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I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on October 12, 2012.


Ben Lock
Secretary

SEAL



TEXAS TECH UNIVERSITY SYSTEM

Facilities Planning and Construction

TTUS Design & Construction Review

Under Construction

October 2012

www.fpc.ttu.edu

	<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
TTU	Business Administration Building Renovations	\$ 25,000,000	Substantially Complete	September 2012
	Printech Building Renovation (Exercise & Sports Sciences)	\$ 7,200,000	Substantially Complete	June 2012
	Admin Building 3rd Floor Interior Finish Out	\$ 2,400,000	Substantially Complete	October 2012
	Kent R. Hance Chapel	\$ 3,464,447	Substantially Complete	September 2012
	Boston Avenue Residence Hall and Dining Facility	\$ 47,000,000	Substantially Complete	September 2012
	BSL-3 Lab at the Institute of Environmental & Human Health	\$ 2,400,000	Under Construction	June 2013
	The Burkhardt Center for Autism Education and Research	\$ 10,600,000	Under Construction	October 2013
	Architecture Building Life Safety Upgrades	\$ 7,400,000	Under Construction	September 2013
	Petroleum Engineering and Research Building	\$ 20,000,000	Under Construction	October 2013
	Biological Sciences Buildings Life Safety Upgrades	\$ 8,300,000	Under Construction	December 2014
	Gerald Myers Indoor Soccer Facility	\$ 1,080,000	Under Construction	November 2012
	TTU Total	\$ 134,844,447		
ASU	Plaza Verde Residence Hall Phase I (Design Phase I & II / Construct Phase I)	\$ 35,000,000	Substantially Complete	February 2012
	ASU Total	\$ 35,000,000		
HSC	Abilene School of Pharmacy Addition	\$ 3,000,000	Under Construction	November 2012
	Abilene School of Nursing	\$ 12,000,000	Under Construction	July 2013
	HSC Total	\$ 15,000,000		
	Under Construction Grand Total	\$ 184,844,447		



TTUS Design & Construction Review

In Design
October 2012
www.fpc.ttu.edu

<u>Project</u>		<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
TTU	Petroleum Engineering Bldg Renovation for Maddox Chairs	\$ 2,900,000	On Hold	TBD
	Texas Tech Plaza Renovation Phase II	\$ 7,500,000	On Hold	TBD
	Plant & Soil Sciences Building	\$ 15,000,000	In Design	TBD
	Video Scoreboard Package	\$ 11,000,000	Proposed	TBD
	Admin Bldg Parking Feasibility Study	\$ 144,715	In Design	TBD
	TTU Total	\$ 36,544,715		
ASU	Agriculture Education Training Center	\$ 1,077,000	In Design	TBD
	Concho Hall Abate/Demolish	\$ 2,500,000	On Hold	TBD
	ASU Total	\$ 3,577,000		
HSC	The Larry Combest Health and Wellness Center Expansion	\$ 5,108,500	Proposed	TBD
	Amarillo Sim Central	\$ 3,500,000	In Design	TBD
	HSC Total	\$ 8,608,500		
	In Design Grand Total	\$ 48,730,215		



TTUS Design & Construction Review

Prospective
October 2012
www.fpc.ttu.edu

	<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
TTU	Research Building (ESB II)	\$ 87,750,000	Proposed	TBD
	College of Engineering Expansion/Renovation Phase II	\$ 90,000,000	Proposed	TBD
	Engineering & Materials Research Center Renovation (former Mass Communication Bldg)	\$ 15,000,000	On Hold	TBD
	Weeks Hall Abatement and Renovation	\$ 21,000,000	Proposed	TBD
	University Data Center	\$ 20,000,000	On Hold	TBD
	Jones Stadium East Building Finish Out	\$ 13,000,000	Proposed	TBD
	Research Park, Phase I	\$ 50,000,000	Proposed	TBD
	New Student Housing Complex	\$ 50,000,000	Proposed	TBD
	Indoor Football Practice Facility	\$ 12,000,000	Proposed	TBD
	College of Visual and Performing Arts	TBD	Proposed	TBD
	College of Human Sciences Life Safety Upgrade	\$ 6,000,000	Proposed	TBD
	TTU Total	\$ 364,750,000		
ASU	Cavness Science Building Renovation	\$ 48,000,000	Proposed	TBD
	College of Health & Human Services	\$ 18,620,000	Proposed	TBD
	Residence Hall Complex - Phase II	\$ 35,000,000	Proposed	TBD
	ASU Total	\$ 101,620,000		
HSC	El Paso School of Nursing	\$ 11,000,000	Proposed	TBD
	Lubbock Education, Research & Technology Renovation	\$ 45,000,000	Proposed	TBD
	El Paso Medical Science Building II	\$ 99,000,000	Proposed	TBD
	El Paso Clinical Sciences Building	\$ 30,000,000	Proposed	TBD
	Permian Basin Academic Building	\$ 18,900,000	Proposed	TBD
	Amarillo Panhandle Clinical/Hospital Simulation Center	\$ 16,500,000	Proposed	TBD
	Amarillo Laura W. Bush Institute Renovation	\$ 12,800,000	Proposed	TBD
	HSC Total	\$ 233,200,000		
	Future Grand Total	\$ 699,570,000		



TTUS Design & Construction Review

Completed
October 2012

www.fpc.ttu.edu

<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
Rawls Course Clubhouse and Team Facility	\$ 3,700,000	Complete	August 2012
Multi-Purpose Performance Studio	\$ 2,200,000	Complete	September 2012
Rawls College of Business Administration	\$ 70,000,000	Complete	January 2012
Admin Bldg Abatement and Renovation	\$ 3,850,000	Complete	April 2012
Softball Seating Expansion	\$ 257,136	Complete	March 2012
Texas Tech Baseball Park Improvements	\$ 5,000,000	Complete	March 2012
NRHC North Addition	\$ 1,833,000	Complete	September 2011
NRHC Historic Preservation Building	\$ 664,721	Complete	September 2011
Art 3D Annex Finish Out (Sculpture)	\$ 3,800,000	Complete	August 2011
Experimental Science Bldg Build Out	\$ 12,100,000	Complete	July 2011
Jones AT&T Stadium East Side Expansion	\$ 34,630,000	Complete	January 2011
Jones AT&T Stadium North End Zone Expansion	\$ 6,000,000	Complete	January 2011
System Office Relocation (2nd Floor)	\$ 2,000,000	Complete	December 2010
Jones AT&T Stadium NEZ Facilities (Toilets & Concessions)	\$ 1,300,000	Complete	January 2011
Scholarship Donor Walk-Phase 2	\$ 50,000	Complete	March 2010
Softball Team Facility	\$ 3,000,000	Complete	February 2010
Pulse Power Lab	\$ 1,178,371	Complete	October 2009/February 2010
Soccer Team Facility	\$ 4,077,587	Complete	September 2009
Rawls CoBA Tunnel Project	\$ 1,700,000	Complete	October 2009
Horn/Knapp Window Replacement	\$ 2,500,000	Complete	November 2009
Memorial Circle Utility Tunnel Replacement	\$ 3,887,819	Complete	August 2009
Student Leisure Pool	\$ 8,250,000	Complete	May 2009
SPICE Chess Garden	\$ 71,000	Complete	July 2009
Thompson Gaston Demolition	\$ 2,071,218	Complete	March 2009
Engineering Expansion/Renovation Phase I	\$ 10,000,000	Complete	March 2009
Track Renovation/Relocation	\$ 4,000,000	Complete	May 2009
Softball Field Improvements	\$ 1,000,000	Complete	March 2009
Art 3D Annex Ceramics/Kiln Yard	\$ 1,556,937	Complete	October 2008
High Performance Research Computer Facility	\$ 1,800,000	Complete	September 2008
Speed/Bledsoe HVAC Upgrade	\$ 6,000,000	Complete	August 2008 Revised 4/1/2013

Bledsoe Window Replacement	\$	1,000,000	Complete	August 2008
4th Street Sewer Upgrade	\$	560,000	Complete	October 2008
Mark & Becky Lanier Prof. Development Center	\$	13,665,000	Complete	April 2008
NCAA Soccer Complex	\$	1,998,000	Complete	August 2007
Art 3-D Annex	\$	8,603,315	Complete	September 2007
Outreach & Extended Studies Building	\$	8,000,000	Complete	October 2007
Softball Field Repairs	\$	509,055	Complete	September 2007
Discovery Mall	\$	1,167,698	Complete	July 2007
Student Wellness Center	\$	9,229,767	Complete	March 2007
CDRC / CSAR	\$	8,126,506	Complete	October 2006
Scholarship Donor Recognition Walk	\$	225,000	Complete	November 2006
Sneed/Gordon/Bledsoe LifeSafety Upgrades	\$	5,792,000	Complete	September 2006
Jones AT&T Stadium Field Improvements	\$	2,860,000	Complete	August 2006
Student Union Building Phase II B	\$	6,034,070	Complete	November 2006
Student Union Building Phase III	\$	1,299,043	Complete	July 2006
NRHC - Christine DeVitt Wing	\$	3,278,509	Complete	June 2006
Experimental Sciences Building	\$	36,702,120	Complete	March 2006
Texas Tech Parkway	\$	9,222,073	Complete	February 2006
Grover E. Murray Residence Hall	\$	24,613,235	Complete	January 2006
Animal and Food Sciences Building	\$	16,809,505	Complete	February 2006
Wall/Gates LifeSafety Upgrade	\$	3,094,012	Complete	January 2006
Student Parking Expansion	\$	660,000	Complete	October 2005
Student Union Bldg. Expansion/Renovation	\$	37,372,009	Complete	October 2003/February 2005
Museum NSRL Addition	\$	3,555,259	Complete	August 2005
Admin Building Stone Repair	\$	2,262,839	Complete	January 2005
Jones SBC Stadium Stage IIA / IIB	\$	53,713,138	Complete	May 2004/Sept 2004
Hulen Clement Fire Protection	\$	3,234,692	Complete	August 2004
Football Training Facility	\$	10,974,030	Complete	May 2004
Marsha Sharp Center for Student Athletes	\$	3,789,332	Complete	January 2004
The Rawls Course Support Facilities	\$	1,692,000	Complete	November 2003
Admin Building Roof Repairs	\$	827,901	Complete	November 2003
The Rawls Course	\$	9,013,000	Complete	August 2003
Horn/Knapp Fire Suppression	\$	3,026,015	Complete	December 2002
Campus Conference Bonfire Circle	\$	400,000	Complete	September 2002
English-Philosophy & Education Complex	\$	44,910,950	Complete	August 2002
Flint Avenue Parking Facility	\$	10,670,916	Complete	August 2002 Revised 4/1/2013

Dan Law Field	\$	1,612,000	Complete	June 2002
Fuller Track Field House	\$	480,000	Complete	June 2002
Pfluger Fountain	\$	826,000	Complete	April 2002
Recreation Center Expansion/Renovation	\$	12,070,277	Complete	November 2001
Jones SBC Stadium Stage I	\$	22,000,000	Complete	September 2001
Frazier Plaza & Masked Rider Statue	\$	515,000	Complete	September 2001
Tennis-Softball Complex	\$	4,059,784	Complete	September 2001
Campus Fiber Optic Connection	\$	1,667,000	Complete	September 2001
West Hall/Visitors Center	\$	5,703,441	Complete	August 2001
Broadway Gatehouses	\$	816,000	Complete	August 2001
Marquee	\$	352,000	Complete	August 2001
Stangel/Murdough Fire Suppression	\$	1,616,293	Complete	August 2001
Chitwood/Weymouth Fire Suppression	\$	2,779,706	Complete	August 2000
TTU Total	\$	601,866,279		

Project

Completion Date

Status

Cost

Porter Henderson Library IT Commons Renovation	\$	4,380,000	Complete	December 2010
Recreation/Wellness Ctr & Center for Human Performance Expansion	\$	7,000,000	Under Construction	May 2011
Centennial Village Residence Hall	\$	28,215,000	Complete	August 2008/March 2009
University Hall/Abatement Demolition	\$	2,500,000	Complete	January 2010
UC Dining Services Expansion	\$	2,500,000	Complete	January 2009
ASU Total	\$	44,595,000		

The F. Marie Hall SimLife Center	\$	6,500,000	Complete	September 2010
4C Cancer Research Lab	\$	3,200,000	Complete	October 2010
Lubbock Memorial Garden	\$	181,130	Complete	January 2010
Amarillo School of Pharmacy Expansion	\$	8,010,000	Complete	November 2009
Amarillo Family Medicine Relocation	\$	7,227,532	Complete	July 2009
Amarillo Research Building	\$	18,152,430	Complete	March 2009
El Paso Vivarium Upgrade	\$	737,479	Complete	December 2008
International Pain Center	\$	7,000,000	Complete	November 2008
El Paso Strategic Space Study	\$	TBD	Complete	TBD
El Paso - Archer Building Renovations	\$	1,700,000	Complete	March 2008
Texas Tech Physicians Medical Pavilion	\$	35,697,952	Complete	June 2006/Dec 2007
El Paso Medical Education Bldg.	\$	44,810,194	Complete	November 2007
Abilene School of Pharmacy	\$	9,087,743	Complete	July 2007

El Paso Medical Science Bldg. I Build Out
Amarillo Campus Improvements
HSC Roof Replacement
The Larry Combest Health & Wellness Center
El Paso Medical Science Bldg. I
HSC Campus Infrastructure Improvement
HSC El Paso Clinic Expansion/Renovation
HSC El Paso Hydronic Pipe Replacement
HSC Academic Classroom Bldg.
HSC Synergistic Center
Amarillo Academic/Clinic Facility
Midland Physicians Assistant Building
HSC Admin Relocation
Odessa Clinic Renovation
Communications Disorders Renovation

HSC

\$	3,564,306	Complete	July 2006
\$	1,424,677	Complete	September 2006
\$	1,747,867	Complete	April 2006
\$	1,551,549	Complete	January 2006
\$	36,906,174	Complete	February 2006
\$	4,996,136	Complete	January 2006
\$	9,638,830	Complete	February 2005
\$	1,552,209	Complete	February 2005
\$	14,963,993	Complete	October 2003
\$	1,995,105	Complete	March 2003
\$	23,636,894	Complete	April 2002
\$	6,000,000	Complete	August 2001
\$	1,862,000	Complete	March 2001
\$	1,200,000	Complete	September 2000
\$	2,161,000	Complete	May 2000
HSC Total			
\$	255,505,200		
Completed Total			
\$	901,966,479		
TTUS Capital Project Total			
\$	1,835,111,141		



TEXAS TECH UNIVERSITY SYSTEM

Fiscal Year 2013 Budget Adjustment

Office of Research, Commercialization and Federal Relations

Jim Brunjes
Vice Chancellor and Chief Financial Officer

Jodey Arrington
*Vice Chancellor for Research,
Commercialization and Federal Relations*

Board of Regents

October 12, 2012

Office of Research, Commercialization and Federal Relations



Financial Status

FY 2012 - Fund Balance \$692,492

	FY 2012 Actual	FY 2013 Estimated
Revenues		
Royalties, Licenses	\$110,075	\$150,000
Econ Dev Corp	\$82,500	\$80,000
ETF Seed Money	\$91,493	\$100,000
Total	\$284,068	\$330,000

FY 2012 - Actual Expenditures	Technology Commercialization	Corporate Engagement	Federal Relations	Total
TTU	\$275,748		\$74,083	\$349,831
TTUHSC	\$275,748		\$59,267	\$335,015
ASU			\$14,816	\$14,816
ORCFR Sources	\$215,064	\$189,419		\$404,483
Other Sources			\$60,000	\$60,000
Total	\$766,560	\$189,419	\$208,166	\$1,164,145

Board of Regents

October 12, 2012

Office of Research, Commercialization and Federal Relations



	Technology Commercialization	Corporate Engagement	Federal Relations	Total
FY 2012 - Budget				
TTU	\$283,500		\$70,000	\$353,500
TTUHSC	\$283,500		\$56,000	\$339,500
ASU			\$14,000	\$14,000
ORCFR Sources	\$88,700	\$150,000	\$30,000	\$268,700
Other Sources			\$130,000	\$130,000
Total	<u>\$655,700</u>	<u>\$150,000</u>	<u>\$300,000</u>	<u>\$1,105,700</u>
FY 2013 - Budget				
TTU	\$389,963	\$93,117	\$131,069	\$614,149
TTUHSC	\$307,287	\$87,960	\$104,855	\$500,102
ASU			\$26,214	\$26,214
ORCFR Sources	\$160,000	\$90,000	\$100,000	\$350,000
Other Sources				\$0
Total	<u>\$857,250</u>	<u>\$271,077</u>	<u>\$362,138</u>	<u>\$1,490,465</u>
Change				
TTU	\$106,463	\$93,117	\$61,069	\$260,649
TTUHSC	\$23,787	\$87,960	\$48,855	\$160,602
ASU			\$12,214	\$12,214
ORCFR Sources	\$71,300	-\$60,000	\$70,000	\$81,300
Other Sources			-\$130,000	-\$130,000
Total	<u>\$201,550</u>	<u>\$121,077</u>	<u>\$62,138</u>	<u>\$384,765</u>

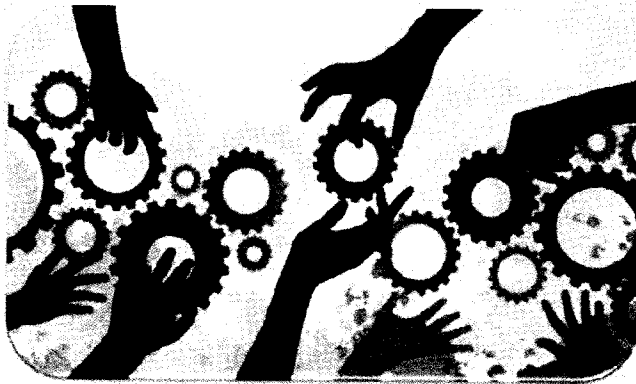
Board of Regents

October 12, 2012



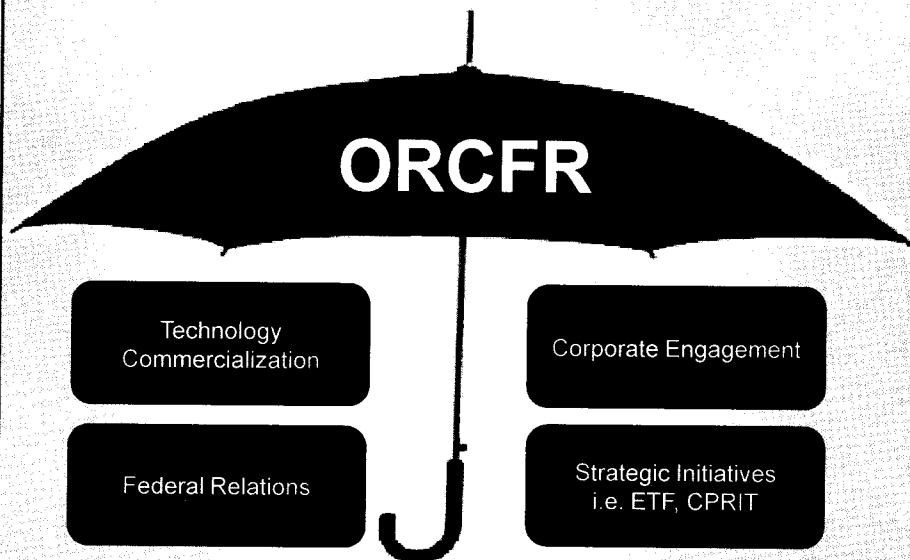
TEXAS TECH UNIVERSITY SYSTEM

Office of Research, Commercialization and Federal Relations (ORCFR)



Board of Regents Meeting October 12, 2012

PROVIDING LEADERSHIP AND SUPPORT FOR UNIVERSITY RESEARCH



HOW WILL WE MEASURE SUCCESS?

- Increase public and private funding for research
- Develop private sector partnerships
- Support public policy that strengthens research and higher education
- Expand commercialization outcomes from research (including increased revenue)
- Enhance research collaboration and coordination

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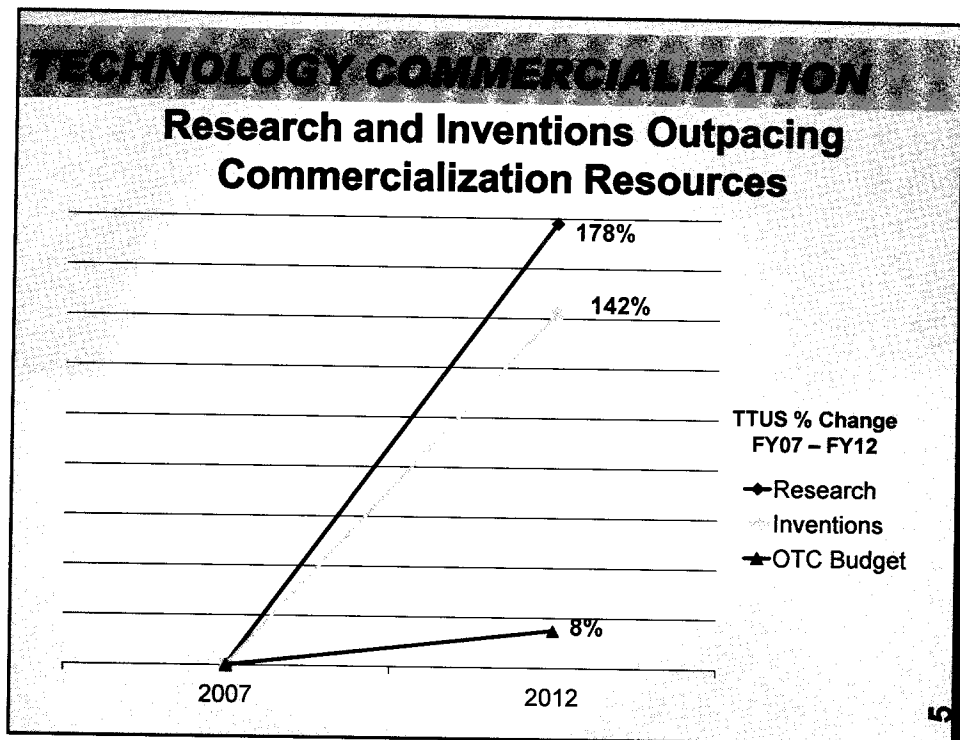
Technology Commercialization

Translating research discoveries into commercial products

"It is essential to the continued economic growth and diversification of this state that technology development and transfer be promoted and expanded."

-Texas Education Code 153.002, 2001

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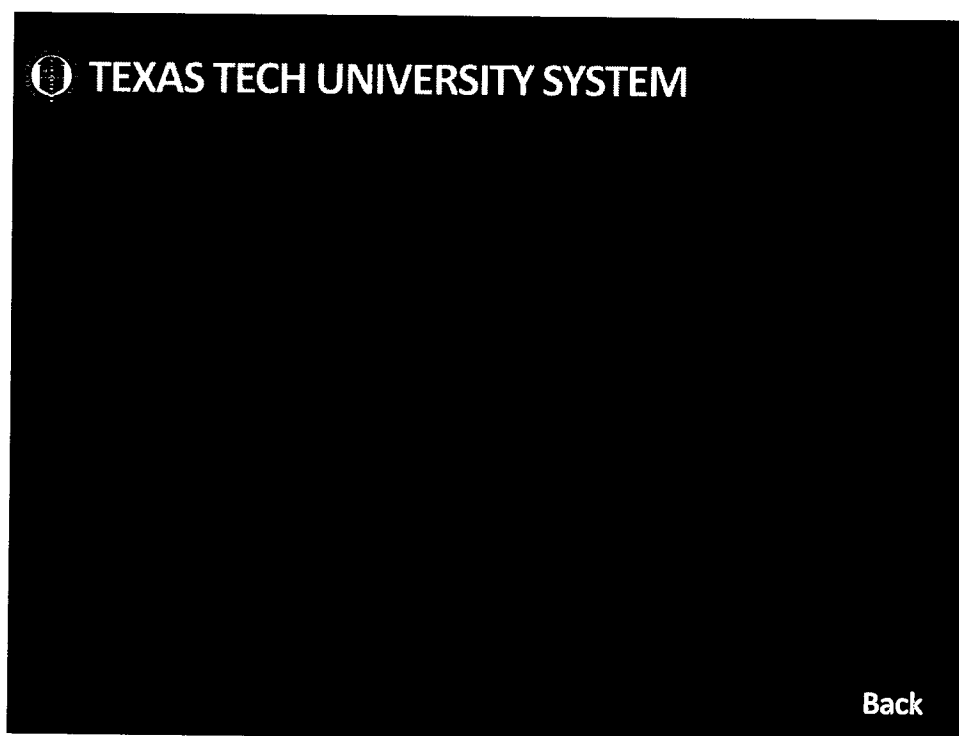
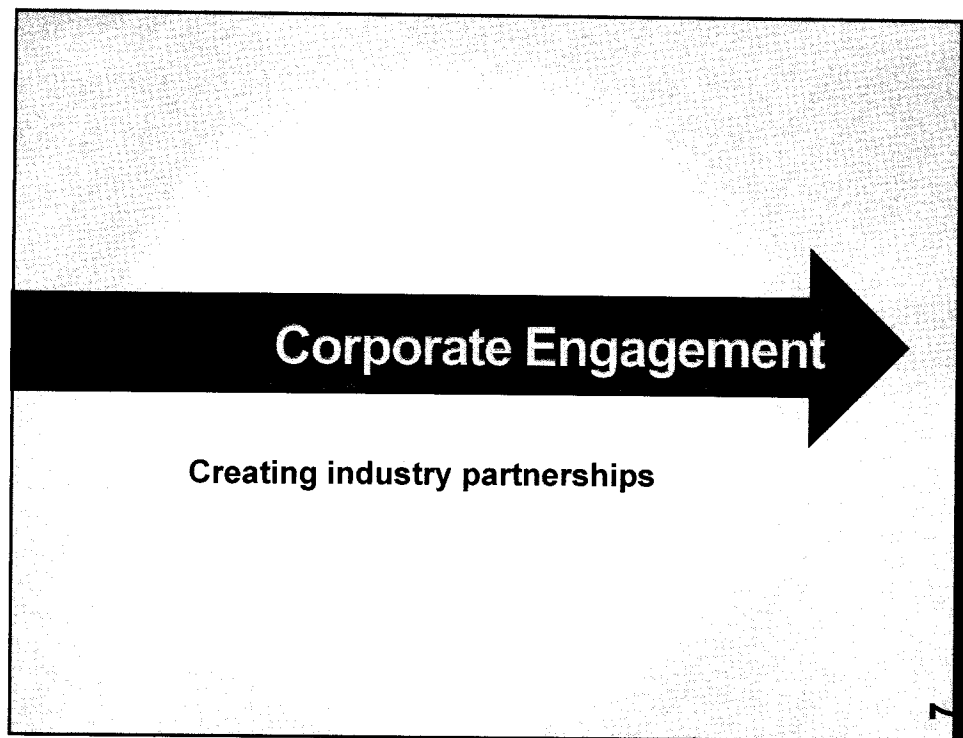


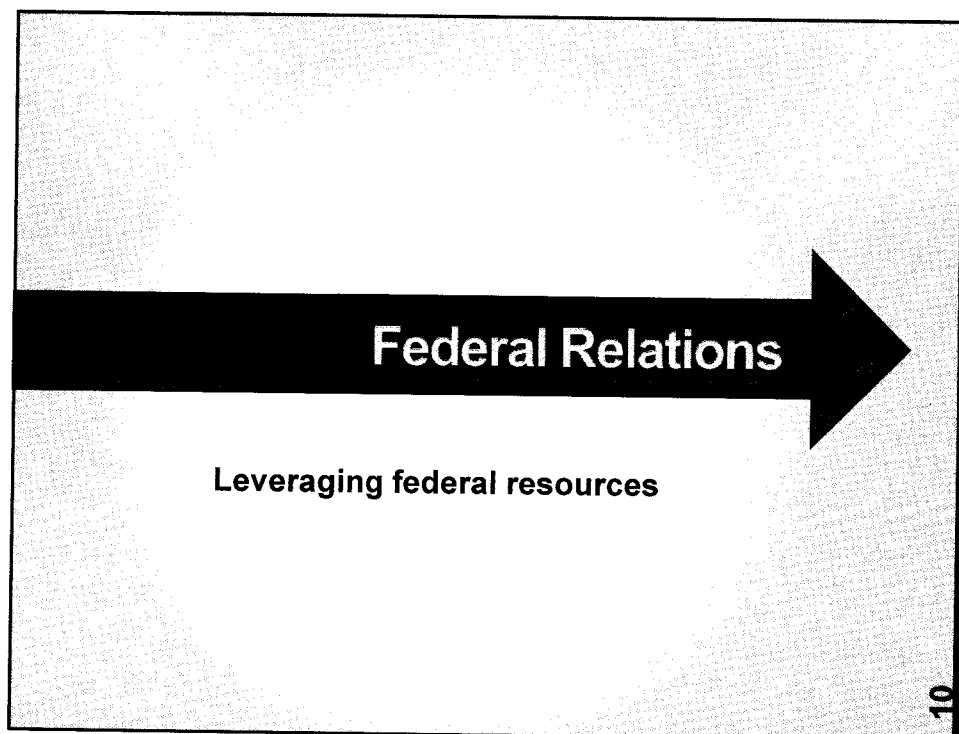
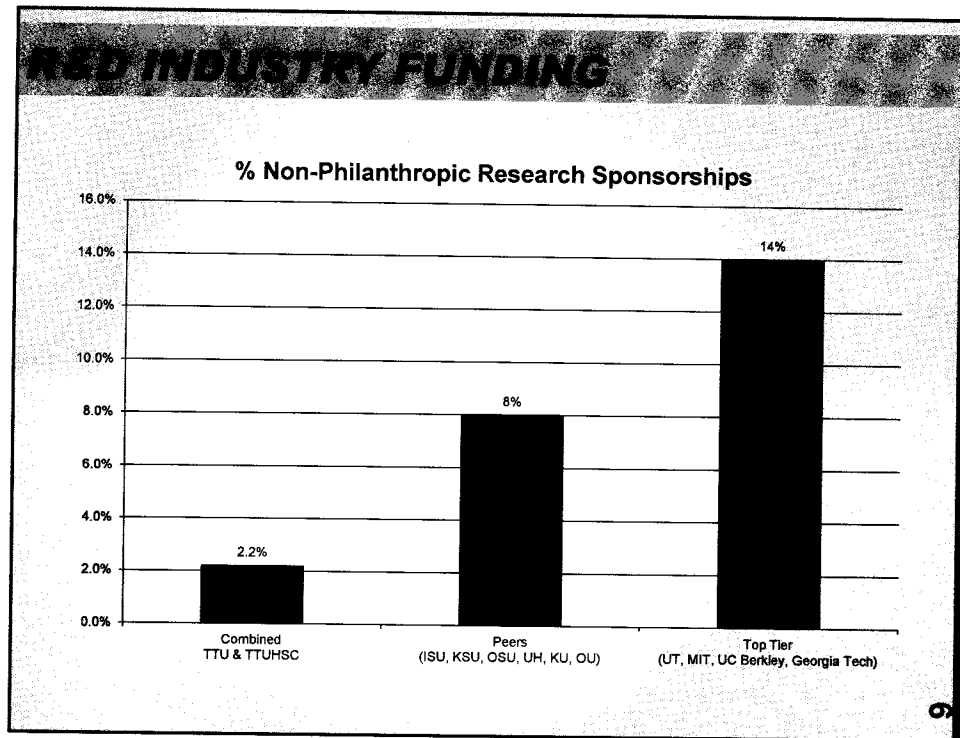
TECHNOLOGY COMMERCIALIZATION

TTUS FUNDED AT HALF OF PEER GROUPS

2010 Metrics: TTUS vs. Medians			
Metric	TTUS	COMPS	BEST
Commercialization funding as % of Total Research Expenditures	0.39%	0.93%	1.34%
Commercialization funding per Invention Disclosure	\$12,408	\$26,162	\$25,696
Legal/Patent Cost per Invention Disclosure	\$2,627	\$14,272	\$15,117
Legal/Patent Cost as % of OTC Budget	21%	47%	49%
Invention Disclosures per FTE	14.75	6.98	8.63

COMPS = UH, OU, OSU, MU, KSU, ISU BEST = UT, WAF, Stanford, A&M, Univ. of Utah

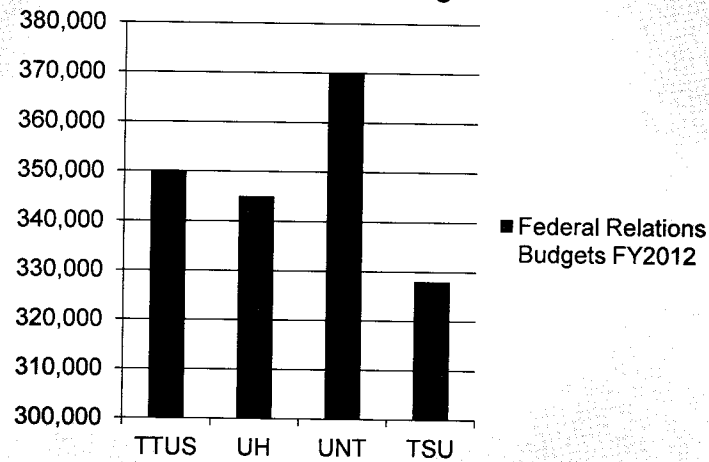




FEDERAL RELATIONS

Average federal relations budget over the last six years for TTUS is approximately \$350,000.

Federal Relations Budgets FY2012



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Financing the Future

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WHAT WE NEED

People
(230K)

Better service to our customers
through increased capacity and
expertise

Patents
(100K)

Enhanced IP value through
smarter patent strategies

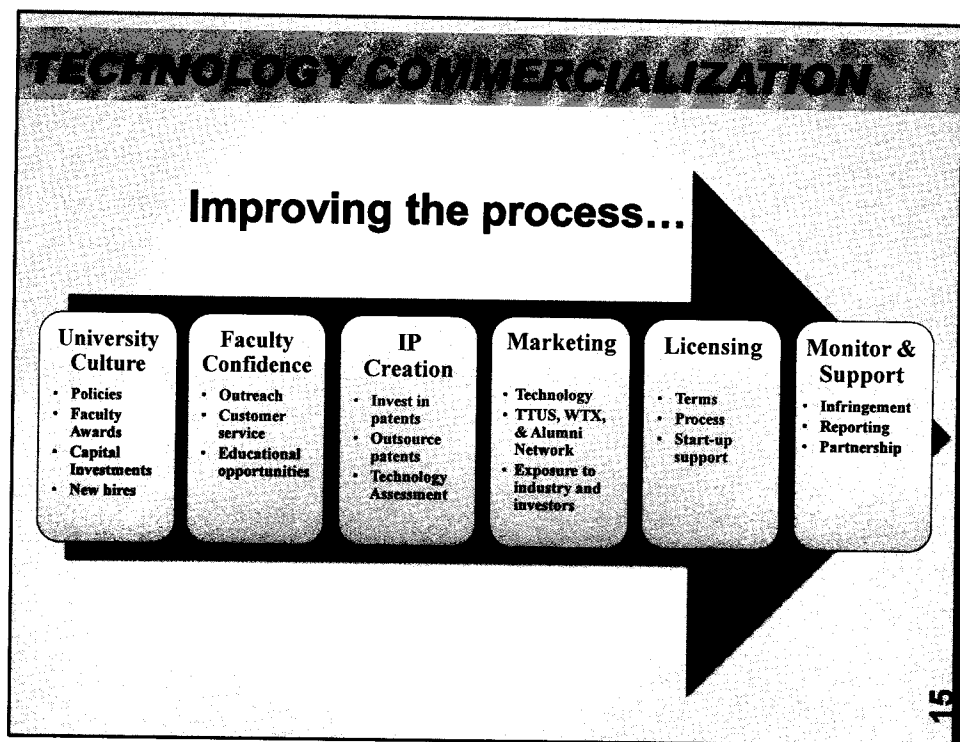
Travel & Other
(54K)

Expanded funding sources
through engagement with
industry and investors

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COMMERCIALIZATION IS A STATE AND FEDERAL PRIORITY

"Universities are encouraged to collaborate to promote the utilization of inventions arising from federal funding."

-Bayh Dole Act 1980

"It is essential to the continued economic growth and diversification of this state that technology development and transfer be promoted and expanded."

-Texas Education Code 153.002, 2001

"During the 79th Texas Legislative Session, the Emerging Technology Fund (ETF) was created as a tool to develop and diversify the Texas economy by expediting innovation and commercialization of research."

-Gov. Perry, Lt. Gov. Dewhurst, Speaker Straus-2010

ORCFR RESPONSIBLE FOR STRATEGIC RESEARCH INITIATIVES

Texas Emerging Technology Fund (ETF)

- Awarded approximately \$12M to date
- National Institute for Renewable Energy
- Water Initiative (new)
- Future matching funds for facilities and faculty

Cancer Prevention Research Institute of Texas (CPRIT)

- Awarded approximately \$20M to date (mostly HSC)
- System Cancer Committee
- Participate on panels, host events and meetings with CPRIT leadership
- Currently on Search Committee for their next Chief Scientific Officer

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HOW DO WE MEASURE SUCCESS?

Technology Commercialization

- ✓ New Inventions
- ✓ License and Option Agreements
- ✓ License Revenue

Corporate Engagement

- ✓ Corporate Research Projects*
- ✓ Corporate Research Projects Awarded*
- ✓ Other Corporate Initiatives (ex: executive education programs)

Federal Relations

- ✓ Federal Research Proposals*
- ✓ Federal Research Proposals Awarded*
- ✓ Success Rate of Legislative and Policy Initiatives

* We only consider outcomes where ORCFR has influenced the process.

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EXAMPLES OF TECHNOLOGIES ENTERING THE MARKET

Health Science

- Drug targeting brain cancer cells, licensed to CerRX.
- Antibody therapy for breast cancer, licensed to Receptor Logic.

Ag Science

- Feed supplement that prevents e-coli in meat, licensed to NPC.
- Decontaminate wipe for military and defense, licensed to Hobbs Bonded Fiber.

Computer Science

- Super computer processing language developed for NASA, licensed to Texas Multicore Technologies.
- Eye-tracking software with multiple application across industries, licensed to Grinbath.

Engineering

- Microwave technology that kills pathogens in food products, licensed to MicroZap.
- Sustainable building material with superior thermal properties, owned by Mason Greenstar.

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TECHNOLOGY COMMERCIALIZATION

RECENT SUCCESSES

- 75 invention disclosures (new record, 25% increase)
- Increase faculty participation, 44% of inventions from new faculty
- 25 patent applications filed (14% increase)
- Executed 5 license agreements (250% increase)
- 1st ASU invention and executed option agreement
- Launched one new university start-up company, LyteGro
- Incorporated search optimization tool to market technologies (172% increase in website traffic)
- Help develop graduate degree program in technology commercialization

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CORPORATE ENGAGEMENT

RECENT SUCCESSES

- **Northrop Grumman**
Two sponsored research agreements (\$120,000), internships established, new major research opportunity
- **Western Towers/Dixie Precast**
Potential income streams (\$500,000+), new corporate sponsored research proposal to Dixie Precast (\$80,000)
- **MommyMeds**
Launched healthcare provider app, working with industry to partner on consumer version
- **Fibertect**
Facilitated marketing plan with Hobbs Bonded Fibers & First Line Technology--set specific milestones for all participants.
- **Wind Curriculum**
Developed marketing plan for wind sciences curriculum
- **Ball Aerospace**
Sponsored research opportunities in discussion

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FEDERAL RELATIONS

RECENT SUCCESSES

- **\$12M in total value of grants influenced by OFR**
- **Awarded \$3M for ASU Center for Security Studies**
- **Awarded \$2.5M for HSC Area Health Education Centers (leverage \$10M in state funding)**
- **Awarded \$5M for Combest Wellness Center**
- **Awarded \$1.2M for Telemedicine**

We only consider outcomes where ORCFR has influenced the process.

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FEDERAL RELATIONS

OTHER IMPORTANT OFR INITIATIVES

- **Authorization and funding of key programs**
 - R&D Budget, Pell Grants, Graduate Medical Education
- **Casework**
 - Visas for foreign HSC Medical Students
- **Policy advocacy**
 - Language in Defense Appropriations Bill

Proposed amendments to Chapter 07 (Fiscal Management), Regents' Rules

PROPOSED as of: 9-21-12

A provision in the *Regents' Rules* contracting policy says: "Board approval is required for contracts that involve a stated or implied consideration in excess of \$500,000 per annum, unless a different consideration is specified by this policy."

But another provision in the contracting policy says: "This contracting policy does not apply to purchasing documents, which shall be processed in accordance with state law and purchasing regulations."

TTU implements the exception for "purchasing documents" differently than does TTUHSC or ASU, with the result being that there is not a uniform application of the policy regarding contracts that must come to the Board for approval.

Immediately below is a proposed clarification to the contracting policy.

Amend Section 07.12.1, *Regents' Rules*, as follows

07.12 Contracting policies and procedures.

07.12.1 General.

- a. This policy shall establish the authority for the board and authorized TTU system personnel to approve, sign, and execute contracts committing the TTU system to any approved act. The policy shall be compatible with all other board policies.
- b. Written contracts shall be executed whenever a TTU system component enters into a binding agreement with another party which involves any material consideration.
- c. Contracts include, but are not limited to: letter agreements, cooperative agreements, memorandums of understanding, interagency contracts, grants, loans, easements, licenses, leases, permits and restrictions on acceptances of gifts and bequests. Other parties include, but are not limited to: federal, state and local agencies, nonprofit organizations, private businesses, corporations, partnerships and individuals.

- d. [~~Except as provided in Section 07.12.1.a, *Regents' Rules*, this~~] This policy shall apply to all contracts for the initial periods, amendments or extensions thereto and shall also apply to, but not be limited to: [~~loans from the U.S. Department of Housing and Urban Development; grants and/or loans under the Higher Education Facilities Act of 1963; loans under the National Defense Education Act; fellowships under the National Defense Act; State of Texas Interagency Cooperation Contracts, including those among component institutions; and~~] cooperative agreements with affiliated and nonaffiliated hospitals and other health care agencies, private corporations, sole proprietorships, federal agencies, private partnerships, and individuals.
- e. This contracting policy does not apply to purchasing documents, which shall be processed in accordance with state law and purchasing regulations. State law delegates certain purchasing authority to the respective purchasing agents at the various state agencies in Texas. The purchasing agents are charged with ensuring that these purchases are processed in accordance with state bidding requirements, sole source purchasing restrictions, and statewide or consortium purchasing contracts for certain commodities.
- f. [~~e.~~] In the event a contract executed under other provisions of this policy is subsequently found to be required by law or by this policy to be approved by and/or executed by the board, the contract shall continue to be in full force and effect, but shall be submitted for ratification at the next available board meeting.
- g. [~~f.~~] Approval and signature execution of a contract pursuant to the provisions of this policy constitutes approval to establish an operating budget, which shall not exceed the consideration of the contract. The operating budget then will be considered approved in

accordance with the provisions of Section 07.04, *Regents' Rules*, and related implementing procedures.

h. [~~f.~~] Contracts shall not be divided to fall within lower levels of approval.

Proposed amendments to Chapter 02 (Administration) and Chapter 06 (Institutional Advancement), *Regents' Rules*

PROPOSED as of: 9-21-12

The Southern Association of Colleges and Schools (SACS) is the accrediting entity for the component institutions of the Texas Tech University System. SACS now requires that each component institution of a system be able to demonstrate within its policies and procedures that the institution's chief executive officer – the president of the institution – oversees the institution's fundraising activities.

Chapters 02 (Administration) and 06 (Institutional Advancement), *Regents' Rules*, currently provide for a "centralized service" at the System administration level under which the approval authority for all fundraising activities "... on the campus by any person, group, or organization associated with the TTU system or by any person, group, or organization acting in the name of the TTU system for purposes of raising funds shall reside with the chancellor and may be delegated to the designee of the chancellor."

Amendments to the *Regents' Rules* are needed to clarify the role of the presidents in the fundraising activities of their respective institutions. Immediately below are the proposed clarifications.

Amend Section 02.02.3, *Regents' Rules*, as follows

02.02 TTU system administration. The TTU system administration consists of the chancellor and such vice chancellors and other administrative officers and staff as the chancellor may require to carry out the duties assigned to the TTU system administration by the board. Except to the extent there is a conflict with *Regents' Rules* or with a policy issued by the TTU system, the TTU system offices and employees are subject to the provisions of the Texas Tech University Operating Policies and Procedures Manual. Consistent with the provisions of Sections 109.001 and 51.353, *Texas Education Code*, the board assigns the following responsibilities to the TTU system administration under the direction of the chancellor.

02.02.1 Activities coordination. Coordinating the activities of component institutions.

02.02.2 Plans initiation. Initiating, monitoring, approving, and coordinating short- and long-range planning for the TTU system.

02.02.3 Service provision. Providing for the entire TTU system the following functions:

- a. cultural diversity, including equal employment opportunity and customer-oriented service instruction;
- b. facilities planning and construction;
- c. legal services;
- d. governmental relations;
- e. institutional advancement, fundraising, marketing, and development – with the chancellor's fundraising role for the TTU system enhancing rather than substituting for the role of the chief executive officer of each TTU system component institution, and with the chancellor and each component chief executive officer furthering the mission of the TTU system and the respective institutions through coordinated fundraising and development activities;
- f. news and information; and
- g. financial services and oversight.

Amend Section 06.01.1, *Regents' Rules*, as follows

06.03 **Private sector support**

- 06.03.1 Gifts and grants. Private sector gifts and grants are an indispensable element in the TTU system's growth and development and as such represent a vast resource for the future of the institutions.
- 06.03.2 Centralized service. It is the intent of the board to have a centralized service that will be primarily responsible for all programs and activities relating to the development of private sector support for the TTU system. Within this framework, each chief executive officer of a TTU system component institution works with the vice chancellor for

institutional advancement to administer fundraising activities for the component institution.

- 06.03.3 Fundraising activities approval. Authority for the final approval of all programs, activities, and procedures that originate on the campus by any person, group, or organization associated with the TTU system or by any person, group, or organization acting in the name of the TTU system for purposes of raising funds shall reside with the chancellor and may be delegated to the designee of the chancellor.
- 06.03.4 The Office of Institutional Advancement. The Office of Institutional Advancement shall assist in the coordination of the programs and activities of all groups and organizations affiliated with the TTU system for purposes of developing private sector support.

[Major revisions: approved by the Board of Regents, October xx, 2012]



Angelo State University Operating Policy and Procedure

OP 10.01: Undergraduate Student Admissions

DATE: August 5, 2011 (Approved by the Board of Regents, TTUS on October xx, 2012)

PURPOSE: The purpose of this Operating Policy and/or Procedure (OP) is to outline policies concerning undergraduate student admissions.

REVIEW: This OP will be reviewed in April of every even-numbered year by the associate vice president for enrollment management with recommended revisions forwarded by June 1 through the vice presidents-president for student affairs and enrollment management to the president for approval and submission to the Board of Regents for approval. (*Regents' Rules*, Sections 05.01.2 and 05.02)

POLICY/PROCEDURE

1. ~~HIGH SCHOOL GRADUATE~~ HIGH SCHOOL GRADUATE ADMISSIONS

A graduate from a public or private high school accredited by a generally recognized accrediting agency or from a home school or a high school operated by the Department of Defense will be eligible for admission to Angelo State University when the applicant has met all admission requirements and has on file in the Office of Admissions the following items:

- Application for Admission
- ~~Official transcripts of high school records with class rank and graduation program (see item a below in Assured Admission).~~ *Students with no rank on their transcripts attending non-ranking high schools (including home schools) will be evaluated based on 3rd Qtr ranking as noted on the chart found in the Assured Admission section below.*
- Residency Questionnaire
- Scores on the ACT or the SAT (scores cannot be more than five years old)
- Current non-refundable application fee

Students who have attended a college or university after their high school graduation are considered transfer students and must meet the requirements listed in section 4 below.

Assured Admission

Applicants from an accredited Texas high school or home school must meet one of the following requirements to be eligible for assured admission:

- a. Successfully complete or on track to complete the Recommended, Distinguished Achievement, or Advanced High School Program.

[Major revisions: approved by the Board of Regents, October xx, 2012]

b. Successfully complete a curriculum from a high school other than public that is equivalent in content and rigor to the Recommended, Distinguished Achievement, or Advanced High School Program. For private and home school students, an official from the high school must submit, on behalf of the student, the Texas Private High School Certification Form published by and made available on the Texas Higher Education Coordinating Board website.

c. Satisfy ACT's College Readiness Benchmarks on the ACT assessment.

d. Earn an SAT assessment score of at least a 1500 out of 2400, or the equivalent. Applicants who meet one of the above requirements (a-d) and who meet the class rank and test score requirements noted below are assured admission. The required SAT scores for assured admission are based on the math and critical reading portions of the SAT only. Writing portions of both tests are required but not used in determining assured admission.

Applicants who meet one of the above requirements (a-d) and who meet the class rank and test score requirements noted below are assured admission. The required SAT scores for assured admission are based on the math and critical reading portions of the SAT only. Writing portions of both tests are required but not used in determining assured admission.

High School	Test Scores
<u>Class Rank</u>	<u>ACT or SAT</u>
Top 10%	No Minimum
Next 40%	1746—820 (Math/Critical
Reading)760	
3 rd Qtr	File Review23————1030
4 th Qtr	File Review 30————1270

Students admitted under this category are subject to requirements regarding satisfactory writing, reading, and mathematics skills as imposed on high school graduates based upon on their subscores on the ACT or SAT exams, or scores received on the TSI assessment test.

Admission File Review of Applicants

Students who are unranked or fall within the 3rd or 4th quartiles will have their file reviewed to determine admissibility and potential for success at Angelo State University. Consideration factors could include, but are not limited to, the applicant's academic record, class rank, standardized test scores, first-generation status, bilingual proficiency, extracurricular activities, community activities, region of residence, socioeconomic background, any other consideration the university considers necessary to accomplish the university's stated mission. Applicants who do not meet the assured admission criteria will have their records reviewed to evaluate other factors that could predict success at Angelo State University. by A committee will review applicants individually in a holistic manner. Additional information such as, but not limited to, high school course work, dual credit experience, and honors/advanced placement courses will be considered transcript for the purpose of identifying candidates for admission.

[Major revisions: approved by the Board of Regents, October xx, 2012]

2. GENERAL EQUIVALENCY DIPLOMA (GED) ADMISSIONS

Individuals who are not high school graduates but who have submitted evidence of a high school equivalency diploma from the Texas Education Agency (or equivalent agency in other states) may be eligible for admission to Angelo State University when they have submitted all of the following items to the Office of Admissions:

- Application for admissions
- Scores on the ACT or the SAT (scores cannot be more than five years old)
- Current non-refundable application fee

~~required items noted in 1. above and must meet one of the following admission requirements:~~
These applicants must meet one of the following admission requirements:

- a. Satisfy ACT's College Readiness Benchmarks on the ACT assessment, or
- b. Earn an SAT assessment score of at least a 1500 out of 2400, ~~or the equivalent.~~

Students admitted under this category are subject to requirements regarding satisfactory writing, reading, and mathematics skills as imposed on high school graduates based ~~upon~~ on their subscores on the ACT or SAT exams, or scores received on the TSI assessment test.

3. DUAL CREDIT/CONCURRENT ENROLLMENT

High school and home school students who have completed the sophomore or junior year of high school may be eligible for enrollment in certain courses at Angelo State University under the Springboard ASU Dual Credit/Concurrent Enrollment Admission Program (DC/CE). Students approved for DC/CE must be enrolled concurrently in Angelo State University and high school. Enrollment will be limited to no more than ~~seven semester credit hours, or~~ two courses of prescribed work during a fall or spring semester or each summer term.

A high school student will be eligible for admission to Angelo State University under the Springboard ASU Dual Credit/Concurrent Enrollment Admission Program when the applicant has met all admission requirements and has on file the following items:

- Dual Credit/Concurrent Enrollment Application for Admission
- Official transcripts of high school records
- Official scores on the ACT or the SAT (scores no more than five years old)
- Passing scores on a Texas Success Initiative (TSI) assessment test or proof of exemption
- Dual Credit/Concurrent Enrollment agreement form
- Current non-refundable application fee
- Letter of recommendation from high school counselor
- Completed Residency Questionnaire
- Completed Bacterial Meningitis vaccination form
- Completed FERPA waiver form
- Completed course selection sheet

[Major revisions: approved by the Board of Regents, October xx, 2012]

In order to be eligible for admission to Angelo State University under the Springboard ASU Dual Credit/Concurrent Enrollment Admission Program, high school students must meet the following admissions requirements: requirements in one of the following categories:

a. Distinguished Enrollment

- (1) Current enrollment in high school courses necessary to complete the curriculum requirements for the Texas Scholars Program, Recommended High School Program, Distinguished Achievement, or other College Preparatory Curriculum, and
- (2) Meet Texas Success Initiative (TSI) exemption requirements with a composite score of 23 or above (19 subscore in English and math) on the ACT or a combined verbal and math score of 1070 (minimum 500 verbal and 500 math subscores) or above on the SAT, or provide passing scores on a TSI assessment test, or

b. Regular Enrollment

- (1) Current enrollment in high school courses necessary to complete the curriculum requirements for the Texas Scholars Program, Recommended High School Program, Distinguished Achievement, or other College Preparatory Curriculum and present a composite score on the ACT or a combined critical reading and mathematics score on the SAT, for the high school class rank shown below:

<u>High School</u> <u>Class Rank</u>	<u>Test Scores</u> <u>ACT or SAT</u>
Top 10%	No Minimum
Next 40%	176 ——— 820-760
(Math/Critical Reading	
3 rd Qtr:	File Review 23 — 1030
4 th Qtr:	File Review 30 — 1270

- (2) Meet Texas Success Initiative (TSI) exemption requirements with a composite score of 23 or above (19 subscore in English and math) on the ACT or a combined verbal and math score of 1070 (minimum 500 verbal and 500 math subscores) or above on the SAT, or provide passing scores on a TSI assessment test; and
- (3) Provide passing scores on a Texas Success Initiative (TSI) assessment test; and
- (4) A score of 17 or greater on the English section of the ACT or 430 or greater on the critical reading component of the SAT; and
- (2)(5) A score of 18 or greater on the mathematics section of the ACT or 430 or greater on the mathematics component of the SAT.

Applicants from an unranked high school and home school applicants must meet "Distinguished Enrollment" requirements. If no rank is provided on the high school transcript applicants must meet admission requirement as stated in (2) above.

A high school student will be eligible for admission to Angelo State University under the Springboard ASU Dual Credit/Concurrent Enrollment Admission Program when the

[Major revisions: approved by the Board of Regents, October xx, 2012]

applicant has met all admission requirements and has on file in the Office of Admissions the following items:

- ~~Dual Credit/Concurrent Enrollment Application for Admission~~
- ~~Official transcripts of high school records~~
- ~~Official scores on the ACT or the SAT (scores no more than five years old)~~
- ~~Passing scores on a Texas Success Initiative (TSI) assessment test or proof of exemption~~
- ~~Dual Credit/Concurrent Enrollment agreement form~~
- ~~Current non-refundable application fee~~
- ~~Letter of recommendation from high school counselor~~
- ~~Completed Residency Questionnaire~~
- ~~Completed Bacterial Meningitis vaccination form~~
- ~~Completed FERPA waiver form~~
- Completed course selection sheet

Students granted enrollment to take academic courses under Springboard ASU Dual ~~Students granted enrollment to take academic courses under the Springboard ASU Dual~~ Credit/Concurrent Enrollment Admission Program will not be considered as having officially been admitted to, nor matriculated at, Angelo State University until they graduate from high school and enroll in the university as regular students.

4. TRANSFER ADMISSIONS ~~(FROM ANOTHER COLLEGE OR UNIVERSITY)~~

Students transferring from an accredited college or university will be eligible for admission to Angelo State University when they have met all admission requirements and have on file in the Office of Admissions the following items:

- Application for Admission
 - Official transcripts of all college or university work
 - ~~Residency Questionnaire~~
 - ~~Transfer Admission Agreement (if currently enrolled at another college or university)~~
 - Current non-refundable application fee
- a. Transfer students from an accredited college or university who are not on disciplinary probation or suspension may be admitted if their cumulative grade point average on all college level work attempted meets the following criteria and the other designated requirements:

<u>Total College Level Semester Credit Hours Attempted</u>	<u>Minimum Cumulative Grade Point Average and Other Requirements</u>
1-17	2.00 and meet admission criteria for regular admission for high school graduates as listed under No. 1
18 or more	2.00

[Major revisions: approved by the Board of Regents, October xx, 2012]

Students who are on academic suspension at any institution attended are ineligible for admission to Angelo State University until the period and terms of the suspension have been satisfied and the above criteria have been met.

- b. Transfer students will be subject to the same special requirements regarding developmental course work in English/writing/reading and/or mathematics as required for high school graduates if their ACT or SAT scores, their performance on the Texas Success Initiative (TSI) assessment test or on proficiency tests administered by the university, or their performance in subsequent course work at Angelo State University indicates a deficiency in English and/or mathematics skills. ASU will not accept transfer credit for developmental courses.
- c. Graduates from an accredited ~~Texas public~~ two-year college with the associate degree will be admitted to Angelo State University once official transcripts demonstrating a 2.00 GPA or greater on all transferrable coursework have been received in the Office of Admissions. However, a maximum of sixty-six semester credit hours of college level academic course work may be applied towards a bachelor's degree at ASU.
- d. When calculating a transfer grade point average, grades of *A*, *B*, *C*, *D*, and *F* are computed as recorded. Grades of *WF* are averaged as *F*. When a course has been repeated, the last grade stands and is used for GPA calculations. Grades in non-transferable, developmental, and technical/vocational courses are disregarded.
- e. Students meeting all admission requirements who are currently enrolled in another college or university and are unable to provide current transcripts of all previous work may appeal their admission to the Office of Admissions. It is the responsibility of the students to provide the official transcript to the Office of Admissions or be subject to forced withdrawal.

5. ~~READMISSION OF FORMER ASU STUDENTS~~ FORMER STUDENT ADMISSIONS

All former undergraduate Angelo State University students who did not attend one long semester (fall or spring) must re-apply for undergraduate admission in order to re-enter ASU. They must submit an application for undergraduate admission and the current non-refundable application fee.

Former ASU students who have attended another college or university after leaving ASU will be considered as transfer students and must meet the requirements listed in section 4 above, submit an application for undergraduate admission, the current non-refundable undergraduate application fee, Students must submit and official transcripts of all college or university course work since their last enrollment at ASU. The cumulative grade point average of all official transcripts that were not previously received in the Office of Admissions since last attendance at ASU must be a minimum 2.00.

Students who leave ASU on scholastic probation may be re-admitted on scholastic probation. If a student was suspended from ASU, he or she may return on probationary status after complying with the suspension requirements.

6. ~~TRANSIENT~~ TRANSIENT ADMISSIONS

[Major revisions: approved by the Board of Regents, October xx, 2012]

~~Transient admission is for the summer only. Applicants who have completed college work and are working toward a degree at another college or university are eligible to be considered for transient admission. Applicants who desire to register only for any term a summer session may be considered for enrollment without regard to the provisions of (a) above, but must not be on academic or disciplinary probation or suspension from another institution.~~

Transient students are required to submit an application for undergraduate admission, the current non-refundable undergraduate application fee, and proof of good standing with their current institution.

7. ~~NON-DEGREE SEEKING STUDENT~~ POST-BACCALAUREATE ADMISSIONS

~~Post-baccalaureate admissions is granted to students who have been A student who already has been awarded a Bachelor's degree and does not want to obtain another undergraduate degree may apply for admission as a non-degree post-baccalaureate seeking student. Post-baccalaureate students are required to submit an application for undergraduate admission, current non-refundable undergraduate application fee, and proof of baccalaureate degree.~~

~~Non-degree seeking students are ineligible to receive financial aid.~~
Currently enrolled ASU undergraduate students who are in good standing and seek post-baccalaureate admissions for the term directly following their graduation only need to submit a Continuing Education Verification Form for admission.

8. OTHER PROVISIONS AND CONDITIONS OF ADMISSION

All other provisions and conditions of admission not covered by the above admission requirements, including, but not limited, to ~~early admission~~ individual credit/concurrent enrollment for high school students, joint admission programs for transfer students, international student admissions, consideration of the content of the high school or college curriculum pursued by the applicant, and special admission on an individual basis, shall be established by the president of the university.

9. ADMISSION DECISION APPEALS

The policies and procedures for considering admission decision appeals shall be established by the vice president for student affairs and enrollment management, subject to the approval ~~of by the president of the university, and~~ The university's decision in all such cases shall be final.

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2013

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMENTS	STATUS AS OF OCT 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		TOTAL ENGAGEMENT HOURS AVAILABLE	19,175					
		REQUIRED AUDITS						
Required	ALL	State Auditor's Office and Comptroller's Office Misc. Projects	Miscellaneous (assist)	20	(5)			15
		TTU and ASU: 2012 Statewide Federal Financial Audit	Financial/Compliance (assist)		5	In Progress	5	0
Required	TTUS	Texas Tech University Foundation	Financial (assist)	120				120
Required	TTUS	Regents, Chancellor, & Presidents Travel and Other Expenses	Compliance (assist)	50		In Progress	19	31
Required	TTUS	Office of Audit Services Annual Report	Compliance	30		In Progress	9	21
Required	TTUS	Office of Audit Services Annual Plan	Compliance	40				40
Required	TTUS	Office of Audit Services Quality Assurance Activities Review	Compliance	60				60
Required	TTU	Texas Higher Education Coordinating Board ARP Grants	Compliance	80				80
Required	TTU	Texas Higher Education Coordinating Board Facilities Audit	Compliance	100		Complete	103	(3)
Required	TTU	NCAA Rules Compliance Program	Compliance	300				300
Required	TTU	Athletics Financial Review	Financial (assist)	175		In Progress	2	173
Required	TTU	Texas Tech Public Media Financial Statement Audit	Financial (assist)	300		In Progress	2	298
Required	TTU	Joint Admission Medical Program Grants	Compliance	60		In Progress	5	55
Required	TTU	Football Attendance Certification	Compliance	10				10
Required	HSC	Texas Higher Education Coordinating Board ARP Grants	Compliance	40				40
Required	HSC	Texas Higher Education Coordinating Board Residency Grants	Compliance	80				80
Required	HSC	Correctional Managed Health Care Committee Contract	Compliance	200				200
Required	ASU	Carr Foundation	Financial (assist)	10				10
Required	ASU	SACS Financial Statement Review	Financial (assist)	400		In Progress	1	399
		TOTALS FOR REQUIRED AUDITS	2,075	-		141	982	952
		AUDITS IN PROGRESS AT AUGUST 1, 2012						
Prior Year	TTUS	Assessment of Risk Management Processes	Risk Management	175		In Progress	175	0
Prior Year	TTUS	Construction Project Expenses—TTU Rawls College of Bus. Admin.	Financial/Compliance (assist)	5		Complete		5
Prior Year	TTUS	Construction Project Expenses—TTU Boston Residence Hall	Financial/Compliance (assist)	5		In Progress	5	0
Prior Year	N/A	TeamMate Suite Software Implementation	Operational	50		On Hold	39	11
Prior Year	TTU	Physical Plant	Operational	300		In Progress	378	10
Prior Year	TTU	Enrollment Management	Operational	75		Complete	64	11
Prior Year	TTU	Small Business Program (SBIR/STTR) Federal Grants	Financial/Compliance	40		Complete	43	(3)
Prior Year	TTU	Student Business Services	Operational/Controls	525	150	In Progress	715	100
Prior Year	HSC	El Paso Campuswide Claims Rejections	Operational	275		In Progress	238	37
Prior Year	HSC	El Paso Department of Obstetrics & Gynecology	Operational/Financial	250		In Progress	202	48
Prior Year	HSC	Lubbock Department of Internal Medicine	Operational/Financial	40		Complete	75	(35)
Prior Year	HSC	El Paso Department of Family Medicine	Operational/Financial	200		Complete	252	(52)
Prior Year	ALL	Wrap-up on Audits Included in August BOR Report		20		Complete	25	(5)
		TOTALS FOR AUDITS IN PROGRESS	1,960	150		2,031	211	(307)
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS						
		Total Hours Budgeted for Special Projects & Investigations	3,750	(830)				2,920
		IN PROGRESS AT AUGUST 1, 2012						
Special	HSC	Correctional Managed Health Care Committee Contract	Investigation	150		In Progress	32	118
		BEGUN AFTER AUGUST 1, 2012						
Special	TTU	Animal and Food Sciences	Special	350		In Progress	213	137
Special	TTU	Civil Engineering	Special	150		In Progress	16	134
Special	ALL	Data Analysis Framework	Special	80		In Progress	1	79
Special	ALL	Miscellaneous Hotline Projects	Special	100		In Progress	54	46
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS	3,750	830		316	514	2,920
		HIGHEST PRIORITY						
	TTUS	Construction Project Expenses	Financial/Compliance (assist)	40				40
	TTU	Payment Card Industry Data Security Standards	IT/Compliance	400		In Progress	324	76
	TTU	Graduate School	IT/Operational/Financial	500				500
	TTU	Institutional Compliance and Ethics Program	Compliance/Operational	400				400
	HSC	Institutional Compliance and Ethics Program	Compliance/Operational	400				400
	HSC	Payment Card Industry Data Security Standards	IT/Compliance	400				400
	HSC	El Paso Clinical Research Administration	Controls/Compliance	300				300
	HSC	El Paso Southwest Endocrine Consultants	Controls	100				100
	HSC	El Paso EMR Post-Implementation Review	IT Controls	400				400
	ASU	Enrollment Management	Operational/Compliance	350	(100)	In Progress	93	157
	ASU	Office of Business Services and Special Events	Operational/Financial	250				250
		HIGHEST PRIORITY TOTALS	3,540	(100)		417	233	2,790

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2013

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMENTS	STATUS AS OF OCT 1	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
MODERATE PRIORITY								
2	TTUS	Payment Card Industry Data Security Standards	150					150
2	TTU	University Libraries	400					400
2	TTU	Barnes & Noble Contract	400		On Hold	6	394	0
2	HSC	Lubbock Clinical Research Administration	400					400
2	HSC	Lubbock Department of Ophthalmology	400					400
2	HSC	Laura W. Bush Institute for Women's Health	350		On Hold	25	325	0
2	HSC	IDX Services	400					400
2	HSC	El Paso Department of Anesthesiology	325					325
2	ASU	HIPAA Compliance	200					200
2	ASU	Payroll Processes	325					325
2	ASU	Human Resource Processes	325					325
MODERATE PRIORITY TOTALS			3,675	-		31	719	2,925
LOWER PRIORITY								
3	ALL	Audit Report Follow-Up Procedures and Reporting	300		In Progress	56	244	0
3	TTU	United Spirit Arena Operations	400		In Progress	23	377	0
3	TTU	Vacation and Sick Leave Reporting	300		In Progress	44	256	0
3	TTU	President's Office	400					400
3	HSC	Lubbock Graduate School of Biomedical Sciences	200					200
3	HSC	Libraries of the Health Sciences	300					300
3	HSC	Lubbock Department of Medical Education	250					250
3	HSC	El Paso Department of Medical Education	200					200
3	HSC	El Paso Gail Greve Hunt School of Nursing	300					300
3	HSC	El Paso Department of Orthopaedic Surgery and Rehabilitation	325					325
3	ASU	Payment Card Industry Data Security Standards	100					100
3	ASU	President's Office	200					200
LOWER PRIORITY TOTALS			3,275	-		123	877	2,275
OTHER VALUE-ADDED WORK								
Total Hours Budgeted for Other Value-Added Work			900	(189)				711
Other	TTUS	Fraud Prevention Training			Ongoing	22		
Other	TTUS	Cash Handling and Control Environment Training			Ongoing	31		
Other	TTUS	Enterprise Application Steering Committee			Ongoing			
Other	TTUS	Enterprise Application Council			Ongoing			
Other	TTUS	Enterprise Application Work Group			Ongoing	2		
Other	TTUS	Research Projects Executive Steering Committee			Ongoing			
Other	TTU	Institutional Compliance Committee			Ongoing			
Other	HSC	Institutional Compliance Working Committee			Ongoing			
Other	N/A	Professional Organizations (ACUA, TACUA, IIA, TSCPA, SAI/AF)			Ongoing	40		
Other	TTUS	Other Miscellaneous Projects			Ongoing	94		
OTHER VALUE-ADDED WORK TOTALS			900	-		189	-	711
TOTAL ENGAGEMENT HOURS			19,175	50		3,248	3,536	12,266
=====								
KEY								
	TTUS	Texas Tech University System and/or inclusive of multiple Texas Tech institutions						
	TTUSA	Texas Tech University System Administration						
	TTU	Texas Tech University						
	HSC	Texas Tech University Health Sciences Center						
	TTU & HSC	Areas with parallel functions or shared responsibility						
	ASU	Angelo State University						
	N/A	Work that is not attributable to a particular institution or campus						
Required	Audits that are mandated by law, Operating Policies, standards, contracts, etc. Will be performed based on timing of external deadlines.							
Prior Year	Engagements from prior year annual plan that were in progress at August 1. Goal is to complete them early in the year.							
Special	Unplanned special projects and investigations.							
1	Engagements that were deemed most critical per the risk assessment at August 1.							
2	Engagements that were deemed to be moderately critical per the risk assessment at August 1.							
3	Engagements that were deemed least critical per the risk assessment at August 1.							
4	Areas of exposure that need attention, but have not been included in the official plan because of resource constraints.							
Other	Other projects, including committee service, class development and instruction, professional organizations, etc.							



TEXAS TECH UNIVERSITY SYSTEM

Data Security Talking Points

1. Data Security Introduction
 - a. Overview
 - i. Confidentiality
 - ii. Integrity
 - iii. Availability
 - iv. Accountability
 - b. State of Texas Activities
 - i. DIR, TAC 202
 - ii. Texas Cybersecurity, Education and Economic Development Council
2. Data Classifications
 - a. Confidential - Examples
 - i. PII (Personally Identifiable Information)
 - ii. PHI (Personal Health Information)
 - b. Sensitive - Examples
 - i. Certain research data
 - ii. Security logs
 - c. Public – Example
 - i. Public-facing website
3. Data Controls
 - a. Access Controls
 - i. Public data
 - ii. "Need to Know" data for business operations (credit card, research, student, employee)
 - b. Network Controls
 - i. Firewalls
 - ii. Network segmentation
 - iii. Intrusion detection systems
 - c. Server and Application Controls
 - i. Role based access
 - ii. Separation of duties

- iii. General Controls Audits and State Audits
 - d. Other
 - i. Internal Processes
 - ii. Encryption
 - 1. At rest
 - 2. In transit
 - iii. Data on the Cloud
 - 1. Type of Data
 - 2. Service Level Agreements
- 4. Devices and Data
 - a. Desktops and Servers
 - i. Security updates and scans
 - ii. Security of systems
 - b. User Devices
 - i. Personally owned
 - ii. Continually changing
 - iii. Systems must be current to handle changes
 - iv. State and University policies on data protection
- 5. Training
 - a. IT staff training
 - b. General employee training
 - c. Awareness training
 - d. Training Varies by Need
 - i. Initial
 - ii. Annual
 - iii. Only those who handle specific data
- 6. Collaboration across TTU System
 - a. Efficiencies
 - b. Policy coordination
 - c. Risk Assessment coordination
 - d. Sharing of best practices

Chancellor's Report
Texas Tech University System
Board of Regents Meeting
October 12, 2012

Chancellor Hance presented his report to the Board: "As far as fundraising, we have finished the year \$126.7 million. The campaign at the end of September was \$928 million. We are getting close. We've got about five big requests out. Hopefully, several of those will come through even before the end of this calendar year. We have also had a big marketing push. We have banners along University Avenue and Memorial Circle that say "Vision and Tradition Campaign." That is also displayed in front of all of the Tech construction sites. The City of Lubbock has worked with us in putting up some of those banners.

"ASU has received the final \$3 million on our security studies. We started that program at \$9 million as requested. We got \$6 million earmarked. We went through a different process. Senator Kay Bailey Hutchison was the key factor. Congressman Conaway worked on it along with some others. We got that established and we now have the money. That is huge for us.

"Joe, how many people do we have in that program?"

Dr. Rallo replied, "We have about 500."

Chancellor Hance asked Dr. Rallo, "What percent are online?"

Dr. Rallo replied, "Right now just about everyone in that program."

Chancellor Hance continued, "So we have military from all over the world taking this course. This is a priority for us and also for the Pentagon.

"Texas and Louisiana's Tele-Health Care Center—we got a \$1.3 million grant on that. Texas Tech and LSU went in together and established and became partners on a regional tele-health resource center. There has not been a tele-regional center in Texas or Louisiana. So, now we are one of 12 in the nation and the first one in the state of Texas. Senator Hutchison, Congressmen Neugebauer, Conaway and Sylvester Reyes worked hard on this as well as the delegation from Louisiana.

"AHAC—remember we had the problem last year. It looked like we had lost the AHAC funding. That has been re-established and it will renewed for another five years. That is for \$2.5 million. For 2012, the Health Sciences Center will be getting \$457,000. Over the life of the grant that will be \$2.5 million for us.

"That is all I have for today."

Chairman Turner thanked the chancellor for his report to the Board.

President's Report
Texas Tech University
Board of Regents Meeting
October 12, 2012

Dr. Schovanec presented his report to the Board: "My report today will focus on enrollment issues and recruitment. This is an important priority in terms of our long-term ambitions but also during this calendar year we have one semester—that being the spring in which to maximize our enrollment to benefit from the formula.

"I'd like to begin by showing you a snapshot of our enrollment, the qualities of our student population. This year we had a record enrollment for the eighth consecutive semester. The increase wasn't great but it was a record enrollment. It was the second largest freshmen class in the history of Texas Tech. In spite of that increase, our SAT scores was up based on Red Raider orientation, we are about 10 points higher than a year ago. The final numbers that might be recorded could be a bit lower than that.

"Transfer students remain an important source of our enrollment. About 60 percent of the high school students in Texas who go to college start in community colleges. We need to avail ourselves to that population. Our graduate enrollment was down this year but part of that is due to the degrees we awarded. We had a record number of degrees this last year. That was a 10 percent increase over the previous year—I'll have more to say about that later.

"Our graduation rate is now at 62 percent. That is up 10 percent over what it was 10 years ago.

"This slide illustrates the enrollment growth again. We have had an increase over the years and that is something we will try to maintain.

"This illustrates the degrees we have conferred. You can see that there was a considerable increase in degrees last year. It was at almost 700 over the previous year. About half of those were graduate degrees. The state is most likely going to move to some type of outcomes based funding—maybe 10 percent of the formula will be tied to certain outcomes. Degrees awarded are an important measure in that. So, this is actually good news. This will help us.

"I would like to make a few comments about the phenomenon of brain drain. This is an issue that is getting more attention at the state level. It came up last week. I attended a Texas Higher Education Coordinating Board conference. Chairman Dan Branch, who chairs the House Higher Education Committee, commented on this. It also came up the next day when we testified before that committee. The idea is that Texas is losing a lot of our high school students out of state. This is also occurring in a locally in West Texas. If you look at the slide, you will notice that a year ago, 26 percent of our freshmen class came from within a 200 mile radius of Texas. This year it was 21 percent. There is good news here along with other issues. This also speaks to the fact that Texas Tech has an increasing presence statewide. We more and more are

becoming the university of choice across this state and we are drawing a lot of students from the Houston and Dallas areas. At the same time, we want to make sure that we are capturing all of the local talent that we have in this area and will outline initiatives we are going to take up in that regard later.

"If you look at the state picture, you will see that Texas has a net loss of over 9,500 students. This was the most recent data we could get from the fall of 2010 but we are trying to get updated information. The only states that lost more students are New Jersey and Illinois. There is some information here that is not revealed in this. That loss to Texas was 12 percent of our freshmen class. It was interesting—right before I came to the Board meeting, I spoke to the Texas Tech National Advisory Board for Alumni and I sat next to a member of that Board who is from New Jersey. He said, 'we would love to see you come into the northeast and recruit more of our students.' That number that New Jersey lost is 41 percent of their freshmen. In Illinois, it is 29 percent. In Nevada, it is 25 percent, Colorado is 24. So, those states are exporting a lot of their college talent and that is going to be part of the strategy that we are going to employ in trying to go out and capture some of that potential enrollment. Where are states exporting students? We might as well be there to at least offer the possibility of Texas Tech.

Regent Neal asked, "What is our loss?"

Dr. Schovanec responded, "That is 12 percent of our freshmen class.

"Across the state 94 percent of our enrollment in the classroom our Texans but we are still losing 12 percent of our freshmen class that went to college."

Regent Anders asked, "What is causing that loss?"

Dr. Schovanec replied, "It relates to the recruitment from other states who come to Texas to recruit. We have looked at the list of states that have permanent recruiters in Houston and Dallas. You will see Louisiana, Oklahoma, Alabama, Arkansas—the recruit extensively in Texas. I will talk a bit later about these presidential recruiting events we have been going on. I was in Dallas last week, and I talked with a student who had a full ride from Louisiana, one who had a full scholarship from Arkansas and we see that more and more. These are good students and some of these other states are coming to Texas to get our students."

Chairman Turner added, "We don't begin to have the merit scholarships and capacity that the University of Arkansas has for example. We have a 10 percent rule. So, if you check in the metroplex—well Larry you know—and Houston, we don't do a good enough job of recruiting there and so those kids who don't get into UT or A&M, they are looking at Ole Miss, Arkansas or LSU, Georgia..."

Dr. Schovanec replied, "That is correct and I will come back to that very point in a bit.

"The next slide shows a pattern. This is the loss of freshmen in Texas going out of state. It's an issue. It's a growing issue. This sort of data is part of the discussion

when they talk about the need for more tier one institutions in this state. In fact, Chairman Branch made a comment in the hearings last week that he wants to see Texas Tech thought of as a Tier one type institution that would keep these students in this state. That is why there is a lot of support in maintaining the research funding for these emerging research universities.”

Chairman Turner asked for Dr. Schovanec to repeat his statement.

Dr. Schovanec responded, “Chairman Branch was talking about this issue of brain drain. He didn’t cite this data, but he referred to states that have a number of tier one type institutions. For instance, California has nine members of the AAU and we have three but he made a comment, ‘I want Texas Tech and Houston to be viewed as a viable alternative for these students who are going out of state to go to these tier one type schools. That is one of the reasons they believe that the support of the emerging research universities to obtain this tier one status is a worthwhile cause.”

Regent Walker stated, “Last year I was at the Houston road show recruiting students. We had the ballroom of the Westin Galleria and every seat was taken and there were probably 50 people standing in the back. So, I would suspect a reasonable percentage of those students came to Tech or they wouldn’t have shown up with their parents to do that. At least we are doing something extremely positive. I don’t think a lot of the other universities are doing that. “

Chairman Turner added, “John we need a real focus on fundraising for merit scholarships, so we can compete with the other schools. “

Dr. Schovanec added, “I agree.

“The next slide shows you the states that are importers of students. What are we doing about this? I’ll talk about some of our enhanced recruiting efforts. Regent Walker, to the point you just addressed, we have been going out every Sunday to conduct these recruitment events for Presidential Scholars. I have been in Dallas, Houston, Austin and San Antonio. We’ll have one in Lubbock this Sunday and then next Sunday will be in Albuquerque, NM. These are students who will be automatic qualifiers for Presidential Scholarships. So, they have received at least \$1,200. The attendance at those events has been good. The enthusiasm has been very high. There we are recruiting for very top students. One thing that we have done this year is we have enhanced the scholarship that we are offering to those Presidential Scholars. As you can see, we have increased the amount of money that we are providing to them to be more competitive. At the same time, we are going out to the colleges and the departments and letting them know when we have made such an offer so they can leverage their scholarship funds to provide a more compelling package for those students.

“Some other things we are going to be doing—more locally, we have a meeting with Admissions. I’ve had conversations with the chancellor. We will be going out to into the West Texas area to visit individual schools—to make sure we are contacting these students. We have some materials that we have also prepared—mailing materials that

bring attention to the benefit of remaining her in West Texas. We are looking at the issue of recruiting out of state based on the data I showed you earlier where all the opportunities exist. We are going to have a more focused effort on certain schools. Regent Turner, you have alluded to this. You can go to certain schools in the Dallas area and we can see that they are sending 50 percent of their high school class out of state. We are going to make a special effort to reach out to those schools. We are also looking at the possibility of going to those schools. We can give them a bus and bring a whole group here. The cost is not very significant. Other schools are doing this. Our information shows us that if you can get a student on campus, we have a 70 percent chance of recruiting them. So, we will be making more of an effort of getting them here. We are also going to engage in some other activities. At the Presidential Road Show, we'll be giving away airline tickets...we'll do anything we can to get these students on campus. There are a number of new activities we are going to pursue to get those this year. Some of these are long term projects but we also think we can impact the enrollment in the spring. This is primarily addressing undergraduate issues but at the same time though, we are also pursuing some other initiatives to increase our graduate enrollment for the spring semester while we still have one more counting semester to take advantage of that."

Regent Montford stated, "In looking at the comparison of other universities and their recruiting...where are we really lacking? Where are these other students? Are they going elsewhere because of scholarships, because it's where their parents attended, because it's where their friends have gone? I'm just trying to figure out what the demand is."

Dr. Schovanec replied, "We do know where they are going. In Texas we lost to Oklahoma, Louisiana and Arkansas. This is only anecdotal information. We haven't really explored the detail that you are asking. Oklahoma prides themselves in having a lot of national merit finalists. In Arkansas, there is an enormous endowment that provides support for students. Just from visiting with students at these president scholar events, I do know they are getting substantial scholarships from Louisiana. I don't know precisely and why they are being attracted there. We need to look at that. The student who we lost the fourth most students to is New York and then California. This is definitely something that needs to be looked at more carefully."

Regent Montford asked, "When we have these events, do we ask alumni to be at those events in addition to bringing in high school students?"

Dr. Schovanec responded, "For the Presidential Scholars events, we take our admissions groups and about four or five president select students with us. We haven't systematically asked our alumni to be there. I see a lot of our alumni. For the road show that occurs in the spring, Jim Douglass used to go and we will probably continue that."

Regent Montford asked, "I just think that if you have local graduates who are successful maybe that student population and their parents might identify with that."

Dr. Schovanec replied, "That is a good point."

Chancellor Hance added, "One of the things—when we go in to, say, Dallas Highland Park—they are all there. It doesn't matter whether it's Duke, Vanderbilt, Georgia, Alabama, Auburn, Missouri, Kansas or Kansas State. The fact is that they are there. If you go into some other schools in that area and we may be one of five who shows up with none of the out of state students. So, they are going to places where the students have money. They are given scholarships. For some of them—it's a scholarship and they are glad to have a scholarship, but it does not mean the difference. What has been happening with the other states—this is economic development to them. They don't have the growth that we have in our economy. So, if you take Oklahoma, Louisiana, Alabama or Arkansas, this is part of their legislature's idea of economic growth. So, they are funding more to get people to come in because they know they will spend money and they may wind up staying there. That's one of the things that hurt us. We are trying to get more out of the state but it's being there and fighting in our own back yard. This is something that 15 years ago, you didn't have to do. Now you have to do it."

Regent Anders asked, "Dr. Schovanec, did you get a feel from Chairman Branch that he was empathetic to the need that the State needs to consider making more investment in higher education."

Dr. Schovanec replied, "Yes that is my impression."

Regent Anders added, "I know we are a long way to go..."

Dr. Schovanec responded, "At the committee meeting last week, there were a couple of institutions who tried to argue that money should be concentrated in those institutions where you get the biggest return on your investment. President Powers said that. I don't think that was well received by the committee. They saw the need to have more Tier 1 type institutions based not only on research but these enrollment issues. This is the very point that the chancellor raised, losing students affects our economic development."

Regent Neal stated, "This is a great report. I have not seen one like this before. It is very helpful."

Regent Steinmetz stated, "This is a helpful report. I want to compliment you on your efforts for the students who are outside of the 300 mile radius. Obviously there has been some growth. As I look at your road show tour dates, we did have a five percent less within a 100 mile radius, chancellor, and I noticed that on the tours for 2013 there is no event planned on this for here in Lubbock."

Dr. Schovanec replied, "Regent Steinmetz, we began to have meetings about a month ago with Admissions. These will be newly planned events. We are going to be hitting a few cities in one day. We'll go up to Amarillo. We might go to Levelland, Littlefield, Tulia and make a loop. I have asked the chancellor to go with us. We want to send a message that from the top, we want you there."

Chancellor Hance added, "We will also be traveling to Wichita Falls, Midland, Odessa and Abilene as well."

Student Regent Taylor stated, "I know that graduate enrollment dropped a good bit and you mentioned that there were efforts in the talks about reaching those type students. Do you have specific things planned or is that still being discussed?"

Dr. Schovanec replied, "What we did—we met with all of the deans about a month ago. We talked about taking the resources we have right now and providing additional graduate scholarship dollars to enhance recruitment. Kyle Clark has been very proactive in making those resources available. We have distributed quite a bit of extra money to focus on recruitment right now. One thing that we have done that has probably increased the recruitment of masters levels programs—in the past it was more focused on doctoral but we have a lot of masters programs that have the potential to grow. You can affect the change in graduate enrollment probably more quickly than you can in undergraduate. We want to make sure that we get that up for the spring because it's our last chance to enhance the formula."

Regent Anders added, "Because graduate students help our formula funding enormously as well."

Dr. Schovanec replied, "Yes."

Regent Anders continued, "Lawrence, these are far less populated states but from your graph, do we have any inference going into New Mexico and Oklahoma to attract students from states to come to Texas Tech?"

Dr. Schovanec, replied, "We are going to Albuquerque and those are the things that we will be looking at. I am not aware that we are going into Oklahoma that much. Some from Admissions might be thinking that I don't know what I'm talking about. We will be looking at where we are really going to focus our effects based on where we see the potential to get those students. We do have a president's scholar's trip in Albuquerque in two weeks."

Chancellor Hance added, "We did Denver last year in Albuquerque and we have recruiters going to California. With all that is changing in California, if they qualify for a scholarship, then they pay in-state tuition. There is a good market out there. What one recruiter from another school told me is that go into an area—it's like water building up behind a dam. It just takes a while for the counselors to get used to you and start recommending to you. We bring counselors in the summer to Lubbock and we have two days for them and then we have a reception at my house."

The regents stated that was a good report.

Chairman Turner thanked Dr. Schovanec for his report.

SGA President's Report
Texas Tech University
Board of Regents Meeting
October 12, 2012

Alex Alston presented his report to the Board: "Texas Tech's Student Government has really been working hard to try and focus on the students this year. We have accomplished much in regards to safety initiatives as well as student programming and we are also working with the Athletics Department to try and fix student spirit.

"In regards to safety, we have worked with a few administrators to try and get lights installed at the entrance and exits of the bridge crossing the Loop. We recently found out that this is going to happen. We are going to get two lights at the entrance and exit of the bridge just to make that area on campus a bit safer. We have also expanded the Safe Ride Bus program so that it accommodates all students—that way we make sure we provide a way for our students to get home safely.

"We also heard from several students who want card readers installed in our athletics stadiums. This week we had four card readers installed at the United Spirit Arena for students to use Raider Bucks to purchase food at concession stands. We are very passionate in finding a way to get students to all of the athletic events. We thought it would be a good idea to get students excited before the game by lighting the seal red in front of the campus. Hopefully when the students see this they will get excited and support their Red Raiders.

"Student Government is also taking on a huge initiative to become more open to the students. We have created a marking plan that allows us to get input from the student body through various information boxes. We are also increasing visibility of our Facebook page by holding a competition for one winner to receive UT tickets as well as on-field passes for that game. With that competition, we have increased our Facebook likes by an additional 1,000 students in the last two weeks.

"The Student Government is very avid about this upcoming election and really wants for students be informed as well as get them registered to vote. We have paired up with five different diversity organizations to hold a voters registration drive. We were able to get 700 students registered to vote.

"These are just a couple of the things that the Student Government has been working on so far. I also wanted to provide you with a list of all of our projects for this past year. We are three months into this semester and we have already accomplished many of our goals and we are striving to fulfill more.

"We are trying to create cost effective initiatives for students that way we won't raise tuition. This is a priority that the Student Government and this administration really want to continue this year.

“This completes my report. Please let me know if you have any questions.”

Chairman Turner thanked Alex for his remarks to the Board.

President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
October 12, 2012

Mr. Cavin presented the President's Report to the Board on behalf of Dr. Mitchell. "We do not have a formal presentation for the Board today, but we would like to cover a subject that is important and that is the fall 2012 enrollment numbers for the Health Sciences Center.

"What you see is a depiction of the past 10 years as far as our enrollment. You can see in going back to the fall of 2003, the Health Sciences Center had an enrollment at 2,105. That has doubled to our official numbers for the current semester which is 4,370. That is a certified number—so our final number of 4,370. Four or five years ago, we became the second largest health sciences center in the state as it pertains to the student body. Only the University of Texas Health Sciences Center in Houston is larger. That principally has to do with their large statewide presence and public health school. I have not seen their numbers for this year. We have been catching up with them, so Texas Tech Health Sciences Center could be the largest health sciences center in the state, student body-wise with 4,370. We have been rocking along for about five years in second place. The increase from last fall of 4,094 to 4,370—that's an additional 276 students or 6.75 percent. In looking at that same enrollment by school, what you can see is that the largest school at the Health Sciences Center for those 4,370 students is the School of Nursing, headquartered in Lubbock at 1,409. The smallest enrollment is at our newest school which the School of Nursing at El Paso—the Gayle Greve Hunt School with 112 students. Of our 276 increase, over 50 percent was generated by the School of Nursing—150 of the 276 were nursing students. The school headquartered in Lubbock, increased by 76 students and half of those were at the Abilene campus, so that is about 38 in Abilene and 38 in Lubbock. That brings the total enrollment in Abilene up to about 97 students. The newest school—the Gayle Greve Hunt—increased by 74 students. As you can see, this is only their second year. Their total enrollment is 112.

"In the School of Medicine, the Paul L. Foster School, admitted their fourth class now. That was 80 students. That is an increase of the 80. They have four classes. The fourth year is 40, the third year is 60 and the second and first years are 80 a piece. They have really got 253 students in the school. Next year, there will be 100 in entering class size, so in four years they will have their full complement of 400—100 per class.

"Additionally, the Pharmacy School increased by another 19 students. The Allied Health Sciences increased by about 20. And even the School of Medicine in Lubbock increased their class size by 10. We had been admitting about 140 and we have increased that to about 150. The main reason for that is positive. I believe this has been presented to you before by the FMAT program—where the family medicine students will come in and be done in three years. What happens then is on that fourth year we lose Formula Funding for about 10 students. So, what we have done is

increase our class size by 10 to make up for that loss plus a little more for those first three years.

"If you also look at these numbers by campus, this is always a surprise to outsiders—especially legislators because when you think of Texas Tech you think of Lubbock. At the HSC, the presence in Lubbock is of those 4,370 students, which is 1,438. So, actually about 33 percent of our student body resides in Lubbock. You can see the presence in all of those other schools with the course at about 10 o'clock on that pie chart being the Distance Education which is 36 percent of our students. That is obviously restricted to the Allied Health Sciences and Nursing.

"In a nutshell, that is the enrollment for the Health Sciences Center. It continues to increase. I think it is a positive and I believe we are well on our way to meeting our goals by 2020. Just with the current increases that you have seen—in talking about the Paul L. Foster School, what is happening at El Paso with the Gayle Greve Hunt School of Nursing—that school is shooting for about 400. Then you can look at Abilene and the increase at the Abilene School of Nursing, they are looking at going from 97 up to about 200. Just a natural progression is going to be at over 5,000 students who we have already got planned and facilities are already being built and then from there it will be interesting to see what happens to the distance learning factors especially for the long term."

Regent Neal asked, "Is that our 2020 goal—5,000?"

Mr. Cavin replied, "Five thousand was our initial 2020 goal. We will definitely beat 5,000."

Chancellor Hance added, "Keep in mind as we have a separate Health Sciences Center in El Paso, that will take out a number but it will be a relatively small number. We will still meet the 5,000 and if they grow like they should then they'd be up over 1,000."

Regent Neal asked, "Speaking of that, are we preparing to present them in this session?"

Chancellor Hance replied, "Yes."

Chairman Turner thanked Mr. Cavin for his report.

SGA President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
October 12, 2012

Jason Chandrapal presented his report to the Board: "Thank you for having me. It is always a pleasure to come and tell you about all of the good things that we have going on at the Health Sciences Center.

"This past week, we had our first SGA senate meeting and we were proud to introduce about 28 new senators coming from different programs such as masters in athletic training, speech language pathology, clinical lab, masters of occupational therapy, doctoral of physical therapy, School of Pharmacy, Graduate School of Biomedical Sciences, School of Medicine, and School of Nursing. As you can see, we have a very diverse group. The average age is from 20 to 26. Our furthest home town is Sangley, India and our smallest West Texas town is Hart, Texas with a population of 1,198. Our new senators have lots of different hobbies. They enjoy cycling, snowboarding, running, crocheting, kick boxing, tennis, reading, cooking, sky diving, country music, camping, and someone put studying—they're at the right place for that—piano and the most interesting one is leather working.

"Some of the things that we have tried to institute at our campus is basically addressing problems that we have had. One problem has been our visibility on campus. A lot of the time, with graduate students, we are very concerned with our own studies and a lot of our students don't really understand what we do as a senate and what things that we can do for them. One of the ways we plan to remedy that is by establishing a Help Desk. Since we are such a small campus, we are going to set up a booth around lunch time and basically answer any questions or concerns that students have which we can bring to our Senate meetings and then get back the students personally. So, they will have a personal role in that. This will also inform them about all of the opportunities that are here on this campus. A lot of our students pay different fees, such as the legal fee and career advice and such but sometimes that don't know about this and what they can utilize. Getting this information out to our students is very important.

"Another program that we have just implemented is a Mentorship program at the HSC with a TTU undergraduate with the Pre-health Department with Dr. Greg Gellene. Basically, we have a pilot program going on right now with our School of Medicine. Dr. Gellene's department has identified about 25 pre-medical students to be partnered with mentors from the School of Medicine. Right now, they are responsible for three events—one is to take them to class so they can see what they are getting into; the second is to take them to a specific group organization meeting such as the Orthopedics Club, Internal Medicine Club, Dermatology Club; and the third is for them to just hang out outside of class. So, this is more of a relatively informal way that these students can have someone to guide them or someone to ask questions such as: what can I do or how can improve my application. We are very fortunate in that we are actually looking to expand this program to include the School of Nursing, the School of

Allied Health and the School of Pharmacy. We will basically tweak what we are currently doing with the Medical program to fit those different specialties.

"The last thing I would like to discuss is what we discussed earlier—the Health Sciences Center's many different campuses. Lubbock is just one portion of it. After to speaking to some of the student senators at different regional campuses, one of the biggest student complaints is that they feel left out. Those other campuses do not feel that they are a part of the umbrella. One thing that we are looking at to solve that issue is creating an initiative, affectionately called HSC Leadership Summit in which different student services identifies different leaders on different campuses. They will bring them to Lubbock for a weekend and we'd do a half day conference where we would try to hash out how we can stay in better communication with our other campuses. We want to determine how we can make them feel like we are all part of the same team. We plan to gauge their input as well as treat them to a football game. We are looking at the Kansas football game for that. We hope that will make them feel like more a part of the institution. We are currently in the planning stages of that initiative. We are gaining the interest of the regional campuses. We are looking forward to getting this up and running."

Jason stated that was the conclusion of his remarks.

Chairman Turner stated, "The Leadership Summit sounds like a great team building exercise. I compliment and applaud you for that. Thank you for your report."

President's Report
Angelo State University
Board of Regents Meeting
October 12, 2012

Dr. Rallo presented his report to the Board: "Governor Perry made two announcements last week that I am sure you recognize was part of his higher education initiative.

"I briefed the Board a while ago about the agreement I signed with Roscoe High School last November. It was a two plus two plus two, where the students take their first two years of high school and then Western Texas College comes in and they graduate with an associate degree and ideally come to ASU. We talked a little bit about that being a possible model. The more important one was that we announced our under \$10,000 degree in response to a challenge issued by the Governor. As we indicated, it is a degree primarily for the adult learner who wants to finish up their coursework and get their bachelors degree. It is a bachelor of interdisciplinary studies. They offer three minors. We set the ACT very high at 27 and a GPA of 3.5 because this is a rigorous program and students will have to take 15 credits per semester. If they meet those conditions and they take the 15 credits they will graduate with tuition and fees at \$9,974. That was well received and allows us as a system to have at least one degree program out there under that \$10,000 mark.

"As the chancellor mentioned, we received the last third of our Center for Security Studies funding. We are already beginning to see some dividends. The Center and the Center for Science and Engineering Cyber Security here in the Department of Computer Science recently received a joint NSF grant to offer summer workshops in cyber security to faculty from community colleges. Again, we are beginning to see some partnerships across the system which I believe is very beneficial.

"Our SACS required Quality Enhancement Plan ("QEP") is to increase engagement and interactions with the community. One recent example of this is our partnership with the Safe Kids Coalition and Community Medical Center to create a RAD Kids program in our local schools. It teaches students what to do if they are approached by a stranger. That partnership received a grant of \$136,000 from the San Angelo Health Foundation to fund that program in various schools in San Angelo.

"Our faculty continues to be recognized for their efforts. Dr. Detelin Elenkov, the Norris Chair of International Business, was named the outstanding world researcher in 2012 by the International Association of Multidisciplinary Research at its conference in the Philippines. The honor is based on the number of scholar research contributions he's made throughout his career.

"We set a record Graduate School enrollment again this fall with up to 7.7 percent, with 880 graduate students—up from the previous record we set last fall. Our undergraduate enrollment was down slightly from last fall but the total enrollment still remains the second highest in our history. One of our strategies, again in order to increase our enrollment, is to gain visibility through various national publications and

we, for the fourth straight year, were designated as a military family university by GI Jobs, which again is consistent with one of the areas that we really want to focus on.

“Finally, in the world of sports, our long time rival, Abilene Christian a perennial Lone Star and national powerhouse is leaving the conference to go into division one. It is particularly sweet. At our last meeting, we beat them in football for the first time since 2005 and in volleyball for the first time since 1998. So, that was a great way for them to leave.”

Dr. Rallo stated that was the conclusion of his report.

Chairman Turner thanked Dr. Rallo for his report.

SGA President's Report
Angelo State University
Board of Regents Meeting
October 12, 2012

Hector Romo presented his report to the Board: "Thank you for having me.

"Before I begin my report, I would like to introduce someone to you. He is essential at the ASU campus. I don't believe you have had a chance to meet him yet given the fact that he was gone to the National Convention for one of our organizations back in May and we did not see you in August. It is a pleasure to introduce Joshua Heimbecker, our student body vice president. Joshua is a native of San Angelo. He grew up down the street from the ASU campus. As a kid, he and his friends played football on the marching band's field and also roller bladed across from campus. Those experiences as well as having two brothers who attended ASU before him and having a father who is a physician who completed his residency in San Angelo, instilled a deep allegiance by Josh to ASU. Although he considered other colleges as options, he realized everything he needed for his future's success was right in his own back yard. He is currently a senior biology major and an undergraduate research fellow and Carr scholar with the goal of going to medical school. He has been involved with the SGA over the last two years. He served as chairman of the academic affairs committee and president pro tempore of the senate before serving in his current role as the vice president. Serving the SGA and keeping up his academic studies makes for a very intense schedule. His involvement also includes a membership in Beta Beta Beta National Honor Society over the past three years, two overseas summer abroad study trips through our Center for International Studies as well as competing for the Ram Power lifting club. He recently won the National Raw Power lifting championship in his weight division in a competition in Killeen. On weekends, he will often be found supplementing his income by playing his guitar at local night shops as well playing the piano. He is a good musician. He also works as a tutor and mentor for the Science Partnership for Undergraduate Recruitment Retention and Success program. He is also serving as a research assistant for our biochemistry professor, Dr. Osbourne. He has also done physical chemistry research with a former professor and got his work published. Josh made the right decision to go to ASU. As you know, we both welcome new ideas from the student body and are ready to address their concerns. We have started the year with some great initiatives and plan on finishing the exact same way. I have always said that it's not too often that you can say that your student body vice president is a national power lifting champion.

"One of the first things that I'd like to address is in regards to the admissions policy. Dr. Flores presented that to us and asked for our opinions. We all agreed as students that going in that direction will increase the quality of ASU's student body. We are definitely in support of that.

"Some of the initiatives that we recently started include the expansion of the Recycling Program. Many students are supportive of that initiative. We are working with our Facilities Management crew to place recycling bins everywhere across campus, not

only in residential areas but also in every other building on campus. If any building wants that service, we will be happy to provide it.

“Recently, one of our senators researched the possible establishment of a program where our students could pay less for books. A proposal has been drawn up but we are still ironing out the details on that. The main proposal is essentially to ask the faculty to use of the same textbooks for at least two years for certain areas/classes as possible. This is for four semesters as well as two summer terms. We have already met with the directors of the book store and the director for the book publishing company that provides our book store with textbooks. They are all onboard with us. As mentioned, we are still working on some details for that resolution, but we will be finalizing that proposal fairly soon.

“Also, as mentioned during the May meeting, we have put a no smoking policy in place. We want for everyone to have clean air. We implemented designated smoking areas on campus. We did get some backlash from some members of the school because the current operating policy cites that all they have to do is stay 50 feet away from campus. So, we have proposed that the operating policy be amended. The Faculty Senate is currently reviewing that policy. It is likely that they will present a resolution of their own. We hope to find a happy compromise for all parties involved. What should be changing from the current policy to the proposed policy is essentially this—the current policy states where people cannot smoke and the new policy will tell people where they can smoke. There are strategic areas that we found on campus to appease all parties. We have already moved the ash trays all around campus and we have seen that people are using them. So far, by this time last year, we already had several complaints about smoking on campus. Right now, we have not received a single complaint. That is a good thing.

“Finally, we have the clean water initiative that actually started a year before Jeff Harris served as the student government president. The SGA started this initiative. If you have ever drank the water in San Angelo, you know that the water is not tasty. Funds were designated to provide reverse osmosis stations to purify the water. Last year, we decided to expand this service. Recently, the City of San Angelo added extra chlorine to the water making it taste worse. We went from having four water stations—we did go from reverse osmosis to charcoal filtered water. We now have 29 of those water stations all across campus. So far we have received positive feedback on that and everyone has been enjoying the drinking water. We have also received the full support of the administration, and we are very thankful for that.

“Finally, I would like to recognize the delegation of students who traveled to Lubbock to attend the Board meeting. Eric Burton, Jazmin Humphreys, Lorri Crum and Connor Frankhouser are joining me today.

“I’ll be happy to answer any questions.”

Chairman Turner thanked Hector for his remarks.