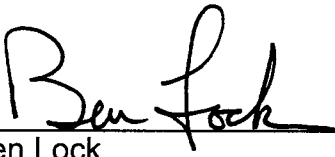


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I, Ben Lock, the duly appointed and qualified Secretary of the Board of Regents, hereby certify that the above and foregoing is a true and correct copy of the Minutes of the Texas Tech University System Board of Regents meeting on October 11, 2013.


Ben Lock
Secretary

SEAL

10-11-2013
ATTACHMENT A

Angelo State University Proposed Core Curriculum Course Listing by Foundational Component Area		
Course	Course Title	SCH
FOUNDATIONAL COMPONENT AREA: COMMUNICATION (2) – 6 SCH		
ENG 1301	English Composition	3
ENG 1302	Writing Across the Curriculum	3
FOUNDATIONAL COMPONENT AREA: MATHEMATICS (6) – 3 SCH		
CAM 1351	Mathematics Technology	3
MATH 1302	College Algebra	3
MATH 1324	Finite Mathematics	3
MATH 1332	Introduction to Contemporary Mathematics	3
MATH 1333	Elementary Statistics	3
MATH 1351	Mathematics Technology	3
FOUNDATIONAL COMPONENT AREA: LIFE AND PHYSICAL SCIENCES (15) – 6 SCH *		
BIO 1410	Human Biology	4
BIO 1411	Man and the Environment	4
BIO 1481	Principles of Biology I **	4
BIO 1482	Principles of Biology II **	4
BIO 2423	Human Anatomy	4
BIO 2424	Human Physiology	4
CHEM 1401	Elements of Chemistry **	4
CHEM 1411	General Chemistry	4
GEOG 2301	Physical Geography	3
GEOL 1401	Physical Geology	4
GEOL 1402	Historical Geology	4
PHYS 1301	Fundamentals of Astronomy	3
PHYS 1302	Astronomy of the Solar System	3
PS 1301	Introduction to Physical Science	3
PS 1302	Introduction to Physical Science	3
FOUNDATIONAL COMPONENT AREA: LANGUAGE, PHILOSOPHY, AND CULTURE (13) – 3 SCH		
CUL 2323	World Cultures **	3
ENG 2323	Readings in British Literature	3
ENG 2324	Readings in American Literature	3
ENG 2325	Readings in World Literature	3
ENG 2328	Introduction to Literature and Creative Writing	3
ENG 2329	Introduction to Literary Studies	3
ENG 2340	Introduction to the Study of Language **	3
HONR 2305	Honors Seminar in the Humanities	3
LING 2340	Introduction to the Study of Language **	3
PHIL 2301	Introduction to Philosophy	3
PHIL 2311	Ethics	3
PHIL 2321	Critical Reasoning and Logic	3
RDG 2306	Teaching Reading Using Children's Literature **	3
FOUNDATIONAL COMPONENT AREA: CREATIVE ARTS (12) – 3 SCH		
ART 1301	Introduction to Art	3

ART 1302	Primary Art	3
ART 2301	Art History I	3
ART 2302	Art History II	3
HONR 2302	Great Works in the Arts	3
MUS 1341	Introduction to Music	3
MUS 1342	Survey of Rock and Roll	3
MUS 1351	Theory of Music I	3
MUS 1376	American Popular Music	3
TH 1311	Introduction to Dramatic Art	3
TH 1351	Acting I	3
TH 1352	Acting II	3
FOUNDATIONAL COMPONENT AREA: AMERICAN HISTROY (2) – 6 SCH		
HIST 1301	History of the United States to 1865	3
HIST 1302	History of the United States, 1865 to Present	3
FOUNDATIONAL COMPONENT AREA: GOVERNMENT/POLITICAL SCIENCE (2) – 6 SCH		
POLS 2301	Federal and State Government	3
POLS 2302	Federal and State Government	3
FOUNDATIONAL COMPONENT AREA: SOCIAL AND BEHAVIORAL SCIENCES (9) – 3 SCH		
AGEC 1331	Introductory Agricultural Economics and Business	3
ECO 1300	Economic Issues **	3
ECO 2301	Principles of Macroeconomics	3
ECO 2302	Principles of Microeconomics	3
GEOG 2305	Fundamentals of Geography	3
PSY 1303	Psychology of Adjustment	3
PSY 2301	General Psychology	3
SOC 1303	Social Problems	3
SOC 2301	Introduction of Sociology	3
OPTIONAL COMPONENT AREA: ORAL COMMUNICATION (1) – 3 SCH		
COMM 2301	Public Speaking	3
OPTIONAL COMPONENT AREA: Component Area Option B (6) – 3 SCH		
GS 1181	Freshman Seminar **	1
GEOG 2101	Physical Geography Lab **	1
PHYS 1101	Stellar Astronomy Laboratory	1
PHYS 1102	Solar System Astronomy Laboratory	1
PS 1101	Introduction to Physical Science Laboratory	1
PS 1102	Introduction to Physical Science Laboratory	1

*The Life and Physical Sciences Foundational Component Area is restricted to 6 SCH. There is a strong feeling among the members of the Core Curriculum Committee that a laboratory experience is a necessary part of learning about science. ASU, therefore, will be establishing an 8 SCH lab science requirement using Optional Component Area B.

**These are new courses to be included in ASU's core curriculum.

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2014

PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF SEPT 28	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
		TOTAL ENGAGEMENT HOURS AVAILABLE	19,120					
		REQUIRED AUDITS						
Required	ALL	Audit Report Follow-Up Procedures and Reporting	400		In Progress	120	280	0
Required	ALL	State Auditor's Office and Comptroller's Office Misc. Projects	20	(5)				15
		TTU and HSC: 2013 Statewide Federal Financial Audit		5	In Progress			5
Required	ALL	CPRIT Grant Funds	250					250
Required	TTUS	Texas Tech University Foundation	120					120
Required	TTUS	Regents, Chancellor, & Presidents Travel and Other Expenses	50					50
Required	TTUS	Office of Audit Services Annual Report	30		In Progress	9	21	0
Required	TTUS	Office of Audit Services Annual Plan	40					40
Required	TTUS	Office of Audit Services Quality Assurance Activities Review	60					60
Required	TTU	Athletics Financial Review	175					175
Required	TTU	Texas Tech Public Broadcasting Financial Statement Audit	300		In Progress	20	280	0
Required	TTU	Football Attendance Certification	10					10
Required	HSC	Texas Higher Education Coordinating Board Residency Grants	80		In Progress	7	73	0
Required	HSC	Correctional Managed Health Care Contract	150					150
Required	HSC	Joint Admission Medical Program Grants - Lubbock SOM	60					60
Required	HSC-EP	Joint Admission Medical Program Grants - El Paso PLFSOM	60		In Progress	38	22	0
Required	ASU	Carr Foundation	10					10
Required	ASU	Athletics Financial Review	20		In Progress			20
		TOTALS FOR REQUIRED AUDITS	1,835	-		194	676	965
		AUDITS IN PROGRESS AT AUGUST 1, 2013						
Prior Year	TTU	Museum	350		In Progress	312	38	0
Prior Year	HSC	Lubbock Clinical Research Administration	5		Complete	8		(3)
Prior Year	HSC	Information Application Services	275		In Progress	208	67	0
Prior Year	HSC	Laura W. Bush Institute for Women's Health	325		In Progress	57	268	0
Prior Year	HSC	Comptroller/Expens Overpayment Recovery Audit	5		In Progress			5
Prior Year	HSC	Payment Card Industry Data Security Standards	300		In Progress	183	117	0
Prior Year	HSC-EP	El Paso EMR Post-Implementation Review	300		In Progress	13	287	0
Prior Year	HSC-EP	El Paso Gail Greve Hunt School of Nursing	250		In Progress	96	154	0
Prior Year	ASU	Community Wellness, Engagement and Development Center	125		In Progress	130	20	(25)
Prior Year	ASU	Payroll Processes	125		Complete	179		(54)
Prior Year	ALL	Wrap-up on Audits Included in August BOR Report	20		Complete	22		(2)
		TOTALS FOR AUDITS IN PROGRESS	2,080	-		1,208	951	(79)
		UNPLANNED SPECIAL PROJECTS AND INVESTIGATIONS						
		Total Hours Budgeted for Special Projects & Investigations	3,500	(600)				2,900
		IN PROGRESS AT AUGUST 1, 2013						
Special	HSC-EP	El Paso Employee One-Time Payments and Stipends		200	In Progress	169	31	0
Special	HSC-EP	El Paso Medical Practice Income Plan Business Office		300	In Progress	102	198	0
Special	HSC	Lubbock Orthopaedic Surgery Cash Special		100	In Progress	47	53	0
		BEGUN AFTER AUGUST 1, 2013						
Special	TTU	Neuroimaging Institute		20	In Progress	19	1	0
		SPECIAL PROJECTS AND INVESTIGATIONS TOTALS	3,500	600		318	282	2,900
		HIGHEST PRIORITY						
	TTUS	Construction Project Expenses - TTU New Residence Hall	10		In Progress			10
	TTUS	Construction Project Expenses - TTU Petroleum Engineering	10		In Progress			10
	TTU	Lab Safety	400		In Progress	122	278	0
	TTU	Export Controls	350					350
	TTU	College of Education Federal Grant Funds	250					250
	TTU	Office of International Affairs	400					400
	HSC	Financial Statement Review	600					600
	HSC	Lab Safety	400		In Progress	17	383	0
	HSC	Lubbock Medical Practice Income Plan Business Office	600					600
	HSC	Amarillo Medical Practice Income Plan Business Office	350		In Progress	16	334	0
	HSC-EP	Department of Emergency Medicine	300					300
	HSC-EP	Lab Safety	400					400
	ASU	Athletics Department	350		In Progress	1	349	0
	ASU	Enrollment Management - Admissions	325		In Progress	111	214	0
		HIGHEST PRIORITY TOTALS	4,745	-		267	1,558	2,920

TEXAS TECH UNIVERSITY SYSTEM
OFFICE OF AUDIT SERVICES
PRIORITIZED AUDIT PLAN
Fiscal Year 2014

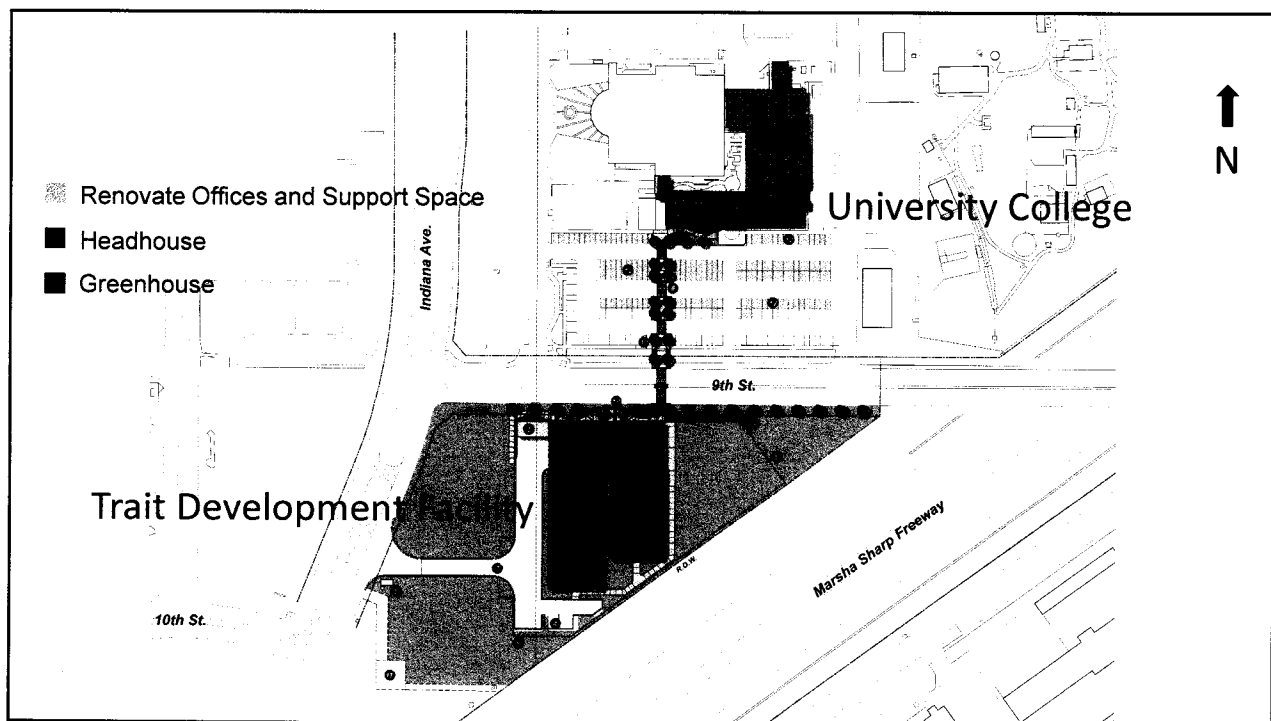
PRIORITY	ENTITY	AUDIT AREA	BUDGETED HOURS	BUDGET ADJUSTMTS	STATUS AS OF SEPT 28	ACTUAL HOURS	TIME STILL NEEDED	BUDGET vs ACTUAL
MODERATE PRIORITY								
2	TTUS	Construction Project Expenses - TTU Research Building	10					10
2	TTU	Athletics Department	400					400
2	TTU	Office of Research Services	400					400
2	TTU	Sole Source Contracts	400					400
2	HSC	Sole Source Contracts	400					400
2	HSC	Export Controls	350					350
2	HSC-EP	Export Controls	350					350
2	HSC-EP	Procurement Services	350					350
2	HSC-EP	Center of Excellence in Cancer	325					325
2	ASU	Hispanic Serving Institution Federal STEM Grant	200		In Progress	13	187	0
2	ASU	Budget Operations and Processes	300					300
MODERATE PRIORITY TOTALS			3,485	-		13	187	3,285
LOWER PRIORITY								
3	TTU	Faculty Review Processes	400					400
3	TTU	Office of Institutional Research	350					350
3	TTU	President's Office	250					250
3	HSC	Permian Basin Medical Practice Income Plan Business Office	300					300
3	HSC-EP	Faculty Affairs	300					300
3	HSC-EP	Department of Radiology	300					300
3	ASU	College of Graduate Studies	225					225
3	ASU	Mobile Device Management and Security	350					350
LOWER PRIORITY TOTALS			2,475	-		-	-	2,475
OTHER VALUE-ADDED WORK								
Total Hours Budgeted for Other Value-Added Work			1,000	(176)				824
Other	ALL	Continuous Monitoring Data Analysis			Ongoing	17		
Other	ALL	Fraud Prevention Training			Ongoing	4		
Other	ALL	Cash Handling and Control Environment Training			Ongoing	12		
Other	ALL	New Employee Orientation			Ongoing	1		
Other	TTUS	Enterprise Application Steering Committee, Council, and Work Group			Ongoing	1		
Other	TTUS	Research Projects Executive Steering Committee			Ongoing			
Other	TTU	Institutional Compliance Committee			Ongoing			
Other	HSC	Institutional Compliance Working Committee			Ongoing	1		
Other	HSC-EP	El Paso Transition Committee			Ongoing			
Other	N/A	Professional Organizations (ACUA, TACUA, IIA, TSCPA, SAIAF)			Ongoing	41		
Other	N/A	TeamMate Suite Software Implementation			Ongoing	29		
Other	TTUS	Other Miscellaneous Projects			Ongoing	70		
OTHER VALUE-ADDED WORK TOTALS			1,000	-		176	-	824
TOTAL ENGAGEMENT HOURS			19,120	0		2,176	3,654	13,290
KEY								
	TTUS	Texas Tech University System and/or inclusive of multiple Texas Tech institutions						
	TTUSA	Texas Tech University System Administration						
	TTU	Texas Tech University						
	HSC	Texas Tech University Health Sciences Center						
	ASU	Angelo State University						
	HSC-EP	Texas Tech University Health Sciences Center at El Paso						
	N/A	Work that is not attributable to a particular institution or campus						
Required	Audits that are mandated by law, Operating Policies, standards, contracts, etc. Will be performed based on timing of external deadlines.							
Prior Year	Engagements from prior year annual plan that were in progress at August 1. Goal is to complete them early in the year.							
Special	Unplanned special projects and investigations.							
1	Engagements that were deemed most critical per the risk assessment at August 1.							
2	Engagements that were deemed to be moderately critical per the risk assessment at August 1.							
3	Engagements that were deemed least critical per the risk assessment at August 1.							
4	Areas of exposure that need attention, but have not been included in the official plan because of resource constraints.							
Other	Other projects, including committee service, class development and instruction, professional organizations, etc.							

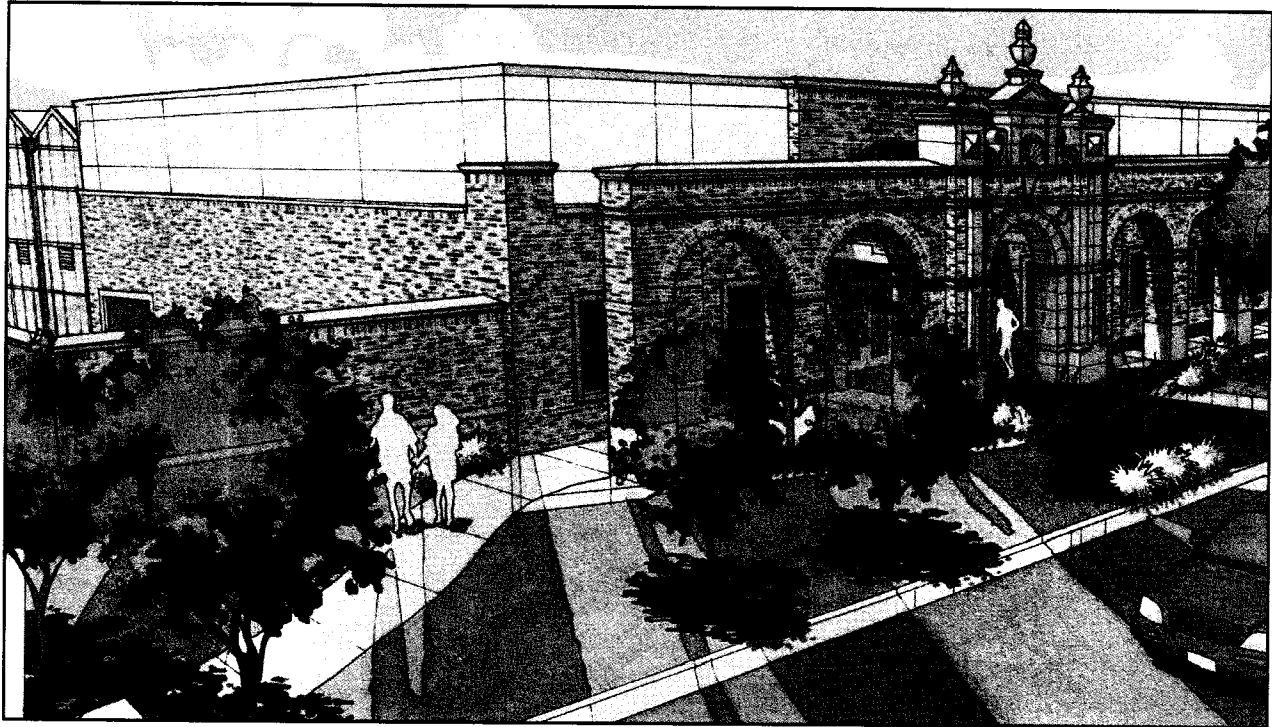
Item 1

Texas Tech University

Approve a project to renovate the
University College building and construct
a trait development facility

Michael S. Molina





Item 1

Scope of Work

- Renovation of existing 31,172 SF, University College building for new offices and support space for Bayer CropScience (BCS) administrative and business functions,
- A new 43,832 GSF Trait Development facility for the BCS trait development program:
 - 12,812 GSF headhouse with:
 - Seed logistics space
 - Office and support space
 - 31,020 GSF greenhouse space consisting of:
 - fourteen (14) small growing chambers and
 - six (6) large growing chambers

Item 1

Project Budget

<u>Total Project Budget</u>	\$ 19,316,135
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University College Renovation	\$ 5,100,000
-------------------------------	--------------

Trait Development Facility	\$ 14,216,135
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Item 1

Schedule

- | | |
|--------------------------|---------------|
| ➤ Start Construction | January 2014 |
| ➤ Substantial Completion | January 2015 |
| ➤ Final Completion | February 2015 |

Item 1

Recommendation

- Authorize to plan, design and renovate the University College building with a budget of \$5,100,000 and construct a trait development facility with a budget of \$14,216,135 with a total project budget of \$19,316,135, complete the contract documents, report to the Texas Higher Education Coordinating Board, and award a construction contract.
- The project will be funded through the taxable Revenue Finance System – commercial paper which will be repaid with institutional funds.



TTUS Design & Construction Review

Under Construction

October 2013

www.fpc.ttu.edu

Project	Cost	Status	Completion Date
Printech Building Renovation (Exercise & Sports Sciences)	\$ 7,200,000	Complete, Art Pending	June 2012
Boston Avenue Residence Hall and Dining Facility	\$ 47,000,000	Complete, Warranty	July 2012
BSL-3 Lab at the institute of Environmental & Human Health	\$ 2,400,000	Complete, Warranty	April 2013
The Burkhardt Center for Autism Education and Research	\$ 10,600,000	Under Construction	September/October 2013
Architecture Building Life Safety Upgrades	\$ 7,400,000	Complete, Warranty	September 2013
Petroleum Engineering and Research Building	\$ 22,800,000	Under Construction	January 2014
New Student Housing Complex	\$ 54,800,000	Under Construction	July/August 2014
Biological Sciences Buildings Life Safety Upgrades	\$ 8,300,000	Under Construction	March 2014
Jones AT&T Video Scoreboard Package	\$ 11,000,000	Under Construction	August 2013
Jones AT&T Stadium Colonnade and Infill Seating	\$ 5,350,000	Under Construction	October 2013
Texas Tech Baseball Park Improvements & Picnic Area (Phase I Complete)	\$ 5,124,000	Complete	July 2013
TTU Total	\$ 181,974,000		
ASU Total	\$ -		
The Larry Combest Health and Wellness Center Expansion	\$ 5,108,500	Under Construction	January/February 2014
El Paso School of Nursing	\$ 14,500,000	Under Construction	September 2014
HSC Total	\$ 19,608,500		
Under Construction Grand Total	\$ 201,582,500		

TTU

ASU

HSC



TTUS Design & Construction Review

In Design
October 2013
www.fpc.ttu.edu

Project	Cost	Status	Completion Date
TTU			
Petroleum Engineering Bldg Renovation for Maddox Chairs	\$ 2,900,000	On Hold	TBD
Texas Tech Plaza Renovation Phase II	\$ 7,500,000	On Hold	TBD
Plant & Soil Sciences Building	\$ 15,000,000	On Hold	TBD
College of Visual and Performing Arts	TBD	Program Complete	TBD
Research & Technology Park Phase I	TBD	On Hold	TBD
Engineering & Materials Research Center Renovation	\$ 33,000,000	On Hold	TBD
University College Building and Trait Development Facility	\$ 20,000,000	Proposed	TBD
Track Team Building Renovation	\$ 1,000,000	In Design	TBD
College of Human Sciences Life Safety Upgrade	\$ 9,650,000	In Design	TBD
Admin Bldg Parking Feasibility Study	TBD	Study Complete	TBD
TTU Total	\$ 89,050,000		
ASU			
Agriculture Education Training Center	\$ 1,077,000	In Design	TBD
College of Health & Human Services	\$ 18,620,000	On Hold	TBD
ASU Total	\$ 19,697,000		
HSC			
Amarillo Simulation Center	\$ 5,500,000	In Design	TBD
Amarillo Student Synergistic Center	\$ 4,000,000	In Design	TBD
HSC Total	\$ 9,500,000		
In Design Grand Total	\$ 118,247,000		



TTUS Design & Construction Review

Prospective
October 2013
www.fpc.ttu.edu

	<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
TTU	Research Building (ESB II)	\$ 87,750,000	Proposed	TBD
	College of Engineering Expansion/Renovation Phase II	\$ 90,000,000	Proposed	TBD
	Weeks Hall Abatement and Renovation	\$ 21,000,000	Proposed	TBD
	University Data Center	\$ 20,000,000	Proposed	TBD
	Jones AT&T Stadium East Building Finish Out	\$ 22,000,000	Proposed	TBD
	TTU Total	\$ 240,750,000		
ASU	Cavness Science Building Renovation	\$ 48,000,000	Proposed	TBD
	ASU Total	\$ 48,000,000		
HSC	Lubbock Education, Research & Technology Renovation	\$ 45,000,000	Proposed	TBD
	El Paso Medical Science Building II	\$ 99,000,000	Proposed	TBD
	El Paso Clinical Sciences Building	\$ 30,000,000	Proposed	TBD
	Permian Basin Academic Facility	\$ 18,900,000	Proposed	TBD
	Amarillo Panhandle Clinical/Hospital Simulation Center	\$ 16,500,000	Proposed	TBD
	Amarillo Women's Health & Research Institute Renovation	\$ 12,800,000	Proposed	TBD
	HSC Total	\$ 222,200,000		
	Future Grand Total	\$ 510,950,000		



TTUS Design & Construction Review

Completed

October 2013

www.fpc.ttu.edu

<u>Project</u>	<u>Cost</u>	<u>Status</u>	<u>Completion Date</u>
Business Administration Building Renovation	\$ 25,000,000	Complete	August 2012
Gerald and Carol Myers Indoor Soccer Facility	\$ 1,080,000	Complete	November 2012
Kent R. Hance Chapel	\$ 3,464,447	Complete, Warranty	March 2012
Admin Building 3rd Floor Interior Finish Out	\$ 2,400,000	Complete	October 2012
Rawls Course Clubhouse and Team Facility	\$ 3,700,000	Complete	August 2012
Multi-Purpose Performance Studio	\$ 2,200,000	Complete	September 2012
Rawls College of Business Administration	\$ 70,000,000	Complete	January 2012
Admin Bldg Abatement and Renovation	\$ 3,850,000	Complete	April 2012
Softball Seating Expansion	\$ 257,136	Complete	March 2012
NRHC North Addition	\$ 1,833,000	Complete	September 2011
NRHC Historic Preservation Building	\$ 664,721	Complete	September 2011
Art 3D Annex Finish Out (Sculpture)	\$ 3,800,000	Complete	August 2011
Experimental Science Bldg Build Out	\$ 12,100,000	Complete	July 2011
Jones AT&T Stadium East Side Expansion	\$ 33,061,726	Complete	January 2011
Jones AT&T Stadium North End Zone Expansion	\$ 6,000,000	Complete	January 2011
System Office Relocation (2nd Floor)	\$ 2,000,000	Complete	December 2010
Jones AT&T Stadium NEZ Facilities (Toilets & Concessions)	\$ 1,300,000	Complete	January 2011
Scholarship Donor Walk-Phase 2	\$ 50,000	Complete	March 2010
Softball Team Facility	\$ 3,183,279	Complete	February 2010
Pulse Power Lab	\$ 1,178,371	Complete	October 2009/February 2010
Soccer Team Facility	\$ 4,077,587	Complete	September 2009
Rawls CoBA Tunnel Project	\$ 1,700,000	Complete	October 2009
Horn/Knapp Window Replacement	\$ 2,361,339	Complete	November 2009
Memorial Circle Utility Tunnel Replacement	\$ 3,887,819	Complete	August 2009
Student Leisure Pool	\$ 8,247,813	Complete	May 2009
SPICE Chess Garden	\$ 71,000	Complete	July 2009
Thompson Gaston Demolition	\$ 2,071,218	Complete	March 2009
Engineering Expansion/Renovation Phase I	\$ 9,595,587	Complete	March 2009
Track Renovation/Relocation	\$ 3,322,212	Complete	May 2009
Softball Field Improvements	\$ 859,472	Complete	March 2009
Art 3D Annex Ceramics/Klin Yard	\$ 1,522,012	Complete	October 2009

High Performance Research Computer Facility

Sneed/Bledsoe HVAC Upgrade

Bledsoe Window Replacement

4th Street Sewer Upgrade

Mark & Becky Lanier Prof. Development Center

NCAA Soccer Complex

Art 3-D Annex

Outreach & Extended Studies Building

Softball Field Repairs

Discovery Mall

Student Wellness Center

CDRC / CSAR

Scholarship Donor Recognition Walk

Sneed/Gordon/Bledsoe LifeSafety Upgrades

Jones AT&T Stadium Field Improvements

Student Union Building Phase II B

Student Union Building Phase III

NRHC - Christine DeVitt Wing

Experimental Sciences Building

Texas Tech Parkway

Grover E. Murray Residence Hall

Animal and Food Sciences Building

Wall/Gates LifeSafety Upgrade

Student Parking Expansion

Student Union Bldg. Expansion/Renovation

Museum NSRL Addition

Admin Building Stone Repair

Jones SBC Stadium Stage IIA /IIB

Hulen Clement Fire Protection

Football Training Facility

Marsha Sharp Center for Student Athletes

The Rawls Course Support Facilities

Admin Building Roof Repairs

The Rawls Course

Horn/Knapp Fire Suppression

Campus Conference Bonfire Circle

September 2008

August 2008

August 2008

October 2008

April 2008

August 2007

September 2007

October 2007

September 2007

July 2007

March 2007

October 2006

November 2006

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November 2006

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Revised 11/5/2013

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8,603,315

7,811,920

509,055

1,167,698

9,229,767

8,146,094

225,000

5,792,000

2,860,000

6,030,962

1,287,444

3,278,509

36,682,783

9,222,073

24,604,339

16,809,505

3,087,287

660,000

37,372,009

3,552,256

2,262,839

53,713,138

3,262,806

10,974,030

3,789,332

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TTU	
English-Philosophy & Education Complex	
Flint Avenue Parking Facility	
Dan Law Field	
Fuller Track Field House	
Pflugler Fountain	
Recreation Center Expansion/Renovation	
Jones SBC Stadium Stage I	
Frazier Plaza & Masked Rider Statue	
Tennis-Softball Complex	
Campus Fiber Optic Connection	
West Hall/Visitors Center	
Broadway Gatehouses	
Marquee	
Stangel/Murdough Fire Suppression	
Chitwood/Weymouth Fire Suppression	

ASU	
Plaza Verde Residence Hall Phase I (Design Phase I & II / Construct Phase I)	
Porter Henderson Library IT Commons Renovation	
Recreation/Wellness Ctr & Center for Human Performance Expansion	
Centennial Village Residence Hall	
University Hall/Abatement Demolition	
UC Dining Services Expansion	

HSC	
Abilene School of Nursing	
Abilene School of Pharmacy Addition	
The F. Marie Hall SimLife Center	
4C Cancer Research Lab	
Lubbock Memorial Garden	
Amarillo School of Pharmacy Expansion	
Amarillo Family Medicine Relocation	
Amarillo Research Building	
El Paso Vivarium Upgrade	
International Pain Center	
El Paso Strategic Space Study	

\$	46,199,000	Complete	August 2002
\$	10,550,979	Complete	August 2002
\$	1,612,000	Complete	June 2002
\$	480,000	Complete	June 2002
\$	826,000	Complete	April 2002
\$	12,070,277	Complete	November 2001
\$	22,000,000	Complete	September 2001
\$	515,000	Complete	September 2001
\$	4,059,784	Complete	September 2001
\$	1,667,000	Complete	September 2001
\$	5,703,441	Complete	August 2001
\$	816,000	Complete	August 2001
\$	352,000	Complete	August 2001
\$	1,616,293	Complete	August 2001
\$	2,779,706	Complete	August 2000
TTU Total	\$ 626,429,069		
\$	35,000,000	Complete	February 2012
\$	4,371,390	Complete	December 2010
\$	6,941,505	Under Construction	May 2011
\$	28,215,000	Complete	August 2008/March 2009
\$	2,500,000	Complete	January 2010
\$	2,500,000	Complete	January 2009
ASU Total	\$ 79,527,895		
\$	12,000,000	Complete, Warranty	December 2012
\$	3,000,000	Complete, Warranty	December 2012
\$	6,350,659	Complete	September 2010
\$	3,504,108	Complete	October 2010
\$	181,130	Complete	January 2010
\$	7,905,531	Complete	November 2009
\$	7,227,532	Complete	July 2009
\$	18,152,430	Complete	March 2009
\$	737,479	Complete	December 2008
\$	6,704,956	Complete	November 2008
\$	TBD	Complete	TBD

El Paso - Archer Building Renovations	March 2008	Complete	1,541,604	\$
Texas Tech Physicians Medical Pavilion	June 2006/Dec 2007	Complete	35,697,952	\$
El Paso Medical Education Bldg.	November 2007	Complete	44,810,194	\$
Abilene School of Pharmacy	July 2007	Complete	9,087,743	\$
El Paso Medical Science Bldg. I Build Out	July 2006	Complete	3,547,351	\$
Amarillo Campus Improvements	September 2006	Complete	1,424,677	\$
HSC Roof Replacement	April 2006	Complete	1,747,867	\$
The Larry Combest Health & Wellness Center	January 2006	Complete	1,551,549	\$
El Paso Medical Science Bldg. I	February 2006	Complete	36,906,174	\$
HSC Campus Infrastructure Improvement	January 2006	Complete	4,996,136	\$
HSC El Paso Clinic Expansion/Renovation	February 2005	Complete	9,638,830	\$
HSC El Paso Hydronic Pipe Replacement	February 2005	Complete	1,552,209	\$
HSC Academic Classroom Bldg.	October 2003	Complete	14,963,993	\$
HSC Synergistic Center	March 2003	Complete	1,995,105	\$
Amarillo Academic/Clinic Facility	April 2002	Complete	23,636,894	\$
Midland Physicians Assistant Building	August 2001	Complete	6,000,000	\$
HSC Admin Relocation	March 2001	Complete	1,862,000	\$
Odessa Clinic Renovation	September 2000	Complete	1,200,000	\$
Communications Disorders Renovation	May 2000	Complete	2,161,000	\$
HSC Total			270,085,103	\$
Completed Total			976,042,067	\$
TTUS Capital Project Total			1,806,821,567	\$

Summary of substantive revisions proposed to:

Chapter 03 – Personnel

Chapter Coordinator = Jim Brunjes

[as of: 10-06-2013]

Benefits, gifts and honoraria:

GENERALLY — Clarifies and strengthens the general restrictions on benefits, gifts and honoraria. [see first paragraph of Sec. 03.01.4 ... p. 3]

FOOD, LODGING, TRANSPORTATION AND ENTERTAINMENT — Clarifies that officers or employees who have discretion or control over contracts, purchases, payments, claims and other financial transactions are not allowed to accept food, lodging, transportation or entertainment from persons or entities the officer or employee knows or should reasonably know are interested in a contract, purchase, payment, claim, or other financial decision or transaction with Texas Tech for which the officer or employee exercises discretion or control. [see Sec. 03.01.4.c(1) ... p. 5]

HONORARIA — Clarifies that an officer or employee can accept payment for providing services when the officer or employee is asked to provide the service because of the officer's or employee's expertise and not because of the officer's or employee's official status with Texas Tech. [see Sec. 03.01.4.f ... p. 6]

Conflict of commitment: [to complement the existing conflict of interest policies]

Creates a broad conflict of commitment policy into which the current provisions on consulting and outside employment are merged. [see Sec. 03.01.5 ... p. 7]

Clarifies/enhances provisions on the primary responsibility of employees and employees' external activities while working on official time. [see Sec. 03.01.5.a-c ... p. 7-8]

Preservation of Texas Tech resources:

Adds specific language regarding: • when employees are at work, they may not use official time for personal or external activities, and ... • someone in a supervisory capacity may not require a subordinate to perform personal activities for the supervisor. [see Sec. 03.01.7 ... p. 8-9]

Discriminatory conduct:

Merges and updates current provisions regarding sexual harassment and affirmative action/equal employment opportunity into a broader policy that addresses discriminatory conduct. [see Sec. 03.01.8 ... p. 9-10]

Familial/household or consensual relationships for faculty and students:

Adds 2 new policies that address familial or household relationships and consensual relationships involving faculty members and students. [see: Sec. 03.01.9 ... p. 11-12; and Sec. 03.01.10 ... p. 12-13]

Prohibits a faculty member from having instructional or supervisory oversight of the student when such relationships exist.

Requires the faculty member to disclose such a relationship ... and then the relationship must either cease or a management plan must be developed and followed under which alternative arrangements are made for the student's continued education.

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Chapter 03 – Personnel

Dates Approved or Amended:

-- Comprehensive review/amendments _____

03.00 **Definitions.** For the purposes of this chapter, the following definitions are assigned:

03.00.1 "Officers and employees" shall mean: The officers and employees of the TTU system, including members of the board.

03.01 **Ethics policy.** It is important that the people of Texas have complete confidence in the integrity of public servants. This need is especially critical in the area of state-supported higher education. The responsibility for educating and training the future leaders of the state and nation carries with it the duty to adhere to the highest ethical standards and principles. The principles and guidelines contained in this policy shall apply to all officers and employees ~~[of the TTU system]~~ regardless of rank or position. Each component institution's operating manuals should be referenced for further information and/or greater specifics not in conflict with the *Regents' Rules*.

03.01.1 Conduct. Officers and employees ~~[should]~~ shall not:
[note: the term "should" is permissive, while the term "shall" is mandatory]

- a. accept or solicit any gift, favor, or service that might reasonably tend to influence officers or employees in the discharge of official duties or that officers or employees know, or should know, is being offered with the intent to influence the officers' or employees' official conduct;
- b. accept other employment or engage in a business or professional activity that officers or employees might reasonably expect would require or induce them to disclose confidential information acquired by reason of the official position;

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- c. accept other appointments or any employment or compensation that could reasonably be expected to impair officers' or employees' independent judgment in the performance of official duties;
- d. make personal investments that could reasonably be expected to create a substantial conflict between the officers' or employees' private interest and the public interest; or
- e. intentionally or knowingly solicit, accept, or agree to accept any benefit for having exercised their official powers or performed official duties in favor of another.

03.01.2 Ethical behavior. [~~TTU system officers~~] Officers and employees shall:

- a. put forth honest effort in the performance of their duties;
- b. not make unauthorized commitments or promises of any kind purporting to bind the TTU system or any of its components;
- c. not use their public offices for private gain;
- d. act impartially and not give preferential treatment to any private or public organization or individual;
- e. protect and conserve public property and shall not use it for anything other than authorized activities;
- f. promptly disclose waste, fraud, abuse, and corruption to appropriate authorities;
- g. adhere to all laws, regulations, and policies that provide equal opportunity for all persons regardless of race, color, religion, sex, national origin, age, physical or mental disability, Vietnam era or special disabled veteran status; and
- h. endeavor to avoid any actions that would create the appearance that they are violating the law or the ethical standards of the TTU system.

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03.01.3 Conflict of interest – generally. It is state policy that state officers and employees may not have direct or indirect interests, including financial and other interests, engage in business transactions or professional activities, or incur any obligation of any nature that is in substantial conflict with the proper discharge of the officers' or employees' duties in the public interest. (*See also: Section 03.03, Regents' Rules, regarding a specific conflict of interest policy that applies to the board; and Section 03.04, Regents' Rules, regarding a specific conflict of interest policy that applies to executive administration.*)

03.01.4 Benefits, gifts, and honoraria. [~~A "benefit" is anything reasonably regarded as pecuniary gain or pecuniary advantage, including benefit to any other person in whose welfare a TTU system employee has an interest as under state laws.~~] No benefits, gifts, or accommodations of any nature, including unrestricted grants, may be solicited, offered, or accepted by a TTU system component or by an officer or employee when to do so would place the component, officer, or employee in a prejudicial or compromising position, interfere in any way with the impartial discharge of the officer's or employee's official duties, interfere with the exercise of the officer's or employee's official powers, result in performance of official duties in favor of another, or reflect adversely on the integrity of the TTU system or of the officer or employee. This prohibition includes benefits, gifts, or accommodations to a family member as defined in Section 03.03.2, *Regents' Rules*.

- a. Bribery. No [~~TTU system~~] officers or employees may solicit, offer, or accept any benefit in exchange for their decisions, opinions, recommendations, votes, or other exercises of official power or discretion. A benefit that is otherwise allowed by TTU system policy is nevertheless prohibited if it is offered in exchange for official action.

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b. Prohibited benefits. ~~[Public servants]~~ Officers and employees who exercise discretion in connection with contracts, purchases, payments, claims, and other ~~[pecuniary]~~ financial transactions of government, commit an offense under Texas law if they solicit, accept, or agree to accept any benefit from any person the ~~[public servants]~~ officers or employees know is interested in or is likely to become interested in any contract, purchase, payment, claim, or transaction involving ~~[TTU system]~~ officers' or employees' discretion. The prohibition does not apply to the following:

- (1) gifts or other benefits conferred on account of kinship or a personal, professional, or business relationship independent of the official status of the recipient;
- (2) a fee prescribed by law to be received by ~~[public servants]~~ officers or employees or any other benefit to which they are lawfully entitled or for which they give legitimate consideration in a capacity other than as ~~[public servants]~~ officers or employees; and
- (3) ~~[a gift, award, or memento that is received from a lobbyist who is required to make reports under Chapter 305, Texas Government Code; and~~
- (4) items having a value of less than \$50, not including cash or negotiable instruments.

~~[TTU system officers]~~ Officers or employees who receive an unsolicited benefit that they are prohibited by law from accepting ~~[by law]~~ may donate the benefit to a governmental entity that has the authority to accept the gift or may donate the benefit to a recognized tax-exempt charitable organization formed for educational, religious, or scientific purposes.

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c. Food, lodging, transportation, and entertainment received as a guest.

(1) ~~[Public servants]~~ Subject to the first paragraph of Section 03.01.4 herein, officers and employees, other than those referenced in Section 03.01.4.b, may accept food, lodging, transportation, or entertainment from persons or entities they know or reasonably should know, are interested in or likely to become interested in a contract, purchase, payment, claim, decision, or transaction involving the exercise of the [public servants'] officers' or employees' discretion only if the [public servants] officers or employees are "guests" as defined by the state laws. [Public servants] Officers and employees are "guests" if the person or a representative of the entity providing the food, lodging, transportation, or entertainment is present at the time the food, lodging, transportation, or entertainment is received or enjoyed by the [public servants] officers or employees. Any such acceptance of food, lodging, transportation, or entertainment must be for the benefit of the TTU system.

(2) ~~[Public servants]~~ Officers and employees who are subject to Chapter 572, Texas Government Code, [limited to regents, chancellor and presidents] are required to report any such benefits valued at over \$250 on their annual disclosure statements filed with the Texas Ethics Commission.

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- d. Benefits from friends, relatives, and associates. [~~Public servants~~] Officers and employees may accept benefits from personal friends, relatives, or business associates with whom they have a relationship independent of their official status, so long as the benefit is not offered in exchange for official action or decision.
- e. Awards. [~~Public servants~~] Officers and employees may accept plaques and similar recognition.
- f. Honoraria. [~~Public servants~~] Officers and employees may not solicit, accept, or agree to accept an honorarium in consideration for services they would not have been asked to provide, but for their official position or duties. This prohibition includes a request for or acceptance of a payment made to a third party if made in exchange for such services. This provision does not prohibit an officer or employee from accepting payment for providing services if the individual was asked to provide the service or services because of the officer's or employee's expertise and not because of the officer's or employee's official status as a TTU system officer or employee. However, they may accept the direct provision of or reimbursement for expenses for transportation and lodging incurred in connection with a speaking engagement at a conference or similar event. Meals provided as a part of the event or reimbursement for actual expenses for meals may also be accepted. Participation by a [~~public servant~~] officer or employee must be more than merely perfunctory.

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03.01.5 Conflict of commitment – generally. A conflict of commitment refers to a situation where a TTU system employee engages in external activities, either compensated or uncompensated, that interfere with the employee’s obligation and responsibilities to the TTU system. Employees shall evaluate and arrange their external interests and activities in order to avoid conflicts of commitment that would compromise their ability to carry out their obligations to the TTU system.

[Note: The following provisions regarding outside employment are taken from the “old” section on consulting and outside employment. (see former Sec. 03.07)]

- a. Primary responsibility of employees. The primary responsibility of a TTU system employee is the full and complete execution of all assigned duties, the fulfillment of those professional obligations not ordinarily reduced to written assignment, and the maintenance of current professional skills.
- b. Outside employment. Outside employment is self-employment or any compensated service or employment by an entity or individual, other than the TTU system, of a TTU system employee.
 - (1) Outside employment must be compatible with the interests of the TTU system and of such a nature that it will not detract from the effectiveness and performance of the employee.
 - (2) Clinical faculty. Full-time clinical faculty at TTUHSC and TTUHSC-El Paso who are involved in outside em-

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ployment must do so under the provisions of the respective school's medical practice income plan.

(3) Institutional operating policies shall provide a procedure by which a TTU system employee must disclose the outside employment and obtain authorization from the TTU system for such outside employment.

c. External activities. External activities shall not detract from primary responsibilities and shall not require such extensive absence so as to cause an employee to neglect the employee's obligations to the TTU system.

03.01.6 Political activities.

- a. Use of TTU system funds or property. ~~[No public servant]~~ Except as authorized by state or federal law or by Chapter 08, Regents' Rules, no officer or employee shall expend or use, or authorize the expenditure or use, of any TTU system funds or other resources for the purpose of influencing the outcome of any election, or the passage or defeat of any legislative measure.
- b. Political contributions. Unless prohibited by state law, ~~[public servants]~~ officers and employees may make personal contributions to political organizations and candidates for political office.

03.01.7 [03.01.6 Authority] Preservation of resources. Officers and employees shall protect and conserve the property and resources of the TTU system and shall not use TTU system property or resources

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for any purpose other than authorized activities. Officers and employees shall at all times protect the best interests of the TTU system pertaining to the assets of the TTU system.

- a. Misapplication of property. It is a violation of state law for ~~[public servants]~~ officers or employees, acting with the intent to obtain a benefit or with intent to harm another, to intentionally or knowingly misapply any thing of value belonging to the government that comes into their custody or possession by virtue of their office as stated in state law.
- b. Misuse of official information. It is a violation of state law for ~~[public servants]~~ officers or employees if, in reliance on information that they have access to in an official capacity and that has not been made public, they:
 - (1) acquire or aid another to acquire a ~~[pecuniary]~~ financial interest in any property, transaction, or enterprise that may be affected by the information; or
 - (2) speculate or aid another to speculate on the basis of the information as stated in state laws.
- c. Misuse of official time. Official time shall be used by TTU system employees to perform TTU system duties and responsibilities. TTU system employees shall not encourage, direct, coerce, or request a subordinate to use TTU system time to perform personal activities for the supervisor or for activities other than those required in furtherance of the TTU system.

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03.01.8 [03.01.7 Sexual harassment] Discriminatory conduct prohibited.

[Note: The provisions regarding sexual harassment (*see next page*) and affirmative action/EEO (*see former Sec. 03.01.9*) are merged into a broader section on discriminatory conduct.]

- a. The TTU system shall not tolerate discrimination or harassment of employees and applicants based on or related to sex, race, national origin, religion, age, disability, status as a covered veteran, genetic information, or other legally protected categories, classes, or characteristics. While sexual orientation is not a legally protected category under state or federal law, it is the policy of the TTU system to not discriminate in employment decisions on the basis of sexual orientation. Employment actions (including but not limited to hiring, promotion, demotion, transfer, rate of pay or other forms of compensation, selection for training, and termination) shall not be made based on an employee's protected status.
- b. All officers and employees shall comply with statutory requirements in connection with their employment and employment decisions. [Public servants should] Officers and employees shall maintain a workplace environment that is free of [sexual] discriminatory harassment and intimidation.
- ~~[b. It is a violation of Title VII of the Civil Rights Act of 1964 to engage in sexual harassment. Unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature constitute sexual harassment when:~~
 - ~~(1) — submission to such conduct is made either explicitly or implicitly a term or condition of employment;~~

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- ~~(2) submission to or rejection of such conduct is used as the basis for employment decisions; or~~
- ~~(3) such conduct has the purpose or effect of unreasonably interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.]~~

03.01.9 Familial and household relationships – faculty and students.

a. For the purposes of this section:

- (1) "Familial relationship" shall mean: A relationship involving faculty members and students who are: spouses; a parent and a child or step-child of that parent; or a grandparent and a grandchild of that grandparent.
- (2) "Household relationship" shall mean: A relationship involving faculty members and students who reside in the same household.

- b. Faculty members shall not have instructional, evaluative, or supervisory oversight over a student with whom the faculty member has a familial or household relationship. Specifically, a faculty member who has such a relationship with a student may not have the student enrolled in any class taught or supervised by the faculty member, and the faculty member shall not be involved in any matter directly relating to the acquisition of a degree by the family or household member.
- c. Should such a familial or household relationship exist or develop, the faculty member has the obligation to disclose the ex-

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istence of the relationship to the faculty member's immediate supervisor and either end the relationship or cooperate in making alternative arrangements for teaching, training, advising, or supervising the student involved. In the case that the relationship does not cease, a written management plan shall be prepared that details the arrangements for the student's continued education, and if the student is to continue in the faculty member's program area, the written management plan shall specify the faculty member's role relative to the student's degree acquisition.

03.01.10 Consensual relationships – faculty and students.

- a. For the purposes of this section, "consensual relationship" shall mean: a mutually acceptable, intimate, romantic, or sexual relationship between a TTU system faculty member and a student.
- b. Consensual relationships are prohibited between faculty and:
 - (1) students in the faculty member's class;
 - (2) students with whom the faculty member has a supervisory or instructional connection; or
 - (3) students with whom the faculty member is in a position of real or perceived authority.
- c. Should such a consensual relationship exist or develop, the faculty member has the obligation to disclose the existence of the relationship to the faculty member's immediate supervisor

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and either cease the relationship or cooperate in making alternative arrangements for teaching, training, advising, or supervising the student involved. In the case that the relationship does not cease, a written management plan shall be prepared that details the arrangements for the student's continued education, and if the student is to continue in the faculty member's program area, the written management plan shall specify the faculty member's role relative to the student's degree acquisition.

03.01.11 [03.01.8] Nepotism.

- a. Whenever an appointment is made, either on a full or part-time basis, it shall be made on the basis of the qualifications and suitability of the appointee, subject to applicable statutes and subject to the provisions of this policy.
- b. Prohibition applicable to TTU system and system component officials. No person related to any member of the board, to any component institution's president, or to the chancellor within the second degree by affinity (marriage) or within the third degree by consanguinity (blood) shall be eligible for appointment to any position in the TTU system [~~when the compensation of such appointee is to be paid, either directly or indirectly, from public funds or fees~~].
 - (1) The above does not apply to any employee who has been continuously employed for thirty or more days prior to the appointment of a member to the board, a president, or the chancellor who is related to the employee within a prohibited degree, and it does not apply to honorary or non-remunerative positions.
 - (2) Any employee who has been continuously employed for less than thirty days prior to the appointment of a member to the board, a president, or the chancellor who is re-

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lated within a prohibited degree [~~will~~] shall be removed from the individual's position.

(3) When good cause exists, the board may grant an exception to this prohibition.

- c. Prohibition applicable to administrators, supervisors, and others. No person related to an administrator within a prohibited degree shall be eligible for initial appointment to a position in an area of responsibility over which an administrator has appointive authority, in whole or in part, regardless of the source of funds from which the position's salary is to be paid. Exceptions to this restriction on the initial appointment of an individual may be made only by the board upon recommendations of the president and the chancellor and then only when the administrator in question does not directly supervise the person to be appointed.
- d. No employee may approve, recommend, or otherwise take action with regard to the appointment, reappointment, promotion, salary, or supervision of an individual related to the employee within a prohibited degree.
- e. If the appointment, reappointment, reclassification, or promotion of an employee places the employee under an administrative supervisor who is related within a prohibited degree, all subsequent personnel and compensation actions affecting the employee shall become the responsibility of the next higher administrative supervisor.
- f. If the appointment, reappointment, reclassification, or promotion of an employee makes the employee an administrative supervisor over an employee who is related within a prohibited degree, all subsequent personnel and compensation actions affecting the subordinate employee shall become the responsibility of the next higher administrative supervisor.
- g. The provisions of subsections e. and f. shall apply to situations where two employees marry and one spouse is the administrative supervisor of the other.

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- h. All instances where an employee marries an administrative supervisor, is placed under the administrative supervision of a relative, or is made the administrative supervisor of a relative within the prohibited degree [~~will~~] shall be reported to the board as an information item.
- i. Exception. The provisions of the policy do not apply to the appointment or employment of a personal attendant by any member of the board, a president, the chancellor, or an employee for attendance on the officer or employee who, because of physical infirmities, is required to have a personal attendant.
- j. Enforcement. An individual who is appointed in violation of this policy [~~will~~] shall be removed from the individual's position.
- k. Persons related within the prohibited degrees are indicated in the Affinity Kinship/Consanguinity Kinship Chart displayed below.

AFFINITY KINSHIP / CONSANGUINITY KINSHIP CHART

Affinity Kinship

The following persons are relatives of the official/employee within the second degree by affinity (marriage):

1 st Degree	Spouse, spouse's child, spouse's mother or father, child's spouse, parent's spouse
2 nd Degree	Spouse's brother or sister, spouse's grandparent, spouse's grandchild, brother or sister's spouse, grandparent's spouse, grandchild's spouse

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Consanguinity Kinship

The following persons are relatives of the official/employee within the third degree by consanguinity (blood):

1 st Degree	Mother, father, daughter, son
2 nd Degree	Brother, sister, grandparent, grandchild
3 rd Degree	Great-grandparent, great-grandchild, uncle (brother of parent), aunt (sister of parent), nephew (son of brother or sister), niece (daughter of brother or sister)

~~[03.01.9 Affirmative action and equal employment opportunity. TTU system officers and employees will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age, physical or mental disability, Vietnam era or special disabled veteran status. TTU system personnel will take affirmative action to provide a nondiscriminatory application process. Such action shall include, but not be limited to the following employment transactions: upgrading, demotion, or transfer; recruitment or recruitment advertising; lay-off or termination; rate of pay or other forms of compensation; and selection for training, including apprenticeship.]~~

[Note: These provisions are moved to the revised section on Discriminatory Conduct. (see Sec. 03.01.8)]

03.02 TTU system community conduct.

03.02.1 Breach of trust. ~~[Colleges and universities]~~ Institutions of higher education that are tax supported must function in accordance with the public trust and the actions by faculty, staff and students within them must be consistent with the execution of that trust. A breach of trust includes, but is not limited to, the following:

- a. academic dishonesty ~~[such as giving or receiving aid on a test, examination, quiz, or other academic assignment];~~
- b. plagiarism;
- c. forgery, alteration or unauthorized use of TTU system documents, records, or identification materials;

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- d. knowingly furnishing false information to the TTU system,
whether by words or by conduct, by false or misleading alle-
gations, or by concealment or omission of that which should
have been disclosed;
- e. the use of force or violence or other methods of obstructing the functions of the TTU system, which include teaching, research, administration, public service, presentations by guest lecturers and speakers, and other authorized activities;
- f. physical abuse of any person on TTU system-owned or controlled property or at TTU system-sponsored or supervised functions or conduct which threatens or endangers the health or safety of any such person;
- g. theft of or damage to the tangible property of the TTU system or of a member of the TTU system community or campus visitor;
- h. unauthorized entry to or use of TTU system facilities;
- i. unlawful manufacture, distribution, dispensing, possession or use of a controlled substance, or any substance the possession or distribution of which is regulated by federal or Texas law, except where the manufacture, distribution, dispensing, possession or use are in accordance with the laws of each;
- j. lewd, indecent, or obscene conduct on TTU system-owned or controlled property or at a TTU system-sponsored or supervised function;
- k. failure to comply with the lawful directions of TTU system officials where such directions are issued in the performance of their duties;
- l. violation of other laws or promulgated TTU system policies or rules;

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- m. unauthorized release or removal of any information from university records, including but not limited to patient, student, financial and personnel records;
- n. behavior or activity, on or off campus, that is of such a nature to cause discredit or embarrassment to the university;
- o. commission of an act of moral turpitude, on or off campus, including, but not limited to, sexual harassment, sexual assault, fraud or theft; and/or
- p. criminal or unethical conduct, on or off campus, (including a change in driving status when driving is an essential job function), or employee's failure to report his or her criminal or unethical conduct, that the university could reasonably construe as having an adverse impact on the employee's work performance or work environment, or that would cause discredit or embarrassment to the university [~~and/or~~]

[~~q. conviction of a felony or conviction of a misdemeanor involving a crime of violence or moral turpitude in this or any other state or country~~]. [*already covered by Subdivision p above*]

03.02.2 Conviction notification. Each faculty, staff and/or student employee is required to notify the TTU system of any felony conviction, conviction of a Class A misdemeanor, or any drug, assault or theft conviction no later than five days after such conviction.

03.02.3 [~~Adjudication. Adjudication~~] Determination of a violation. Determination of a violation of the standards established in this policy [~~will~~] shall result in the assessment of a penalty ranging from an oral reprimand to separation from the TTU system.

03.03 Conflict of interest and the board.

03.03.1 Introduction.

- a. The statement of policy in this section applies to each member of the board and shall serve as a guide for board members in

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fulfilling their position of significant responsibility. Members of the board serve the public trust and have a clear obligation to fulfill their responsibilities in a manner consistent with this duty and the provisions of Chapter 572, *Texas Government Code*, and in particular with the standards of conduct set out in Section 572.051 of that chapter. All decisions of the board are to be made solely on the basis of the desire to promote the best interests of the TTU system and the public good. The integrity of the TTU system must be protected and advanced at all times.

- b. Men and women of substance, such as those who serve on the board, commonly have a wide range of professional and personal associations with interests and involvement in other entities, institutions, and organizations. To assure the TTU system's many constituents of the integrity of its endeavors, board members [~~should~~] shall avoid situations in which such associations, interests or involvement could compromise or reasonably appear to compromise important academic values or the business decisions of the TTU system. Accordingly, it is the policy of TTU system that board members shall act in a manner consistent with their responsibilities to the TTU system and avoid circumstances in which their financial or other ties to outside persons or entities could present an actual, potential, or apparent conflict of interest or impair the reputation of the TTU system.
- c. No policy statement can specifically address every conceivable situation that might entail a conflict of interest. However, as a general principle, board members [~~should~~] shall avoid any actions or situations that might result in or create the appearance of using their association with the TTU system for private gain, according unwarranted preferential treatment to any outside individual or organization, losing independence or impartiality, or adversely affecting the reputation of or public confidence in the integrity of the TTU system. Toward this end, it is the responsibility of each board member to ensure that the board is made aware of situations that involve personal, familial, or business relationships that could jeopardize the reputation of or public confidence in the TTU system. The board requires each board member to annually:

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- (1) review this policy;
 - (2) disclose all business entities in which a board member, or a board member's family member, has a financial interest; and
 - (3) acknowledge by his or her signature that he or she is in compliance with the letter and spirit of this policy.
- d. For the purposes of Sec. 03.03, *Regents' Rules*, "business entity" means: any entity recognized by law through which business is conducted, including a sole proprietorship, partnership, firm, corporation, limited liability company, holding company, joint stock company, receivership, or trust.
- 03.03.2 Conflict of interest. A conflict of interest arises when the TTU system has or is considering a transaction or other business relationship with a board member or a board member's family member (defined to include a spouse and the dependent relatives or household members). For this reason, any transaction or other business relationship between the TTU system and a board member or board member's family member is prohibited.
- 03.03.3 Presumed conflict of interest.
- a. A conflict of interest is presumed to arise when the TTU system has or is considering a transaction or other business relationship with a business entity in which the board member or the board member's family member has a substantial financial interest. A financial interest is presumed to be substantial if it entails:
 - (1) Any ownership or investment interest in a business entity (including stock, options, a partnership interest, or any other ownership or investment interest) valued at more than \$10,000, except equity in a company amounting to less than 10% ownership interest in the company;
 - (2) Receipt of funds from the business entity that exceed 10 percent of the board member's gross income for the pre-

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vious year, or the expectation of the receipt of such funds in the future;

- (3) Any ownership interest in real property, personal property, intellectual property or any other interest valued at \$10,000 or more;
 - (4) A position of real or apparent authority in a business entity such as director, officer, trustee, partner, agent, controlling share holder, share holder with a 10% or more voting interest, or a direct or indirect participating interest in any shares, stock or otherwise, regardless of whether voting rights are included, in 10% or more of the profits, proceeds or capital gains of the entity involved; or
 - (5) Any position as an employee of the entity involved.
- b. A board member is not deemed to have a substantial financial interest in a publicly traded entity by reason of an investment in that entity by another publicly traded entity, such as through a mutual fund, of which the board member does not control investment decisions.

03.03.4 Disclosure of financial interest.

- a. A board member or a board member's family member who has a known substantial financial interest in a pending or a proposed transaction or business arrangement involving the TTU system shall promptly disclose to the board the existence of the interest and other material information that the board member may have regarding the transaction or arrangement.
- b. Each board member annually shall execute and submit to the chief financial officer a statement disclosing all business entities in which the board member has a financial interest. This disclosure [~~will~~] shall be achieved by the board member submitting to the Office of the Board of Regents, no later than June 1 of each year, a copy of the board member's Personal Financial Statement that is required to be submitted to the Texas Ethics Commission. This disclosure shall be updated

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throughout the year by board members if a board member acquires or divests a substantial financial interest in any entity.

03.03.5 Determination of the existence of a conflict of interest.

- a. If a board member is aware of a conflict of interest, the board member shall inform the board during a meeting of the board conducted in accordance with the Texas Open Meetings Act and abstain from any participation in the transaction or matter in which the conflict exists.
- b. The chief financial officer shall review board members' annual disclosure statements to determine whether a substantial financial interest has been disclosed in any business entity in which the TTU system is considering entering into a transaction or business relationship. The chief financial officer also shall monitor the agendas of board meetings to determine if a conflict arises subsequent to the board members' annual disclosures and shall advise board members if a conflict develops. If a substantial financial interest has been disclosed in which a board member has not previously notified the board of a conflict of interest, the chief financial officer shall promptly submit to the Audit Committee chair, or if the interest involves the Audit Committee chair, another member of the Audit Committee, such disclosure forms together with any additional information about the current or proposed transaction or business relationship that may give rise to a conflict of interest that the chief financial officer, in consultation with the Audit Committee, believes may be informative.
- c. The Audit Committee shall review the matter and determine whether a conflict of interest exists. If the interests being reviewed involve a member of the Audit Committee, the member shall not participate in or be present during the committee's consideration of the matter, except as requested by the committee to answer questions or provide information. The Audit Committee may review such information as it deems pertinent, including posing questions to the board member involved. If the Audit Committee determines that a conflict of interest exists, the Audit Committee shall so advise the board member involved and the board, and the board

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member involved shall abstain from any discussion or participation on the matter in which there is a conflict.

- 03.03.6 Record of proceedings. When the board conducts a meeting in which a board member's financial interest in a matter is disclosed, a determination regarding an existence of a conflict of interest is made, or a transaction or arrangement with respect to a board member who has a conflict of interest is considered, the board's consideration of these issues shall be reflected in the minutes of the board meeting.
- 03.03.7 Gifts. Board members shall not accept, solicit, or encourage gifts, favors, benefits, gratuities, services, or other items of value for themselves or family members which the board member knows or should know is offered with the intent to influence the board member's conduct or which might reasonably:
- a. affect the exercise of the board member's judgment on behalf of the TTU system;
 - b. tend to influence the board member in the discharge of the board member's duties;
 - c. tend to impair confidence in the TTU system; or
 - d. create the appearance of impropriety.
- 03.03.8 Use of office for personal gain. Board members shall not use the authority, title, prestige or other attribute of the office for personal benefit or gain for themselves or for any relative. Board members specifically are prohibited from procuring or being a party in any way to procuring the appointment of a relative to a position of trust or profit connected with the TTU system.
- 03.03.9 Favored treatment. Board members shall not use the authority, title, prestige or other attribute of the office to obtain consideration, treatment, or favor for any person beyond that which is generally available. This subsection applies, but is not limited to, efforts to influence administrative decisions with respect to an individual's admission, employment, discipline, and similar matters. However, this subsection does not prohibit letters of recommendation or re-

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quests for information about the status of an individual's admission, employment, discipline, and similar matters.

03.03.10 Appropriation of TTU system opportunities. If a board member becomes aware of a business, investment, or other financially valuable opportunity that rightfully belongs to the TTU system and not to the board member individually or another entity with which the board member is affiliated, the board member shall bring the opportunity to the attention of the board.

03.03.11 Confidentiality. Board members may not use confidential information acquired as a result of service to the TTU system for any purpose unrelated to TTU system business, or provide such information to any third party, without the consent of the board. Wrongful use of TTU system information includes, but is not limited to, use or disclosure of information to engage, invest, or otherwise participate in any business, project, venture, or transaction other than through the TTU system.

03.03.12 Actions not void or voidable. Except as otherwise provided by law, no transaction or action undertaken by the TTU system shall be void or voidable, or may be challenged as such by an outside party, by reason of having been undertaken in violation of Section 03.03, *Regents' Rules*, or the principles set forth therein.

03.04 Conflict of interest and executive administration.

03.04.1 Introduction.

- a. The statement of policy in this section applies to each member of executive administration ("executive administrators") of: the TTUSA (including the chancellor, chief financial officer, vice chancellor and general counsel, vice chancellors, chief audit executive, and any other TTUSA administration officers as designated by the chancellor); and the component institutions of the TTU system (including presidents, provosts, vice presidents, vice provosts, deans of schools and colleges, directors of intercollegiate athletics, and other component institution officers as designated by the president of the institution that employs the executive administrator). This policy statement shall

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serve as a guide for executive administrators in fulfilling their positions of significant responsibility. Executive administrators serve the public trust and have a clear obligation to fulfill their responsibilities in a manner consistent with this duty and the provisions of Chapter 572, *Texas Government Code*, and in particular with the standards of conduct set out in Section 572.051 of that chapter. All decisions of executive administrators are to be made solely on the basis of the desire to promote the best interests of the TTU system and the public good. The integrity of the TTU system must be protected and advanced at all times.

- b. Men and women of substance, such as those who serve as executive administrators, commonly have a wide range of professional and personal associations with interests and involvement in other entities, institutions, and organizations. To assure the TTU system's many constituents of the integrity of its endeavors, executive administrators [~~should~~] shall avoid situations in which such associations, interests or involvement could compromise or reasonably appear to compromise important academic values or the business decisions of the TTU system. Accordingly, it is the policy of TTU system that executive administrators shall act in a manner consistent with their responsibilities to the TTU system and avoid circumstances in which their financial or other ties to outside persons or entities could present an actual, potential, or apparent conflict of interest or impair the reputation of the TTU system.
- c. No policy statement can specifically address every conceivable situation that might entail a conflict of interest. However, as a general principle, executive administrators [~~should~~] shall avoid any actions or situations that might result in or create the appearance of using their association with the TTU system for private gain, according unwarranted preferential treatment to any outside individual or organization, losing independence or impartiality, or adversely affecting the reputation of or public confidence in the integrity of the TTU system. Toward this end, it is the responsibility of each executive administrator to ensure that the TTUSA and, if applicable, the component entity that employs the executive administrator are made

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aware of situations that involve personal, familial, or business relationships that could jeopardize the reputation of or public confidence in the TTU system. The TTU system requires each executive administrator to annually:

- (1) review this policy;
- (2) disclose all business entities in which an executive administrator, or an executive administrator's family member (defined throughout this section to include a spouse and dependent relatives or household members), has a financial interest; and
- (3) acknowledge by his or her signature that he or she is in compliance with the letter and spirit of this policy.

- d. For the purposes of Sec. 03.04, *Regents' Rules*, "business entity" means: any entity recognized by law through which business is conducted, including a sole proprietorship, partnership, firm, corporation, limited liability company, holding company, joint stock company, receivership, or trust.

03.04.2 Conflict of interest. A conflict of interest arises when the TTU system has or is considering a transaction or other business relationship with an executive administrator or an executive administrator's family member. For this reason, any transaction or other business relationship between the TTU system and an executive administrator or an executive administrator's family member is prohibited.

03.04.3 Presumed conflict of interest.

- a. A conflict of interest is presumed to arise when the TTU system has or is considering a transaction or other business relationship with an executive administrator or an executive administrator's family member, or with a business entity in which the executive administrator or executive administrator's family member has a substantial financial interest. A financial interest is presumed to be substantial if it entails:

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- (1) Any ownership or investment interest in a business entity (including stock, options, a partnership interest, or any other ownership or investment interest) valued at more than \$10,000, except equity in a company amounting to less than 10% ownership interest in the company;
 - (2) Receipt of funds from the business entity that exceed 10 percent of the executive administrator's gross income for the previous year, or the expectation of the receipt of such funds in the future;
 - (3) Any ownership interest in real property, personal property, intellectual property or any other interest valued at \$10,000 or more;
 - (4) A position of real or apparent authority in a business entity such as director, officer, trustee, partner, agent, controlling share holder, or share holder with a 10% or more voting interest, or a direct or indirect participating interest in any shares, stock or otherwise, regardless of whether voting rights are included, in 10% or more of the profits, proceeds or capital gains of the entity involved; or
 - (5) Any position as an employee of the entity involved.
- b. An executive administrator is not deemed to have a substantial financial interest in a publicly traded entity by reason of an investment in that entity by another publicly traded entity, such as through a mutual fund, of which the executive administrator does not control investment decisions.

03.04.4 Disclosure of financial interest.

- a. An executive administrator or an executive administrator's family member who has a known substantial financial interest in a pending or a proposed transaction or business arrangement involving the TTU system shall promptly disclose to the TTUSA and, if applicable, the component entity that employs the executive administrator the existence of the interest and

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other material information that the executive administrator may have regarding the transaction or arrangement.

- b. Each executive administrator annually shall execute and submit to the chief financial officer a statement disclosing all business entities in which the executive administrator has a financial interest. This disclosure [~~will~~] shall be achieved by the executive administrator submitting to the chief financial officer, by no later than June 1 of each year, a disclosure statement provided by the chief financial officer or, if the executive administrator is required under Chapter 572, *Texas Government Code*, to file a Personal Financial Statement with the Texas Ethics Commission, a copy of the executive administrator's Personal Financial Statement. The chief financial officer annually shall submit his or her disclosure statement to the chair of the board's Finance and Administration Committee. This disclosure shall be updated throughout the year by executive administrators if an executive administrator acquires or divests a substantial financial interest in any entity.

03.04.5 Determination of the existence of a conflict of interest. If an executive administrator is aware of a conflict of interest, the executive administrator shall inform the TTUSA and, if applicable, the component entity that employs the executive administrator, and abstain from any participation in the transaction or matter in which the conflict exists. In matters involving a presumed conflict of interest for which the executive administrator does not believe an actual conflict of interest exists, the chief financial officer, in consultation with the vice chancellor and general counsel, shall make the determination of whether there is an actual conflict of interest. However, if the presumed conflict of interest involves the chancellor, a president, the chief financial officer, the vice chancellor and general counsel, or a family member thereof, the chair of the Audit Committee shall make the determination.

03.04.6 Gifts. Executive administrators shall not accept, solicit, or encourage gifts, favors, benefits, gratuities, services, or other items of value for themselves or family members from any individual or entity that, to the executive administrator's knowledge, has or seeks to have a business relationship with the TTU system and for which the executive administrator knows or should know is offered with

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the intent to influence the executive administrator's conduct or which might reasonably:

- a. affect the exercise of the executive administrator's judgment on behalf of the TTU system;
- b. tend to influence the executive administrator in the discharge of the executive administrator's duties;
- c. tend to impair confidence in the TTU system; or
- d. create the appearance of impropriety.

03.04.7 Use of office for personal gain. Executive administrators shall not use the authority, title, prestige or other attribute of his or her office or position for personal benefit or gain for themselves or for any relative. Executive administrators specifically are prohibited from procuring or being a party in any way to procuring the appointment of a relative to a position of trust or profit connected with the TTU system.

03.04.8 Favored treatment. An executive administrator shall not use the authority, title, prestige or other attribute of his or her office or position to obtain consideration, treatment, or favor for any person beyond that which is generally available. This subsection applies, but is not limited to, efforts to influence administrative decisions with respect to an individual's admission, employment, discipline, and similar matters. However, this subsection does not prohibit letters of recommendation or requests for information about the status of an individual's admission, employment, discipline, and similar matters.

03.04.9 Appropriation of TTU system opportunities. If an executive administrator becomes aware of a business, investment, or other financially valuable opportunity that rightfully belongs to the TTU system and not to the executive administrator individually or another entity with which the executive administrator is affiliated, the executive administrator shall bring the opportunity to the attention of the TTUSA and, if applicable, the component entity that employs the executive administrator.

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- 03.04.10 Confidentiality. Executive administrators may not use confidential information acquired as a result of service to the TTU system for any purpose unrelated to TTU system business, or provide such information to any third party, without the consent of the TTUSA and, if applicable, the component entity that employs the executive administrator. Wrongful use of TTU system information includes, but is not limited to, use or disclosure of information to engage, invest, or otherwise participate in any business, project, venture, or transaction other than through the TTU system.
- 03.04.11 Actions not void or voidable. Except as otherwise provided by law, no transaction or action undertaken by the TTU system shall be void or voidable, or may be challenged as such by an outside party, by reason of having been undertaken in violation of Section 03.04, *Regents' Rules*, or the principles set forth therein.
- 03.05 **The classified compensation plan.** Each component institution shall promulgate policies and procedures for the appropriate classification and compensation of non-faculty employees. Each component institution shall publish and annually review the classified compensation plan to ensure that employees are compensated in a fair and equitable manner by establishing rates of pay that are directly related to such factors as skill, ability, education, training, experience, level of responsibility, physical and mental effort demanded, and the working conditions involved.
- 03.06 **Appellate procedures for grievances.**
- 03.06.1 Board and individual grievances. Except as specifically provided in these *Regents' Rules*, the board does not serve as an appellate body for individual grievances of students, faculty or staff members.
- 03.06.2 Grievance procedures. The TTU system administration and component institutions shall establish grievance procedures for the types of grievances of students, faculty and staff members applicable to the component. Each such procedure ~~[will]~~ shall indicate the final level of review within the TTU system administration or component institution that is available to an individual grievant, and a decision at the level so indicated ~~[will]~~ shall constitute final institutional action on the grievance.

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03.07 ~~[Consulting or outside employment.~~

~~03.07.1 Outside employment defined. Outside employment is defined to be any compensated service or employment by an entity, other than the TTU system, of a TTU system employee.~~

~~03.07.2 Employees' primary responsibility. The primary responsibility of TTU system employees is the full and complete execution of all assigned duties, the fulfillment of those professional obligations not ordinarily reduced to written assignment and the maintenance of current professional skills. Outside employment must be compatible with the interests of the TTU system and of such a nature that it will not detract from the effectiveness and performance of the employee.~~

~~03.07.3 Clinical faculty. Full-time clinical faculty at TTUHSC who are involved in outside employment must do so under the provisions of each school with TTUHSC's respective Income Plan.]~~

[The provisions re: consulting and outside employment are moved to the new section on Conflict of Commitment. (see Sec. 03.01.5)]

[03.08] **Service on outside boards.**

03.07.1 [03.08.1] Service on an outside board by any TTUS employee.

- a. Conditions of service on an outside board by any TTUS employee. Service on the governing board of an entity other than the TTU system ("outside board" and "outside entity") by any TTUS employee, including executive administrators subject to the provisions of Section [03.08.2] 03.07.2 of this section, shall be subject to and [conditional] conditioned upon compliance with all applicable policies relating to ethics, conflicts of interest, conflicts of commitment, consulting or outside employment, and disclosure provided in Chapters 03 and 10, *Regents' Rules*, and in institutional operating policies.

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- b. Risk of liability. ~~[All]~~ It is the responsibility of all TTUS employees ~~[should]~~ to be aware of the potential risk of liability associated with outside board service and ~~[should]~~ to evaluate those risks and the need for appropriate insurance and indemnification. ~~[All]~~ It also is the responsibility of all TTUS employees ~~[also should]~~ to be aware that statutory limitations on liability of state officials and employees may not apply and that indemnification by TTUS and insurance coverage provided by TTUS may not apply or may be severely limited.

03.07.2 ~~[03.08.2]~~ Service on an outside board by an executive administrator.

- a. Approval required.
- (1) Except as provided in Section ~~[03.08.2.a(2)]~~ 03.07.2.a(2) of this section, for an appointment or re-appointment to the governing board of an entity other than the TTU system ("outside board" and "outside entity") that is made on or after December 17, 2010, an executive administrator subject to Section 03.04, *Regents' Rules*, may serve on the outside entity's governing board only with the approval of the appropriate authority as set forth in Section ~~[03.08.2.b]~~ 03.07.2.b of this section.
 - (2) This section does not provide an exception to the requirements of Section 10.11, *Regents' Rules*, regarding participation as a member of the governing board of a business entity that has an agreement with TTUS relating to the research, development, licensing or exploitation of intellectual property in which TTUS has an ownership interest. Such situations shall be governed by the provisions of Section 10.11, *Regents' Rules*.
 - (3) An executive administrator subject to this section who wishes to accept a position on the governing board of an outside entity must advise the vice chancellor and gen-

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eral counsel, who shall evaluate whether an actual or apparent conflict of interest would result from the service of the executive administrator on the governing board of the outside entity. The vice chancellor and general counsel shall report the results of the evaluation to the chair, the chancellor, and the designated approval authority, if different.

- (4) An approval to serve on the governing board of an outside entity as provided by this section shall take into consideration the evaluation by the vice chancellor and general counsel, and approval shall not be granted if the designated approval authority determines that such service would result in an actual or apparent conflict of interest.

b. Designated approval authority.

Person Seeking Approval

Approval Authority

Chancellor, president, or vice
chancellor and general counsel..... Board

TTUSA: other executive administrator subject to Section 03.04,
Regents' Rules Chancellor

TTUS component: other
executive administrator subject
to Section 03.04, *Regents' Rules* President of the institution

c. Use of executive administrator's time in service on an outside board.

- (1) An executive administrator who is approved to serve on the governing board of an outside entity under the provisions of this section shall use the executive administrator's own time (time outside of business hours, vacation time, compensatory time, or other leave time) while providing service on the outside entity's governing board so that such service is without cost to TTUS.

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- (2) Notwithstanding Section [~~03.08.2.c(1)~~] 03.07.2.c(1) of this section, if the service is in a non-compensated position on the governing board of a civic or non-profit entity, the designated approval authority, upon a finding that such service is in furtherance of the best interest and mission of TTUS, may waive the use-of-own-time requirement imposed by this section.
- d. Reporting requirements. In addition to any other required report or disclosure, each executive administrator who serves on an outside board shall file a report with the TTUS chief financial officer at the same time as the annual disclosure of financial interest report required by Section 03.04.4, *Regents' Rules*. On the service-on-outside-board(s) report, the executive administrator must list each outside board on which the executive administrator serves and must include the following information for each outside board:
 - (1) the number of hours per month, quarter or year normally required by service on the outside board;
 - (2) whether the service is compensated or not compensated; and
 - (3) whether the service is protected by one or more policies of directors and officers liability insurance, and whether that insurance coverage provides for general indemnification or only costs of defense.

03.08 [~~03.09~~] **Holidays.** The TTU system administration and each component institution annually shall prepare and present to the board for approval a holiday schedule for the following fiscal year. This schedule shall be prepared in accordance with state law and shall provide the same number of holidays observed by other state agencies. The holiday schedule may differ from the prescribed state holidays so as to coincide with the academic calendar.

03.09 [~~03.10~~] **Leaves.** Each component institution shall promulgate operating policies and procedures governing employee leave regulations in conformity with federal and state laws.

PROPOSED: 10-06-2013

03.10 [03.14] **TTU system employee retirement.** The TTU system administration and each component institution shall promulgate rules in its operating manuals governing the retirement of employees in conformity with federal and state laws.

03.11 [03.12] **Personnel files.**

03.11.1 [03.12.1] **Maintenance.** The TTU system [will] shall maintain, either electronically or in written form in an appropriate office, a personnel file on each employee. This file shall contain the employee's application for employment, appointment [papers] information, contracts, performance evaluations, disciplinary notices, and such additional material as is appropriate.

03.11.2 [03.12.2] **Confidentiality.** The contents of the personnel file and copies of all parts of the contents of the file that may be maintained elsewhere by the institution are confidential except as provided by law. The materials described in this policy shall be disclosed to the employee and to [such] other officers, including members of the board, and employees of the TTU system [as] who have responsibilities requiring use of the records.

03.11.3 [03.12.3] **Exceptions.** Upon receipt by the TTU system of a subpoena or a court order, or upon the request of the employee, access to personnel files [will] shall be granted to persons not having access under Section [03.12.2] 03.11.2, *Regents' Rules*, in the manner and under the terms specified in the subpoena, order, or request.

PROPOSED ... 10-04-2013

Amendment to Chapter 06 (Institutional Advancement)

Amendment Coordinator = Scott Cooksey

A comprehensive overhaul of the Institutional Advancement chapter was approved by the Board in August 2013. Since then, we found that one additional amendment is needed for Chapter 06.

Due to recent findings in reports of the Texas State Auditor's Office and to legislation passed during the 2013 legislative session, our policies need to clearly state that the Texas Tech system and its component institutions will not accept gifts for which the sole purpose as designated by the donor is the payment of a salary supplement to a named person or position.

(Note: This has been Texas Tech's informal policy and practice, so the amendment to Chapter 06 would codify this in our official policies.)

The prohibition on the acceptance of gifts with a sole purpose of paying a salary supplement to a named position does **not** extend to gifts, grants, or donations for endowed academic positions such as endowed chairs, professorships or fellowships. *(These are endowed positions for which salary expenditures are allowed to be paid from the earnings of the endowment.)*

Amend Chapter 06 as follows:

Chapter 06 -- Institutional Advancement

06.01 Gift and grant acceptance

• • •

06.01.5 Prohibition on gifts designated for use as salary supplementation.

Due to possible conflicts of interest, the TTU system shall not accept gifts, grants, donations, or other forms of consideration designated by the donor to be used for the sole purpose of salary supplementation for a designated employee, person, or position within the TTU system.

Summary of substantive revisions proposed to:
Chapter 11 – Athletics at TTU and ASU

Chapter Coordinator = Victor Mellinger

[as of: 10-04-2013]

- The section on institutional control of athletics is strengthened by adding language from the NCAA Constitution that designates the presidents as having "ultimate responsibility and final authority" for their athletic programs.
[see Sec. 11.01.3 ... p. 1]
- All other proposed changes are housekeeping or clarifying in nature.

PROPOSED: 10-04-2013

Chapter 11 -- Athletics at TTU and ASU

Dates Approved or Amended:

-- Comprehensive review/amendments _____

11.01 Intercollegiate athletics governance and control

11.01.1 Compliance. Intercollegiate athletic programs shall be conducted in strict compliance with all rules, regulations and bylaws of the National Collegiate Athletic Association ("NCAA"), the conference of which the institution is a member, and the laws and constitution of the state of Texas.

11.01.2 Annual report. The ~~[president will]~~ presidents of TTU and ASU each shall report annually to the board and chancellor regarding compliance with the NCAA and conference bylaws, rules, and regulations, the academic and financial status of the program, and Athletic Council appointments.

11.01.3 Institutional control. As required by the NCAA Constitution, the presidents of the TTU system component institutions each have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program for their respective institutions. ~~[The]~~ Accordingly, the board [is] and principal officers of the TTU system are committed to firm institutional control of athletics, to the unquestioned academic and financial integrity of intercollegiate athletic programs, and to the accountability of the athletics departments to the values and goals befitting higher education.

Per the NCAA Constitution section on "Institutional Governance":

6.1.1 Chief Executive Officer. A member institution's chief executive officer has ultimate responsibility and final authority for the conduct of the intercollegiate athletics program and the actions of any board [*i.e., Athletics Council*] in control of that program.

"Principal officers of the TTU system" include: for System Administration — chancellor, vice chancellors and other executive personnel ... for institutions — president, provost and vice presidents.

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11.01.4 Faculty athletics representative. The [~~president~~] presidents of TTU and ASU each shall designate an individual to serve as the faculty athletics representative for their respective institutions. [~~This~~] An individual so designated shall be a tenured faculty member and shall not hold an administrative or coaching position in the athletics department.

11.02 Athletic Councils

11.02.1 The board [~~has authorized~~] authorizes the [~~president~~] presidents of TTU and ASU to each establish an Athletic Council for their respective institutions to advise the president in the development and supervision of intercollegiate athletic programs.

11.02.2 Role. The Athletic Council:

- a. may review and make recommendations to the president on any matters pertaining to the enforcement of eligibility rules and regulations established by an athletic conference or national association in which the university holds membership, and review and make recommendations on any other pertinent matters related to the university's intercollegiate athletic program; and
- b. shall not have final authority to direct, control, or supervise the operation or activities of the university's Department of Athletics or intercollegiate athletics programs.

11.02.3 TTU Athletics Council — [~~Membership and Council~~] membership; rules, regulations, and bylaws [~~—TTU~~]:

- a. The Athletic Council membership shall be composed as follows:

PROPOSED: 10-04-2013

- (1) Six members shall be appointed from the faculty by the president of the university, one of whom shall be designated by the president as chair.
 - (2) Three members who are not employed by the university shall be appointed by the president.
 - (3) One member of the council shall be appointed by the Texas Tech Alumni Association.
 - (4) One member of the council shall be a current member of the student body at TTU, appointed by the Student Government Association of TTU.
 - (5) No member of the board shall be appointed to the Athletic Council.
- b. Terms of appointments. All members of the Athletic Council, including its chairman, shall serve at the pleasure of the president for a term of three years, with the exception of the student member, whose term will be for one year. The president shall have the authority to make appointments of less than three years so that terms of service will be staggered in order that approximately one-third of the Athletic Council members will complete their terms of service each year.
- c. The president shall advise the board and chancellor of his annual appointments to the Athletic Council.
- d. Council rules, regulations and bylaws. The Athletic Council shall adopt rules, regulations, and bylaws regarding its functioning, which ~~should~~ shall provide for regularly scheduled meetings and the keeping of minutes of its actions.

11.02.4 ASU Athletics Council — ~~[Membership and Council]~~ membership; responsibility and charge ~~[—ASU]~~:

- a. The Athletic Council membership shall be composed as follows:

PROPOSED: 10-04-2013

- (1) Four members shall be appointed from the faculty by the president based on recommendations from the faculty senate.
 - (2) The Faculty Athletics Representative (who is appointed to that position by the president).
 - (3) One member shall be a current member of the student body at ASU and be recommended to the president by the Student Government Association of ASU.
 - (4) Two members recommended to the president by the athletic director who shall be student-athletes that serve on the Student Athlete Advisory Committee: one representing men's athletics; and one representing women's athletics.
 - (5) Three members shall be appointed by the president from the university staff, with one representing the Financial Aid Office.
 - (6) Three members of the athletic department: the athletic director who serves as chair of the Council; and two other athletic department administrators who are recommended to the president by the athletic director.
- b. Terms of appointments. All members of the Athletic Council shall serve at the pleasure of the president, who appoints the members on an annual basis.
 - c. The president shall advise the board and chancellor of the annual appointments to the Athletic Council.
 - d. Council responsibility and charge: The Council gives advice on the direction of the athletic programs, reviews and makes recommendations on matters related to the athletic programs, and conducts reviews or studies as requested by the president. The Council shall meet on a regular basis with minutes being maintained.

PROPOSED: 10-04-2013

11.03 Post-season athletic competition

11.03.1 Qualifying and participation standards. All teams and participants must meet or exceed NCAA and conference qualifying and participation standards in order to represent the university in post-season competition. All awards and benefits awarded to participants shall be in accordance with NCAA rules and regulations.

11.03.2 Notification. The ~~[president]~~ presidents of TTU and ASU each will notify the chancellor and the board of post-season athletic competitions in which student athletes from their respective institutions will be competing.

11.04 Intercollegiate athletics ~~[broadcasting and telecasting]~~ media rights.

11.04.1 Ownership. Subject to NCAA and conference policies and contractual commitments as well as related Regents' Rules policies, each institution owns and exercises authority concerning the assignment of all ~~[broadcast and telecast]~~ media rights ~~[of]~~ for all of the institution's athletic events.

[This clarifies and continues the practice that the Board must approve contracts relating to an institution's athletic media rights when the term and/or dollar amount involved exceed a certain threshold.]

Chancellor's Report
Texas Tech University System
Board of Regents Meeting
October 11, 2013

Chancellor Hance presented his report to the Board: "Our fundraising ended as of the last of August. Last year we were at \$139 million. It was the fourth consecutive year that we had been over \$125 million and the seventh consecutive year that we had been over \$100 million and only one year before that seventh year we had been at \$100 million. The campaign total was \$1.69 billion. It was very successful. We were also ranked fifth on our fundraising teams in America. Dr. Nellis is going to go into some of this, but five to ten years ago we didn't get these rankings where we ranked. You would look at the list and it would include Stamford and BYU and MIT and Michigan, so that has really been well-received.

"One of the things on federal relations that was done that has been very good for us, we hosted Congressman Jeff Miller from Florida for a day and a half and he toured the HSC and we are looking at the Veteran's Clinic on campus. He'll have a lot of say being the chairman of that committee. He was really impressed and all of the people at the HSC did a great job. We had a lot of people working on that.

"In the next month, we will have Congressman Lamar Smith, who is chairman of the House Science Space and Technology Committee—they direct a lot of research funds—visiting Texas Tech. Frank Lucas who is chairman of the Ag Committee from the U.S. Congress, will be visiting as well. Mike Conaway who is chairman of the House Ethics Committee is being considered when Lucas' term expires as Ag chairman; Conaway will probably take his place. We also have Michel Burgess who has visited our campus before. He is vice chairman of the subcommittee on Health of Energy and Commerce. We have Beto Herrera from El Paso who will be here as well. He has really been a rising star. When we meet with him, he is just excellent. He asks what he can do to help with the medical school and the nursing school in El Paso. Those are some of the people who we have had here. There is a lot of uncertainty with all that has been happening in Washington, D.C. We are staying focused on what we are doing and what we are doing on wind energy is very important. We are moving forward on that and we will have some major announcements over the next few months on wind engineering and what we are doing in that regard on the federal level. We have submitted another proposal to the Emerging Technology Fund for Wind. I would point out a conclusion that we got \$8.5 million from the Emerging Technology Fund. We took that and got other investments of \$33 million. You look at what we are doing in wind engineering and working with Dr. Nellis and his people and the research people and what we are doing with federal and state relations, it is huge. It is a great team effort with the right people at the right time for this.

"Thank you. That is the conclusion of my report."

President's Report
Texas Tech University
Board of Regents Meeting
October 11, 2013

Dr. Nellis presented his report to the Board. "Chairman and members of the Board of Regents, I appreciate the opportunity to give you an update on Texas Tech University and the exciting things that are happening. The chancellor already mentioned the national ranking for our fundraising efforts. I am so proud of the institution and the many broad range of categories where we rank at the top or near the top. I know a number of these things you have already been informed of but to be a national university employer of the year—really number one in the nation—as far as the people who work at Texas Tech University being the most satisfied of any university in the country is really phenomenal. Again, we continue to gain national recognition even from our alumni. The alumni factor did a survey of our alumni and other alumni across the nation and we were ninth in the nation as far how our alumni feel about the quality of the educational experience they had at Texas Tech University. Our National Wind Institute, which we are very proud of and with our SWIFT initiative—again *Popular Science* ranked us among the top ten coolest labs in the country. Again, from a popular perspective, *Popular Science* perspective, I think that's a neat recognition of the great work we are doing.

"Also we are very sensitive to affordability and the debt load that our students have and we continue to manage our affairs very effectively. As you know, we are one of the few institutions in the nation that did not raise tuition and fees this past year. That has reflected in the quality of our institution as far as the way that we manage our resources, but we also continue to deliver a quality product and we are very proud of that.

"We had our fifth straight year of record enrollment at 33,111 this fall. We are proud to break through that 33,000 barrier. We continue to look aggressively at our recruitment profile. We had a record in first-time students, a record in transfer students, a record in Hispanic students and a record in African-American students. Our Hispanic students went from 18 to 19 percent of our portfolio. That is very important as we work towards being a Hispanic Serving Institution. That threshold is 25 percent.

"I am also proud that we have continued to increase the success of our retention rates. That is for our freshmen to sophomore years. We were at about 80 percent last year. Going up two percent in the retention rate in one year is very difficult but I think it shows the concerted effort of our administration and faculty to try to reach out to students and make sure that they not only come to Texas Tech but also that they have success once they reach our institution.

"Some of the lead colleges as far as enrollment growth, Engineering is up five percent; up by four percent are the College of Agriculture, the College of Business Administration and the College of Media and Communication.

"Our SAT scores were down two points from our previous class. There are some national trends that appear that we are in that same mold with them, but we are higher

than we were two years. That is something that we want to monitor very carefully because as we continue to grow the institution we don't want to compromise the quality. That is so important as we become a national research university.

"We have started some important initiatives that seem to be very well received on campus. The President's Leadership Institute is a leadership series of workshops for faculty and staff. We have 20 slots that we are allowing to be a part of that Leadership Institute. We had over 70 people apply so there was a lot of interest across campus in that. That will be starting very soon. Those people have been selected. I named Sara Spurgeon from the Department of English as my first Presidential Fellow. She is working with us on some of our strategic planning initiatives and other activities. It's a leadership training opportunity to work in the President's Office. This is something that I did at previous places that was very well received.

"We have also created a lot of excitement across campus with an initiative called cluster hiring whereby I put out a memo and request for proposals by later this month around interdisciplinary teams to complement our existing research strengths. Again, there is a lot of dialogue across campus. People are talking across campus that never used to communicate with each other. I think there will be a number of exciting initiatives come forward. I think this will also help stimulate greater research success as we try to become at the highest level as far as the Carnegie classification of research universities.

"Ongoing projects, Michael Molina has already alluded to those so I don't need to repeat these, but we are really excited with the dedication of our Petroleum Engineering Building. I had a chance to walk through that building a couple of weeks ago. It is really going to be an outstanding building. For the first time in a long history of Petroleum Engineering, it consolidates all of that program under one building. The Burkhart Center, of course we are really excited about the completion of that building which is eminent. And, our new student housing complex is already starting to take shape. We need that. As you know, we were oversubscribed as we started the fall semester. We have a great need for that \$54 million facility.

"I am pleased to announce this morning that I have hired a new vice president for research, Dr. Robert Duncan. Dr. Duncan is coming to us from the University of Missouri where he is the vice chancellor for research. He is their number one person at the University of Missouri, an AAU school. He is very excited to be coming to Texas Tech. He sees this as an institution that is truly on the move in very positive ways. This is an individual who has had a very distinguished career in his own rite. His undergraduate degree was from MIT and his master's and Ph.D. was from the University of California at Santa Barbara. He is a top-notch scholar and highly respected across the nation and I think he is going to bring great research leadership to our university. I do appreciate the interim role that Michael San Francisco has provided to our university and compliment him on continuing to provide leadership and management of a very important part of our institution. Dr. Duncan will be arriving on January 1, 2014 to start his appointment. Again, we are very pleased to have him join us.

"Our provost search is continuing. We have a lot of interest in that and I really appreciate the great role that Lawrence Schovanec has provided as interim provost.

"We are now down to the finalist for the dean of the Graduate School. They are now starting to visit campus. We are moving that forward aggressively.

"We have a large pool of people who have expressed interest in our chief financial officer position. We didn't do the traditional search for the CFO position but more of a structured search. We are working with Mr. Brunjes and Grace Hernandez and others to screen down that group and bring a smaller group to campus. That is moving forward aggressively as well.

"We have a lot of exciting things happening at Texas Tech and again I remain passionate about the university. I am very excited to be the president of this great institution and appreciate the opportunity to report to you today. Thank you."

Regent Neal asked, "Could you elaborate a little about your presidential leadership institute—what they will be doing and how long does it last?"

President Nellis responded, "Yes it is a series of workshops that is designed to create not only leadership skills for people across the university at every level because I believe that leadership should be practiced at every level whether you are an executive assistant, a manager of a unit, or a dean and this is a blend of staff and faculty who have expressed an interest in gaining more leadership skills. They will have an opportunity to hear from other leaders. We will bring speakers and we'll have some of our speakers from across campus meet with them and talk about leadership philosophy and how to work more effectively and create part of a sense of community at our institution. What I have found at my previous institutions is that this builds even a stronger sense of community which is something that is already strong here but it reinforces and offers a sense of respect for everyone that contributes to our organization, not only people who are in named leadership positions but everyone that contributes to the organization's success."

SGA President's Report
Texas Tech University
Board of Regents Meeting
October 11, 2013

Luke Cotton presented his report to the Board: "Chairman Long and members of the Board, thank you for the opportunity to present my report. I was in Jerome Schuetzeberg's class, if you have ever encountered him...the great intimidator is what some students like to call him. I wasn't able to look at my phone and see the flurry of e-mails I was receiving. It was really fun to step out of class and see that.

"Quickly, I am going to go breeze through my report in respect for your time. Am I the last report? (Response of yes from background.) Then I'll make sure to crush it for you.

"Thank you all very much. I am not aided by a PowerPoint this time so I'll try to be as descriptive as possible. Let me know if you need more explanation as we go.

"Reports from the cabinet—first year engagement, we have a Freshmen Council and a Transfer Council that are working on legislation ideas, community service, and planning a freshmen tailgate to build school spirit among the freshmen class of 2017. Next week we will be interviewing freshmen leadership—basically office interns will serve under me or one of the other executive cabinet members and learn what we do or we delegate our less fun tasks to them.

"On our outreach programs, we are going to speak with Pre-medical Society Hispanic Students Society, Gamma Beta Phi, in the next week. I have already spoke with Delta Sigma Theta Residence Hall Association, the Chinese Student Association as well about SGA opportunities and the new opportunities going on.

"Also, a lot of our members joined Toastmasters to improve their public speaking skills. We are going to be putting that to the test on Monday when we speak at University Day. There will be an estimated 4,000 students attending that event. Hopefully we won't be too nervous.

"Reports from the graduate vice president, Daniel Yates—he put on a graduate student orientation. This was a partnership with the Center for Campus Life. There were about 500 graduate students who came through who got to learn about living in Lubbock, research ethics and teaching skills. He has also partnered with the teaching-learning Professional and Development Center on two events which include a future proofing your Ph.D. and classroom teaching techniques for when they are teaching classes. He has also improved graduate student parking and it is now open for GAs and TAs. Basically, graduate students get parking after all faculty members have had a chance to pick their spot. That is a really exciting program. He is also sitting on the committee for TEDEX, Texas Tech University. This is a system-wide event where speakers from West Texas, the West Texas community and Texas Tech will showcase their stories and research that are driving innovation in the West Texas area. The theme is Open

Skies, Open Mind. He also with his deputy chief of staff has began a food donation program in which the residence halls will donate whatever food they have leftover to the homeless shelters. For a long time there have been a lot of issues surrounding that and they were able to comply with all of the safety guidelines. That was very exciting news for us.

"Internal vice president reports from Jill Berger—SGA week is next week. Monday will be "senate day" and Tuesday will be "know your rights day." This is a partnership with our Attorney General, the Office of Student Contact and Student Legal Services in which they will be letting students know about the student bill of rights and a voter registration drive as well. Wednesday will be "sustainable research day," Thursday will be "diversity day" in which Dr. Munoz will participate and there will be a DJ. This is in partnership with the Cross Cultural Academic Advancement Center. We are very excited about that. Friday will be "pretzels with the president." Dr. Nellis will actually be heading to West Virginia that day unfortunately. I don't blame him with the accumulation of athletics, administrators and students all fighting for pretzels, I'd probably want to take a hike as well.

"On January 21, we are planning on hosting our System Congress between Angelo State University, the Health Sciences Center and ourselves. We would like to invite each of you to be there if your time permits. It will take place from 6 to 8:30 pm.

"From the external vice president: we are going to the Big XII conference on November 14 to TCU. We are going to be working with other SGAs to plan our DC trip coming up the spring. Last night, we got recognition from the City Council for surveying student on how they feel about a texting and driving ban in Lubbock.

"As you may have seen, there have been a lot of bikes on campus near the free range campus. We are going to make sure that they start obeying traffic laws. If you see some bikes flying through stop signs, that is a safety hazard.

"Reports from the president: we have been doing quite a bit of community service with the football games. At the SFA, TCU and Texas State we passed out the fight song to all students and alumni coming in so we can refresh their memory as to the correct words to the fight song.

"We have also been doing moving events at the beginning of the semester and we also volunteered at the Ronald McDonald corn dog charity booth during the South Plains Fair. We worked with the Center for Campus Life and passed out about 30,000 wrist bands to local bars on Broadway that have Safe-Ride information for students who might forget about how they should get home.

"Our Student Service Fee Committee and Sub-Fee Committee have met twice this semester. They are working on setting up group meetings with the Transportation Fee Committee, the Rec Committee, Medical Fee Committee and Athletic Fee Committee.

"Our first month of school has been a challenge to say the least with juggling both SGA and school work as well. I felt that last week we were finally getting settled in and then homecoming hit and we were all scrambling again. I anticipate this next week will be good.

"Thank you for your time and allowing me to address you all."

President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
October 11, 2013

Dr. Mitchell presented his report to the Board. "Thank you Mr. Chairman. I have a few handouts for you. I will begin with the colored handout. First, we will look at our enrollment growth. We have another record year for our enrollment at 4,589. That is the official number that we submitted to the Coordinating Board. We have experienced growth in just about every one of our programs. The quality of our students has gone up with our growth. The idea of reaching a student size of 5,000 by 2020 is sure to be beat much sooner than that point in time. In looking at the breakdown, this is 4,589 that includes TTUHSC and TTUHSC at El Paso. At El Paso, as you guys know during the next legislative appropriations request, we have put in a separate budget for that school. We have 418 students of this that are in the El Paso program. One of the areas that we have had a significant amount of growth in is distance education. Distance education growth actually falls into some very circumscribed areas. The RN to BSN program in nursing which is one that they will be working on at the El Paso campus as well has really taken off. We have done extremely well in this area. The RN to BSN program has been an effort where you are training to get RN trained nurses with associate degrees and get them into a bachelor's program and the leeway that you are given on faculty to student ratios is fairly significant, actually it's extremely significant, the variation that you have from program to program. We elected several years ago to keep ours from 1 to 35 faculty to student ratio. Our faculty members are in charge of making sure that they know the students individually, even though they are working with them online and as a result, our program in nursing has done phenomenally well. From *U.S. News and World Report*, out of 700 nursing programs in the United States, we were ranked 64th and in the top 10 percent of programs in the nation for online training. It is precisely because of that, that we have people clamoring for our program all over the state—even in areas where there are other very good nursing programs that exist. We have really knocked it out of the park with nursing.

"You can see the break down on the pie chart and how the student numbers break down but again, about 36 percent of the students are distance education students.

"The next one regards to TTUHSC at El Paso. This is the timeline that we are using for the SACS accreditation. As I told you at the last Board meeting, we have a number of subcommittees that work together between board meetings to work on areas like finance and administration, compliance, and academic areas, to give us a timeline of various functionality spinoffs. These subcommittees then meet. They present their information back to the Steering Committee which consists of leadership from the System level, HSC and HSC at El Paso. We then take that information and consolidate it so that I can present it to you. The reason that I have chosen this one is that this is the granddaddy of all spinoffs; it is from the SACS group. Here is the crux of what we are looking at—with SACS our HSC accreditation from TTU—we stood on TTU's accreditation for many, many years before we spun off with our own accreditation. They

have specific timelines that they want to see you follow. They have specific metrics they need in order to get a proposal from you to say when is HSC El Paso is going to have a separate SACS accreditation. One of the things that they require is a three-year financial statement, period. If you look at—it certainly doesn't make sense to use FY 2013 or FY 2012 going backwards because it's all one ledger, but moving forward, if you take a three year period, the soonest that we could get SACS, what they would really need would be 2016, which means applying for independent accreditation in 2017. The kicker with that is that is right when we are coming up and getting ready for our SACS accreditation for HSC in Lubbock. The problem with that is, if you try to spin this one off and they come back and say this isn't right, this isn't right and this isn't right, it does not only affect SACS accreditation for HSC at El Paso, it also affects SACS accreditation for HSC at Lubbock. So, we are trying to figure out what will be the best target because there are really two things that are working in parallel and that is the financial statement they need and also the academic things they require. The other option is to slow walk this thing—get everything else lined up, get everything else spun off and have as your last spinoff your SACS accreditation, maybe going out for 2019 or 2020—once we are done with our reaffirmation for HSC at Lubbock. So, we are working through that and is why you will see tentative dates listed for SACS accreditation.

“The rest of the information provided has been separated into two reports. This is information for you to peruse at your leisure. This is for HSC and HSC at El Paso. These are some highlights of things that have happened since our last meeting. A lot of these things are fun. Let me just summarize it by saying that if you look at the quality of our students now, we will put our students up against anybody, anywhere. If you look at what they do in the classroom as well as what they do outside of the classroom, we have got a remarkable bunch of people. We also have a wonderful group of faculty members, staff and administrators.

“That is the gist of my report.”

Regent Steinmetz asked, “Dr. Mitchell how will the patient payer-mix settle with SACS as it stands in terms of...”

President Mitchell replied, “Well patient payer-mix is not nearly as important to them as the educational training that they receive. Now, where the patient payer-mix does come into play is with the solvency of the university. So, when you look at the finances of it, that is something they look at because one of the things they are looking at, for example, if you just take the Medical Practice Plan in El Paso, if you compare to all other medical schools in the country as far as days of cash on hand for operating—we are doing extremely well. We have a lot of money squirreled away. The problem is if you look at operations, overhead and income, moving forward it is problematic. It's not that we don't have the funds out there but we have to make sure that our plan is in place for the financial solvency moving forward. From that perspective, that is what SACS is wanting to know—if this is a sustainable effort.”

Regent Steinmetz added, "Because once they become separated since we have had the legislative session approval now they are going to be looking at the one."

President Mitchell concurred, "Right, which is why from a strategic standpoint that the finances of how we work our patient payer mix are critical."

Regent Steinmetz commented, "Most importantly going forward as opposed to going back."

President Mitchell replied, "That is exactly right."

Regent Anders asked, "Will you spend a minute on your new VP of research that you just hired."

President Mitchell replied, "I will actually be introducing him in December. Dr. P Michael Conn. He is a great guy. He actually knows Dr. Duncan who is going to be on the TTU side. He is great guy. They think alike; they both think outside the box. I am actually bringing him in for the December meeting. He starts on December 1, 2013."

SGA President's Report
Texas Tech University Health Sciences Center
Board of Regents Meeting
October 11, 2013

Hunter Atkins presented his report to the Board: "Thank you for the opportunity to address you. It is an honor and always the highlight of my week to be able to address you guys. I spoke a little last time about my endeavors in OB/GYN and delivering babies. I am now doing psychiatry. I am happy to report that I don't see any patients in the room.

"I would like to spend some time this morning highlighting three upcoming events. I feel these three events really embody our goals as a student government organization to increase interdisciplinary studies and maintain a high campus morale. If you were to go over to the HSC today, we are having an organization fair. We have over 60 organizations that the Student Government funds and that funding is between \$75,000 and \$100,000 on an annual basis to these organizations. To give you a short sampling of what those organizations are, we have everything from the dermatology clubs to molecular pathology, radiology, student occupational therapy...so these organizations reflect the diversity of students and the makeup of our student body. Those organizations will be setting up tables today and recruiting students and increasing awareness about their missions and their goals. That was the first one.

"Our second event is really the biggest event that we will hold this fall and that is our Student Leadership Summit. We are going to be hosting, here in Lubbock, at the HSC about 30 students from our regional campuses—El Paso, Amarillo, the Permian Basin, Abilene and Dallas. We bring those students into Lubbock and we will take them to the OSU football game, but the mission there is to hear their thoughts, questions and concerns about how they will work with us as a student government association and really what we can do to help them and increase their feelings and their belongings to the Texas Tech System.

"Lastly, we are going to have our joint congress on January 21, 2014. That is a unique event where our HSC Student Government sits down with the TTU Student Government, and if I could make an analogy, it would be like the U.S. Senate and the U.S. Congress sitting down and hanging out together by choice. We are going to do that. We really want to have a show of solidarity to the 33,000 students here.

"I will leave you today with a story about our Nursing School. This week, the TTUHSC Employee Wellness Department put on a health fair for the thousands of employees that are at the HSC and I asked the Student Government to help recruit volunteers to staff that—stick fingers for blood glucose, take blood, and take blood pressures. I naturally went to the School of Nursing and we were hoping to get about 20 volunteers a day. The event started at 7:00 am and I am happy to report that we had over 75 nursing students show up on the very first day at 7:00 am. And, that was even on an exam day for them. So, I thought that was a good story to tell about that shows the character of our nursing students and their dedication to wellness."

President Mitchell added, "They all showed up at 7:00 am for a health fair that was going on literally for the entirety of the day. That was great, great, great."

Hunter continued, "It is always a pleasure to speak with you all."

President's Report
Angelo State University
Board of Regents Meeting
October 11, 2013

Dr. May presented his report to the Board: "First of all I'd like to mention that we did have another record graduate enrollment that has produced the fourth highest overall enrollment at ASU for this history of the fall. We grew graduate enrollment by 12.5 percent over last fall. It's an eight straight long semester record graduate enrollment. The overall enrollment did drop by 5 percent as compared to 2012. This is something that I reported to this Board last year, that we anticipated by raising our admission standards. We are working on that and want you to know that is ever present on our minds at all times and we think we can turn that around by the fall of 2014.

"For the fifth consecutive year, Angelo State University has received the designation as a Military Friendly School by *GI Jobs* magazine. It serves as a military personnel transitioning their life into civilian life. The 2014 military friendly schools list the top 20 in the nation and Angelo State was listed among those. We work constantly with the military to attract more enlisted and retiring military especially in our Security Studies Division.

"I would like to also report that in the Department of Agriculture, Dr. Braden and Dr. Branham received a \$270,000 grant for the USDA in conjunction with the Hispanic Serving Institutions. It is a program that will retain and recruit underrepresented West Texas students in the field of meat and food science.

"I would like to also report that Dr. Karen Shumway, an associate professor of management and our associate dean of the ASU College of Business has been named the chair of the Board of Commissioners for accreditation for the Accreditation Council for Business Schools and Programs (ACBSP). The baccalaureate degree of commissioners convenes quarterly and reviews applications for institutions in candidacy for accreditation. Dr. Shumway has served as commissioner of this board since 2009. I want to also add that associate dean Shumway is very crucial for our ongoing revamping and reevaluation of our College of Business that we will be very aggressive with this coming year.

"Also, the ASU Teacher Education Department has been honored from the Texas based QUEST for quality program for its joint initiative with the San Angelo Independent School District involving ASU students and a local early Head Start program. Only five programs in Texas receive such recognition in 2013.

"ASU also had its first distinguished Lectureship in Art. We featured a keynote speaker, Sharon Booma, who is a nationally known contemporary painter. Last month on campus, she came from Boston to visit with us for quite some time on her way to Paris for a showing at the Louvre. So, she is very accomplished and even I had much more of an appreciation for abstract art after her visit.

"We have also started the Vision Retreats. It's a new area for Angelo State that are off-campus that stresses transparency about each division. We had interdisciplinary cooperation with our divisions in talking about duties, goals, developments and all the VPs will attend these retreats. We are going to discuss a lot of things to try to get the overall knowledge of all of our divisions more comprehensively about our institution. Everything from new programs, academic programs, what we are going to try to establish to things like debt load that we have in housing and our TRB debts—to share that with all of our employees. We think that will be very productive.

"Finally as a little bit of levity, I would like to let you know about our Angelo State University Bass Anglers Club. They attended the National Tournament and they won \$3,000. The ASU was the southern region represented. The Southern region is made up of UT-Austin, Texas Tech, TCU, Baylor, the University of Arkansas and they went to the national contest and the other regional representatives at the national contest were the University of North Carolina, Auburn, Alabama and LSU. We did very well."

SGA President's Report
Angelo State University
Board of Regents Meeting
October 11, 2013

Preston Wimberly presented his report to the Board: "Good morning. Thank you Chairman Long, Chancellor Hance, and members of the Board. It has been an intense week with homecoming. We have guarded bonfires, built bonfires, done step dances and we packed it all up and came up here last night. I think that is important—what we are trying to do this for this year. I was actually in a peanut butter and jelly eating contest the other day and there were about 100 people spectators. That is a lot of people to watch peanut butter sandwiches. It was fun. I ate six and a half in three minutes. I actually won it. I think that we push for...in Lubbock you guys have a ton of school spirit. In every picture you see, they have their guns up and we are working towards that. People come up to me sometimes and tell me they feel that there is a different energy on campus this year. I am proud to hear that.

"We are working on a couple of initiatives right now. Parking is a big perceived problem on campus even though we have more parking spots than cars. We have signs posted at all of our parking lots that will have the time they are enforced. Maybe that will educate students and let them know where they can park and at what times.

"We are revamping our Discount Program and still supplying students with scantrons just to try to remove some small hassles out of their everyday life.

"Our major goal still remains student involvement. No matter what we are doing, student involvement is always on our mind. I am going to let Connor come up and tell you a little about Adopt-A-Hallway. This is his project."

Connor Frankhouser addressed the Board, "It is a thrill to be able to address you guys. I grew up just down the street in Tech Terrace and I never thought at one time that I'd be here talking with you all.

"The Adopt-A-Hallway history—we had a giant surplus of dumped recyclables as part of the Blue and Gold Goes Green. Special events didn't have anyone available to pick it up, so what I came up with is that we farm out the hallways to student organizations put up signs stating, 'This hallway adopted by...' and that way we save money on staff not having to pick up the recyclables and the student organizations and get some PR. That is a two-birds with one stone situation. We are very excited about that.

"I also want to point out that Angelo State University was also named as a great school to work for by the *Chronicle of Higher Education*. That seems to be a system-wide recognition.

"Thank you all for your time."

Preston Wimberly continued with his remarks, "That along with the Ram Fan Program, an athletic initiative that aims to get students more involved and part of our campus.

"I have been spending a lot of time in the West Texas Collection. If you don't know what that is, it is an area that documents a lot of our history. I came across a document that had 'Go Rams' spelled out on the big Concho Building in lights. I asked Dr. May and Dr. Flores if we can get Rams spelled out on that building and they are working on that. I feel that is just one of many ways that we can start getting people involved on campus because I can see through the organization's history, through athletics and academics, all in different ways and we need to get those all incorporated and get everyone involved. I think that is a good push to get our students excited about ASU the way that Connor and I are.

"I will end with some fun items. I don't know if you have seen or heard about our turf getting put down. I'm sure that Chancellor Hance will be down in a week or two to see that. It's pretty exciting. I love it because it's really going to legitimize our football team. I don't know if you saw our number one on the Top 10 ESPN where the guy hurdled him and ran to the end zone. In our new stadium, we have Angelo State and Rams in the end zone but at the stadium he jumped over onto, it said Central Bobcats whenever he jumped over and went to the end zone. I think it's important to have that stadium and how important the turf is to it.

"My final note, on November 1, 2013 ASU plays Tech at basketball. Connor and I will be making another trip here. I know that might be over, but I don't know if you guys have seen our guy, Daniel Skinner. From this far from the free throw line, he jumps that far in. He jumps and dunks over guys. We are competing in bass angling and now we will competing in basketball and I think that is important.

"Thank you."