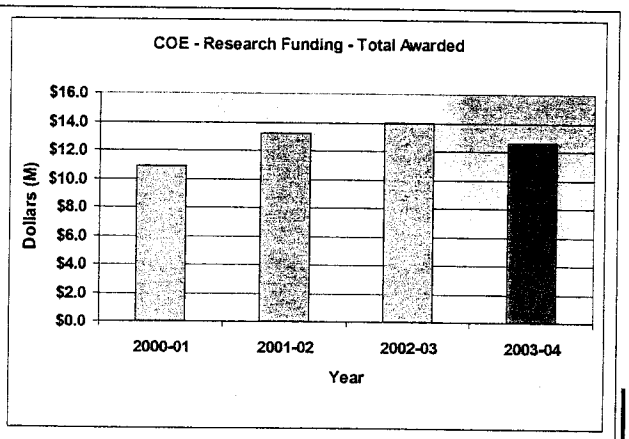
Goal 1:
Enhancing CoE's Research Reputation



Engineering colleges' national prominence is built on research relevance, quantity and quality.

Research Awards

- **$13.3M/yr**
  avg last 3 yrs
- **$117K/faculty**
  avg last 3 years
- **$163K/faculty**
  average of lowest 10 ranked schools (80-90th) in USNews Americas Best Graduate Schools 2006: Top Engineering Schools



Target: Research

- Increase research awards by 25% over next four years.

from $13M/yr to $16-$17M/yr

Strategy to Improve

- Build faculty capability
  - Hire quality research faculty
  - Provide needed research infrastructure: start-up, research space, matching, indirect return
- Build quality graduate student enrollments
- Expand research into bio-engineering
- Identify additional focus areas for strategic investments

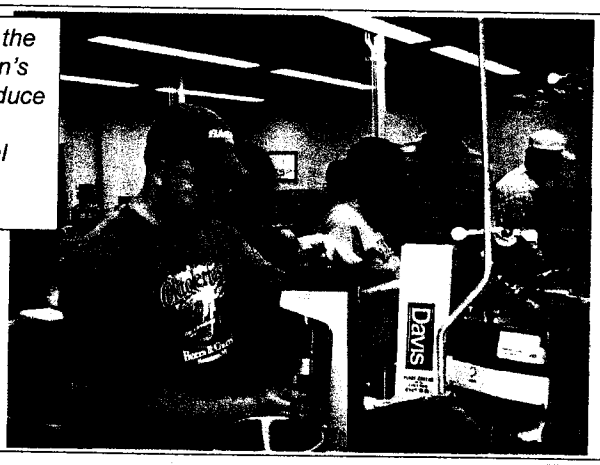
Critical need: Start Up funds

- Typical start up packages:
  - Research I institutions: $250K – $400K/hire
  - Ave start up package in CoE: $140K/hire
- Goal: $250K ($150K to $350K) new hires; $500K - $1M for endowed chair hires
  - With 15 new hires: $3.75M
  - Three endowed chairs: $1.5M – $3M
  - 10% annual replacement hires (12): $3.0M

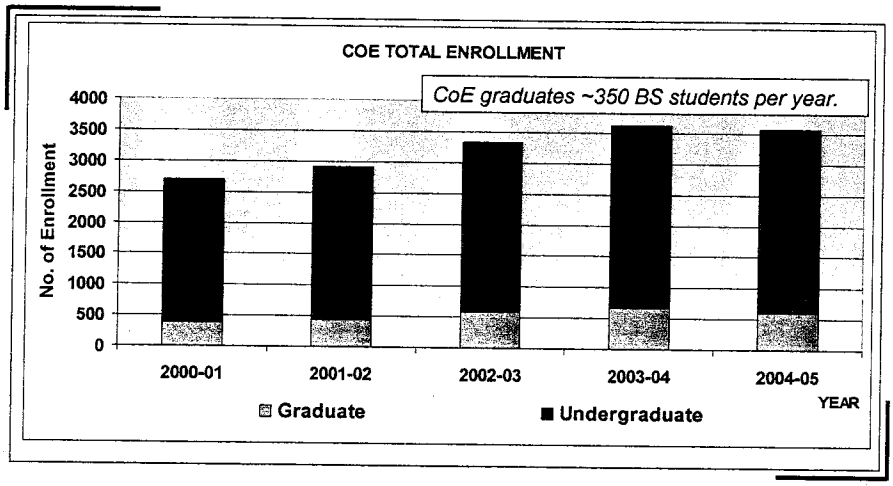
Goal 2:

Increase tomorrow's technical workforce

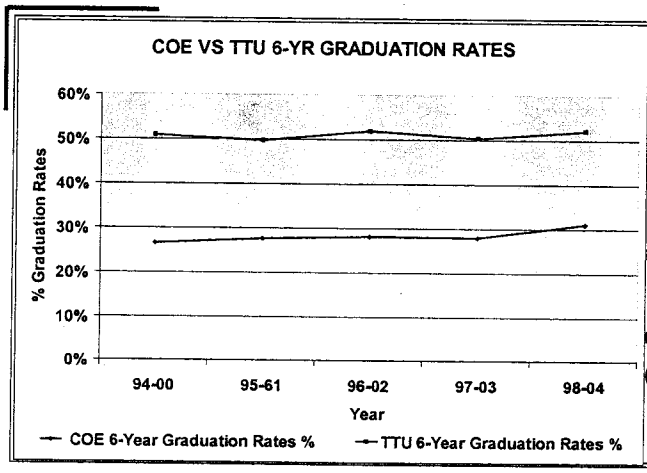
Tech must meet the state's and nation's challenge to produce more engineers ready for a global and diverse workplace.



Enrollment trends



Graduation Rates (6-yr)

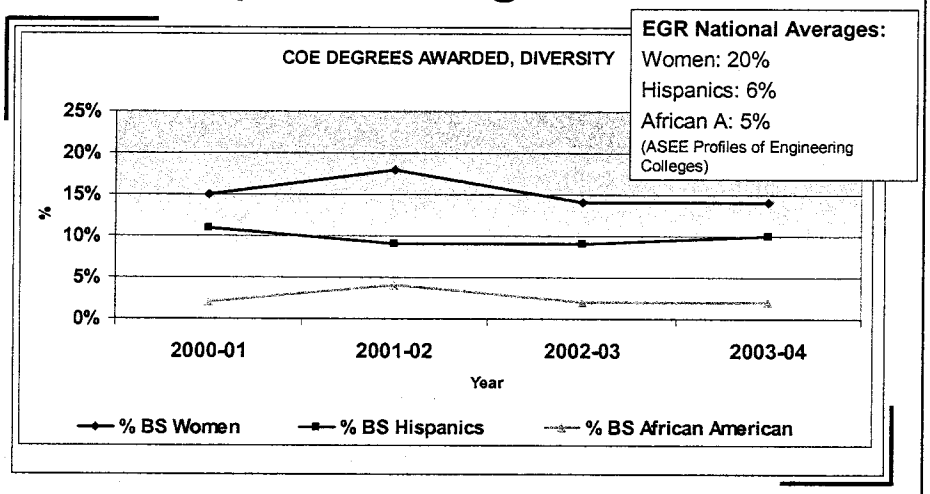


Graduation rate (6-yrs) of engineering freshmen:

- In any TTU degree: 52%
- In engineering: 31%
- Nat'l avg: 38%

(National Science Board, 2002 S&E Indicators)

Diversity of CoE grads



Targets

- Rank in the top 35 nationally for number of BS degrees awarded.
  (Graduate 500 or more BS students annually.)
- Increase the diversity of our graduates.

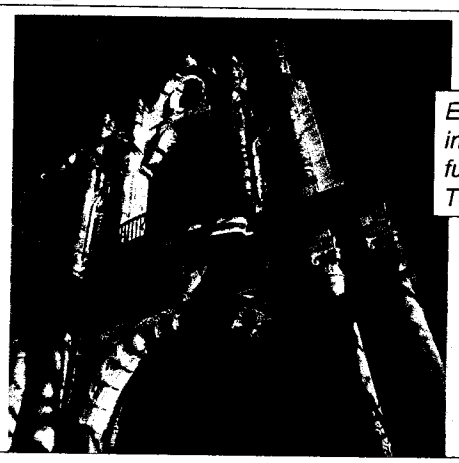
Strategy to improve

- Improve 6-year graduation rate to 40% or more.
  - Enhance student support & services
- Increase ease of transferring to engineering.
- Assure modern equipment and quality learning environment.
- Offer curricula aligned with future technical opportunities
  - Bioengineering & interdisciplinary/flexible options

Critical Need: Differential Fees

- Request that TTU consider instructional enhancement fee and student services fee per credit hour.
  - Of 6 largest Texas public engineering programs, only TTU does not charge differential fees.
  - Of Big 12, only TTU and Nebraska do not charge fees.

Goal 3: Facilities for the Future

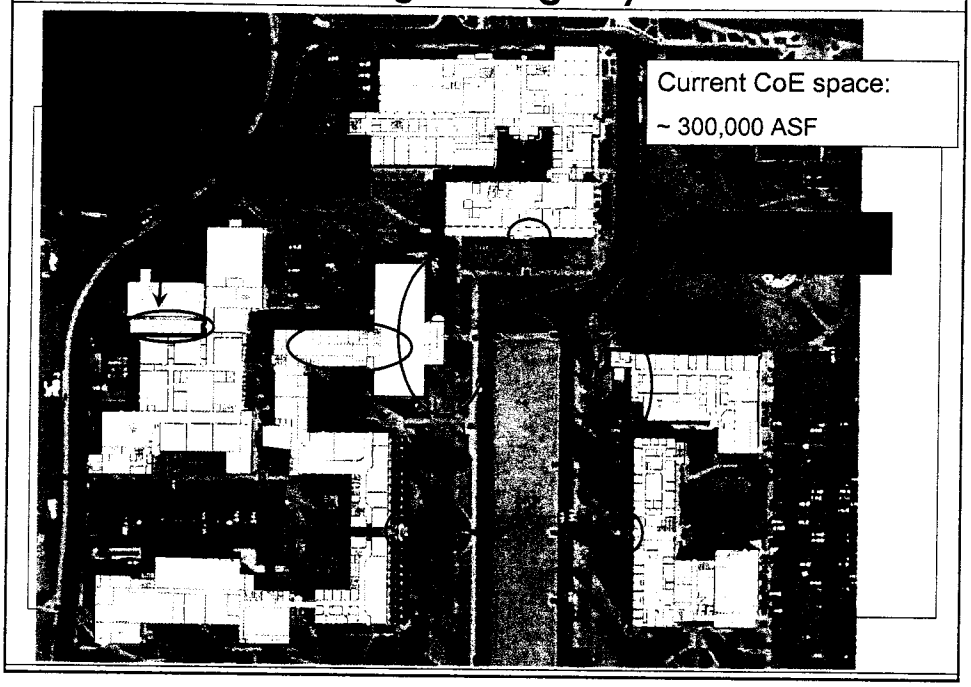


Engineering facilities must inspire technology of the future while linking us with Texas Tech roots.

Challenges

- Enable "engineering for the future"
  - New, appealing image to visitors, potential students
  - Interdisciplinary learning & research
- Space Needs
  - Anticipate 70,000 ASF need for modest teaching/research growth
- Improve functionality & restore architectural integrity

Engineering Key



Target

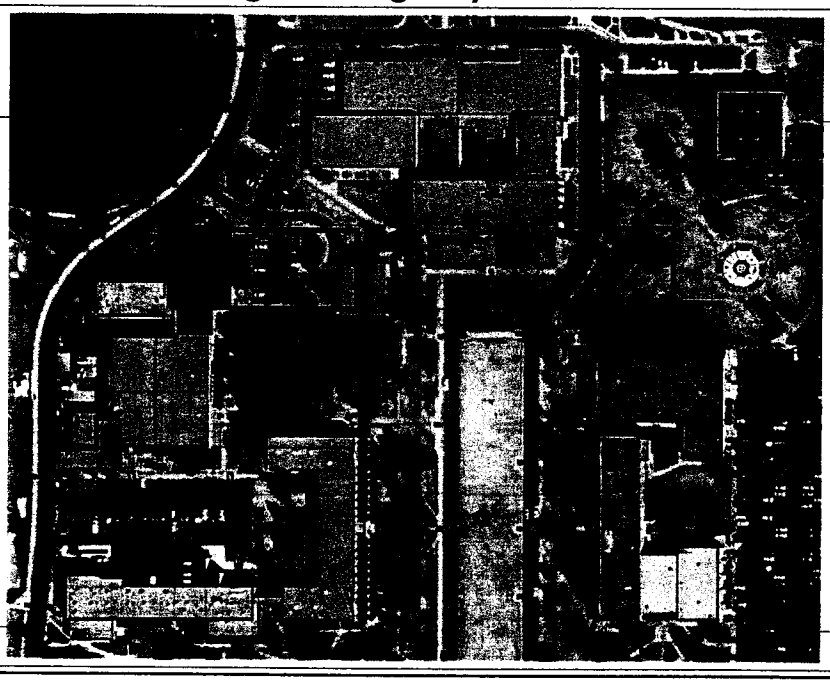
Complete addition of at least 70,000 ASF of interdisciplinary teaching and research space within 6-7 years.

- *Engineering Classroom & Commons Bldg*
- *Engineering Science Research Bldg*

Strategy

- Complete Livermore & targeted renovations
- Engineering Classroom & Commons Bldg (31,000 ASF)
- Engineering Science Research Bldg (88,000 ASF)
- Demolish Engineering Center (-33,000 ASF)

Revitalized Engineering Key



Critical Need: TRB Funds

Preliminary estimated cost: $80-90M

- TRB contribution: $60-70M
- Capital Campaign Centerpiece: $20-25M

Engineering our Future

- The College of Engineering at Texas Tech University is poised to meet the nation's and the state's technical challenges.

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY
UPDATE AND PROGRESS ON OBJECTIVES
AUGUST 2005

UPDATE:

New Building Fundraising: The college has approximately $12.4 million in commitments for the new building. We have in excess of $29.5 million in proposals out to potential donors. There are $13.2 million in proposals in preparation. The college hopes to be in the $20 - $25 million range by the end of the year. Legislative inaction on the TRBs has been a *strong* handicap in fundraising for the building.

Accreditation: We will be presenting our continuing review report to the AACSB on October 1, 2005. This will likely be the final report under the college's continuing review status: Issues were (1) Organization and (2) Faculty sufficiency given the size of the student body. Both Issues have been addressed.

Energy Commerce: This new program for undergraduates is attracting a high level of interest from companies across the state, both recruiting and participation.

Objective:	*Update:*
Students who Graduate on Time. Most students will enroll in each required course once and graduate on time.	• ADVISING crucial: With the help of the Provost, in Spring 2005 the college doubled the number of undergraduate advisors. • Entrance GPA: The 2.75 GPA is having a major effect on course completion.
Highly Qualified Faculty. The faculty will be well qualified to teach the courses assigned and bring prestige to the degrees conferred.	• FACULTY: The college has hired 8 new tenure-track faculty for Fall 2005 of which 4 are replacements. The Provost has increased the tenure-track faculty positions for Fall 2005 by 5 (3 new lines plus 2 conversions of instructor to professor).
Great Business Community Interaction. The College will actively engage and interact with the business community.	• The Advisory Council has met and taken an active interest in academic programs and fundraising. • Chief Executives Roundtable has been active over the past six months with presentations by several CEOs and featured Winston Churchill IV in Spring 2005.
Career Management Center—An Important Part of our Student Services. Employers visiting the campus will increase in numbers and will enthusiastically recruit Rawls' students and graduates.	• In its second year, the center had 185 employers interview with more than 3,000 interview opportunities. • The staff are holding 3 events this summer: Houston (55 representatives of 30 employers), Dallas (90 representatives of 45 employers) and a third event to be held in Austin. In addition, the team will visit about 60 companies who have not previously recruited at Texas Tech University. • An additional full-time staff member has been authorized by the Provost.
Executive Education: a Focused Opportunity. Selected executive education opportunities will be pursued in which there is a strong opportunity to attain prominence.	• MBA in Physician Leadership, a program in executive format for practicing physicians, will graduate its second class of 19 this August. To date, there are 17 physicians recruited for the next class. • Southwest School of Governmental Finance had 128 participants in May 2005 • Texas Tech Bank School will have about 50 participants in August 2005 • Texas Tech Tax Institute will have about 120 participants in November 2005
A Significant Minor's Program, taking education opportunities across the campus The non-Business students enrolled in the College will receive a comprehensive 18-hour business program with comparable quality instruction and class sizes.	• First three new courses in this program will be offered in Fall 2005 with good enrollments.

Rawls College of Business

Vision:

The Rawls College of Business will be among the top business schools of choice in Texas for prospective students, employers, faculty, business community, and the public at large.

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Success

ALL ABOUT

Success of our students

Success of our faculty

Efficient use of our resources: faculty and facilities.

The Administration has been very supportive in helping us reach our goals!

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

UPDATE

- Building
- Accreditation
- Energy Commerce
- Progress on Objectives

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

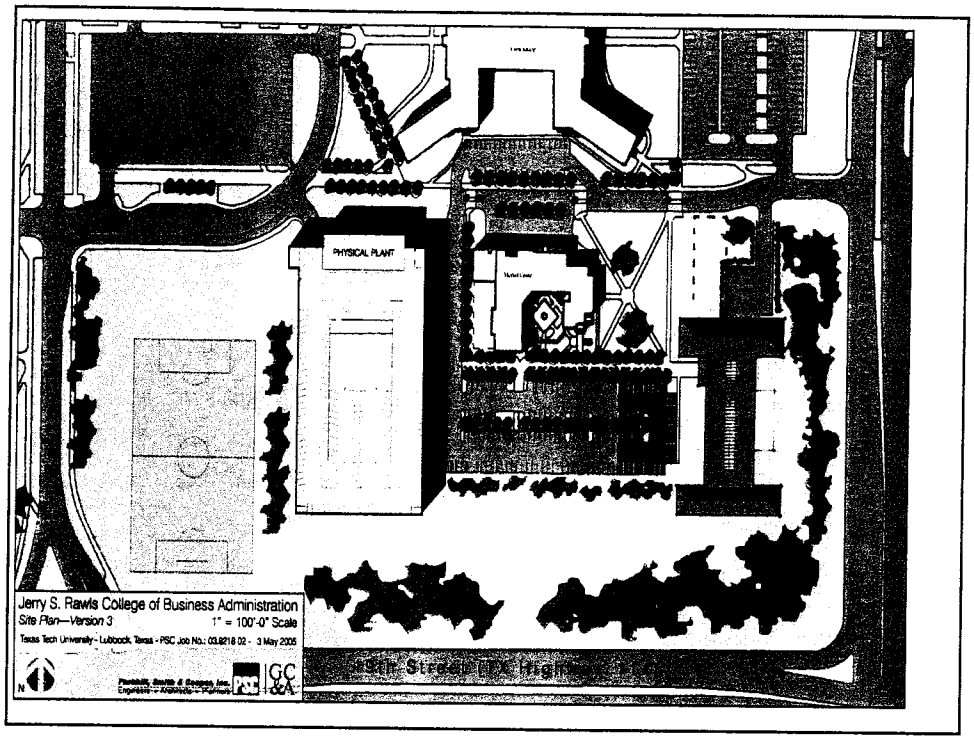
Building

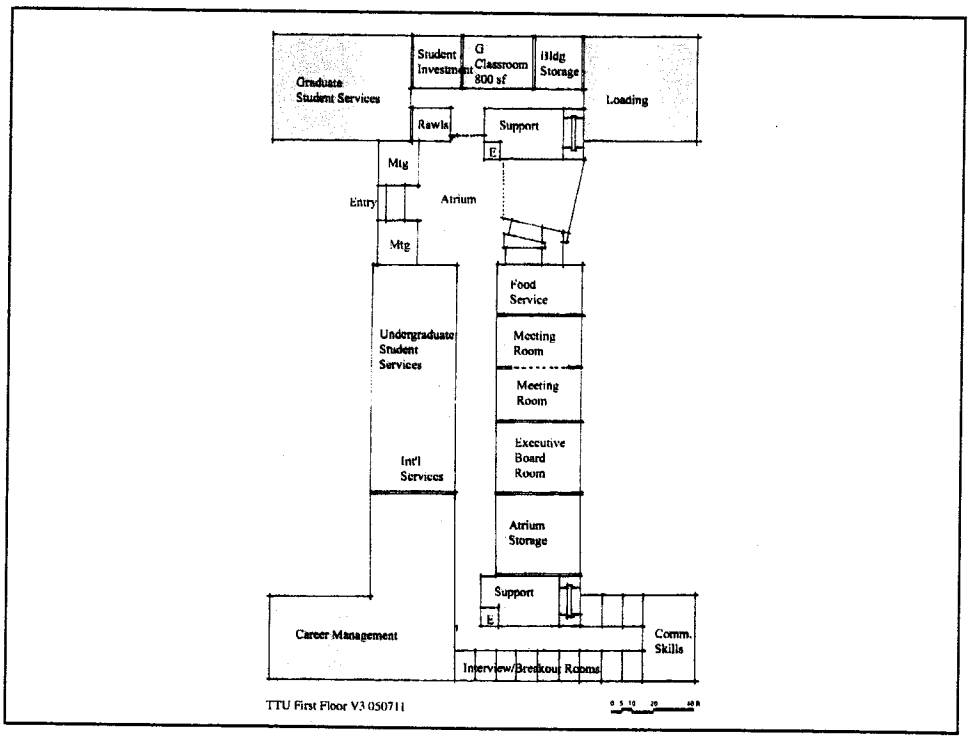
New Building Fundraising:

- $12.4 million in commitments
- $29.5 million in proposals out to potential donors
- $13.7 million in proposals in preparation

State Legislature and the TRBs.

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY


PHYSICAL PLANT
Jerry S. Rawls College of Business Administration
Site Plan—Version 3
1" = 100'-0" Scale
Texas Tech University - Lubbock, Texas - PSC Job No.: 03.6218.02 - 3 May 2005
N


Graduate Student Services
Student Investment
G Classroom 800 sf
Bldg Storage
Loading
Rawls
Support
E
Mtg
Entry
Atrium
Mtg
Food Service
Undergraduate Student Services
Meeting Room
Meeting Room
Executive Board Room
Int'l Services
Atrium Storage
Support
E
Career Management
Comm. Skills
Interview/Breakout Rooms
TTU First Floor V3 050711

Schedule

• Complete Programming	Jul 2005
• Schematic Design	Sep 2005
• Design Development	Mar 2006
• Construction Documents I	Aug 2006
• Start Construction	Nov 2006
• Construction Documents II	Jan 2007
• Complete Construction	May 2009

Assumes Funding is Available to Meet Expenses

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Accreditation

Continuing Review report to the AACSB on October 1, 2005. Final report under the college's continuing review status.

- Issues:
  - Organization
  - Faculty sufficiency given the size of the student body.

Both Issues have been addressed.

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Energy Commerce

•Great jobs for our Students
•High level of interest from Employers and the business community

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

OBJECTIVES: Students Graduating on Time

Rawls College of Business provides about 1/4th of University degree candidates:

- Advising: Provost has helped us double the undergrad advisors during Spring semester.
- Higher standards have had major impact on course completion.
- Still work to do…

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Highly Qualified Faculty

- Hired 8 new tenure track faculty of which 4 are replacements
  - Example: Ron Mitchell, Bagley Chair in Entrepreneurship
  - Provost has increased the tenure track positions by 5 for Fall 2005 (3 new lines + 2 conversions of instructors to tenure lines)

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Business Community Interaction

Continuing our efforts to bring the national business community to our programs:

- Advisory Council Meeting
- Chief Executives Roundtable:
  - For example, Winston Churchill IV
  - Guest Speakers for our classes

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Career Management Center

- 2nd Year in operation:
  - 185 employers with 3,000 interview opportunities
  - Expanded the staff during Summer 2005.
- Bringing employers to campus: Summer recruiting of employers
- Most (68%) May graduates reported that they have had at least one job offer or they are going to grad school
  - We are now at or above our comparison schools
  - Two years ago we could not report this

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Executive Education

Two Examples:

- MBA in Physician Leadership
  - Graduated 19 in August
  - Next class has made
- Southwest School of Governmental Finance: Premier School with 128 participants

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Minor's Program

•Educational Opportunities for Non-business students: Broad business program in 6 courses with 500-600 students

•First three new courses for Fall 2005—good enrollments

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

Update Summary

•We have made progress in creating a success-oriented environment for our students and faculty.

•We have made progress in getting the new building funded and planned.

•There is still a lot of work to do.

RAWLS COLLEGE OF BUSINESS
TEXAS TECH UNIVERSITY

TTUHSC School of Medicine Lubbock Campus

Electronic Medical Record Acquisition and Implementation

EMR Background

- Over 10+ years, funds set aside for EMR by Lubbock campus physicians = $3 million
- Previous RFP for a multi-campus EMR system was considered then withdrawn due to high cost
- Lubbock Campus entering a collaborative effort
  - **Cerner**: Supply software licensing/annual subscription
  - **UMC**: Host application/database and maintain server
  - **SOM**: Provide end-user support, training, and hardware acquisition/maintenance
- Participating Users: SOM Ambulatory Clinics, PNS, and phase-in to all floors at UMC

Benefits to Collaborative EMR

- Cost savings
- Use of existing infrastructure
- Integrated health record providing immediate access
  - Lab/test results
  - Specialty care information
  - Inpatient and outpatient care
- Foundation for future expansion to rural and community physicians establishing a regional health information system

EMR Cost and Implementation

- Overall cost
  - First year = $3.9 million
  - Second year = $1.4 million
  - Subsequent years ≈$1 million
- Initial funding with dollars set aside for EMR and Professional Medical Insurance Plan refund – subsequent years by per physician assessment
- Implementation process over next 10 to 12 months

Return on Investment (ROI)

- Increase in revenue and reduction of costs
  - Improved capture/billing of charges
  - Downsizing of manual medical records system
- Conservative estimates indicate EMR will pay for itself by year 5
- Annual ROI after year 5 ≈$2.4 million +
- Annual gain after year 5 ≈$1.3 million +

TTUHSC School of Medicine Lubbock Campus

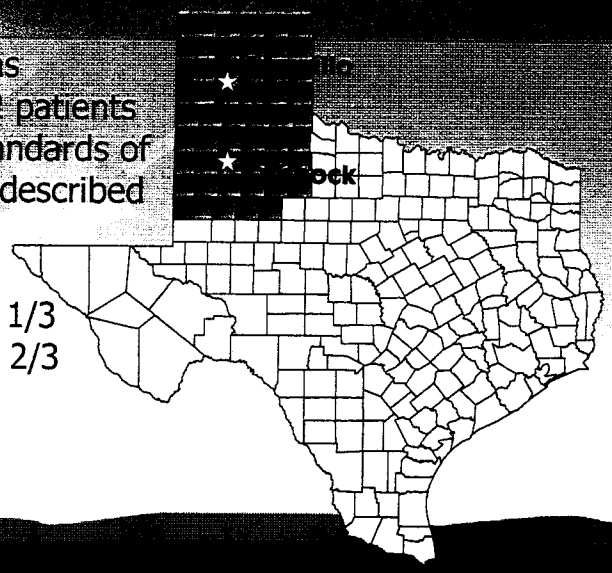
Electronic Medical Record Acquisition and Implementation

Project

- Care management of high cost Medicare beneficiaries that are current patients of TTUHSC; intended to reduce Medicare claims costs and improve health outcomes
- Cost Savings Guarantee: program administrative cost + minimum of 5% in intervention group claims
- Only 6 selected sites in the country

Service

- Senior Trails has identified 6,062 patients meeting the standards of high utilization described by Medicare
- 48 counties
- Amarillo area – 1/3
- Lubbock area – 2/3



Texas Senior Trails

Benefits

- Can help determine the future of Medicare
- Improved patient outcomes and quality of life
- Increased Medicare revenue, chance for gain sharing with successful cost management
- Expansion of educational opportunities, research, and publications
- Enhance institutional image improves contracting opportunities
- Possibility to continue after three years

Texas Senior Trails

Organization

- TTPA – Risk Contractor
- School of Medicine Clinics
- School of Pharmacy
- School of Nursing
- TrailBlazer Health Enterprises
  - 30 years as Medicare claims intermediary
  - Large database of all claims, Part A & B, for Texas Medicare Beneficiaries
  - Demonstration Project experience with Medicare
  - Outbound and inbound calling center experience

Texas Senior Trails

STUDENT GOVERNMENT ASSOCIATION

"PAVING THE WAY TO STUDENT SUCCESS"

AUGUST 11, 2005

1

STUDENT GOVERNMENT ASSOCIATION

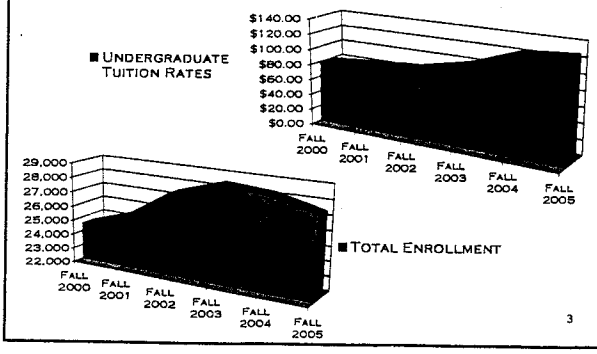
SITUATION ANALYSIS

> TUITION RATES VS. ENROLLMENT
> SCHOLARSHIPS VS. TUITION & FEES
> COST OF HIGHER EDUCATION

2

STUDENT GOVERNMENT ASSOCIATION

TUITION RATES VS. ENROLLMENT



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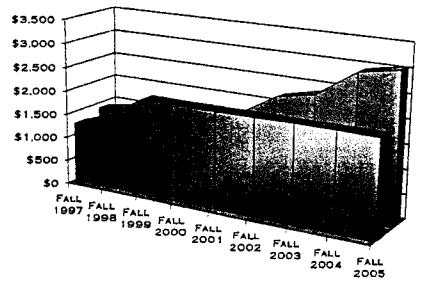
STUDENT GOVERNMENT ASSOCIATION

SCHOLARSHIPS VS. TUITION & FEES



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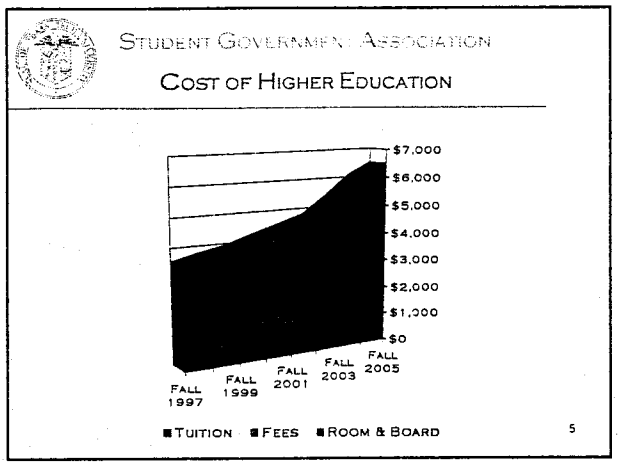
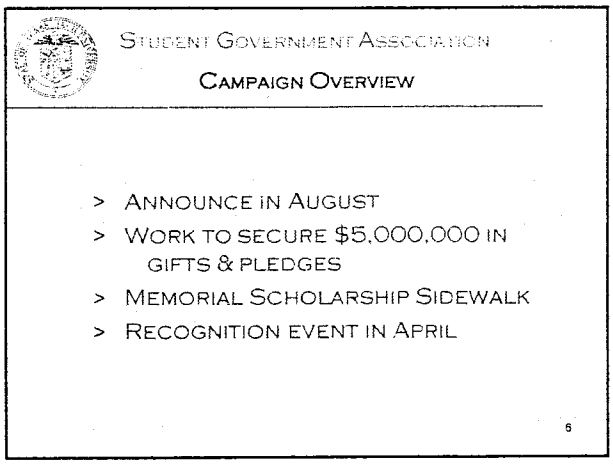
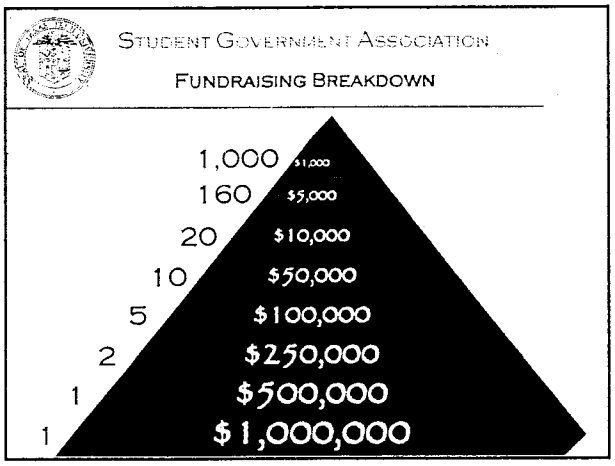
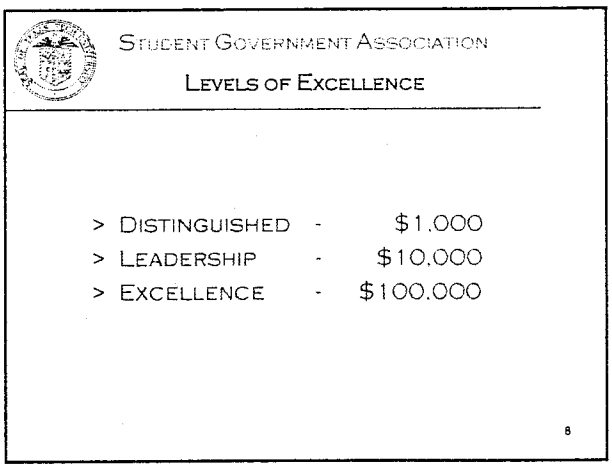
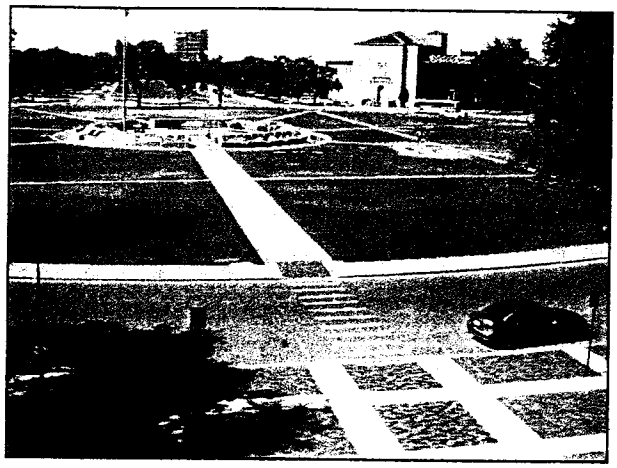
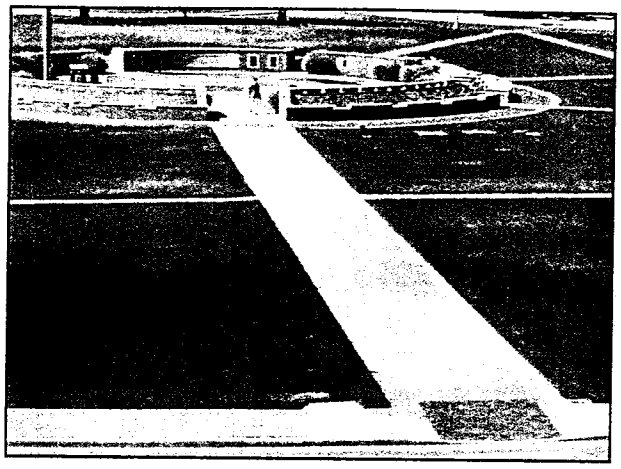

STUDENT GOVERNMENT ASSOCIATION
COST OF HIGHER EDUCATION
$7,000
$6,000
$5,000
$4,000
$3,000
$2,000
$1,000
$0
FALL 1997
FALL 1999
FALL 2001
FALL 2003
FALL 2005
TUITION
FEES
ROOM & BOARD
5

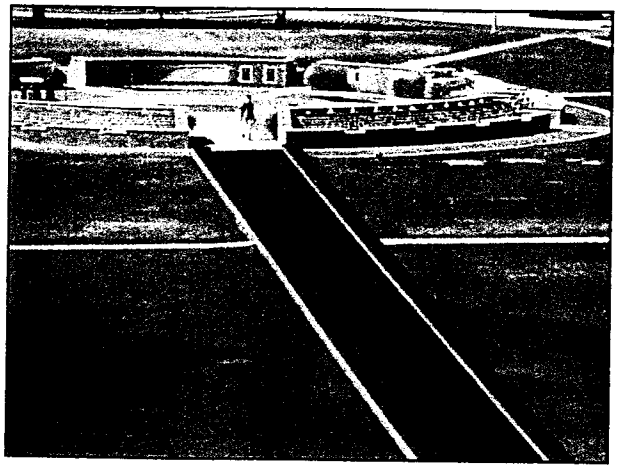

STUDENT GOVERNMENT ASSOCIATION
CAMPAIGN OVERVIEW
> ANNOUNCE IN AUGUST
> WORK TO SECURE $5,000,000 IN GIFTS & PLEDGES
> MEMORIAL SCHOLARSHIP SIDEWALK
> RECOGNITION EVENT IN APRIL
6

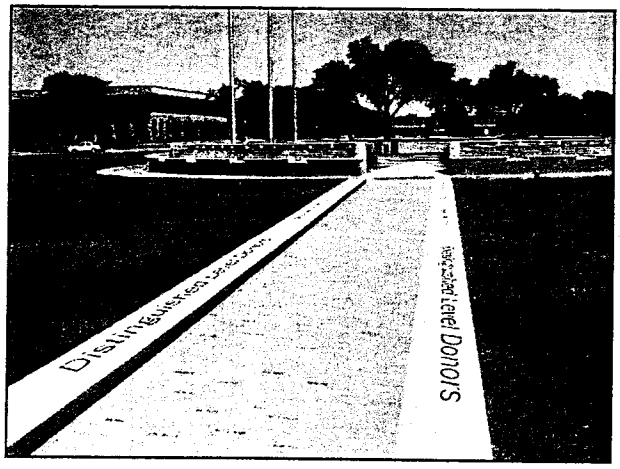

STUDENT GOVERNMENT ASSOCIATION
FUNDRAISING BREAKDOWN
1,000 $1,000
160 $5,000
20 $10,000
10 $50,000
5 $100,000
2 $250,000
1 $500,000
1 $1,000,000

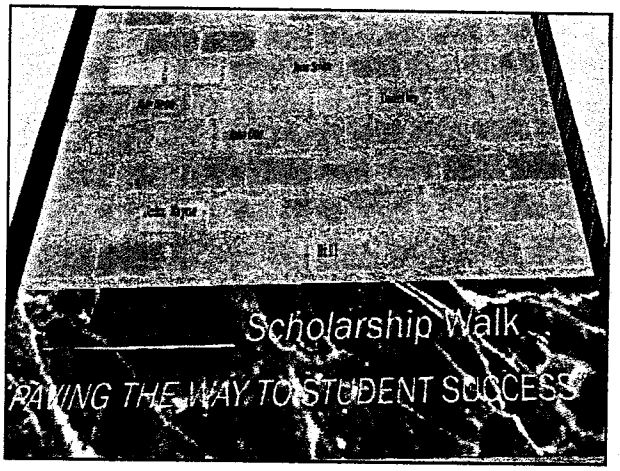

STUDENT GOVERNMENT ASSOCIATION
LEVELS OF EXCELLENCE
> DISTINGUISHED - $1,000
> LEADERSHIP - $10,000
> EXCELLENCE - $100,000
8








Distinguished Donors

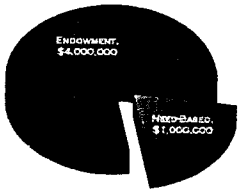


STUDENT GOVERNMENT ASSOCIATION

SUGGESTED DISTRIBUTION

> PERMANENT IMPACT - $4,000,000 ENDOWMENT

> IMMEDIATE IMPACT - $1,000,000 TO PROVIDE: 250 - $1,000 NEED-BASED OVER 4 YEARS



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STUDENT GOVERNMENT ASSOCIATION

CAMPAIGN CABINET

> LIST OF CAMPAIGN SPONSORS
> ADMINISTRATORS, ALUMNI, & PUBLIC FIGURES
> GEOGRAPHICALLY REPRESENTATIVE

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STUDENT GOVERNMENT ASSOCIATION

"PAVING THE WAY TO STUDENT SUCCESS"

QUESTIONS?

16

President's Report
Texas Tech University
Board of Regents Meeting
August 12, 2005

President Whitmore reported that Texas Tech University has a new Vice President for Research. The new Vice President will be introduced to the board at its next regular meeting.

Dr. Whitmore recognized Dr. Robert Sweazy for his many years of dedicated service to the university as the Vice President for Research. Dr. Whitmore thanked Dr. Sweazy for the two years they have worked together and added that Dr. Sweazy will remain on campus for another year to advise and facilitate with the transition. He will also be working on some economic development projects for the university.

Dr. Whitmore added that we have a great group of students at Texas Tech. He acknowledged the efforts of the Student Government Association in the formation of the new student scholarship initiative. We have a full compliment of new students coming back in the fall and football practice started yesterday. So, the fall is going to be another exciting time at Texas Tech University. Dr. Whitmore thanked the board for its support of this institution and noted that we look forward to the board's participation in the activities this fall.

Thank you.

President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
August 12, 2005

President Wilson reported that he wanted to mention that the White House Conference on Aging occurs only every ten years and it just occurred very recently. We had three representatives from the Health Sciences Center or related to the Health Sciences Center in attendance. Glen Provost, the Chief Planning and Programs Development Officer, was appointed as a delegate to the conference by Senator Kay Bailey Hutchison. Shirley Garrison, whom you all know is very interested in the aging initiative, was appointed as a delegate by Representative Randy Neugebauer. Barbara Johnson, an R.N., Ph.D. and Professor and Associate Dean of Graduate Programs in the School of Nursing was appointed as an alternate delegate by Senator John Cornyn. This is quite an honor to be appointed to this very prestigious conference.

Dr. Wilson noted that Landon mentioned some of the important community initiatives that this Health Sciences Center is involved with. He wanted to report that the American Association of Medical Colleges has an outstanding community service award and we were chosen as one of three finalists to receive this award this year. The other finalists include the Medical College of Wisconsin and the UC Davis School of Medicine. This is a very big award for the AAMC. The winner of the award is presented at a black tie dinner at the AAMC meeting. We will be site visited later this month. We have not won yet, but the fact that we are a finalist on our very first attempt really speaks very highly to the type of community service that our students are involved with.

That concludes my report.

Chancellor's Report
Texas Tech University System
Board of Regents Meeting
August 12, 2005

Dr. Smith noted that he and the board had the opportunity to spend quite a bit of time together yesterday. This is an interesting time of the year for reflection, as well as anticipation.

First of all, we do not do this often enough, but Dr. Smith wanted to thank the Board of Regents. The time that you put in, the extra time that we require of all of you is greatly appreciated by the staff, the faculty, the students and the alumni. It reflects in the business that we have been about. It reflects in the passion that you have experienced here today. The goose bumps that you get when you get to listen to students and faculty that are excited about the vibrancy of Texas Tech University and the Health Sciences Center and the great work that it does—not just on a day-by-day basis, but on a 24/7 basis—which is the way this place works.

Dr. Smith wanted to express on behalf of the staff, faculty, students and alumni, their appreciation to the board for their diligence. We had an incredible session yesterday talking about not only where we are over the last decade, but the future. It is an exciting one. Dr. Smith noted that he anticipates that with all the vigor in his soul. He added that the same can be said for the team that we have here.

The momentum that Texas Tech University and the Health Sciences Center have right now is incredible. No one is at all comfortable where we are. We continue to want to push forward. No one wants to retire on the job. We want to do it for the right reasons, which, of course, are our students.

Finally, Dr. Smith wanted to thank the team that we have assembled. Because of the hours and the time that they have put into these efforts is incredible. It goes well beyond a job. That is not what these things are about. There is a great belief in the emotion, the passion, as well as the vision of these two institutions. Dr. Smith stated that he would like to reflect on that as we head into the next academic year. This fall is a time of renewal and a time of great anticipation. It is only upward for Texas Tech University and the Health Sciences Center.

Thank you for your part.