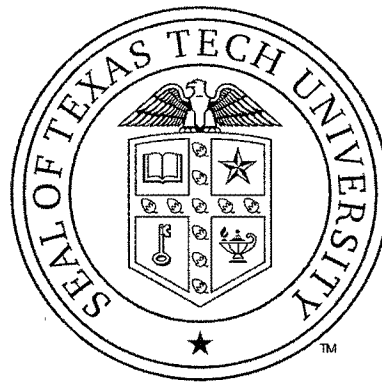


**STATE OF TEXAS  
LEGISLATIVE APPROPRIATIONS REQUEST**

For Fiscal Years 2008 and 2009

Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board



Texas Tech University  
October 13, 2006



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## OVERVIEW

Texas Tech University (TTU) is a statewide, comprehensive, multi-faceted research university with over 28,000 students pursuing undergraduate, graduate, or professional degrees in 12 different instructional schools and colleges. TTU enrollment includes students from 245 of the 254 counties in Texas, 48 states in the U.S., and more than 112 countries. Approximately 87% of TTU students are Texans, and about 77% of undergraduate students come from farther than 100 miles away. In addition, approximately 5,000 or 18% of the students are enrolled in graduate or professional programs. TTU offers the most comprehensive academic program in the state on a single campus. It remains the only institution in the nation to offer seven doctoral programs in agriculture without the benefit of land-grant support.

To provide educational services to the residents of the Texas Hill Country and West Texas, Texas Tech has established academic centers in Junction, Fredericksburg, Marble Falls/Highland Lakes, Amarillo, and Abilene.

The Distance Learning Program in the Division of Outreach and Distance Learning at TTU is one of the largest of its type in the United States, providing K-12, college, and continuing education courses. With over 95,000 enrollments in courses and credit by examination, the program serves students throughout the United States and internationally.

## BOARD OF REGENTS

C. Robert Black	2007	Horseshoe Bay
Bob L. Stafford, M.D.	2007	Amarillo
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Daniel T. Serna	2011	Arlington

## SIGNIFICANT CONSIDERATIONS

### Participation and Success

TTU is committed to the goals outlined in Closing the Gaps. The number of African American and Hispanic students at TTU has grown from 683 and 2,339 in 1998 to 878 and 3,073 in 2005. Each year, approximately 21% of freshmen are first generation college students. In addition, the "Pathway Program" is providing an alternative method of access to TTU for students who select to attend a community college first. To address the need for diversity within the faculty, TTU has employed an individual to assist the colleges in the search for minority faculty.

### Excellence and Research

TTU aspires to become one of the top 100 research and graduate education institutions in the country. External funding for research has increased 63% during the past decade. The Carnegie Foundation recognized the accomplishments of TTU by classifying it as a Doctoral Research-Extensive University, one of six in the state of Texas. TTU's plan to strengthen research is focused on applied perspectives with the intention of transferring discoveries into the marketplace for technology

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commercialization and economic development in the state. The continuing need to add faculty positions to reduce student-faculty ratios, reduce the number of large classes, and provide time for research and technology transfer activities remains a priority for TTU. The appropriation of the Research Development Funds provided much needed and appreciated funding for TTU to continue these efforts. In addition, the Legislature provided faculty excellence funds that TTU used to attract over 30 new faculty that provided significant contributions in research programs. It is imperative that such funding be continued if Texas Tech is to reach its goal of excellence in research and economic development for West Texas and beyond.

**Technology Transfer and Economic Development**

Two general emphases for TTU's regional economic development effort have been identified: technology transfer and technical assistance. Through technology transfer, the intent is to leverage TTU's research capabilities to foster the growth of high technology, private sector employment in the West Texas area. In the last two years there have been 90 inventions disclosed with 10 patents granted. Twelve (12) inventions have been licensed for commercialization.

**Partnerships and Outreach**

Partnerships and collaborations provide another method of leveraging resources. TTU is committed to collaboration with its sister institution, the TTU Health Sciences Center, in ways that will benefit faculty and students, as well as the region and the state. Closing the Gaps requires all Texas public universities to implement strategies to increase opportunities in higher education for all Texans. Several years ago, TTU recognized that need and began following a strategy of expanding access in Texas.

TEA awarded TTU a T-STEM Center which will train teachers to use project-based engineering design in teaching applied math, science and technology. T-STEM academy teachers, as well as teachers from other Texas public schools, will integrate engineering design into their science and math classes to enhance students' success. The Center has multiple partners in public education and businesses throughout West Texas.

In addition, TTU is a participant in the Texas High School Project (THSP) grant that will be used to train intermediate level urban high school principals through an interdisciplinary program that stresses leadership skills.

**STRATEGIC THEMES AND INITIATIVES**

TTU's aspiration is to become a prominent, cost-effective, student-focused public research university, recognized as a top institution in Texas, and among the top 100 in the United States. Supporting that challenging aspiration is a solid core of themes that reflect the strategic choices being made.

**Provide the best possible instruction to all students at the undergraduate, graduate, and professional levels**

TTU will seek to improve undergraduate education by adding faculty to reduce class size, especially in departments where student demand is high, by increasing the proportion of these courses taught by senior faculty, and by providing more graduate assistants who can give students more one-on-one tutorial opportunities. TTU plans to enhance the Honors College through integrating undergraduate research and graduate programs.

**Attract students who are unmatched for their talent and diversity**

TTU will seek to expand student financial assistance by making more competitive the level of academic scholarship awards, increasing need-based grant funds, and enhancing the professional services offered in Student Financial Aid and Student Business Services. TTU will continue to promote access by expanding recruitment efforts all across the state, especially among high achievers and culturally diverse student populations.

**Grow the faculty while enhancing its quality and diversifying its composition**

TTU seeks to add new faculty over the next biennium to enhance the academic quality of the institution and improve the research enterprise and the classroom experience

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for undergraduate students. A number of special initiatives also will be implemented to diversify the faculty by hiring more minorities and women. Efforts also will be made to increase the pipeline of minority Ph.D.s so that the applicant pool for positions will be greater.

**Become one of the nation's top 100 centers of research**

TTU aims to double its sponsored research and reach a goal of \$100 million, becoming a top 100 research university. To achieve this status will likely take a sustained effort of advancement for up to 8 years. To achieve this goal, TTU is actively developing a heightened research culture, including recruiting additional faculty, building a deeper research infrastructure, and supporting research clusters of focused effort to benefit Texas, the Southwest, and the nation. Areas targeted for expansion of research include water resources, Southwest studies, agriculture (cotton, plant stress, precision agriculture, animal industries), genomics and biotechnology, engineering (computing, materials science, wind, hazardous materials management), environmental sciences, space science, bioinformatics, human sciences, and natural resources and conservation. The request seeks additional funding for a new strategy that connects graduate education with research and builds on existing programs of faculty excellence and research development.

**Increase funding for research libraries, equipment, and other services**

TTU's libraries must be sustained and funds will be expended to strengthen collections (emphasizing our research priorities), expand or make more efficient use of space, protect deteriorating books and journals, and enhance the automated catalogue systems. TTU will expand allocations for specialized research equipment by maintaining a continuing resource fund from growth in indirect costs recoveries.

**Internationalize the university experience at TTU**

Today, more than ever, it is imperative that TTU integrate global perspectives and promote international experience as part of its core missions. TTU will continue providing international study opportunities for undergraduate and graduate students. The study abroad programs, especially at the Texas Tech Center in Seville, Spain, will continue to attract more students each semester.

**Build new and renovate existing facilities**

Facilities planned over the next 5 years include a new facility for the Rawls College of Business Administration, renovation of the existing building to create a multi-purpose classroom facility, expansion and modernization of the Law School facilities, and major improvements in the College of Engineering.

**MAJOR AREAS OF CONCERN**

The major areas of concern in this appropriations request are those that directly affect TTU's ability to continue successfully its vital missions of teaching, research, and public service:

**Formulas**

In order to maintain quality academic and research programs, the Legislature should fund at the highest rate the proposed formula recommendations of the Texas Higher Education Coordinating Board. The top priorities for the 80th Legislature should be to provide sufficient funds to cover the growth in enrollments statewide and increase the formula funding rates to reflect the changes in the HECA by at least 7.55% to maintain current purchasing power.

**Research Development Fund**

Beginning in Fall 2005, the Research Development Fund (RDF) was funded as a consolidation of two prior funds created to promote research. Currently, the appropriation for RDF is equal to "one fund." It is requested that the Legislature fully fund the RDF by appropriating twice that amount.

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**Salary Increases and Insurance Premium Increases**

It is requested that the Legislature provide the necessary funding to allow merit salary increases and sufficient appropriations to cover increases in the premiums for the Uniform Group Insurance Program (UGIP) for each year of the biennium. These salary increases and the maintenance of current insurance benefits are critical to maintaining our competitiveness for faculty and staff. In addition, it is requested that institutions of higher education receive an estimated appropriation for UGIP, as do other state agencies.

**HEAF Allocation and Use**

HEAF allocations are expected to increase 50% as authorized by the 79th Legislature. These funds will be used to address needed construction and renovation of facilities, equipment and library materials.

**Special Item Support**

Special Item funding, which provides seed money and matching funds, has been used to leverage millions of dollars of additional non-state funding to support research and public service in such areas as rangeland management, food and fiber production, energy, water, nutrition, and wine marketing. The quality of graduate education programs is dependent upon the continued funding of special items, especially in the areas of agriculture, engineering, and human sciences, since TTU is neither a land-grant institution nor a recipient of support from the Available University Fund. Special Item funding also supports the museum and other academic centers at the university.

**FUNDING REQUEST FOR EXCEPTIONAL ITEMS**

**Restoration of the Reduction in Non-formula Funding for Institutional Enhancement, SORM and SBDC**

Request: \$4,282,379 for the Biennium

Three special item strategies have been reduced by \$4.2 million, which represents a 10% overall decrease in non-formula funding for TTU. Without this funding, the University can make little progress toward becoming an established top 100 research university. Restoration of this proposed reduction is critically important to TTU.

Institutional Enhancement assists in supporting the research and teaching performed within the other strategies. These funds are used for academic support operations, research and the public outreach services which are the missions of the University. A reduction in the next biennium will drastically curtail the ability of the research faculty and make it difficult to recruit faculty and graduate students.

Due to the fluctuation of payroll, FTE's and claims, the SORM assessed allocation is unpredictable from year to year. Restoration of the 10% is prudent in order to maintain the budgets for educational priorities.

The client counseling services and the rural outreach provided by the Small Business Development Center (SBDC) will be decreased by this reduction. It is in the best interest of the small businesses served that these funds be restored.

**TRB Debt Service Funding for Previously Approved New Facilities**

Request: \$10,026,681 for the Biennium

The University seeks funding for the debt service for tuition revenue bonds of \$57.5 million for the new Rawls College of Business, renovations for the existing



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classroom building and for the expansion of the School of Law building as authorized in HB153, 79th Leg. 3rd Called Special Session.

Increase Faculty Excellence and Research Innovation  
Request: \$24,000,000 for the Biennium

The University's goal to attain \$100 million per year in research funding will require an increased commitment to graduate programs and their necessary connection to a viable research faculty. Excellence in research faculty and graduate assistants are requirements of both strong graduate education and productive research efforts. The requested funds, in combination with existing faculty excellence and enhanced research development funds, will be focused on stimulating research outputs and graduate education programs.

Research in Energy Production and Environmental Protection in Texas  
Water Resource Center  
Request: \$2,000,000 for the Biennium

A need exists to address the efficiency of use of the limited water resources in the High Plains of Texas and elsewhere in the State. Expansion of the scope of research, education, and technology transfer conducted at the TTU Water Resource Center is a significant step toward a solution. Alternative technical, economic, legal and political solutions produced by this research will be delivered to targeted users such as farmers, water utilities and applicable State agencies. This request is to provide outreach support, faculty and student support, purchase of specific research equipment, and for travel for interaction and collaboration with other agencies.

TTU Hill Country Educational Network  
Request: \$994,146 for the Biennium

The TTU Hill Country Educational Network serves as a key strategy in addressing the goals of the Closing the Gaps report for citizens of the Texas Hill Country region. Support to continue and enhance program development and degree offerings is requested in the form of faculty salaries, network and workforce training staff salaries, instructional television classroom network equipment, and for operations.

Research to Enhance Ag Production & Value to Ag Products in Texas  
Request: \$800,000 for the Biennium

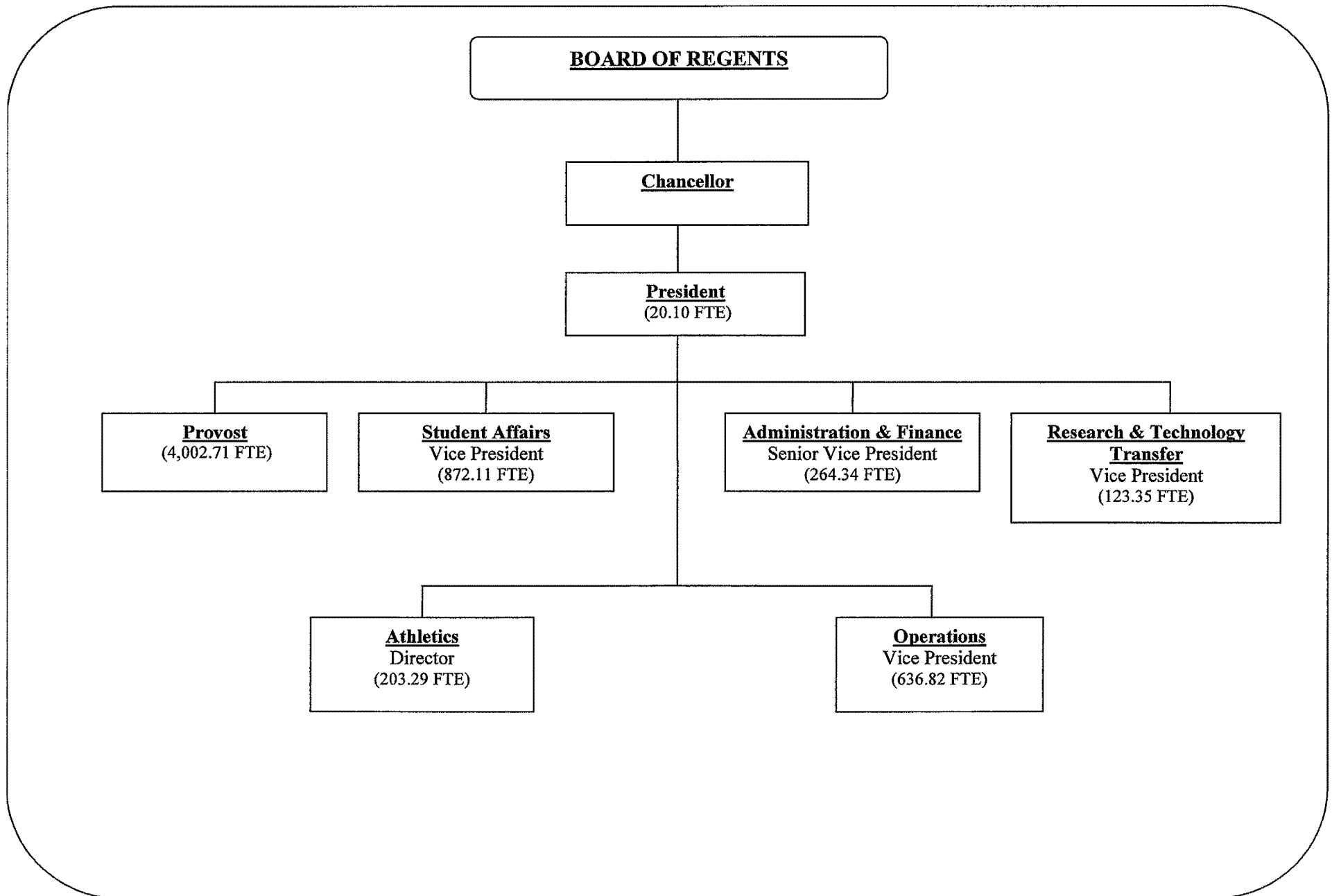
The Texas High Plains is home to the world's largest cattle feedlot industry and excels in the production of cotton, sorghum, corn, wheat, and vegetables. The future economic viability of this region is threatened by the dependence on limited agricultural enterprises, declining groundwater supply and increased global competition. The Agribusiness Solution Center is proposed as a means to facilitate agribusiness growth, rural economic development, and enhanced learning through the integration of academic and real-world environments. Support is requested for Center administrative support salaries, faculty research salaries, operations, and for travel.

Small Business Development Center  
Request: \$600,000 for the Biennium

The Northwest Texas Small Business Development Center (SBDC) plans to slow the growing gap between rural and urban Texas by strengthening the economic health of the remote areas of the region. A primary element in accomplishing this goal is to provide an expanded rural outreach counseling program to assist existing and start-up businesses in the extreme rural areas of the 95 counties served. This request specifically targets counseling staff salaries, modest operational expenditures, and the necessary travel across the region.



# TEXAS TECH UNIVERSITY



**2.A. SUMMARY OF BASE REQUEST BY STRATEGY**  
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Goal / Objective / STRATEGY	Exp 2005	Est 2006	Bud 2007	Req 2008	Req 2009
<b>1</b> Provide Instructional and Operations Support					
<b>1</b> Provide Instructional and Operations Support					
1 OPERATIONS SUPPORT	112,789,934	114,904,985	116,737,343	0	0
2 TEACHING EXPERIENCE SUPPLEMENT	3,235,016	3,420,528	3,420,528	0	0
5 STAFF GROUP INSURANCE PREMIUMS	3,717,860	4,231,921	4,315,317	4,315,317	4,315,317
6 WORKERS' COMPENSATION INSURANCE	591,588	636,441	636,441	572,797	572,797
8 TEXAS PUBLIC EDUCATION GRANTS	5,308,035	5,486,552	5,490,941	5,500,276	5,509,626
9 INDIRECT COST RECOVERY	5,527,540	0	0	0	0
10 ORGANIZED ACTIVITIES	232,843	257,791	482,200	482,200	482,200
14 EXCELLENCE FUNDING	3,395,697	3,880,797	3,880,797	3,880,797	3,880,797
<b>TOTAL, GOAL 1</b>	<b>\$134,798,513</b>	<b>\$132,819,015</b>	<b>\$134,963,567</b>	<b>\$14,751,387</b>	<b>\$14,760,737</b>
<b>2</b> Provide Infrastructure Support					
<b>1</b> Provide Operation and Maintenance of E&G Space					
1 E&G SPACE SUPPORT	11,681,672	12,200,134	9,206,626	0	0
2 TUITION REVENUE BOND RETIREMENT	5,087,799	5,739,227	5,731,201	5,671,532	5,672,181
3 SKILES ACT REVENUE BOND RETIREMENT	156,980	129,720	120,000	0	0
<b>TOTAL, GOAL 2</b>	<b>\$16,926,451</b>	<b>\$18,069,081</b>	<b>\$15,057,827</b>	<b>\$5,671,532</b>	<b>\$5,672,181</b>
<b>3</b> Provide Special Item Support					
<b>1</b> Instructional Support Special Item Support					
1 LIBRARY ARCHIVAL SUPPORT	572,376	696,976	699,132	699,132	699,132
<b>2</b> Research Special Item Support					

**2.A. SUMMARY OF BASE REQUEST BY STRATEGY**  
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<b>Goal / Objective / STRATEGY</b>	<b>Exp 2005</b>	<b>Est 2006</b>	<b>Bud 2007</b>	<b>Req 2008</b>	<b>Req 2009</b>
<b>1</b> AGRICULTURAL RESEARCH	2,014,883	2,283,883	2,283,884	2,283,884	2,283,884
<b>2</b> ENERGY RESEARCH	1,064,542	967,789	967,789	967,789	967,789
<b>3</b> EMERGING TECHNOLOGIES RESEARCH	257,256	345,993	345,993	333,305	333,305
<b>3</b> <i>Public Service Special Item Support</i>					
<b>1</b> JUNCTION ANNEX OPERATION	308,593	362,592	362,592	362,592	362,592
<b>2</b> HILL COUNTRY EDUCATIONAL NETWORK	504,070	637,500	637,500	637,500	637,500
<b>3</b> SMALL BUSINESS DEVELOPMENT	976,869	1,077,293	1,077,293	969,563	969,564
<b>4</b> MUSEUMS & CENTERS	2,000,743	2,037,634	2,037,634	2,037,634	2,037,634
<b>6</b> CENTER FOR FINANCIAL RESPONSIBILITY	168,555	240,000	240,001	240,001	240,001
<b>4</b> <i>Institutional Support Special Item Support</i>					
<b>1</b> INSTITUTIONAL ENHANCEMENT	5,710,920	5,825,522	5,748,843	3,785,714	3,785,714
<b>4</b> FACULTY EXCELLENCE	0	2,500,000	2,500,000	2,500,000	2,500,000
<b>TOTAL, GOAL        3</b>	<b>\$13,578,807</b>	<b>\$16,975,182</b>	<b>\$16,900,661</b>	<b>\$14,817,114</b>	<b>\$14,817,115</b>
<b>225</b> Research Development Fund					
<b>1</b> <i>Research Development Fund</i>					
<b>1</b> RESEARCH DEVELOPMENT FUND	0	2,998,969	2,998,969	0	0
<b>TOTAL, GOAL        225</b>	<b>\$0</b>	<b>\$2,998,969</b>	<b>\$2,998,969</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, AGENCY STRATEGY REQUEST</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,240,033</b>	<b>\$35,250,033</b>
<b>TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST*</b>				<b>\$35,000</b>	<b>\$35,000</b>
<b>GRAND TOTAL, AGENCY REQUEST</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,275,033</b>	<b>\$35,285,033</b>

**2.A. SUMMARY OF BASE REQUEST BY STRATEGY**  
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<i>Goal / Objective / STRATEGY</i>	<b>Exp 2005</b>	<b>Est 2006</b>	<b>Bud 2007</b>	<b>Req 2008</b>	<b>Req 2009</b>
<u>METHOD OF FINANCING:</u>					
<b>General Revenue Funds:</b>					
1 GENERAL REVENUE FUND	114,655,593	124,178,169	123,687,831	24,942,240	24,942,890
<b>SUBTOTAL</b>	<b>\$114,655,593</b>	<b>\$124,178,169</b>	<b>\$123,687,831</b>	<b>\$24,942,240</b>	<b>\$24,942,890</b>
<b>General Revenue Dedicated Funds:</b>					
269 TX TECH UNIV SP MIN ACCT	41,723	48,700	35,000	35,000	35,000
704 BD AUTHORIZED TUITION INC	6,416,311	7,290,916	7,305,498	0	0
708 EST STATUTORY TUITION INC	3,169,004	1,407,619	1,407,619	0	0
770 EST OTH EDUC & GEN INCO	41,021,140	37,936,843	37,485,076	10,297,793	10,307,143
<b>SUBTOTAL</b>	<b>\$50,648,178</b>	<b>\$46,684,078</b>	<b>\$46,233,193</b>	<b>\$10,332,793</b>	<b>\$10,342,143</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,275,033</b>	<b>\$35,285,033</b>

\*Rider appropriations for the historical years are included in the strategy amounts.

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
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METHOD OF FINANCING	Exp 2005	Est 2006	Bud 2007	Req 2008	Req 2009
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**GENERAL REVENUE**

**1** General Revenue Fund

*REGULAR APPROPRIATIONS*

Regular Appropriations from MOF Table

\$111,896,246	\$124,113,169	\$123,705,936	\$24,942,240	\$24,942,890
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*RIDER APPROPRIATION*

Article III, TTU Rider #4 (2004-05 GAA)

\$(600,000)	\$0	\$0	\$0	\$0
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Article IX, Sec. 14.49 Contingency Appropriation SB1370 (2006-07 GAA)

\$0	\$65,000	\$65,000	\$0	\$0
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*TRANSFERS*

Art IX, Sec 5.09, Reductions for Commercial Air Travel (2006-07 GAA)

\$0	\$0	\$(83,105)	\$0	\$0
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Article III, Sec. 56.1 Special Provisions (2004-05 GAA)

\$(291,380)	\$0	\$0	\$0	\$0
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*SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS*

Texas Excellence Funds

\$3,650,915	\$0	\$0	\$0	\$0
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*LAPSED APPROPRIATIONS*

HB1, 78th, Article III, Sec. 6.9 (2004-05 GAA)

\$(188)	\$0	\$0	\$0	\$0
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**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**  
80th Regular Session, Agency Submission, Version I  
Automated Budget and Evaluation System of Texas (ABEST)

DATE **10/13/2006**  
TIME: **11:45:57AM**

Agency code.	733	Agency name.	Texas Tech University		
METHOD OF FINANCING	Exp 2005	Est 2006	Bud 2007	Req 2008	Req 2009
<u>GENERAL REVENUE</u>					
TOTAL, General Revenue Fund	\$114,655,593	\$124,178,169	\$123,687,831	\$24,942,240	\$24,942,890
TOTAL, ALL GENERAL REVENUE	\$114,655,593	\$124,178,169	\$123,687,831	\$24,942,240	\$24,942,890
<u>GENERAL REVENUE FUND - DEDICATED</u>					
269	GR Dedicated - Texas Tech University Special Mineral Account No. 269				
	REGULAR APPROPRIATIONS				
	Regular Appropriations from MOF Table				
	\$20,000	\$79,822	\$19,000	\$35,000	\$35,000
	Revise receipts				
	\$21,723	\$(31,122)	\$16,000	\$0	\$0
TOTAL, GR Dedicated - Texas Tech University Special Mineral Account No. 269	\$41,723	\$48,700	\$35,000	\$35,000	\$35,000
704	GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704				
	REGULAR APPROPRIATIONS				
	Increased revenue estimate				
	\$0	\$825,746	\$840,328	\$0	\$0
	Regular Appropriations from MOF Table				
	\$5,627,421	\$6,465,170	\$6,465,170	\$0	\$0



**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME **11:45:57AM**

Agency code: **733** Agency name: **Texas Tech University**

METHOD OF FINANCING	Exp 2005	Est 2006	Bud 2007	Req 2008	Req 2009
<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>					
Revise receipts to actual increase/expenditures	\$788,890	\$0	\$0	\$0	\$0
<b>TOTAL, GR Dedicated - Estimated Board Authorized Tuition Increases Account No. 704</b>	<b>\$6,416,311</b>	<b>\$7,290,916</b>	<b>\$7,305,498</b>	<b>\$0</b>	<b>\$0</b>
<b>708</b> GR Dedicated - Estimated Statutory Tuition Increases Account No. 708					
REGULAR APPROPRIATIONS					
Regular Appropriations from MOF Table	\$3,169,004	\$1,407,619	\$1,407,619	\$0	\$0
<b>TOTAL, GR Dedicated - Estimated Statutory Tuition Increases Account No. 708</b>	<b>\$3,169,004</b>	<b>\$1,407,619</b>	<b>\$1,407,619</b>	<b>\$0</b>	<b>\$0</b>
<b>770</b> GR Dedicated - Estimated Other Educational and General Income Account No. 770					
REGULAR APPROPRIATIONS					
100% Indirect Cost Recovery	\$5,527,540	\$0	\$0	\$0	\$0
Regular Appropriations from MOF Table	\$39,836,080	\$37,801,873	\$38,424,729	\$10,297,793	\$10,307,143
Revise receipts to actual & projected decrease/expenditures	\$(4,342,480)	\$0	\$(939,653)	\$0	\$0
Revise receipts to actual collections	\$0	\$134,970	\$0	\$0	\$0

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**  
80th Regular Session, Agency Submission, Version I  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:45:57AM

Agency code: 733 Agency name: Texas Tech University

METHOD OF FINANCING	Exp 2005	Est 2006	Bud 2007	Req 2008	Req 2009
<b><u>GENERAL REVENUE FUND - DEDICATED</u></b>					
<b>TOTAL, GR Dedicated - Estimated Other Educational and General Income Account No. 770</b>					
	\$41,021,140	\$37,936,843	\$37,485,076	\$10,297,793	\$10,307,143
<b>TOTAL GENERAL REVENUE FUND - DEDICATED - 704, 708 &amp; 770</b>					
	\$50,606,455	\$46,635,378	\$46,198,193	\$10,297,793	\$10,307,143
<b>TOTAL, ALL GENERAL REVENUE FUND - DEDICATED</b>					
	\$50,648,178	\$46,684,078	\$46,233,193	\$10,332,793	\$10,342,143
<b>TOTAL, GR &amp; GR-DEDICATED FUNDS</b>					
	\$165,303,771	\$170,862,247	\$169,921,024	\$35,275,033	\$35,285,033
<b>GRAND TOTAL</b>	\$165,303,771	\$170,862,247	\$169,921,024	\$35,275,033	\$35,285,033

**FULL-TIME-EQUIVALENT POSITIONS**

<b>REGULAR APPROPRIATIONS</b>					
Regular Appropriations from MOF Table	3,423.2	3,020.4	3,020.4	2,913.2	2,948.2
<b>TRANSFERS</b>					
Article IX, Sec. 6.14, 2% FTE Reductions (2006-07 GAA)	0.0	(60.4)	(60.4)	0.0	0.0
<b>UNAUTHORIZED NUMBER OVER (BELOW) CAP</b>					
Unauthorized Number Over (Below) Cap	(987.0)	(381.5)	(114.4)	0.0	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>2,436.2</b>	<b>2,578.5</b>	<b>2,845.6</b>	<b>2,913.2</b>	<b>2,948.2</b>

**2.B. SUMMARY OF BASE REQUEST BY METHOD OF FINANCE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **11:45:57AM**

Agency code:	733	Agency name	Texas Tech University			
METHOD OF FINANCING	Exp 2005	Est 2006	Bud 2007	Req 2008	Req 2009	
NUMBER OF 100% FEDERALLY FUNDED FTEs	0.0	0.0	0.0	0.0	0.0	

**2.C. SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:34AM

Agency code:	733	Agency name:	Texas Tech University		
OBJECT OF EXPENSE	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
1001 SALARIES AND WAGES	\$65,870,104	\$50,682,851	\$51,966,530	\$11,475,663	\$11,475,663
1002 OTHER PERSONNEL COSTS	\$873,161	\$1,225,142	\$1,266,529	\$176,689	\$176,690
1005 FACULTY SALARIES	\$74,006,512	\$88,711,393	\$90,567,966	\$4,141,440	\$4,141,440
1010 PROFESSIONAL SALARIES	\$49,141	\$0	\$0	\$0	\$0
2001 PROFESSIONAL FEES AND SERVICES	\$61,839	\$151,361	\$130,607	\$108,066	\$108,066
2002 FUELS AND LUBRICANTS	\$5,712	\$22,368	\$21,910	\$20,835	\$20,835
2003 CONSUMABLE SUPPLIES	\$3,250,078	\$5,415,453	\$3,380,943	\$861,010	\$861,010
2004 UTILITIES	\$17,271	\$66,661	\$62,832	\$56,309	\$56,309
2005 TRAVEL	\$219,940	\$300,900	\$282,393	\$212,263	\$212,263
2006 RENT - BUILDING	\$20,815	\$22,261	\$17,694	\$7,174	\$7,174
2007 RENT - MACHINE AND OTHER	\$36,959	\$76,665	\$60,384	\$47,661	\$47,661
2008 DEBT SERVICE	\$5,244,779	\$5,868,947	\$5,851,201	\$5,671,532	\$5,672,181
2009 OTHER OPERATING EXPENSE	\$12,285,996	\$18,005,968	\$16,151,164	\$12,355,367	\$12,364,717
4000 GRANTS	\$0	\$0	\$0	\$0	\$0
5000 CAPITAL EXPENDITURES	\$3,361,464	\$312,277	\$160,871	\$106,024	\$106,024
<b>OOE Total (Excluding Riders)</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,240,033</b>	<b>\$35,250,033</b>
<b>OOE Total (Riders)</b>				<b>\$35,000</b>	<b>\$35,000</b>
<b>Grand Total</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,275,033</b>	<b>\$35,285,033</b>

## 2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/13/2006  
Time: 11:46:01AM

Agency code: 733		Agency name: Texas Tech University			
Goal/ Objective / Outcome	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
1 Provide Instructional and Operations Support <i>Provide Instructional and Operations Support</i>					
KEY 1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs	54.80%	56.18%	52.00%	56.00%	56.00%
2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs	56.22%	57.77%	54.00%	56.00%	56.00%
3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yr	43.30%	43.77%	38.00%	43.00%	43.00%
4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs	44.86%	38.28%	38.00%	40.00%	40.00%
5 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 6 Yrs	54.55%	67.35%	46.00%	55.00%	55.00%
KEY 6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs	27.80%	31.29%	23.00%	25.00%	25.00%
7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs	29.25%	32.57%	25.00%	27.00%	27.00%
8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs	20.27%	24.15%	13.00%	20.00%	20.00%
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	16.67%	15.71%	9.00%	12.00%	12.00%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	21.05%	34.29%	18.00%	26.00%	26.00%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	83.88%	81.04%	84.00%	84.00%	84.00%
12 Persistence Rate 1st-time, Full-time, Degree-seeking White Frsh- 1 Yr	83.90%	82.30%	82.00%	82.00%	82.00%

## 2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/13/2006  
Time: 11:46:08AM

Agency code: 733		Agency name: Texas Tech University			
Goal/ Objective / Outcome	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>13 Persistence Rate 1st-time, Full-time, Degree-seeking Hisp Frsh-1 Yr</b>	83.85%	76.67%	76.00%	76.00%	76.00%
<b>14 Persistence Rate 1st-time, Full-time, Degree-seeking Black Frsh-1 Yr</b>	84.25%	75.19%	80.00%	80.00%	80.00%
<b>15 Persistenc Rate 1st-time, Full-time, Degree-seeking Other Frsh-1 Yr</b>	83.33%	75.00%	72.00%	76.00%	76.00%
<b>16 Percent of Semester Credit Hours Completed</b>	93.99%	94.77%	93.00%	93.00%	93.00%
<b>KEY 17 Certification Rate of Teacher Education Graduates</b>	86.53%	87.00%	87.00%	87.00%	87.00%
<b>18 Persistence Rate Underprepared Students Requiring Dev Educ after 1 Yr</b>	85.29%	68.18%	63.00%	65.00%	65.00%
<b>KEY 19 % of Baccalaureate Graduates Who Are 1st Generation College Graduates</b>	22.82%	23.81%	15.00%	20.00%	20.00%
<b>20 Percent of Transfer Students Who Graduate within 4 Years</b>	64.24%	60.57%	57.00%	56.00%	56.00%
<b>21 Percent of Transfer Students Who Graduate within 2 Years</b>	26.42%	25.14%	20.00%	12.00%	12.00%
<b>KEY 22 % Lower Division Courses Taught by Tenured Faculty</b>	27.66%	27.60%	31.00%	30.00%	30.00%
<b>KEY 23 State Licensure Pass Rate of Law Graduates</b>	86.05%	89.05%	90.00%	90.00%	90.00%
<b>KEY 24 State Licensure Pass Rate of Engineering Graduates</b>	88.99%	89.00%	90.00%	89.00%	89.00%
<b>KEY 28 Dollar Value of External or Sponsored Research Funds (in Millions)</b>	29.00	29.00	29.00	29.00	29.00

**2.D. SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES**

80th Regular Session, Agency Submission, Version 1  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/13/2006  
 Time: 11:46:08AM

Agency code: 733		Agency name: Texas Tech University			
Goal/ Objective / Outcome	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>29 External or Sponsored Research Funds As a % of State Appropriations</b>					
	17.98%	16.00%	15.00%	16.00%	16.00%
<b>30 External Research Funds As Percentage Appropriated for Research</b>					
	863.88%	700.00%	700.00%	700.00%	700.00%
<b>46 Value of Lost or Stolen Property</b>					
	95,149.00	116,000.00	180,000.00	145,000.00	145,000.00
<b>47 Percent of Property Lost or Stolen</b>					
	0.07%	0.08%	0.14%	0.10%	0.10%
<b>48 Percent of Endowed Chairs Unfilled</b>					
	12.00%	18.18%	15.00%	15.00%	15.00%
<b>49 Average No Months Endowed Chairs Remain Vacant</b>					
	12.00	12.00	12.00	12.00	12.00

**2.E. SUMMARY OF EXCEPTIONAL ITEMS REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME : 11:46:16AM

Agency code: 733

Agency name: Texas Tech University

		2008			2009			Biennium	
Priority	Item	GR and GR/GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds	FTEs	GR and GR Dedicated	All Funds
1	Restoration to Non-Formula Line	\$2,141,189	\$2,141,189	13.6	\$2,141,190	\$2,141,190	13.6	\$4,282,379	\$4,282,379
2	Debt Service Needed for TRB	\$5,012,189	\$5,012,189		\$5,014,492	\$5,014,492		\$10,026,681	\$10,026,681
3	Faculty Excellence and Research	\$9,875,000	\$9,875,000	35.0	\$14,125,000	\$14,125,000	70.0	\$24,000,000	\$24,000,000
4	Water Resource Center	\$1,000,000	\$1,000,000	4.0	\$1,000,000	\$1,000,000	4.0	\$2,000,000	\$2,000,000
5	Hill Country Educational Networ	\$495,182	\$495,182	6.0	\$498,964	\$498,964	6.0	\$994,146	\$994,146
6	Research to Enhance Ag Production	\$400,000	\$400,000	4.0	\$400,000	\$400,000	4.0	\$800,000	\$800,000
7	Small Business Development Center	\$300,000	\$300,000	5.0	\$300,000	\$300,000	5.0	\$600,000	\$600,000
<b>Total, Exceptional Items Request</b>		<b>\$19,223,560</b>	<b>\$19,223,560</b>	<b>67.6</b>	<b>\$23,479,646</b>	<b>\$23,479,646</b>	<b>102.6</b>	<b>\$42,703,206</b>	<b>\$42,703,206</b>

**Method of Financing**

General Revenue	\$19,223,560	\$19,223,560		\$23,479,646	\$23,479,646		\$42,703,206	\$42,703,206
General Revenue - Dedicated								
Federal Funds								
Other Funds								
	<b>\$19,223,560</b>	<b>\$19,223,560</b>		<b>\$23,479,646</b>	<b>\$23,479,646</b>		<b>\$42,703,206</b>	<b>\$42,703,206</b>

**Full Time Equivalent Positions** 67.6 102.6

**Number of 100% Federally Funded FTEs** 0.0 0.0



**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/13/2006  
TIME : 11:46:34AM

Agency code: 733	Agency name: Texas Tech University					
Goal/Objective/STRATEGY	Base 2008	Base 2009	Exceptional 2008	Exceptional 2009	Total Request 2008	Total Request 2009
<b>1 Provide Instructional and Operations Support</b>						
<i>1 Provide Instructional and Operations Support</i>						
1 OPERATIONS SUPPORT	\$0	\$0	\$0	\$0	\$0	\$0
2 TEACHING EXPERIENCE SUPPLEMENT	0	0	0	0	0	0
5 STAFF GROUP INSURANCE PREMIUMS	4,315,317	4,315,317	0	0	4,315,317	4,315,317
6 WORKERS' COMPENSATION INSURANCE	572,797	572,797	63,644	63,644	636,441	636,441
8 TEXAS PUBLIC EDUCATION GRANTS	5,500,276	5,509,626	0	0	5,500,276	5,509,626
9 INDIRECT COST RECOVERY	0	0	0	0	0	0
10 ORGANIZED ACTIVITIES	482,200	482,200	0	0	482,200	482,200
14 EXCELLENCE FUNDING	3,880,797	3,880,797	0	0	3,880,797	3,880,797
<b>TOTAL, GOAL 1</b>	<b>\$14,751,387</b>	<b>\$14,760,737</b>	<b>\$63,644</b>	<b>\$63,644</b>	<b>\$14,815,031</b>	<b>\$14,824,381</b>
<b>2 Provide Infrastructure Support</b>						
<i>1 Provide Operation and Maintenance of E&amp;G Space</i>						
1 E&G SPACE SUPPORT	0	0	0	0	0	0
2 TUITION REVENUE BOND RETIREMENT	5,671,532	5,672,181	5,012,189	5,014,492	10,683,721	10,686,673
3 SKILES ACT REVENUE BOND RETIREMENT	0	0	0	0	0	0
<b>TOTAL, GOAL 2</b>	<b>\$5,671,532</b>	<b>\$5,672,181</b>	<b>\$5,012,189</b>	<b>\$5,014,492</b>	<b>\$10,683,721</b>	<b>\$10,686,673</b>

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/13/2006  
TIME : 11:46:43AM

Agency code: 733		Agency name: Texas Tech University				
Goal/Objective/STRATEGY	Base 2008	Base 2009	Exceptional 2008	Exceptional 2009	Total Request 2008	Total Request 2009
<b>3 Provide Special Item Support</b>						
<i>1 Instructional Support Special Item Support</i>						
1 LIBRARY ARCHIVAL SUPPORT	\$699,132	\$699,132	\$0	\$0	\$699,132	\$699,132
<i>2 Research Special Item Support</i>						
1 AGRICULTURAL RESEARCH	2,283,884	2,283,884	400,000	400,000	2,683,884	2,683,884
2 ENERGY RESEARCH	967,789	967,789	1,000,000	1,000,000	1,967,789	1,967,789
3 EMERGING TECHNOLOGIES RESEARCH	333,305	333,305	0	0	333,305	333,305
<i>3 Public Service Special Item Support</i>						
1 JUNCTION ANNEX OPERATION	362,592	362,592	0	0	362,592	362,592
2 HILL COUNTRY EDUCATIONAL NETWORK	637,500	637,500	495,182	498,964	1,132,682	1,136,464
3 SMALL BUSINESS DEVELOPMENT	969,563	969,564	407,729	407,729	1,377,292	1,377,293
4 MUSEUMS & CENTERS	2,037,634	2,037,634	0	0	2,037,634	2,037,634
6 CENTER FOR FINANCIAL RESPONSIBILITY	240,001	240,001	0	0	240,001	240,001
<i>4 Institutional Support Special Item Support</i>						
1 INSTITUTIONAL ENHANCEMENT	3,785,714	3,785,714	1,969,816	1,969,817	5,755,530	5,755,531
4 FACULTY EXCELLENCE	2,500,000	2,500,000	9,875,000	14,125,000	12,375,000	16,625,000
<b>TOTAL, GOAL 3</b>	<b>\$14,817,114</b>	<b>\$14,817,115</b>	<b>\$14,147,727</b>	<b>\$18,401,510</b>	<b>\$28,964,841</b>	<b>\$33,218,625</b>

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/13/2006  
TIME : 11:46:43AM

Agency code: 733		Agency name: Texas Tech University				
Goal/Objective/STRATEGY		Base 2008	Base 2009	Exceptional 2008	Exceptional 2009	Total Request 2008      Total Request 2009
225 Research Development Fund						
1 Research Development Fund						
1 RESEARCH DEVELOPMENT FUND		\$0	\$0	\$0	\$0	\$0      \$0
TOTAL, GOAL 225		\$0	\$0	\$0	\$0	\$0      \$0
TOTAL, AGENCY STRATEGY REQUEST		\$35,240,033	\$35,250,033	\$19,223,560	\$23,479,646	\$54,463,593      \$58,729,679
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST		\$35,000	\$35,000	\$0	\$0	\$35,000      \$35,000
GRAND TOTAL, AGENCY REQUEST		\$35,275,033	\$35,285,033	\$19,223,560	\$23,479,646	\$54,498,593      \$58,764,679

**2.F. SUMMARY OF TOTAL REQUEST BY STRATEGY**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE : 10/13/2006  
TIME : 11:46:43AM

Agency code: 733		Agency name: Texas Tech University				
Goal/Objective/STRATEGY	Base 2008	Base 2009	Exceptional 2008	Exceptional 2009	Total Request 2008	Total Request 2009
<b>General Revenue Funds:</b>						
1 GENERAL REVENUE FUND	\$24,942,240	\$24,942,890	\$19,223,560	\$23,479,646	\$44,165,800	\$48,422,536
	<b>\$24,942,240</b>	<b>\$24,942,890</b>	<b>\$19,223,560</b>	<b>\$23,479,646</b>	<b>\$44,165,800</b>	<b>\$48,422,536</b>
<b>General Revenue Dedicated Funds:</b>						
269 TX TECH UNIV SP MIN ACCT	35,000	35,000	0	0	\$35,000	\$35,000
704 BD AUTHORIZED TUITION INC	0	0	0	0	\$0	\$0
708 EST STATUTORY TUITION INC	0	0	0	0	\$0	\$0
770 EST OTH EDUC & GEN INCO	10,297,793	10,307,143	0	0	\$10,297,793	\$10,307,143
	<b>\$10,332,793</b>	<b>\$10,342,143</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,332,793</b>	<b>\$10,342,143</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$35,275,033</b>	<b>\$35,285,033</b>	<b>\$19,223,560</b>	<b>\$23,479,646</b>	<b>\$54,498,593</b>	<b>\$58,764,679</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>2,913.2</b>	<b>2,948.2</b>	<b>67.6</b>	<b>102.6</b>	<b>2,980.8</b>	<b>3,050.8</b>

## 2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/13/2006  
Time: 11:46:47AM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

		BL 2008	BL 2009	Excp 2008	Excp 2009	Total Request 2008	Total Request 2009
1	Provide Instructional and Operations Support						
1	<i>Provide Instructional and Operations Support</i>						
KEY	1 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 6 Yrs						
		56.00%	56.00%			56.00%	56.00%
	2 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 6 Yrs						
		56.00%	56.00%			56.00%	56.00%
	3 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 6 Yr						
		43.00%	43.00%			43.00%	43.00%
	4 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 6 Yrs						
		40.00%	40.00%			40.00%	40.00%
	5 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 6 Yrs						
		55.00%	55.00%			55.00%	55.00%
KEY	6 % 1st-time, Full-time, Degree-seeking Frsh Earn Degree in 4 Yrs						
		25.00%	25.00%			25.00%	25.00%
	7 % 1st-time, Full-time, Degree-seeking White Frsh Earn Degree in 4 Yrs						
		27.00%	27.00%			27.00%	27.00%
	8 % 1st-time, Full-time, Degree-seeking Hisp Frsh Earn Degree in 4 Yrs						
		20.00%	20.00%			20.00%	20.00%

## 2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/13/2006  
Time: 11:46:57AM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	BL 2008	BL 2009	Excp 2008	Excp 2009	Total Request 2008	Total Request 2009
<hr/>						
9 % 1st-time, Full-time, Degree-seeking Black Frsh Earn Degree in 4 Yrs	12.00%	12.00%			12.00%	12.00%
10 % 1st-time, Full-time, Degree-seeking Other Frsh Earn Degree in 4 Yrs	26.00%	26.00%			26.00%	26.00%
KEY 11 Persistence Rate 1st-time, Full-time, Degree-seeking Frsh after 1 Yr	84.00%	84.00%			84.00%	84.00%
12 Persistence Rate 1st-time, Full-time, Degree-seeking White Frsh- 1 Yr	82.00%	82.00%			82.00%	82.00%
13 Persistence Rate 1st-time, Full-time, Degree-seeking Hisp Frsh-1 Yr	76.00%	76.00%			76.00%	76.00%
14 Persistence Rate 1st-time, Full-time, Degree-seeking Black Frsh-1 Yr	80.00%	80.00%			80.00%	80.00%
15 Persistenc Rate 1st-time, Full-time, Degree-seeking Other Frsh-1 Yr	76.00%	76.00%			76.00%	76.00%
16 Percent of Semester Credit Hours Completed	93.00%	93.00%			93.00%	93.00%
KEY 17 Certification Rate of Teacher Education Graduates	87.00%	87.00%			87.00%	87.00%

**2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)

Date : 10/13/2006  
Time: 11:46:57AM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	BL 2008	BL 2009	Excp 2008	Excp 2009	Total Request 2008	Total Request 2009
<hr/>						
	<b>18 Persistence Rate Underprepared Students Requiring Dev Educ after 1 Yr</b>					
	65.00%	65.00%			65.00%	65.00%
<b>KEY</b>	<b>19 % of Baccalaureate Graduates Who Are 1st Generation College Graduates</b>					
	20.00%	20.00%			20.00%	20.00%
	<b>20 Percent of Transfer Students Who Graduate within 4 Years</b>					
	56.00%	56.00%			56.00%	56.00%
	<b>21 Percent of Transfer Students Who Graduate within 2 Years</b>					
	12.00%	12.00%			12.00%	12.00%
<b>KEY</b>	<b>22 % Lower Division Courses Taught by Tenured Faculty</b>					
	30.00%	30.00%			30.00%	30.00%
<b>KEY</b>	<b>23 State Licensure Pass Rate of Law Graduates</b>					
	90.00%	90.00%			90.00%	90.00%
<b>KEY</b>	<b>24 State Licensure Pass Rate of Engineering Graduates</b>					
	89.00%	89.00%			89.00%	89.00%
<b>KEY</b>	<b>28 Dollar Value of External or Sponsored Research Funds (in Millions)</b>					
	29.00	29.00			29.00	29.00
	<b>29 External or Sponsored Research Funds As a % of State Appropriations</b>					
	16.00%	16.00%			16.00%	16.00%

**2.G. SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation system of Texas (ABEST)Date : 10/13/2006  
Time: 11:46:57AM

Agency code: 733

Agency name: Texas Tech University

Goal/ Objective / Outcome

	<b>BL 2008</b>	<b>BL 2009</b>	<b>Excp 2008</b>	<b>Excp 2009</b>	<b>Total Request 2008</b>	<b>Total Request 2009</b>
<b>30 External Research Funds As Percentage Appropriated for Research</b>						
	700.00%	700.00%			700.00%	700.00%
<b>46 Value of Lost or Stolen Property</b>						
	145,000.00	145,000.00			145,000.00	145,000.00
<b>47 Percent of Property Lost or Stolen</b>						
	0.10%	0.10%			0.10%	0.10%
<b>48 Percent of Endowed Chairs Unfilled</b>						
	15.00%	15.00%			15.00%	15.00%
<b>49 Average No Months Endowed Chairs Remain Vacant</b>						
	12.00	12.00			12.00	12.00



**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: 733      Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 1 Provide Instructional and Operations Support

Service Categories:

STRATEGY: 1 Operations Support

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Output Measures:</b>						
1	Number of Undergraduate Degrees Awarded	4,316.00	4,683.00	3,500.00	3,950.00	3,950.00
2	Number of Minority Graduates	700.00	751.00	525.00	650.00	700.00
3	Success of Students Needing Developmental Education	375.00	285.00	525.00	300.00	300.00
4	Number of Community College Transfers Who Graduate	886.00	930.00	650.00	800.00	800.00
<b>Efficiency Measures:</b>						
1	Administrative Cost as a Percent of Operating Budget	7.03 %	7.08 %	6.10 %	7.20 %	7.20 %
<b>Explanatory/Input Measures:</b>						
1	Student/Faculty Ratio	21.48	21.00	20.37	21.00	21.00
2	Number of Minority Students Enrolled	4,123.00	4,000.00	3,800.00	4,000.00	4,100.00
3	Number of Community College Transfers Enrolled	4,220.00	4,250.00	4,000.00	4,000.00	4,000.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$42,537,835	\$31,833,440	\$32,179,415	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$501,686	\$765,230	\$818,922	\$0	\$0
1005	FACULTY SALARIES	\$68,465,214	\$81,389,207	\$83,005,998	\$0	\$0
1010	PROFESSIONAL SALARIES	\$40,866	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$23,223	\$18,223	\$13,804	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$456,804	\$358,472	\$271,508	\$0	\$0
2004	UTILITIES	\$2,023	\$1,588	\$1,205	\$0	\$0
2005	TRAVEL	\$111,203	\$60,217	\$66,782	\$0	\$0
2006	RENT - BUILDING	\$16,570	\$13,003	\$9,847	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$8,235	\$6,463	\$4,894	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$490,367	\$406,827	\$310,121	\$0	\$0
5000	CAPITAL EXPENDITURES	\$135,908	\$52,315	\$54,847	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$112,789,934</b>	<b>\$114,904,985</b>	<b>\$116,737,343</b>	<b>\$0</b>	<b>\$0</b>

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: 733      Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 1 Provide Instructional and Operations Support

Service Categories:

STRATEGY: 1 Operations Support

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$80,419,925	\$82,284,692	\$83,964,905	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$80,419,925</b>	<b>\$82,284,692</b>	<b>\$83,964,905</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
704	BD AUTHORIZED TUITION INC	\$6,416,311	\$7,290,916	\$7,305,498	\$0	\$0
708	EST STATUTORY TUITION INC	\$3,169,004	\$1,407,619	\$1,407,619	\$0	\$0
770	EST OTH EDUC & GEN INCO	\$22,784,694	\$23,921,758	\$24,059,321	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$32,370,009</b>	<b>\$32,620,293</b>	<b>\$32,772,438</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$112,789,934</b>	<b>\$114,904,985</b>	<b>\$116,737,343</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>1,739.1</b>	<b>1,906.5</b>	<b>2,131.6</b>	<b>2,212.8</b>	<b>2,247.8</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This is a formula driven strategy that provides basic support for the mission of the institution. The formula yield is based upon performance and generation of semester credit hours. The Instruction and Operations formula provides funding for instruction, academic support, public service, research enhancement, student services and instructional support. These funds are distributed on a weighted semester credit hour basis. The legislature appropriated a rate of \$55.72 per weighted semester credit hour for the 2006-2007 biennium. The Higher Education Coordinating Board's recommendation for FY 2008-2009 is a rate of \$61.55 per weighted semester credit hour. This increase reflects changes in the CPI-U.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support

OBJECTIVE:    1    Provide Instructional and Operations Support

STRATEGY:    1    Operations Support

Statewide Goal/Benchmark:    2    0

Service Categories:

Service: 19    Income: A.2    Age: B.3

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CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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Factors impacting the strategy include:

- 1) The impact of tuition increases on students' ability to meet the cost of enrolling
- 2) Success of programs designed to retain currently enrolled students
- 3) Ability of the institution to attract and retain a sufficient number of faculty members to offer the full range of courses students need to complete degrees
- 4) Ability of the institution to provide adequate space to meet the demands of course offerings

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support  
OBJECTIVE:    1    Provide Instructional and Operations Support  
STRATEGY:     2    Teaching Experience Supplement

Statewide Goal/Benchmark:    2    0  
Service Categories:  
Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
	1005 FACULTY SALARIES	\$3,235,016	\$3,420,528	\$3,420,528	\$0	\$0
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$3,235,016</b>	<b>\$3,420,528</b>	<b>\$3,420,528</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
	1 GENERAL REVENUE FUND	\$2,520,815	\$2,650,094	\$2,658,703	\$0	\$0
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>	<b>\$2,520,815</b>	<b>\$2,650,094</b>	<b>\$2,658,703</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
	770 EST OTH EDUC & GEN INCO	\$714,201	\$770,434	\$761,825	\$0	\$0
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$714,201</b>	<b>\$770,434</b>	<b>\$761,825</b>	<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	<b>\$3,235,016</b>	<b>\$3,420,528</b>	<b>\$3,420,528</b>	<b>\$0</b>	<b>\$0</b>
	<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>53.9</b>	<b>57.0</b>	<b>57.0</b>	<b>57.0</b>	<b>57.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Teaching Experience Supplement provides an incentive to have the best-qualified teachers in the undergraduate classroom.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Internal Factors include the availability of tenure or tenure-track faculty to assume teaching assignments at a rate which balances the university's mission of teaching, research and services. This factor is affected by the university's ability to fund an adequate number of faculty positions.

External Factors include the availability of qualified candidates in high demand disciplines to fill the faculty positions required to assure that tenure or tenure-track faculty are in the undergraduate classroom.

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    1    Provide Instructional and Operations Support

Service Categories:

STRATEGY:    3    Growth Supplement

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Explanatory/Input Measures:</b>						
1	Number of Semester Credit Hours Completed	336,320.00	334,000.00	330,000.00	334,000.00	334,000.00
2	Number of Semester Credit Hours	353,688.00	360,000.00	350,000.00	360,000.00	360,000.00
3	Number of Students Enrolled As of the Twelfth Class Day	27,940.00	28,000.00	28,500.00	28,200.00	28,600.00
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>						
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: 733      Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support  
OBJECTIVE: 1 Provide Instructional and Operations Support  
STRATEGY: 5 Staff Group Insurance Premiums

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 20    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
	2009 OTHER OPERATING EXPENSE	\$3,717,860	\$4,231,921	\$4,315,317	\$4,315,317	\$4,315,317
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$3,717,860</b>	<b>\$4,231,921</b>	<b>\$4,315,317</b>	<b>\$4,315,317</b>	<b>\$4,315,317</b>
<b>Method of Financing:</b>						
	770 EST OTH EDUC & GEN INCO	\$3,717,860	\$4,231,921	\$4,315,317	\$4,315,317	\$4,315,317
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$3,717,860</b>	<b>\$4,231,921</b>	<b>\$4,315,317</b>	<b>\$4,315,317</b>	<b>\$4,315,317</b>
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				<b>\$4,315,317</b>	<b>\$4,315,317</b>
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	<b>\$3,717,860</b>	<b>\$4,231,921</b>	<b>\$4,315,317</b>	<b>\$4,315,317</b>	<b>\$4,315,317</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Funding tied to the strategy for Staff Group Insurance Premium supports the Uniform Group Insurance Program for the University. The funding amount for each fiscal year represents only Texas Tech University's proportional funding obligation for both active and retired employees. The remainder is appropriated to the Employees Retirement System. This is based on current employer contribution rates and will need to be modified in accordance with any changes to these rates.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    1    Provide Instructional and Operations Support

Service Categories:

STRATEGY:    5    Staff Group Insurance Premiums

Service: 20    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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The funding for Staff Group Insurance Premiums for institutions of higher education is capped at an amount established in the Appropriations Bill. Two factors contribute to the possibility of a shortfall in Staff Group Insurance appropriations:

- 1.The Insurance Code requires that the appropriations for Staff Group Insurance must be based on actual enrollment as of October 31 of the fiscal year that the Legislature is in session. This process does not take into consideration any enrollment growth that may occur during the biennium or any growth in the next biennium due to additional appropriations or other factors.
- 2.The premiums for retirees must also be paid from the appropriations to each institution including the institution's proportional share. The number of retirees may increase significantly before the end of the biennium or during the next biennium.
- 3.Effective 9/1/2006, as provided by SB 1863, 79th Regular Legislative Session, employees who waive or who have previously waived health insurance may elect to receive an Opt Out Credit to be applied to Dental or AD&D insurance coverage. Institutions of higher education are charged the full \$30 for part-time employees/retirees or \$60 for full-time employees/retirees regardless of the amount of the credit used by the employee. Since institutions can be billed for employees who waived and continue to waive coverage prior to the current biennium, this represents an additional cost because there was no prior appropriation for these individual's health insurance.

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support  
OBJECTIVE:    1    Provide Instructional and Operations Support  
STRATEGY:     6    Workers' Compensation Insurance

Statewide Goal/Benchmark:    2    0

Service Categories:

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
	2009 OTHER OPERATING EXPENSE	\$591,588	\$636,441	\$636,441	\$572,797	\$572,797
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$591,588</b>	<b>\$636,441</b>	<b>\$636,441</b>	<b>\$572,797</b>	<b>\$572,797</b>
<b>Method of Financing:</b>						
	1 GENERAL REVENUE FUND	\$591,588	\$636,441	\$636,441	\$572,797	\$572,797
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>	<b>\$591,588</b>	<b>\$636,441</b>	<b>\$636,441</b>	<b>\$572,797</b>	<b>\$572,797</b>
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				<b>\$572,797</b>	<b>\$572,797</b>
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	<b>\$591,588</b>	<b>\$636,441</b>	<b>\$636,441</b>	<b>\$572,797</b>	<b>\$572,797</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy reflects the university's assessed allocation of the state's workers' compensation funding pool.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**



**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support  
OBJECTIVE:    1    Provide Instructional and Operations Support  
STRATEGY:     8    Texas Public Education Grants

Statewide Goal/Benchmark:    2    0  
Service Categories:  
Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
	2009 OTHER OPERATING EXPENSE	\$5,308,035	\$5,486,552	\$5,490,941	\$5,500,276	\$5,509,626
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$5,308,035</b>	<b>\$5,486,552</b>	<b>\$5,490,941</b>	<b>\$5,500,276</b>	<b>\$5,509,626</b>
<b>Method of Financing:</b>						
	770 EST OTH EDUC & GEN INCO	\$5,308,035	\$5,486,552	\$5,490,941	\$5,500,276	\$5,509,626
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$5,308,035</b>	<b>\$5,486,552</b>	<b>\$5,490,941</b>	<b>\$5,500,276</b>	<b>\$5,509,626</b>
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				<b>\$5,500,276</b>	<b>\$5,509,626</b>
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	<b>\$5,308,035</b>	<b>\$5,486,552</b>	<b>\$5,490,941</b>	<b>\$5,500,276</b>	<b>\$5,509,626</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Funding associated with the Texas Public Education Grants (TPEG) strategy provides additional student financial assistance. Texas Education Code, Section 56.033 and the General Appropriations Act, Higher Education Coordinating Board Special Provisions, Section 6, require that 15% of resident student tuition and 3% of nonresident student tuition be set aside for TPEG.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The amount of resident and nonresident tuition collected directly impacts TPEG.

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Agency code: 733      Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 1 Provide Instructional and Operations Support

Service Categories:

STRATEGY: 9 Indirect Cost Recovery for Research Related Activities

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$5,202,458	\$0	\$0	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$60,773	\$0	\$0	\$0	\$0
1005	FACULTY SALARIES	\$258,586	\$0	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$5,723	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,527,540</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
770	EST OTH EDUC & GEN INCO	\$5,527,540	\$0	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$5,527,540</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$5,527,540</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>48.6</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The Indirect Cost Recovery strategy provided funding for research-related activities. As provided in Texas Education Code, Section 145.001, Overhead Cost Recovery, these funds represented 100% of funds earned and were not applied to reduce General Revenue Appropriations and can be expended under guidelines approved by the Texas Tech University Board of Regents.

Beginning in FY 2006, Indirect Cost is no longer included in TTU's appropriations.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The level of grants awarded and received determined the amount of overhead recovery, as well as any contracted rates with federal, state, local agencies or companies chosen to perform their research.

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Agency code: 733      Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support

OBJECTIVE: 1 Provide Instructional and Operations Support

STRATEGY: 10 Organized Activities

Statewide Goal/Benchmark: 2 0

Service Categories:

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$181,932	\$207,517	\$417,133	\$417,133	\$417,133
1002	OTHER PERSONNEL COSTS	\$17	\$202	\$658	\$658	\$658
2003	CONSUMABLE SUPPLIES	\$50,079	\$49,603	\$63,367	\$64,409	\$64,409
2009	OTHER OPERATING EXPENSE	\$815	\$469	\$1,042	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$232,843</b>	<b>\$257,791</b>	<b>\$482,200</b>	<b>\$482,200</b>	<b>\$482,200</b>
<b>Method of Financing:</b>						
770	EST OTH EDUC & GEN INCO	\$232,843	\$257,791	\$482,200	\$482,200	\$482,200
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$232,843</b>	<b>\$257,791</b>	<b>\$482,200</b>	<b>\$482,200</b>	<b>\$482,200</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$482,200</b>	<b>\$482,200</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$232,843</b>	<b>\$257,791</b>	<b>\$482,200</b>	<b>\$482,200</b>	<b>\$482,200</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>5.4</b>	<b>7.4</b>	<b>12.1</b>	<b>12.1</b>	<b>12.1</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy provides funding for the Child Development Research Center (CDRC) in the Department of Human Development and Family Studies (HDFS). The center currently consists of three classrooms, an infant/toddler classroom, a young preschool classroom (2-and 3-year-olds), and an older preschool classroom (4-and 5-year-olds). Construction, funded principally through development efforts within the college, is underway for a new and significantly larger complex to house the CDRC. The CDRC provides direct full day services to young children while also providing hands-on training to college students in Early Childhood, Human Development, and Family and Consumer Sciences majors. It also provides a research site for faculty and graduate students in the College of Human Sciences as well as in other departments and colleges studying the development and behavior of young children. All of these activities occur under the guidance and supervision of professional staff members.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

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Agency code: 733      Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 1 Provide Instructional and Operations Support

Service Categories:

STRATEGY: 10 Organized Activities

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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Tuition for children enrolled in the program is the primary source of revenue for the CDRC. Of the total operating budget for the CDRC, 60% is paid by parent tuition, 13% from a state instructional support account, and 27% from HDFS course fees. Student demands for lab placements have increased substantially in the last 5 years. The number of Early Childhood majors has continued to increase while large numbers of Human Development students and Family and Consumer Sciences students also need lab placements for their degree program requirements. With a waiting list exceeding 150 children, even with the additional classrooms created through the new building, we will not be able to accommodate all of the children awaiting placement.

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            1    Provide Instructional and Operations Support  
OBJECTIVE:    1    Provide Instructional and Operations Support  
STRATEGY:    14   Excellence Funding

Statewide Goal/Benchmark:    2    0  
Service Categories:  
Service:    19    Income: A.2    Age:    B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,775,697	\$2,260,797	\$2,260,797	\$2,260,797	\$2,260,797
1005	FACULTY SALARIES	\$1,620,000	\$1,620,000	\$1,620,000	\$1,620,000	\$1,620,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$3,395,697</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$3,395,697	\$3,880,797	\$3,880,797	\$3,880,797	\$3,880,797
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$3,395,697</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$3,880,797</b>	<b>\$3,880,797</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$3,395,697</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>	<b>\$3,880,797</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>81.6</b>	<b>99.6</b>	<b>99.6</b>	<b>99.6</b>	<b>99.6</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy supports faculty member growth in key areas such as research, high demand courses, and various academic programs. Another area that receives funding from this strategy is student support services such as Student Financial Aid, Registrar's Office and Student Business Services. These institutional functions support the teaching, research, and public service mission of the university.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Class/student enrollments.

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Agency code: 733      Agency name: Texas Tech University

GOAL: 2      Provide Infrastructure Support

Statewide Goal/Benchmark: 2      0

OBJECTIVE: 1      Provide Operation and Maintenance of E&G Space

Service Categories:

STRATEGY: 1      E&G Space Support

Service: 10      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Efficiency Measures:</b>						
1	Space Utilization Rate of Classrooms	30.20	33.70	28.00	34.00	34.00
2	Space Utilization Rate of Labs	25.04	26.60	17.00	25.00	25.00
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$7,232,979	\$7,261,465	\$7,415,915	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$166,646	\$279,494	\$268,197	\$0	\$0
1005	FACULTY SALARIES	\$85,055	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$9,794	\$11,096	\$3,314	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$2,486,415	\$2,753,369	\$841,320	\$0	\$0
2005	TRAVEL	\$67,195	\$9,245	\$0	\$0	\$0
2006	RENT - BUILDING	\$1,795	\$2,031	\$607	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$15,070	\$17,072	\$5,099	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$1,207,231	\$1,861,362	\$672,174	\$0	\$0
5000	CAPITAL EXPENDITURES	\$409,492	\$5,000	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$11,681,672</b>	<b>\$12,200,134</b>	<b>\$9,206,626</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$9,102,685	\$9,061,467	\$6,951,154	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$9,102,685</b>	<b>\$9,061,467</b>	<b>\$6,951,154</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
770	EST OTH EDUC & GEN INCO	\$2,578,987	\$3,138,667	\$2,255,472	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$2,578,987</b>	<b>\$3,138,667</b>	<b>\$2,255,472</b>	<b>\$0</b>	<b>\$0</b>

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Agency code: 733      Agency name: Texas Tech University

GOAL: 2 Provide Infrastructure Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space

Service Categories:

STRATEGY: 1 E&G Space Support

Service: 10    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$11,681,672</b>	<b>\$12,200,134</b>	<b>\$9,206,626</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>276.9</b>	<b>245.5</b>	<b>252.9</b>	<b>252.9</b>	<b>252.9</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Infrastructure Support Formula: Funding associated with plant-related formulas and utilities will be distributed by the infrastructure support formula, which is driven by the predicted square feet for universities' educational and general activities produced by the Space Projection Model developed by the Texas Higher Education Coordinating Board. The portion of the formula related to utilities is adjusted to reflect differences in unit costs for purchased utilities, including electricity, natural gas, water and wastewater. The Coordinating Board recommends the average rate per square foot is \$9.26 for the 2008-2009 biennium.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Many factors, both external & internal, affect the cost of E&G support. Utility rate changes, extreme weather conditions, & increases to facility sq footage often have a dramatic effect. For FY 2006, it is expected that E&G utilities will exceed the budget amount by about \$1.7M. This overage is primarily due to a sudden increase in power costs (natural gas and electricity) caused by severe storms in the Gulf and world oil prices. For FY 2007, we expect average rates very similar to what we saw in FY 2006 with no long term significant relief seen in the cost of natural gas. The cost of electricity will mirror the cost of natural gas. We have been notified to expect significant water rate and sewer rate increases.

Utility funding is primarily for purchasing natural gas, electricity, and water; manufacturing chilled water, steam, treated water, and compressed air; and utility distribution systems repair including maintenance of over 7 miles of utility tunnels. Sq footage demands and fuel increases greatly affect the costs of bldg maintenance and custodial services. Campus improvements and parking changes affect the cost of Grounds Maintenance. E&G Space Support includes Bldg Maintenance, Grounds Maintenance, Utilities, and Custodial Svcs. Bldg Maintenance includes carpentry, painting, locksmith, heating ventilation air conditioning, plumbing, electrical, and insulation. Grounds includes street, parking lot, bumper and sign maintenance, irrigation, tree and shrub pruning, and mowing. Custodial cleans approximately 5 million sq feet each working day.

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GOAL:	2	Provide Infrastructure Support	Statewide Goal/Benchmark:	2	0
OBJECTIVE:	1	Provide Operation and Maintenance of E&G Space	Service Categories:		
STRATEGY:	2	Tuition Revenue Bond Retirement	Service:	10	Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
	2008 DEBT SERVICE	\$5,087,799	\$5,739,227	\$5,731,201	\$5,671,532	\$5,672,181
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$5,087,799</b>	<b>\$5,739,227</b>	<b>\$5,731,201</b>	<b>\$5,671,532</b>	<b>\$5,672,181</b>
<b>Method of Financing:</b>						
	1 GENERAL REVENUE FUND	\$5,087,799	\$5,739,227	\$5,731,201	\$5,671,532	\$5,672,181
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>	<b>\$5,087,799</b>	<b>\$5,739,227</b>	<b>\$5,731,201</b>	<b>\$5,671,532</b>	<b>\$5,672,181</b>
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				<b>\$5,671,532</b>	<b>\$5,672,181</b>
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	<b>\$5,087,799</b>	<b>\$5,739,227</b>	<b>\$5,731,201</b>	<b>\$5,671,532</b>	<b>\$5,672,181</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy provides for the retirement of debt authorized by the Texas Education Code, Sections 55.17 (e) (2)-(4) & 55.1739 for Revenue Financing System Bonds. Proceeds from the authority granted by the Seventy-Third Legislature were used to build a new library archival facility at the University and a new library for the Health Sciences Center (Expended 2005 - \$2,047,926; estimated 2006 - \$2,055,314; budgeted 2007 - \$2,053,407; requested 2008 - \$2,060,915; requested 2009 - \$2,062,489).

Proceeds from the authority granted by the Seventy-Fifth Legislature in 1997 were used to construct educational facilities, including an English/Philosophy/Education complex and a visitors' center (Expended 2005 - \$1,815,444; estimated 2006 - \$1,812,688; budgeted 2007 - \$1,808,394; requested 2008 - \$1,741,217; requested 2009 - \$1,740,792).

Proceeds from the authority granted by the Seventy-Seventh Legislature in 2001 are being used to construct an Experimental Sciences building (Expended 2005 - \$1,224,429; estimated 2006 - \$1,871,225; budgeted 2007 - \$1,869,400; requested 2008 - \$1,869,400; requested 2009 - \$1,868,900).

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

It impacts the legislature approving authorization of tuition revenue bonds for construction or renovation, and the legislature funding the debt service.



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GOAL:            2    Provide Infrastructure Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    1    Provide Operation and Maintenance of E&G Space

Service Categories:

STRATEGY:     3    Skiles Act Revenue Bond Retirement

Service: 10    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
	2008 DEBT SERVICE	\$156,980	\$129,720	\$120,000	\$0	\$0
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$156,980</b>	<b>\$129,720</b>	<b>\$120,000</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
	770 EST OTH EDUC & GEN INCO	\$156,980	\$129,720	\$120,000	\$0	\$0
	<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>	<b>\$156,980</b>	<b>\$129,720</b>	<b>\$120,000</b>	<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>				<b>\$0</b>	<b>\$0</b>
	<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>	<b>\$156,980</b>	<b>\$129,720</b>	<b>\$120,000</b>	<b>\$0</b>	<b>\$0</b>

**FULL TIME EQUIVALENT POSITIONS:**

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This strategy provides funding specifically for the Skiles Act Revenue Bond Retirement. The former Texas Education Code, Section 55.17(d), commonly referred to as the "Skiles Act", authorized each governing board to dedicate a portion of the tuition fee, not to exceed \$5 per student, to the retirement of revenue bonds issued to finance permanent improvements.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

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GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    1    Instructional Support Special Item Support

Service Categories:

STRATEGY:    1    Library Archival Support

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$518,507	\$573,113	\$564,863	\$564,863	\$564,863
1002	OTHER PERSONNEL COSTS	\$5,140	\$9,284	\$11,440	\$11,440	\$11,440
2001	PROFESSIONAL FEES AND SERVICES	\$6,636	\$34,655	\$29,994	\$29,994	\$29,994
2003	CONSUMABLE SUPPLIES	\$1,235	\$6,449	\$5,582	\$5,582	\$5,582
2005	TRAVEL	\$3	\$40,291	\$58,330	\$58,330	\$58,330
2007	RENT - MACHINE AND OTHER	\$1,029	\$5,374	\$4,651	\$4,651	\$4,651
2009	OTHER OPERATING EXPENSE	\$5,038	\$26,310	\$22,772	\$22,772	\$22,772
5000	CAPITAL EXPENDITURES	\$34,788	\$1,500	\$1,500	\$1,500	\$1,500
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$572,376</b>	<b>\$696,976</b>	<b>\$699,132</b>	<b>\$699,132</b>	<b>\$699,132</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$572,376	\$696,976	\$699,132	\$699,132	\$699,132
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$572,376</b>	<b>\$696,976</b>	<b>\$699,132</b>	<b>\$699,132</b>	<b>\$699,132</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$699,132</b>	<b>\$699,132</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$572,376</b>	<b>\$696,976</b>	<b>\$699,132</b>	<b>\$699,132</b>	<b>\$699,132</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>14.2</b>	<b>11.0</b>	<b>13.5</b>	<b>13.5</b>	<b>13.5</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

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Agency code: 733      Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 1 Instructional Support Special Item Support

Service Categories:

STRATEGY: 1 Library Archival Support

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
	<p>The Vietnam Archive contains approximately 20 million pages of documentation regarding the American Vietnam experience to include 90,000 images, 2,000 maps, 15,000 books, and the most comprehensive collection of research microfilm and microfiche related to the Vietnam War in the world. Records in the archive include government and military documents, individual personal materials and records, correspondence and diaries, unit histories, veteran newsletters, periodicals, memoirs, published and unpublished manuscripts, and material related to significant post-Vietnam War issues and organizations. The archive collects material on all aspects of the Vietnam War, especially the role of Texans during this important period in American history, and conducts oral history interviews to preserve what veterans remember about their service. The Vietnam Archive also makes archival research materials freely available online through the Internet and Virtual Vietnam Archive. This free online resource contains more than 2.5 million pages of material. It is used by students, teachers, scholars, veterans, researchers, and the general public, and has made important contributions toward resolving MIA cases in Southeast Asia.</p>					

The Vietnam Center sponsors a program of conferences and symposia that provides an academic setting for further discussions of research and better understanding. They have developed as a venue at which former enemies have discussed reconciliation—the importance of which has been recognized by both the US State Department and the Vietnamese government.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Vietnam Archive continues its dynamic growth with 1,059 material donations during the past 2 years. Immediate action is necessary to preserve Vietnam War-related collections as veterans continue to age and pass away. No other organization in the US is aggressively engaged in preserving these materials, making the effort at TTU exceedingly important to both Texas and the nation. The Vietnam Archive at TTU is internationally recognized as the most significant archive of Vietnam war-related material outside the federal government and the Virtual Vietnam Archive provides free global Internet access to these unique historical materials.

The Vietnam Project continues to receive strong support from principal figures involved in the war and its aftermath. US Ambassador to Vietnam, Michael Marine, participated in our 2005 Symposium and has officially recognized the role of the Vietnam Center in promoting reconciliation between the US and Vietnam. Prominent US and Vietnamese officials regularly participate in Vietnam Center events to include US Ambassadors, former Deputy Prime Ministers, senior military commanders, and prominent scholars and researchers.

The Vietnam Center continues to develop a strong network of contacts in academia and in the government of Vietnam. This high level of credibility enjoyed by the Vietnam Center continues to play a role in developing economic opportunities for Texas businesses.

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: 733      Agency name: Texas Tech University

GOAL: 3      Provide Special Item Support

Statewide Goal/Benchmark: 2      0

OBJECTIVE: 2      Research Special Item Support

Service Categories:

STRATEGY: 1      Research to Enhance Ag Production & Add Value to Ag Products in Texas

Service: 21      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,669,574	\$1,722,323	\$1,761,907	\$1,761,907	\$1,761,907
1002	OTHER PERSONNEL COSTS	\$20,877	\$32,038	\$42,224	\$42,224	\$42,224
1005	FACULTY SALARIES	\$161,644	\$3,062	\$3,122	\$3,122	\$3,122
2001	PROFESSIONAL FEES AND SERVICES	\$3,008	\$9,537	\$9,769	\$9,769	\$9,769
2002	FUELS AND LUBRICANTS	\$3,243	\$10,283	\$10,531	\$10,531	\$10,531
2003	CONSUMABLE SUPPLIES	\$25,129	\$79,678	\$71,705	\$71,705	\$71,705
2004	UTILITIES	\$836	\$2,651	\$2,715	\$2,715	\$2,715
2005	TRAVEL	\$4,330	\$20,137	\$20,731	\$20,731	\$20,731
2006	RENT - BUILDING	\$1,610	\$5,105	\$5,228	\$5,228	\$5,228
2007	RENT - MACHINE AND OTHER	\$1,309	\$4,151	\$4,251	\$4,251	\$4,251
2009	OTHER OPERATING EXPENSE	\$99,635	\$315,919	\$284,307	\$284,307	\$284,307
5000	CAPITAL EXPENDITURES	\$23,688	\$78,999	\$67,394	\$67,394	\$67,394
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,014,883</b>	<b>\$2,283,883</b>	<b>\$2,283,884</b>	<b>\$2,283,884</b>	<b>\$2,283,884</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$2,014,883	\$2,283,883	\$2,283,884	\$2,283,884	\$2,283,884
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$2,014,883</b>	<b>\$2,283,883</b>	<b>\$2,283,884</b>	<b>\$2,283,884</b>	<b>\$2,283,884</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$2,283,884</b>	<b>\$2,283,884</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$2,014,883</b>	<b>\$2,283,883</b>	<b>\$2,283,884</b>	<b>\$2,283,884</b>	<b>\$2,283,884</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>50.9</b>	<b>50.9</b>	<b>52.7</b>	<b>52.7</b>	<b>52.7</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:06AM

Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    2    Research Special Item Support

Service Categories:

STRATEGY:    1    Research to Enhance Ag Production & Add Value to Ag Products in Texas

Service: 21    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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With external pressures of increased global competition, uncertainties of farm and trade policies, declining supplies of underground water for crop irrigation, and increasing production/input/energy/technology costs, economic viability of the Texas agricultural industry is dependent, more than ever, on applied research and technology transfer. West TX and the High Plains region is one of the most intensive agricultural production areas in TX and the world. Texas Tech University researchers are uniquely qualified and positioned to provide interdisciplinary research leadership and expertise required for the viability of Texas agriculture. Cooperative research programs link TTU, Texas Agricultural Experiment Station, Texas Cooperative Extension and USDA-Agricultural Research Service scientists to maximize use of state and federal resources. Programs supported under this line item will focus on the highest standards of research excellence in all aspects of state food, fiber, natural resources, and environmental sciences and in application of research discoveries. Specific research thrusts will include: water, rangeland, crop, forage, animal, wildlife, natural resource management systems, agroterrorism, food product safety and development, economics of farm policy and trade, precision resource management, remote sensing, genomics, and textile technology.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

West TX and the High Plains region is one of the most intensive agricultural production areas in TX and the world, with a \$22 billion agriculture industry. TX High Plains is home to the world's largest cattle feedlot industry and excels in the production of cotton, sorghum, corn, wheat, and vegetables. 25% of the nation's cotton and 56% of the state's cotton is produced on the High Plains, with the cash value of harvested cotton exceeding \$1 billion. 70% of the cattle fed in Texas are in feedlots in the High Plains, amounting to 27% of the fed beef in the US. The variety of vegetables grown on the High Plains also has been increasing yearly. Heavy dependence on production agriculture enterprises, declining groundwater supplies, increasing production costs & increased global competition threaten the future economic viability of this region. Agricultural enterprises of tomorrow need to include more diverse operations drawing benefits from a number of enterprises including traditional row crops, forages, animal agriculture, and wildlife. Opportunities to add value to agricultural products through new food processing and manufacturing enterprises also need to be capitalized. Research is urgently needed to protect the economic integrity of the region through a systems-based approach of developing new and enhanced management strategies and enterprises. These initiatives would benefit existing natural resources and associated agricultural products while conserving water and the natural resource base for future generations.

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    2    Research Special Item Support

Service Categories:

STRATEGY:    2    Research in Energy Production and Environmental Protection in Texas

Service: 21    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$545,669	\$487,458	\$567,039	\$567,039	\$567,039
1002	OTHER PERSONNEL COSTS	\$5,015	\$5,974	\$9,154	\$9,154	\$9,154
1005	FACULTY SALARIES	\$110,202	\$4,250	\$4,250	\$4,250	\$4,250
1010	PROFESSIONAL SALARIES	\$8,275	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$7,021	\$17,657	\$16,709	\$16,709	\$16,709
2002	FUELS AND LUBRICANTS	\$0	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$97,680	\$183,987	\$169,261	\$169,261	\$169,261
2005	TRAVEL	\$4,837	\$20,838	\$19,171	\$19,171	\$19,171
2006	RENT - BUILDING	\$550	\$1,383	\$1,309	\$1,309	\$1,309
2007	RENT - MACHINE AND OTHER	\$233	\$586	\$555	\$555	\$555
2009	OTHER OPERATING EXPENSE	\$103,497	\$194,954	\$179,341	\$179,341	\$179,341
4000	GRANTS	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$181,563	\$50,702	\$1,000	\$1,000	\$1,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,064,542</b>	<b>\$967,789</b>	<b>\$967,789</b>	<b>\$967,789</b>	<b>\$967,789</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$1,064,542	\$967,789	\$967,789	\$967,789	\$967,789
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$1,064,542</b>	<b>\$967,789</b>	<b>\$967,789</b>	<b>\$967,789</b>	<b>\$967,789</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$967,789</b>	<b>\$967,789</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$1,064,542</b>	<b>\$967,789</b>	<b>\$967,789</b>	<b>\$967,789</b>	<b>\$967,789</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>18.6</b>	<b>23.6</b>	<b>26.5</b>	<b>26.5</b>	<b>26.5</b>

**3.A. STRATEGY REQUEST**  
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Agency code: 733      Agency name: Texas Tech University

GOAL: 3      Provide Special Item Support

Statewide Goal/Benchmark: 2      0

OBJECTIVE: 2      Research Special Item Support

Service Categories:

STRATEGY: 2      Research in Energy Production and Environmental Protection in Texas

Service: 21      Income: A.2      Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Sustainable, reasonably priced, environmentally friendly sources of energy & water are vital to the future of Texas. This line item supports research on several critical energy and environment issues that will help the State maintain a healthy, sustainable economy. The Water Resources Center directs interdisciplinary research in water quantity and quality, regulatory and resource allocation policy, water reuse and recycling, and remediation of contaminated soil and water. This line item also matches federal funds for the Wind Science and Engineering (WISE) Research Center. The WISE Center has established both an international repository for wind-damage documentation and a field site for advanced wind research as it relates to wind loads on civil structures and wind power development. Research conducted by WISE has improved the national engineering and design codes for structures to resist the destructive forces of hurricanes and tornadoes, helping to protect property and save lives during these extreme wind events. The Cooperative Biological Research Database stores huge amounts of biological data that can be retrieved and analyzed to assist decision makers on issues including conservation, pollution control, agriculture, public health, esthetics, education, and economic development.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors affecting this strategy include changes in energy demand and policy, population change, competing demands for limited water supplies in an important agricultural area, the prices of oil and natural gas, governmental regulations, and potential terrorist activities. External factors that affect water resources include state-mandated regional water planning, persistence of local drought conditions, changes in state water rights, changes in drinking water standards, and transboundary issues. Significant external factors that impact wind research include population growth in storm prone areas, the rapid growth in wind power to meet electrical power needs (Texas ranks first in the U.S. for installed wind power capacity), the growing need for localized atmospheric data by a variety of users, and the homeland security threat of airborne toxins and pathogens. Internal factors that may affect this strategy are the availability of US citizens who are allowed to conduct proprietary federal research in these competitive areas, and continued state financial assistance with seed research, bridge funding, new faculty hires, and graduate student support.

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Agency code: 733 Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 2 Research Special Item Support

Service Categories:

STRATEGY: 3 Research in Emerging Technologies and Economic Development in Texas

Service: 21 Income: A.2 Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$137,631	\$122,239	\$134,408	\$134,408	\$134,408
1002	OTHER PERSONNEL COSTS	\$542	\$0	\$0	\$0	\$0
1005	FACULTY SALARIES	\$8,732	\$0	\$0	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$5,271	\$46,266	\$41,887	\$39,375	\$39,375
2005	TRAVEL	\$533	\$51,715	\$55,832	\$52,484	\$52,484
2009	OTHER OPERATING EXPENSE	\$14,329	\$125,773	\$113,866	\$107,038	\$107,038
5000	CAPITAL EXPENDITURES	\$90,218	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$257,256</b>	<b>\$345,993</b>	<b>\$345,993</b>	<b>\$333,305</b>	<b>\$333,305</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$257,256	\$345,993	\$345,993	\$333,305	\$333,305
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$257,256</b>	<b>\$345,993</b>	<b>\$345,993</b>	<b>\$333,305</b>	<b>\$333,305</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$333,305</b>	<b>\$333,305</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$257,256</b>	<b>\$345,993</b>	<b>\$345,993</b>	<b>\$333,305</b>	<b>\$333,305</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.9</b>	<b>3.7</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Funding from this line item will be used to stimulate the economy of Texas with an emphasis on areas of high economic interest to the state. Collectively, these programs can have a significant positive impact on the Texas economy by improving the human condition, by assisting business, health care, and manufacturing entities, by creating and transferring new technologies, and by developing more effective marketing strategies for private sector enterprises. Faculty with line item support will conduct applied research and provide service in areas compatible with state needs. Current projects supported by the line item include: a framework for career planning for high school students in the State of Texas, a collegiate substance abuse recovery program, enology and viticulture research to help grow the Texas wine industry, improving hygiene in restaurants, nutrition research, recruiting and mentoring mathematics students, neuro-imaging technologies, market research and development of the organic food industry, and development of new food processing technologies. Funds from this line item will be leveraged through the development of proposals for funding from federal, state, and private sources.



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Agency code: 733      Agency name: Texas Tech University

GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    2    Research Special Item Support

Service Categories:

STRATEGY:    3    Research in Emerging Technologies and Economic Development in Texas

Service: 21    Income. A.2    Age: B.3

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CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

External factors impacting this strategy include availability of federal funding for the programs funded by this line item, the ability of the university to successfully attract and retain faculty with expertise in these areas, potential increases in matching requirements by federal agencies, the amount of federal money directed to new faculty initiatives, timelines of federal budget approval and adoption, the degree to which the state will provide matching for industry/state/university research and development initiatives, the extent to which the state allows the university to collect facilities and administrative costs from federal government funds, and adequate financial resources to maintain the TTU high-speed rural telecommunications network. Internal factors impacting the strategy include the number of new faculty hires, new faculty startup package requirements, the priority ranking given to research at the university, and allocation of resources within the university.

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Agency code: 733      Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support  
OBJECTIVE: 3 Public Service Special Item Support  
STRATEGY: 1 Junction Annex Operation

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$263,774	\$293,472	\$283,211	\$283,211	\$283,211
1002	OTHER PERSONNEL COSTS	\$7,921	\$11,581	\$10,416	\$10,416	\$10,416
2003	CONSUMABLE SUPPLIES	\$1,422	\$1,837	\$1,768	\$1,768	\$1,768
2004	UTILITIES	\$2,182	\$2,820	\$2,714	\$2,714	\$2,714
2005	TRAVEL	\$200	\$5,001	\$8,223	\$8,223	\$8,223
2007	RENT - MACHINE AND OTHER	\$1,671	\$2,159	\$2,078	\$2,078	\$2,078
2009	OTHER OPERATING EXPENSE	\$27,486	\$35,516	\$34,182	\$34,182	\$34,182
5000	CAPITAL EXPENDITURES	\$3,937	\$10,206	\$20,000	\$20,000	\$20,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$308,593</b>	<b>\$362,592</b>	<b>\$362,592</b>	<b>\$362,592</b>	<b>\$362,592</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$308,593	\$362,592	\$362,592	\$362,592	\$362,592
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$308,593</b>	<b>\$362,592</b>	<b>\$362,592</b>	<b>\$362,592</b>	<b>\$362,592</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$362,592</b>	<b>\$362,592</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$308,593</b>	<b>\$362,592</b>	<b>\$362,592</b>	<b>\$362,592</b>	<b>\$362,592</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>8.5</b>	<b>9.2</b>	<b>10.1</b>	<b>10.1</b>	<b>10.1</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

**3.A. STRATEGY REQUEST**  
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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    3    Public Service Special Item Support

Service Categories:

STRATEGY:    1    Junction Annex Operation

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<p>This strategy supports graduate and undergraduate programs, research and special initiatives at the TTU Center at Junction located on a 411 acre tract adjoining the South Llano River in Kimble County. Classroom and instructional support facilities for 150 students and faculty sustain general academics, field science and teacher professional development courses during the spring intersession and summer sessions, generating more than 600 semester credit hours per yr. Additionally, the Junction Center is part of the TTU Hill Country Educational Network offering undergraduate and graduate academic courses year around to under-served communities in the western Hill Country region. Also supported by the Center are technical and workforce development training offered by community colleges to central and west Texas communities not directly served by a community college district. This strategy partially funds operations of the Junction Field Research Station which currently hosts students and scientists from several universities, involved in over 24 research projects focused on water and watershed issues. Expansion of facilities for academic programs also supports increased training of local and state agency officials at the Junction Center, as well as special programs such as Red Raider Camp, a freshman orientation effort that improves first-year success and retention, and Outdoor School, a K-12 field-based science and math program, which expanded significantly in FY 2006 and provides residential enrichment experiences to more than 2500 students and teachers each year.</p>						

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Lack of support for this strategy would negatively impact Texas Tech academic programs (especially teacher training and field-science programs); special outreach initiatives, such as Outdoor School; and severely reduce opportunities for field research by Texas Tech and other universities/community colleges. A severely underserved region of the state would lose all access to higher education services, and the immediate Junction/Kimble County area would suffer significant negative economic impact.

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Agency code: 733      Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support  
OBJECTIVE: 3 Public Service Special Item Support  
STRATEGY: 2 Hill Country Educational Network

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$410,854	\$411,867	\$419,428	\$419,428	\$419,428
1002	OTHER PERSONNEL COSTS	\$4,299	\$7,971	\$7,319	\$7,319	\$7,319
1005	FACULTY SALARIES	\$42,177	\$13,792	\$14,068	\$14,068	\$14,068
2003	CONSUMABLE SUPPLIES	\$21,714	\$112,806	\$121,664	\$121,664	\$121,664
2004	UTILITIES	\$0	\$0	\$0	\$0	\$0
2005	TRAVEL	\$217	\$31,720	\$31,777	\$31,777	\$31,777
2006	RENT - BUILDING	\$0	\$0	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$1,680	\$8,728	\$9,413	\$9,413	\$9,413
2009	OTHER OPERATING EXPENSE	\$6,038	\$31,369	\$33,831	\$33,831	\$33,831
5000	CAPITAL EXPENDITURES	\$17,091	\$19,247	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$504,070</b>	<b>\$637,500</b>	<b>\$637,500</b>	<b>\$637,500</b>	<b>\$637,500</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$504,070	\$637,500	\$637,500	\$637,500	\$637,500
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$504,070</b>	<b>\$637,500</b>	<b>\$637,500</b>	<b>\$637,500</b>	<b>\$637,500</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$637,500</b>	<b>\$637,500</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$504,070</b>	<b>\$637,500</b>	<b>\$637,500</b>	<b>\$637,500</b>	<b>\$637,500</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>10.8</b>	<b>11.4</b>	<b>11.7</b>	<b>11.7</b>	<b>11.7</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

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GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    3    Public Service Special Item Support

Service Categories:

STRATEGY:    2    Hill Country Educational Network

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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This strategy supports access to higher education for the citizens of central Texas by continuing the expansion of the TTU Hill Country Educational Network. The network was established in spring 2002 by expanding academic offerings and educational outreach initiatives at TTU Center at Junction and establishing teaching sites at Fredericksburg and Marble Falls. Along with community college partners, this network provides the only public upper-division and graduate academic courses, degrees and certificates to the residents of the Texas Hill Country region and more than 14 counties. Partners in the development of the network include TTU Health Sciences Center, Austin Community College, Central Texas College, Howard College, TSTC and Concordia University. During the biennium FY 2005 – 2006, the number of degree and certificate programs offered was doubled.

Funding is requested for:

1. Faculty salaries for delivery of academic programs.
2. Staff salaries for educational support employees.
3. Operational costs, including travel of professors from home campuses, lease and facility maintenance, recruiting, telecommunications costs for distance learning and administrative systems, instructional technology equipment and maintenance, printing and reproduction.
4. Capital costs associated with continued development of the instructional video conferencing network, improvement of transportation capabilities, and equipping of new classrooms to support growth in enrollment and number of programs offered.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Texas Hill Country is one of the fastest growing rural regions in the U.S. The 14 counties of the Hill Country region are underserved by public institutions of higher education. Community colleges provide out-of-district services, but students seeking undergraduate and graduate degrees are forced to drive hours to reach public universities in Austin, San Antonio and San Angelo. The continued development of the TTU Hill Country Educational Network will support the goals of Texas higher education (see Closing the Gaps) by promoting access for students that are underserved because of geographic separation from existing campuses and inability to relocate due to financial hardship. The TTU Hill Country Educational Network particularly serves Hill Country teachers, public school administrators and nurses required to upgrade educational requirements, as well as working adults desiring to complete bachelor's or master's degrees. The network promotes the goals of Texas legislature and THECB by providing access to higher education, especially for under-served and under-represented populations.

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Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support  
OBJECTIVE:    3    Public Service Special Item Support  
STRATEGY:     3    Small Business Development Center

Statewide Goal/Benchmark:    2    0

Service Categories:

Service: 13    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$951,284	\$1,049,607	\$1,077,042	\$969,313	\$969,313
1002	OTHER PERSONNEL COSTS	\$10,971	\$20,092	\$251	\$250	\$251
2003	CONSUMABLE SUPPLIES	\$3,720	\$1,131	\$0	\$0	\$0
2004	UTILITIES	\$98	\$0	\$0	\$0	\$0
2005	TRAVEL	\$0	\$2,636	\$0	\$0	\$0
2006	RENT - BUILDING	\$140	\$0	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$1,060	\$322	\$0	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$9,596	\$3,505	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$976,869</b>	<b>\$1,077,293</b>	<b>\$1,077,293</b>	<b>\$969,563</b>	<b>\$969,564</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$976,869	\$1,077,293	\$1,077,293	\$969,563	\$969,564
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$976,869</b>	<b>\$1,077,293</b>	<b>\$1,077,293</b>	<b>\$969,563</b>	<b>\$969,564</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$969,563</b>	<b>\$969,564</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$976,869</b>	<b>\$1,077,293</b>	<b>\$1,077,293</b>	<b>\$969,563</b>	<b>\$969,564</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>20.9</b>	<b>21.8</b>	<b>22.2</b>	<b>20.2</b>	<b>20.2</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    3    Public Service Special Item Support

Service Categories:

STRATEGY:    3    Small Business Development Center

Service: 13    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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The Small Business Development Center (SBDC) program is the largest management and technical assistance program to the small business sector in the United States. The Northwest Texas SBDC (NWTSBDC), housed at Texas Tech University, provides in-depth business counseling and training for small businesses within the 95 county service area. The services provided to the small business community have expanded beyond basic business counseling to include: International Trade Assistance, Manufacturing Assistance, Government Contracting, Technology Transfer, Technology/Internet assistance, Defense Transition assistance, Minority Business Assistance, and Community Economic Development and Rehabilitation.

With the continuing struggle faced by the rural communities of Northwest Texas, the NWTSBDC has focused on rural small business development as its primary role in supporting the economic growth of the region. Due to the disadvantages rural businesses face in today's competitive business climate, the NWTSBDC provides extensive counseling and training assistance to rural businesses in business plan development, e-commerce development and business competitiveness on a global scale.

Over the next 2 years the Northwest Texas SBDC will counsel over 6,000 clients, provide training to over 9,000 small business seminar attendees, assist with over 600 new business starts, expansions and saves as well as assist in the creation of over 2,800 new jobs within the region.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The SBDC program was created by an act of Congress in 1978. The enabling legislation requires that the recipient organization match the Small Business Administration (SBA) and the Defense Logistics Agency (DLA) provided funds on a one-for-one basis. The US Department of Commerce (USDOC) requires a two-for-one matching for the Texas Manufacturing Assistance Center (TMAC) program. Texas Tech University has a requirement to match \$667,102 in SBA funding for the SBDC program, \$304,102 in USDOC funding, and \$131,131 in DLA funding each year to continue to provide the above-mentioned services to the small businesses of the 95 county service area.

Although it is obvious that a loss of Line Item funding will prohibit the SBDC in acquiring or expanding the above-mentioned new programs, there are other consequences. If the State of Texas does not fund this program, then an equal amount of funding will be lost from federal and local sources. This massive funding decrease would result in a major reduction in program services and would cause the NWTSBDC to:

- Serve 3,000 - 4,000 fewer clients.
- Assist 300 - 400 fewer new business starts, expansions and saves.
- Serve 6,000 - 9,000 fewer seminar attendees.
- Assist in the creation of 1,500 - 2,000 fewer new jobs.

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GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 3 Public Service Special Item Support

Service Categories:

STRATEGY: 4 Museums and Historical, Cultural, and Educational Centers

Service: 04    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$1,865,343	\$1,788,382	\$1,839,272	\$1,839,272	\$1,839,272
1002	OTHER PERSONNEL COSTS	\$29,872	\$52,373	\$57,713	\$57,713	\$57,713
2002	FUELS AND LUBRICANTS	\$61	\$166	\$81	\$81	\$81
2003	CONSUMABLE SUPPLIES	\$14,264	\$26,516	\$19,011	\$19,011	\$19,011
2004	UTILITIES	\$209	\$569	\$279	\$279	\$279
2007	RENT - MACHINE AND OTHER	\$550	\$1,499	\$733	\$733	\$733
2009	OTHER OPERATING EXPENSE	\$90,444	\$168,129	\$120,545	\$120,545	\$120,545
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$2,000,743</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$2,000,743	\$2,037,634	\$2,037,634	\$2,037,634	\$2,037,634
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$2,000,743</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$2,037,634</b>	<b>\$2,037,634</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$2,000,743</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>	<b>\$2,037,634</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>56.4</b>	<b>55.5</b>	<b>58.5</b>	<b>58.5</b>	<b>58.5</b>
<b>STRATEGY DESCRIPTION AND JUSTIFICATION:</b>						



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Agency code: 733      Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 3 Public Service Special Item Support

Service Categories:

STRATEGY: 4 Museums and Historical, Cultural, and Educational Centers

Service: 04    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
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The Museum of TTU collects, documents, preserves, researches, and disseminates knowledge about natural and cultural material from Texas, the Southwest and related regions. The Museum responds to academic and public needs through collections, exhibitions, programs, and research as a complement to the diverse interests of TTU and its role in public and professional education in local, state, national, and international communities. The Museum and its Lubbock Lake Landmark emphasize informal educational opportunities for TTU students and faculty and the diverse communities served by the University. The Museum and Landmark provide opportunities for all persons to enhance their knowledge of the practices and traditions of other peoples and cultures.

The National Ranching Heritage Center (NRHC), a museum/historical park established in 1976, preserves the history of ranching and the livestock industry. Forty-five architecturally significant ranch buildings were relocated to NRHC and show the evolution of ranch life from the late 1700s to the early 1900s in Texas. NRHC is a destination for K-16 students and state, national, and international visitors.

The International Cultural Center promotes the globalization of TTU and local communities. The Center orients TTU students going abroad and advises international students and faculty coming to Tech. Educational programming for K-12 students and special programs and exhibits to the public, heighten the Center's responsibilities.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

The Museum, Lubbock Lake Landmark, The National Ranching Heritage Center, and the International Center are committed to public service and intellectual enlightenment. They are centers for academic and social research for Texas Tech students and faculty as well as area residents and state, national, and international visitors. They preserve expressions of human creativity and the material evidence of an existence that is inevitably and profoundly affected by changes on a global scale. They serve as a primary facility for addressing issues about economic trends, changes in education, transitions in family values and lifestyles, and new directions in science and technology. In a fundamental way, their objectives support and enhance the mission of Texas Tech University by offering instruction and learning opportunities for K-16 students and in the Museum Science and Heritage Management graduate programs. Their role as research facilities is unparalleled because of the diversity and interdisciplinary nature of the programs.

External factors affecting the Museum and Centers include weather, economy, traveling public, school funding for field trips, income from support organizations, and corporate, non-profit and individual donors.

Internal factors include priority of financial and human resources for preservation, education programs and special events that generate statewide and international exposure. If not funded, none of these four entities can fulfill their mission.

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GOAL: 3 Provide Special Item Support  
OBJECTIVE: 3 Public Service Special Item Support  
STRATEGY: 6 Center for Financial Responsibility

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$153,567	\$161,279	\$161,279	\$161,279	\$161,279
1002	OTHER PERSONNEL COSTS	\$360	\$0	\$480	\$480	\$480
2003	CONSUMABLE SUPPLIES	\$4,448	\$20,770	\$20,643	\$20,643	\$20,643
2005	TRAVEL	\$0	\$21,679	\$21,547	\$21,547	\$21,547
2009	OTHER OPERATING EXPENSE	\$4,293	\$20,044	\$19,922	\$19,922	\$19,922
5000	CAPITAL EXPENDITURES	\$5,887	\$16,228	\$16,130	\$16,130	\$16,130
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$168,555</b>	<b>\$240,000</b>	<b>\$240,001</b>	<b>\$240,001</b>	<b>\$240,001</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$168,555	\$240,000	\$240,001	\$240,001	\$240,001
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$168,555</b>	<b>\$240,000</b>	<b>\$240,001</b>	<b>\$240,001</b>	<b>\$240,001</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$240,001</b>	<b>\$240,001</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$168,555</b>	<b>\$240,000</b>	<b>\$240,001</b>	<b>\$240,001</b>	<b>\$240,001</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.1</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

The aging of 75 million Baby Boomers will impact not only those individuals who are retired, but also those who are still working. Less than 25% of today's workers are saving for retirement in amounts that will be sufficient to maintain their standard of living after retiring. Savings rates are even lower in Texas, particularly within rapidly increasing minority groups. In addition, a documented deficit in financial literacy among all citizens impacts family and individual financial well-being as well as business activity in Texas leading to serious economic problems for Texas. The impact on the economy of reduced consumer spending from a group so large but so poorly prepared for the functioning as within our workforce as well as the financial challenges of retirement will be devastating.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support

Statewide Goal/Benchmark:    2    0

OBJECTIVE:    3    Public Service Special Item Support

Service Categories:

STRATEGY:    6    Center for Financial Responsibility

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<p>External factors include economic and demographic conditions that require families to assume greater financial responsibility and the legislative agenda to increase financial literacy among high school graduates. Both areas encompass issues that are crucial to Texas citizens and the economy. Internal factors include the importance of research and service that directly benefit the role of TTU as a major university. The Personal Financial Planning (PFP) area includes 3 levels within the College of Human Sciences (B.S., M.S. and Ph.D.), two joint degrees with the Rawls College of Business Administration, a joint degree with the School of Law and minors in doctoral programs in Business Administration and Agricultural &amp; Applied Economics (AAE). There is also a minor in PFP within the B.S. in AAE. Of the over 300+ Certified Financial Planner (CFP) Board-registered programs throughout the US, no other institution has the breadth, depth and national reputation of TTU. Financial literacy is essential for first generation students. The current cohort of students in higher education is being pressed by the Texas legislature to "B-On-Time" for graduation as well as by the new financial literacy requirements in high schools. Management of credit and debt are essential. Research conducted by the CFR has demonstrated the devastating effect of credit abuse on student success. Research and education programs also influence graduate school enrollments since debt accumulation during undergraduate education negatively affects success in graduate schools throughout Texas.</p>						

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL:            3    Provide Special Item Support  
OBJECTIVE:    4    Institutional Support Special Item Support  
STRATEGY:    1    Institutional Enhancement

Statewide Goal/Benchmark:    2    0

Service Categories:

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$2,423,000	\$2,110,279	\$2,722,055	\$2,097,013	\$2,097,013
1002	OTHER PERSONNEL COSTS	\$59,042	\$40,903	\$37,035	\$37,035	\$37,035
1005	FACULTY SALARIES	\$19,886	\$0	\$0	\$0	\$0
2001	PROFESSIONAL FEES AND SERVICES	\$12,157	\$60,193	\$57,017	\$51,594	\$51,594
2002	FUELS AND LUBRICANTS	\$2,408	\$11,919	\$11,298	\$10,223	\$10,223
2003	CONSUMABLE SUPPLIES	\$81,897	\$405,464	\$384,122	\$347,592	\$347,592
2004	UTILITIES	\$11,923	\$59,033	\$55,919	\$50,601	\$50,601
2005	TRAVEL	\$31,422	\$37,421	\$0	\$0	\$0
2006	RENT - BUILDING	\$150	\$739	\$703	\$637	\$637
2007	RENT - MACHINE AND OTHER	\$6,122	\$30,311	\$28,710	\$25,980	\$25,980
2009	OTHER OPERATING EXPENSE	\$604,021	\$2,991,180	\$2,451,984	\$1,165,039	\$1,165,039
5000	CAPITAL EXPENDITURES	\$2,458,892	\$78,080	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,710,920</b>	<b>\$5,825,522</b>	<b>\$5,748,843</b>	<b>\$3,785,714</b>	<b>\$3,785,714</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$5,669,197	\$5,776,822	\$5,713,843	\$3,785,714	\$3,785,714
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$5,669,197</b>	<b>\$5,776,822</b>	<b>\$5,713,843</b>	<b>\$3,785,714</b>	<b>\$3,785,714</b>
<b>Method of Financing:</b>						
269	TX TECH UNIV SP MIN ACCT	\$41,723	\$48,700	\$35,000	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS - DEDICATED)</b>		<b>\$41,723</b>	<b>\$48,700</b>	<b>\$35,000</b>	<b>\$0</b>	<b>\$0</b>
<b>Rider Appropriations:</b>						
269	TX TECH UNIV SP MIN ACCT					
5	1 TTU Special Mineral Fund				\$35,000	\$35,000

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Agency code: 733      Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 0

OBJECTIVE: 4 Institutional Support Special Item Support

Service Categories:

STRATEGY: 1 Institutional Enhancement

Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>TOTAL, RIDER &amp; UNEXPENDED BALANCES APPROP</b>					<b>\$35,000</b>	<b>\$35,000</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$3,820,714</b>	<b>\$3,820,714</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$5,710,920</b>	<b>\$5,825,522</b>	<b>\$5,748,843</b>	<b>\$3,785,714</b>	<b>\$3,785,714</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>43.4</b>	<b>34.6</b>	<b>52.8</b>	<b>41.2</b>	<b>41.2</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

This special line item supports the research and teaching performed within the other special line strategies. The funds appropriated under this strategy are used by the library to strengthen Texas Tech University's ability to meet global information challenges with materials acquisition including the required on-line databases, infrastructure improvements, staffing to provide assistance to students and researchers, and provide required services to the satellite campuses. In addition, this strategy also supports the Academic Support operation.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Internal factors include the growth of students at both the main campus and at the satellite campuses.

External factors include the level of grants awarded from federal, state or private sources.

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Agency code: 733      Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support  
OBJECTIVE: 4 Institutional Support Special Item Support  
STRATEGY: 4 Faculty Excellence

Statewide Goal/Benchmark: 2 0  
Service Categories:  
Service: 19    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$0	\$239,446	\$0	\$0	\$0
1005	FACULTY SALARIES	\$0	\$2,260,554	\$2,500,000	\$2,500,000	\$2,500,000
2009	OTHER OPERATING EXPENSE	\$0	\$0	\$0	\$0	\$0
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$0</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$0	\$2,500,000	\$2,500,000	\$2,500,000	\$2,500,000
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$0</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$2,500,000</b>	<b>\$2,500,000</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$0</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>29.5</b>	<b>28.9</b>	<b>28.9</b>	<b>28.9</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Texas Tech University's priority need and objective is to recruit additional highly qualified and skilled faculty members to improve the undergraduate experience, accommodate expected enrollment increases, and enhance the graduate and research mission of the institution. This appropriation is funding additional faculty who are enhancing, expanding and improving the teaching and research mission of the university. The university leveraged these funds with other resources to recruit and retain high impact faculty. It is critical that the university retain and increase this funding to support the state's goals of improving participation, graduation, research, and excellence in Texas higher education.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Salaries are recurring expenses. The faculty members hired with the appropriation are making contributions to the university's mission and therefore, contributing to the state's goals for higher education. The educational effectiveness of the university will suffer if these funds are not appropriated and the expectations of our external constituencies will not be met.

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Agency code: **733**      Agency name: **Texas Tech University**

GOAL            225    Research Development Fund  
OBJECTIVE:    1    Research Development Fund  
STRATEGY:    1    Research Development Fund

Statewide Goal/Benchmark:    2    0

Service Categories:

Service: 21    Income: A.2    Age: B.3

CODE	DESCRIPTION	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>Objects of Expense:</b>						
1001	SALARIES AND WAGES	\$0	\$160,167	\$162,766	\$0	\$0
1002	OTHER PERSONNEL COSTS	\$0	\$0	\$2,720	\$0	\$0
2003	CONSUMABLE SUPPLIES	\$0	\$1,369,105	\$1,369,105	\$0	\$0
2009	OTHER OPERATING EXPENSE	\$0	\$1,469,697	\$1,464,378	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$0</b>	<b>\$2,998,969</b>	<b>\$2,998,969</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>						
1	GENERAL REVENUE FUND	\$0	\$2,998,969	\$2,998,969	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$0</b>	<b>\$2,998,969</b>	<b>\$2,998,969</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (INCLUDING RIDERS)</b>					<b>\$0</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE (EXCLUDING RIDERS)</b>		<b>\$0</b>	<b>\$2,998,969</b>	<b>\$2,998,969</b>	<b>\$0</b>	<b>\$0</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>0.0</b>	<b>7.3</b>	<b>7.5</b>	<b>7.5</b>	<b>7.5</b>

**STRATEGY DESCRIPTION AND JUSTIFICATION:**

Stimulating the generation of innovative new research opportunities is vital to increasing the research capacity at Texas Tech University. Research Development Funding (RDF) has enabled Texas Tech to provide a competitive venue for faculty researchers to develop new multidisciplinary programs that have the potential to introduce novel areas of academic activity at Texas Tech. Targeted lines have been directed to identified areas of research excellence and to core research equipment. RDF has also provided critical start-up seed funding for research efforts by new faculty, which has helped expedite departmental research program development. Through these efforts, TTU is investing in areas of the University with the ultimate goal of growing externally funded research.

**EXTERNAL/INTERNAL FACTORS IMPACTING STRATEGY:**

Internal: Funding is based on a 3-year rolling average of restricted research expenditures. Research faculty must grow their research to increase the University's current proportionate allocation of RDF.

External: Increasing the State overall appropriation to the RDF would strongly impact the University's effectiveness in obtaining externally funded research.

**3.A. STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:03AM

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,240,033</b>	<b>\$35,250,033</b>
<b>METHODS OF FINANCE (INCLUDING RIDERS):</b>				<b>\$35,275,033</b>	<b>\$35,285,033</b>
<b>METHODS OF FINANCE (EXCLUDING RIDERS):</b>	<b>\$165,303,771</b>	<b>\$170,862,247</b>	<b>\$169,921,024</b>	<b>\$35,240,033</b>	<b>\$35,250,033</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>2,436.2</b>	<b>2,578.5</b>	<b>2,845.6</b>	<b>2,913.2</b>	<b>2,948.2</b>



**3.C. RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)DATE: 10/13/2006  
TIME: 11:49:08AM

Agency code: 733 Agency name: Texas Tech University

RIDER	STRATEGY	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
5	1 TTU Special Mineral Fund 3-4-1 INSTITUTIONAL ENHANCEMENT					
<b>OBJECT OF EXPENSE:</b>						
	2009 OTHER OPERATING EXPENSE	\$41,723	\$48,700	\$35,000	\$35,000	\$35,000
<b>Total, Object of Expense</b>		<b>\$41,723</b>	<b>\$48,700</b>	<b>\$35,000</b>	<b>\$35,000</b>	<b>\$35,000</b>
<b>METHOD OF FINANCING:</b>						
	269 TX TECH UNIV SP MIN ACCT	\$41,723	\$48,700	\$35,000	\$35,000	\$35,000
<b>Total, Method of Financing</b>		<b>\$41,723</b>	<b>\$48,700</b>	<b>\$35,000</b>	<b>\$35,000</b>	<b>\$35,000</b>

**Description/Justification for continuation of existing riders or proposed new rider**

Any unexpended balances as of August 31, 2007 and income during the biennium beginning September 1, 2007, in the Texas Tech University Special Mineral Fund are hereby appropriated at Texas Tech University to support instruction and research programs of the University. Mineral Funds are estimated to be \$35,000 in 2008 and \$35,000 in 2009.

**3.C. RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:49:15AM

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Agency code: 733      Agency name: Texas Tech University

RIDER	STRATEGY	Exp 2005	Est 2006	Bud 2007	BL 2008	BL 2009
<b>SUMMARY:</b>						
<b>OBJECT OF EXPENSE TOTAL</b>		\$41,723	\$48,700	\$35,000	\$35,000	\$35,000
<b>METHOD OF FINANCING TOTAL</b>		\$41,723	\$48,700	\$35,000	\$35,000	\$35,000

### 3.B. Rider Revisions and Additions Request

<b>Agency Code:</b> 733	<b>Agency Name:</b> Texas Tech University	<b>Prepared By:</b> Texas Tech University	<b>Date:</b> October 13, 2006	<b>Request Level:</b> Baseline
<b>Current Rider Number</b>	<b>Page Number in 2006-07 GAA</b>	<b>Proposed Rider Language</b>		
<b>3</b>	<b>III-137</b>	<p><b>Texas Tech University Museum.</b> Out of funds appropriated above in Strategy C.3.4. for the Museums and Historical, Cultural and Educational Centers, \$533,565 in fiscal year <del>2008</del> <del>2006</del> and \$433,565 in fiscal year <del>2009</del> <del>2007</del> shall be allocated to the Ranching Heritage Center, and \$266,859 in each fiscal year shall be allocated to the Lubbock Lake Landmark.</p> <p><i>This rider is revised to reflect the proper fiscal years for the appropriation authority.</i></p>		
<b>4</b>	<b>III-137</b>	<p><b>Appropriation of Special Mineral Fund.</b> The balance of funds on hand for the year ending August 31, <del>2007</del> <del>2005</del> (estimated to be \$6,000), in the Texas Tech University Special Mineral Fund No. 269 and any income during the biennium beginning September 1, <del>2007</del> <del>2005</del>, deposited to that fund are appropriated in the funds above to Texas Tech University for the general operations of the university. Mineral Funds are estimated to be <del>\$35,000</del> <del>\$73,822</del> in fiscal year <del>2008</del> <del>2006</del> and <del>\$35,000</del> <del>\$19,000</del> in fiscal year <del>2009</del> <del>2007</del>.</p> <p><i>This rider is revised to reflect the proper fiscal years for the appropriation authority and the changes in estimates for FY 2008 &amp; 2009.</i></p>		

### 3.B. Rider Revisions and Additions Request (continued)

Sec 57	III-258 (Special Provisions)	<p><b>Sec. 57. Contingent Appropriations for Small Business Development Centers.</b> Of the appropriations identified elsewhere in this Article for individual institutions' Small Business Development Center(s), the amounts listed below are contingent upon certification by the Comptroller of Public Accounts that the activities of each network of Small Business Development Centers will generate additional revenue of at least the listed amount for the network to the general revenue fund. If the amount that can be certified is less than the maximum amount appropriated, the amounts appropriated shall be reduced to be within the amounts certified.</p> <p>(b) An amount not to exceed <del>\$1,488,025</del> 1,788,025 in each year of the biennium is appropriated to the network of Small Business Development Centers affiliated with the lead center hosted by Texas Tech University in the amounts listed below.</p> <table><tr><td></td><td>2006</td><td>2008</td><td>2007</td><td>2009</td></tr><tr><td><b>Lead Center:</b></td><td></td><td></td><td></td><td></td></tr><tr><td>Texas Tech University</td><td><del>1,077,293</del></td><td>1,377,293</td><td><del>1,107,293</del></td><td>1,377,293</td></tr><tr><td><b>Affiliated Institutions</b></td><td></td><td></td><td></td><td></td></tr><tr><td>Midwestern University</td><td>113,399</td><td></td><td>113,399</td><td></td></tr><tr><td>West Texas A&amp;M University</td><td>170,099</td><td></td><td>170,099</td><td></td></tr><tr><td>The University of Texas of the Permian Basin</td><td>127,234</td><td></td><td>127,234</td><td></td></tr></table>		2006	2008	2007	2009	<b>Lead Center:</b>					Texas Tech University	<del>1,077,293</del>	1,377,293	<del>1,107,293</del>	1,377,293	<b>Affiliated Institutions</b>					Midwestern University	113,399		113,399		West Texas A&M University	170,099		170,099		The University of Texas of the Permian Basin	127,234		127,234	
	2006	2008	2007	2009																																	
<b>Lead Center:</b>																																					
Texas Tech University	<del>1,077,293</del>	1,377,293	<del>1,107,293</del>	1,377,293																																	
<b>Affiliated Institutions</b>																																					
Midwestern University	113,399		113,399																																		
West Texas A&M University	170,099		170,099																																		
The University of Texas of the Permian Basin	127,234		127,234																																		

### 3.B. Rider Revisions and Additions Request (continued)

Sec 59	III-259 (Special Provisions)	<p><b>Sec. 59. Report Concerning Designated Tuition.</b></p> <p>(a) Not later than January 1, 2006, the governing board of each public institution of higher education that charges students designated tuition under § 54.0513, Education Code, shall report to the legislature, for the 2004-2005 and 2005-2006 academic years:</p> <ol style="list-style-type: none"> <li>(1) the amount the institution has collected in designated tuition;</li> <li>(2) the purposes for which the institution spent the money derived from designated tuition and the amount of that money spent for each of those purposes; and</li> <li>(3) the amount set aside from designated tuition for resident undergraduate and graduate student assistance under § 56.011 and 56.012, Education Code.</li> </ol> <p><del>(b) In addition to the information reported under Subsection (a), not later than January 1, 2006, the governing board of each institution of higher education shall report to the legislature the total academic cost for resident undergraduates enrolled for 15 semester credit hours. The information reported shall be derived from actual fee bills for the 2004 fall semester and the 2003 spring and fall semesters and must reflect the actual charges, before any adjustments or discounts are applied for waivers, exemptions, or other discounts, in the following categories:</del></p> <ol style="list-style-type: none"> <li><del>(1) statutory tuition;</del></li> <li><del>(2) designated tuition;</del></li> <li><del>(3) mandatory fees; and</del></li> <li><del>(4) average college and course fees, which must include all academic-related fees and charges not reported under (1), (2), or (3), such as fees for laboratories, field trips, multimedia, equipment replacement, and instructional technology, but should not include charges for voluntary services ("optional fees").</del></li> </ol> <p>(b) <del>The report</del> Reports required by this section shall be delivered to the Lieutenant Governor, the Speaker of the House, the chair of the Senate Finance Committee, the chair of the House Appropriations Committee, and the members of the Legislative Oversight Committee on Higher Education.</p> <p><i>The report required in subsection (b) is duplicated by a report currently required by the Texas Higher Education Coordinating Board. The annual report is the Integrated Fiscal Reporting System.</i></p>
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### 3.B. Rider Revisions and Additions Request (continued)

Art IX, Sec 5.08	IX-27 through IX-28 (Travel Regulations)	<p>Sec. 5.08. Limitation on Travel Expenditures.</p> <p>(a) In this section "travel" refers only to travel outside the state of Texas, except as set forth in Subsection (k) of this section.</p> <p>(b) (1) None of the funds appropriated by this Act may be expended, without the prior approval of the Legislative Budget Board, for travel purposes if such expenditure would cause the agency's <del>or institution's</del> travel expenditures for that fiscal year to exceed an amount equal to 100 percent of that agency's <del>or institution's</del> fiscal year 2000 amount of travel expenditures including any excess travel expenditure amounts approved by waiver.</p> <p>(2) The general limitations provided by this subsection apply in addition to the limits of any additional agency specific limitation.</p> <p>(3) In the event that a state agency <del>or institution</del> had, as determined by the Comptroller, no expenditures for travel outside the state of Texas during fiscal year 2000, the general limitations provided by Subsection (b)(1) shall not apply, but out-of-state travel spending may not exceed in either year of the biennium the amount spent for that purpose in the last state fiscal year in which out-of-state travel occurred.</p> <p>(c) The Legislative Budget Board may consider requests from agencies which demonstrate circumstances which would make such reductions in actual travel impractical or inefficient in accomplishing the goals and strategies contained in their appropriations pattern. Such circumstances may include: new or expanded programs, law enforcement, tax collection activities, statutorily mandated travel, or other pressing public purposes.</p> <p>(d) The Comptroller shall prescribe accounting procedures and reporting requirements to administer this section and to ensure that expenditures for travel by all state agencies <del>and institutions of higher education</del> are properly reported and monitored.</p> <p>(e) (1) Upon notification by the Comptroller that a state agency <del>or institution of higher education</del> has exceeded the travel cap limit as specified in Subsection (b) of this section for two consecutive fiscal years without the written approval of the Legislative Budget Board, the state agency <del>or institution of higher education</del> may not pay or reimburse with funds appropriated by this Act, a travel expense for travel to conventions, conferences, or seminars except as provided by this Subsection (e).</p> <p>(2) The Comptroller may allow a state agency <del>or institution of higher education</del> to reimburse or pay a travel expense incurred by an employee on or after the date the agency <del>or institution</del> receives notice of its exceeding the limits if:</p> <p>(A) the expense is incurred while the employee is returning to the employee's designated headquarters;</p> <p>(B) the reimbursement or payment is necessary to prevent unreasonable hardship to the employee; or</p> <p>(C) the expense is incurred while the employee is completing official state business that the agency <del>or institution</del> deems critical to fulfillment of the agency's <del>or institution's</del> constitutional or statutory duties.</p>
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### 3.B. Rider Revisions and Additions Request (continued)

Art IX, Sec 5.08	IX-27 through IX-28 (Travel Regulations)	<p>Sec. 5.08. Limitation on Travel Expenditures (Continued)</p> <p>(3) The Comptroller shall notify the Legislative Budget Board if the Comptroller allows such a payment or reimbursement. The notification must include the reason that the payment or reimbursement is allowed. The Legislative Budget Board may then direct the Comptroller to reduce the agency's or institution's appropriation by the amount of the payment or reimbursement if in the Legislative Budget Board's opinion the payment was the result of an agency failing to comply with the notification by the Comptroller.</p> <p>(f) All documents filed by an appointed officer under this section are public information. In this section, the term "public information" has the meaning provided by Chapter 552, Government Code.</p> <p>(g) In this section "appointed officer" includes all persons included under the definition provided by Chapter 572, Government Code, except that for the purposes of the definition of "appointed officer," the term "state agency" also includes a council of governments, a local workforce development board, or a mental health mental retardation community center that uses funds appropriated by this Act to pay for the transportation, meals, lodging, or other travel expenses of its employees or officials. For the purpose of this provision, the term "council of governments" shall include a council of governments, a regional planning commission, or similar regional planning agency created under Chapter 391, Local Government Code.</p> <p>(h) An appointed officer may not receive reimbursement from funds appropriated by this Act for expenses related to travel before filing with the Texas Ethics Commission copies of all documents that will be submitted to the Comptroller and Legislative Budget Board in support of the travel expense claim.</p> <p>(i) Funds appropriated by this Act may not be used to pay expenses for a trip to foreign countries, except for Canada or Mexico, unless the board or commission of each state agency <del>and institution of higher education</del> has approved the travel before departure. A copy of the approval must be attached to each travel voucher submitted to the Comptroller. By October 1 of each year, each agency <del>and institution of higher education</del> must submit a report on all foreign travel with required approvals to the Governor and the Legislative Budget Board. In implementing this rider, state agencies shall review their travel policies in order to ensure that travel which is critical to the delivery of services consistent with the mission of the agency is not affected.</p> <p>(k) Travel expenses incurred within the Washington, D.C. area by the Office of State-Federal Relations (OSFR) and by state agencies <del>and institutions of higher education</del> that are represented by their employees in the Washington, D.C. office of OSFR shall be considered in-state travel for the purpose of calculating the agencies' compliance with out-of-state travel limitation provisions.</p>
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### 3.B. Rider Revisions and Additions Request (continued)

Art IX, Sec 5.08	IX-27 through IX-28 (Travel Regulations)	<p>Sec. 5.08. Limitation on Travel Expenditures (Continued)</p> <p><i>The limitation on travel expenditures inhibits the ability of Texas Tech University to fulfill the missions of education, research, patient care and public service. TTU institutions often partner in collaborative efforts with entities from other states and travel limitations such as this inhibit their ability to do so. The current limitations are based on expenditures from seven fiscal years ago and have not been adjusted for inflation or the rising costs of travel. Updating the cap to a more current fiscal year would not be beneficial since all subsequent fiscal years have been limited to FY 2000 expenditure levels.</i></p>
Art IX, Sec 5.09	IX-28 (Travel Regulations)	<p>Sec. 5.09. Expenditures for Commercial Air Travel.</p> <p>(a) It is the intent of the Legislature that the Texas Building and Procurement Commission establish rules to encourage state agencies and institutions of higher education to reduce travel expenses by purchasing airline tickets at least 14 days before an employee of the agency or institution travels by commercial air carrier on agency or institution business.</p> <p>(b) Effective September 1, 2005, the Comptroller shall reduce appropriations made to all state agencies appropriated funds under Articles I through VIII of this Act, excluding institutions of higher education, by a total of \$4,994,716 in General Revenue for the biennium beginning September 1, 2005. Amounts to be reduced at each affected agency shall be determined by the Texas Building and Procurement Commission based on historical information related to agency travel and all reductions in appropriations must be approved by the Legislative Budget Board and Governor.</p> <p><del>(c) Effective September 1, 2005, the Comptroller shall reduce appropriations made to all institutions of higher education appropriated funds under Article III of this Act by a total of \$3,000,000 in General Revenue for the biennium beginning September 1, 2005. Amounts to be reduced at each affected institution shall be determined by the Texas Building and Procurement Commission based on historical information related to agency travel and all reductions in appropriations must be approved by the Legislative Budget Board and Governor.</del></p> <p><i>Texas Tech University has an active travel management program. The appropriation reductions were difficult to administer and took away funds needed to support the University's missions of education, research, patient care and public service.</i></p>



### 3.B. Rider Revisions and Additions Request (continued)

<p><b>Art. IX, Sec 7.09</b></p>	<p><b>IX-49 (Reporting Requirements)</b></p>	<p><b>Sec. 7.09. Reporting Fees, Fines, and Penalties.</b>  <del>(a) Before November 1 of each fiscal year, each state agency and institution of higher education shall report to the Legislative Budget Board in the manner prescribed by the Legislative Budget Board all fees, fines, and penalties assessed and all fees, fines, and penalties assessed but not collected by the agency or institution during the prior fiscal year.</del>  <del>(b) Each report made under this section shall detail the effort made by the reporting state agency or institution of higher education to collect fees, fines, and penalties that are more than ninety days past due.</del>  <u>(c) This section shall not apply to an institution of higher education or an affiliated entity.</u></p> <p><i>The majority of the fees reported by institutions of higher education under the provisions of this section are also reported to the Texas Higher Education Coordinating Board in its survey of tuition and mandatory fees. Elimination of this report reduces the administrative burden on institutions of higher education</i></p>
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**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME 11:47:46AM

Agency code: 733

Agency name:

**Texas Tech University**

CODE	DESCRIPTION		Excp 2008	Excp 2009
	Item Name:	Restoration to Non-Formula Line Items		
	Item Priority:	1		
Includes Funding for the Following Strategy or Strategies:		01-01-06 Workers' Compensation Insurance		
		03-03-03 Small Business Development Center		
		03-04-01 Institutional Enhancement		
<b>OBJECTS OF EXPENSE:</b>				
1001	SALARIES AND WAGES		732,771	732,771
2003	CONSUMABLE SUPPLIES		672,386	672,386
2009	OTHER OPERATING EXPENSE		736,032	736,033
<b>TOTAL, OBJECT OF EXPENSE</b>			<b>\$2,141,189</b>	<b>\$2,141,190</b>
<b>METHOD OF FINANCING:</b>				
1	GENERAL REVENUE FUND		2,141,189	2,141,190
<b>TOTAL, METHOD OF FINANCING</b>			<b>\$2,141,189</b>	<b>\$2,141,190</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>			13.60	13.60

**DESCRIPTION / JUSTIFICATION:**

Three special item strategies have been reduced by \$4.2 million, which represents a 10% overall decrease in non-formula funding for TTU. Without this funding, the University can make little progress toward becoming an established top 100 research university. Restoration of this proposed reduction is critically important to TTU.

Institutional Enhancement assists in supporting the research and teaching performed within the other strategies. These funds are used for academic support operations, research and the public outreach services which are the missions of the University. A reduction in the next biennium will drastically curtail the ability of the research faculty and make it difficult to recruit faculty and graduate students.

Due to the fluctuation of payroll, FTE's and claims, the SORM assessed allocation is unpredictable from year to year. Restoration of the 10% is prudent in order to maintain the budgets for educational priorities.

The client counseling services and the rural outreach provided by the Small Business Development Center (SBDC) will be decreased by this reduction. It is in the best interest of the small businesses served that these funds be restored.

**EXTERNAL/INTERNAL FACTORS:**

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:48:02AM

Agency code: 733      Agency name: Texas Tech University

		Excp 2008	Excp 2009
Item Name:	Restoration to Non-Formula Line Items		
Allocation to Strategy:	1-1-6      Workers' Compensation Insurance		
OBJECTS OF EXPENSE:			
2009      OTHER OPERATING EXPENSE		63,644	63,644
TOTAL, OBJECT OF EXPENSE		<b>\$63,644</b>	<b>\$63,644</b>
METHOD OF FINANCING:			
1      GENERAL REVENUE FUND		63,644	63,644
TOTAL, METHOD OF FINANCING		<b>\$63,644</b>	<b>\$63,644</b>

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:16AM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 1 Provide Instructional and Operations Support  
OBJECTIVE: 1 Provide Instructional and Operations Support  
STRATEGY: 6 Workers' Compensation Insurance

Statewide Goal/Benchmark: 2 - 0  
Service Categories:  
Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

2009 OTHER OPERATING EXPENSE	63,644	63,644
<b>Total, Objects of Expense</b>	<b>\$63,644</b>	<b>\$63,644</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	63,644	63,644
<b>Total, Method of Finance</b>	<b>\$63,644</b>	<b>\$63,644</b>

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Restoration to Non-Formula Line Items

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **11:48:10AM**

Agency code: **733**

Agency name: **Texas Tech University**

		Excp 2008	Excp 2009
<b>Item Name:</b>	Restoration to Non-Formula Line Items		
<b>Allocation to Strategy:</b>	3-3-3 Small Business Development Center		
<b>OBJECTS OF EXPENSE:</b>			
1001 SALARIES AND WAGES		107,729	107,729
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$107,729</b>	<b>\$107,729</b>
<b>METHOD OF FINANCING:</b>			
1 GENERAL REVENUE FUND		107,729	107,729
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$107,729</b>	<b>\$107,729</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		2.0	2.0

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0  
OBJECTIVE: 3 Public Service Special Item Support Service Categories:  
STRATEGY: 3 Small Business Development Center Service: 13 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	332,729	340,604
2009 OTHER OPERATING EXPENSE	57,500	57,500
5000 CAPITAL EXPENDITURES	17,500	9,625
<b>Total, Objects of Expense</b>	<b>\$407,729</b>	<b>\$407,729</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	407,729	407,729
<b>Total, Method of Finance</b>	<b>\$407,729</b>	<b>\$407,729</b>

**FULL-TIME EQUIVALENT POSITIONS (FTE):**

	7.0	7.0
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**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Restoration to Non-Formula Line Items  
Small Business Development Center

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006

TIME: 11:48:10AM

Agency code:	733	Agency name:	Texas Tech University		
			Excp 2008	Excp 2009	
Item Name:	Restoration to Non-Formula Line Items				
Allocation to Strategy:	3-4-1	Institutional Enhancement			
OBJECTS OF EXPENSE:					
1001	SALARIES AND WAGES		625,042	625,042	
2003	CONSUMABLE SUPPLIES		672,386	672,386	
2009	OTHER OPERATING EXPENSE		672,388	672,389	
TOTAL, OBJECT OF EXPENSE			\$1,969,816	\$1,969,817	
METHOD OF FINANCING:					
1	GENERAL REVENUE FUND		1,969,816	1,969,817	
TOTAL, METHOD OF FINANCING			\$1,969,816	\$1,969,817	
FULL-TIME EQUIVALENT POSITIONS (FTE):			11.6	11.6	

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support

Statewide Goal/Benchmark: 2 - 0

OBJECTIVE: 4 Institutional Support Special Item Support

Service Categories:

STRATEGY: 1 Institutional Enhancement

Service: 19 Income: A.2 Age: B.3

CODE	DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES	625,042	625,042
2003	CONSUMABLE SUPPLIES	672,386	672,386
2009	OTHER OPERATING EXPENSE	672,388	672,389
<b>Total, Objects of Expense</b>		<b>\$1,969,816</b>	<b>\$1,969,817</b>

**METHOD OF FINANCING:**

1	GENERAL REVENUE FUND	1,969,816	1,969,817
<b>Total, Method of Finance</b>		<b>\$1,969,816</b>	<b>\$1,969,817</b>

**FULL-TIME EQUIVALENT POSITIONS (FTE):**

11.6	11.6
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**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Restoration to Non-Formula Line Items



**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733                      Agency name:  
Texas Tech University

CODE	DESCRIPTION	Excp 2008	Excp 2009
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Item Name: Debt Service Needed for Authorized Tuition Revenue Bond

Item Priority: 2

Includes Funding for the Following Strategy or Strategies: 02-01-02 Tuition Revenue Bond Retirement

**OBJECTS OF EXPENSE:**

2008	DEBT SERVICE	5,012,189	5,014,492
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>5,012,189</b>	<b>5,014,492</b>

**METHOD OF FINANCING:**

1	GENERAL REVENUE FUND	5,012,189	5,014,492
<b>TOTAL, METHOD OF FINANCING</b>		<b>5,012,189</b>	<b>5,014,492</b>

**DESCRIPTION / JUSTIFICATION:**

This strategy provides for the retirement of debt to be issued. Proceeds from the future debt issuance will be used to build a new College of Business Administration (CoBA) and renovate the existing CoBA building as a general purpose classroom and office building, and build a state-of-the-art courtroom and auditorium/classroom for the Law School. This is authorized by HB 153, 79th Legislature, 3rd Called Session. Estimated debt service is computed as follows:

	FY 2008	FY 2009
New CoBA	2,179,213	2,180,214
CoBA Renovation	2,179,213	2,180,214
Law School	653,763	654,064
 Total:	 5,012,189	 5,014,492

**EXTERNAL/INTERNAL FACTORS:**

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:48:10AM

Agency code: 733      Agency name: Texas Tech University

		Excp 2008	Excp 2009
Item Name:	Debt Service Needed for Authorized Tuition Revenue Bond		
Allocation to Strategy:	2-1-2	Tuition Revenue Bond Retirement	
OBJECTS OF EXPENSE:			
2008	DEBT SERVICE	5,012,189	5,014,492
TOTAL, OBJECT OF EXPENSE		\$5,012,189	\$5,014,492
METHOD OF FINANCING:			
1	GENERAL REVENUE FUND	5,012,189	5,014,492
TOTAL, METHOD OF FINANCING		\$5,012,189	\$5,014,492

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 2 Provide Infrastructure Support Statewide Goal/Benchmark: 2 - 0  
OBJECTIVE: 1 Provide Operation and Maintenance of E&G Space Service Categories:  
STRATEGY: 2 Tuition Revenue Bond Retirement Service: 10 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

2008 DEBT SERVICE	5,012,189	5,014,492
<b>Total, Objects of Expense</b>	<b>\$5,012,189</b>	<b>\$5,014,492</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	5,012,189	5,014,492
<b>Total, Method of Finance</b>	<b>\$5,012,189</b>	<b>\$5,014,492</b>

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Debt Service Needed for Authorized Tuition Revenue Bond

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733

Agency name:

**Texas Tech University**

CODE	DESCRIPTION	Excp 2008	Excp 2009
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**Item Name:** Faculty Excellence and Research Innovations

**Item Priority:** 3

**Includes Funding for the Following Strategy or Strategies:** 03-04-04 Faculty Excellence

**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES	1,035,000	2,070,000
1005	FACULTY SALARIES	4,010,000	8,020,000
2009	OTHER OPERATING EXPENSE	2,760,000	2,760,000
5000	CAPITAL EXPENDITURES	2,070,000	1,275,000

**TOTAL, OBJECT OF EXPENSE**

	<b>\$9,875,000</b>	<b>\$14,125,000</b>
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**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND

	9,875,000	14,125,000
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**TOTAL, METHOD OF FINANCING**

	<b>\$9,875,000</b>	<b>\$14,125,000</b>
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**FULL-TIME EQUIVALENT POSITIONS (FTE):**

	35.00	70.00
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**DESCRIPTION / JUSTIFICATION:**

Economic prosperity in the 21st century will depend heavily on the State's investment in high-technology research. This "new" economy is driven by the generation of intellectual capital within major research universities. In that context, California and New York have 11 and 9 major research universities in the nation's top 100, respectively. Texas has only 2, which greatly limits its ability to compete for well-educated scientists and engineers and to generate innovative intellectual property. As a Texas Higher Education Coordinating Board designated "emerging research" institution, TTU is poised to develop a top-100 research university, thus reinforcing the State's high-tech economic engine. Because of its size and well-balanced academic infrastructure, Texas Tech is uniquely capable of filling this important niche rapidly and effectively. Thus, funds are requested to catalyze TTU's emergence as a major, top-tier research institution. They will be used to implement a plan to ascend towards an initial goal of \$100 million in annual research expenditures within 6 to 8 years. With that momentum, TTU will continue to the next level as it educates the scientists and engineers needed to close the gap and drive the Texas economy in the 21st century.

**EXTERNAL/INTERNAL FACTORS:**

External factors impacting this strategy include the ability to recruit and to retain research-active faculty in non-tenure track positions, the ability to recruit post-doctoral fellows, the ability to pay competitive salaries so we do not lose our best researchers to other states, the ability of the State to provide additional funding long enough to sustain the momentum of the research enterprise, the ability to gain a national reputation as a major research university that provides a very high quality graduate education, and the ability to secure extramural funding from federal agencies.

Internal factors impacting this strategy include the ability of the administration to invest the funds in people and equipment that will leverage these funds into federal grants that return indirect cost recovery funds to the University, the ability to convert the intellectual property generated by the faculty into new business ventures, the capacity to provide sufficient time for faculty members to focus on research and graduate programs, and the resources to support graduate students with competitive teaching and research assistantships.

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)DATE: 10/13/2006  
TIME: 11:48:10AM

Agency code: 733

Agency name: Texas Tech University

		Excp 2008	Excp 2009
<b>Item Name:</b>			
Faculty Excellence and Research Innovations			
<b>Allocation to Strategy:</b>			
3-4-4 Faculty Excellence			
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	1,035,000	2,070,000
1005	FACULTY SALARIES	4,010,000	8,020,000
2009	OTHER OPERATING EXPENSE	2,760,000	2,760,000
5000	CAPITAL EXPENDITURES	2,070,000	1,275,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$9,875,000</b>	<b>\$14,125,000</b>
<b>METHOD OF FINANCING:</b>			
1	GENERAL REVENUE FUND	9,875,000	14,125,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$9,875,000</b>	<b>\$14,125,000</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		35.0	70.0

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0  
OBJECTIVE: 4 Institutional Support Special Item Support Service Categories:  
STRATEGY: 4 Faculty Excellence Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
<b>OBJECTS OF EXPENSE:</b>		
1001 SALARIES AND WAGES	1,035,000	2,070,000
1005 FACULTY SALARIES	4,010,000	8,020,000
2009 OTHER OPERATING EXPENSE	2,760,000	2,760,000
5000 CAPITAL EXPENDITURES	2,070,000	1,275,000
<b>Total, Objects of Expense</b>	<b>\$9,875,000</b>	<b>\$14,125,000</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	9,875,000	14,125,000
<b>Total, Method of Finance</b>	<b>\$9,875,000</b>	<b>\$14,125,000</b>

**FULL-TIME EQUIVALENT POSITIONS (FTE):** 35.0 70.0

**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Faculty Excellence and Research Innovations

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **10/13/2006**  
TIME: **11:47:55AM**

Agency code: 733

Agency name:

**Texas Tech University**

CODE	DESCRIPTION	Excp 2008	Excp 2009
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Item Name: Water Resource Center

Item Priority: 4

Includes Funding for the Following Strategy or Strategies: 03-02-02 Research in Energy Production and Environmental Protection in Texas

**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES	200,000	200,000
1005	FACULTY SALARIES	120,000	120,000
2002	FUELS AND LUBRICANTS	5,000	5,000
2003	CONSUMABLE SUPPLIES	25,000	25,000
2005	TRAVEL	30,000	30,000
2009	OTHER OPERATING EXPENSE	50,000	50,000
4000	GRANTS	320,000	320,000
5000	CAPITAL EXPENDITURES	250,000	250,000

**TOTAL, OBJECT OF EXPENSE**

	<b>\$1,000,000</b>	<b>\$1,000,000</b>
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**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND

	1,000,000	1,000,000
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**TOTAL, METHOD OF FINANCING**

	<b>\$1,000,000</b>	<b>\$1,000,000</b>
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**FULL-TIME EQUIVALENT POSITIONS (FTE):**

	4.00	4.00
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**DESCRIPTION / JUSTIFICATION:**

Economic issues, population growth, and increasing demands on limited water supplies present a desperate need for increased emphasis on water resources research and development in Texas. Exceptional item funding is requested to expand the scope of research, education, and technology transfer conducted by the TTU Water Resources Center (WRC). Specific areas to be addressed include water allocation policies, legal definitions of water rights, water quality protection and restoration, economic trade-offs in irrigation decisions, drought management, quantification of goals of regional water management plans, and wastewater recycling and other water augmentation technologies.

Funding is requested for the following:

1. Additional professional and support personnel, including laboratory, information technology, and outreach specialists
2. Grant funding for student and faculty support on specific research projects
3. Enhancement of computer modeling, Geographic Information System (GIS) application, and internet communication capabilities
4. Laboratory, field data collection, and computer support equipment
5. Release time for the Center Director and other WRC leadership roles
6. Travel for interaction with collaborators, sponsors, and government agencies
7. Other operating expenses

**EXTERNAL/INTERNAL FACTORS:**

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
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TIME: 11:47:55AM

Agency code: 733

Agency name:

**Texas Tech University**

CODE	DESCRIPTION	Excp 2008	Excp 2009
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	External factors affecting this request include (1) increased competition for limited water supplies accompanying statewide growth in population and economic development, (2) transboundary (state and national) incentives for improved allocation of shared water sources, (3) Texas regional water plans, (4) regulatory impacts on potable water quality and hazardous waste site remediation standards, (5) global climate change and extended periods of extreme weather events (drought, floods and other extreme weather events), and (6) federal and other funding sources for leveraged research support.		
	Internal factors include		
	(1) positive interactions with researchers in the School of Law and the Colleges of Engineering, Agriculture and Natural Resources, and Arts and Sciences, and (2) the TTU administration's encouragement of focused, interdisciplinary water resources research involving expertise from research units and academic departments across the campus.		



**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

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TIME: 11:48:10AM

Agency code. <b>733</b>		Agency name: <b>Texas Tech University</b>	
		Excp 2008	Excp 2009
<b>Item Name:</b>		Water Resource Center	
<b>Allocation to Strategy:</b>		3-2-2	Research in Energy Production and Environmental Protection in Texas
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	200,000	200,000
1005	FACULTY SALARIES	120,000	120,000
2002	FUELS AND LUBRICANTS	5,000	5,000
2003	CONSUMABLE SUPPLIES	25,000	25,000
2005	TRAVEL	30,000	30,000
2009	OTHER OPERATING EXPENSE	50,000	50,000
4000	GRANTS	320,000	320,000
5000	CAPITAL EXPENDITURES	250,000	250,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,000,000</b>	<b>\$1,000,000</b>
<b>METHOD OF FINANCING:</b>			
1 GENERAL REVENUE FUND		1,000,000	1,000,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$1,000,000</b>	<b>\$1,000,000</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		4.0	4.0

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: **733** Agency name: **Texas Tech University**

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0  
OBJECTIVE: 2 Research Special Item Support Service Categories:  
STRATEGY: 2 Research in Energy Production and Environmental Protection in Texas Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	200,000	200,000
1005 FACULTY SALARIES	120,000	120,000
2002 FUELS AND LUBRICANTS	5,000	5,000
2003 CONSUMABLE SUPPLIES	25,000	25,000
2005 TRAVEL	30,000	30,000
2009 OTHER OPERATING EXPENSE	50,000	50,000
4000 GRANTS	320,000	320,000
5000 CAPITAL EXPENDITURES	250,000	250,000
<b>Total, Objects of Expense</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	1,000,000	1,000,000
<b>Total, Method of Finance</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>

**FULL-TIME EQUIVALENT POSITIONS (FTE):**

	4.0	4.0
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**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Water Resource Center

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733

Agency name:

**Texas Tech University**

CODE	DESCRIPTION	Excp 2008	Excp 2009
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Item Name: Hill Country Educational Network

Item Priority: 5

Includes Funding for the Following Strategy or Strategies: 03-03-02 Hill Country Educational Network

**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES	108,000	111,240
1005	FACULTY SALARIES	197,800	203,734
2004	UTILITIES	35,700	38,750
2005	TRAVEL	6,282	6,600
2006	RENT - BUILDING	12,400	13,640
2009	OTHER OPERATING EXPENSE	45,000	50,000
5000	CAPITAL EXPENDITURES	90,000	75,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$495,182</b>	<b>\$498,964</b>

**METHOD OF FINANCING:**

1	GENERAL REVENUE FUND	495,182	498,964
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**TOTAL, METHOD OF FINANCING**

<b>\$495,182</b>	<b>\$498,964</b>
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**FULL-TIME EQUIVALENT POSITIONS (FTE):**

6.00	6.00
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**DESCRIPTION / JUSTIFICATION:**

This exceptional item would continue expansion of enhanced higher education opportunities for the Hill Country region by continuing development of a series of higher education teaching sites offering bachelor's and master's degrees to residents. The support for this initiative would allow further development of the Hill Country technology teaching network by upgrading distance learning equipment in Fredericksburg, Marble Falls, and Junction, support faculty salaries to fund regional faculty and operational costs associated with expansion of degree programs, and enhanced recruiting and professional development programming support. This initiative is a partnership with TTU Health Sciences Center, Austin Community College, Central Texas, Howard College, Tarleton State (Killeen), Schreiner University and Concordia University.

Funding is requested for:

1. Regional faculty salaries for delivery of academic programs (3.0 FTE).
2. Staff salaries for recruiting and workforce development training (3.0 FTE).
3. Operational costs, including travel of professors and staff to various academic centers, lease and facility maintenance, recruiting of students, telecommunications, instructional technology equipment and maintenance, and printing and copying.
4. Capital costs associated with continued development of the instructional television classroom network and increase in the numbers of distance learning classrooms at three Hill Country teaching sites.

**EXTERNAL/INTERNAL FACTORS:**

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733

Agency name:

**Texas Tech University**

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**CODE DESCRIPTION**

**Excp 2008**

**Excp 2009**

The Texas Hill Country is underserved by public institutions of higher education. Support of this strategy advances the goals of the Closing the Gaps report by making college education affordable and convenient for citizens of the Texas Hill Country. Moreover, this strategy improves workforce development and economic development of a fast-growing rural area by offering education, certificate programs, continuing professional education and workforce training to an area presently not served by a 4-year public institution.

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)DATE: 10/13/2006  
TIME: 11:48:10AM

Agency code.	733	Agency name:	Texas Tech University		
				Excp 2008	Excp 2009
<hr/>					
Item Name:	Hill Country Educational Network				
Allocation to Strategy:	3-3-2	Hill Country Educational Network			
OBJECTS OF EXPENSE:					
1001	SALARIES AND WAGES			108,000	111,240
1005	FACULTY SALARIES			197,800	203,734
2004	UTILITIES			35,700	38,750
2005	TRAVEL			6,282	6,600
2006	RENT - BUILDING			12,400	13,640
2009	OTHER OPERATING EXPENSE			45,000	50,000
5000	CAPITAL EXPENDITURES			90,000	75,000
TOTAL, OBJECT OF EXPENSE				\$495,182	\$498,964
<hr/>					
METHOD OF FINANCING:					
1	GENERAL REVENUE FUND			495,182	498,964
TOTAL, METHOD OF FINANCING				\$495,182	\$498,964
<hr/>					
FULL-TIME EQUIVALENT POSITIONS (FTE):				6.0	6.0

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0  
OBJECTIVE: 3 Public Service Special Item Support Service Categories:  
STRATEGY: 2 Hill Country Educational Network Service: 19 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	108,000	111,240
1005 FACULTY SALARIES	197,800	203,734
2004 UTILITIES	35,700	38,750
2005 TRAVEL	6,282	6,600
2006 RENT - BUILDING	12,400	13,640
2009 OTHER OPERATING EXPENSE	45,000	50,000
5000 CAPITAL EXPENDITURES	90,000	75,000
<b>Total, Objects of Expense</b>	<b>\$495,182</b>	<b>\$498,964</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	495,182	498,964
<b>Total, Method of Finance</b>	<b>\$495,182</b>	<b>\$498,964</b>

<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>	6.0	6.0
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**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Hill Country Educational Network

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
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DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733                      Agency name:  
Texas Tech University

CODE	DESCRIPTION	Excp 2008	Excp 2009
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**Item Name:** Research to Enhance Ag Production & Value to Ag Products in Texas

**Item Priority:** 6

**Includes Funding for the Following Strategy or Strategies:** 03-02-01    Research to Enhance Ag Production & Add Value to Ag Products in Texas

**OBJECTS OF EXPENSE:**

1001	SALARIES AND WAGES	100,000	100,000
1005	FACULTY SALARIES	200,000	200,000
2003	CONSUMABLE SUPPLIES	75,000	75,000
2005	TRAVEL	25,000	25,000

**TOTAL, OBJECT OF EXPENSE**

	<u>\$400,000</u>	<u>\$400,000</u>
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**METHOD OF FINANCING:**

1            GENERAL REVENUE FUND

	400,000	400,000
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**TOTAL, METHOD OF FINANCING**

	<u>\$400,000</u>	<u>\$400,000</u>
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**FULL-TIME EQUIVALENT POSITIONS (FTE):**

	4.00	4.00
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**DESCRIPTION / JUSTIFICATION:**

We propose new multidisciplinary research efforts to: (1) increase the use of agricultural crops as feedstocks for biofuels and other value-added products, contributing significantly to global energy security, economic development, and environmental sustainability, (2) develop and foster commercialization of plant and animal genotypes enriched in nutrients beneficial for human health by using transgenic technology, and (3) develop new bioactive natural products as pharmaceuticals or agrichemicals, and medicinal plants for production of pharmaceuticals as potential alternative crops. Furthermore, we propose to enhance research efforts in water, food safety and quality, genomic mapping to increase drought tolerance and to reduce crop losses attributable to environmental stress, and crop insurance and agricultural risk management. In West Texas, there is significant interest in agribusiness growth and rural economic development as local leaders struggle to revitalize and diversify the \$22 billion agricultural industry. Creation of additional jobs and income to maintain and enhance the quality of life in the agriculture based rural communities would require successful implementation of new technologies and development of new processing and value added agribusiness ventures. Furthermore, the academic environment of Texas Tech University could benefit considerably from these activities, which would enrich the educational process at Texas Tech University for students and the faculty by providing an enhanced experience of the real-world environment.

**EXTERNAL/INTERNAL FACTORS:**

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
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DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733

Agency name:

**Texas Tech University**

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Excp 2008</b>	<b>Excp 2009</b>
	West Texas and the High Plains region is one of the most intensive agricultural production areas in Texas and the world, with a \$22 billion agriculture industry. Texas High Plains is home to the world's largest cattle feedlot industry and excels in the production of cotton, sorghum, corn, wheat, and vegetables. 25% of the nation's cotton and 56% of the state's cotton is produced on the High Plains, with the cash value of harvested cotton exceeding \$1 billion. 70% of the cattle fed in Texas are in feedlots in the High Plains, amounting to 27% of the fed beef in the U.S. Heavy dependence on limited agricultural enterprises, declining groundwater supplies, and increased global competition threaten the future economic viability of this region. Recent research indicates that the agricultural enterprises of tomorrow may need to include more diverse operations drawing benefits from a number of enterprises including traditional row crops, forages, animal agriculture, and wildlife enterprises. There are also opportunities to add value to agricultural products through new processing and manufacturing enterprises that assure the safety and security of our food supply. Technological, processing, marketing, management, and legal assistance must be provided by TTU to protect the economic integrity of the Region through a systems approach of developing new and enhanced management strategies and enterprises for existing natural resources and associated agricultural products, while conserving water and the natural resource base for future generations.		



**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)DATE: 10/13/2006  
TIME: 11:48:10AM

Agency code: 733

Agency name: Texas Tech University

		Excp 2008	Excp 2009
<b>Item Name:</b>		Research to Enhance Ag Production & Value to Ag Products in Texas	
<b>Allocation to Strategy:</b>		3-2-1 Research to Enhance Ag Production & Add Value to Ag Products in Texas	
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	100,000	100,000
1005	FACULTY SALARIES	200,000	200,000
2003	CONSUMABLE SUPPLIES	75,000	75,000
2005	TRAVEL	25,000	25,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$400,000</b>	<b>\$400,000</b>
<b>METHOD OF FINANCING:</b>			
1	GENERAL REVENUE FUND	400,000	400,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$400,000</b>	<b>\$400,000</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		4.0	4.0

**4.C. EXCEPTIONAL ITEMS STRATEGY REQUEST**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

**DATE:** 10/13/2006  
**TIME:** 11:48:22AM

Agency Code: 733 Agency name: Texas Tech University

GOAL: 3 Provide Special Item Support Statewide Goal/Benchmark: 2 - 0  
OBJECTIVE: 2 Research Special Item Support Service Categories:  
STRATEGY: 1 Research to Enhance Ag Production & Add Value to Ag Products in Texas Service: 21 Income: A.2 Age: B.3

CODE DESCRIPTION	Excp 2008	Excp 2009
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**OBJECTS OF EXPENSE:**

1001 SALARIES AND WAGES	100,000	100,000
1005 FACULTY SALARIES	200,000	200,000
2003 CONSUMABLE SUPPLIES	75,000	75,000
2005 TRAVEL	25,000	25,000
<b>Total, Objects of Expense</b>	<b>\$400,000</b>	<b>\$400,000</b>

**METHOD OF FINANCING:**

1 GENERAL REVENUE FUND	400,000	400,000
<b>Total, Method of Finance</b>	<b>\$400,000</b>	<b>\$400,000</b>

<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>	4.0	4.0
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**EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY:**

Research to Enhance Ag Production & Value to Ag Products in Texas

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733

Agency name:

Texas Tech University

CODE	DESCRIPTION	Excp 2008	Excp 2009
<p align="center"><b>Item Name:</b> Small Business Development Center  <b>Item Priority:</b> 7  <b>Includes Funding for the Following Strategy or Strategies:</b> 03-03-03 Small Business Development Center</p>			
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	225,000	232,875
2009	OTHER OPERATING EXPENSE	57,500	57,500
5000	CAPITAL EXPENDITURES	17,500	9,625
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$300,000</b>	<b>\$300,000</b>
<b>METHOD OF FINANCING:</b>			
1	GENERAL REVENUE FUND	300,000	300,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$300,000</b>	<b>\$300,000</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		5.00	5.00

**DESCRIPTION / JUSTIFICATION:**

This request is to access the enhanced funding available from the Comptroller's SBDC Funding Formula. The Comptroller has developed a funding formula that uses a third party survey to determine the economic activity generated by the assistance provided to small businesses by the four SBDC regions within the State. The survey uses a three year rolling average of survey results to determine the level of new job creation, job retention, and tax dollars generated to the State of Texas from SBDC activities. These funds will be used to add new counselor positions to expand the small business counseling and training assistance to the rural areas of Northwest Texas.

The addition of these new counselor positions will result in 600 new clients counseled, and 1,500 additional training attendees annually. Most importantly the addition of these new positions will result in 50 new business openings and the addition of 375 new jobs created each year.

The Northwest Texas Small Business Development Center (NWTSBDC) provides extensive business counseling and training to the small businesses of the 95 county service area in Northwest Texas. Since 1987, the NWTSBDC has counseled 48,817 clients, provided training to 87,456 small business attendees, helped open 5,558 new businesses and helped create 18,781 new jobs in the region. Although many of the new businesses opened and jobs created were in the more rural areas, the vast majority have opened in the larger population centers of the region.

Like all parts of rural Texas, the small towns of Northwest Texas are slowly but surely declining as a continuous out-migration of youth and talent are draining the vitality of these communities. The need to effectively assist the existing and start-up businesses in the remote rural areas of the 95 county service area is going to be key to the survival of the economic health of the region.

**EXTERNAL/INTERNAL FACTORS:**

**4.A. EXCEPTIONAL ITEM REQUEST SCHEDULE**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:47:55AM

Agency code: 733

Agency name:

Texas Tech University

**CODE DESCRIPTION**

**Excp 2008**

**Excp 2009**

The NWTSDC will be able to expand our delivery of basic and advanced SBDC services and global competitiveness training to the rural areas of the region. Although we currently provide our basic and advanced business counseling assistance to the rural areas of the region, we have been limited in the frequency in which we have been able to reach the extreme rural areas of Northwest Texas. The new funding would allow the NWTSDC to continue to expand into the most remote areas of the region, an effort that was started during the last biennium.

**4.B. EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE**80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)DATE: 10/13/2006  
TIME: 11:48:10AM

Agency code: 733

Agency name: Texas Tech University

		Excp 2008	Excp 2009
<b>Item Name:</b>			
Small Business Development Center			
<b>Allocation to Strategy:</b>			
3-3-3 Small Business Development Center			
<b>OBJECTS OF EXPENSE:</b>			
1001	SALARIES AND WAGES	225,000	232,875
2009	OTHER OPERATING EXPENSE	57,500	57,500
5000	CAPITAL EXPENDITURES	17,500	9,625
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$300,000</b>	<b>\$300,000</b>
<b>METHOD OF FINANCING:</b>			
1	GENERAL REVENUE FUND	300,000	300,000
<b>TOTAL, METHOD OF FINANCING</b>		<b>\$300,000</b>	<b>\$300,000</b>
<b>FULL-TIME EQUIVALENT POSITIONS (FTE):</b>		5.0	5.0

**6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/13/2006  
Time: 11:49:39AM

Agency Code: 733      Agency: Texas Tech University

**COMPARISON TO STATEWIDE HUB PROCUREMENT GOALS****A. Fiscal Year 2004 - 2005 HUB Expenditure Information**

Procurement Category	Statewide Adjusted HUB Goals	Adjusted HUB Expenditures FY 2004		Total Expenditures FY 2004	Adjusted HUB Expenditures FY 2005		Total Expenditures FY 2005
		HUB %	HUB \$		HUB %	HUB \$	
Heavy Construction	6.6%	99.4%	\$595,909	\$599,335	0.0%	\$0	\$1,760
Building Construction	25.1%	14.2%	\$7,020,719	\$49,463,684	16.4%	\$7,683,418	\$46,841,156
Special Trade Construction	47.0%	3.9%	\$230,620	\$5,916,308	5.6%	\$262,458	\$4,660,280
Professional Services	18.1%	14.9%	\$55,104	\$370,660	16.5%	\$39,683	\$240,005
Other Services	33.0%	7.3%	\$1,588,838	\$21,878,707	11.2%	\$2,507,446	\$22,471,719
Commodities	11.5%	25.6%	\$13,275,941	\$51,767,307	34.4%	\$17,774,822	\$51,613,228
<b>Total Expenditures</b>		<b>17.5%</b>	<b>\$22,767,131</b>	<b>\$129,996,001</b>	<b>22.5%</b>	<b>\$28,267,827</b>	<b>\$125,828,148</b>

**B. Assessment of Fiscal Year 2004 - 2005 Efforts to Meet HUB Procurement Goals****Attainment:**

Texas Tech University attained or exceeded 2 of 6, or 33% of the applicable statewide HUB procurement goals in fiscal year 2004.

Texas Tech University attained or exceeded 1 of 6, or 16.7% of the applicable statewide HUB procurement goals in fiscal year 2005.

**Applicability:**

In FY 2005, total expenditures in the Heavy Construction Category were extremely low thereby minimizing opportunities for TTU to do business with HUBs.

**Factors Affecting Attainment:**

Heavy Construction for FY04: surpassed statewide HUB goal.

Building Construction for FY04: limited availability of HUB vendors within geographical area.

Building Construction for FY05: additional outreach was done to recruit HUB vendors.

Special Trade for FY04: limited availability of HUB vendors within geographical area.

Special Trade for FY05: limited availability of HUB vendors within geographical area.

Professional Services for FY04: Surpassed 2003 HUB Percent.

Professional Services for FY05: Surpassed 2004 HUB %, very close to attaining statewide HUB goal.

Other Services for FY04: limited availability of HUB vendors within geographical area and unique or specialized contract requirements, i.e., mainframe hardware and software maintenance.

Other Services for FY05: additional outreach was done to recruit HUB vendors.

Commodity Purchasing for FY04: Surpassed statewide HUB goal.

Commodity Purchasing for FY05: Surpassed statewide HUB goal.

**"Good-Faith" Efforts:**

TTU has continued reporting HUB participation on a quarterly basis to the Texas Tech Board of Regents.

## **6.A. HISTORICALLY UNDERUTILIZED BUSINESS SUPPORTING SCHEDULE**

80th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Date: 10/13/2006

Time: 11:49:46AM

Texas Tech sponsored and hosted 2nd Annual West Texas Economic HUB Forum in FY 2004-Speaker, John T. Montford  
Texas Tech sponsored and hosted 3rd Annual West Texas Economic HUB Forum in FY 2005-Speaker, Don Powell, FDIC Chairman.  
2004 attended Senator's Royce West's spot bid forum. Identified/offered \$26,081 in spot bid opportunities  
2005 attended Senator's Royce West's spot bid forum and awarded \$10,065 in spot bids;  
Also 2005 attended Governor Rick Perry's spot bid in El Paso and awarded \$17,160 in spot bids.  
Four mentor/protégé agreements active during this reporting period.  
Implementation of procedures as stated by 1 TAC Section 111.15 ( c )  
Implementation of procedures as stated by Government Code, Section 2161.127.  
Established website for enhanced HUB relations.  
2005 Opened new Service Center Office on Texas Tech Campus dedicated solely to HUB Program initiatives.  
Initiated the process to develop Memorandums of Understanding with TAACC and TAMACC  
Created a Director's level position for HUB Reports preparation and the HUB Service Center

## VI.B. Current Biennium One-time Expenditure Schedule

<b>Agency Code:</b>	733	<b>Agency Name:</b>	Texas Tech University	<b>Prepared By:</b>	Nimfa Aguilar	<b>Date:</b>	8/11/2006
<b>Item</b>	<b>2006</b>		<b>2007</b>				
	<b>Amount</b>	<b>MOF</b>	<b>Amount</b>	<b>MOF</b>			
Texas Tech University has no one-time expenditures							



**6.G. HOMELAND SECURITY FUNDING SCHEDULE - Part A**

80th Regular Session, Agency Submission, Version 1

Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **733**

Agency name: **Texas Tech University**

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2005</b>	<b>Est 2006</b>	<b>Bud 2007</b>	<b>BL 2008</b>	<b>BL 2009</b>
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**Texas Tech University does not have any Homeland Security Funding.**

**6.G. HOMELAND SECURITY FUNDING SCHEDULE - Part B**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **733**

Agency name **Texas Tech University**

<b>CODE</b>	<b>DESCRIPTION</b>	<b>Exp 2005</b>	<b>Est 2006</b>	<b>Bud 2007</b>	<b>BL 2008</b>	<b>BL 2009</b>
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Texas Tech University does not have any Homeland Security Funding.

**Texas Tech University**  
**Estimated Funds Inside & Outside the GAA**  
**2006-07 and 2008-09 Biennia**

	2006 - 2007 Biennium				2008 - 2009 Biennium			
	FY 2006 Revenue	FY 2007 Revenue	Biennium Total	Percent of Total	FY 2008 Revenue	FY 2009 Revenue	Biennium Total	Percent of Total
<b>APPROPRIATED SOURCES (INSIDE THE GAA)</b>								
State Appropriations (includes state paid benefits)	\$ 149,478,169	\$ 150,587,831	\$ 300,066,000	28.7%	\$ 150,033,000	\$ 150,033,000	\$ 300,066,000	27.7%
Higher Education Assistance Funds	17,886,318	17,886,318	35,772,636	3.4%	26,829,477	26,829,477	53,658,954	5.0%
Tuition and Fees (net of Discounts and Allowances)	49,399,378	49,433,229	98,832,607	9.4%	49,520,113	49,607,214	99,127,327	9.2%
Endowment and Interest Income	1,190,305	801,000	1,991,305	0.2%	801,000	801,000	1,602,000	0.1%
Other Income	318,721	555,200	873,921	0.1%	555,200	555,200	1,110,400	0.1%
Total	218,272,891	219,263,578	437,536,469	41.8%	227,738,790	227,825,891	455,564,681	42.1%
<b>NON-APPROPRIATED SOURCES (OUTSIDE THE GAA)</b>								
State Grants and Contracts	3,256,140	2,983,800	6,239,940	0.6%	2,983,800	2,983,800	5,967,600	0.6%
Tuition and Fees (net of Discounts and Allowances)	134,488,043	141,212,445	275,700,488	26.3%	141,212,445	141,212,445	282,424,890	26.1%
Federal Grants and Contracts	35,762,421	39,880,700	75,643,121	7.2%	39,880,700	39,880,700	79,761,400	7.4%
Endowment and Interest Income	18,000,000	18,100,000	36,100,000	3.4%	18,100,000	18,100,000	36,200,000	3.3%
Local Government Grants and Contracts	673,660	1,098,000	1,771,660	0.2%	1,098,000	1,098,000	2,196,000	0.2%
Private Gifts and Grants	21,729,808	22,432,882	44,162,690	4.2%	22,432,882	22,432,882	44,865,764	4.1%
Sales and Services of Educational Activities (net)	8,185,297	6,413,433	14,598,730	1.4%	6,413,433	6,413,433	12,826,866	1.2%
Auxiliary Enterprises (net)	64,850,153	73,593,531	138,443,684	13.2%	73,593,531	73,593,531	147,187,062	13.6%
Other Income	8,301,680	8,002,009	16,303,689	1.6%	8,002,009	8,002,009	16,004,018	1.5%
Total	295,247,202	313,716,800	608,964,002	58.2%	313,716,800	313,716,800	627,433,600	57.9%
<b>TOTAL SOURCES</b>	<b>\$ 513,520,093</b>	<b>\$ 532,980,378</b>	<b>\$ 1,046,500,471</b>	<b>100.0%</b>	<b>\$ 541,455,590</b>	<b>\$ 541,542,691</b>	<b>\$ 1,082,998,281</b>	<b>100.0%</b>

### 6.I. Allocation of the Biennial Ten Percent Reduction to Strategies Schedule

Agency Code: 733		Agency Name: Texas Tech University									
Strategies		Biennial Application of 10 Percent Reduction					FTE Reductions (FY 2008-09 Base Request Compared to Budgeted 2007)		Revenue Impact? Y/N	Requesting Restoration? Y/N	Exceptional Item(s)
Code	Name	GR	GR-Dedicated	Federal	Other	All Funds	FY 08	FY 09			
A.1.6	Worker's Compensation Insurance	\$127,288				\$127,288			N	Y	1
C.3.3	Small Business Development Center	\$215,458				\$215,458	2.0	2.0	N	Y	1
C.4.1	Institutional Enhancement	\$3,939,633				\$3,939,633	11.6	11.6	N	Y	1
Agency Biennial Total		\$ 4,282,379	\$ -	\$ -	\$ -	\$ 4,282,379	13.6	13.6			
Agency Biennial Total (GR + GR-D)			\$ 4,282,379								

#### Strategy Code / Name

#### Explanation of Impact to Programs and Revenue Collections

##### A.1.6 Worker's Compensation Insurance

All employees of the university are covered by worker's compensation insurance through an interagency contract with the State Office of Risk Management (SORM). The university's assessed allocation of the state's total workers' compensation cost is based upon payroll, FTE, and the overall claims. Due to the fluctuation of these factors, the assessed allocation is unpredictable from year to year. The restoration of the 10% is prudent in order to maintain the budgets for educational priorities.

##### C.3.3 Small Business Development Center

The client counseling services and the rural outreach provided by the Small Business Development Center (SBDC) will be decreased by this reduction. It is in the best interest of the small businesses served that these funds be restored.

##### C.4.1 Institutional Enhancement

Institutional Enhancement assists in supporting the research and teaching performed within the other strategies. These funds are used for academic support operations, research and the public outreach services which are the missions of the University. A reduction in the next biennium will drastically curtail the ability of the research faculty and make it difficult to recruit faculty and graduate students.

**Schedule 1A: Other Educational and General Income**  
80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006  
TIME: 11:40:51AM  
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Agency Code: 733

Agency Name: Texas Tech University

	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
<b>Gross Tuition</b>					
Gross Resident Tuition	43,191,009	43,786,144	43,891,231	43,996,570	44,102,161
Gross Non-Resident Tuition	14,487,449	15,641,554	15,690,043	15,690,043	15,690,043
<b>Gross Tuition</b>	<b>57,678,458</b>	<b>59,427,698</b>	<b>59,581,274</b>	<b>59,686,613</b>	<b>59,792,204</b>
Less: Remissions and Exemptions	(10,280,280)	(11,058,383)	(11,067,230)	(11,086,044)	(11,104,890)
Less: Refunds	0	0	0	0	0
Less: Installment Payment Forfeits	0	0	0	0	0
Less: Board Authorized Tuition Increases (TX. Educ. Code Ann. Sec. 54.008)	(6,416,311)	(7,290,916)	(7,305,498)	(7,320,109)	(7,334,749)
Less: Tuition increases charged to doctoral students with hours in excess of 100 (TX. Educ. Code Ann. Sec. 54.012)	0	0	0	0	0
Less: Tuition increases charged to undergraduate students with excessive hours above degree requirements. (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Less: Tuition rebates for certain undergraduates (TX. Educ. Code Ann. Sec. 54.0065)	(184,000)	(226,000)	(325,000)	(429,000)	(566,280)
Plus: Tuition waived for Students 55 Years or Older (TX. Educ. Code Ann. Sec. 54.013)	77,664	86,475	93,300	102,650	112,900
Plus: Tuition waived for Texas Grant Recipients (TX. Educ. Code Ann. Sec. 56.307)	0	0	0	0	0
<b>Subtotal</b>	<b>40,875,531</b>	<b>40,938,874</b>	<b>40,976,846</b>	<b>40,954,110</b>	<b>40,899,185</b>
Less: Transfer of Tuition to Retirement of Indebtedness: 1) Skiles Act	(156,980)	(129,720)	(120,000)	0	0
Less: Transfer of funds for Texas Public Education Grants Program (Tex. Educ. Code Ann. Sec. 56c) and for Emergency Loans (Tex. Educ. Code Ann. Sec. 56d)	(5,308,035)	(5,486,552)	(5,490,941)	(5,500,276)	(5,509,626)
Less: Transfer of Funds (2%) for Emergency Loans (Medical School)	0	0	0	0	0
Less: Transfer of Funds for Repayment of Student Loans of Physicians (Tx. Educ. Code Ann. Sec. 61.539)	0	0	0	0	0
Less: Statutory Tuition (Tx. Educ. Code Ann. Sec. 54.051) Set Aside for Doctoral Incentive Loan Repayment Program (Tx. Educ. Code Ann. Sec. 56.095)	(54,666)	(58,898)	(58,898)	(58,898)	(58,898)
Less: Other Authorized Deduction					
<b>Net Tuition</b>	<b>35,355,850</b>	<b>35,263,704</b>	<b>35,307,007</b>	<b>35,394,936</b>	<b>35,330,661</b>

**Schedule 1A: Other Educational and General Income**  
80th Regular Session, Agency Submission, Version 1  
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Agency Code: 733

Agency Name: Texas Tech University

	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
<b>Student Teaching Fees</b>	0	0	0	0	0
<b>Special Course Fees</b>	0	0	0	0	0
<b>Laboratory Fees</b>	919,377	905,452	890,000	890,000	890,000
<b>Subtotal, Tuition and Fees (Formula Amounts for Health-Related Institutions)</b>	<b>36,275,227</b>	<b>36,169,156</b>	<b>36,197,007</b>	<b>36,284,936</b>	<b>36,220,661</b>
<b>OTHER INCOME</b>					
<b>Interest on General Funds:</b>					
Local Funds in State Treasury	688,517	1,320,390	800,000	800,000	800,000
Funds in Local Depositories, e.g., local amounts	20,841	1,000	1,000	1,000	1,000
Other Income (Itemize)					
Veterans Reporting Fee	2,942	2,941	3,000	3,000	3,000
E&G Facilities Rental	14,324	13,505	16,500	16,500	16,500
Sales of Equipment/Junk	6,473	5,779	1,000	1,000	1,000
Miscellaneous Income	117,565	12,837	4,500	4,500	4,500
<b>Subtotal, Other Income</b>	<b>850,662</b>	<b>1,356,452</b>	<b>826,000</b>	<b>826,000</b>	<b>826,000</b>
<b>Subtotal, Other Educational and General Income</b>	<b>37,125,889</b>	<b>37,525,608</b>	<b>37,023,007</b>	<b>37,110,936</b>	<b>37,046,661</b>
Less: O.A.S.I. Applicable to Educational and General Local Funds Payrolls	(2,287,542)	(2,202,387)	(2,343,996)	(2,392,027)	(2,445,836)
Less: Teachers Retirement System and ORP Proportionality for Educational and General Funds	(1,795,937)	(1,766,347)	(1,786,157)	(1,842,713)	(1,897,488)
Less: Staff Group Insurance Premiums	(3,717,860)	(4,231,921)	(4,315,317)	(4,315,317)	(4,315,317)
<b>Total, Other Educational and General Income (Formula Amounts for General Academic Institutions)</b>	<b>29,324,550</b>	<b>29,324,953</b>	<b>28,577,537</b>	<b>28,560,879</b>	<b>28,388,020</b>
<b>Reconciliation to Summary of Request for FY 2005-2007:</b>					
Plus: Transfer of Tuition for Retirement of Indebtedness - Skiles Act	156,980	129,720	120,000	0	0
Plus: Transfer of Funds for Texas Public Education Grants Program and Emergency Loans	5,308,035	5,486,552	5,490,941	5,500,276	5,509,626
Plus: Transfer of Funds for Cancellation of Student Loans of Physicians	0	0	0	0	0
Plus: Organized Activities	232,843	257,791	482,200	482,200	482,200
Plus: Staff Group Insurance Premiums	3,717,860	4,231,921	4,315,317	4,315,317	4,315,317
Plus: Board-authorized Tuition Income	6,416,311	7,290,916	7,305,498	7,320,109	7,334,749
Plus: Tuition Increases Charged to Doctoral Students with Hours in Excess of 100	0	0	0	0	0

**Schedule 1A: Other Educational and General Income**  
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Agency Code: 733

Agency Name: Texas Tech University

	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
Plus: Tuition Increases Charged to Undergraduate Students with Excessive Hours above Degree Requirements (TX. Educ. Code Ann. Sec. 61.0595)	0	0	0	0	0
Less: Tuition Waived for Students 55 Years or Older	(77,664)	(86,475)	(93,300)	(102,650)	(112,900)
Less: Tuition Waived for Texas Grant Recipients	0	0	0	0	0
Plus: Indirect Cost Recovery	5,527,540	0	0	0	0
<b>Total, Other Educational and General Income Reported on Summary of Request</b>	<b>50,606,455</b>	<b>46,635,378</b>	<b>46,198,193</b>	<b>46,076,131</b>	<b>45,917,012</b>

**Schedule 2: Grand Total Educational, General and Other Funds**

80th Regular Session, Agency Submission, Version 1  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 10/13/2006

TIME: 11:40:30AM

PAGE: 1 of 3

Agency Code: 733

Agency Name: Texas Tech University

	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
Balances as of Beginning of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
General Revenue Appropriations					
Direct Appropriations	111,896,246	124,113,169	123,705,936	24,942,240	24,942,890
Less: Transfer Sec. 9-5.09(c), Expenditures for Commercial Air Travel (2006-07)	0	0	(83,105)	0	0
Transfer from Office of the Governor Deficiency and Emergency Grants	0	0	0	0	0
Less: General Revenue Appropriations Lapsed	(188)	0	0	0	0
Plus: Special Provisions Relating to Agencies of Higher Education, Section 56 (Health Related Institutions only) (2005)	0	0	0	0	0
Less: Special Provisions Relating to Agencies of Higher Education, Section 56 Reductions (2005)	(291,380)	0	0	0	0
Plus: Additional General Revenue through Budget Execution	3,650,915	0	0	0	0
Other (Itemize)					
Article III, TTU Rider #4, Comptroller did not certify Appropriation for ITC	(600,000)	0	0	0	0
Article IX, Sec. 14.49 Contingency Appropriation SB1370 (2006&2007)	0	65,000	65,000	0	0
<b>Subtotal, General Revenue Appropriations</b>	<b>114,655,593</b>	<b>124,178,169</b>	<b>123,687,831</b>	<b>24,942,240</b>	<b>24,942,890</b>
Other Educational and General Income	50,606,455	46,635,378	46,198,193	46,076,131	45,917,012
Other Appropriated Funds Income					
Health-related Institutions Patient Income (medical, dental, other)	0	0	0	0	0
Interagency contracts	0	0	0	0	0
Tobacco - Related Funds	0	0	0	0	0
Other (Itemize)					
Special Mineral Fund	41,723	48,700	35,000	35,000	35,000
<b>TOTAL, EDUCATIONAL AND GENERAL APPROPRIATIONS</b>	<b>165,303,771</b>	<b>170,862,247</b>	<b>169,921,024</b>	<b>71,053,371</b>	<b>70,894,902</b>
General Revenue Transfers					
Transfer from Coordinating Board for Developmental Education (2005, 2006, 2007)	0	0	0	0	0



**Schedule 2: Grand Total Educational, General and Other Funds**

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Agency Code: 733

Agency Name: Texas Tech University

	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
Transfer from Coordinating Board for Advanced Research Program (2006 - 2007)	0	803,306	0	0	0
Transfer from Coordinating Board for Advanced Technology Program (2005)	0	0	0	0	0
Transfer from Coordinating Board for Texas College Work Study Program (2005, 2006, 2007)	131,525	112,804	145,000	0	0
Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005) [Nursing]	0	0	0	0	0
Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005) [All other enrollment growth]	0	0	0	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program (2006-07)	0	0	0	0	0
Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005)[Nursing]	0	0	0	0	0
Transfer from Coordinating Board for Dramatic Enrollment Growth (Growth Supplement) (2005)[All other enrollment growth]	0	0	0	0	0
Transfer from Coordinating Board for the Cancer Registry (2006-07)	0	(3,040)	(3,474)	0	0
Transfer from Coordinating Board for Professional Nursing Shortage Reduction Program (2006-07)	0	0	0	0	0
Transfer of GR Group Insurance Premium from Comptroller (UT and TAMU Components only)	0	0	0	0	0
Less: Transfer to Other Institutions	0	0	0	0	0
Less: Transfer to Department of Health, Disproportionate Share - State-Owned Hospitals (2005, 2006, 2007)	0	0	0	0	0
Other (Itemize)					
Texas Technology Workforce Grant	494,525	56,842	189,711	0	0
Other: Fifth Year Accounting Scholarship	20,158	10,986	16,943	0	0
Texas Grants	7,296,675	8,469,538	8,500,000	0	0
Less: Transfer to System Administration	(4,365,454)	(3,449,081)	(2,514,201)	0	0
<b>Subtotal, General Revenue Transfers</b>	<b>3,577,429</b>	<b>6,001,355</b>	<b>6,333,979</b>	<b>0</b>	<b>0</b>
General Revenue HEF for Operating Expenses	2,506,987	2,720,011	2,500,000	0	0
Transfer from Available University Funds (UT, A&M and Prairie View A&M Only)	0	0	0	0	0
Other Additions (Itemize)					
Increase Capital Projects - Educational and General Funds	0	0	0	0	0
Transfer from Department of Health, Disproportionate Share - State-owned Hospitals (2005, 2006, 2007)	0	0	0	0	0

**Schedule 2: Grand Total Educational, General and Other Funds**

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Agency Code: 733

Agency Name: Texas Tech University

	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
Transfers from Other Funds, e.g., Designated funds transferred for educational and general activities (Itemize)	6,000,000	0	0	0	0
Other (Itemize)					
Other Deductions (Itemize)					
Decrease Capital Projects - Educational and General Funds	0	0	0	0	0
Other (Itemize)					
<b>Total Funds</b>	<b>177,388,187</b>	<b>179,583,613</b>	<b>178,755,003</b>	<b>71,053,371</b>	<b>70,894,902</b>
Less: Balances as of End of Fiscal Year					
Encumbered and Obligated	0	0	0	0	0
Unencumbered and Unobligated	0	0	0	0	0
Capital Projects - Legislative Appropriations	0	0	0	0	0
Capital Projects - Other Educational and General Funds	0	0	0	0	0
<b>Grand Total, Educational, General and Other Funds</b>	<b>177,388,187</b>	<b>179,583,613</b>	<b>178,755,003</b>	<b>71,053,371</b>	<b>70,894,902</b>
<b>Designated Tuition (Sec. 54.0513)</b>	<b>58,695,950</b>	<b>61,454,205</b>	<b>62,678,931</b>	<b>62,678,931</b>	<b>62,678,931</b>
<b>Indirect Cost Recovery (Informational Purposes Only)</b>	<b>5,527,540</b>	<b>5,290,036</b>	<b>5,820,000</b>	<b>5,820,000</b>	<b>5,820,000</b>

**Schedule 3A: Staff Group Insurance Data Elements (ERS)**  
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Agency Code: 733	Agency Code: Texas Tech University										
		General Revenue Fund		Other Educational and General Funds		Subtotal, Educ. & General Funds		Non-educational and General Funds		Total, All Funds	
		Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time
<b>I. "Active employees," as of December 1, 2006, for whom the institution is required to make a contribution for faculty and staff group insurance under Chapter 1551 of the Texas Insurance Code.</b>											
A. Number of "active employees" enrolled in "Employee Only" health plan.		933	30	266	9	1,199	39	1,149	19	2,348	58
B. Number of "active employees" enrolled in an "Employee and Children" health plan.		271	5	76	2	347	7	314	3	661	10
C. Number of "active employees" enrolled in an "Employee and Spouse" health plan.		246	4	72	1	318	5	183	1	501	6
D. Number of "active employees" enrolled in an "Employee and Family" health plan.		376	4	108	1	484	5	238	3	722	8
E. Number of "active employees" eligible but not enrolled in a health plan.		33	30	10	9	43	39	84	33	127	72
<b>Total for This Section</b>		<b>1,859</b>	<b>73</b>	<b>532</b>	<b>22</b>	<b>2,391</b>	<b>95</b>	<b>1,968</b>	<b>59</b>	<b>4,359</b>	<b>154</b>
<b>II. "Student employees," as of December 1, 2006, for whom the institution is required to make a contribution for faculty and staff group insurance under Chapter 1551 of the Texas Insurance Code.</b>											
A. Number of "student employees" enrolled in an "Employee Only" health plan.		2	248	0	75	2	323	4	129	6	452
B. Number of "student employees" enrolled in an "Employee and Children" health plan.		1	15	0	5	1	20	0	11	1	31
C. Number of "student employees" enrolled in an "Employee and Spouse" health plan.		0	12	0	3	0	15	0	6	0	21
D. Number of "student employees" enrolled in an "Employee and Family" health plan.		0	5	0	2	0	7	0	4	0	11
E. Of the amount in II. above, number eligible but not enrolled in a health plan.		0	493	0	147	0	640	2	374	2	1,014
<b>Total for This Section</b>		<b>3</b>	<b>773</b>	<b>0</b>	<b>232</b>	<b>3</b>	<b>1,005</b>	<b>6</b>	<b>524</b>	<b>9</b>	<b>1,529</b>

**Schedule 3A: Staff Group Insurance Data Elements (ERS)**  
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Agency Code: 733	Agency Code: Texas Tech University										
		General Revenue Fund		Other Educational and General Funds		Subtotal, Educ. & General Funds		Non-educational and General Funds		Total, All Funds	
		Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time	Full-Time	Part-Time
<b>III. Total eligible active and student employees.</b>											
Total eligible active and student employees enrolled in an "Employee Only" health plan. (I.A.+II.A.)		935	278	266	84	1,201	362	1,153	148	2,354	510
Total eligible active and student employees enrolled in an "Employee and Children" health plan. (I.B.+II.B.)		272	20	76	7	348	27	314	14	662	41
Total eligible active and student employees enrolled in an "Employee and Spouse" health plan. (I.C.+II.C.)		246	16	72	4	318	20	183	7	501	27
Total eligible active and student employees enrolled in an "Employee and Family" health plan. (I.D.+II.D.)		376	9	108	3	484	12	238	7	722	19
Total eligible active and student employees eligible but not enrolled in a health plan. (I.E.+II.E.)		33	523	10	156	43	679	86	407	129	1,086
<b>Total for This Section</b>		<b>1,862</b>	<b>846</b>	<b>532</b>	<b>254</b>	<b>2,394</b>	<b>1,100</b>	<b>1,974</b>	<b>583</b>	<b>4,368</b>	<b>1,683</b>

**SCHEDULE 4: COMPUTATION OF OASI**  
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Agency Code: 733      Agency: Texas Tech University

	<u>Actual Salaries &amp; Wages 2005</u>	<u>Actual Salaries &amp; Wages 2006</u>	<u>Budgeted Salaries &amp; Wages 2007</u>	<u>Estimated Salaries &amp; Wages 2008</u>	<u>Estimated Salaries &amp; Wages 2009</u>
Gross Educational & General Payroll - Subject to OASI	\$120,806,201	\$124,619,012	\$129,560,745	\$135,668,367	\$138,708,418
FTE Employees - Subject to OASI	2,239.0	2,208.0	2,306.0	2,358.0	2,358.0
Average Salary (Gross Payroll / FTE Employees)	\$53,955	\$56,440	\$56,184	\$57,535	\$58,825
Employer OASI Rate 7.65% x Average Salary	\$4,128	\$4,318	\$4,298	\$4,401	\$4,500
x FTE Employees	2,239.0	2,208.0	2,306.0	2,358.0	2,358.0
<b>Grand Total, OASI</b>	<b>\$9,242,592</b>	<b>\$9,534,144</b>	<b>\$9,911,188</b>	<b>\$10,377,558</b>	<b>\$10,611,000</b>

<u>Proportionality Percentage Based on Comptroller Accounting Policy Statement #11, Exhibit 2</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>	<u>% to Total</u>	<u>Allocation of OASI</u>
General Revenue (% to Total)	0.7525	\$6,955,050	0.7690	\$7,331,757	0.7635	\$7,567,192	0.7695	\$7,985,531	0.7695	\$8,165,165
Other Educational and General Funds (% to Total)	0.2475	2,287,542	0.2310	2,202,387	0.2365	2,343,996	0.2305	2,392,027	0.2305	2,445,836
Health-related Institutions Patient Income (% to Total)	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>Grand Total, OASI (100%)</b>	<b>1.0000</b>	<b>\$9,242,592</b>	<b>1.0000</b>	<b>\$9,534,144</b>	<b>1.0000</b>	<b>\$9,911,188</b>	<b>1.0000</b>	<b>\$10,377,558</b>	<b>1.0000</b>	<b>\$10,611,000</b>

**SCHEDULE 5: CALCULATION OF RETIREMENT PROPORTIONALITY AND ORP DIFFERENTIAL**

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Agency code: **733**      Agency name: **Texas Tech University**

Description	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
<b>Proportionality Amounts</b>					
Gross Educational and General Payroll - Subject to Retirement	120,938,552	124,478,309	129,150,909	133,240,290	137,200,875
Employer Contribution to Retirement Programs	7,256,313	7,468,699	7,749,055	7,994,417	8,232,053
<b>Proportionality Percentage</b>					
General Revenue	75.25 %	76.35 %	76.95 %	76.95 %	76.95 %
Other Educational and General Income	24.75 %	23.65 %	23.05 %	23.05 %	23.05 %
Health-related Institutions Patient Income	0.00 %	0.00 %	0.00 %	0.00 %	0.00 %
<b>Proportional Contribution</b>					
Other Educational and General Proportional Contribution (Other E&G percentage x Total Employer Contribution to Retirement Programs)	1,795,937	1,766,347	1,786,157	1,842,713	1,897,488
HRI Patient Income Proportional Contribution (HRI Patient Income percentage x Total Employer Contribution To Retirement Programs)	0	0	0	0	0
<b>Differential</b>					
Gross Payroll Subject to Differential - Optional Retirement Program	35,092,375	34,549,021	35,449,689	36,513,180	37,608,575
<b>Total Differential</b>	<b>459,710</b>	<b>452,592</b>	<b>464,391</b>	<b>478,323</b>	<b>492,672</b>

**Schedule 6: Capital Funding**  
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Agency Code: <b>733</b> Agency Name: <b>Texas Tech University</b>						
<b>Activity</b>	<b>Act 2005</b>	<b>Act 2006</b>	<b>Bud 2007</b>	<b>Est 2008</b>	<b>Est 2009</b>	
I. Balances as of Beginning of Fiscal Year						
A. PUF Bond Proceeds	0	0	0	0	0	
B. HEF Bond Proceeds	0	0	0	0	13,600,000	
C. HEF Annual Allocations	17,090,262	18,222,962	15,739,629	0	0	
D. TR Bond Proceeds	9,611,793	2,867,068	0	0	21,666,668	
II. Additions						
A. PUF Bond Proceeds Allocation	0	0	0	0	0	
B. HEF General Revenue Appropriation	20,961,881	17,886,318	17,886,318	26,829,477	26,829,477	
C. HEF Bond Proceeds	0	0	0	62,600,000	0	
D. TR Bond Proceeds	0	0	0	57,500,000	0	
E. Investment Income on PUF Bond Proceeds	0	0	0	0	0	
F. Investment Income on HEF Bond Proceeds	0	0	0	0	0	
G. Investment Income on TR Bond Proceeds	12,862	87,711	0	0	0	
H. Other (Itemize)						
HEF Annual Allocations						
Commercial Paper	0	1,696,630	968,679	0	0	
HEF Bond Proceeds						
Commercial Paper	0	2,000,000	24,000,000	0	0	
TR Bond Proceeds						
General Revenue Appropriations for TRB Debt Service	5,087,987	5,739,227	5,731,201	10,683,721	10,686,673	
III. Total Funds Available - PUF, HEF, and TRB	<b>\$52,764,785</b>	<b>\$48,499,916</b>	<b>\$64,325,827</b>	<b>\$157,613,198</b>	<b>\$72,782,818</b>	
IV. Less: Deductions						
A. Expenditures (Itemize)						
Library Books	4,842,514	4,808,495	5,279,356	5,000,000	5,000,000	
Repairs and Rehabilitation	1,441,214	2,498,404	2,500,000	3,000,000	3,000,000	
Furnishings and Equipment	2,830,787	5,197,774	5,198,777	5,200,000	5,200,000	
Computing Equipment & Infrastructure	2,370,736	1,898,007	3,759,814	2,500,000	2,500,000	
Construction	8,343,930	5,966,971	16,888,000	4,844,586	1,764,811	
Commercial Paper Retirement	0	0	0	1,798,428	1,026,800	
Construction	0	0	0	23,000,000	13,600,000	
Commercial Paper Retirement	0	0	0	26,000,000	0	
Construction	6,757,587	2,954,779	0	35,833,332	21,666,668	
B. Annual Debt Service on PUF Bonds	0	0	0	0	0	
C.1. Annual Debt Service on HEF Bonds - RFS Commercial Paper	0	0	0	4,486,463	8,337,866	
C.2. Annual Debt Service on HEF Bonds - RFS Bonds, Series 2001	0	0	0	0	0	
D. Annual Debt Service on TR Bonds	5,087,987	5,739,227	5,731,201	10,683,721	10,686,673	
E. Other (Itemize)						
HEF Annual Allocations						
Commercial Paper Computing Equipment & Infrastructure	0	1,696,630	968,679	0	0	
HEF Bond Proceeds						

**Schedule 6: Capital Funding**  
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Agency Code: 733		Agency Name: Texas Tech University			
Activity	Act 2005	Act 2006	Bud 2007	Est 2008	Est 2009
Commercial Paper Construction	0	2,000,000	24,000,000	0	0
Total, Deductions	\$31,674,755	\$32,760,287	\$64,325,827	\$122,346,530	\$72,782,818
V. Balances as of End of Fiscal Year					
A.PUF Bond Proceeds	0	0	0	0	0
B.HEF Bond Proceeds	0	0	0	13,600,000	0
C.HEF Annual Allocations	18,222,962	15,739,629	0	0	0
D.TR Bond Proceeds	2,867,068	0	0	21,666,668	0
	\$21,090,030	\$15,739,629	\$0	\$35,266,668	\$0



**SCHEDULE 7: CURRENT AND LOCAL FUND (GENERAL) BALANCES**

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	<b>Actual 2005</b>	<b>Actual 2006</b>	<b>Budgeted 2007</b>	<b>Estimated 2008</b>	<b>Estimated 2009</b>
1. Balance of Current Fund in State Treasury	\$4,476,974	\$500,000	\$500,000	\$500,000	\$500,000
2. Unobligated Balance in State Treasury	\$0	\$0	\$0	\$0	\$0
3. Interest Earned in State Treasury	\$688,517	\$1,320,390	\$800,000	\$800,000	\$800,000
4. Balance of Educational and General Funds in Local Depositories	\$313,793	\$10,000	\$10,000	\$10,000	\$10,000
5. Unobligated Balance in Local Depositories	\$0	\$0	\$0	\$0	\$0
6. Interest Earned in Local Depositories	\$20,841	\$1,000	\$1,000	\$1,000	\$1,000

Schedule 8: PERSONNEL  
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Agency code 733 Agency name. TEXAS TECH UNIVERSITY

	Actual 2005	Actual 2006	Budgeted 2007	Estimated 2008	Estimated 2009
<b>Part A.</b>					
<b>FTE Postions</b>					
E & G Faculty Employees	628.4	652.9	707.9	730.9	747.9
E & G Non-Faculty Employees	1,807.8	1,925.6	2,137.7	2,182.3	2,200.3
<b>SUBTOTAL, E&amp;G</b>	<b>2,436.2</b>	<b>2,578.5</b>	<b>2,845.6</b>	<b>2,913.2</b>	<b>2,948.2</b>
Other Appropriated Funds	104.3	114.3	114.3	114.3	114.3
<b>SUBTOTAL, ALL APPROPRIATED</b>	<b>2,540.5</b>	<b>2,692.8</b>	<b>2,959.9</b>	<b>3,027.5</b>	<b>3,062.5</b>
Other Funds Employees	2,804.1	2,907.8	3,162.8	3,162.8	3,162.8
<b>SUBTOTAL, NON-APPROPRIATED</b>	<b>2,804.1</b>	<b>2,907.8</b>	<b>3,162.8</b>	<b>3,162.8</b>	<b>3,162.8</b>
<b>GRAND TOTAL</b>	<b>5,344.6</b>	<b>5,600.6</b>	<b>6,122.7</b>	<b>6,190.3</b>	<b>6,225.3</b>

**Part B.**  
**Personnel Headcount**

E & G Faculty Employees	441	463	499	515	527
E & G Non-Faculty Employees	2,901	2,938	3,391	3,462	3,490
<b>SUBTOTAL, E&amp;G</b>	<b>3,342</b>	<b>3,401</b>	<b>3,890</b>	<b>3,977</b>	<b>4,017</b>
Other Appropriated Funds	211	219	219	219	219
<b>SUBTOTAL, ALL APPROPRIATED</b>	<b>3,553</b>	<b>3,620</b>	<b>4,109</b>	<b>4,196</b>	<b>4,236</b>
Other Funds Employees	4,898	4,997	5,432	5,432	5,432
<b>SUBTOTAL, NON-APPROPRIATED</b>	<b>4,898</b>	<b>4,997</b>	<b>5,432</b>	<b>5,432</b>	<b>5,432</b>
<b>GRAND TOTAL</b>	<b>8,451</b>	<b>8,617</b>	<b>9,541</b>	<b>9,628</b>	<b>9,668</b>

Schedule 8: PERSONNEL  
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Agency code: 733      Agency name: TEXAS TECH UNIVERSITY

	Actual 2005	Actual 2006	Budgeted 2007	Estimated 2008	Estimated 2009
<b>PART C.</b>					
<b>Salaries</b>					
E & G Faculty Employees	\$64,331,057	\$77,865,684	\$78,839,872	\$83,367,672	\$87,383,606
E & G Non-Faculty Employees	\$65,768,040	\$56,774,470	\$61,687,735	\$64,088,506	\$65,134,621
<b>SUBTOTAL, E&amp;G</b>	<b>\$130,099,097</b>	<b>\$134,640,154</b>	<b>\$140,527,607</b>	<b>\$147,456,178</b>	<b>\$152,518,227</b>
Other Appropriated Funds	\$3,863,907	\$4,401,343	\$4,401,343	\$4,401,343	\$4,401,343
<b>SUBTOTAL, ALL APPROPRIATED</b>	<b>\$133,963,004</b>	<b>\$139,041,497</b>	<b>\$144,928,950</b>	<b>\$151,857,521</b>	<b>\$156,919,570</b>
Other Funds Employees	\$94,732,012	\$107,118,370	\$114,870,115	\$114,870,115	\$114,870,115
<b>SUBTOTAL, NON-APPROPRIATED</b>	<b>\$94,732,012</b>	<b>\$107,118,370</b>	<b>\$114,870,115</b>	<b>\$114,870,115</b>	<b>\$114,870,115</b>
<b>GRAND TOTAL</b>	<b>\$228,695,016</b>	<b>\$246,159,867</b>	<b>\$259,799,065</b>	<b>\$266,727,636</b>	<b>\$271,789,685</b>

**SCHEDULE 9: EXPENDITURES ASSOCIATED WITH UTILITY OPERATIONS**  
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Item	Consumption	Cost
<b>ENERGY COST</b>		
(1) Purchased Electricity (KWH)	85,781,510	\$6,855,588
(2) Purchased Natural Gas (MCF)	601,468	\$5,125,758
(3) Purchased Thermal Energy (BTU)		\$0
<b>WATER/WASTE WATER</b>		
(4) Water (1,000 gal.)	146,536	\$447,331
(5) Waste Water (1,000 gal.)	110,861	\$231,905
<b>UTILITIES OPERATING COSTS</b>		
(6) Personnel		\$1,470,770
(7) Maintenance and Operations		\$2,760,495
(8) Renovation		\$555,900
<b>UTILITIES DEBT SERVICE</b>		
(9) Revenue Bonds		\$647,884
(10) Loan Star		\$359,629
(11) Performance Contracts		\$0
(12) <b>TOTAL</b>		<b>\$18,455,260</b>

**SCHEDULE 10B: TUITION REVENUE BOND ISSUANCE HISTORY**

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Authorization Date	Authorization Amount	Issuance Date	Issuance Amount	Authorized Amount Outstanding as of 08/31/2006	Proposed Issuance Date for Outstanding Authorization	Proposed Issuance Amount for Outstanding Authorization
1971	\$33,500,000	Feb 1 1972	\$5,000,000			
		Jun 1 1972	\$12,500,000			
		Apr 1 1974	\$16,000,000			
		<i>Subtotal</i>	\$33,500,000	\$0		
1995	\$14,000,000	Feb 15 1995	\$14,000,000			
		<i>Subtotal</i>	\$14,000,000	\$0		
1997	\$30,000,000	May 4 1999	\$30,000,000			
		<i>Subtotal</i>	\$30,000,000	\$0		
2001	\$23,647,000	Sep 1 2003	\$23,647,000			
		<i>Subtotal</i>	\$23,647,000	\$0		
2006	\$57,500,000				Sep 1 2007	\$57,500,000

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**Special Item: 1      Library Archival Support**

**(1) Year Special Item:**      1996

**(2) Mission of Special Item:**

The Vietnam Center and Archive are dedicated to promoting the study of the American Vietnam experience and to collecting and preserving related historical materials. In the pursuit of these missions, the project involves a dynamic set of scholars, veterans, and other participants who represent all points of view regarding those tumultuous events. Thus, the Vietnam Center provides students and researchers access to the richest set of educational resources possible. The Vietnam Archive applies this philosophy to the acquisition of archival material with the ultimate goal to preserve a balanced and unrivaled resource collection for future generations of Americans. This inclusiveness is also reflected in Vietnam Center conferences and symposia where individuals with vastly different viewpoints come together to discuss and learn from one another. At these events, men and women who, forty years ago, faced one another in mortal combat sometimes sit and discuss the common experiences that connect them today so that they might more easily reconcile the past.

Academic connections with Vietnam have led to joint research projects and also the possibility of significant commercial activity between Texas and Vietnam. This is evidenced by the Texas Secretary of State's August 2004 trade mission to Vietnam. Essential to the Vietnam Center's success has been the very strong support of the university leadership, the Lubbock community, the State of Texas, and the veteran community.

**(3) (a) Major Accomplishments to Date:**

The Vietnam Center leads the nation in the study of the American Vietnam experience through annual conferences and an archive of 20 million pages of material. The 2005 Symposium featured US Ambassador to Vietnam, Michael Marine and his predecessor who joined 150 guests to discuss the normalization of US-Vietnam relations. The 2006 Conference examined the role of the South Vietnamese Armed Forces in the war. Being the principal US ally and central to the overall war effort, this group remains marginally represented in the public history of the war. This conference provided an important step in correcting that deficiency and was well attended by Texas Vietnamese Americans. We also received a grant to process a collection of Vietnamese American materials deemed by the Texas State Archivist to be essential to preserving the history of underrepresented groups in our State.

The Vietnam Center expanded its resources for Texas educators through the development of our Teacher's Resource Web.

The Vietnam Archive grew by 1,059 collections. Through the Virtual Vietnam Archive researchers can access more than 2.5 million pages of materials on the Internet. This free resource has been credited with greatly assisting the Veterans Administration in processing claims and the US Defense Department as they continue to search for the remains of Americans listed as missing from the war, including 119 Texas veterans.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

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The Vietnam Center will conduct 3 conferences in the next 2 years. With the CIA, we are co-hosting a conference in October 2006 that will examine Intelligence in the Vietnam War with an emphasis on developing potential lessons that might be applicable to the current wars in the Middle East & the Global War on Terror. We will also host our regular annual conference in March 2007 and our 6th Triennial Symposium in March 2008. The Center is also cosponsoring a multi-million dollar USAID grant proposal that will help address HIV/AIDS education and intervention in the Mekong Delta region of Vietnam.

The Vietnam Archive will continue to collect materials and oral history interviews from Texans and other Americans who served in or whose lives were affected by the war. The Archive will continue to participate in veteran reunions to preserve the history of the war from the perspective of individual participants. The Virtual Vietnam Archive will continue to expand to make these research materials freely available to the public via the Internet. We will expand the Teacher's Resource Web to further assist Texas educators in fulfilling the Texas Assessment of Knowledge & Skills requirements. The Vietnam Archive will continue to step up efforts to assist the Veterans Administration and Defense Department in accessing and utilizing our valuable resources in their important work of helping our nation's veterans and accounting for Texans and Americans still listed as missing in action.

**(4) Funding Source Prior to Receiving Special Item Funding:**

N/A

**(5) Non-general Revenue Sources of Funding:**

2006 \$497,050 Federal  
0 Private  
0 Other State \*

2007 \$496,000 Federal Funding  
\$48,565 Private  
0 Other State \*

2008 \$650,000 Federal  
\$100,000 Private Grants  
0 Other State \*

2009 \$2,000,000 Federal Funding  
\$100,000 Private  
0 Other State \*

\* includes funding from states other than Texas

**(6) Consequences of Not Funding:**

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In March 2004, Texas Secretary of State Geoffrey Connor stated, "The Vietnam Center is world class and a precious asset to the Nation. I am so proud it is in Texas." With America's commitments in Iraq & Afghanistan, and the global war on terrorism, broader understanding of America's Vietnam experience is of compelling importance. Further, US Ambassador to Vietnam, Michael Marine recently commended the Vietnam Ctr for the important role it continues to play in postwar reconciliation between our nations.

The Vietnam Center/Archive is the only academic institution in the US dedicated to collecting and preserving the history of the American Vietnam War experience. Through the Virtual Archive, we grant free, global access to our archival materials and provide reference services to over 100,000 patrons each year. Failure to fund this item will eliminate our ability to acquire, preserve, and make available these materials to researchers throughout Texas, the nation, and the world. Failure to preserve this material will result in significant gaps in the historical record of one of the most important events in the late 20th century. Without the Vietnam Archive, future generations will be unable to fully examine and interpret the events of the Vietnam War.

There is only a finite amount of time in which to collect these priceless materials that document individual American experiences in the Vietnam War. If we do not act now, priceless historical treasures will be lost forever.

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**Special Item: 2      Research to Enhance Ag Production & Add Value to Ag Products in Texas**

**(1) Year Special Item:**      1967

**(2) Mission of Special Item:**

The mission of this line is to enhance the profitability, productivity, safety and security of the agricultural and natural resource enterprises of Texas.

**(3) (a) Major Accomplishments to Date:**

This support has contributed to many scholarly accomplishments and has generated about \$4 in sponsored funding for each \$1 in special item support. Below is a partial list of research accomplishments.

- 1) Developed herbicide tolerant cotton that can save the industry \$24M annually.
- 2) Developed production systems that can reduce irrigation cost by \$18M annually.
- 3) Developed cotton germplasm for high yielding varieties that can increase farm income by \$100M annually.
- 4) Developed mechanical delinting of cotton seed technology that can save producers \$12M annually.
- 5) Developed alternative crop insurance rate structures that can impact \$3B in premiums.
- 6) Evaluated the impacts of WTO proposal to eliminate US cotton program and impacts of NAFTA and CAFTA-DR on U.S.
- 7) Discovered a feed additive that reduces E. coli in cattle potentially saving the industry \$5B in losses.
- 8) Developed new chemical methods to reduce E. coli and Salmonella pathogens on the beef.
- 8) Developed means to improve river water quality making it suitable for additional \$70 million per yr economic activities.
- 9) Developed natural methods of controlling red imported fire ants that cost the Texas economy over \$1 billion annually.
- 10) Developed strategies to increase habitat acreage for scaled quail, deer and turkey that can benefit the economy by over \$400 million annually.
- 11) Developed an instrument for measuring fabric smoothness which could generate \$5-\$10M annually in economic activity.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

Undertake new multidisciplinary research to increase the use of agricultural crops as feedstocks for biofuels and other value-added products, contributing significantly to global energy security, viability of the agriculture industry, economic development of rural communities, and environmental sustainability. Develop and foster commercialization of plant and animal genotypes enriched in nutrients beneficial for human health by using transgenic technology, new bioactive natural products as pharmaceuticals or agrichemicals, and medicinal plants for production of pharmaceuticals as potential alternative crops. Enhance research efforts in water, food safety and quality, and computer imaging technology to measure diverse properties of fibers, yarns, and fabrics. Continue genomic mapping of corn, cotton, wheat, and sorghum to increase drought tolerance and to reduce crop losses attributable to environmental stress. Continue to develop a nationally recognized research program in farm and trade policy analyses and crop insurance and agricultural risk management. Study and develop management strategies to optimize populations of wildlife species. Identify key management practices to maintain healthy playa lake environments for non-harvested species that provide economic opportunities. Refine protein supplementation recommendation for ruminant animals on improved forages. Develop environmentally sustainable plant and animal agriculture production systems.

**(4) Funding Source Prior to Receiving Special Item Funding:**

Very limited funding was received from the USDA and producer/commodity groups.

**(5) Non-general Revenue Sources of Funding:**

2006      \$4,500,000 Federal

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	\$2,000,000 Private	
	\$150,000 Other State *	
2007	\$5,000,000 Federal	
	\$2,500,000 Private	
	\$150,000 Other State *	
2008	\$5,000,000 Federal	
	\$2,500,000 Private	
	\$150,000 Other State *	
2009	\$6,000,000 Federal	
	\$3,000,000 Private	
	\$200,000 Other State *	

\* includes funding from states other than Texas

**(6) Consequences of Not Funding:**

These research thrusts are critical to the economic stability of Texas. The Special Item Support has been instrumental in making Lubbock, Texas a leading nucleus for agricultural research. Lubbock is uniquely positioned to promote interdisciplinary and inter-institutional programs linking all disciplines of the College of Agricultural Sciences and Natural Resources, other colleges/schools of Texas Tech University, Texas Agricultural Experiment Station, Texas Cooperative Extension, and USDA-ARS scientists. With external pressures of increased global competition, uncertainties of farm and trade policies, declining supplies of underground water for crop irrigation, and increasing production/input/energy/technology costs, increased research support is critical to sustain the viability of agriculture and rural communities in this vast, highly productive region of the state.

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**Special Item: 3      Research in Energy Production & Environmental Protection in Texas**

**(1) Year Special Item:** 1967

**(2) Mission of Special Item:**

The mission of this special line item is to enhance the Texas economy by developing new technologies that will supply sustainable, reasonably priced, environmentally-friendly sources of energy and to protect and preserve our environment and natural resources while utilizing them to improve the quality of life for Texans.

**(3) (a) Major Accomplishments to Date:**

Water Resources Center accomplishments include analyses of natural occurrence of perchlorate in the hydrologic cycle, water recycling for NASA's space missions, water planning, aquifer recharge, remediation of soil and groundwater contamination, and computer modeling for groundwater management. The Wind Science & Engineering Center developed residential community shelter designs for tornadoes and hurricanes available through the Federal Emergency Mgmt. Agency, developed a tornado debris impact test facility, provided extensive wind-related information and outreach, proposed a standard for windstorm resistant construction for the TX Gulf Coast, and conducted full-scale wind testing with Housing & Urban Dev, Dept of Energy, Dept of Defense, and Nat'l Institute of Standards & Technology. A new thrust is the development of integrated, wind powered water desalination systems in partnership with the Dept of Energy and General Electric, as well as its research into the effects of pathological wind events on wind turbine power systems. A system was implemented to allow Internet access to the Coop Biological Research Database for key decision-makers. Satellite images were accessed to develop land use and land cover maps for the state and spatial distribution of vertebrates. Other energy related accomplishments are: critical hires in bioenergy/bioengineering and the acquisition of key laboratory equipment.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

The College of Engineering will focus on research and applications in energy in particular, fossil-based, wind, biofuels, electricity, and emerging/re-emerging areas such as nuclear, hydrogen, and fuel cells. There will be an investment in nanotechnologies related to solid state lighting especially the integration of nanophotonics and nanoelectronics. The Water Resources Center will address the natural presence of perchlorate in the arid southwestern US, evaluation of a new apparatus for NASA water/wastewater recycling systems, changes in state groundwater rights, field observations of aquifer discharge, and integrated wind powered water desalination systems. The WISE Center plans will establish the Great Plains Wind Power Test Facility at Reese Technology Center to perform collaborative wind power research with the National Renewable Energy Laboratory, Sandia National Laboratories, and GE Wind; continue to refine the understanding of wind loads to mitigate the effects of windstorms; continue data collection from land falling hurricanes to understand the dynamics of hurricane winds, and wind pressures caused by winds generated by tornados and hurricanes. Biological databases will be expanded and placed on the Internet and on compact discs for distribution within the public school system. Several books on wildlife and Texas natural history have been published and other books on the distributions of amphibians, reptiles, birds, and mammals are being drafted for publication.

**(4) Funding Source Prior to Receiving Special Item Funding:**

Limited amounts of funding were received from a variety of federal, state and private sources to support small projects in the multiple disciplines encompassed by this line item.

**(5) Non-general Revenue Sources of Funding:**

2006	\$1,700,000 Federal
	641,000 Private
	0 Other State*
2007	\$2,000,000 Federal

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		708,000 Private
		78,000 Other State*
2008	\$2,300,000 Federal	
	750,000 Private	
	80,000 Other State*	
2009	\$2,600,000 Federal	
	800,000 Private	
	89,000 Other State*	

\* includes funding from states other than Texas

**(6) Consequences of Not Funding:**

Important research of significant potential economic benefit to the State of Texas and the surrounding region would be eliminated. Without funding from this special item, valuable research in alternate energy sources, more efficient use of existing energy and water resources, and environmental protection, restoration, and management will be lost. Lives that can be saved during tornadoes and hurricanes will be lost and wind-related damage to structures and business interruption will not be decreased. In addition, millions of research dollars from non-Texas sources will be lost.

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**Special Item: 4      Research in Emerging Technologies & Economic Development in Texas**

**(1) Year Special Item:** 1967

**(2) Mission of Special Item:**

The mission of this special line item is to enhance the Texas economy by creating and transferring intellectual property and by developing more effective workforces and marketing strategies.

**(3) (a) Major Accomplishments to Date:**

With these line item funds, TTU initiated the Rural Enterprise Accelerator Partnership, which is a rural small business incubator program, completed the Texas Tech University high-speed rural telecommunications network to bring low-cost broadband connectivity to rural communities in West Texas as a strategy to facilitate rural business and economic development, and collaborated with the Workforce Development Board to identify target occupations to meet emerging technology and business needs in West Texas. TTU has invested in developing and strengthening the workforce. The College of Human Sciences has invested these line item funds in the areas of career planning and preparation, parental and marriage studies, Early Head Start programs, substance abuse intervention, obesity management in young children, nutrition and physical activity, and financial planning. Line item funds supported 19 research startup projects in the last 2 years and 21 research seed grants. These funds have also been invested in research projects in rural tourism, grape and wine research and marketing strategies, and selenium dietary supplements.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

This special line item will be used to develop intellectual capital that fuels a high-tech economy, thus creating new high skilled employment opportunities. Major advances are expected in research pertaining to neuron-imaging, career development, early child development, substance abuse treatment and recovery, restaurant hygiene procedures, financial planning and retirement, and family and individual mental health. Increased productivity, reduced burdens on social and government services, and improved family relations are all expected outcomes of these research and service efforts. This will result in financial benefits to local communities due to the cost savings from these programs that assist individuals, families and businesses. Marketing research on the Texas wine industry, rural tourism, and preferences in organic food will provide data on accessing new markets and improving marketing efficiency. These projects will advance understanding and knowledge of local food industries, attract new investors, and develop techniques to improve product satisfaction, food safety, and profitability. Funding has been leveraged from the USDA and endorsement has been received from industry partners and state government. Proposals based on pilot work supported by research seed grants will continue to be submitted to federal funding agencies. Funding from this line item also will be used to support technology transfer of university developed technologies to the private sector.

**(4) Funding Source Prior to Receiving Special Item Funding:**

None

**(5) Non-general Revenue Sources of Funding:**

2006    \$2,267,732 Federal  
         822,206 Private  
         34,187 Other State\*

2007    \$2,387,732 Federal  
         842,206 Private  
         44,187 Other State\*

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2008    \$2,507,732 Federal  
         862,206 Private  
         54,187 Other State\*

2009    \$2,627,732 Federal  
         882,206 Private  
         64,187 Other State\*

\* includes funding from states other than Texas

**(6) Consequences of Not Funding:**

Important research of significant potential economic benefit to the State of Texas and the surrounding region would be eliminated. The ability to provide start-up capital money to fund promising projects during formative stages would all but eliminate the chances of obtaining substantial external federal support for such research and would significantly decrease the level of sponsored projects to the university in areas emphasized. The ability to respond quickly to problems, opportunities, and issues of emerging state and national interest would be lost, along with the real possibility of providing substantial economic gain to the State of Texas. The ability to protect intellectual property also would be adversely affected.

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**Special Item: 5      Junction Annex Operation**

**(1) Year Special Item:** 1972

**(2) Mission of Special Item:**

To provide enhanced access to higher education for residents of the under-served Hill Country region; facilities for off-campus academic programs (especially field science and teacher education) for local and resident Texas Tech students; and facilities for off-campus special programs, such as Red Raider Camp (freshman retention program) and Outdoor School (a residential field science enrichment program for rural independent school districts).

**(3) (a) Major Accomplishments to Date:**

Establishment of the Junction Center as a hub of the TTU Hill Country Educational Network which expands access to bachelor's and master's degrees for residents of up to 14 Hill Country and Central Texas counties. Staff, logistic and technology support is provided by TTU Junction to other academic centers in the TTU Hill Country Educational Network (Fredericksburg and Marble Falls).

Expansion and improvement of facilities to support: 1) academic programs (both graduate and undergraduate); 2) a major field research station focused on water and watershed issues supporting research by several Texas universities; 3) training and professional development for a wide-range of local, state and federal government entities; and 4) workforce and professional development training by community colleges.

Establishment of Red Raider Camp, a highly successful freshman retention program serving over 600 students per year, and Outdoor School, a week-long field-science school for rural school districts which expanded significantly in FY 2006 and serves over 2,500 students and teachers per year.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

Continued development of the TTU Junction Center as a regional resource to provide comprehensive higher-education services by operating a center of the TTU Hill Country Educational Network and offering an ever-expanding menu of degrees and programs, particularly in the fields of public education and natural sciences. Continued expansion of the Junction Field Research station facilities and services, currently serving several universities, is planned and will provide a comprehensive center for research involving water and watershed issues. The TTU Center at Junction will continue to expand its role as a major resource for science teacher education by expanding its Outdoor School to serve as many as 3,000 K-12 students (many Title I) and teachers (including pre-service teachers) per year. Finally, TTU Junction will continue to expand its capability to host comprehensive workforce and professional development training programs, in conjunction with community colleges and other federal, state and local agencies, providing a major impact on economic development of the region.

**(4) Funding Source Prior to Receiving Special Item Funding:**

None.

**(5) Non-general Revenue Sources of Funding:**

This item is supported by tuition and fee revenue, local auxiliary sales revenue, private donations and grants from state and federal agencies. During the biennium FY 2005 – 2006, each dollar of special item funding was leveraged by more than one dollar in non-general revenue funding.

**(6) Consequences of Not Funding:**

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The fastest-growing rural area in Texas would be severely underserved in terms of higher education, including technical and workforce development training, and critical research would be eliminated. Discontinuing the distance education programs offered at Junction would negatively impact Texas Tech students (who have no other options for field science study) and the residents of rural counties in the Hill Country desiring to participate in higher education. The residents of Junction and surrounding Kimble County would suffer severe negative economic impact through the loss of a major driver of regional economic development (over \$3 million per year impact).

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**Special Item: 6      TTU Hill Country Educational Network**

**(1) Year Special Item:**      2002

**(2) Mission of Special Item:**

To provide expanded access to higher education for residents of the underserved Hill Country region by continuing creation of a network of higher education teaching sites serving the residents of the Hill Country.

**(3) (a) Major Accomplishments to Date:**

Establishment of TTU Hill Country Educational Network which expands access to undergraduate and graduate degree programs for residents of up to 14 Hill Country counties. Higher education teaching sites utilizing advanced technology for instructional delivery have been established and are fully operational in Fredericksburg and Marble Falls. Students have completed TTU coursework at both sites and more than 135 students have graduated in the following programs: Master's in education leadership with principal certification, Master's in Science in Nursing, Bachelor of Science in Nursing, Bachelor of General Studies and various teacher certificate programs. Many of the graduates have assumed leadership positions in education and health care in the Hill Country region. During the biennium FY 2005 – 2006, the number of degree and certificate programs offered doubled, and new academic partners TSTC and Concordia University joined the collaboration.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

Continued development of the TTU Hill Country Educational Network through the addition of high demand undergraduate and graduate degree programs, including a doctorate in education, and services for underserved populations. Continued emphasis will be placed on implementing teacher certification programs in math and science and early childhood education, which are high demand credentials in rural school districts. Programs will be tailored to place-bound, underserved, rural students and working adult students. Additionally, the TTU Hill Country Educational Network will continue to develop 2-2 academic programs and administrative infrastructure to ensure seamless transition between TTU and community college partners (ACC and CTC). Improved academic facilities and technology services will help to provide additional educational opportunities to residents of the Hill Country region.

**(4) Funding Source Prior to Receiving Special Item Funding:**

None

**(5) Non-general Revenue Sources of Funding:**

This item is supported by tuition and fee revenue and donated funds.

**(6) Consequences of Not Funding:**

The fastest growing rural area in Texas would continue to be under-served in terms of higher education opportunities, including technical and workforce development training, with subsequent negative impact on economic development in the region. Discontinuing the development of these facilities and instructional programs would negatively impact the residents of the rural counties in the Texas Hill Country and fail to support the goals of the Closing the Gaps study.

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**Special Item:**    7      **Small Business Development Center**

**(1) Year Special Item:**      1990

**(2) Mission of Special Item:**

To provide free business counseling and training for all small businesses in the 95 county service area of the Northwest Texas SBDC (NWTSBDC). Special emphasis is being given to rural small businesses through basic and advanced counseling and wireless broadband teleconferencing.

**(3) (a) Major Accomplishments to Date:**

Since program start in 1987, the NWTSBDC has assisted in creating 18,781 new jobs. The NWTSBDC has also assisted in opening 5,558 new businesses. The NWTSBDC has counseled over 48,817 clients and trained over 87,456 seminar attendees.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

The NWTSBDC will assist in creating over 600 new businesses and 2,800 new jobs. The NWTSBDC will provide counseling to over 6,000 small business clients and training to over 9,000 seminar attendees.

**(4) Funding Source Prior to Receiving Special Item Funding:**

Federal funds and Local funding.

**(5) Non-general Revenue Sources of Funding:**

2006	\$ 1,145,269 Federal Funds
	\$326,500 Local Funds
2007	\$ 1,175,000 Federal Funds
	\$346,500 Local Funds
2008	\$ 1,102,335 Federal Funds
	\$366,500 Local Funds
2009	\$ 1,152,000 Federal Funds
	\$386,500 Local Funds

**(6) Consequences of Not Funding:**

All of the Federal Funds that this program receives require either one-for-one or two-for-one matching. If this program is not funded by the State of Texas, an equal amount of funding will be lost from Federal and Local Sources. This massive decrease in funding would result in a major reduction in program services and would cause the NWTSBDC to serve 3,000 to 4,000 fewer small business clients, 6,000 to 9,000 fewer seminar attendees, create 300 to 400 fewer new businesses and a loss of 1,500 to 2,000 new jobs created.

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**Special Item: 8      Museum & Historic, Cultural and Educational Centers**

**(1) Year Special Item:** 1990

**(2) Mission of Special Item:**

This strategy concerns the International Cultural Center, the Lubbock Lake Landmark, the Museum of Texas Tech University, and the National Ranching Heritage Center (NRHC). Each of the four entities has a teaching and research function for university students and faculty, and an over-arching mission to local and global citizens alike. From the archeological evidence of continuous human habitation going back 12,000 years through the historical period to the present and indeed the future, our heritage is preserved and transmitted to hundreds of thousands of visitors each year. The collections, exhibitions, publications, programs, teaching, and research conducted at all four sites complement the diverse interests of TTU and its role in public and professional education.

**(3) (a) Major Accomplishments to Date:**

International Cultural Center

- Expanded public use activities, e.g., Center for Global Culture.
- Cultural activities and traveling exhibitions for university and community groups;
- Educational, social, and cross-cultural center activities for students;
- Developmental opportunities for faculty.
- Cultural and educational exhibits, courses, lectures, seminars, symposia for the university community and those served by outreach programs.
- The K-12 program has served approximately 140,000 students, teachers, and parents since 1997.
- Notarial services and passport photos.
- Expand Seville, Spain and Quelinburg, Germany Study Abroad programs.

Museum and Lubbock Lake Landmark

2002: Exclusive Vatican Museums exhibition of 31 frescoes.

2003: Moody Planetarium renovation and upgrade.

2004: Open two new major galleries featuring unique collections of Native American art and paleontological research.

2005: Pre-Columbian Gallery opened; renovation of Print Collection; addition to Natural Science Research Laboratory.

National Ranching Heritage Center

2002: "Vaquero," an international ranching exhibit opened.

2003: J.J. Gibson Park, with 14 life-size bronze steers opened at front of NRHC.

2004: Across Time and Territory, a privately funded 164-page book about the NRHC's historic structures, published in September.

2005: Added additional historical buildings and a museum educator.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

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International Cultural Center

- Expansion of the unique international education program for K-12 serving at least 25,000-30,000 students per annum;
- Become a passport acceptance agency;
- Increase interactive video of K-12 international education outreach programs, e.g., The Holocaust.

Museum and Lubbock Lake Landmark

- New Wing on Interpretive Center of the Lubbock Lake Landmark;
- Continue the research and educational programming;
- Enhance the excellence and facilities for the Museum Science and Heritage Management graduate degree programs;
- Provide meaningful and enjoyable public programming and temporary exhibitions to audiences local and abroad;
- Enhance research and preservation of collections.

National Ranching Heritage Center

- Complete and dedicate a 16,000 square foot administrative wing in 2006;
- Add a designated historic building;
- Publish a major historical ranch history.
- Continue cooperative connections with university, local, state, and national historic and preservation entities.

**(4) Funding Source Prior to Receiving Special Item Funding:**

The National Ranching Center –1975 Private Sources.

**(5) Non-general Revenue Sources of Funding:**

International Cultural Center

2002	\$199,487 Contracts
2003	\$240,688 Contracts
	\$10,000 Private Gift
2004	\$125,234 Grants
2005	\$75,000 Grants
2006	\$75,000 Grants
2007	\$75,000 Grants

Museum and Lubbock Lake Landmark

2002	\$150,000 Fed Funds
	\$10,000 Grants
	\$2,500,000 Private Funds
2003	\$154,500 Fed Funds
	\$2,000,000 Private Funds
2004	\$150,000 Fed Funds
	\$1,500,000 Private Funds
2005	\$150,000 Fed Funds

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	\$2,000,000 Private Funds	
2006	\$150,000 Fed Funds	
	\$2,000,000 Private Funds	
2007	\$150,000 Fed Funds	
	\$2,000,000 Private Funds	
The National Ranching Heritage Center		
2002	\$425,900 Grants	
	\$850,000 Private Grants	
	\$220,250 Private Gifts	
2003	\$450,000 Contracts	
	\$3,350,000 Private Grants	
	\$247,900 Private Gifts	
2004	\$200,000 Contracts	
	\$500,000 Private Grants	
	\$250,000 Private Gifts	
2005	\$250,000 Contracts	
	\$650,000 Private Grants	
	\$253,000 Private Gifts	
2006	\$110,000 Contracts	
	\$320,000 Private Grants	
	\$220,000 Private Gifts	
2007	\$97,000 Contracts	
	\$345,000 Private Grants	
	\$223,000 Private Gifts	

**(6) Consequences of Not Funding:**

The Museum, Lubbock Lake Landmark, and National Ranching Heritage Center are trusted with the responsibility to preserve and protect collections, and to provide professional stewardship for those objects placed in their care. That mandate will be disrupted as a consequence of reduced or eliminated funding. The immediate impact of no funding will be loss of staff, accreditation, and forfeiture of collections held-in-trust on behalf of the state and federal governments. Public programming, as well as the dissemination of information gained from collections, will be decreased or eliminated should funding be cut. Service to the academic program will be eliminated, and the Museum Science & Heritage Management graduate programs and other TTU classes held at the sites will have to be discontinued. The research and educational activities at the NRHC and the Lubbock Lake Landmark will be curtailed, and care of the cultural and natural heritage associated with these sites will be dramatically reduced or terminated.

The loss of public trust will be devastating nationally and internationally.

If not funded, the International Cultural Center will be inoperative and cannot fulfill the functions for which the building was constructed. The target groups to be served by the International Cultural Center, especially the 30,000 children and thousands of TTU students will be adversely affected by receiving reduced services and inadequate educational opportunities.

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**Special Item: 9      Center for Financial Responsibility**

**(1) Year Special Item:**      2000

**(2) Mission of Special Item:**

The Center for Financial Responsibility (CFR) mission is to help families and individuals achieve personal responsibility in the areas of retirement planning, debt management and financial literacy by conducting research, and through delivery of financial knowledge to citizens, financial services professionals, and fiduciaries and high school teachers. The CFR focuses on the financial well-being of Texas citizens by emphasizing individual responsibility and financial preparation for retirement. The CFR also promotes resources to prevent individual bankruptcy and other personal financial hardship that can affect state and local economies and employment possibilities. Research conducted by the CFR with high school teachers has found a reluctance to teach the topic due to 1) lack of academic preparation and 2) personal financial issues. Those within the higher education environment and those graduating with debt loads from student loans and credit cards are being served through research and service from the nationally recognized Red-to-Black Financial Counseling Center (R2B). The R2B model has earned visibility and recognition from US News and World Report's widely-read college ranking survey as a means of increasing student retention and matriculation rates. A third goal is to promote the number of academic programs in Personal Financial Planning (PFP) with emphasis being placed on increasing opportunities within minority institutions throughout Texas and the US.

**(3) (a) Major Accomplishments to Date:**

TTU and Prairie View A & M (PV) received an initial \$250,000 diversity initiative grant from ING to implement PFP programs at Historically Black College and Universities (HBCUs) throughout the United States. The CFR is facilitating program development at Prairie View and Texas Southern to serve as an outreach center to students and as a model to be implemented throughout Texas and the US. Formal meetings have been held to build faculty awareness of careers for minority students and academic program development within universities

A \$41,000 grant from the International Foundation for Retirement Education was secured to develop a retirement literacy index for use by citizens to evaluate their preparation for and understanding of retirement. Increased retirement literacy should increase retirement plan participation and less focus on government sponsored retirement programs.

The CFR has worked with InFRE to implement the Certified Retirement Counselor designation into university curriculum as a career path for graduates. Five universities throughout the U.S. have implemented the program and it is also being delivered to minority institutions as a lucrative career path. The National Association of Government Defined Contribution Administrators has supported the participation of those students through mentorships at their national meeting.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

- Expansion of the HBCU initiative and broadening it to include Hispanic-Serving Universities with requested funding exceeding \$1,000,000 from ING
- Development of in-service education and delivery of financial literacy information for personal use by Texas teachers as well as curricula appropriate for delivery as part of the financial literacy initiative in Texas high schools as required by the legislature. Financial literacy education will be developed for in-service education of Texas teachers and staff. Increased teacher financial literacy should increase teacher retention and teaching of financial literacy in classes. Acquisition of additional funding from the Financial Planning Association Foundation to deliver personal financial information to teachers in public schools throughout Texas with the outcome being increased individual responsibility for economic well-being and a higher level of financial literacy among both students and faculty.
- Acquisition of approximately \$200,000 from VALIC for financial planning education within minority serving institutions for education on student debt control as a mechanism for increasing graduate student enrollment and retention and the "Be on Time" initiative required by the state legislature.

**(4) Funding Source Prior to Receiving Special Item Funding:**

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An initial grant of \$200,000 was from the International Foundation for Retirement Education. The leverage afforded by the state line item was essential to acquiring all of the funding listed above.

**(5) Non-general Revenue Sources of Funding:**

All sources of funds have been from corporate or industry sources.

**(6) Consequences of Not Funding:**

The relatively small amount of funds requested are essential to providing a framework to develop the truly unique programs, services and research that has brought continuing national recognition to the PFP program and Texas Tech University. The ability to leverage the funds has been proven every year in the form of the acquisition of additional monies that increase the academic uniqueness, recruitment and retention of students, publication of research, and development of programs of direct benefit to Texas Tech as well as the state of Texas.

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**Special Item:** 10      **Faculty Excellence**

**(1) Year Special Item:** 2006

**(2) Mission of Special Item:**

The undergraduate and graduate educational experience is dependent upon the quality of student-faculty interaction. Universities must maintain a highly qualified and skilled faculty to assure that the educational experience meets the standards expected by the citizens of Texas. However, qualifications and skills are greatly diluted when faculty members are forced to interact with students in numbers that do not support a quality education. Faculty members are also expected to support the research and creative activity and public service mission of the university. Heavy teaching loads limit the ability of the faculty to reach the potential that exists relative to this part of the mission. Texas Tech University's goal is to add faculty members to decrease the student to faculty ratio, accommodate increasing enrollments and permit faculty members to be more fully engaged in teaching and research.

**(3) (a) Major Accomplishments to Date:**

Established new faculty positions.

**(3) (b) Major Accomplishments Expected During the Next 2 Years:**

Continue to support new faculty positions.

**(4) Funding Source Prior to Receiving Special Item Funding:**

None

**(5) Non-general Revenue Sources of Funding:**

Institutional Funds.

**(6) Consequences of Not Funding:**

Funding that was made available was used to support the mission described above. Faculty salaries are ongoing expenses. Texas Tech University has invested in faculty members with the expectation that they will continue to function as valuable contributors to our students. If these funds are not continued TTU has no method for continued funding for these positions and would be faced with significant reductions in faculty support.

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