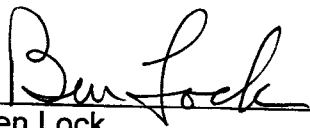


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I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on August 5-6, 2010.

SEAL


Ben Lock
Secretary



TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System

Summary Operating Budgets Fiscal Year 2011

Chief Financial Officers

Board of Regents
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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration

FY 2011 Proposed Budget

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TEXAS TECH UNIVERSITY SYSTEM

**Texas Tech University System Administration
Major Changes**

- Decrease in State Appropriations, \$100,000 (5% of General Revenue)
- Reduction in Contribution From Components (5%)
- Increase in Investment's Office Budget to Prepare for an Eventual \$1 Billion Long Term Portfolio
- Increase in Marketing and Communications Department
- Institutional Advancement Funded at FY 2010 Level
- System Administration Budget Is \$77,249 Less Than FY 2010



TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION		
SUMMARY OPERATING BUDGET		
FISCAL YEAR 2011		
	Estimated Expenditures FY 2010	Estimated Expenditures FY 2011
EDUCATIONAL AND GENERAL	\$ 5,511,235	\$ 5,356,302
DESIGNATED	11,337,945	11,415,629
TOTAL	\$ 16,849,180	\$ 16,771,931
		\$ (77,249)



TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University

FY 2011 Proposed Budget

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University Major Changes

- FY 2011 Operating Budget Increased by \$37.7 Million, or 6.06% over FY 2010
- Education and General Funds Decreased by \$8.71 Million or 3.9%
 - Includes \$6,412,106 in State Mandated 5% Reduction
- Designated Funds Increased by \$30.35 Million or 15.06%
 - New Dollars Will Be Allocated Toward Enrollment Growth, Strategic Faculty Hires, New Faculty in STEM Demand Areas, Strategic Initiatives, Strategic Investments in Research Growth

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University Major Changes..Continued

- Auxiliary Funds Decreased by \$845,566 or .66%
 - Intercollegiate Athletics Decreased by \$5,614,264
 - Decrease Due to Completion of Jones Stadium East Side Renovations
 - Residence Halls Increased by \$2,555,943

- Current Restricted Funds Increased by \$17.67 Million or 23.16%
 - Projected Increase of \$13,830,882 in Federal Programs
 - Projected Increase of \$2,294,230 in Private



TEXAS TECH UNIVERSITY SYSTEM

SUMMARY OPERATING BUDGET		
FISCAL YEAR 2011		
	FY 2010 Estimated Expenditures	FY 2011 Estimated Expenditures
EDUCATIONAL AND GENERAL	\$ 219,109,129	\$ 210,390,420
DESIGNATED	199,031,932	228,650,101
AUXILIARY	128,317,780	127,472,214
CURRENT RESTRICTED	76,294,012	93,961,563
TOTAL	\$ 622,752,853	\$ 660,474,298
		\$ 37,721,445
		6.06%



TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University Health Sciences Center FY 2011 Proposed Budget

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University Health Sciences Center

- FY 2011 Operating Budget Increased by \$8 Million, or 1.19% over FY 2010

- Educational and General Funds Increased by \$3.5 Million
 - Appropriation increase for the Paul L. Foster School of Medicine
 - State mandated 5% general revenue reduction
 - Increased HEAF funding
 - State Tuition increases due to enrollment growth

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University Health Sciences Center

- Designated Funds Increased by \$3.5 Million
 - El Paso faculty practice activities
 - Tuition and fee increases and additional student enrollment
 - Decrease use of fund balance

- Restricted Funds Increased by \$1 Million
 - Increase in research and contracted services



TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER		
SUMMARY OPERATING BUDGET		
FISCAL YEAR 2011		
	FY 2010 Estimated Expenditures	FY 2011 Estimated Expenditures
EDUCATIONAL AND GENERAL	\$ 188,140,349	\$ 191,610,007
DESIGNATED	280,530,678	284,050,516
AUXILIARY	496,115	526,811
CURRENT RESTRICTED	207,000,000	208,000,000
TOTAL	\$ 676,167,142	\$ 804,187,334
		\$ 8,020,192
		1.19%



TEXAS TECH UNIVERSITY SYSTEM

Angelo State University

FY 2011 Proposed Budget

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TEXAS TECH UNIVERSITY SYSTEM

Angelo State University

- \$105.5 million, an increase of \$7.5 million, or 7.65% over FY 2010
- Student Tuition and Fees Were Increased 8.95% from FY 2010
- Corrected past budget practices
 - Fund balance
 - Fully funded faculty salaries
 - Summer School
- Funding for Additional Strategic Initiatives were identified:
Programs of Distinction – Nursing & Agriculture Education,
AACSB Accreditation, Market equity issues for staff & faculty,
QEP, Admissions and Human Resources

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TEXAS TECH UNIVERSITY SYSTEM

Angelo State University

- E&G Funds
 - Enrollment Growth
 - Overall budget reduction of \$640,280


- Designated Funds Increased by \$4.8 million
 - Net Designated Tuition Increased by \$3.3 million
 - Other Fees Increased by \$1.5 million

- Auxiliary Funds increased by \$2.3 million
 - Prepared on an actual cost basis



TEXAS TECH UNIVERSITY SYSTEM

ANGELO STATE UNIVERSITY		
SUMMARY OPERATING BUDGET		
FISCAL YEAR 2011		
	2010 Actual Expenditures	2011 Estimated Expenditures
EDUCATIONAL AND GENERAL	\$ 43,986,377	\$ 43,346,097
AUXILIARY	24,105,853	26,426,225
CURRENT RESTRICTED	481,942	1,513,419
DESIGNATED	29,424,386	34,214,150
TOTAL	\$ 97,998,558	\$ 105,499,891
		\$ 7,501,333
		7.65%




TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System

FY 2011 Proposed Budget

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TEXAS TECH UNIVERSITY SYSTEM

TEXAS TECH UNIVERSITY SYSTEM BUDGET OVERVIEW ALL FUNDS FISCAL YEAR 2011

	ESTIMATED EXPENDITURES FY 2010	ESTIMATED EXPENDITURES FY 2011
TEXAS TECH UNIVERSITY SYSTEM ADMINISTRATION	\$ 16,849,180	\$ 16,771,931
TEXAS TECH UNIVERSITY	\$ 632,308,937	\$ 660,474,298
LESS SYSTEM FUNDING	\$ (3,654,307)	\$ (3,566,526)
TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER	\$ 676,167,142	\$ 684,187,334
LESS SYSTEM FUNDING	\$ (3,593,785)	\$ (3,505,977)
ANGELO STATE UNIVERSITY	\$ 97,998,558	\$ 105,499,891
LESS SYSTEM FUNDING	\$ (382,908)	\$ (376,559)
TOTAL	\$ 1,415,692,617	\$ 1,435,484,392
		\$ 43,791,575
		3.09%

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TEXAS TECH UNIVERSITY SYSTEM

2012-13 Legislative Appropriation Requests

Chancellor Kent Hance

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TEXAS TECH UNIVERSITY SYSTEM

Baseline Funding

- **Base Funding** - baseline request for general revenue related (GR and GR-Dedicated) funds may not exceed the sum of amounts expended in fiscal year 2010 and budgeted in fiscal year 2011 adjusted to reflect the full five percent reduction target identified by the Legislative Budget Board and Governor's Office of Budget and Planning
- Plans for possible additional two levels of reductions
 - Identify reduction to baseline funding in five percent increments up to a 10% reduction in general revenue related funding
- Formula Funding amounts will be determined by Legislative Budget Board and Governor's Office of Budget and Planning during the course of the legislative session
- Planned reductions to Special Items will be determined by the institutions

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TEXAS TECH UNIVERSITY SYSTEM

Mitigation of Reduction Impact

- Reduction Strategy
 - Reduce one-time funding components
 - e.g. equipment contained in Special Items
 - Minimize programmatic impact
 - Develop priority reductions

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TEXAS TECH UNIVERSITY SYSTEM

Proposed Reduction

	Base Funding Special Items	Initial 5% Reduction	Additional 5% Reduction	Total Potential Reduction
Texas Tech University System Administration	\$1,900,000	\$95,000	\$95,000	\$190,000
Texas Tech University	\$17,521,210	\$1,752,120	\$1,752,121	\$3,504,241
Angelo State University	\$12,192,775	\$609,639	\$609,639	\$1,219,278
Texas Tech University Health Sciences Center	\$114,424,116	\$5,721,206	\$5,721,206	\$11,442,412
Total	\$146,038,101	\$8,177,965	\$8,177,966	\$16,355,931

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TEXAS TECH UNIVERSITY SYSTEM

Legislative Appropriation Request
For Fiscal Years 2012-2013

Texas Tech University System Administration

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Administration
Funding Requests

- Exceptional Items
 - Restoration of 5% reductions for entire System
\$29,779,806

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TEXAS TECH UNIVERSITY SYSTEM

Legislative Appropriation Request For Fiscal Years 2012-2013

Texas Tech University

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University *Funding Requests*

- **Exceptional Items**
 - Emerging Technologies Research – Replacement of Federal Stimulus Money (ARRA) \$8,000,000
 - Small Business Development Center \$360,000

- **Tuition Revenue Bonds**
 - Experimental Science Building II \$87,750,000
 - College of Engineering Expansion/Renovation \$90,000,000

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TEXAS TECH UNIVERSITY SYSTEM

Legislative Appropriation Request For Fiscal Years 2012-2013

Angelo State University

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TEXAS TECH UNIVERSITY SYSTEM

Angelo State University *Funding Requests*

- **Exceptional Items**
 - College of Nursing and Allied Health - Replacement of Federal Stimulus Money (ARRA) \$4,647,100
 - Recruitment and Retention \$1,150,000
 - Small Business Development Center \$26,854

- **Tuition Revenue Bonds**
 - College of Nursing and Allied Health \$16,200,000

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TEXAS TECH UNIVERSITY SYSTEM

Legislative Appropriation Request
For Fiscal Years 2012-2013

Texas Tech University Health Sciences Center

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TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University Health Sciences Center
Funding Requests

- **Exceptional Items**
 - West Texas Area Health Education Center (AHEC) Program – Replacement of Federal Stimulus Money (ARRA) \$4,000,000
- **Tuition Revenue Bonds**
 - Lubbock Education, Research and Technology Building \$45,000,000
 - El Paso Medical Science Building II \$65,000,000
 - El Paso Clinical Science Building \$30,000,000
 - Permian Basin Academic Facility \$18,900,000
 - Amarillo Panhandle Clinical/Hospital Simulation Center \$16,500,000

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**PLAN DOCUMENT
FOR THE
TEXAS TECH UNIVERSITY SYSTEM
OPTIONAL RETIREMENT PROGRAM**

(403(b) Program)

**TEXAS TECH UNIVERSITY SYSTEM
HUMAN RESOURCE SERVICES
TEXAS TECH UNIVERSITY
DRANE HALL, RM 160
PO BOX 41093
LUBBOCK, TEXAS 79409-1093**

TEXAS TECH UNIVERSITY SYSTEM OPTIONAL RETIREMENT PROGRAM

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Introduction

The Texas Tech University System Optional Retirement Program (ORP) is authorized under Chapter 830, Title 8 of the Texas Government Code and Section 403(b) of the Internal Revenue Code. The Optional Retirement Program is a governmental 403(b) plan and is not covered by the Employee Retirement Income Security Act of 1974 (ERISA).

Section 1 - Definition of Terms Used

The following words and terms, when used in the Plan, have the meaning set forth below.

1.1 **"Account"**: The account or accumulation maintained for the benefit of any Participant or Beneficiary under an Annuity Contract or a Custodial Account including the Participant's Deferral Account and Employer Contributions Account.

1.2 **"Account Balance"**: The bookkeeping account maintained for each Participant which reflects the aggregate amount credited to the Participant's Account under all Accounts, including the Participant's Deferrals, any Employer contributions, the earnings or loss of each Annuity Contract or a Custodial Account (net of expenses) allocable to the Participant, any transfers for the Participant's benefit, and any distribution made to the Participant or the Participant's Beneficiary. If a Participant has more than one Beneficiary at the time of the Participant's death, then a separate Account Balance shall be maintained for each Beneficiary. The Account Balance includes any account established under Section 6 for rollover contributions and plan-to-plan transfers made for a Participant, the account established for a Beneficiary after a Participant's death, and any account or accounts established for an alternate payee (as defined in section 414(p)(8) of the Code).

1.3 **"Administrator"**: Human Resource Services for Texas Tech University.

1.4 **"Annuity Contract"**: A nontransferable contract as defined in section 403(b)(1) of the Code, established for each Participant by the Employer, or by each Participant individually, that is issued by an insurance company qualified to issue annuities in Texas and that includes payment in the form of an annuity.

1.5 **"Beneficiary"**: The designated person who is entitled to receive benefits under the Plan after the death of a Participant, subject to such additional rules as may be set forth in the Individual Agreements.

1.6 **"Custodial Account"**: The group or individual custodial account or accounts, as defined in section 403(b)(7) of the Code, established for each Participant by the Employer, or by each Participant individually, to hold assets of the Plan.

1.7 **"Code"**: The Internal Revenue Code of 1986, as now in effect or as hereafter amended. All citations to sections of the Code are to such sections as they may from time to time be amended or renumbered.

1.8 **"Chapter 25"**: The Texas Higher Education Coordinating Board's established rules for uniformity in administration of the Texas Optional Retirement Program as directed by Chapter 830, Title 8 of the Texas Government Code.

1.9 **"Compensation"**: All cash compensation for services to the Employer, including salary, wages, fees, commissions, bonuses, and overtime pay, that is includible in the Employee's gross income for the calendar year, plus amounts that would be cash compensation for services to the Employer includible in the Employee's gross income for the calendar year but for a compensation reduction election under section 125, 132(f), 401(k), 403(b), or 457(b) of the Code.

1.10 **"Disabled"**: The definition of disability provided in the applicable Individual Agreement.

1.11 **"Deferral"**: The Employer contributions made to the Plan for the Participant in lieu of receiving cash compensation. Deferrals are limited to pre-tax salary reduction contributions.

1.12 **"Deferral Account"**: The account established and maintained by the Administrator for each Participant with respect to Deferrals (including any earnings or losses attributable thereon) under the Plan resulting from Deferrals.

1.13 **"Employee"**: Each individual, whether appointed or elected, who is a common law employee of the Employer performing services as an employee of the Employer. This definition is not applicable unless the employee's compensation for performing services for a public education institution is paid by the Employer. Further, a person occupying an elective or appointive public office is not an employee performing services for a public education institution unless such office is one to which an individual is elected or appointed only if the individual has received training, or is experienced, in the field of education. A public office includes any elective or appointive office of a State or local government.

1.14 **"Employer"**: The Texas Tech University System.

1.15 **"Funding Vehicles "**: The Annuity Contracts or Custodial Accounts issued for funding amounts held under the Plan and specifically approved by Employer for use under the Plan offered through a Vendor.

1.16 **"Includible Compensation"**: An Employee's actual wages in box 1 of Form W-2 for a year for services to the Employer, but increased (up to the dollar maximum unless the Employee participated in Texas ORP prior to September 1, 1996 for which no maximum applies) by any compensation reduction election under section 125, 132(f), 401(k), 403(b), or 457(b) of the Code or including any Deferral under the Plan. The amount of Includible Compensation is determined without regard to any community property laws. Pursuant to Reg. Section 1.415-2(e)(4) of the Income Tax Regulations, Includible Compensation will include payments made to an individual who does not currently perform services for the Employer by reason of qualified military service (as defined in Section 414(u)(5) of the Code) to the extent those payments do not exceed the amount the individual would have received if the individual had continued to perform services for the Employer rather than enter qualified military service. Employer Contributions pursuant to Section shall be subject to a maximum of \$200,000 (or such higher maximum as may apply under Section 401(a)(17) of the code) unless the Employee participated in Texas ORP prior to September 1, 1996 for which no maximum applies.

1.17 **"Individual Agreement"**: The agreements between a Vendor and the Employer or a Participant that constitutes or governs a Custodial Account or an Annuity Contract.

1.18 **"Participant"**: An individual for whom Deferrals are currently being made, or for whom Deferrals have previously been made, under the Plan and who has not received a distribution of his or her entire benefit under the Plan.

1.19 **"Plan"**: The Texas Tech University System Optional Retirement Program (ORP).

1.20 **"Plan Year"**: September 1st through August 31st.

1.21 **"Related Employer"**: The Employer and any other entity which is under common control with the Employer under section 414(b) or (c) of the Code. For this purpose, the Employer shall determine which entities are Related Employers based on a reasonable, good faith standard and taking into account the special rules applicable under Notice 89-23, 1989-1 C.B. 654.

1.22 **"Severance from Employment"**: For purpose of the Plan, Severance from Employment means Severance from all Texas public institutions of higher learning.

1.23 **"Vendor"**: The provider of an Annuity Contract or Custodial Account as identified in Appendix A, B, and C.

1.24 **"Valuation Date"**: Each business day of the Plan Year.

Section 2 - Participation and Contributions

2.1 **Eligibility**. Each eligible Employee as defined in Chapter 25 (Section 25.4 Eligibility to Elect ORP) who chose participation as described in Chapter 25 (Section 25.4 Eligibility to Elect ORP) in the Texas ORP in lieu of active membership in the Teacher Retirement System (TRS) of Texas shall participate in the Plan and have mandatory Deferrals made on his or her behalf hereunder immediately upon choosing ORP participation.

2.2 **Compensation Reduction**. An eligible Employee elects to become a Participant by choosing participation in ORP in lieu of TRS and executing an agreement to reduce his or her Compensation by the amount required at the time by the Texas Legislature (currently 6.65%) and filing it with the Administrator. This Compensation reduction shall be made on the agreement provided by the Administrator under which the Employee agrees to be bound by all the terms and conditions of the Plan. The agreement shall also include designation of the Funding Vehicles and Accounts therein to which Deferrals are to be made and a designation of Beneficiary. The reduction shall remain in effect unless the Participant changes to an ineligible position prior to the end of the vesting period in accordance with the terms in Section 8. Only an individual who performs services for the Employer as an Employee may reduce his or her Compensation under the Plan. Each Employee will become a Participant in accordance with the terms and conditions of the Individual Agreements. All Deferrals shall be made on a pre-tax basis. An Employee shall become a Participant as soon as administratively practicable following the date applicable under the Employee's selection of ORP in accordance of the rules established in Chapter 25 of the Texas Higher Education Coordinating Board.

2.3 Information Provided by the Employee. Each Employee enrolling in the Plan should provide to the Administrator at the time of initial enrollment, and later if there are any changes, any information necessary or advisable for the Administrator to administer the Plan, including any information required under the Individual Agreements.

2.4 Contributions Made Promptly. Deferrals under the Plan shall be transferred to the applicable Funding Vehicle within three business days following legal availability in which the amount would otherwise have been paid to the Participant.

2.5 Leave of Absence. If an Employee is absent from work by leave of absence, Deferrals under the Plan shall continue to the extent that Compensation continues.

2.6 Protection of Persons Who Serve in a Uniformed Service. An Employee whose employment is interrupted by qualified military service under section 414(u) of the Code or who is on a leave of absence for qualified military service under section 414(u) of the Code may elect to make additional Elective Deferrals upon resumption of employment with the Employer equal to the maximum Elective Deferrals that the Employee could have elected during that period if the Employee's employment with the Employer had continued (at the same level of Compensation) without the interruption or leave, reduced by the Elective Deferrals, if any, actually made for the Employee during the period of the interruption or leave. Except to the extent provided under section 414(u) of the Code, this right applies for five years following the resumption of employment (or, if sooner, for a period equal to three times the period of the interruption or leave).

Section 3 - Benefit Distributions

3.1 Benefit Distributions At Severance from Employment or Other Distribution Event. Distributions from a Participant's Account may not be made earlier than the earliest of the date on which the Participant has a Severance from Employment from all Texas public institutions of higher learning, dies, becomes Disabled, or attains age 70½. Distributions shall otherwise be made in accordance with the terms of the Individual Agreements.

3.2 Minimum Distributions. Each Individual Agreement shall comply with the minimum distribution requirements of section 401(a)(9) of the Code and the regulations thereunder. For purposes of applying the distribution rules of section 401(a)(9) of the Code, each Individual Agreement is treated as an individual retirement account (IRA) and distributions shall be made in accordance with the provisions of § 1.408-8 of the Income Tax Regulations, except as provided in § 1.403(b)-6(e) of the Income Tax Regulations.

3.3 Rollover Distributions. (a) A Participant or the Beneficiary of a deceased Participant (or a Participant's spouse or former spouse who is an alternate payee under a domestic relations order, as defined in section 414(p) of the Code) who is entitled to an eligible rollover distribution may elect to have any portion of an eligible rollover distribution (as defined in section 402(c)(4) of the Code) from the Plan paid directly to an eligible retirement plan (as defined in section 402(c)(8)(B) of the Code) specified by the Participant in a direct rollover. In the case of a distribution to a Beneficiary who at the time of the Participant's death was neither the spouse of the Participant nor the spouse or former spouse of the Participant who is an alternate payee under a domestic relations order, a direct rollover is payable only to an individual retirement account or

individual retirement annuity (IRA) that has been established on behalf of the Beneficiary as an inherited IRA (within the meaning of section 408(d)(3)(C) of the Code).

(b) Each Vendor shall be separately responsible for providing, within a reasonable time period before making an initial eligible rollover distribution, an explanation to the Participant of his or her right to elect a direct rollover and the income tax withholding consequences of not electing a direct rollover.

Section 4 - Rollovers to the Plan and Transfers

4.1 Eligible Rollover Contributions to the Plan.

(a) **Eligible Rollover Contributions.** To the extent provided in the Individual Agreements, an Employee who is a Participant who is entitled to receive an eligible rollover distribution from another eligible retirement plan (Texas ORP as defined in Chapter 25) may request to have all or a portion of the eligible rollover distribution paid to the Plan. Such rollover contributions shall be made in the form of cash only. The Vendor may require such documentation from the distributing plan as it deems necessary to effectuate the rollover in accordance with section 402 of the Code and to confirm that such plan is an eligible retirement plan authorized under Chapter 830, Title 8 of the Texas Government Code known as a Texas ORP retirement plan as defined in Chapter 25.

(b) **Eligible Rollover Distribution.** For purposes of Section 4.1(a), an eligible rollover distribution means any distribution of all or any portion of a Participant's benefit under another eligible retirement plan, except that an eligible rollover distribution does not include (1) any installment payment for a period of 10 years or more, (2) any distribution made as a result of an unforeseeable emergency or other distribution which is made upon hardship of the Employee, or (3) for any other distribution, the portion, if any, of the distribution that is a required minimum distribution under section 401(a)(9) of the Code. In addition, an eligible retirement plan means an annuity plan described in section 403(b) of the Code authorized under Chapter 830, Title 8 of the Texas Government Code known as a Texas ORP retirement plan as defined in Chapter 25 that accepts the eligible rollover distribution.

(c) **Separate Accounts.** The Vendor shall establish and maintain for the Participant a separate account for any eligible rollover distribution paid to the Plan.

4.2 Plan-to-Plan Transfers to the Plan. (a) At the direction of the Employer, for a class of Employees who are participants or beneficiaries in another plan under section 403(b) of the Code, the Administrator may permit a transfer of assets to the Plan in this Section 4.2. Such a transfer is permitted only if the other plan provides for the direct transfer of each person's entire interest therein to the Plan and the participant is an Employee or former Employee of the Employer. The Administrator and any Vendor accepting such transferred amounts may require that the transfer be in cash or other property acceptable to it. The Administrator or any Vendor accepting such transferred amounts may require such documentation from the other plan as it deems necessary to effectuate the transfer in accordance with § 1.403(b)-10(b)(3) of the Income Tax Regulations and to confirm that the other plan is a plan that satisfies section 403(b) of the Code.

(b) The amount so transferred shall be credited to the Participant's Account Balance, so that the Participant or Beneficiary whose assets are being transferred has an accumulated benefit immediately after the transfer at least equal to the accumulated benefit with respect to that Participant or Beneficiary immediately before the transfer.

(c) To the extent provided in the Individual Agreements holding such transferred amounts, the amount transferred shall be held, accounted for, administered and otherwise treated in the same manner as a Deferral by the Participant under the Plan, except that (1) the Individual Agreement which holds any amount transferred to the Plan must provide that, to the extent any amount transferred is subject to any distribution restrictions required under section 403(b) of the Code, the Individual Agreement must impose restrictions on distributions to the Participant or Beneficiary whose assets are being transferred that are not less stringent than those imposed on the transferor plan and (2) the transferred amount shall not be considered a Deferral under the Plan.

4.3 Plan-to-Plan Transfers from the Plan.

(a) At the direction of the Employer, the Administrator may permit a class of Participants and Beneficiaries to elect to have all or any portion of their Account Balance transferred to another plan that satisfies section 403(b) of the Code in accordance with § 1.403(b)-10(b)(3) of the Income Tax Regulations. A transfer is permitted under this Section 4.3(a) only if the Participants or Beneficiaries are Employees or former Employees of the employer (or the business of the employer) under the receiving plan and the other plan provides for the acceptance of plan-to-plan transfers with respect to the Participants and Beneficiaries and for each Participant and Beneficiary to have an amount deferred under the other plan immediately after the transfer at least equal to the amount transferred.

(b) The other plan must provide that, to the extent any amount transferred is subject to any distribution restrictions required under section 403(b) of the Code, the other plan shall impose restrictions on distributions to the Participant or Beneficiary whose assets are transferred that are not less stringent than those imposed under the Plan. In addition, if the transfer does not constitute a complete transfer of the Participant's or Beneficiary's interest in the Plan, the other plan shall treat the amount transferred as a continuation of a pro rata portion of the Participant's or Beneficiary's interest in the transferor plan (e.g., a pro rata portion of the Participant's or Beneficiary's interest in any after-tax employee contributions).

(c) Upon the transfer of assets under this Section 4.3, the Plan's liability to pay benefits to the Participant or Beneficiary under this Plan shall be discharged to the extent of the amount so transferred for the Participant or Beneficiary. The Administrator may require such documentation from the receiving plan as it deems appropriate or necessary to comply with this Section 4.3 (for example, to confirm that the receiving plan satisfies section 403(b) of the Code and to assure that the transfer is permitted under the receiving plan) or to effectuate the transfer pursuant to § 1.403(b)-10(b)(3) of the Income Tax Regulations.

4.4 Contract and Custodial Account Exchanges. A Participant or Beneficiary is permitted to change the investment of his or her Account Balance among the Vendors under the Plan identified in Appendix A as an authorized vendor, subject to the terms of the Individual Agreements. However, an investment change that includes an investment with a Vendor that is not identified in Appendix A as an authorized vendor is not permitted.

Section 5 - Investment of Contributions

5.1 Manner of Investment. All Deferrals or other amounts contributed to the Plan, all property and rights purchased with such amounts under the Funding Vehicles, and all income attributable to such amounts, property, or rights shall be held and invested in one or more Annuity Contracts or Custodial Accounts. Each Custodial Account shall provide for it to be impossible, prior to the satisfaction of all liabilities with respect to Participants and their Beneficiaries, for any part of the assets and income of the Custodial Account to be used for, or diverted to, purposes other than for the exclusive benefit of Participants and their Beneficiaries.

5.2 Investment of Contributions. Each Participant or Beneficiary shall direct the investment of his or her Account among the investment options available under the Annuity Contract or Custodial Account in accordance with the terms of the Individual Agreements. Transfers among Annuity Contracts and Custodial Accounts may be made to the extent provided in the Individual Agreements and permitted under applicable Income Tax Regulations.

5.3 Current and Former Vendors. The Administrator shall maintain a list of all Vendors under the Plan, hereby incorporated as part of the Plan as appendices. Each Vendor and the Administrator shall exchange such information as may be necessary to satisfy section 403(b) of the Code or other requirements of applicable law. In the case of a Vendor which is not eligible to receive Deferrals under the Plan (including a Vendor which has ceased to be a Vendor eligible to receive Deferrals under the Plan and a Vendor holding assets under the Plan in accordance with Section 4.2 or 4.4), the Employer shall keep the Vendor informed of the name and contact information of the Administrator in order to coordinate information necessary to satisfy section 403(b) of the Code or other requirements of applicable law.

Section 6 - Amendment and Plan Termination

6.1 Termination of Contributions. The Employer has adopted the Plan with the intention and expectation that contributions will be continued indefinitely as authorized under Chapter 830, Title 8 of the Texas Government Code. However, the Employer has no obligation or liability to maintain the Plan if discontinued by the state of Texas.

6.2 Amendment and Termination. The Employer reserves the authority to amend or terminate this Plan at any time as may be permitted or required by the state of Texas.

6.3 Distribution upon Termination of the Plan. The Employer may provide that, in connection with a termination of the Plan as may be permitted by the state of Texas and subject to any restrictions contained in the Individual Agreements, all Accounts will be distributed, provided that the Employer and any Related Employer on the date of termination do not make contributions to an alternative section 403(b) contract that is not part of the Plan during the period beginning on the date of plan termination and ending 12 months after the distribution of all assets from the Plan, except as permitted by the Income Tax Regulations.

Section 7 - Miscellaneous

7.1 Non-Assignability. Except as provided in Section 7.2 and 7.3, the interests of each Participant or Beneficiary under the Plan are not subject to the claims of the Participant's or

Beneficiary's creditors; and neither the Participant nor any Beneficiary shall have any right to sell, assign, transfer, or otherwise convey the right to receive any payments hereunder or any interest under the Plan, which payments and interest are expressly declared to be non-assignable and non-transferable.

7.2 Domestic Relation Orders. Notwithstanding Section 7.1, if a judgment, decree or order (including approval of a property settlement agreement) that relates to the provision of child support, alimony payments, or the marital property rights of a spouse or former spouse, child, or other dependent of a Participant is made pursuant to the domestic relations law of any State ("domestic relations order"), then the amount of the Participant's Account Balance shall be paid in the manner and to the person or persons so directed in the domestic relations order. Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. The Administrator shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order.

7.3 IRS Levy. Notwithstanding Section 7.1, if a Participant or Beneficiary is entitled to a distribution in accordance with Section 3, the Administrator may pay from a Participant's or Beneficiary's Account Balance the amount that the Administrator finds is lawfully demanded under a levy issued by the Internal Revenue Service with respect to that Participant or Beneficiary or is sought to be collected by the United States Government under a judgment resulting from an unpaid tax assessment against the Participant or Beneficiary.

7.4 Tax Withholding. Contributions to the Plan are subject to applicable employment taxes (including, if applicable, Federal Insurance Contributions Act (FICA) taxes with respect to Deferrals, which constitute wages under section 3121 of the Code). Any benefit payment made under the Plan is subject to applicable income tax withholding requirements (including section 3401 of the Code and the Employment Tax Regulations thereunder). A payee shall provide such information as the Administrator may need to satisfy income tax withholding obligations, and any other information that may be required by guidance issued under the Code.

7.5 Payments to Minors and Incompetents. If a Participant or Beneficiary entitled to receive any benefits hereunder is a minor or is adjudged to be legally incapable of giving valid receipt and discharge for such benefits, or is deemed so by the Administrator, benefits will be paid to such person as the Administrator may designate for the benefit of such Participant or Beneficiary. Such payments shall be considered a payment to such Participant or Beneficiary and shall, to the extent made, be deemed a complete discharge of any liability for such payments under the Plan.

7.6 Mistaken Contributions. If any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the Administrator, the amount of the mistaken contribution (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the Administrator, to the Employer.

7.7 Procedure When Distributee Cannot Be Located. The Administrator shall make all reasonable attempts to determine the identity and address of a Participant or a Participant's Beneficiary entitled to benefits under the Plan. For this purpose, a reasonable attempt means (a)

the mailing by certified mail of a notice to the last known address shown on Employer's or the Administrator's records, (b) notification sent to the Social Security Administration or the Pension Benefit Guaranty Corporation (under their program to identify payees under retirement plans), and (c) the payee has not responded within 6 months. If the Administrator is unable to locate such a person entitled to benefits hereunder, or if there has been no claim made for such benefits, the funding vehicle shall continue to hold the benefits due such person.

7.8 Incorporation of Individual Agreements. The Plan, together with the Individual Agreements, is intended to satisfy the requirements of section 403(b) of the Code and the Income Tax Regulations thereunder. Terms and conditions of the Individual Agreements are hereby incorporated by reference into the Plan, excluding those terms that are inconsistent with the Plan or section 403(b) of the Code.

7.9 Governing Law. The Plan will be construed, administered and enforced according to the Code and the laws of the State of Texas.

7.10 Headings. Headings of the Plan have been inserted for convenience of reference only and are to be ignored in any construction of the provisions hereof.

7.11 Gender. Pronouns used in the Plan in the masculine or feminine gender include both genders unless the context clearly indicates otherwise.

Section 8 – Employer Contributions

8.1 Definitions

(a) **“Employer Contributions Account”:** The account established and maintained by the Administrator for each Participant with respect to his total vested interest (including any earnings or losses attributable thereon) under the Plan resulting from Employer Contributions and Employer Supplemental Contributions.

(b) **“Employer Contributions”:** The Employer's contributions to the Plan in accordance with Chapter 830, Title 8 of the Texas Government Code. Currently, Participants who participated in Texas ORP prior to September 1, 1995 will receive an Employer Contribution of 8.5%. Participants who participated in Texas ORP on or after September 1, 1995 will receive an Employer contribution of 6.58%.

(c) **“Employer Supplemental Contributions”:** The Employer's discretionary contribution based on the additional percentage amount established by the Employer to be contributed to the Plan, but only in an amount which, when combined with the Employer Contributions, does not to exceed the maximum statutory rate allowed by the Texas Legislature.

(d) **“Vested”:** The non-forfeitable portion of a Participant's Employer Contributions Account.

8.2 Employer Contributions. For each Plan Year, the employer will contribute to the Plan Employer Contributions subject to any limitations imposed under applicable law. In addition, the Employer may, in its discretion, contribute Employer Supplemental Contributions

to the Plan. Such contributions will be allocated to the Participant's Employer Contributions Account.

8.3 Maximum Annual Additions

(a) The maximum permissible Annual Additions that may be contributed or allocated to each Participant's Account under the Plan for any Plan Year will not exceed the lesser of:

- (i) \$40,000 as adjusted for increases in the cost of living under Section 415(d) of the Code, or
- (ii) 100 percent of the Participant's Includible Compensation for the Plan Year.

(b) For purposes of this Section 8.3, "Annual Additions" means, for any Plan Year, the sum of the Elective Deferrals, Roth 403(b) Contributions, non-Elective Deferrals, Employer Contributions, and Employer Supplemental Contributions to the Plan made to the Participant's Account and the sum of any employee and employer contributions made on behalf of such individual under any other 403(b) plan, whether or not sponsored by the Employer.

(c) If a Participant has a "controlling interest" in another employer and participates in that employer's qualified 401(a) defined contribution plan, a welfare benefit fund (as defined in Section 419(e) of the Code), an individual medical account (as defined in Section 415(1)(2) of the Code), or a simplified employee pension (as defined in Section 408(k) of the Code) which provides Annual Additions which may be credited to a Participant's Account for any Plan Year will not exceed the maximum permissible amount described in subsection (a), taking into account employer contributions that have been allocated to such other plans as described in this subsection.

8.4 Vesting. A Participant will be 100% Vested in his Employer Contributions Account the day following twelve (12) cumulative months of actual participation in the Plan in accordance with Chapter 25.

IN WITNESS WHEREOF, the Employer has caused this Plan to be executed this ____ day of _____, _____.

Employer: Texas Tech University System

By: _____

Title: _____

Date signed: _____

Effective Date of the Plan: _____

Appendix A

Vendors authorized to receive Contributions, Exchanges, and Transfers under the Plan:

Name of Organization

Commonwealth Annuity
Educators Money
Fidelity Investments
ING Financial Services
Lincoln Financial Group
Metlife Resources
Pentegra Retirement Services (RS Group, Inc.)
TIAA-CREF
VALIC

Appendix B

Vendors authorized to receive Contributions from current participants, but are not permitted to receive Exchanges or Transfers under the Plan:

Name of Organization

American Century
Ameriprise Financial Services
AXA Equitable
Great American Life Insurance Co.
Great-West Life Insurance Co.
Metlife Citistreet
Metlife Investors
Metlife Connecticut
Security Benefit Life
Thrivent Financial for Lutherans
USAA Investments
USAA Life Insurance

Appendix C

Vendors authorized to hold account balances, but are not permitted to receive Contributions, Exchanges, or Transfers under the Plan.

Name of Organization

Allianz Life
American Funds
Aviva Life
Delta Life
Evergreen Funds
General American Life
Horace Mann Life Insurance Co.
Jefferson Pilot
Mass Mutual Life
Merrill Lynch
MG Trust
Mutual of New York (MONY)
Nationwide Life Insurance
New England Life
New York Life
Northwestern Mutual Life
PFS Investments
Plan Member Services
Prudential Insurance
Scudder
Southern Farm Bureau
State Farm Insurance
T. Rowe Price
Twentieth Century
Valley Forge
Van Kampen Investments
Waddell & Reed

**PLAN DOCUMENT
FOR THE
TEXAS TECH UNIVERSITY SYSTEM
TAX-DEFERRED ACCOUNT PROGRAM**

(Voluntary 403(b) Program)

**TEXAS TECH UNIVERSITY SYSTEM
HUMAN RESOURCE SERVICES
TEXAS TECH UNIVERSITY
DRANE HALL, RM 160
PO BOX 41093
LUBBOCK, TEXAS 79409-1093**

TEXAS TECH UNIVERSITY SYSTEM TAX-DEFERRED ACCOUNT PROGRAM

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Introduction

The Texas Tech University System Tax-Deferred Account (TDA) Program is authorized under Section 403(b) of the Internal Revenue Code. The TDA program is a governmental 403(b) plan and is not covered by the Employee Retirement Income Security Act of 1974 (ERISA).

Section 1 - Definitions

The following words and terms, when used in the Plan, have the meaning set forth below.

1.1 **"Account"**: The account or accumulation maintained for the benefit of any Participant or Beneficiary under an Annuity Contract or a Custodial Account.

1.2 **"Account Balance"**: The bookkeeping account maintained for each Participant which reflects the aggregate amount credited to the Participant's Account under all Accounts, including the Participant's Elective Deferrals, the earnings or loss of each Annuity Contract or a Custodial Account (net of expenses) allocable to the Participant, any transfers for the Participant's benefit, and any distribution made to the Participant or the Participant's Beneficiary. If a Participant has more than one Beneficiary at the time of the Participant's death, then a separate Account Balance shall be maintained for each Beneficiary. The Account Balance includes any account established under Section 6 for rollover contributions and plan-to-plan transfers made for a Participant, the account established for a Beneficiary after a Participant's death, and any account or accounts established for an alternate payee (as defined in section 414(p)(8) of the Code).

1.3 **"Administrator"**: Human Resource Services for Texas Tech University.

1.4 **"Annuity Contract"**: A nontransferable contract as defined in section 403(b)(1) of the Code, established for each Participant by the Employer, or by each Participant individually, that is issued by an insurance company qualified to issue annuities in the state of Texas and that includes payment in the form of an annuity.

1.5 **"Beneficiary"**: The designated person who is entitled to receive benefits under the Plan after the death of a Participant, subject to such additional rules as may be set forth in the Individual Agreements.

1.6 **"Custodial Account"**: The group or individual custodial account or accounts, as defined in section 403(b)(7) of the Code, established for each Participant by the Employer, or by each Participant individually, to hold assets of the Plan.

1.7 **"Code"**: The Internal Revenue Code of 1986, as now in effect or as hereafter amended. All citations to sections of the Code are to such sections as they may from time to time be amended or renumbered.

1.8 **"Compensation"**: All cash compensation for services to the Employer, including salary, wages, fees, commissions, bonuses, and overtime pay, that is includible in the Employee's gross income for the calendar year, plus amounts that would be cash compensation for services to the Employer includible in the Employee's gross income for the calendar year but for a compensation reduction election under section 125, 132(f), 401(k), 403(b), or 457(b) of the Code

(including an election under Section 2 made to reduce compensation in order to have Elective Deferrals under the Plan).

1.9 **"Disabled"**: The definition of disability provided in the applicable Individual Agreement.

1.10 **"Elective Deferral"**: The Employer contributions made to the Plan at the election of the Participant in lieu of receiving cash compensation. Elective Deferrals are limited to pre-tax salary reduction contributions.

1.11 **"Employee"**: Each individual, whether appointed or elected, who is a common law employee of the Employer performing services as an employee of the Employer. This definition is not applicable unless the employee's compensation for performing services for a public education institution is paid by the Employer. Further, a person occupying an elective or appointive public office is not an employee performing services unless such office is one to which an individual is elected or appointed only if the individual has received training, or is experienced, in the field of education. A public office includes any elective or appointive office of a State or local government.

1.12 **"Employer"**: The Texas Tech University System.

1.13 **"Funding Vehicles "**: The Annuity Contracts or Custodial Accounts issued for funding amounts held under the Plan and specifically approved by Employer for use under the Plan offered through a Vendor.

1.14 **"Includible Compensation"**: An Employee's actual wages in box 1 of Form W-2 for a year for services to the Employer, but subject to a maximum of \$200,000 (or such higher maximum as may apply under section 401(a)(17) of the Code) and increased (up to the dollar maximum) by any compensation reduction election under section 125, 132(f), 401(k), 403(b), or 457(b) of the Code (including any Elective Deferral under the Plan). The amount of Includible Compensation is determined without regard to any community property laws. Pursuant to Reg. Section 1.415-2(e)(4) of the Income Tax Regulations, Includible Compensation will include payments made to an individual who does not currently perform services for the Employer by reason of qualified military service (as defined in Section 414(u)(5) of the Code) to the extent those payments do not exceed the amount the individual would have received if the individual had continued to perform services for the Employer rather than enter qualified military service.

1.15 **"Individual Agreement"**: The agreements between a Vendor and the Employer or a Participant that constitutes or governs a Custodial Account or an Annuity Contract.

1.16 **"Participant"**: An individual for whom Elective Deferrals (or Roth 403(b) Contributions) are currently being made, or for whom Elective Deferrals (or Roth 403(b) Contributions) have previously been made, under the Plan and who has not received a distribution of his or her entire benefit under the Plan.

1.17 **"Plan"**: The Texas Tech University System Tax-Deferred Account (TDA) Program.

1.18 **"Plan year"**: The calendar year.

1.19 **"Related Employer"**: The Employer and any other entity which is under common control with the Employer under section 414(b) or (c) of the Code. For this purpose, the Employer shall determine which entities are Related Employers based on a reasonable, good faith standard and taking into account the special rules applicable under Notice 89-23, 1989-1 C.B. 654.

1.20 **"Severance from Employment"**: For purpose of the Plan, Severance from Employment means Severance from Employment with the Employer and any Related Entity. However, a Severance from Employment also occurs on any date on which an Employee ceases to be an employee of the public education institution, even though the Employee may continue to be employed by a Related Employer that is another unit of the State or local government that is not the public education institution or in a capacity that is not employment with the public education institution (e.g., ceasing to be an employee performing services for the public education institution but continuing to work for the same State or local government employer).

1.21 **"Vendor"**: The provider of an Annuity Contract or Custodial Account as identified in Appendix A, B and C.

1.22 **"Valuation Date"**: Each business day of the Plan Year.

Section 2 - Participation and Contributions

2.1 **Eligibility**. Each Employee shall be eligible to participate in the Plan and elect to have Elective Deferrals or Roth 403(b) Contributions, in accordance with Section 10, made on his or her behalf hereunder immediately upon becoming employed by the Employer.

2.2 **Compensation Reduction Election**. An Employee elects to become a Participant by executing an election to reduce his or her Compensation (and have that amount contributed as an Elective Deferral and/or Roth 403(b) Contributions, in accordance with Section 10, on his or her behalf) and filing it with the Administrator or designate. This Compensation reduction election shall be made on the agreement provided by the Administrator under which the Employee agrees to be bound by all the terms and conditions of the Plan. The Administrator may establish an annual minimum deferral amount no higher than \$200, and may change such minimum to a lower amount from time to time. The participation election shall also include designation of the Funding Vehicles and Accounts therein to which Elective Deferrals (and/or Roth 403(b) Contributions) are to be made and a designation of Beneficiary. Any such election shall remain in effect until a new election is filed. Only an individual who performs services for the Employer as an Employee may reduce his or her Compensation under the Plan. Each Employee will become a Participant in accordance with the terms and conditions of the Individual Agreements. All Elective Deferrals shall be made on a pre-tax basis. All Roth 403(b) Contributions shall be made in accordance with the terms in Section 10. An Employee shall become a Participant as soon as administratively practicable following the date applicable under the Employee's election.

2.3 **Information Provided by the Employee**. Each Employee enrolling in the Plan should provide to the Administrator at the time of initial enrollment, and later if there are any changes, any information necessary or advisable for the Administrator to administer the Plan, including any information required under the Individual Agreements.

2.4 Change in Elective Deferrals Election. Subject to the provisions of the applicable Individual Agreements, an Employee may at any time revise his or her participation election, including a change of the amount of his or her Elective Deferrals (and/or Roth 403(b) Contributions), his or her investment direction, and/or his or her designated Beneficiary. A change in the investment direction shall take effect as of the date provided by the Administrator on a uniform basis for all Employees. A change in the Beneficiary designation shall take effect when the election is accepted by the Vendor.

2.5 Contributions Made Promptly. Elective Deferrals under the Plan shall be transferred to the applicable Funding Vehicle within 15 business day following the end of the month in which the amount would otherwise have been paid to the Participant.

2.6 Leave of Absence. Unless an election is otherwise revised, if an Employee is absent from work by leave of absence, Elective Deferrals (and/or Roth 403(b) Contributions) under the Plan shall continue to the extent that Compensation continues.

Section 3 - Limitations on Amounts Deferred

3.1 Basic Annual Limitation. Except as provided in Sections 3.2 and 3.3, the maximum amount of the Elective Deferral (and/or Roth 403(b) Contributions to the extent permitted under Section 10) under the Plan for any calendar year shall not exceed the lesser of (a) the applicable dollar amount or (b) the Participant's Includible Compensation for the calendar year. The applicable dollar amount is the amount established under section 402(g)(1)(B) of the Code, which is \$16,500 for 2009, and is adjusted for cost-of-living after 2009 to the extent provided under section 415(d) of the Code.

3.2 Special Section 403(b) Catch-up Limitation for Employees with 15 Years of Service. Because the Employer is a qualified organization (within the meaning of § 1.403(b)-4(c)(3)(ii) of the Income Tax Regulations), the applicable dollar amount under Section 3.1 for any "qualified employee" is increased (to the extent provided in the Individual Agreements) by the least of:

- (a) \$3,000;
- (b) The excess of:
 - (1) \$15,000, over
 - (2) The total special 403(b) catch-up elective deferrals made for the qualified employee by the qualified organization for prior years; or
- (c) The excess of:
 - (1) \$5,000 multiplied by the number of years of service of the employee with the qualified organization, over
 - (2) The total Elective Deferrals and, if applicable, Roth 403(b) Contributions made for the Employee by the qualified organization for prior years.

For purposes of this Section 3.2, a "qualified employee" means an employee who has completed at least 15 years of service taking into account only employment with the Employer.

3.3 Age 50 Catch-up Elective Deferral Contributions. An Employee who is a Participant who will attain age 50 or more by the end of the calendar year is permitted to elect an additional amount of Elective Deferrals (and/or Roth 403(b) Contributions), up to the maximum age 50 catch-up Elective Deferrals (or Roth 403(b) Contributions) for the year. The maximum dollar amount of the age 50 catch-up Elective Deferrals for a year is \$5,500 for 2009, and is adjusted for cost-of-living thereafter to the extent provided under the Code.

3.4 Coordination. Amounts in excess of the limitation set forth in Section 3.1 shall be allocated first to the special 403(b) catch-up under Section 3.2 and next as an age 50 catch-up contribution under Section 3.3. However, in no event can the amount of the Elective Deferrals (or Roth 403(b) Contributions) for a year be more than the Participant's Compensation for the year.

3.5 Special Rule for a Participant Covered by Another Section 403(b) Plan. For purposes of this Section 3, if the Participant is or has been a participant in one or more other plans under section 403(b) of the Code (and any other plan that permits elective deferrals under section 402(g) of the Code), then this Plan and all such other plans shall be considered as one plan for purposes of applying the foregoing limitations of this Section 3. For this purpose, the Administrator shall take into account any other such plan maintained by any Related Employer and shall also take into account any other such plan for which the Administrator receives from the Participant sufficient information concerning his or her participation in such other plan. Notwithstanding the foregoing, another plan maintained by a Related Entity shall be taken into account for purposes of Section 3.2 only if the other plan is a § 403(b) plan.

3.6 Correction of Excess Elective Deferrals. If the Elective Deferral (or Roth 403(b) Contributions) on behalf of a Participant for any calendar year exceeds the limitations described above, or the Elective Deferrals (and/or Roth 403(b) Contributions) on behalf of a Participant for any calendar year exceeds the limitations described above when combined with other amounts deferred by the Participant under another plan of the Employer under section 403(b) of the Code (and any other plan that permits elective deferrals under section 402(g) of the Code for which the Participant provides information that is accepted by the Administrator), then the Elective Deferral (and to the extent applicable, Roth 403(b) Contributions), to the extent in excess of the applicable limitation (adjusted for any income or loss in value, if any, allocable thereto), shall be distributed to the Participant. Excess Deferrals (and, if applicable, Roth 403(b) Contributions) will be distributed to the Participant, with allocable net income, no later than April 15 of the following taxable year or otherwise in accordance with Section 402(g) of the Code.

3.7 Protection of Persons Who Serve in a Uniformed Service. An Employee whose employment is interrupted by qualified military service under section 414(u) of the Code or who is on a leave of absence for qualified military service under section 414(u) of the Code may elect to make additional Elective Deferrals upon resumption of employment with the Employer equal to the maximum Elective Deferrals that the Employee could have elected during that period if the Employee's employment with the Employer had continued (at the same level of Compensation) without the interruption or leave, reduced by the Elective Deferrals, if any, actually made for the Employee during the period of the interruption or leave. Except to the extent provided under section 414(u) of the Code, this right applies for five years following the resumption of employment (or, if sooner, for a period equal to three times the period of the interruption or leave).

Section 4 - Loans

4.1 Loans. Loans shall be permitted under the Plan to the extent permitted by the Individual Agreements with Vendors listed in Appendix A controlling the Account assets from which the loan is made and by which the loan will be secured.

4.2 Information Coordination Concerning Loans. Each Vendor is responsible for all information reporting and tax withholding required by applicable federal and state law in connection with distributions and loans. To minimize the instances in which Participants have taxable income as a result of loans from the Plan, the Administrator shall take such steps as may be appropriate to coordinate the limitations on loans set forth in Section 4.3, including the collection of information from Vendors, and transmission of information requested by any Vendor, concerning the outstanding balance of any loans made to a Participant under the Plan or any other plan of the Employer. The Administrator shall also take such steps as may be appropriate to collect information from Vendors, and transmission of information to any Vendor, concerning any failure by a Participant to repay timely any loans made to a Participant under the Plan or any other plan of the Employer.

4.3 Maximum Loan Amount. No loan to a Participant under the Plan may exceed the lesser of:

(a) \$50,000, reduced by the greater of (i) the outstanding balance on any loan from the Plan to the Participant on the date the loan is made or (ii) the highest outstanding balance on loans from the Plan to the Participant during the one-year period ending on the day before the date the loan is approved by the Administrator or designate (not taking into account any payments made during such one-year period); or

(b) one half of the value of the Participant's vested Account Balance (as of the valuation date immediately preceding the date on which such loan is approved by the Administrator or designate).

For purposes of this Section 4.3, any loan from any other plan maintained by the Employer and any Related Employer shall be treated as if it were a loan made from the Plan, and the Participant's vested interest under any such other plan shall be considered a vested interest under this Plan; provided, however, that the provisions of this paragraph shall not be applied so as to allow the amount of a loan to exceed the amount that would otherwise be permitted in the absence of this paragraph.

Section 5 - Benefit Distributions

5.1 Benefit Distributions At Severance from Employment or Other Distribution Event. Except as permitted under Section 3.6 (relating to excess Elective Deferrals), Section 5.3 (relating to withdrawals of amounts rolled over into the Plan), Section 5.4 (relating to hardship), or Section 8.3 (relating to termination of the Plan), distributions from a Participant's Account may not be made earlier than the earliest of the date on which the Participant has a Severance from Employment, dies, becomes Disabled, or attains age 59½. Notwithstanding the foregoing and in accordance with the terms of the Individual Agreements, the withdrawal restrictions do not apply to Elective Deferrals and corresponding earnings made to an Annuity Contract as of December 31, 1988 to the extent that such amounts can be identified by the Vendor.

Distributions shall otherwise be made in accordance with the terms of the Individual Agreements.

5.2 Minimum Distributions. Each Individual Agreement shall comply with the minimum distribution requirements of section 401(a)(9) of the Code and the regulations thereunder. For purposes of applying the distribution rules of section 401(a)(9) of the Code, each Individual Agreement is treated as an individual retirement account (IRA) and distributions shall be made in accordance with the provisions of § 1.408-8 of the Income Tax Regulations, except as provided in § 1.403(b)-6(e) of the Income Tax Regulations.

5.3 In-Service Distributions from a Rollover Account. If a Participant has a separate account attributable to rollover contributions to the Plan, to the extent permitted by the applicable Individual Agreement, the Participant may at any time elect to receive a distribution of all or any portion of the amount held in the rollover account.

5.4 Hardship Withdrawals. (a) Hardship withdrawals shall be permitted under the Plan in accordance with the financial need safe harbor rules described in Section 1.401(k)-1(d)(3)(iii)(B) of the Income Tax Regulations to the extent permitted by the Individual Agreements with Vendors listed in Appendix A controlling the Account assets to be withdrawn to satisfy the hardship. If applicable under an Individual Agreement, no Elective Deferrals (or Roth 403(b) Contributions) shall be allowed under the Plan during the 6-month period beginning on the date the Participant receives a distribution on account of hardship.

(b) The Individual Agreements shall provide for the exchange of information among the Employer and the Vendors to the extent necessary to implement the Individual Agreements, including, in the case of a hardship withdrawal that is automatically deemed to be necessary to satisfy the Participant's financial need (pursuant to § 1.401(k)-1(d)(3)(iv)(E) of the Income Tax Regulations), the Vendor notifying the Employer of the withdrawal in order for the Employer to implement the resulting 6-month suspension of the Participant's right to make Elective Deferrals (or Roth 403(b) Contributions) under the Plan.

(c) An Individual Agreement may make distributions to a Participant for expenses described in Section 1.401(k)-1(d)(3)(iii)(B)(1), (3), or (5) of the Income Tax Regulations for a primary Beneficiary. For this purpose, a "primary Beneficiary" is an individual who is named as a Beneficiary and has an unconditional right to all or a portion of the Account balance upon the death of the Participant.

5.5 Rollover Distributions. (a) A Participant or the Beneficiary of a deceased Participant (or a Participant's spouse or former spouse who is an alternate payee under a domestic relations order, as defined in section 414(p) of the Code) who is entitled to an eligible rollover distribution may elect to have any portion of an eligible rollover distribution (as defined in section 402(c)(4) of the Code) from the Plan paid directly to an eligible retirement plan (as defined in section 402(c)(8)(B) of the Code) specified by the Participant in a direct rollover. In the case of a distribution to a Beneficiary who at the time of the Participant's death was neither the spouse of the Participant nor the spouse or former spouse of the Participant who is an alternate payee under a domestic relations order, a direct rollover is payable only to an individual retirement account or individual retirement annuity (IRA) that has been established on behalf of the Beneficiary as an inherited IRA (within the meaning of section 408(d)(3)(C) of the Code).

(b) Each Vendor shall be separately responsible for providing, within a reasonable time period before making an initial eligible rollover distribution, an explanation to the Participant of his or her right to elect a direct rollover and the income tax withholding consequences of not electing a direct rollover.

(c) A Participant or a spouse who is the designated Beneficiary of the Participant may elect to roll over amounts in accordance with Section 408A(e) of the Code directly to a Roth IRA.

Section 6 - Rollovers to the Plan and Transfers

6.1 Eligible Rollover Contributions to the Plan.

(a) **Eligible Rollover Contributions.** To the extent provided in the Individual Agreements, an Employee who is a Participant who is entitled to receive an eligible rollover distribution from another eligible retirement plan may request to have all or a portion of the eligible rollover distribution paid to the Plan. Such rollover contributions shall be made in the form of cash only. The Vendor may require such documentation from the distributing plan as it deems necessary to effectuate the rollover in accordance with section 402 of the Code and to confirm that such plan is an eligible retirement plan within the meaning of section 402(c)(8)(B) of the Code. However, in no event does the Plan accept a rollover contribution from a Roth IRA described in section 408A of the Code.

(b) **Eligible Rollover Distribution.** For purposes of Section 6.1(a), an eligible rollover distribution means any distribution of all or any portion of a Participant's benefit under another eligible retirement plan, except that an eligible rollover distribution does not include (1) any installment payment for a period of 10 years or more, (2) any distribution made as a result of an unforeseeable emergency or other distribution which is made upon hardship of the Employee, (3) for any other distribution, the portion, if any, of the distribution that is a required minimum distribution under section 401(a)(9) of the Code, (4) corrective distributions of excess contributions under a qualified cash or deferred arrangement described in Section 1.401(k)-2(b)(2) of the Income Tax Regulations and excess aggregate contributions described in section 1.401(m)-2(b)(2) of the Income Tax Regulations, together with the income allocable to these distributions, or (5) loans that are treated as deemed distributions pursuant to Section 72(p) of the Code. In addition, an eligible retirement plan means an individual retirement account described in section 408(a) of the Code, an individual retirement annuity described in section 408(b) of the Code, a qualified trust described in section 401(a) of the Code, an annuity plan described in section 403(a) or 403(b) of the Code, or an eligible governmental plan described in section 457(b) of the Code, that accepts the eligible rollover distribution.

(c) **Separate Accounts.** Unless otherwise provided by the terms of the applicable Individual Agreements, Vendors shall provide separate accounting for any eligible rollover distribution paid to the Plan.

6.2 Plan-to-Plan Transfers to the Plan. (a) At the direction of the Employer, for a class of Employees who are participants or beneficiaries in another plan under section 403(b) of the Code, the Administrator may permit a transfer of assets to the Plan in this Section 6.2. Such a transfer is permitted only if the other plan provides for the direct transfer of each person's entire interest therein to the Plan and the Participant is an Employee or former Employee of the

Employer. The Administrator and any Vendor accepting such transferred amounts may require that the transfer be in cash or other property acceptable to it. The Administrator or any Vendor accepting such transferred amounts may require such documentation from the other plan as it deems necessary to effectuate the transfer in accordance with § 1.403(b)-10(b)(3) of the Income Tax Regulations and to confirm that the other plan is a plan that satisfies section 403(b) of the Code.

(b) The amount so transferred shall be credited to the Participant's Account Balance, so that the Participant or Beneficiary whose assets are being transferred has an accumulated benefit immediately after the transfer at least equal to the accumulated benefit with respect to that Participant or Beneficiary immediately before the transfer in accordance with Section 1.414(l)(1) of the Code.

(c) To the extent provided in the Individual Agreements holding such transferred amounts, the amount transferred shall be held, accounted for, administered and otherwise treated in the same manner as an Elective Deferral by the Participant under the Plan, except that (1) the Individual Agreement which holds any amount transferred to the Plan must provide that, to the extent any amount transferred is subject to any distribution restrictions required under section 403(b) of the Code, the Individual Agreement must impose restrictions on distributions to the Participant or Beneficiary whose assets are being transferred that are not less stringent than those imposed on the transferor plan and (2) the transferred amount shall not be considered an Elective Deferral under the Plan in determining the maximum deferral under Section 3.

6.3 Plan-to-Plan Transfers from the Plan. (a) At the direction of the Employer, the Administrator may permit a class of Participants and Beneficiaries to elect to have all or any portion of their Account Balance transferred to another plan that satisfies section 403(b) of the Code in accordance with § 1.403(b)-10(b)(3) of the Income Tax Regulations. A transfer is permitted under this Section 6.3(a) only if the Participants or Beneficiaries are Employees or former Employees of the Employer (or the business of the Employer) under the receiving plan and the other plan provides for the acceptance of plan-to-plan transfers with respect to the Participants and Beneficiaries and for each Participant and Beneficiary to have an amount deferred under the other plan immediately after the transfer at least equal to the amount transferred.

(b) The other plan must provide that, to the extent any amount transferred is subject to any distribution restrictions required under section 403(b) of the Code, the other plan shall impose restrictions on distributions to the Participant or Beneficiary whose assets are transferred that are not less stringent than those imposed under the Plan. In addition, if the transfer does not constitute a complete transfer of the Participant's or Beneficiary's interest in the Plan, the other plan shall treat the amount transferred as a continuation of a pro rata portion of the Participant's or Beneficiary's interest in the transferor plan (e.g., a pro rata portion of the Participant's or Beneficiary's interest in any after-tax employee contributions).

(c) Upon the transfer of assets under this Section 6.3, the Plan's liability to pay benefits to the Participant or Beneficiary under this Plan shall be discharged to the extent of the amount so transferred for the Participant or Beneficiary. The Administrator may require such documentation from the receiving plan as it deems appropriate or necessary to comply with this Section 6.3 (for example, to confirm that the receiving plan satisfies section 403(b) of the Code

and to assure that the transfer is permitted under the receiving plan) or to effectuate the transfer pursuant to § 1.403(b)-10(b)(3) of the Income Tax Regulations.

6.4 Contract and Custodial Account Exchanges. A Participant or Beneficiary is permitted to change the investment of his or her Account Balance among the Vendors under the Plan as identified in Appendix A as an authorized vendor, subject to the terms of the Individual Agreements. However, an investment change that includes an investment with a Vendor that is not identified in Appendix A as an authorized vendor is not permitted.

6.5 Permissive Service Credit Transfers.

(a) If a Participant is also a participant in a tax-qualified defined benefit governmental plan (as defined in section 414(d) of the Code) that provides for the acceptance of plan-to-plan transfers with respect to the Participant, then the Participant may elect to have any portion of the Participant's Account Balance transferred to the defined benefit governmental plan. A transfer under this Section 6.5(a) may be made before the Participant has had a Severance from Employment.

(b) A transfer may be made under Section 6.5(a) only if the transfer is either for the purchase of permissive service credit (as defined in section 415(n)(3)(A) of the Code) under the receiving defined benefit governmental plan or a repayment to which section 415 of the Code does not apply by reason of section 415(k)(3) of the Code.

(c) In addition, if a plan-to-plan transfer does not constitute a complete transfer of the Participant's or Beneficiary's interest in the transferor plan, the Plan shall treat the amount transferred as a continuation of a pro rata portion of the Participant's or Beneficiary's interest in the transferor plan (e.g., a pro rata portion of the Participant's or Beneficiary's interest in any after-tax employee contributions).

Section 7 - Investment of Contributions

7.1 Manner of Investment. All Elective Deferrals or other amounts contributed to the Plan, all property and rights purchased with such amounts under the Funding Vehicles, and all income attributable to such amounts, property, or rights shall be held and invested in one or more Annuity Contracts or Custodial Accounts. Each Custodial Account shall provide for it to be impossible, prior to the satisfaction of all liabilities with respect to Participants and their Beneficiaries, for any part of the assets and income of the Custodial Account to be used for, or diverted to, purposes other than for the exclusive benefit of Participants and their Beneficiaries.

7.2 Investment of Contributions. Each Participant or Beneficiary shall direct the investment of his or her Account among the investment options available under the Annuity Contract or Custodial Account in accordance with the terms of the Individual Agreements. Transfers among Annuity Contracts and Custodial Accounts may be made to the extent provided in Section 6.4 of the Plan, the Individual Agreements and permitted under applicable Income Tax Regulations.

7.3 Current and Former Vendors. The Administrator shall maintain a list of all Vendors under the Plan. Such list is hereby incorporated as part of the Plan as appendices. Each Vendor and the Administrator shall exchange such information as may be necessary to satisfy

section 403(b) of the Code or other requirements of applicable law. In the case of a Vendor which is not eligible to receive Elective Deferrals (or Roth 403(b) Contributions) under the Plan (including a Vendor which has ceased to be a Vendor eligible to receive Elective Deferrals (or Roth 403(b) Contributions) under the Plan and a Vendor holding assets under the Plan in accordance with Section 6.2 or 6.4), the Employer shall keep the Vendor informed of the name and contact information of the Administrator in order to coordinate information necessary to satisfy section 403(b) of the Code or other requirements of applicable law.

Section 8 - Amendment and Plan Termination

8.1 Termination of Contributions. The Employer has adopted the Plan with the intention and expectation that contributions will be continued indefinitely. However, the Employer has no obligation or liability whatsoever to maintain the Plan for any length of time and may discontinue contributions under the Plan at any time without any liability hereunder for any such discontinuance.

8.2 Amendment and Termination. The Employer reserves the authority to amend or terminate this Plan at any time.

8.3 Distribution upon Termination of the Plan. The Employer may provide that, in connection with a termination of the Plan and subject to any restrictions contained in the Individual Agreements, all Accounts will be distributed as soon as administratively practicable under the Plan, provided that the Employer and any Related Employer on the date of termination do not make contributions to an alternative section 403(b) contract that is not part of the Plan during the period beginning on the date of plan termination and ending 12 months after the distribution of all assets from the Plan, except as permitted by the Income Tax Regulations.

Section 9 - Miscellaneous

9.1 Non-Assignability. Except as provided in Section 9.2 and 9.3, the interests of each Participant or Beneficiary under the Plan are not subject to the claims of the Participant's or Beneficiary's creditors; and neither the Participant nor any Beneficiary shall have any right to sell, assign, transfer, or otherwise convey the right to receive any payments hereunder or any interest under the Plan, which payments and interest are expressly declared to be non-assignable and non-transferable.

9.2 Domestic Relation Orders. Notwithstanding Section 9.1, if a judgment, decree or order (including approval of a property settlement agreement) that relates to the provision of child support, alimony payments, or the marital property rights of a spouse or former spouse, child, or other dependent of a Participant is made pursuant to the domestic relations law of any State ("domestic relations order"), then the amount of the Participant's Account Balance shall be paid in the manner and to the person or persons so directed in the domestic relations order. Such payment shall be made without regard to whether the Participant is eligible for a distribution of benefits under the Plan. The Administrator shall establish reasonable procedures for determining the status of any such decree or order and for effectuating distribution pursuant to the domestic relations order.

9.3 IRS Levy. Notwithstanding Section 9.1, if a Participant or Beneficiary is entitled to a distribution in accordance with Section 5, the Administrator may pay from a Participant's or

Beneficiary's Account Balance the amount that the Administrator finds is lawfully demanded under a levy issued by the Internal Revenue Service with respect to that Participant or Beneficiary or is sought to be collected by the United States Government under a judgment resulting from an unpaid tax assessment against the Participant or Beneficiary.

9.4 Tax Withholding. Contributions to the Plan are subject to applicable employment taxes (including, if applicable, Federal Insurance Contributions Act (FICA) taxes with respect to Elective Deferrals (and, if applicable, Roth 403(b) Contributions), which constitute wages under section 3121 of the Code). Any benefit payment made under the Plan is subject to applicable income tax withholding requirements (including section 3401 of the Code and the Employment Tax Regulations thereunder). A payee shall provide such information as the Administrator may need to satisfy income tax withholding obligations, and any other information that may be required by guidance issued under the Code.

9.5 Payments to Minors and Incompetents. If a Participant or Beneficiary entitled to receive any benefits hereunder is a minor or is adjudged to be legally incapable of giving valid receipt and discharge for such benefits, or is deemed so by the Administrator, benefits will be paid to such person as the Administrator may designate for the benefit of such Participant or Beneficiary. Such payments shall be considered a payment to such Participant or Beneficiary and shall, to the extent made, be deemed a complete discharge of any liability for such payments under the Plan.

9.6 Mistaken Contributions. If any contribution (or any portion of a contribution) is made to the Plan by a good faith mistake of fact, then within one year after the payment of the contribution, and upon receipt in good order of a proper request approved by the Administrator, the amount of the mistaken contribution (adjusted for any income or loss in value, if any, allocable thereto) shall be returned directly to the Participant or, to the extent required or permitted by the Administrator, to the Employer.

9.7 Procedure When Distributee Cannot Be Located. The Administrator shall make all reasonable attempts to determine the identity and address of a Participant or a Participant's Beneficiary entitled to benefits under the Plan. For this purpose, a reasonable attempt means (a) the mailing by certified mail of a notice to the last known address shown on the Employer's or the Administrator's records, (b) notification sent to the Social Security Administration or the Pension Benefit Guaranty Corporation (under their program to identify payees under retirement plans), and (c) the payee has not responded within 6 months. If the Administrator is unable to locate such a person entitled to benefits hereunder, or if there has been no claim made for such benefits, the funding vehicle shall continue to hold the benefits due such person.

9.8 Incorporation of Individual Agreements. The Plan, together with the Individual Agreements, is intended to satisfy the requirements of section 403(b) of the Code and the Income Tax Regulations thereunder. Terms and conditions of the Individual Agreements are hereby incorporated by reference into the Plan, excluding those terms that are inconsistent with the Plan or section 403(b) of the Code.

9.9 Governing Law. The Plan will be construed, administered and enforced according to the Code and the laws of the state of Texas.

9.10 **Headings.** Headings of the Plan have been inserted for convenience of reference only and are to be ignored in any construction of the provisions hereof.

9.11 **Gender.** Pronouns used in the Plan in the masculine or feminine gender include both genders unless the context clearly indicates otherwise.

Section 10 – Roth 403(b) Contributions

10.1 Definitions

(a) “Roth 403(b) Contributions” means contributions that are:

- (1) made by the Employer to the Plan pursuant to a Compensation reduction agreement entered into by a Participant, which qualifies as a “designated Roth contribution” within the meaning of the Code Section 402A;
- (2) irrevocably designated by the Participant at the time of the cash or deferred election as a Roth elective deferral that is being made in lieu of all or a portion of the Elective Deferrals the Participant is otherwise eligible to make under the Plan; and
- (3) treated by the Employer as includible in the Participant’s income at the time the Participant would have received that amount in cash if the Participant had not made a cash or deferred election.

(b) “Roth 403(b) Contributions Account” means the account established and maintained by the Administrator for each Participant with respect to his total interest (including earnings and losses attributable thereon) under the Plan resulting from Roth 403(b) Contributions.

10.2 Roth 403(b) Contributions. For each Plan Year, each Participant may elect to make Roth 403(b) Contributions to the Plan up to the applicable limit under Code Section 402(g) and as aggregated with Elective Deferrals as described in Section 3.1, 3.2, and 3.3, and subject to any limitations imposed under applicable law or under any applicable collective bargaining agreement. Such contributions will be allocated to the Participant’s Roth 403(b) Contributions Account.

10.3 Distribution of Roth 403(b) Contributions. (a) Qualified Distributions: Distributions from a Roth 403(b) Contributions Account will be tax-free for federal income tax purposes if:

- (1) The amounts are held for a 5-year holding period, measured from the first year that the initial Roth 403(b) Contribution was made on behalf of the Participant to a Roth 403(b) Contributions Account, and
- (2) The distribution is due to a Participant’s attainment of age 59 ½, death, or in the event of the Participant’s becoming Disabled.

(b) Non-qualified Distributions: Amounts distributed from a Roth 403(b) Contributions Account that are not considered “Qualified Distributions” as defined in Section 10.3(a), may be distributed from a Roth 403(b) Contributions Account subject to the distribution rules applicable to Elective Deferrals as described in Section 5.1. Such nonqualified distributions shall be subject to federal income tax to the extent that the amount distributed exceeds the value of the Roth 403(b) Contributions.

IN WITNESS WHEREOF, the Employer has caused this Plan to be executed this ____
day of _____, _____.

Employer: Texas Tech University System

By: _____

Title: _____

Date signed: _____

Effective Date of the Plan: _____

Appendix A

Vendors authorized to receive Contributions, Exchanges, and Transfers under the Plan:

Name of Organization

Commonwealth Annuity
Educators Money
Fidelity Investments
ING Financial Services
Lincoln Financial Group
Metlife Resources
Pentegra Retirement Services (RS Group, Inc.)
TIAA-CREF
VALIC

Appendix B

Vendors authorized to receive Contributions from current participants, but are not permitted to receive Exchanges or Transfers under the Plan:

Name of Organization

American Century
Ameriprise Financial Services
AXA Equitable
Great American Life Insurance Co.
Great-West Life Insurance Co.
Metlife Citistreet
Metlife Investors
Metlife Connecticut
Security Benefit Life
Thrivent Financial for Lutherans
USAA Investments
USAA Life Insurance

Appendix C

Vendors authorized to hold account balances, but are not permitted to receive Contributions, Exchanges, or Transfers under the Plan.

Name of Organization

Allianz Life
American Funds
Aviva Life
Delta Life
DWS Investments
Evergreen Funds
General American Life
Horace Mann Life Insurance Co.
Jefferson Pilot
Mass Mutual Life
Merrill Lynch
MG Trust
Mutual of New York (MONY)
Nationwide Life Insurance
New England Life
New York Life
Northwestern Mutual Life
PFS Investments
Plan Member Services
Prudential Insurance
Scudder
Southern Farm Bureau
State Farm Insurance
T. Rowe Price
Twentieth Century
Valley Forge
Van Kampen Investments
Waddell & Reed



TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Optional Retirement Program 403(b) Program

Jim Brunjes, Vice Chancellor and Chief Financial Officer

Board of Regents
August 5, 2010

TTUS Office of the CFO
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TEXAS TECH UNIVERSITY SYSTEM

Optional Retirement Plan Overview

- The Internal Revenue Services requires sponsors of a 403(b) to adopt and maintain a written plan that satisfies all requirements and regulations of the IRS
- TTUSA, TTU, and TTUHSC will adopt a single plan
- ASU will adopt their own separate plan with a transition to a single TTUS Policy by May 2010
- The new plan will facilitate compliance with IRS Regulations and provide efficiencies in administration

Board of Regents
August 5, 2010

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TEXAS TECH UNIVERSITY SYSTEM

Proposed Plan

- Eligible employees chose participation in the Texas ORP in lieu of active membership in the Teachers Retirement System
- Eligible employees execute a salary reduction agreement to reduce their compensation by the amount required by the Texas Legislature (currently 6.65%) and file it with the administrator
- Participants who joined the ORP program prior to September 1, 1995 will receive an employer Contribution of 8.5%.
- Participants who joined the ORP program after September 1, 1995 will receive an Employer contribution of 6.58%.

Board of Regents
August 5, 2010

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TEXAS TECH UNIVERSITY SYSTEM

Proposed Plan

- Distributions from Participants' accounts may not be made earlier than the earliest of the date on which the Participant has a Severance from Employment from all Texas public institutions of higher learning, dies, becomes Disabled, or attains age 70½.
- Distributions shall otherwise be made in accordance with the terms of the Individual Agreements.

Board of Regents
August 5, 2010

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TEXAS TECH UNIVERSITY SYSTEM

Proposed Plan

The maximum permissible Annual Additions that may be contributed or allocated to each Participant's Account will not exceed the lesser of:

- \$40,000 as adjusted for increases in the cost of living under Section 415(d) of the Code, or
- 100 percent of the Participant's Includible Compensation for the Plan Year

A Participant will be 100% Vested in his Employer Contributions Account the day following twelve (12) cumulative months of actual participation in the Plan.



TEXAS TECH UNIVERSITY SYSTEM

Vendors

Vendors authorized to receive Contributions, Exchanges, and Transfers under the plan:

- AIG Retirement
- Commonwealth Annuity
- Educators Money
- Fidelity Investments
- ING Financial Services
- Lincoln Financial Group
- Metlife Resources
- RS Group Trust Co.
- TIAA-CREF



TEXAS TECH UNIVERSITY SYSTEM

Texas Tech University System Tax-Deferred Account Program Voluntary 403(b) Program

Jim Brunjes, Vice Chancellor and Chief Financial Officer

Board of Regents
August 5, 2010

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TEXAS TECH UNIVERSITY SYSTEM

Tax-Deferred Account Program Overview

- The Internal Revenue Services requires sponsors of a 403(b) to adopt and maintain a written plan that satisfies all requirements and regulations of the IRS
- TTUSA, TTU, and TTUHSC will adopt a single plan
- ASU will adopt their own separate plan with a transition to a single TTUS Plan by May 2010
- The new plan will facilitate compliance with IRS Regulations and provide efficiencies in administration

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August 5, 2010

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TEXAS TECH UNIVERSITY SYSTEM

Proposed Plan

- Each employee shall be eligible to participate in the plan and have elective deferrals or Roth 403(b) contributions made on his or her behalf.
- An employee elects to become a participant by executing a salary reduction agreement to reduce their compensation



TEXAS TECH UNIVERSITY SYSTEM

Proposed Plan

The maximum amount of elective deferral (and/or Roth 403 (b) contributions) permitted under the plan for any calendar year shall not exceed the lesser of the following:

- The applicable dollar amount, which is \$16,500 for 2009, and is adjusted for cost-of-living after 2009.
- The participant's includible compensation for the calendar year



TEXAS TECH UNIVERSITY SYSTEM

Proposed Plan

The plan will include the ability for:

- Special Section 403(b) catch-up limitation for employees with 15 years of service
- Age 50 catch-up elective deferral contributions



TEXAS TECH UNIVERSITY SYSTEM

Vendors

Vendors authorized to receive Contributions,
Exchanges, and Transfers under the plan:

- AIG Retirement
- Commonwealth Annuity
- Educators Money
- Fidelity Investments
- ING Financial Services
- Lincoln Financial Group
- Metlife Resources
- RS Group Trust Co.
- TIAA-CREF

ANGELO STATE UNIVERSITY
UNDERGRADUATE STUDENT ADMISSIONS

EXECUTIVE SUMMARY

The changes recommended will improve the operating procedures by providing guidance as to the standards students must minimally meet in order to earn academic acceptance to the University. Included is the newly designed Achieving Collegiate Excellence ("ACE") Admission Program, which replaces the Alternative Admissions Program. The name change is intended to convey a more positive impression to and about participants. The program has been modified to allow students to enroll in a maximum of 14 SCH during the fall and spring semesters compared to the maximum of 12 under the old program.

Admission standards for Dual Credit/Concurrent Enrollment have been aligned with those for general undergraduate admission. Previous standards for admission under the Dual Credit/Concurrent Enrollment program exceeded those for general undergraduate admission. The new policy allows two levels of admission. The first, Distinguished Enrollment, maintains the requirements of the previous policy; the second, Regular Enrollment, requires that students participating in this program meet the same requirements identified for regular admission by applicants from an accredited Texas high school. The two categories allow ASU to admit more qualified students. Additionally, the two categories correspond to the two options of the newly created CARR scholarships for students admitted under the Dual Credit/Concurrent Enrollment program.



Angelo State University Operating Policy and Procedure

OP 10.01: Undergraduate Student Admissions

DATE: Upon approval by the Board of Regents

PURPOSE: The purpose of this Operating Policy/Procedure (OP) is to outline~~clarify~~ policies concerning undergraduate student admissions.

REVIEW: This OP will be reviewed in April of every even-numbered year by the associate vice president for ~~enrollment management~~ student support and retention and the executive director of ~~enrollment management~~ with recommended revisions ~~recommendations~~ forwarded by June 1 through the vice presidents to the president for approval and submission to the Board of Regents for approval. (*Regents' Rules*, Sections 05.01.2 and 05.02)

POLICY/PROCEDURE

1. HIGH SCHOOL GRADUATE

A graduate from an ~~accredited or unaccredited high school~~ a public or private high school accredited by a generally recognized accrediting agency or from a high school operated by the Department of Defense will be eligible for admission to Angelo State University when the applicant has met all admission requirements and has on file in the Office of Admissions the following items:

- Application for Admission
- Official transcripts of high school records
- Residency Questionnaire
- ~~Scores on the Enhanced American College Test (ACT) or the~~
~~SAT Reasoning Tests (SAT I) (scores cannot be more than five years old)~~
- ~~Scores on Texas Success Initiative (TSI) assessment test or proof of exemption~~
- ~~\$25.00 Current non-refundable a~~Application ~~f~~Fee

Regular Admission

Applicants from an accredited Texas high school must meet one of the following requirements to be eligible for regular admission:

- ~~a. Rank in the top 10% of the senior class at the time of application or graduate in the top 10% of the graduating class.~~
- a. Successfully~~Satisfactorily~~ complete the Texas Scholars Program, Recommended or Advanced High School Program, Distinguished Achievement or other College Preparatory Curriculum and present a minimum composite score on the Enhanced American College Test (ACT) of 16 or a minimum composite score on the SAT Reasoning Tests (SAT I) of 760.
- b. Successfully complete a curriculum from a high school other than public that is equivalent in content and rigor to the Recommended or Advanced High School Program.

- c. Satisfy ACT's College Readiness Benchmarks on the ACT assessment.
- d. Earn an SAT assessment score of at least a 1500 out of 2400, or the equivalent.

Applicants meeting one of the above requirements (a-d) must ~~p~~Present a composite score on the ~~Enhanced American College Test (ACT)~~ or a combined verbal and math score on the SAT Reasoning Tests (SAT I); for the high school class rank shown in the following Ranking Chart below:

High School Class Rank	Test Scores ACT or SAT I
Top 10%	Automatic Admission by HB-588 No Minimum
Next 40%	16 760
3rd Qtr	23 1030
4th Qtr	30 1270

d. ~~In addition to current university requirements for admission, applicants must also have either:~~

- ~~(1) successfully completed the curriculum requirements for the recommended or advanced high school program or its equivalent; or~~
- ~~(2) satisfied ACT's College Readiness Benchmarks on the ACT assessment applicable to the applicant or earned on the SAT assessment a score of at least 1,500 out of 2,400 or the equivalent.~~

~~The above requirement may be satisfied if the applicant's official high school transcript or diploma states that the applicant completed the portion of the recommended or advanced curriculum or its equivalent that was available to the applicant, but was unable to complete the remainder of the curriculum solely because courses necessary to complete the remainder were unavailable to the applicant at the appropriate times in the applicant's high school career as a result of course scheduling, lack of enrollment capacity, or another cause not within the applicant's control.~~

Achieving Collegiate Excellence (ACE) Alternative Admission

~~Applicants~~High School graduates who do not qualify for regular admission and desire to enroll as full-time, degree-seeking students at ASU during the academic year may qualify for alternative admission through the ACE following special program.:

~~Applicants who do not meet the regular admission requirements may be considered for the Alternative Admission Program.~~

~~Applicants must meet one of the standards in 1.a. through 1.d. listed above and not satisfy the minimum ACT or SAT score as listed in the Ranking Chart above. The Undergraduate Admissions Appeals Committee will review applications for consideration into the ACE Alternative Admission Program. In addition to reviewing the student's class rank, standardized test scores, and high school grades and courses, the committee will consider other factors such as leadership activities, community service, talents and awards, extenuating circumstances, and employment/internships.~~

~~Applicants for the Alternative Admission Program must have an official SAT or ACT score on file with the university. The applications considered under the Alternative Admission Program will be on a case-by-case basis. Students admitted may be subject to specific enrollment conditions established by the Undergraduate Admissions Appeals Committee and the Office of Predeclared Advising based on the applicant's individual circumstances. These conditions may include enrolling in prescribed developmental course work, participating in tutoring sessions and other academic support activities, and meeting other conditions designed to promote academic success.~~

Students admitted under this program must register for courses only at ASU while on alternative admission status and must complete 12 to 14 semester credit hours of prescribed course work at ASU during both the fall semester and spring semester. Students admitted to ACE alternatively must earn a GPA on all college level course work attempted at ASU during each semester which is at least equal to that required of regularly admitted students to maintain enrollment in the university as specified in the University Catalog Bulletin. Transfer credits will not be considered in connection with the admission or subsequent status of an ACE alternatively admitted student.

2. GENERAL EQUIVALENCY DIPLOMA (GED)

Individuals who are not high school graduates who have submitted evidence of a high school equivalency diploma from the Texas Education Agency (or equivalent agency in other states) may be eligible for admission to Angelo State University when they have submitted all required items noted in 1. above and must meet one of the following admission requirements and have on file in the Office of Admissions the following items:

Application for Admission

Scores on the Enhanced American College Test (ACT) or the SAT Reasoning Tests (SAT-I) (scores cannot be more than five years old)

High school equivalency diploma from the Texas Education Agency or any other state education agency

Residency Questionnaire

Scores on Texas Success Initiative (TSI) assessment test or proof of exemption

\$25.00 Application Fee

Applicants under this category may be admitted by meeting one of the following requirements:

a. Satisfy ACT's College Readiness Benchmarks on the ACT assessment, or Regular

b. Earn an SAT assessment score of at least a 1500 out of 2400, or the equivalent. Present a composite score of 23 or above on the Enhanced American College Test (ACT) or a combined verbal and math score of 1030 on the SAT Reasoning Tests (SAT-I), or

b. Summer Session

Enroll as a full-time student during a four-week summer term and complete six semester credit hours of prescribed course work at Angelo State University with a grade of C or better in each course.

Students admitted under this category are subject to requirements regarding satisfactory writing, reading, and mathematics skills as imposed on high school graduates based upon their sub scores on the ACT or SAT-I exams, or scores received on the TSI assessment test.

3. DUAL CREDIT/CONCURRENT ENROLLMENT EARLY ADMISSION

High school students who have completed the sophomore or junior year of high school may be eligible for enrollment in certain courses at special admission to Angelo State University under the Dual Credit/Concurrent Enrollment Admission Program (DC/CE) one of the following Early Admission policies. Students approved for DC/CE must be enrolled concurrently in Angelo State University and high school. Enrollment will be limited to no more than seven semester credit hours, or two courses of prescribed work, excluding a physical activity course, during a fall or spring semester or each summer term.

In order to be eligible for admission to Angelo State University under the *Dual Credit/Concurrent Enrollment Admission Program*, high school students must meet the requirements in one of the following categories:

a. Distinguished Enrollment

- (1) Current enrollment in high school courses necessary to complete the curriculum requirements for the Texas Scholars Program, Recommended High School Program, Distinguished Achievement or other College Preparatory Curriculum, and
- (2) Meet TSI exemption requirements with a composite score of 23 or above (19 subscore in English and math) on the ACT or a combined verbal and math score of 1070 (minimum 500 verbal and 500 math subscores) or above on the SAT, or

b. Regular Enrollment

- (1) Current enrollment in high school courses necessary to complete the curriculum requirements for the Texas Scholars Program, Recommended High School Program, Distinguished Achievement or other College Preparatory Curriculum and present a composite score on the ACT or a combined critical reading and mathematics score on the SAT, for the high school class rank shown below:

<u>High School</u>	<u>Test Scores</u>	
<u>Class Rank</u>	<u>ACT or SAT</u>	
Top 10%	No Minimum	
Next 40%	16	760
3rd Qtr.	23	1030
4th Qtr.	30	1270

- (2) A score of 17 or greater on the English section of the ACT or 430 or greater on the critical reading component of the SAT; and
- (3) A score of 18 or greater on the mathematics section of the ACT or 430 or greater on the mathematics component of the SAT.

A high school student will be eligible for admission to Angelo State University under the *Dual Credit/Concurrent Enrollment Admission Program* when the applicant has met all admission requirements and has on file in the Office of Admissions the following items:

- Dual Credit/Concurrent Enrollment Application for Admission
- Official transcripts of high school records
- Official scores on the ACT or the SAT (scores no more than five years old)
- Passing scores on a Texas Success Initiative (TSI) assessment test or proof of exemption
- Dual Credit/Concurrent Enrollment agreement form
- Current non-refundable application fee

Students granted enrollment to take academic courses under the *Dual Credit/Concurrent Enrollment Admission Program* will not be considered as having officially been admitted to, nor matriculated at, Angelo State University until they graduate from high school and enroll in the university as regular students.

a. ~~Summer School Early Admission for High School Seniors~~

- ~~— High school students who have completed their junior year of high school may be eligible for admission to Angelo State University for the full summer session or for either of the summer terms under the Summer School Early Admission Policy. Students approved for admission under this policy may enroll in course work on the campus of Angelo State University.~~
- ~~— Enrollment under the Summer School Early Admission Policy will be limited to no more than seven semester credit hours, or two courses of prescribed work, excluding a physical activity course, during a summer term.~~

b. ~~Academic Year Early Admission for High School Juniors or Seniors~~

~~High school students who have completed the sophomore or junior year of high school may be eligible for admission to Angelo State University for a fall or spring semester under the Academic Year Early Admission Policy. Students approved for admission under this policy must be enrolled concurrently in Angelo State University and high school. Enrollment under the Academic Year Early Admission Policy will be limited to no more than seven semester credit hours, or two courses of prescribed work, excluding a physical activity course, during a fall or spring semester.~~

~~In order to be eligible for admission to Angelo State University under the Summer School Early Admission Policy or the Academic Year Early Admission Policy, high school students must meet the following admission requirements:~~

- ~~a. Rank in the upper 25 percent of the high school class, and~~
- ~~b. Meet TSI exemption requirements with a composite score of 23 or above (19 subscore in English and math) on the Enhanced American College Test (ACT) or a combined verbal and math score of 1070 (minimum 500 verbal and 500 math subscores) or above on the SAT Reasoning Tests (SAT I), and~~
- ~~c. Letter of recommendation from high school guidance counselor~~

~~High school students will be eligible for admission to Angelo State University under the Summer School Early Admission Policy or the Academic Year Early Admission Policy when they have met all admission requirements and have on file in the Office of Admissions the following items:~~

- ~~Application for Early Admission~~
- ~~Official transcript of high school records, to include current class rank~~
- ~~Official Scores on the Enhanced American College Test (ACT) or the SAT Reasoning Tests (SAT I) (scores cannot be more than five years old)~~
- ~~Proof of TSI exemption~~
- ~~Letter of recommendation from high school guidance counselor~~
- ~~Residency Questionnaire~~
- ~~\$25.00 Application Fee~~

~~Students granted early admission to take academic courses under the Summer School Early Admission Policy or the Academic Year Early Admission Policy will not be considered as having officially matriculated at Angelo State University until they graduate from high school and enroll in the university as regular students.~~

Upon graduation from high school, students must provide the Office of Admissions with an official final high school transcript. Once received, an official ASU transcript may be requested.

4. TRANSFER FROM ANOTHER COLLEGE OR UNIVERSITY

Transfer students transferring from an accredited college or university will be eligible for admission to Angelo State University when they have met all admission requirements and have on file in the Office of Admissions the following items:

- Application for Admission
 - Official transcripts of all college or university work*
 - Residency Questionnaire
 - Transfer Admission Agreement (if currently enrolled at another college or university)
- Scores on Texas Success Initiative (TSI) assessment test or proof of exemption
\$25.00 Current non-refundable Application Fee

- a. Transfer students from an accredited college or university who are not on disciplinary probation or suspension may be admitted if their cumulative grade point average on all college level work attempted meets the following criteria and the other designated requirements:

Total College Level Semester Credit Hours Attempted	Minimum Cumulative Grade Point Average and Other Requirements
1-17	2.00 and meet admission criteria for regular admission for high school graduates as listed under No. 1
18 or more	2.00

Students who are on academic suspension at any the last institution attended are ineligible for admission to Angelo State University until the period and terms of the suspension have been satisfied and the above criteria have been met.

- b. Transfer students will be subject to the same Special Requirements regarding developmental course work in English/writing/reading and/or mathematics as required for high school graduates if their ACT or SAT+ scores, their performance on the Texas Success Initiative (TSI) assessment test or on proficiency tests administered by the university, or their performance in subsequent course work at Angelo State University ASU indicates a deficiency in English and/or mathematics skills. Angelo State University will not accept transfer credit for developmental courses.
- c. Graduates from an accredited Texas public two-year college with the associate degree will be admitted to Angelo State University without reservation once official transcripts have been received in the Office of Admissions. However, a maximum of sixty-six semester credit hours of college level academic course work may be applied towards a bachelor's degree at ASU.

* Students meeting all admission requirements who are currently enrolled in another college or university and are unable to provide current transcripts of all previous work may petition for tentative admission to the Office of Admissions. It is the responsibility of the students to provide the official transcript to the Office of Admissions or be subject to forced withdrawal.

- d. When calculating a transfer grade point average, grades of *A*, *B*, *C*, *D*, and *F* are computed as recorded. Grades of *WF* are averaged as *F*. When a course has been repeated, the last grade stands and is used for GPA calculations. Grades in non-transferable, developmental, and technical/vocational courses are disregarded.

Transient Admissions

Applicants who have completed college work and are working toward a degree at another college or university are eligible to be considered for transient admission. Transient admission is for the summer only. Applicants who desire to register only for a summer session may be considered for enrollment without regard to the provisions of (a) above, but must not be on academic or disciplinary probation or suspension from another institution.

5. READMISSION OF FORMER ASU STUDENTS

All former undergraduate Angelo State University students who did not attend one long semester (fall or spring) must re-apply for undergraduate admission in order to re-enter ASU. They must submit an application for undergraduate admission and the current non-refundable application fee.

Former ASU students who attend another college or university must submit an application for undergraduate admission, the current non-refundable undergraduate application fee, and official transcripts of all college or university course work since last enrollment at ASU. The cumulative grade point average of all official transcripts since last attendance at ASU must be a minimum 2.00.

Students who leave ASU on scholastic probation may be re-admitted on scholastic probation. If a student was suspended from ASU, he or she may return on probationary status after complying with the suspension requirements.

6. OTHER PROVISIONS AND CONDITIONS OF ADMISSION

All other provisions and conditions of admission not covered by the above admission requirements, including but not limited to early admission for high school students, international student admissions, consideration of the content of the high school or college curriculum pursued by the applicant, and special admission on an individual basis, shall be established by the president of the university.

67. APPEALS

The policies and procedures for considering appeals shall be established by the vice president for student affairs and enrollment management~~provost and vice president for academic and student affairs~~, subject to the approval of the president of the university, and the university's decision in all such cases shall be final.



Angelo State University Operating Policy and Procedure

OP 42.01: Admission to the College of Graduate Studies

DATE: Upon approval, effective 2010 fall semester~~October 23, 2009, effective 2010 spring semester~~

PURPOSE: The purpose of this Operating Policy/Procedure (OP) is to specify the process by which students are admitted to graduate study at Angelo State University.

REVIEW: This OP will be reviewed by the graduate dean in September of each odd-numbered year with recommended revisions forwarded through the Graduate Council to the pProvost and vVice pPresident for aAcademic and Student aAffairs by October 1. This policy may be amended only by action of the Board of Regents of the Texas Tech University System.

POLICY/PROCEDURE

1. General Requirements

Angelo State University strives to admit students to graduate study who show promise of succeeding in a rigorous academic environment. This promise is generally demonstrated through superior academic performance, as measured by undergraduate grade point average (GPA) and/or standardized test scores (i.e., GRE, or GMAT) as determined by individual program requirements. Applicants who have distinguished themselves in curricular and extracurricular undertakings, have exhibited exceptional leadership abilities, or have demonstrated special skills or talents related to the area in which they intend to pursue their studies may also be worthy of consideration for admission.

Admission as either a degree-seeking or ~~as a~~ non-degree seeking student is granted by the dDean of the College of Graduate Studies upon the recommendation of the department of proposed study. Only students who have submitted completed applications will generally~~normally~~ be considered for admission. A completed application consists of the following:

- a. Graduate Application for Admission form;
- b. Residency Questionnaire;
- c. Current\$40 application fee (non-refundable); current\$50 application fee (US Currency non-refundable) for iInternational aApplicants;
- d. Official Graduate Record Exam (GRE) score for individual programs that require the GRE as determined by the College of Graduate Studies~~all programs except for the counseling psychology and applied psychology programs in the department of Psychology, Sociology and Social Work, and all programs in the departments of Nursing, Teacher Education and Curriculum & Instruction~~. Official Graduate Management Admission Test (GMAT) score is required if the applicant is applying to a Master of Business Administration (MBA) or Master of Professional Accountancy (MPAc) program. To be considered official, GRE/GMAT scores must be mailed directly to the ASU College of Graduate Studies from the Educational Testing Service.
- e. Official transcripts from all colleges or universities attended (except Angelo State University). ~~To be considered official, the transcript must be mailed from the issuing university's registrar's office~~

directly to the ASU College of Graduate Studies. Transcripts that are hand-carried or mailed by the student will not be accepted as official, but may be used for evaluation purposes. All college/university transcripts that are received by mail from the sending college/university are considered "official." All college/university transcripts that are sealed in the sending college/university envelope and hand-carried or mailed by the student are considered "official."

- f. ~~(Degree-seeking students only) who are applying to a program requiring an essay must submit a~~ An essay of no more than 500 words (typed in 12-point type with one inch margins). The essay should ~~which describes your the applicant's~~ educational plans, career objectives, commitment to ~~theyour~~ particular field of study, any research experience, ~~the applicant's your~~ view of research and possible research interests, and personal goals. The essay may also address any of the following factors which are qualities that will be acknowledged in the admission process:

- socioeconomic history
- family background (including level of educational attainment)
- personal talents, leadership capabilities, community service

Transcripts must include certification of a completed baccalaureate or higher degree from a college or university with substantially similar degree requirements as those of Angelo State University. International students, and applicants for whom English is not their first language, must also include official scores from the Test of English as a Foreign Language (TOEFL) or the International English Language Testing System (IELTS) taken within the last two years, and the international student application forms. The minimum TOEFL score is 550, with at least 55 on each of the three parts (paper and pencil test); 213, with at least 17 on each of the three parts (computer-based test), or 80, with at least 20 on each of the four parts of the TOEFL Internet-Based Test (iBT). ~~for all programs except Physical Therapy, however, which requires a 600 (paper and pencil test) or 250 (computer-based test-).~~ A score of 7 is required on the Academic Module of the IELTSets (International Language Testing System).

2. Admission to the College of Graduate Studies as Degree-Seeking Student

The process for determining admission as a degree-seeking student is as follows:

- a. The completed application is sent to the department of proposed study for an admission recommendation. The department looks at the following factors:
- Completion of the undergraduate prerequisites for the intended program, as indicated below.
 - The applicant's previous academic record, which may include overall GPA, last 60 hour GPA, GPA in the major or in the prerequisite courses, and/or GPA in any relevant graduate work. GPAs are computed on all course work taken including all grades on repeated courses.
 - The applicant's GRE/GMAT test score, if required for the program.
 - The essay, if required for the program.
 - Other criteria, as defined by the program.
- b. Applicants who submit a satisfactory essay, if required for the program, have at least a 2.5 overall undergraduate GPA or 3.0 in the last 60 semester hours, and who meet the program's formula (and in some programs, additional criteria) as indicated below will ~~generally normally~~ be awarded Regular aAdmission, resources permitting. Applicants who fall slightly below the formula or GPA standards may be considered for pProvisional aAdmission ~~based on factors from the essay~~. If the department of proposed study deems the applicant shows promise of succeeding in the program, ~~based on these additional factors~~, pProvisional aAdmission, with conditions, may be granted. No applicant who has a cumulative grade point average below 2.00 (computed from all

~~undergraduate grades, including multiple grades for courses taken more than once) will be granted admission, whether Regular or Provisional.~~

- c. Applicants who have not completed all prerequisites may be required to complete them before being considered for admission. In some programs, applicants may be admitted if they lack some prerequisites, but will be required to make up these undergraduate courses (leveling work) within the first year of their graduate enrollment.
- d. Students who have not submitted GRE/GMAT scores to programs that require standardized tests but who have an undergraduate GPA of 3.00 or better (4-point scale) in the total undergraduate record, including all grades on repeated courses, may be granted provisional admission to programs that require standardized tests, with the approval of the graduate faculty in the applicant's major department and the ~~g~~Graduate ~~d~~Dean. These applicants must provide satisfactory GRE/GMAT scores before the end of their first semester of enrollment. Provisional admission does not guarantee regular admission. When the test score is received, the student's application file will be reviewed by the applicant's major department for an admission decision recommendation. Students who do not satisfy the grade point criteria listed above and have not submitted GRE/GMAT scores to programs that require standardized tests will not be considered for admission until the appropriate test scores are received by the College of Graduate Studies~~Office~~.
- e. Applicants who are given provisional admission will be on academic probation, with the requirement that they maintain at least a 3.00 cumulative grade point average in all graduate-level work until they have completed at least nine semester hours of graduate-level work in a degree program at Angelo State University. Failure to maintain the requisite 3.00 may result in dismissal from the graduate program in which the student has been studying.
- f. Offer of Admission: Only written notice from the ~~d~~Dean of the College of Graduate Studies constitutes approval of admission.
- g. Graduate students who do not attend classes for one year must re-apply for admission



Angelo State University

Operating Policy and Procedure

OP 10.17: International Undergraduate Student Admissions

DATE: Upon approval

PURPOSE: The purpose of this Operating Policy/Procedure (OP) is to clarify policies concerning international student admissions.

REVIEW: This OP will be reviewed in June of even-numbered years by the director of the Center for International Studies with recommended revisions forwarded through the vice presidents to the president by July 15.

APPROVAL: This policy requires approval by the TTUS Board of Regents.
(*Regents' Rules 05.01.2*)

POLICY/PROCEDURE

Admission of International Undergraduate Students

1. Graduates of foreign secondary schools who have completed the equivalent of at least an American high school diploma (12th grade) may apply for admission to Angelo State University by writing to the Center for International Studies and submitting the online application at <https://www.applytexas.org>. The mailing address for the Center for International Studies is ASU Station #11035, San Angelo, TX 76909-1035, and the website address is <http://www.angelo.edu/dept/cis/index.html>.
2. Applicants with foreign academic credentials must provide academic records in the original language with a certified English translation. Applicants who have attended school outside the United States must provide official results of secondary external examinations (e.g., GCE "Ordinary" level exams) on examination board letterhead, certificates of completion of a state secondary school examination, and official transcripts from any university-level studies already completed in the United States or elsewhere. Failure to provide complete information regarding post-secondary level study could result in cancellation of admission. Students who have completed secondary school in the United States also must take and submit scores from the SAT or ACT.
3. Students whose native language is not English also must present a score of at least 550 (paper-based exam), 213 (computer-based exam), or 79 (internet-based exam) on the Test of English as a Foreign Language (TOEFL). Or students may present a score of at least 6.5 on the International English Language Testing System (IELTS). The TOEFL/IELTS requirement may be waived if the student has attended a U.S. high school or college for at least two consecutive years or if the student is a citizen in a country where English is the native language. Angelo State University will make the final decision regarding approved English speaking countries. A list of approved English speaking countries is on file in the Center for International Studies. Information concerning the TOEFL may be obtained from Educational Testing Service, P.O. Box 899, Princeton, New Jersey 08540, U.S.A. Information

concerning the IELTS may be obtained from IELTS International, 825 Colorado Boulevard, Suite 112, Los Angeles, California 90041, U.S.A.

4. International students not living in the United States are encouraged to apply a year in advance. International students are required to verify their ability to support themselves financially. A minimum of \$24,500 for the academic year, in addition to travel money, is necessary; this amount is subject to change if tuition, fees, or room and board charges are modified.

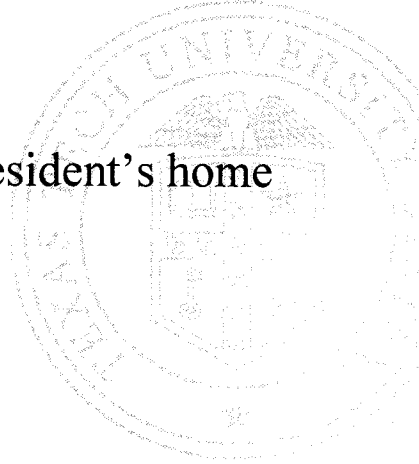
A nonrefundable application fee is required. An International Money Order or U.S. Postal Money Order for the current application fee must accompany the international student application. Students who apply on-line through the Texas Common Application may pay the current application fee with a credit card at the time of application.

Angelo State University

Item 1

Approve naming the president's home

Dr. Joseph Rallo



Angelo State University

Item 1

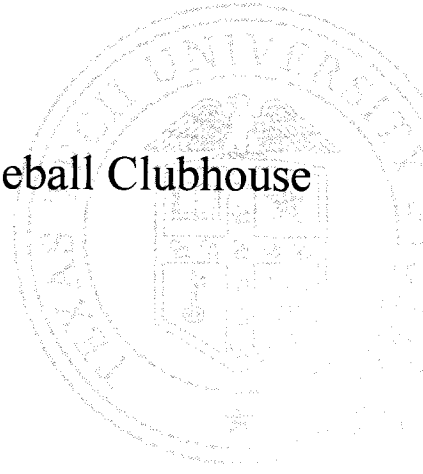
- May 1970 - Victor P. and Ergeal B. Tippet deeded their home to Angelo State University
- Recommend naming the residence the "***Tippett House***" in honor and recognition of Mr. and Mrs. Tippet's significant gift to ASU



Angelo State University

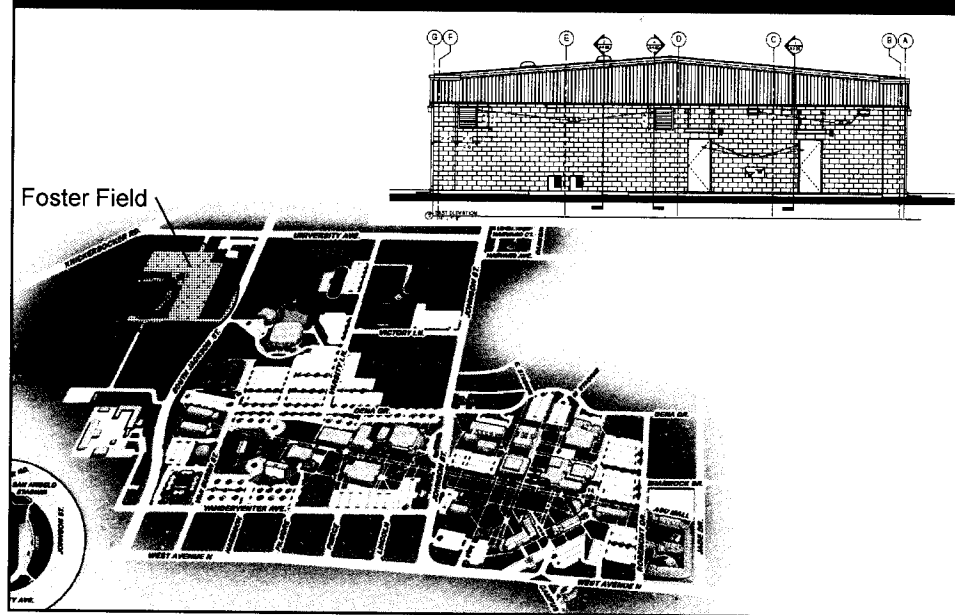
Item 2

Approve naming of Baseball Clubhouse *Dr. Joseph Rallo*



Baseball Clubhouse

Item 2



Item 2

Angelo State University

- Construction of new 5,200 GSF baseball clubhouse scheduled to open in late September includes:
 - Locker room and players' lounge
 - Indoor batting cages
 - Coaches' offices
 - Restrooms
- Mr. and Mrs. Lloyd Norris have pledged \$265,000 to finance the new facility
- Recommend naming the new facility the ***"Norris Baseball Clubhouse"*** in honor and recognition of Mr. and Mrs. Norris' significant gift to ASU

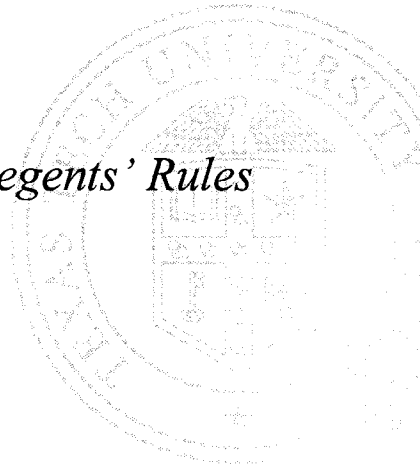


Angelo State University

Item 3

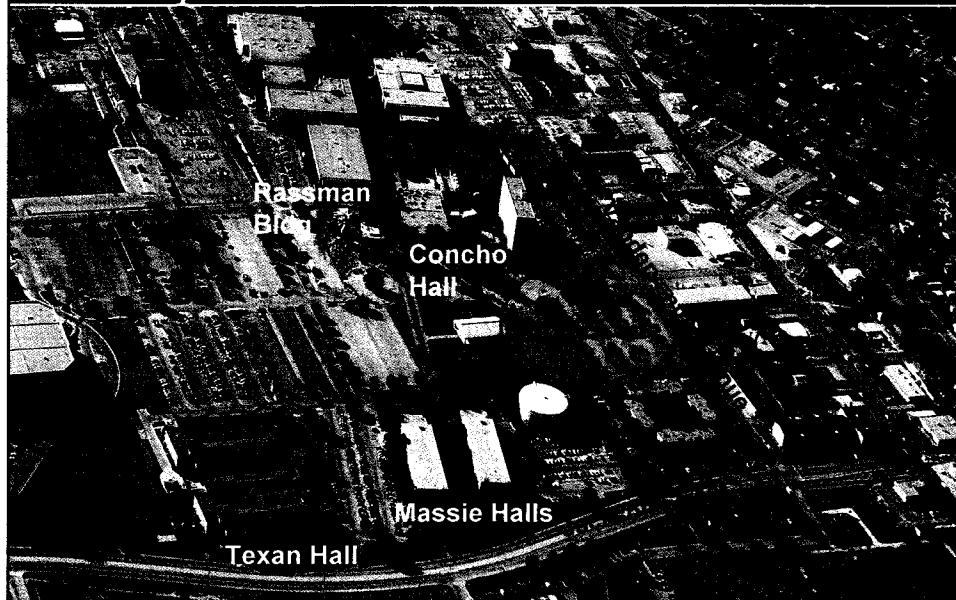
Approve exception to *Regents' Rules*

Michael S. Molina



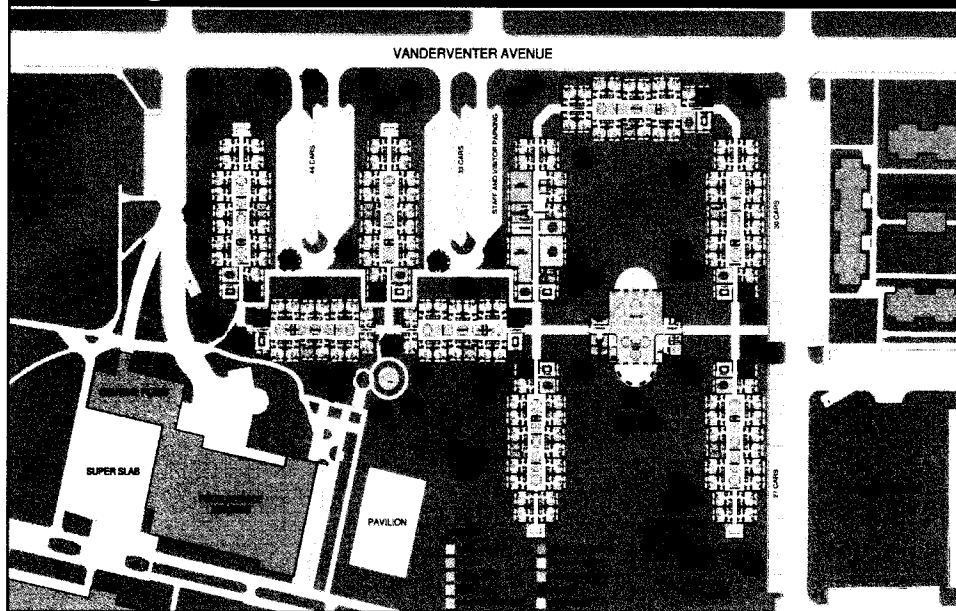
Angelo State University *Campus Aerial Photo*

Item 3



Residence Hall Complex- Phase I & II *Proposed Site Plan*

Item 3



Angelo State University

Item 3

- Waive requirement to use an Owner's Representative
 - Qualified ASU and TTUS staff available
 - Four repetitive building types
 - Anticipated savings of \$600,000
- Recommend approve exception to Section 08.01.3.e., *Regents' Rules*, Construction Program, to waive the requirement for Owner's Representative services for the Multi-Phase Residence Hall Complex

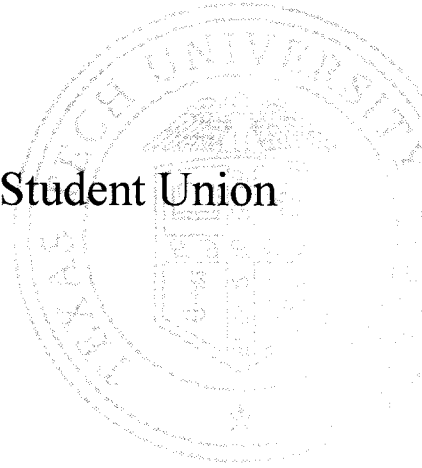


Item 4

Texas Tech University

Approve naming of the Student Union Building's Atrium

Dr. Kelly Overley



Item 4

Texas Tech University

- Recommend naming the Student Union Building's atrium the "***Foy and Hahl Proctor Atrium***" in honor and recognition of Mr. Proctor's significant support to TTU



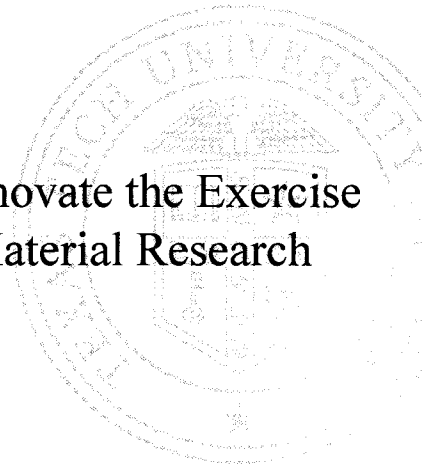
Item 6

Texas Tech University

Approve a project to renovate the Exercise Science Center into a Material Research Building

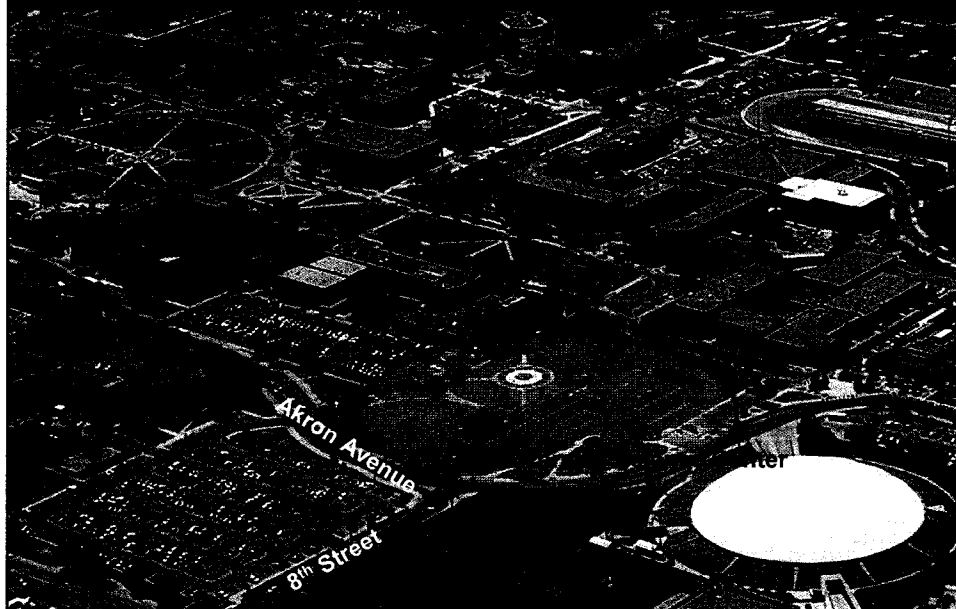
Michael S. Molina

Dr. Taylor Eighmy



Item 6

Texas Tech University



Texas Tech University

Item 6

- Strategic research hire to fill the Donovan Maddox Distinguished Engineering Chair in Solar Energy
- Renovate the 9,850 SF gymnasium within the Exercise Science Center building to support the research team; space reconfigured to include:
 - Wet Chemistry Labs
 - Optical Labs
 - Gas Chromatographs
 - Sputtering Room
 - Glove Box Space
 - Offices and Support Space
 - Landscape Enhancements and Public Art



Total Project Budget

Item 6

Total Project Budget	\$ 2,900,000
Construction	\$ 2,253,330
Professional Services	\$ 82,500
FF&E	\$ 230,000
Administrative Costs	\$ 28,300
BOR Directed Fees	\$ 205,532
Contingency	\$ 100,338



Schedule

Item 6

- Start Construction September 2010
- Substantial Completion December 31, 2010
- Final Completion January 31, 2011



Recommendation

Item 6

- Approve a project to renovate the gymnasium of the Exercise Science Center into a Materials Research Building with a project budget of \$2,900,000 funded through the Revenue Finance System repaid with Higher Education Assistance Funds (“HEAF”)

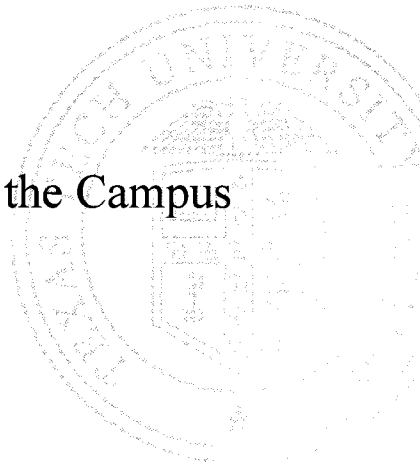


Item 8

Texas Tech University

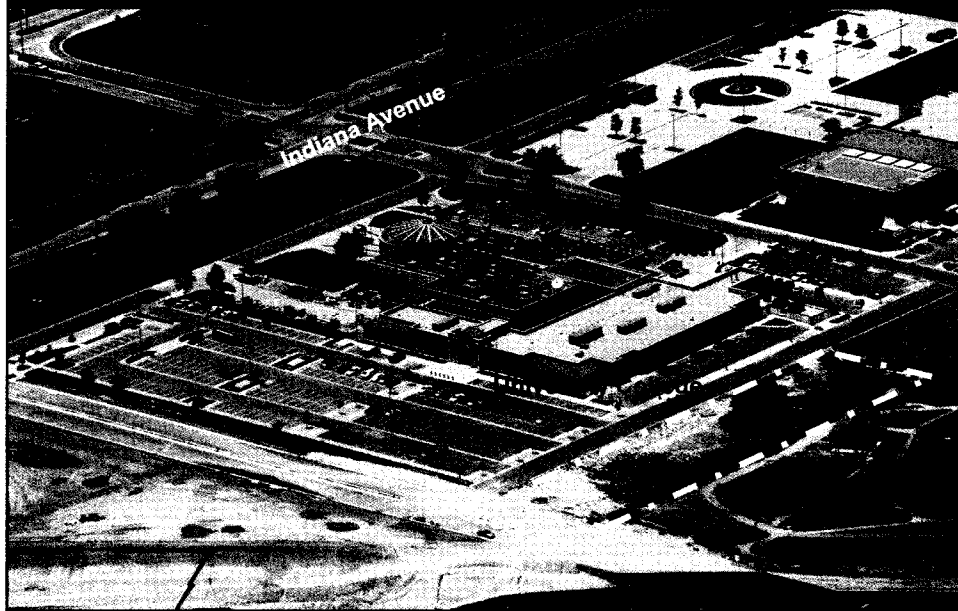
Approve amendment to the Campus Master Plan

Michael S. Molina



Item 8

Texas Tech University



Texas Tech University

Item 8



Recommendation

Item 8

- Approve an amendment to the Campus Land Use Plan, a component of the Campus Master Plan document for the allocation of two pieces of land on either side of the National Ranching Heritage Center's Proctor Park totaling approximately 2.27 acres of land

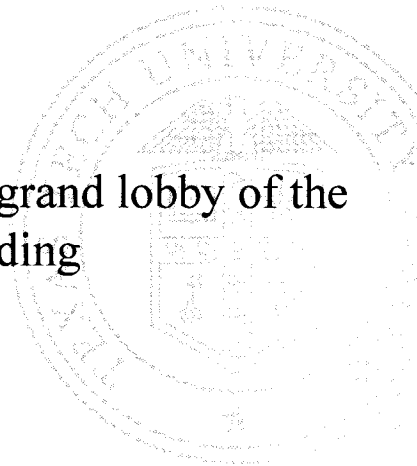


Texas Tech University Health Sciences Center

Item 9

Approve naming of the grand lobby of the Medical Education Building

Dr. Kelly Overley



Paul L. Foster School *of* Medicine

Item 9

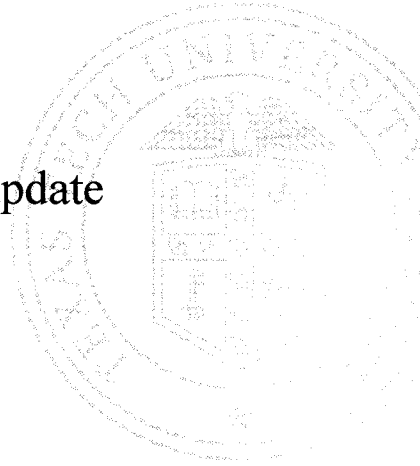
- Mr. & Mrs. J.O. Stewart donated the land, valued at \$1,396,000, for the establishment of the new campus for the Paul L. Foster School *of* Medicine in El Paso
- Recommend naming the grand lobby of the Medical Education Building the "***J.O. and Marlene Stewart Grand Lobby***"



Item 10

Angelo State University

Report on master plan update
Dr. Joseph Rallo



ANGELO STATE UNIVERSITY



ANGELO STATE UNIVERSITY

Centennial Master Plan Update

August 5, 2010

ANGELO STATE UNIVERSITY

Centennial Master Plan Status

The initial scope of work was to answer these questions -

- What should we do with our current facilities given campus growth?
- What new facilities should be built or renovated and when based on campus growth?
- Ensure that ASU's plan is consistent with strategic facilities planning at TTUS.

ANGELO STATE UNIVERSITY

The scope of the plan has been modified to include the following -

- Housing Study – What type of units should be developed on campus and what initiates new development?
- Campus Public Art Master Plan – ASU would like to develop public art and landscape enhancements in conjunction with the Campus Master Plan. We are in the beginning stages to hire a consultant to work with the master planners, Facility Programming and Consulting, San Antonio, and Ford, Powell and Carson, Architects and Planners, Inc., San Antonio.

ANGELO STATE UNIVERSITY

Status of the Master Plan -

- The housing study is 75% complete. The consultants have completed surveys of competition and have done a study of the types of housing units that our competition has. They are also looking at new housing models on other campuses to see what direction housing is heading on a state and national level.
- The master planners have met with nearly all department heads to discuss programs, space, and changes they envision in the future.
- ASU has provided the planners with data that they requested. This includes:
 - the mission and vision for the campus
 - specific programs targeted for growth
 - space inventory
 - space utilization
 - research programs
 - new programs and new initiatives
 - land use and surveys
 - demographic study

ANGELO STATE UNIVERSITY

Facility Programming and Consulting in conjunction with Ford, Powell and Carson, Architects and Planners, Inc. are working on the following -

- Analysis of our data. They have prepared a report of the space utilization and our space utilization team is verifying their results. If there is a difference, we will be comparing the two areas to see where the difference lies.
- Next steps for facilities will be based on utilization, program growth, and enrollment increases.
- Working with the Public Art Committee to hire a planner for the Public Art part of the project. The committee is reviewing the applicants to see who they want to hire.
- Completion of Housing Study.

ANGELO STATE UNIVERSITY

Next Steps -

- Meet with the planning committee to discuss campus utilization. Discuss when facilities will be needed and what will initiate the need. Begin the planning process for when and where new facilities will be constructed.
- Incorporate housing study results into the overall campus master plan - define when and what type of housing units should be constructed.
- Hire art planner and begin meeting with Public Art Committee.

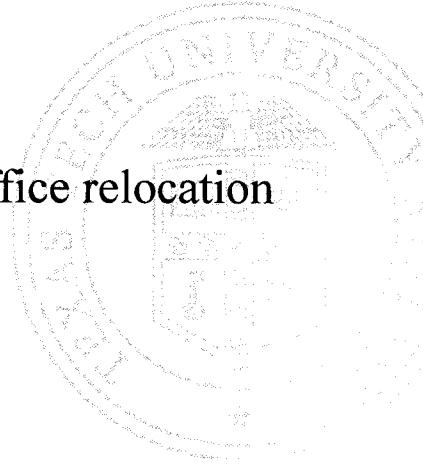
Texas Tech University System Administration

Item 11

Report on the system office relocation

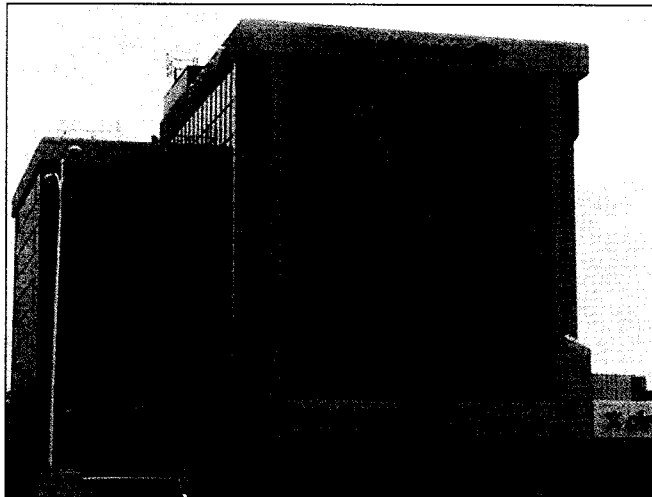
Michael S. Molina

Theresa Drewell



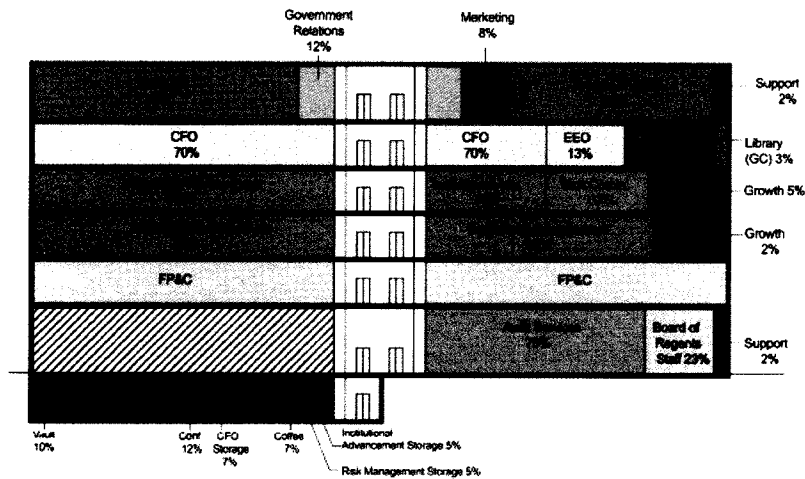
Texas Tech Plaza

Item 11



System Office Relocation

Item 11



Bank Remains; BOR Continues to Meet in SUB; Limited Growth



Schedule - Best Case Scenario

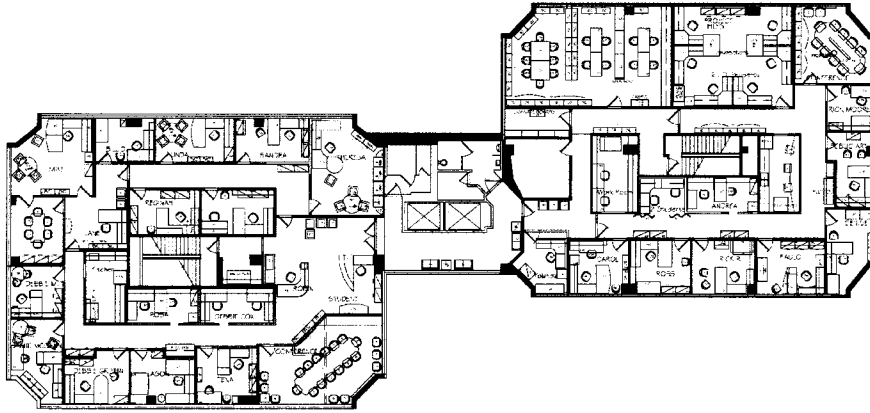
Item 11

Funded	Start Design of Complete Building	August 2009
	Relocate TTU Offices Off 2 nd Floor	January 2010
	Start 2 nd Floor Renovation & Fire Suppression System	February 3, 2010
	Relocate FP&C to Texas Tech Plaza	May 17, 2010
	Plan/Design 3 rd & 4 th Floor Renovations	August 2010
	Start 3 rd & 4 th Floor Renovations (5 th Floor Swing Space)	January 2011
Unfunded	Plan/Design 5 th Floor Renovation	May 2011
	Complete 3 rd & 4 th Floor Renovations	August 2011
	Relocate Institutional Advancement	September 2011
	Start 5 th Floor Renovation	October 2011
	Start 1 st Floor – East Wing Renovation	November 2011
	Relocate Skyviews Restaurant	December 2011
	Complete 5 th Floor Renovation	January 2012
	Complete 1 st Floor – East Wing Renovation	February 2012
	Start / Complete 6 th Floor Renovation	TBD



Item 11

FP&C Office Relocation

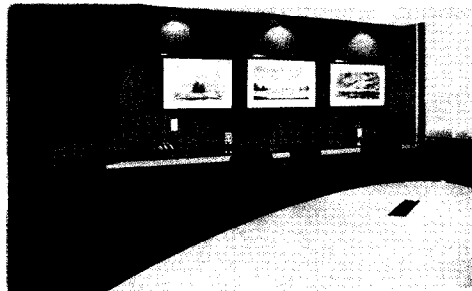


Second Floor Plan



Item 11

FP&C Office Relocation



Item 11

Initial Project Budget

Initial Project Budget*	\$ 2,000,000
Construction	
Second Floor	\$ 408,659
Infrastructure	\$ 119,653
Professional Services	\$ 253,931
FF&E	\$ 77,685
Administrative Costs	\$ 6,521
BOR Directed Fees	\$ 135,600
Contingency	\$ 997,951

**Projected Total Project Budget \$6,500,000*





TTUS Design & Construction Review

Under Construction

August 2010

www.fpc.ttu.edu

<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
TTU			
Jones AT&T Stadium North End Zone Expansion	\$ 7,300,000	Under Construction	September 2009 / TBD
Rawls College of Business Administration	\$ 67,800,000	Under Construction	September 2011
Jones AT&T Stadium East Expansion	\$ 34,630,000	Under Construction	August 2010/December 2010
Business Administration Building Renovations	\$ 25,000,000	Under Construction	July 2012
Scholarship Donor Walk-Phase 2	\$ 50,000	Under Construction	March 2010
Art 3D Annex Finish Out	\$ 3,800,000	Under Construction	February 2011
System Office Relocation (2nd Floor)	\$ 2,000,000	Substantially Complete	August 2010
TTU Total	\$ 140,580,000		
ASU			
Library IT Commons Renovation	\$ 4,380,000	Under Construction	August 2010
Rec/Wellness/CHP Expansion	\$ 7,000,000	Under Construction	December 2010
ASU Total	\$ 11,380,000		
HSC			
Lubbock Simulation Center	\$ 6,500,000	Substantially Complete	July 2010
Lubbock Cancer Research Labs	\$ 3,200,000	Under Construction	October 2010
HSC Total	\$ 9,700,000		
Under Construction Grand Total.....	\$ 161,660,000		



TTUS Design & Construction Review

In Design
August 2010
www.fpc.ttu.edu

		<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
TTU		Architecture Building LifeSafety Upgrade	\$ 7,400,000	On Hold	TBD
		Biology Building LifeSafety Upgrade	\$ 8,300,000	On Hold	TBD
		Experimental Science Bldg Finish Out	\$ 15,000,000	Design In Progress	TBD
		Admin Bldg Improvements	\$ 3,850,000	Design In Progress	February 2011
		System Office Relocation	\$ 4,500,000	Design In Progress	TBD
		NRHC North Entrance Addition	\$ 1,833,000	GC Selected	TBD
		The Rawls Course Clubhouse	\$ 3,700,000	Design Complete	TBD
		Autism Center	\$ 10,000,000	RFQ-Design Professional	TBD
		Campus Chapel	\$ 3,000,000	Design In Progress	TBD
		TTU Total	\$ 57,583,000		
ASU		Mixed-Use Development	\$ TBD	Pre-Development	TBD
		Abate/Demolish Concho Hall	\$ 2,500,000	RFQ-Design Professional	TBD
		Plaza Verde Phase I (Design Phase 1 & 2 / Construct Phase I)	\$ 35,000,000	Design in Progress	September 2011
		ASU Total	\$ 37,500,000		
HSC		HSC Total	\$ -		
		In Design Grand Total.....	\$ 95,083,000		



TTUS Design & Construction Review Future August 2010 www.fpc.ttu.edu

TTU		Project	Cost	Status	Completion Date
		Experimental Sciences Building II	\$ 87,750,000	Proposed	TBD
		Engineering Expansion/Renovation Phase II	\$ 90,000,000	Proposed	TBD
		Plant & Soil Sciences Building	\$ 25,000,000	Program Complete	TBD
		Renovate Exercise Science Building	\$ TBD	Proposed	TBD
		Printech Building Renovation	\$ TBD	Proposed	TBD
		Dairy Barn Renovation	\$ TBD	Proposed	TBD
		Vietnam Center	\$ TBD	Proposed	TBD
		TTU Total	\$ 115,000,000		
ASU		The College of Nursing and Allied Health	\$ 16,200,000	Proposed	TBD
		Plaza Verde - Phase II	\$ 30,000,000	Proposed	TBD
		ASU Total	\$ 46,200,000		
HSC		Lubbock Education, Research & Technology Renov	\$ 45,000,000	Proposed	TBD
		El Paso Medical Science Building II	\$ 65,000,000	Proposed	TBD
		El Paso Clinical Sciences Building	\$ 30,000,000	Proposed	TBD
		Permian Basin Medical Education Facility	\$ 18,900,000	Proposed	TBD
		Panhandle Clinical/Hospital Simulation Center	\$ 16,500,000	Proposed	TBD
		Abilene School of Nursing	\$ 12,000,000	Proposed	TBD
		Amarillo Laura W. Bush Institute Renovations	\$ 12,800,000	Proposed	TBD
		HSC Total	\$ 200,200,000		
		Future Grand Total.....	\$ 361,400,000		



TEXAS TECH UNIVERSITY SYSTEM
Facilities Planning and Construction

TTUS Design & Construction Review

Completed
August 2010
www.fpc.ttu.edu

Project	Cost	Status	Completion Date
Softball Team Facility	\$ 3,000,000	Complete	February 2010
Pulse Power Lab	\$ 1,500,000	Complete	October 2009/February 2010
Soccer Team Facility	\$ 4,080,000	Complete	September 2009
Rawls CoBA Tunnel Project	\$ 1,700,000	Complete	October 2009
Horn/Knapp Window Replacement	\$ 2,500,000	Complete	November 2009
Memorial Circle Utility Tunnel Replacement	\$ 3,887,819	Complete	August 2009
Student Leisure Pool	\$ 8,250,000	Complete	May 2009
SPICE Chess Garden	\$ 71,000	Complete	July 2009
Thompson Gaston Demolition	\$ 2,200,000	Complete	March 2009
Engineering Expansion/Renovation Phase I	\$ 10,000,000	Complete	March 2009
Track Renovation/Relocation	\$ 4,000,000	Complete	May 2009
Softball Field Improvements	\$ 1,000,000	Complete	March 2009
Art 3D Annex Ceramics/Klin Yard	\$ 1,556,937	Complete	October 2008
High Performance Research Computer Facility	\$ 1,800,000	Complete	September 2008
Sneed/Bledsoe HVAC Upgrade	\$ 6,000,000	Complete	August 2008
Bledsoe Window Replacement	\$ 1,000,000	Complete	August 2008
4th Street Sewer Upgrade	\$ 560,000	Complete	October 2008
Mark & Becky Lanier Prof. Development Center	\$ 13,665,000	Complete	April 2008
NCAA Soccer Complex	\$ 1,998,000	Complete	August 2007
Art 3-D Annex	\$ 8,603,315	Complete	September 2007
Outreach & Extended Studies Building	\$ 8,000,000	Complete	October 2007
Softball Field Repairs	\$ 509,055	Complete	September 2007
Discovery Mall	\$ 1,167,698	Complete	July 2007
Student Wellness Center	\$ 9,229,767	Complete	March 2007
CDRC / CSAR	\$ 8,126,506	Complete	October 2006
Scholarship Donor Recognition Walk	\$ 225,000	Complete	November 2006
Sneed/Gordon/Bledsoe LifeSafety Upgrades	\$ 5,792,000	Complete	September 2006
Jones AT&T Stadium Field Improvements	\$ 2,860,000	Complete	August 2006
Student Union Building Phase II B	\$ 6,034,070	Complete	November 2006
Student Union Building Phase III	\$ 1,299,043	Complete	July 2006
NRHC - Christine DeVitt Wing	\$ 3,278,509	Complete	June 2006

Experimental Sciences Building	\$	36,702,120	Complete	March 2006
Texas Tech Parkway	\$	9,222,073	Complete	February 2006
Grover E. Murray Residence Hall	\$	24,613,235	Complete	January 2006
Animal and Food Sciences Building	\$	16,809,505	Complete	February 2006
Wall/Gates LifeSafety Upgrade	\$	3,094,012	Complete	January 2006
Student Parking Expansion	\$	660,000	Complete	October 2005
Student Union Bldg. Expansion/Renovation	\$	37,372,009	Complete	October 2003/February 2005
Museum NSRL Addition	\$	3,555,259	Complete	August 2005
Admin Building Stone Repair	\$	2,262,839	Complete	January 2005
Jones SBC Stadium Stage IIA /IIB	\$	53,578,710	Complete	May 2004/Sept 2004
Hulen Clement Fire Protection	\$	3,234,692	Complete	August 2004
Football Training Facility	\$	10,974,030	Complete	May 2004
Marsha Sharp Center for Student Athletes	\$	3,789,332	Complete	January 2004
The Rawls Course Support Facilities	\$	1,692,000	Complete	November 2003
Admin Building Roof Repairs	\$	827,901	Complete	November 2003
The Rawls Course	\$	9,013,000	Complete	August 2003
Horn/Knapp Fire Suppression	\$	3,026,015	Complete	December 2002
Campus Conference Bonfire Circle	\$	400,000	Complete	September 2002
English-Philosophy & Education Complex	\$	44,910,950	Complete	August 2002
Flint Avenue Parking Facility	\$	10,670,916	Complete	August 2002
Dan Law Field	\$	1,612,000	Complete	June 2002
Fuller Track Field House	\$	480,000	Complete	June 2002
Pflugger Fountain	\$	826,000	Complete	April 2002
Recreation Center Expansion/Renovation	\$	12,070,277	Complete	November 2001
Jones SBC Stadium Stage I	\$	22,000,000	Complete	September 2001
Frazier Plaza & Masked Rider Statue	\$	515,000	Complete	September 2001
Tennis-Softball Complex	\$	4,059,784	Complete	September 2001
Campus Fiber Optic Connection	\$	1,667,000	Complete	September 2001
West Hall/Visitors Center	\$	5,703,441	Complete	August 2001
Broadway Gatehouses	\$	816,000	Complete	August 2001
Marquee	\$	352,000	Complete	August 2001
Stangel/Murdough Fire Suppression	\$	1,616,293	Complete	August 2001
Chitwood/Weymouth Fire Suppression	\$	2,779,706	Complete	August 2000
TTU Total	\$	454,799,818		

Project**Status****Completion Date**

Centennial Village Residence Hall	\$	28,215,000	Complete	August 2008/March 2009
University Hall/Abatement Demolition	\$	2,500,000	Complete	January 2010
UC Dining Services Expansion	\$	2,500,000	Complete	January 2009
ASU Total	\$	33,215,000		

Lubbock Memorial Garden	\$	181,130	Complete	January 2010
Amarillo School of Pharmacy Expansion	\$	8,010,000	Complete	November 2009
Amarillo Family Medicine Relocation	\$	7,026,925	Complete	July 2009
Amarillo Research Building	\$	18,152,430	Complete	March 2009
El Paso Vivarium Upgrade	\$	737,479	Complete	December 2008
International Pain Center	\$	7,000,000	Complete	November 2008
El Paso Strategic Space Study	\$	TBD	Complete	TBD
El Paso - Archer Building Renovations	\$	1,700,000	Complete	March 2008
Texas Tech Physicians Medical Pavilion	\$	36,462,388	Complete	June 2006/Dec 2007
El Paso Medical Education Bldg.	\$	45,000,000	Complete	November 2007
Abilene School of Pharmacy	\$	9,087,743	Complete	July 2007
El Paso Medical Science Bldg. I Build Out	\$	3,564,306	Complete	July 2006
Amarillo Campus Improvements	\$	1,424,677	Complete	September 2006
HSC Roof Replacement	\$	1,747,867	Complete	April 2006
The Larry Combest Health & Wellness Center	\$	1,551,549	Complete	January 2006
El Paso Medical Science Bldg. I	\$	36,977,869	Complete	February 2006
HSC Campus Infrastructure Improvement	\$	5,028,277	Complete	January 2006
HSC El Paso Clinic Expansion/Renovation	\$	9,638,830	Complete	February 2005
HSC El Paso Hydronic Pipe Replacement	\$	1,552,209	Complete	February 2005
HSC Academic Classroom Bldg.	\$	14,963,993	Complete	October 2003
HSC Synergistic Center	\$	1,995,105	Complete	March 2003
Amarillo Academic/Clinic Facility	\$	23,636,894	Complete	April 2002
Midland Physicians Assistant Building	\$	6,000,000	Complete	August 2001
HSC Admin Relocation	\$	1,862,000	Complete	March 2001
Odessa Clinic Renovation	\$	1,200,000	Complete	September 2000
Communications Disorders Renovation	\$	2,161,000	Complete	May 2000
HSC Total	\$	246,662,671		
Completed Total	\$	734,677,489		
TTUS Capital Project Total	\$	1,352,820,489		

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2011

PRIORITY	ENTITY	AUDIT AREA		BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		TOTAL ENGAGEMENT HOURS AVAILABLE		20,100					
		REQUIRED AUDITS							
Required	ALL	State Auditor's Office Miscellaneous Projects	Miscellaneous (assist)	20	(10)				10
		TTU: 2010 Statewide Financial Audit	Financial (assist)		5				5
		HSC: 2010 Statewide Financial Audit	Financial (assist)		5				5
Required	TTUS	Texas Tech University Foundation	Financial (assist)	120					120
Required	TTUS	Regents, Chancellor, & Presidents Travel and Credit Cards	Compliance (assist)	20					20
Required	TTUS	Office of Audit Services Annual Report	Compliance	30					30
Required	TTUS	Office of Audit Services Annual Plan	Compliance	100					100
Required	TTUS	Office of Audit Services GAGAS Quality Assurance Activities Review	Compliance	60					60
Required	TTU	Texas Higher Education Coordinating Board ARPI/ATP Grants	Compliance	150					150
Required	TTU	NCAA Compliance	Compliance	400					400
Required	TTU	Athletics Financial Review	Financial (assist)	240					240
Required	TTU	Joint Admission Medical Program Grants	Compliance	60					60
Required	TTU	KOHM-FM	Financial (assist)	300					300
Required	TTU	Football Attendance Certification	Compliance	10					10
Required	HSC	Texas Higher Education Coordinating Board ARPI/ATP Grants	Compliance	120					120
Required	HSC	Texas Higher Education Coordinating Board Residency Grants	Compliance	80					80
Required	HSC	Correctional Managed Health Care Committee Contract	Compliance	200					200
Required	HSC	Joint Admission Medical Program Grants	Compliance	60					60
Required	ASU	Car Foundation	Financial (assist)	20					20
Required	ASU	Joint Admission Medical Program Grants	Compliance	60					60
				2,050	-		-	-	2,050
		AUDITS IN PROGRESS AT AUGUST 1, 2010							
Prior Year	TTUS	Technology Transfer/Commercialization	Follow-Up/Compliance	20		In progress			20
Prior Year	TTU & HSC	Payroll Processes	Operational/Financial	360		In progress			360
Prior Year	TTU	Federal American Recovery & Reinvestment Act (ARRA) Funds	Controls/Compliance	330		In progress			330
Prior Year	TTU	NCAA Compliance	Compliance	10		In progress			10
Prior Year	TTU	Research Expenditures	Financial/Compliance	400		In progress			400
Prior Year	TTU	SAO: Veterans' Services	Compliance	2		In progress			2
Prior Year	HSC	SAO: Correctional Managed Health Care	Financial	2		In progress			2
Prior Year	HSC	Correctional Managed Health Care Committee Contract	Compliance	30		In progress			30
Prior Year	HSC	El Paso Research Funds	Operational/Controls	40		In progress			40
Prior Year	HSC	El Paso IT General Controls Review	IT/Controls	415		In progress			415
Prior Year	HSC	Xtender and Laserfiche Security	IT/Controls	236		In progress			236
Prior Year	ASU	College of Fine Arts	Operational	15		In progress			15
Prior Year	ASU	Electronic Forms Implementation	IT/Controls	328		In progress			328
Prior Year	ASU	Oracle Imaging System Security	IT/Controls	175		In progress			175
Prior Year	ASU	State Comptroller's Office Post-Payment Audit	Compliance	1		In progress			1
Prior Year	ALL	Wrap-up on Audits Included in August BOR Report		11		In progress			11
		TOTALS FOR AUDITS IN PROGRESS		2,375	-		-	-	2,375
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS							
		Total Hours Budgeted for Special Projects & Investigations		4,000	(220)				3,780
		IN PROGRESS AT AUGUST 1, 2010							
Special	TTU	Animal and Food Sciences Follow-Up	Special		90	In progress			90
Special	TTU	Effort Reporting	Special		20	In progress			20
Special	TTU	Men's and Women's Track Special	Special		60	In progress			60
Special	HSC	El Paso Baby Café	Special		50	In progress			50
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS		4,000	220		-	-	4,000
		HIGHEST PRIORITY							
4	TTUS	Banner Security	IT/Controls	700					700
4	TTU	Responsibility Center Management	Management Advisory	500					500
4	TTU	Honors College	Financial/Operational	300					300
4	TTU	Academic Department Reconciliation Processes	Management Advisory	400					400
4	HSC	Grant Accounting and Reporting Processes	Operational/Compliance	450					450
4	HSC	Time and Effort Reporting System	Compliance	400					400
4	HSC	School of Pharmacy Research Funding	Financial/Compliance	400					400
4	HSC	El Paso Center of Excellence for Diabetes and Obesity	Financial/Operational	350					350
4	ASU	Instructional Enhancement Fee	Financial/Compliance	350					350
4	ASU	Office of Institutional Research & Effectiveness	IT/Controls/Operational	400					400
		HIGHEST PRIORITY TOTALS		4,250	-		-	-	4,250

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2011

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF AUG 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
MODERATE PRIORITY								
2	TTU	Xtender Security	IT/Controls	300				300
2	TTU	Center for Professional Development	Financial/Operational	400				400
2	TTU	Grade Reporting Process	IT/Controls	400				400
2	HSC	Lubbock School of Medicine	Financial/Operational	500				500
2	HSC	Information Technology Governance	Governance/IT	600				600
2	HSC	President's Office	Management Advisory	400				400
2	HSC	El Paso Property Inventory Processes	Operational/Compliance	300				300
2	HSC	El Paso Department of Internal Medicine	Financial/Compliance	350				350
2	ASU	College of Sciences	Financial/Operational	350				350
MODERATE PRIORITY TOTALS			3,600	-		-	-	3,600
LOWER PRIORITY								
3	ALL	Audit Report Follow-Up Procedures and Reporting	Follow-Up	425				425
3	TTUS	Ethical Environment Assessment	Governance	300				300
3	TTU	College of Arts & Sciences	Financial/Operational	500				500
3	HSC	School of Allied Health Sciences	Financial/Operational	350				350
3	HSC	South Plains Oncology Consortium	Financial/Compliance	350				350
3	HSC	El Paso Procurement Processes	Operational/Compliance	300				300
3	HSC	El Paso Department of Surgery	Financial/Compliance	350				350
3	ASU	College of Nursing and Allied Health	Financial/Operational	350				350
LOWER PRIORITY TOTALS			2,925	-		-	-	2,925
OTHER VALUE-ADDED WORK								
Total Hours Budgeted for Other Value-Added Work			900	0			900	
Other	TTUS	Fraud Prevention Training			Ongoing			
Other	TTUS	Cash Handling and Control Environment Training			Ongoing			
Other	TTUS	Enterprise Application Steering Committee			Ongoing			
Other	TTUS	Enterprise Application Council			Ongoing			
Other	TTUS	Enterprise Application Work Group			Ongoing			
Other	TTUS	Enterprise Risk Management			Ongoing			
Other	TTU	Institutional Compliance Committee			Ongoing			
Other	TTU	SACS Quality Enhancement Plan (QEP) Steering Committee			Ongoing			
Other	TTU	SACS QEP Ethical Institution Task Force			Ongoing			
Other	ASU	Emergency Response Team			Ongoing			
Other	HSC	Institutional Compliance Working Committee			Ongoing			
Other	N/A	Professional Organizations (ACUA, TACUA, IIA, TSCPA, SAIAF)			Ongoing			
Other	TTUS	Other Miscellaneous Projects			Ongoing			
OTHER VALUE-ADDED WORK TOTALS			900	0		-	-	
TOTAL ENGAGEMENT HOURS			20,100	0		0	0	20,100
KEY								
	TTUS	Texas Tech University System and/or inclusive of multiple Texas Tech institutions						
	TTUSA	Texas Tech University System Administration						
	TTU	Texas Tech University						
	HSC	Texas Tech University Health Sciences Center						
	TTU & HSC	Areas with parallel functions or shared responsibility						
	ASU	Angelo State University						
	N/A	Work that is not attributable to a particular institution or campus						
Required	Audits that are mandated by law, Operating Policies, standards, contracts, etc. Will be performed based on timing of external deadlines.							
Prior Year	Engagements from prior year annual plan that were in progress at August 1. Goal is to complete them early in the year.							
Special	Unplanned special projects and investigations							
1	Engagements that were deemed most critical per the risk assessment at August 1.							
2	Engagements that were deemed to be moderately critical per the risk assessment at August 1.							
3	Engagements that were deemed least critical per the risk assessment at August 1.							
4	Areas of exposure that need attention, but have not been included in the official plan because of resource constraints.							
Other	Other projects, including committee service, class development and instruction, professional organizations, etc.							

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2010

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS	ACTUAL HOURS	HOURS TO FY 2011	BUDGET vs ACTUAL
		TOTAL ENGAGEMENT HOURS AVAILABLE	20,160					
		REQUIRED AUDITS						
Required	ALL	State Auditor's Office Miscellaneous Projects	60	(20)				40
		ASU: 2009 Statewide Financial Audit		5	Complete			5
		TTU: 2009 Statewide Financial Audit		5	Complete			5
		TTU: Veterans Services		5	In Progress	2	2	1
		HSC: Correctional Managed Health Care		5	In Progress	1	2	2
Required	TTUS	Texas Tech University Foundation	120		Complete	113		7
Required	TTUS	Regents, Chancellor, & Presidents Travel and Credit Cards	20		Complete	38		(18)
Required	TTUS	Office of Audit Services Annual Report	30		Complete	28		2
Required	TTUS	Office of Audit Services Annual Plan	30		Complete	5		25
Required	TTUS	Office of Audit Services GAGAS Quality Assurance Activities Review	80		Complete	25		55
Required	TTU	Financial Statement Review	500	250	Complete	908		(158)
Required	TTU	NCAA Compliance	400	100	In Progress	373	10	117
Required	TTU	Athletics Financial Review	240		Complete	285		(45)
Required	TTU	KOHM-FM	300		Complete	331		(31)
Required	TTU	Technology Workforce Development Grants	100		Complete	273		(173)
Required	TTU	Football Attendance Certification	10		Complete	9		1
Required	HSC	Texas Higher Education Coordinating Board Residency Grants	220		Complete	177		43
Required	HSC	Correctional Managed Health Care Committee Contract	200		In Progress	187	30	(17)
Required	HSC	Willed Body Program	240		Complete	331		(91)
Required	HSC	TAC 202--Texas Dept of Info Resources Security Standards	275	(275)	Cancelled			0
Required	ASU	Carr Foundation	40		Complete	12		28
Required	ASU	Investments	60	20	Complete	115		(35)
Required	ASU	State Comptroller's Office Post-Payment Audit		2	In Progress		1	1
		TOTALS FOR REQUIRED AUDITS	2,925	95		3,213	45	(237)
		AUDITS IN PROGRESS AT AUGUST 1, 2009						
Prior Year	TTU	Intra-Institutional Voucher Process	165		Complete	168		(3)
Prior Year	TTU	Cash Reconciliations	400		Complete	340		60
Prior Year	TTU	Cognos Reporting	150		Complete	40		110
Prior Year	TTU	Banner Human Resources	450		Complete	705		(255)
Prior Year	TTU	Sponsored Programs Accounting and Reporting	60		Complete	54		6
Prior Year	HSC	Cognos Reporting	150		Complete	34		116
Prior Year	HSC	El Paso Pediatrics Grant Management	5		Complete	25		(20)
Prior Year	HSC	State Auditor's Office: Campus Safety & Security	2		Complete			2
Prior Year	ASU	Financial Aid Office	210		Complete	307		(97)
Prior Year	ASU	State Auditor's Office: Student Financial Aid	8		Complete	8		0
Prior Year	TTUS	Wrap-up on Audits Included in August BOR Report	10		Complete			10
		TOTALS FOR AUDITS IN PROGRESS	1,610	-		1,681	-	(71)
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS						
		Total Hours Budgeted for Special Projects & Investigations	4,000	(2,510)				1,490
		IN PROGRESS AT AUGUST 1, 2009						
Special	TTU	KTXT and KOHM Grant Review		194	Complete	194		0
		BEGUN AFTER AUGUST 1, 2009						
Special	TTU	Under Armour Contract Review		221	Complete	221		0
Special	TTU	Men's Basketball Program Sales Special		133	Complete	133		0
Special	TTU	Southwest Collections		243	Complete	243		0
Special	TTU	Passport Office Cash Controls		30	Complete	30		0
Special	TTU	University Interscholastic League (UIL) Cash Controls		48	Complete	48		0
Special	TTU	Child Development Research Center (CDRC) Cash Controls		37	Complete	37		0
Special	TTU	School of Music Cash Controls		73	Complete	73		0
Special	TTU	Skyviews		133	Complete	133		0
Special	TTU	Animal and Food Sciences Follow Up		316	In Progress	316		0
Special	TTU	Effort Reporting		130	In Progress	130		0
Special	TTU	Men's and Women's Track Special		117	In Progress	117		0
Special	HSC	Garrison Institute on Aging		126	Complete	126		0
Special	HSC	Laboratory Animal Resources Center Special		406	Complete	406		0
Special	HSC	Lubbock Pediatrics Cash Controls		165	Complete	165		0
Special	HSC	El Paso Baby Café		98	In Progress	98		0
Special	All	Miscellaneous Hotline Projects		40	Complete	40		0

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2010

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS	ACTUAL HOURS	HOURS TO FY 2011	BUDGET vs ACTUAL
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS	4,000	2,510		2,510	-	1,490
		HIGHEST PRIORITY						
1	ALL	Cash Controls	850	400	Complete	1,483		(233)
1	ALL	FTC Red Flag Rules	900	(200)	Complete	303		397
1	HSC & ASU	Federal American Recovery & Reinvestment Act (ARRA) Funds	500	400	Complete	1,023		(123)
1	TTU	Federal American Recovery & Reinvestment Act (ARRA) Funds		500	In Progress	170	330	0
1	TTUS	Banner Security	700	(700)	2011 Plan			0
1	TTU	Payroll Processes		520	In Progress	160	360	0
1	TTU	Research Expenditures		900	In Progress	585	400	(85)
1	HSC	El Paso Research Funds	400		In Progress	360	40	0
1	HSC	El Paso Pediatrics Department			Operational/Controls			(131)
1	ASU	Cash Reconciliations	350		Complete	481		131
1	ASU	Banner Security	250		Complete	237		13
1	ASU	Banner Security	350	200	Complete	552		(2)
		HIGHEST PRIORITY TOTALS	4,300	2,020		5,354	1,130	(164)
		MODERATE PRIORITY						
2	TTUS	Technology Transfer/Commercialization	350	50	In Progress	432	20	(52)
2	TTU	Xtender Security	300	(300)	2011 Plan			0
2	TTU	Scholarship Office	400	(400)	Cancelled			0
2	TTU	Grade Reporting Process	400	(400)	2011 Plan			0
2	HSC	Banner Human Resources	350	(350)	Cancelled			0
2	HSC	El Paso IT General Controls Review	600		In Progress	185	415	0
2	HSC	Xtender and Laserfiche Security	300		In Progress	64	236	0
2	ASU	Student Billing Process	350		Complete	340		10
2	ASU	Oracle Imaging System Security	300		In Progress	125	175	0
		MODERATE PRIORITY TOTALS	3,350	(1,400)		1,146	846	(42)
		LOWER PRIORITY						
3	ALL	Audit Report Follow-Up Procedures and Reporting	250		Complete	450		(200)
3	TTUS	Ethical Environment Assessment	300	(300)	2011 Plan			0
3	TTU	Budget Office	400	(400)	Cancelled			0
3	TTU	Academic Department Reconciliation Processes	400	(400)	2011 Plan			0
3	HSC	South Plains Oncology Consortium	350	(350)	2011 Plan			0
3	HSC	School of Pharmacy Research Funding	400	(400)	2011 Plan			0
3	HSC	El Paso Development Office	250		Complete	314		(64)
3	ASU	Electronic Forms Implementation	325	25	In Progress	22	328	0
3	ASU	College of Fine Arts	400	50	In Progress	417	15	18
		LOWER PRIORITY TOTALS	3,075	(1,775)		1,203	343	(246)
		OTHER VALUE-ADDED WORK						
		Total Hours Budgeted for Other Value-Added Work	900					
Other	TTUS	Fraud Prevention Training			Ongoing	206		
Other	TTUS	Cash Handling and Control Environment Training			Ongoing	45		
Other	TTUS	Enterprise Application Steering Committee			Ongoing			
Other	TTUS	Enterprise Application Council			Ongoing	12		
Other	TTUS	Enterprise Application Work Group			Ongoing	14		
Other	TTUS	Enterprise Risk Management			Ongoing			
Other	TTU	SACS Quality Enhancement Plan (QEP) Steering Committee			Ongoing			
Other	TTU	SACS QEP Ethical Institution Task Force			Ongoing			
Other	TTU	Institutional Compliance Committee			Ongoing			
Other	HSC	Institutional Compliance Working Committee			Ongoing	11		
Other	ASU	Emergency Response Team			Ongoing	6		
Other	N/A	Professional Organizations (ACUA, TACUA, IIA, TSCPA, SAIAP)			Ongoing	310		
Other	TTUS	Other Miscellaneous Projects			Ongoing	254		
		OTHER VALUE-ADDED WORK TOTALS	900			858		42
		TOTAL ENGAGEMENT HOURS	20,160	(1,060)		15,965	2,364	730
		Unplanned staff turnover	(4,195)					
		TOTAL ACTUAL ENGAGEMENT HOURS	15,965					

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Chancellor's Report
Texas Tech University System
Board of Regents Meeting
August 6, 2010

Chancellor Hance presented his report to the Board: "I have several items to report on. The first and most important is fundraising. When I was hired by the Board one of the things they wanted me to emphasize on was fundraising. At the end of this fiscal year, which happens at the end of this month, we will be over \$100 million for four years in a row. Only once before has there ever been \$100 million that was raised and that was during the Horizon Campaign in 2001. Out of the \$130 million, \$85 million was cash and the others were insurance policies. From our \$100 million, it has always been in cash. We feel that things are really going well. This year we anticipate that we will raise about \$125 million. We have been able to have increases in a tough economy. I want to thank the Board for all they've done. The Capital Campaign is about to officially kickoff. We have already raised, in this campaign, more than was raised in the entire Horizon Campaign. At this time, I would like for Dr. Overley to talk a bit about the kickoff of the campaign."

Dr. Overley made her remarks: "Thank you Chancellor Hance. We are finally launching the public phase of our campaign. As the chancellor said, we are at \$600 million. So that is pretty much text book for a campaign launch. You want to be at 60 percent when you launch. Our gala is scheduled for September 17, 2010, which is the Friday before the Tech v. UT game. It has been generously underwritten by Becky and Mark Lanier. We are really excited about that. We will kickoff with our donors at that gala and then on Monday, September 20 we will have a Tech faculty, staff and student kickoff in the Allen Theatre. We are really excited. What the chancellor didn't mention is that this year ending at \$125 million will be our third highest fundraising year in the history of Texas Tech, second to our year with Paul Foster and our year ending the Horizon Campaign. We are very proud of what our staff has done during a recession. We are excited about the future and excited to get to our billion dollar goal in 2013."

Chancellor Hance added, "This would not have been possible at all had we not had a great staff in place. Dr. Overley, I don't know how many of your staff members are here, but I'd like to recognize you all, so please stand. They work hard. Every once in awhile I'll get an e-mail at 2 am from Bess and then I'll respond at about 4:30 am and then she'll reply at 5 am. They are dedicated, organized and they work hard as a team to raise money and that is very important for us."

"The Jenna Welch Center dedication will be held next Tuesday. Several of you will be there. Mrs. Bush and her mother will both participate in that ceremony. There will be a donor luncheon. We have raised over a half million dollars in a short period of time to help just the Midland location. That has gone extremely well."

"Another area I'd like to cover, on July 26 we had Governor Perry here. He announced a \$8.4 million Emerging Technology Fund grant to Texas Tech. Over \$6 million of that will come directly to Tech and then one of the subsidiaries that has been set up that

helps us on our wind energy, NIRE, will get a couple of million dollars. This has really gone well. David Miller is here and will make a few remarks to the Board regarding our wind energy progress. The Emerging Technology Fund was not his responsibility but he stayed on it everyday. He did not let up. We would have not have been able to accomplish this if he had not been tenacious and stayed after them in Austin and also stayed after us. Every few days he'd come in with a note saying: 'This is who you call; this is time you call him; please do it.' If it was a 10 am call, he'd call at 10:15 am for a report on the call. He's really done a good job. David, please come up and give us quick update on our wind initiative."

Mr. Miller addressed the Board, "Before I begin, I'd like to thank a couple of people. I'd like to thank Reagan Hales who works in my office. In addition to her normal responsibilities in commercialization, she took on a lot of additional responsibilities related to the wind project. Also, Mark Harral who is going to be the first employee for the National Institute for Renewable Energy. He actually started in my office as an intern. Both of them are UT graduates but I like to think that they work hard because they have roots in West Texas. So, rather than giving the credit to UT, I'll give it to West Texas and their roots. They have both done a great job. There are lots of other people who have been involved. We should recognize the WISE group because they have a long-standing reputation in wind science and engineering and we wouldn't have gotten very far without their reputation. Dr. Taylor Eighmy has been very persistent. Jodey Arrington and Russell Thomasson—I'd like to think that they are the backbone for a lot of initiatives. Sometimes their names don't get mentioned, but they work very hard. When something is about to blow up, those are two guys who I always go to and know that they are going to help make things happen. Of course, we had to get approval from all three of the trustees, which at times at the state level was difficult. Some of that had to do with the state budget and some had to do where the ETF fund was in terms of funding. We wouldn't have gotten very far without the chancellor's input to make that happen.

"Having said that, I want to give you a brief update on this initiative. In addition to this \$8.4 million, we have announced about \$6 million from one of our industrial partners, Alstom Power Incorporated. We are going to help them launch their first wind turbine in the United States. What is exciting about this initiative is that in addition to the \$14 million that we have had committed to it, we really haven't gotten started in a meaningful way yet. The initiative was really developed out of what was considered to be an early failure in the process. We had the Pantex project that was going and we had money in President Obama's budget, but that money didn't survive the budget process. Really that early failure is what caused us to refocus on the opportunity related to what is now the National Institute for Renewable Energy and the National Wind Resource Center. It is important to remember that early money in the federal budget was actually going to go to the federal site which is Pantex. Most of the funding based on the way it's structured now will come back to the NWRC and the University. I am not going to go through each slide. I encourage you to go through these. In terms of a structural update, I'd like to remind you what these entities do. Texas Tech as you know has a strong reputation in wind science in engineering. I'd also like to point out that the National Institute for Renewable Energy is not an entity legally controlled by Texas Tech. It is an entity outside of the System. The reason it was structured that way is so

it has the ability to issue its own revenue bonds and launch its own renewable energy projects. While most of these early projects are really research and development initiatives, over time that organization will launch its own commercial projects as well.

"The National Wind Resource Center is an organization that the Board approved. That is controlled by Texas Tech. It's one of our entities. Dr. Eighmy will be leading that organization. It is one of the places where we already have commitments from some of the best research programs in the United States to be part of that organization. Through that we can really provide our industry partners with the best in class resources and the brightest minds in the nation to enhance the engineering and technology development in the renewable energy sector.

"Each of these organizations has a specific role and a specific purpose. We are seeing the early results of setting up this structure. I have given you a sense about what I think we have in front of us given the structure. We have just under \$30 million in R&D agreements now that are in the process of some stage of negotiation. I believe that next year will be a bigger year than this year in terms of what we have seen from industry, partnerships, collaboration and sponsored research relayed to the NWRC and Texas Tech. Our opportunities with the federal labs, with the academic partners and with sponsored research are enormous. It really comes down to how well and effectively we manage it. As always, it comes down to how effectively we execute our plan. We have the right structure to do this. At the outset, we said we wanted to be the national leader in this sector. A lot of that work had already been done before we started with Texas Tech's reputation. This is an area where we can be the national, not just the academic leader, but the national R&D leader, in my view, in partnership with industry. We have along way to go with this initiative, but I think we are off to a great start. Thanks for your support of this initiative."

Chancellor Hance added, "As he said, we do have a lot in front of us, but we are on this every day. Our goal is to have something that no one else in the state of Texas has. I think we are going to accomplish that goal. It may take a couple of years or it may take four or five years for us to get to a position where we would have a national lab that would be tremendous for us as a Tier 1 university in research. We are headed in the right direction.

"That is all I have to report."

Chairman Anders responded, "Thank you Chancellor Hance. Congratulations to you and your entire team."

President's Report
Texas Tech University
Board of Regents Meeting
August 6, 2010

Chairman Anders called on Dr. Smith to present the TTU President's Report since Dr. Bailey was out of town attending his daughter's wedding.

Dr. Smith presented his report to the Board: "Thank you Mr. Chairman. There are amazing things happening across the System and certainly at Texas Tech University as well. I am privileged to share a few of these with you.

"We learned just this week that Texas Tech has been named one of the best colleges in the west according to the *Princeton Review*. We are one of only 120 institutions in the western region so designated. Their information and that designation are based primarily on student ratings of universities, typically for undergraduates who have expressed their love of teachers, programs and campus environments. It is instructive if you have the chance to look at that document sometime, to read the comments from students that they actually published in the *Princeton Review*.

"Also this week, actually on Wednesday of this week, Dr. Ronald Kendall testified before the U.S. Senate Committee on Environment and Public Works on the use of dispersants. We have heard the word Corexit, which is chiefly two butoxyethanol, which has been used in the Gulf oil spill. As mentioned in several newspapers, including the *LA Times*, the *Saint Petersburg Times*, and the *Associated Press*, among others, in his testimony, Dr. Kendall used the words ecotoxicological experiment with outcomes uncertain relative to sea turtles, sperm whales, blue fin tuna and other species. He called for a more independent peer reviewed research before any determinations can be made about the effects of the oil spill and particularly the dispersants that he testified on. He also noted in his testimony and I quote 'Even if all the oil is gone tomorrow the potential ecological consequences will be unfolding for days, weeks and years to come.' Just a personal point of pride, Ron Kendall came to me as the dean of Pharmacy at Washington State University 25 years ago this summer and asked if I could help him form and develop a Ph.D. program in ecological and environmental toxicology at Western Washington University. We formed a partnership in doing that through the graduate school at WSU. I always tell students and colleagues don't make any enemies along the way, you may have to work with them or work for them sometime in the future. We are very proud of Dr. Kendall. We are also proud, which is almost incidental, that he and his colleagues in the Institute of Environmental and Human Health—the institute that is out at the Reece Center—are now considered best selling authors. Their newly edited textbook entitled, *Wildlife Toxicology, Emerging Contaminant and Biodiversity Issues* has sold out more than two printings. The publisher, CRC Press, is calling it an international bestseller.

"Once again our Law School has led all Texas law schools on the February bar exam with the highest first pass rate. We are very proud of our colleagues in the Law School.

"In the Rawls College of Business, the Tax Accounting Program has been ranked 19th among tax accounting programs nationwide by Brigham Young University's new accounting research rankings website. The rankings are based on the number of publications by professors in top accounting journals. I know Regent Serna is very proud of that as well.

"Some of our Engineering students have created the world's smallest chess board. You may question why, but it is a challenge. We do it because it's there. The chess board won this year's design contest for novel-electromechanical systems held at the Sandia National Laboratories in New Mexico.

"Speaking of chess, TTU graduate student Davorin Kuljasevic of Croatia has become a chess grandmaster. There are only 1000 grandmasters in the entire world which puts him in the ranks of Bobby Fisher, Gary Casperog, and our own Susan Polgar.

"We appreciate your attention this morning and appreciate the opportunity to share some of the great things happening at Texas Tech University."

Chairman Anders thanked Dr. Smith for his report.

SGA President's Report
Texas Tech University
Board of Regents Meeting
August 6, 2010

Drew Graham presented his report to the Board: "There are three things that we have been really excited about. We have worked on some system-wide projects which will be occurring this year. We have worked with the HSC on a dance marathon. We plan, in the future, to work with ASU on such a project. This is a fundraiser for the Children's Miracle Network where all the proceeds will go to our hospital in Lubbock. Students will dance for six hours. This event will take place on Oct. 23 from 2 to 8 pm. They will dance and call and see how much money we can raise for the Children's Miracle Network.

"We are also working on a legislative trip. That is another system-wide project. In addition to Texas Tech, other schools will be traveling to Austin on January 31 through February 1, 2011 to work with the Legislature on some key issues. We have also started working with the Texas Student Association—we have brought that back. Texas Tech is the region chair for West Texas. They said they wanted to give us all North Texas but I think they thought we were closer to Dallas than we actually are. We are the Northwest Chair; we are with the other schools. UT is the chair of the committee. They have taken our ideas of textbook legislation and graduate health insurance which will be some of the initiatives that we will really push for this year. We are looking forward to working with the HSC and ASU who will both be sending representatives to Austin.

"We are really looking forward working on these projects."

Chairman Anders thanked Drew for his report.

President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
August 6, 2010

Dr. Mitchell presented his report to the Board: "Thank you Mr. Chairman.

"I'm going to do something a little bit different. This is my first Board meeting and what I'd like to do is present some nuts and bolts. There is some background information that is being handed out that you can review later. Mr. Cavin and Mr. Brunjes have done a great job of presenting the finances of the HSC. What I'd like to do is go through some of the nuts and bolts of things that have happened in the short time that I've been here, but also more importantly, give you a philosophical view of where I'd like to see the HSC going over the next 12 to 24 to 60 months.

"From an overview standpoint, I'd like to discuss some of our current directives which include our education mission; our service mission; and our research mission. I was driving to Dallas several weeks ago and I passed an old farm house in Abilene and I looked out there and saw an old windmill out there—this is a great story in regards to David Miller's report. That thing was probably built 50 years ago. When those things were built then they did a great job. It did everything that little farm house needed it to do and it looked very much like our modern ones except it was little more weather beaten. To me, this is a perfect analogy for the HSC about where we are now versus where we were 40 years ago. Forty years ago, Texas Tech School of Medicine, not the HSC, but the School of Medicine was charged with producing primary care doctors for West Texas. We did a great job of doing that. Later on, we developed a School of Nursing, a School of Allied Health Sciences, a Graduate School of Biomedical Sciences, and as we became a Health Sciences Center and we later developed a School of Pharmacy. Things changed. The needs changed. The goals have to change with it. We need to look at a new prospective on where we are and where we are going. I have asked our Communications Department to quit looking at things the way that we—people who are boomers and alike— look at them and look at them more of a way that students would look at them. In doing that, we look at the social media and things like Facebook and Twitter. Things like that almost seem foreign to me, but with having teenage kids, these are the ways that students and recruits are communicating. We need to do such things. We have gotten our own Facebook page. Mr. Geoff Lowe will talk about a student video in a bit.

"As far as institutional advancement and development, one of the things they have done a phenomenal job with—the chancellor was speaking earlier about what a great job that the Development folks have done—is set up visits with potential donors. Since I have been here, with the help of Kendra Burris, I have been able to personally have visits with 59 donors. It has been very productive. We are looking to pull the functions of the Development Office and Communications Office under the Office of the President so that we can have more consistency with the structure that the University has as well as having more consistency in our strategy.

"One of the things that we really need to work on is our information technology. We need significant upgrades—systemwide with that. This is not just for putting flat screens out for the students and things of that nature. We need to have some significant upgrades for the system in general for some of the clinical research efforts and things that we need to address to move forward. Those will be discussed in a bit.

"Finally, again, Mr. Brunjes and Mr. Cavin have done a great job with talking about the state budget constraints. We look at that as an opportunity to go through and make sure that we are being good stewards of the state's money. In areas where we are not doing well on things—we are spending money where we shouldn't—we need to be cutting those things back. I look at this as not just a challenge but a significant opportunity.

"When it comes to our education mission, here are a few things that we have as directives. The TTUHSC-El Paso—right now we have the Paul L. Foster School of Medicine and we have a presence there with our School of Nursing and yesterday we proposed that we develop an independent School of Nursing. That will be the first step in moving us toward an independent TTUHSC in El Paso. There are steps that must occur internally, within the System, and there are steps that have to happen externally for that to happen, but I think that we need to go ahead and make the plans for this to become a reality. It may take five, it may take ten years, but we need to be moving in that direction. One of the things that I will be recommending is that we have a liaison officer in El Paso who can start working to spearhead this project.

"One of the things that is extraordinarily important for all health sciences center is interdisciplinary education between all of our HSC schools. A great example of this is our simulation centers. Dr. Covington's group at the School of Nursing has done a phenomenal job at working at the simulation centers. Dr. de la Rosa's group at the Paul L. Foster SOM has done a great job with the SOM's Simulation Center there. We have great examples of this scattered throughout the HSC and it's a perfect opportunity for us to make sure that our students of the various disciplines learn together and work together. This is not something that just makes sense, but this is something that from an accreditation standpoint that we'll be held accountable for. We've got a great opportunity to make this happen. Another thing that is extremely important for the communities to understand is there has to be what is referred to as same-ness of education. When you talk about popping up new nursing schools and new medical schools and things of that nature, the experience that your students are going to have in that community must be the same as what they would get on the other campuses. A great challenge for us is the quality of experience that are patients are going to get in a given community. For example, we have one of our areas where the inpatient pediatric program is not as strong as it needs to be because that system sends their patients elsewhere for complicated pediatric cases. That is great for that system but it limits how rapidly the HSC SOM can grow in that area. There are variables often times that are beyond the control of the HSC when it comes to expanding our presence with schools in our areas. One of the things that we will be discussing as we move forward is those limiting factors that have nothing to do with our desire and nothing to do with our ability to raise funds to build buildings. There are other things that limit our capacity to grow

which gets back to what was discussed earlier in that we have to be sure to grow strategically and not in just a “willy-nilly” fashion.

“Finally, we must develop our academic primary care educational experience. If you come out here and ask what the strengths are of West Texas you’ll be told wind, oil, and gas are this area’s natural strengths. When it comes to the HSC our natural strengths are rural medicine, border health, geriatric medicine, genomics and things of that nature. You are far better off dancing with the one who brought you. Those are the things that will make us—that will take us—to the next level. So the development of a system that supports the academic side of those areas is critical for the ongoing mission of the HSC. Public health is needed for all schools and campuses—whether that starts as a Department of Community Health and Public Health. In the SOM, there are ways that we can step this up. There will be more on that coming later. This is a critical aspect for making our primary care not just a focus of what we do, but an academic focus of what we do.

“As far as our service mission, the basic theme is this: we’ve got to shrink the geography of West Texas. We are responsible for 180 counties. Everyone shows this particular side when we are out doing our talks, but the slide that I had them blow up and hang in my office is this one. This is the service area that TTUHSC is responsible for. It’s 180 counties; that’s almost half of the state’s geography. Some of these counties—54 of these counties aren’t even considered rural. They don’t have enough people living there to be considered rural. They are called frontier counties—fewer than seven people per square mile. Guess what, they need health care too. We’ve got to do things to provide telemedicine in a way that provides an effective tool for those folks to get healthcare. If we don’t do this, other people will. There are already other people asking to give this a go. This is Texas Tech’s territory, and we’re the ones who need to handle this. Right now, we have a system in place with landlines with information sharing for health information that exists. This is some of what we already have present. We are currently involved in a grant application for the northern one third of our region. We are looking at a large grant from the federal government to help put broad band in the northern third of the area. This is critical for us. It is critical not only for our service mission with telemedicine and telehealth, it’s also critical for our research mission as well.

“With our research mission, we have done a phenomenal job. Dr. Doug Stocco, Dr. Steven Berk—our group has done a phenomenal job at increasing the growth of our NIH funded basic science researchers. Since 2005, we have almost tripled our expenditures on research. The vast majority of this has been basic science discovery research. This is phenomenal and is an effort that will continue. The kicker—when you talk about this type of research that is when the groups come in they need a lot of space because, generally, it’s not a single individual coming in. It is folks coming with them as part of a team. This type of research requires a lot of space, a lot of upfront money for equipment and the like, and a lot of personnel. This is an effort that will continue. One of the things that I want to continue to do and emphasize is the development of a Clinical Science Program. This gives our staff who are primarily educators and service providers the opportunity to also be involved in clinical research, again emphasizing the

natural strengths of West Texas and in order to do this we are working toward the development of a longitudinal database. I want access to be available to all whether it is the School of Nursing, the School of Pharmacy, School of Medicine, Graduate School of Biomedical Sciences, School of Allied Health Sciences or if they are in Amarillo, in Lubbock, in El Paso, in Abilene, or in the Permian Basin—it doesn't matter. They will all be tapping into the same longitudinal database. By doing so, we'll have the power of the entire system. Currently, our IT folks with the help of Vice President Billy Phillips are looking into the development of a database for the entire system. That way if you are interested in clinical research and if you are interested in the effects of diabetes or a particular diabetes medication you can look at patient experiences from the entire West Texas system not just from the clinic that you are working in.

"West Texas medicine, we made history 100 years ago and we are ready to it again. Dr. M. C. (Marvin) Overton was the first permanent physician in Lubbock. He worked four years for Bell Telephone in Kentucky before he went to medical school. He moved to Lubbock in 1901 and very quickly became overwhelmed at the geography and the expanse of the geography. So, in 1908 he began carrying a telephone receiver with a very long wire in his newly purchase automobile—the first one purchased in Lubbock—and whenever he would pass by a line, he'd take that long end of that line and throw it over the line and call in to his office in Lubbock to find out where he needed to go next. Thereby, he effectively, significantly, expanded his capability for seeing patients in the area. So, instead of being held to just the tiny area around Lubbock, he wound up taking care of people in counties all over the place. He had this idea 100 years ago. We need to take the same concept and apply it to today. It is not just enough to understand what our mission is. We've got to feel the potential of the system. We can't get to where we need to go if we have too many people who are happy where we are. There are so many good things we can do. One of my duties is to put some fire under some people's pants about moving things forward. We have great things going. I've given you some information here that is the nuts and bolts of some of these programs. I'll be happy to answer any questions."

Chairman Anders thanked Dr. Mitchell for his report.

SGA President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
August 5-6, 2010

Geoffrey Lowe presented his report to the Board: "I'm going to give you some brief updates on some progress we have made over the summer. We have the sidewalk going that will connect our campus with the undergraduate campus. This will provide a safe area for students to get to the Recreation Center at the undergrad campus.

"As far as construction projects, the finishing touches are being made on our F. Marie Hall SimLife Center. I have a clinical workshop there on Tuesday. We are excited about that project being completed. Our coffee shop will be constructed soon. That is being done in partnership with TTU's Hospitality Services.

"We are also working with the IT Department to produce TV announcements and other things to enhance our technology. That will provide a way to distribute information to our students.

"In working on our goal to improve student life at the HSC, we'll have several welcome back events. We'll be traveling to six different campuses in addition to having a welcome event in Lubbock. We'll also be having tailgates—two with alumni and two student tailgates. That will be for our entire HSC student to socialize and intermingle amongst programs.

"As Drew mentioned, we'll be working with them on the dance marathon project this fall.

"Upon Dr. Mitchell's arrival, through the meetings we have had with him, the SGA officers were talking and it became apparent that we needed a method to represent the HSC and promote all of the programs and the institution to prospective students and others who we may contact during interviews or at undergraduate colleges. The idea of producing a student recruitment video was brought up. We have begun production on the student recruitment video. We have had casting calls. We have started working with a production company, Studio 84. They are from Lubbock and are actually graduates of Texas Tech. That is what we have been working on during the summer. The video will highlight the inter-professional teamwork. So, it's going to highlight each different program—medicine, nursing, the clinical labs, etc., and really try to paint a picture of how all of those programs work together. We'll also highlight each of our regional campuses because we really want to produce a video that explains our regional campus system and how we have different programs, but yet we're all one System, like Dr. Mitchell was discussing—the sameness of education. We want to present that we are one System even though we have seven campuses, we want to present a unified image and we believe this video will do that. We finish the storyboard and the casting calls and will begin shooting this month. We'll be utilizing the new SimLife Center for many of those shots.

"Thank you very much for you time."

Chairman Anders thanked Geoff for his remarks.

President's Report
Angelo State University
Board of Regents Meeting
August 6, 2010

President Rallo presented his report to the Board: "Recruitment and retention remains, as the Board knows, our number one priority and Vice President Klein and I will be giving a much greater in depth presentation during the Carr Foundation meeting. I want to set the stage with what has been going on with ASU. Summer session one and two recorded again the highest enrollments ever for the past five years for our summer school. To achieve our goal of 10,000 students by 2010, we've set an annual goal. For this year it is 6,800 students which would represent an increase of about 400 which is a large increase. Naturally, the 20-day count is the official count, but I can say as of Tuesday, we have close to 6,796. We'll talk more about that during the Carr meeting. Those are very positive indicators.

"As noted before, I have completed hiring the provost and vice president and vice president for student affairs and enrollment management. We also have a Center for Securities Studies which is a very exciting initiative on campus. I just hired a new director who is a retired Air Force colonel with extensive intelligence background. His doctorate is from the Ohio State University. He's an excellent scholar. He's going to bring great resources both intellectual but also very savvy about how the Center has to evolve. One of the most exciting things about the Center is that we've been able to use money to help us on campus in a number of ways. When the Board meets in San Angelo in February, we've going to show you all of these things. We've been creating a new language lab, but the most exciting thing is that we have a planetarium on campus. It's the fourth largest planetarium on a university campus. It's about 25 years out of date. We're renaming the planetarium, the Global Immersion Center because we have purchased software which will make it almost into an IMAX like experience to support the programming for the Center for Securities Studies, but as you purchase additional software packages, which is what we are doing, the nursing students can use it for virtual dissections. The geology students can use it to study plate tectonics. It's an exciting, wow-factor with respect to that. Obviously that will be helping us in a number of ways in addition to the Center.

"National recognitions are important to us also for a variety of reasons, again, coming back to recruitment and retention. We've had three significant recognitions over the past few weeks. The *Princeton Review* came out with the top 50 best computer game development programs from 500 that were eligible nationally and our computer game development minor was selected as one of those 50. Our enrollments have gone basically from zero to 150 in the past year to year and a half within computer science.

"Our marketing strategy, as the Board knows, is to move into the ACT range of 21 to 24. We believe that is where there are great students. We are pleased to be included in the third edition of that national publication of *Best College* for B students. Again, that reaffirms that market is out there and we believe we are nicely positioned to move into that market.

"Released on Tuesday, again, last year was the first time and this year we were again included in the *Princeton Review's* best 373 colleges nationally for 2011. Those will help us with recruitment.

"Finally, athletics have had a great spring and summer. Our women won the Division II National Track and Field. Coach Jim Reid was named the Division II National Coach of the Year. Hopefully the Board members can join us for the September 11 Cotton Bowl game against West Texas A&M.

"Thank you. That concludes my report."

Chairman Anders asked about the project with Goodfellow AFB.

Dr. Rallo responded, "That is the Center for Securities Studies. They are our partners out there, but we have really broadened that. Initially and obviously, we want to be good partners with Goodfellow because they are a community resource. We have been working directly with Air Staff and the Pentagon to broaden the program. For example, I was there a few weeks ago. Just like a lot of other career fields, the intelligence career field where I spent a lot of years, is very small. There are a number of people who I have worked with for the past 25 years. They are bringing a program from Maxwell AFB to ASU this spring. They will bring in instructors and about 25 junior officers to study critical thinking. We're going to be providing graduate credit for that. They believe that they will be able to expand that within the course of about a year to five cohorts a year on campus. We're moving well beyond Goodfellow. They are good partners, but this program will have a national impact. We're beginning to see some of that."

SGA President's Report
Angelo State University
Board of Regents Meeting
August 5-6, 2010

Justin Till presented his report to the Board: "As I stated at the May meeting, this summer I intended to take as much time as possible away from campus to relax and I have done so. So, this report will be pretty brief.

"I must mention my new vice president, Monique Lockwood. I mentioned this during the May meeting, but I want to reiterate this while she is here. When I appointed her after our special situation, Monique sailed through the senate confirmation hearings of our student senate with a unanimous vote which if you know our student senate, you'd know that doesn't happen. Every senator, and there are quite a few of them, chose to stand up and speak on behalf of Senator Lockwood at the time for her confirmation. There was nothing but good words for. I am very glad to have her on my team. I hope you are ready for the job.

"Some of issues that we will be addressing over the coming year, with the consent of my senate, will be the expansion of the Concho Valley internship consortium. It's a project that Mr. Harris started a while back. We will be consolidating information on every internship that we can find which is available both locally and nationally for ASU students. We will be consolidating all of that information along with those applications so that students at ASU can have one place to go—sort of a one-stop-shop—so they can find internships that apply to their particular degrees. That is a project that will take a lot of time and dedication, but we believe that this will benefit every student at ASU by the time this project is completed. I look forward to working on that over the next year.

"There are a couple of studies which I will be conducting—and these are not solid projects yet—one is a daycare feasibility study. A survey was conducted under the direction of President Rallo within the past two years looking at this issue and seeing if there is a demand and if there would be a reasonable amount of funding that we could allocate to it. We as a student government are going to take this issue on and see as our University population increases whether that will be a possibility for us to provide. I believe it will be something along the lines of what is in place at TTU. At this stage, it is nothing but studies. Never the less, it is exciting and I hope in the future that we will be able to provide that service to our students.

"Another somewhat interesting program for me personally is a Safe-Ride Home Program. We have a group of students, one of whom is from Texas A&M but is at ASU for his post-baccalaureate degree. He was a participant in the Car Pool Program which is like the Safe-Ride Home Program which is similar to TTU's 742-RIDE or however that program is referred to. They have started a student organization which is entirely voluntary. Students will be able to call on certain days of the week at certain times to get a free ride home if they have been consuming alcohol. Looking at all of the crime statistics from ASU's Police Department was well SAPD, we've seen a decent number of DUIs and DWIs and other alcohol related incidents. So, we as an SGA in the future

will be expanding that program from a solely student volunteer program into something of an SGA initiative. We will broadcast it's availability to every student. Therefore, hopefully, bringing down those crime statistics and hopefully saving lives, saving automobiles, saving personal property and of course just to provide that extra service for our students. That is in the planning stages, but I intend to work this to the fullest of my abilities. At the moment, it seems like we are going to get this completed by the end of this year. I am extremely excited about that.

"Our student discount program, as we have mentioned at every Board meeting for the past several years, is going great. We've got applications coming in almost every day. It's gotten to the point, and I've told Dr. Rallo this, where businesses are actually coming to us to apply for the student discount program. Now, we don't have to go out and ask businesses for their participation. That program, to the great credit of Former President Jeff Harris, is a fantastic program. This has skyrocketed and we are very proud to be a part of that and again to be able to provide another service for our students.

"I'm waiting for my senate to come back so we can start our official business. You can look forward to an extremely productive year at Angelo State University.

"Before I end, I must say something about the SGA presidents from TTU and TTUHSC. They both mentioned a few projects that we as a group are going to be focusing on over the coming year. Without getting into the details of each of those, the level of collaboration and communication that I have seen from these two units with my office has been spectacular. I expect to see that collaboration continue and expand in the future. That will be something that benefits not only Angelo State as the still somewhat new "little brother" of the Tech System, but I believe we'll benefit the System as a whole. I am glad to have you all on our side.

"That's all I've got for today. Thank you."